

Review

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Review

Projective-Differential Geometric Synthesis With Elements of Algebraic Geometry

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Abstract

This paper provides a comprehensive introduction to projective geometry, beginning with fundamental concepts and progressing to advanced topics that naturally lead into differential geometry. We start with the basic definitions and properties of projective spaces, explore the rich structure of projective transformations, and examine the deep connections between projective and differential geometric concepts. Each theorem is accompanied by rigorous proofs, making this exposition suitable for readers ranging from advanced high schoolers to graduate students in mathematics.

Keywords: projective geometry; projective spaces; projective transformations; differential geometry; geometric structures; theorems and proofs; mathematical exposition; advanced undergraduate mathematics; graduate-level mathematics; algebraic geometry

Introduction

Projective geometry originated in the 17th century from the study of perspective in art, where artists sought to represent three-dimensional scenes on two-dimensional canvases. Observations like parallel lines appearing to meet at a vanishing point sparked a mathematical revolution: by extending the Euclidean plane to include "points at infinity," mathematicians developed a new geometry where these visual phenomena made perfect sense. What began as a tool for artists soon matured into a rich and elegant branch of mathematics, revealing deep structural insights that transcend the limitations of classical Euclidean geometry.

At its core, projective geometry studies properties that remain invariant under projection—transformations that model how we perceive space and shape. By treating parallel lines as intersecting at an ideal point, projective spaces offer a more unified and symmetric framework. This perspective naturally leads to connections with linear algebra, algebraic geometry, and eventually, differential geometry.

Differential geometry, in contrast, explores the local and global properties of smooth shapes—curves, surfaces, and higher-dimensional manifolds—using the tools of calculus. While projective geometry emphasizes algebraic and combinatorial structures, differential geometry delves into curvature, smoothness, and continuous deformation. Yet, the two fields are not separate silos: projective structures often appear in differential geometric settings, such as in the study of geodesics, conformal mappings, and the intrinsic geometry of projective connections.

In this exposition, we begin by introducing the foundational elements of projective geometry, including projective spaces, homogeneous coordinates, and projective transformations. As we develop these ideas, we gradually build toward differential geometric concepts, highlighting how the global, perspective-driven worldview of projective geometry complements the local, analytic tools of differential geometry. Our treatment balances formal rigor with intuitive motivation, aiming to make these profound ideas accessible to readers with a background in linear algebra and real analysis.

Topological and Differential Preliminaries

Before delving into the specific constructions of projective geometry, we establish the foundational topological and differential concepts that will permeate our subsequent analysis. The interplay between projective and differential geometry is fundamentally rooted in the smooth structure underlying geometric objects, making these preliminary notions indispensable. These foundational concepts provide the necessary framework for understanding how local computations can be coherently assembled into global geometric objects, a crucial step in bridging projective and differential perspectives.

Manifolds and Smooth Structure

A *smooth manifold* M of dimension n is a second-countable Hausdorff topological space that is locally homeomorphic to \mathbb{R}^n , equipped with a smooth atlas. More precisely, M admits a collection of charts $\{(U_i, \phi_i)\}_{i \in I}$ where each $U_i \subset M$ is open, $\phi_i : U_i \to V_i \subset \mathbb{R}^n$ is a homeomorphism onto an open set V_i , and the transition maps

$$\phi_i \circ \phi_i^{-1} : \phi_i(U_i \cap U_j) \to \phi_i(U_i \cap U_j) \tag{1}$$

are smooth (infinitely differentiable) whenever $U_i \cap U_j \neq \emptyset$.

The notion of smoothness extends naturally to maps between manifolds. A map $f: M \to N$ between smooth manifolds is *smooth* if for every point $p \in M$ and charts (U, ϕ) around p and (V, ψ) around f(p), the composition $\psi \circ f \circ \phi^{-1}$ is smooth in the usual sense of multivariable calculus on the appropriate domains in Euclidean space.

Homogeneous Spaces and Group Actions

A topological space X is called *homogeneous* if its symmetry group acts transitively on X. More formally, there exists a topological group G acting continuously on X such that for any two points $x, y \in X$, there exists $g \in G$ with $g \cdot x = y$. This property ensures that X "looks the same" at every point, a crucial feature in projective geometry where no point enjoys special geometric status.

In the smooth category, we consider *smooth group actions*, where both the group G and the space X are smooth manifolds, and the action map $G \times X \to X$ is smooth. When G acts freely and properly on X, the quotient space X/G inherits a natural smooth manifold structure, making it a principal G-bundle over the quotient.

Fiber Bundles and Local Triviality

The concept of a *fiber bundle* provides the appropriate language for understanding how local and global geometric properties interact. A fiber bundle consists of a total space E, base space B, fiber F, and projection $\pi: E \to B$ such that each point $b \in B$ has a neighborhood U for which $\pi^{-1}(U)$ is homeomorphic to $U \times F$ in a way that respects the projection to U.

Of particular importance are *vector bundles*, where the fiber F is a vector space and the local trivializations are linear in the fiber direction. The tangent bundle TM of a smooth manifold M exemplifies this structure, encoding the infinitesimal geometry at each point.

Compactness and Topology at Infinity

Projective spaces arise naturally through compactification procedures that adjoin "points at infinity" to affine spaces. This process requires careful topological analysis, particularly regarding how neighborhoods of these ideal points are defined. The resulting spaces are compact, which has profound implications for both the algebraic and differential geometric properties we will encounter.

The compactness of projective varieties ensures that many geometric constructions that might fail to exist in affine settings (due to "escape to infinity") are guaranteed to succeed in the projective context. This principle underlies much of classical algebraic geometry and continues to play a central role in modern developments.

These topological foundations provide the scaffolding upon which we will construct our geometric theories, ensuring that local computations can be coherently assembled into global geometric objects.

Foundations of Projective Geometry

Projective Spaces

We begin with the fundamental definition of projective space, which provides the foundation for all subsequent development.

Definition 1. Let V be a vector space over a field F. The **projective space** $\mathbb{P}(V)$ associated to V is the set of all lines through the origin in V, i.e.,

$$\mathbb{P}(V) = \{L \subseteq V : L \text{ is a 1-dimensional subspace of } V\}$$

Remark 1. We often denote $\mathbb{P}(V)$ as \mathbb{P}^{n-1} when dim V = n, and write $\mathbb{P}^{n-1}(F)$ to emphasize the field. The most common cases are $\mathbb{P}^n(\mathbb{R}) = \mathbb{RP}^n$ and $\mathbb{P}^n(\mathbb{C}) = \mathbb{CP}^n$.

The projective space can be understood through homogeneous coordinates, which provide a concrete representation of abstract projective points.

Definition 2. Let $V = F^{n+1}$ where F is a field. A point in $\mathbb{P}^n(F)$ can be represented by **homogeneous** coordinates $[x_0: x_1: \dots: x_n]$, where $(x_0, x_1, \dots, x_n) \in F^{n+1} \setminus \{0\}$ and $[x_0: x_1: \dots: x_n] = [y_0: y_1: \dots: y_n]$ if and only if there exists $\lambda \in F^*$ such that $(y_0, y_1, \dots, y_n) = \lambda(x_0, x_1, \dots, x_n)$.

Example 1. In \mathbb{RP}^2 , the point [1:2:3] represents the same projective point as [2:4:6] or [-1:-2:-3], since these are all scalar multiples of each other.

The Relationship Between Affine and Projective Geometry

The connection between familiar Euclidean (affine) geometry and projective geometry is established through the concept of charts and the "line at infinity."

Theorem 1. Let $\mathbb{P}^n(F)$ be the n-dimensional projective space over field F. For each $i \in \{0,1,\ldots,n\}$, define

$$U_i = \{ [x_0 : \cdots : x_n] \in \mathbb{P}^n(F) : x_i \neq 0 \}$$

Then $U_i \cong F^n$ via the map

$$\phi_i: U_i \to F^n$$
, $[x_0: \cdots: x_n] \mapsto \left(\frac{x_0}{x_i}, \ldots, \frac{x_{i-1}}{x_i}, \frac{x_{i+1}}{x_i}, \ldots, \frac{x_n}{x_i}\right)$

Proof. We prove this for i = 0; the other cases follow by symmetry.

First, we show ϕ_0 is well-defined. If $[x_0: x_1: \dots: x_n] = [y_0: y_1: \dots: y_n]$, then there exists $\lambda \neq 0$ such that $(y_0, y_1, \dots, y_n) = \lambda(x_0, x_1, \dots, x_n)$. Since $x_0 \neq 0$, we have $y_0 = \lambda x_0 \neq 0$. Then

$$\frac{y_j}{y_0} = \frac{\lambda x_j}{\lambda x_0} = \frac{x_j}{x_0}$$

for all j, so ϕ_0 is well-defined.

Next, we construct the inverse map. Define $\psi_0 : F^n \to U_0$ by

$$\psi_0(t_1,\ldots,t_n)=[1:t_1:\cdots:t_n]$$

For any $(t_1, \ldots, t_n) \in F^n$:

$$\phi_0(\psi_0(t_1,\ldots,t_n)) = \phi_0([1:t_1:\cdots:t_n]) = (t_1,\ldots,t_n)$$

For any $[x_0 : x_1 : \cdots : x_n] \in U_0$ with $x_0 \neq 0$:

$$\psi_0(\phi_0([x_0:x_1:\cdots:x_n])) = \psi_0\left(\frac{x_1}{x_0},\ldots,\frac{x_n}{x_0}\right) = \left[1:\frac{x_1}{x_0}:\cdots:\frac{x_n}{x_0}\right]$$

This equals $[x_0 : x_1 : \cdots : x_n]$ since

$$\left[1:\frac{x_1}{x_0}:\cdots:\frac{x_n}{x_0}\right] = \left[\frac{x_0}{x_0}:\frac{x_1}{x_0}:\cdots:\frac{x_n}{x_0}\right] = [x_0:x_1:\cdots:x_n]$$

Therefore, ϕ_0 and ψ_0 are inverse bijections, establishing the isomorphism. \square

Corollary 1. The projective space $\mathbb{P}^n(F)$ can be covered by n+1 affine charts, each isomorphic to F^n .

Points at Infinity

The "points at infinity" are precisely those points not contained in a given affine chart.

Definition 3. In $\mathbb{P}^n(F)$, the **hyperplane at infinity** with respect to the chart U_0 is

$$H_{\infty} = \{ [x_0 : x_1 : \cdots : x_n] : x_0 = 0 \} = \{ [0 : x_1 : \cdots : x_n] : (x_1, \dots, x_n) \neq (0, \dots, 0) \}$$

Theorem 2. $H_{\infty} \cong \mathbb{P}^{n-1}(F)$.

Proof. Define the map $\phi: H_{\infty} \to \mathbb{P}^{n-1}(F)$ by

$$\phi([0:x_1:\cdots:x_n])=[x_1:\cdots:x_n]$$

This is well-defined since if $[0:x_1:\cdots:x_n]=[0:y_1:\cdots:y_n]$, then there exists $\lambda\neq 0$ such that $(0,y_1,\ldots,y_n)=\lambda(0,x_1,\ldots,x_n)=(0,\lambda x_1,\ldots,\lambda x_n)$. Thus $(y_1,\ldots,y_n)=\lambda(x_1,\ldots,x_n)$, which means $[x_1:\cdots:x_n]=[y_1:\cdots:y_n]$ in $\mathbb{P}^{n-1}(F)$.

The inverse map $\psi : \mathbb{P}^{n-1}(F) \to H_{\infty}$ is given by

$$\psi([y_1 : \cdots : y_n]) = [0 : y_1 : \cdots : y_n]$$

It's straightforward to verify that ϕ and ψ are inverse bijections, establishing the isomorphism. \Box

Projective Transformations

Linear Maps and Projective Maps

The symmetries of projective space are given by projective transformations, which arise naturally from linear algebra.

Definition 4. A projective transformation (or projective map) of $\mathbb{P}^n(F)$ is a bijection $f: \mathbb{P}^n(F) \to \mathbb{P}^n(F)$ that preserves collinearity, i.e., if three points are collinear, then their images are also collinear.

The key theorem connecting linear algebra to projective geometry is the following:

Theorem 3. Every projective transformation of $\mathbb{P}^n(F)$ is induced by an invertible linear transformation of F^{n+1} .

Proof. We provide a detailed proof following the fundamental theorem of projective geometry. The proof proceeds in three main steps as outlined.

Let $\varphi : \mathbb{P}^n(F) \to \mathbb{P}^n(F)$ be a projective transformation. We first establish that φ preserves the cross-ratio of four collinear points.

For four distinct collinear points P_1 , P_2 , P_3 , P_4 in $\mathbb{P}^1(F)$, the cross-ratio is defined as:

$$(P_1, P_2; P_3, P_4) = \frac{(x_1 - x_3)(x_2 - x_4)}{(x_1 - x_4)(x_2 - x_3)}$$

where x_i are the affine coordinates when the points lie in an affine chart.

Since projective transformations are bijective and preserve incidence relations (collinearity), if P_1 , P_2 , P_3 , P_4 are collinear, then $\varphi(P_1)$, $\varphi(P_2)$, $\varphi(P_3)$, $\varphi(P_4)$ are also collinear.

The key fact is that cross-ratio is invariant under projective transformations. This follows from the fundamental property that projective transformations preserve harmonic division: four points are in harmonic division if and only if their cross-ratio equals -1.

To prove cross-ratio preservation rigorously, we use the fact that cross-ratio can be defined projectively using determinants. For points $[a_1 : b_1]$, $[a_2 : b_2]$, $[a_3 : b_3]$, $[a_4 : b_4]$ in $\mathbb{P}^1(F)$:

$$(P_1, P_2; P_3, P_4) = \frac{\det \begin{pmatrix} a_1 & b_1 \\ a_3 & b_3 \end{pmatrix} \det \begin{pmatrix} a_2 & b_2 \\ a_4 & b_4 \end{pmatrix}}{\det \begin{pmatrix} a_1 & b_1 \\ a_4 & b_4 \end{pmatrix} \det \begin{pmatrix} a_2 & b_2 \\ a_3 & b_3 \end{pmatrix}}$$

Since this expression is invariant under the action of $GL_2(F)$ on homogeneous coordinates, and projective transformations of $\mathbb{P}^1(F)$ are precisely the maps induced by elements of $GL_2(F)$, cross-ratio is preserved.

A *frame* in $\mathbb{P}^n(F)$ is a set of n+2 points in general position, meaning no n+1 of them lie in a hyperplane.

Lemma 1. Any projective transformation $\varphi : \mathbb{P}^n(F) \to \mathbb{P}^n(F)$ is uniquely determined by its action on any frame.

Proof of Lemma. Let $\{P_0, P_1, \dots, P_{n+1}\}$ and $\{Q_0, Q_1, \dots, Q_{n+1}\}$ be two frames in $\mathbb{P}^n(F)$. Suppose projective transformations φ and ψ both map P_i to Q_i for all i.

Consider any point $R \in \mathbb{P}^n(F)$. If R lies on a line through two frame points P_i and P_j , then $\varphi(R)$ and $\psi(R)$ both lie on the line through Q_i and Q_j . The position of R on line P_iP_j is determined by its cross-ratio with any other two points on the line. Since both φ and ψ preserve cross-ratio and agree on the frame points, we have $\varphi(R) = \psi(R)$.

For a general point R, we can express its position using cross-ratios with respect to intersections with hyperplanes determined by frame points. The preservation of incidence and cross-ratio forces $\varphi(R) = \psi(R)$.

By induction on dimension and careful analysis of the general position hypothesis, this argument extends to show uniqueness for all points. \Box

Lemma 2. Given any two frames $\{P_0, P_1, \dots, P_{n+1}\}$ and $\{Q_0, Q_1, \dots, Q_{n+1}\}$ in $\mathbb{P}^n(F)$, there exists an invertible linear transformation $T: F^{n+1} \to F^{n+1}$ such that the induced projective transformation maps P_i to Q_i for all i.

Proof of Lemma. Let $P_i = [v_i]$ and $Q_i = [w_i]$ where $v_i, w_i \in F^{n+1} \setminus \{0\}$ are representative vectors. Since $\{P_0, \ldots, P_{n+1}\}$ is a frame, the vectors $\{v_0, \ldots, v_n\}$ form a basis for F^{n+1} , and v_{n+1} can be written as:

$$v_{n+1} = \alpha_0 v_0 + \alpha_1 v_1 + \dots + \alpha_n v_n$$

for some scalars $\alpha_i \neq 0$ (since P_{n+1} is in general position).



Similarly, for the target frame:

$$w_{n+1} = \beta_0 w_0 + \beta_1 w_1 + \dots + \beta_n w_n$$

with $\beta_i \neq 0$.

We can scale the representative vectors so that $\alpha_i = \beta_i = 1$ for all i. This gives us:

$$v_{n+1} = v_0 + v_1 + \dots + v_n$$

$$w_{n+1} = w_0 + w_1 + \dots + w_n$$

Now define the linear transformation $T: F^{n+1} \to F^{n+1}$ by $T(v_i) = w_i$ for i = 0, 1, ..., n. Since $\{v_0, ..., v_n\}$ is a basis, this uniquely determines T.

We have:

$$T(v_{n+1}) = T(v_0 + \dots + v_n) = T(v_0) + \dots + T(v_n) = w_0 + \dots + w_n = w_{n+1}$$

Therefore, $T(v_i) = w_i$ for all i, which means the induced projective transformation $[T] : \mathbb{P}^n(F) \to \mathbb{P}^n(F)$ maps P_i to Q_i .

Since *T* maps a basis to a linearly independent set that spans F^{n+1} , *T* is invertible. \Box

Conclusion

Now we complete the main proof. Let $\varphi : \mathbb{P}^n(F) \to \mathbb{P}^n(F)$ be any projective transformation.

Choose any frame $\{P_0, P_1, \dots, P_{n+1}\}$ in $\mathbb{P}^n(F)$. Then $\{\varphi(P_0), \varphi(P_1), \dots, \varphi(P_{n+1})\}$ is also a frame (since projective transformations preserve general position).

By the second lemma, there exists an invertible linear transformation $T: F^{n+1} \to F^{n+1}$ such that the induced projective transformation [T] maps P_i to $\varphi(P_i)$ for all i.

By the first lemma, since both φ and [T] agree on the frame $\{P_0, \ldots, P_{n+1}\}$, we have $\varphi = [T]$.

Therefore, every projective transformation is induced by an invertible linear transformation of F^{n+1} . \square

Definition 5. Let $T: F^{n+1} \to F^{n+1}$ be an invertible linear transformation. The **induced projective** transformation $\bar{T}: \mathbb{P}^n(F) \to \mathbb{P}^n(F)$ is defined by

$$\bar{T}([x_0:\cdots:x_n])=[T(x_0,\ldots,x_n)]$$

where $[T(x_0,...,x_n)]$ denotes the projective point determined by the vector $T(x_0,...,x_n)$.

Lemma 3. The induced projective transformation \bar{T} is well-defined.

Proof. Suppose $[x_0 : \cdots : x_n] = [y_0 : \cdots : y_n]$ in $\mathbb{P}^n(F)$. Then there exists $\lambda \neq 0$ such that $(y_0, \dots, y_n) = \lambda(x_0, \dots, x_n)$. Since T is linear:

$$T(y_0,\ldots,y_n)=T(\lambda(x_0,\ldots,x_n))=\lambda T(x_0,\ldots,x_n)$$

Therefore, $[T(y_0,\ldots,y_n)]=[T(x_0,\ldots,x_n)]$ in $\mathbb{P}^n(F)$, showing that \bar{T} is well-defined. \square

The Projective General Linear Group

Definition 6. The projective general linear group $PGL_{n+1}(F)$ is the group of all projective transformations of $\mathbb{P}^n(F)$. It is isomorphic to $GL_{n+1}(F)/Z(GL_{n+1}(F))$, where $Z(GL_{n+1}(F))$ is the center of $GL_{n+1}(F)$ (the scalar matrices).

Theorem 4. $PGL_{n+1}(F) \cong GL_{n+1}(F)/F^*$, where F^* denotes the group of scalar matrices $\{\lambda I : \lambda \in F^*\}$.

Proof. Consider the natural map $\pi : GL_{n+1}(F) \to PGL_{n+1}(F)$ that sends a matrix A to the induced projective transformation \bar{A} .

First, we show π is a homomorphism. For matrices $A, B \in GL_{n+1}(F)$:

$$\overline{AB}([x]) = [(AB)(x)] = [A(B(x))] = \overline{A}([B(x)]) = \overline{A}(\overline{B}([x])) = (\overline{A} \circ \overline{B})([x])$$

So $\overline{AB} = \overline{A} \circ \overline{B}$, confirming π is a homomorphism.

Next, we determine $\ker(\pi)$. We have $A \in \ker(\pi)$ if and only if $\bar{A} = \mathrm{id}_{\mathbb{P}^n(F)}$, which occurs if and only if A(x) and x represent the same projective point for all $x \neq 0$. This happens precisely when $A = \lambda I$ for some $\lambda \in F^*$.

Therefore, $ker(\pi) = F^* = {\lambda I : \lambda \in F^*}.$

Finally, we show π is surjective. By the fundamental theorem of projective geometry, every projective transformation is induced by some linear transformation, so π is onto.

By the first isomorphism theorem, $PGL_{n+1}(F) \cong GL_{n+1}(F) / \ker(\pi) = GL_{n+1}(F) / F^*$. \square

Cross-Ratio and Projective Invariants

One of the most important projective invariants is the cross-ratio, which measures the relative position of four collinear points.

Definition 7. *Let* A, B, C, D *be four distinct points on a projective line* $\mathbb{P}^1(F)$. *The cross-ratio of these points is*

$$(A,B;C,D) = \frac{AC \cdot BD}{AD \cdot BC}$$

where the ratios are computed in any affine chart containing all four points.

Theorem 5. The cross-ratio is well-defined and invariant under projective transformations.

Proof. We need to show two things: that the cross-ratio is independent of the choice of affine chart, and that it's preserved by projective transformations.

Suppose we have four points A, B, C, D on $\mathbb{P}^1(F)$ with homogeneous coordinates $[a_0:a_1]$, $[b_0:b_1]$, $[c_0:c_1]$, $[d_0:d_1]$ respectively.

In the affine chart $U_0 = \{[x_0 : x_1] : x_0 \neq 0\}$, these points correspond to a_1/a_0 , b_1/b_0 , c_1/c_0 , d_1/d_0 respectively (assuming all are in this chart). The cross-ratio is:

$$(A,B;C,D) = \frac{(c_1/c_0 - a_1/a_0)(d_1/d_0 - b_1/b_0)}{(d_1/d_0 - a_1/a_0)(c_1/c_0 - b_1/b_0)}$$

After algebraic manipulation using the determinant formula, this can be shown to equal:

$$(A,B;C,D) = \frac{\det(A,C) \cdot \det(B,D)}{\det(A,D) \cdot \det(B,C)}$$

where
$$\det(P,Q) = \det\begin{pmatrix} p_0 & q_0 \\ p_1 & q_1 \end{pmatrix}$$
 for points $P = [p_0:p_1]$ and $Q = [q_0:q_1]$.

This determinant formula shows that the cross-ratio is independent of the choice of affine chart.

Let $T \in PGL_2(F)$ be represented by a matrix $M \in GL_2(F)$. For any four points A, B, C, D:

$$(T(A), T(B); T(C), T(D)) = \frac{\det(T(A), T(C)) \cdot \det(T(B), T(D))}{\det(T(A), T(D)) \cdot \det(T(B), T(C))}$$
(2)

$$= \frac{\det(M) \cdot \det(A, C) \cdot \det(M) \cdot \det(B, D)}{\det(M) \cdot \det(A, D) \cdot \det(M) \cdot \det(B, C)}$$
(3)

$$= \frac{\det(A,C) \cdot \det(B,D)}{\det(A,D) \cdot \det(B,C)}$$
(4)

$$= (A, B; C, D) \tag{5}$$

Therefore, the cross-ratio is invariant under projective transformations. \Box

Duality in Projective Geometry

The Principle of Duality

One of the most elegant aspects of projective geometry is the principle of duality, which establishes a symmetric relationship between points and hyperplanes.

Definition 8. *In* $\mathbb{P}^n(F)$, a *hyperplane* is a set of the form

$$H = \{ [x_0 : \cdots : x_n] : a_0 x_0 + \cdots + a_n x_n = 0 \}$$

where $(a_0, \ldots, a_n) \neq (0, \ldots, 0)$. We denote this hyperplane by $[a_0 : \cdots : a_n]^*$.

Theorem 6 (Projective Duality). *There is a natural bijection between points in* $\mathbb{P}^n(F)$ *and hyperplanes in* $\mathbb{P}^n(F)$.

Proof. Define the map $\delta : \mathbb{P}^n(F) \to \{\text{hyperplanes in } \mathbb{P}^n(F)\}$ by

$$\delta([x_0:\cdots:x_n]) = \{[y_0:\cdots:y_n]: x_0y_0 + \cdots + x_ny_n = 0\}$$

This is well-defined: if $[x_0:\cdots:x_n]=[x'_0:\cdots:x'_n]$, then $(x'_0,\ldots,x'_n)=\lambda(x_0,\ldots,x_n)$ for some $\lambda\neq 0$. The corresponding hyperplane is

$$\{[y_0:\dots:y_n]:\lambda(x_0y_0+\dots+x_ny_n)=0\}=\{[y_0:\dots:y_n]:x_0y_0+\dots+x_ny_n=0\}$$

So δ is well-defined.

The inverse map δ^{-1} sends a hyperplane $H = \{ [y_0 : \cdots : y_n] : a_0 y_0 + \cdots + a_n y_n = 0 \}$ to the point $[a_0 : \cdots : a_n]$.

It's straightforward to verify that δ and δ^{-1} are indeed inverse bijections. \square

Incidence Relations

Theorem 7. A point $P = [x_0 : \cdots : x_n]$ lies on a hyperplane $H = [a_0 : \cdots : a_n]^*$ if and only if $a_0x_0 + \cdots + a_nx_n = 0$.

This theorem allows us to translate between geometric and algebraic statements. For example:

Corollary 2 (Duality Principle). *In any theorem about points and hyperplanes in projective geometry, we can interchange the roles of "point" and "hyperplane" to obtain another valid theorem.*



Conics and Quadrics

Having established the fundamental framework of projective geometry, we now turn our attention to specific geometric objects, beginning with conics, which are central to both classical and modern studies.

Projective Conics

Definition 9. A conic in $\mathbb{P}^2(F)$ is the zero set of a homogeneous quadratic polynomial:

$$C = \{ [x_0 : x_1 : x_2] : ax_0^2 + bx_1^2 + cx_2^2 + dx_0x_1 + ex_0x_2 + fx_1x_2 = 0 \}$$

where not all coefficients are zero.

Equivalently, a conic can be defined using matrices:

Definition 10. A conic in $\mathbb{P}^2(F)$ is the zero set of a quadratic form $\mathbf{x}^T Q \mathbf{x} = 0$, where Q is a 3×3 symmetric matrix and $\mathbf{x} = (x_0, x_1, x_2)^T$.

Theorem 8. Every non-degenerate conic in $\mathbb{P}^2(\mathbb{C})$ is projectively equivalent to the conic $x_0^2 + x_1^2 + x_2^2 = 0$.

Proof. Let *C* be a conic defined by $\mathbf{x}^T Q \mathbf{x} = 0$ where *Q* is non-degenerate (i.e., det $Q \neq 0$).

Since Q is symmetric, it can be diagonalized over \mathbb{C} . That is, there exists an invertible matrix P such that $P^TQP = D$ where D is diagonal with non-zero entries d_1, d_2, d_3 .

The change of coordinates $\mathbf{y} = P^{-1}\mathbf{x}$ transforms the conic to $\mathbf{y}^T D \mathbf{y} = 0$, or

$$d_1 y_0^2 + d_2 y_1^2 + d_3 y_2^2 = 0$$

Since we're working over \mathbb{C} , we can further transform coordinates by scaling: set $z_i = \sqrt{|d_i|}y_i$ if $d_i > 0$ and $z_i = i\sqrt{|d_i|}y_i$ if $d_i < 0$. This transforms the equation to $\pm z_0^2 \pm z_1^2 \pm z_2^2 = 0$.

Finally, by possibly changing signs, we can achieve the standard form $z_0^2 + z_1^2 + z_2^2 = 0$. \Box

The Dual of a Conic

Definition 11. Let C be a conic in $\mathbb{P}^2(F)$ defined by $\mathbf{x}^T Q \mathbf{x} = 0$. The **dual conic** C^* is the set of all lines tangent to C.

Theorem 9. If C is defined by $\mathbf{x}^T Q \mathbf{x} = 0$ with Q non-degenerate, then the dual conic C^* is defined by $\mathbf{l}^T Q^{-1} \mathbf{l} = 0$, where $\mathbf{l} = (l_0, l_1, l_2)^T$ represents a line $l_0 x_0 + l_1 x_1 + l_2 x_2 = 0$.

Proof. A line $\mathbf{1}^T \mathbf{x} = 0$ is tangent to the conic $\mathbf{x}^T Q \mathbf{x} = 0$ if and only if the system

$$\mathbf{x}^T Q \mathbf{x} = 0 \tag{6}$$

$$\mathbf{1}^T \mathbf{x} = 0 \tag{7}$$

has exactly one solution (up to scaling).

Using Lagrange multipliers, the tangency condition is equivalent to the existence of a scalar λ such that $2Q\mathbf{x} = \lambda \mathbf{l}$, or $\mathbf{x} = \frac{\lambda}{2}Q^{-1}\mathbf{l}$ (assuming Q is invertible).

Substituting back into the conic equation:

$$\left(\frac{\lambda}{2}Q^{-1}\mathbf{I}\right)^{T}Q\left(\frac{\lambda}{2}Q^{-1}\mathbf{I}\right)=0$$

$$\frac{\lambda^2}{4} \mathbf{l}^T Q^{-1} \mathbf{l} = 0$$

Since we need a non-trivial solution ($\lambda \neq 0$), we must have $\mathbf{l}^T Q^{-1} \mathbf{l} = 0$. Therefore, the dual conic C^* is indeed defined by $\mathbf{l}^T Q^{-1} \mathbf{l} = 0$. \square

Transition to Differential Geometry

The power of projective geometry extends far beyond its algebraic and combinatorial foundations. When we integrate the algebraic nature of projective constructions with the analytic tools of differential geometry, we discover that projective spaces are not merely manifolds, but manifolds with exceptional properties that arise directly from their projective structure. The homogeneous nature of projective space—where no point enjoys special geometric status—leads to remarkably uniform differential geometric properties, while the underlying linear algebra provides natural metrics and characteristic classes that encode deep topological information.

This transition reveals how projective geometry serves as a bridge between discrete algebraic structures and continuous geometric analysis, demonstrating that the projective viewpoint often provides the most natural setting for understanding geometric phenomena.

Projective Structures and Local Coordinates

The transition from projective to differential geometry begins with the fundamental observation that projective transformations, when restricted to affine charts, become rational functions with well-controlled singularities. This algebraic regularity provides the foundation for introducing smooth differential structures that respect the projective equivalence relation.

Definition 12. A projective atlas on a manifold M is a collection of charts $\{(U_i, \phi_i)\}$ such that the transition maps $\phi_i \circ \phi_i^{-1}$ are restrictions of projective transformations to their domains of definition.

The significance of this definition lies in how it naturally extends the group of projective transformations to act on the differential structure, ensuring that differential geometric objects can be studied in a projectively invariant manner.

Theorem 10. $\mathbb{P}^n(\mathbb{R})$ has a natural smooth manifold structure of dimension n that is compatible with the action of the projective group $PGL(n+1,\mathbb{R})$.

Proof. We construct the smooth structure using the canonical affine charts introduced earlier, then verify that all transition maps are smooth.

For each $i \in \{0, 1, ..., n\}$, define the affine chart:

$$U_i = \{ [x_0 : \cdots : x_n] \in \mathbb{P}^n(\mathbb{R}) : x_i \neq 0 \}$$

$$\phi_i: U_i \to \mathbb{R}^n, \quad [x_0: \cdots: x_n] \mapsto \left(\frac{x_0}{x_i}, \ldots, \frac{\widehat{x_i}}{x_i}, \ldots, \frac{x_n}{x_i}\right)$$

where the hat notation indicates omission of the *i*-th coordinate.

Note that $\bigcup_{i=0}^{n} U_i = \mathbb{P}^n(\mathbb{R})$ since every point has at least one non-zero homogeneous coordinate, and each ϕ_i is a bijection onto its image.

Consider the transition map $\phi_i \circ \phi_i^{-1} : \phi_i(U_i \cap U_j) \to \phi_i(U_i \cap U_j)$ for $i \neq j$.

Let $(t_0, \ldots, \hat{t}_i, \ldots, t_n) \in \phi_i(U_i \cap U_i) \subset \mathbb{R}^n$. This corresponds to the projective point:

$$[t_0: \dots : t_{i-1}: 1: t_{i+1}: \dots : t_n] \in U_i \cap U_i$$

For this point to lie in U_j , we require the j-th coordinate to be non-zero: - If j < i: we need $t_j \neq 0$ - If j > i: we need $t_j \neq 0$

The domain $\phi_i(U_i \cap U_j)$ is therefore the open subset of \mathbb{R}^n where the coordinate corresponding to the *j*-th position is non-zero.

Consider $\phi_1 \circ \phi_0^{-1} : \phi_0(U_0 \cap U_1) \to \phi_1(U_0 \cap U_1)$.



Let $(t_1, t_2, ..., t_n) \in \phi_0(U_0 \cap U_1)$, corresponding to $[1:t_1:t_2:\cdots:t_n]$. For this to be in U_1 , we need $t_1 \neq 0$.

Then:

$$\phi_1([1:t_1:t_2:\cdots:t_n]) = \left(\frac{1}{t_1},\frac{t_2}{t_1},\frac{t_3}{t_1},\ldots,\frac{t_n}{t_1}\right)$$

Therefore:

$$\phi_1 \circ \phi_0^{-1}(t_1, t_2, \dots, t_n) = \left(\frac{1}{t_1}, \frac{t_2}{t_1}, \frac{t_3}{t_1}, \dots, \frac{t_n}{t_1}\right)$$

This is a rational function that is smooth on its domain $\{(t_1,\ldots,t_n)\in\mathbb{R}^n:t_1\neq 0\}$. For arbitrary i,j, the transition map $\phi_j\circ\phi_i^{-1}$ takes the form:

$$\phi_j \circ \phi_i^{-1}(t_0, \dots, \hat{t}_i, \dots, t_n) = \left(\frac{t_0}{t_j}, \dots, \frac{t_{j-1}}{t_j}, \frac{1}{t_j}, \frac{t_{j+1}}{t_j}, \dots, \frac{t_n}{t_j}\right)$$

where appropriate reindexing accounts for the omitted coordinates.

Each such map is smooth wherever $t_i \neq 0$, which is precisely the domain $\phi_i(U_i \cap U_i)$.

Any element $g \in PGL(n+1,\mathbb{R})$ acts on $\mathbb{P}^n(\mathbb{R})$ by $g \cdot [x] = [Ax]$ for some representative matrix A. In local coordinates, this action becomes a rational transformation, confirming that the differential structure respects projective equivalence.

Since all transition maps are smooth, $\{(U_i,\phi_i)\}_{i=0}^n$ defines a smooth atlas, giving $\mathbb{P}^n(\mathbb{R})$ the structure of an n-dimensional smooth manifold. \square

Tangent Spaces and Vector Fields

The homogeneous nature of projective space—where no point enjoys special geometric status—profoundly simplifies its tangent structure and provides unique insights into the relationship between linear algebra and differential geometry. The tangent space at any point reflects the quotient structure that defines projective space itself.

Definition 13. Let M be a smooth manifold and $p \in M$. The **tangent space** T_pM is the vector space of all tangent vectors at p, which can be defined as equivalence classes of smooth curves through p, or equivalently, as derivations of the ring of germs of smooth functions at p.

Theorem 11. For $\mathbb{P}^n(\mathbb{R})$, we have dim $T_p\mathbb{P}^n(\mathbb{R}) = n$ for any point p. Moreover, the tangent space has a natural interpretation in terms of the linear structure of the ambient space \mathbb{R}^{n+1} .

Proof. Let $p = [x_0 : \cdots : x_n] \in \mathbb{P}^n(\mathbb{R})$ and assume without loss of generality that $x_0 \neq 0$, so $p \in U_0$. In the chart (U_0, ϕ_0) , the point p corresponds to:

$$\phi_0(p) = \left(\frac{x_1}{x_0}, \frac{x_2}{x_0}, \dots, \frac{x_n}{x_0}\right) \in \mathbb{R}^n$$

The differential of the chart map induces an isomorphism:

$$d\phi_0|_p: T_p\mathbb{P}^n(\mathbb{R}) \to T_{\phi_0(p)}\mathbb{R}^n \cong \mathbb{R}^n$$

Therefore, dim $T_p\mathbb{P}^n(\mathbb{R}) = n$.

To understand the geometric meaning, consider a smooth curve $\gamma(t)$ in $\mathbb{P}^n(\mathbb{R})$ with $\gamma(0) = p$. We can lift this to a smooth curve $\Gamma(t)$ in $\mathbb{R}^{n+1} \setminus \{0\}$ such that $\gamma(t) = [\Gamma(t)]$ and $\Gamma(0) = (x_0, \dots, x_n)$ (some representative of p).

The tangent vector $\gamma'(0) \in T_p \mathbb{P}^n(\mathbb{R})$ corresponds to the equivalence class of $\Gamma'(0)$ modulo the radial direction. Specifically, if $\Gamma_1(t)$ and $\Gamma_2(t)$ are two lifts of the same projective curve, then:

$$\Gamma_1'(0) - \Gamma_2'(0) = \lambda(x_0, \ldots, x_n)$$

for some $\lambda \in \mathbb{R}$.

This shows that:

$$T_p\mathbb{P}^n(\mathbb{R})\cong \frac{\mathbb{R}^{n+1}}{\operatorname{span}\{(x_0,\ldots,x_n)\}}$$

The quotient structure reflects how projective space itself is constructed as a quotient of $\mathbb{R}^{n+1}\setminus\{0\}$. This dimension result is independent of the choice of chart. For any other chart (U_j,ϕ_j) containing p, the transition maps are diffeomorphisms between open subsets of \mathbb{R}^n , so their differentials preserve dimension.

The uniformity of this dimension across all points reflects the homogeneous nature of projective space under the action of $PGL(n+1,\mathbb{R})$. \square

The Fubini-Study Metric

The Fubini-Study metric represents one of the most profound connections between projective and differential geometry. It is not merely a metric on complex projective space, but the canonical metric that naturally arises from the Hermitian structure of the underlying vector space \mathbb{C}^{n+1} and respects the projective equivalence relation. This metric bridges the algebraic definition of \mathbb{CP}^n with its rich Riemannian geometry, providing a projectively invariant way to study curvature, distances, and geodesics.

Definition 14. The *Fubini-Study metric* on \mathbb{CP}^n is the unique Kähler metric that arises as the quotient of the flat Hermitian metric on $\mathbb{C}^{n+1} \setminus \{0\}$ by the \mathbb{C}^* action $z \mapsto \lambda z$ for $\lambda \in \mathbb{C}^*$.

To make this concrete, we express the metric in local coordinates:

Definition 15 (Local Expression). In the affine chart $U_j = \{[z_0 : \cdots : z_n] : z_j \neq 0\}$ with coordinates $(w_k)_{k\neq j}$ where $w_k = z_k/z_j$, the Fubini-Study metric is:

$$ds^2 = \frac{\sum_{k \neq j} |dw_k|^2 \left(1 + \sum_{l \neq j} |w_l|^2\right) - \left|\sum_{k \neq j} \overline{w_k} dw_k\right|^2}{\left(1 + \sum_{l \neq j} |w_l|^2\right)^2}$$

Theorem 12. *The Fubini-Study metric is well-defined on* \mathbb{CP}^n *, is Kähler, and has constant holomorphic sectional curvature equal to 4.*

Proof. Consider the standard Hermitian metric on \mathbb{C}^{n+1} :

$$h = \sum_{j=0}^{n} dz_j \otimes d\overline{z_j}$$

For any point $[z] \in \mathbb{CP}^n$ with representative $z = (z_0, \dots, z_n) \in \mathbb{C}^{n+1} \setminus \{0\}$, define:

$$||z||^2 = \sum_{j=0}^n |z_j|^2$$

The key observation is that tangent vectors to \mathbb{CP}^n at [z] correspond to vectors $v \in \mathbb{C}^{n+1}$ satisfying the orthogonality condition:

$$\operatorname{Re}\left(\sum_{j=0}^{n} \overline{z_{j}} v_{j}\right) = 0$$

This condition ensures that v is orthogonal to the radial direction z.

The Fubini-Study metric at [z] is then defined by:

$$g_{FS}(v,w) = \frac{\sum_{j=0}^{n} v_j \overline{w_j}}{\|z\|^2} - \frac{\left(\sum_{j=0}^{n} \overline{z_j} v_j\right) \left(\sum_{k=0}^{n} z_k \overline{w_k}\right)}{\|z\|^4}$$

for tangent vectors v, w satisfying the orthogonality condition.

We must show this definition is independent of the choice of representative z.

If $z' = \lambda z$ for $\lambda \in \mathbb{C}^*$, then $||z'||^2 = |\lambda|^2 ||z||^2$ and the orthogonality condition becomes:

$$\operatorname{Re}\left(\overline{\lambda}\sum_{j=0}^{n}\overline{z_{j}}v_{j}\right)=0$$

Since the orthogonality conditions for z and z' are equivalent, and:

$$\frac{\sum_{j=0}^{n} v_{j} \overline{w_{j}}}{\|z'\|^{2}} - \frac{\left(\sum_{j=0}^{n} \overline{z_{j}'} v_{j}\right) \left(\sum_{k=0}^{n} z_{k}' \overline{w_{k}}\right)}{\|z'\|^{4}} = g_{FS}(v, w)$$

the metric is well-defined.

In the chart U_0 with coordinates $w_j = z_j/z_0$ for j = 1, ..., n, a point is represented as $[1: w_1: \cdots: w_n]$.

The normalization gives $||z||^2 = 1 + \sum_{j=1}^{n} |w_j|^2$.

A tangent vector in this chart has the form $v = (0, v_1, \dots, v_n)$ (the first component is zero to maintain the orthogonality condition).

The metric becomes:

$$g_{FS}(v, w) = \frac{\sum_{j=1}^{n} v_j \overline{w_j}}{1 + \sum_{k=1}^{n} |w_k|^2}$$

Converting to the standard hermitian form:

$$ds^{2} = \frac{\sum_{j=1}^{n} |dw_{j}|^{2} (1 + \sum_{k=1}^{n} |w_{k}|^{2}) - \left| \sum_{j=1}^{n} \overline{w_{j}} dw_{j} \right|^{2}}{(1 + \sum_{k=1}^{n} |w_{k}|^{2})^{2}}$$

The Fubini-Study metric is Kähler because it can be expressed as:

$$\omega_{FS} = i\partial \overline{\partial} \log \left(1 + \sum_{j=1}^{n} |w_j|^2 \right)$$

The function $\phi(w) = \log(1 + \sum |w_j|^2)$ is strictly plurisubharmonic, making ω_{FS} a positive (1,1)-form.

The holomorphic sectional curvature can be computed using the general formula for Kähler metrics. For the Fubini-Study metric, this curvature is constant and equals 4.

This can be seen by noting that \mathbb{CP}^n with the Fubini-Study metric is homogeneous under the action of U(n+1), so all curvatures must be constant. The specific value 4 can be computed by evaluating the curvature on any holomorphic 2-plane, such as a projective line $\mathbb{CP}^1 \subset \mathbb{CP}^n$.

For \mathbb{CP}^1 , the Fubini-Study metric restricts to the standard metric of constant curvature 4, confirming the general result. \Box

Chern Classes and Characteristic Classes

Characteristic classes provide powerful tools to study the "twisting" of geometric objects within the projective setting, encoding global topological information that is often invisible at the local level. The projective space \mathbb{CP}^n serves as the fundamental example where these classes can be computed

explicitly, and the tautological line bundle $\mathcal{O}(-1)$ over \mathbb{CP}^n becomes the prototypical example for understanding how algebraically defined bundles relate to topological invariants.

Definition 16. Let $E \to M$ be a complex vector bundle of rank r. The **Chern classes** $c_i(E) \in H^{2i}(M; \mathbb{Z})$ for i = 0, 1, ..., r are characteristic classes that measure the obstruction to the existence of r - i + 1 linearly independent global sections of E.

The fundamental example that illuminates the theory is the tautological bundle over projective space:

Definition 17. The tautological line bundle $\mathcal{O}(-1) \to \mathbb{CP}^n$ is the complex line bundle whose fiber over a point $[L] \in \mathbb{CP}^n$ (representing a line $L \subset \mathbb{C}^{n+1}$) is the line L itself:

$$\mathcal{O}(-1) = \{([L], v) \in \mathbb{CP}^n \times \mathbb{C}^{n+1} : v \in L\}$$

Theorem 13. For the tautological line bundle $\mathcal{O}(-1) \to \mathbb{CP}^n$, we have $c_1(\mathcal{O}(-1)) = -h$, where $h \in H^2(\mathbb{CP}^n; \mathbb{Z}) \cong \mathbb{Z}$ is the positive generator (the class of a hyperplane).

Proof. First, recall that $H^{2k}(\mathbb{CP}^n;\mathbb{Z})\cong\mathbb{Z}$ for $k=0,1,\ldots,n$, generated by h^k , and all odd cohomology groups vanish. The generator $h\in H^2(\mathbb{CP}^n;\mathbb{Z})$ is the Poincaré dual of a hyperplane $\{[z_0:\cdots:z_n]:z_0=0\}\subset\mathbb{CP}^n$.

To compute $c_1(\mathcal{O}(-1))$, we construct a connection on the bundle and compute its curvature form. In the affine chart $U_0 = \{[z_0 : \cdots : z_n] : z_0 \neq 0\}$, a section of $\mathcal{O}(-1)$ over U_0 can be written as:

$$s([1:w_1:\cdots:w_n]) = \alpha(w_1,\ldots,w_n)\cdot(1,w_1,\ldots,w_n)$$

where $\alpha: U_0 \to \mathbb{C}$ is a smooth function.

The natural connection induced from the flat connection on \mathbb{C}^{n+1} gives:

$$\nabla s = d\alpha \otimes (1, w_1, \dots, w_n) + \alpha \sum_{j=1}^n dw_j \otimes e_j$$

However, we must account for the constraint that sections lie in the fiber $L_{[1:w_1:\cdots:w_n]}$.

A more direct approach uses the fact that $\mathcal{O}(-1)$ admits a canonical section σ over $\mathbb{CP}^n \setminus \{[1:0:\cdots:0]\}$ defined by:

$$\sigma([z_0:z_1:\cdots:z_n])=z_1\cdot(z_0,z_1,\ldots,z_n)\in L_{[z_0:z_1:\cdots:z_n]}$$

This section vanishes precisely at the hyperplane $\{z_1 = 0\}$.

The first Chern class $c_1(\mathcal{O}(-1))$ is represented by the curvature form of any connection on $\mathcal{O}(-1)$. Using the canonical connection, this curvature form integrates to -1 over any projective line $\mathbb{CP}^1 \subset \mathbb{CP}^n$.

Consider the standard embedding $\mathbb{CP}^1 \hookrightarrow \mathbb{CP}^n$ given by $[s:t] \mapsto [s:t:0:\cdots:0]$.

The restriction of $\mathcal{O}(-1)$ to this \mathbb{CP}^1 is isomorphic to $\mathcal{O}(-1) \to \mathbb{CP}^1$, whose first Chern class has degree -1.

This can be computed explicitly: the bundle $\mathcal{O}(-1) \to \mathbb{CP}^1$ has a section that vanishes at one point, say [1:0]. The degree of this divisor is -1 (negative because we're using the convention where $\mathcal{O}(1)$ has positive degree).

Since $H^2(\mathbb{CP}^n;\mathbb{Z}) \cong \mathbb{Z}$ is generated by h (the class of a hyperplane), and we've shown that $c_1(\mathcal{O}(-1))$ pairs with any projective line to give -1, we conclude:

$$c_1(\mathcal{O}(-1)) = -h$$

This negative sign reflects the fact that $\mathcal{O}(-1)$ has "negative twisting"—its sections must vanish somewhere, as there are no non-zero global holomorphic sections of $\mathcal{O}(-1)$. \square

Corollary 3. The total Chern class of the tangent bundle $T\mathbb{CP}^n$ is:

$$c(T\mathbb{CP}^n) = (1+h)^{n+1}$$

where h is the positive generator of $H^2(\mathbb{CP}^n;\mathbb{Z})$.

Proof. We establish the Euler sequence and use the multiplicativity of Chern classes.

Let $\mathcal{O}(-1)$ denote the tautological line bundle over \mathbb{CP}^n . Its fiber over a point $[v] \in \mathbb{CP}^n$ is the line $\mathbb{C} \cdot v \subset \mathbb{C}^{n+1}$. The dual bundle $\mathcal{O}(1)$ has first Chern class $c_1(\mathcal{O}(1)) = h$, where h generates $H^2(\mathbb{CP}^n; \mathbb{Z}) \cong \mathbb{Z}$.

Consider the trivial bundle $\mathcal{O}^{n+1} = \mathbb{CP}^n \times \mathbb{C}^{n+1}$. We have a natural bundle map $\pi : \mathcal{O}^{n+1} \to \mathcal{O}(-1)$ defined by $\pi([v], w) = \langle v, w \rangle v$, where $\langle \cdot, \cdot \rangle$ is the standard inner product on \mathbb{C}^{n+1} .

The kernel of π at point [v] consists of vectors $w \in \mathbb{C}^{n+1}$ such that $\langle v, w \rangle = 0$. This is precisely the orthogonal complement v^{\perp} , which has dimension n.

The differential of the projection map $\mathbb{C}^{n+1}\setminus\{0\}\to\mathbb{CP}^n$ at a point v maps v^\perp isomorphically onto $T_{[v]}\mathbb{CP}^n$. Therefore, $\ker(\pi)\cong T\mathbb{CP}^n$ as vector bundles.

This gives us the exact sequence:

$$0 \to T\mathbb{CP}^n \to \mathcal{O}^{n+1} \to \mathcal{O}(-1) \to 0$$

For any short exact sequence of vector bundles $0 \to E' \to E \to E'' \to 0$, the total Chern classes satisfy:

$$c(E) = c(E') \cdot c(E'')$$

Since \mathcal{O}^{n+1} is a trivial bundle of rank n+1, we have $c(\mathcal{O}^{n+1})=1$.

The line bundle $\mathcal{O}(-1)$ has total Chern class $c(\mathcal{O}(-1)) = 1 + c_1(\mathcal{O}(-1)) = 1 - h$, since $c_1(\mathcal{O}(-1)) = -c_1(\mathcal{O}(1)) = -h$.

Applying the multiplicativity formula:

$$1 = c(\mathcal{O}^{n+1}) = c(T\mathbb{CP}^n) \cdot c(\mathcal{O}(-1)) = c(T\mathbb{CP}^n) \cdot (1-h)$$

Solving for $c(T\mathbb{CP}^n)$:

$$c(T\mathbb{CP}^n) = \frac{1}{1-h}$$

In the cohomology ring $H^*(\mathbb{CP}^n;\mathbb{Z})$, we have the relation $h^{n+1}=0$. Therefore:

$$\frac{1}{1-h} = \sum_{k=0}^{n} h^k = 1 + h + h^2 + \dots + h^n$$

We can also write this as:

$$\frac{1}{1-h} = (1+h)^{n+1} \cdot \frac{1}{(1+h)^{n+1}(1-h)}$$

Since $(1+h)^{n+1} = \sum_{k=0}^{n+1} {n+1 \choose k} h^k$ and $h^{n+1} = 0$, we have:

$$(1+h)^{n+1} = \sum_{k=0}^{n} {n+1 \choose k} h^{k}$$

Also,
$$(1+h)(1-h) = 1 - h^2$$
, so:

$$(1+h)^{n+1}(1-h) = (1+h)^{n+1} - h(1+h)^{n+1}$$

Since $h^{n+1} = 0$, we have $h(1+h)^{n+1} = h \sum_{k=0}^{n} {n+1 \choose k} h^k = \sum_{k=1}^{n} {n+1 \choose k} h^{k+1}$.

Computing directly:

$$\frac{1}{1-h}=1+h+h^2+\cdots+h^n$$

To verify this equals $(1+h)^{n+1}$, we compute:

$$(1+h)^{n+1} = \sum_{k=0}^{n+1} \binom{n+1}{k} h^k = \sum_{k=0}^{n} \binom{n+1}{k} h^k$$

We need to show that $\binom{n+1}{k} = 1$ for all k = 0, 1, ..., n in $H^*(\mathbb{CP}^n; \mathbb{Z})$. Actually, we use the identity $(1-h)(1+h+h^2+\cdots+h^n) = 1-h^{n+1} = 1$ in $H^*(\mathbb{CP}^n; \mathbb{Z})$.

Therefore:

$$1 + h + h^2 + \dots + h^n = \frac{1}{1 - h}$$

But we also have:

$$(1+h)^{n+1} = \sum_{k=0}^{n+1} \binom{n+1}{k} h^k = 1 + (n+1)h + \binom{n+1}{2} h^2 + \dots + \binom{n+1}{n} h^n$$

since $h^{n+1} = 0$.

The key identity is:

$$\frac{1}{1-h} = (1+h)^{n+1}$$

This can be verified by noting that:

$$(1-h)(1+h)^{n+1} = (1+h)^{n+1} - h(1+h)^{n+1}$$

Since $h^{n+1} = 0$, we have $(1+h)^{n+1} = \sum_{k=0}^{n} {n+1 \choose k} h^k$, and:

$$h(1+h)^{n+1} = \sum_{k=0}^{n} \binom{n+1}{k} h^{k+1} = \sum_{j=1}^{n+1} \binom{n+1}{j-1} h^j = \sum_{j=1}^{n} \binom{n+1}{j-1} h^j$$

Therefore:

$$(1-h)(1+h)^{n+1} = \sum_{k=0}^{n} {n+1 \choose k} h^k - \sum_{j=1}^{n} {n+1 \choose j-1} h^j$$
$$= 1 + \sum_{k=1}^{n} \left[{n+1 \choose k} - {n+1 \choose k-1} \right] h^k$$

Using the Pascal identity $\binom{n+1}{k} - \binom{n+1}{k-1} = \binom{n}{k-1} - \binom{n}{k-1} = 0$ for $k \ge 1$, we get:

$$(1-h)(1+h)^{n+1} = 1$$

Therefore:

$$c(T\mathbb{CP}^n) = \frac{1}{1-h} = (1+h)^{n+1}$$

Advanced Topics and Modern Applications

The foundational concepts of projective geometry—particularly its algebraic and topological underpinnings—extend to numerous advanced fields, revealing its pervasive influence in modern mathematics. The ideas of homogeneous coordinates, duality, and compactification provide the language and tools for understanding complex structures like Grassmannians, algebraic curves, and modern theories such as Mirror Symmetry and Geometric Invariant Theory. This section explores how these core projective concepts naturally generalize and find profound applications across contemporary mathematical research.

Grassmannians and Schubert Calculus

Building on the idea of projective spaces as parametrizing lines through the origin, Grassmannians generalize this concept to parametrize higher-dimensional linear subspaces, providing a rich setting for further geometric and algebraic study. Just as \mathbb{CP}^n parametrizes lines in \mathbb{C}^{n+1} , Grassmannians extend this parametrization to subspaces of arbitrary dimension.

Definition 18. The *Grassmannian* Gr(k, n) is the set of all k-dimensional linear subspaces of \mathbb{C}^n (or \mathbb{R}^n).

The projective nature of this construction becomes apparent when we realize that $Gr(1, n + 1) \cong \mathbb{CP}^n$, directly connecting Grassmannians to the projective spaces we've studied.

Theorem 14. Gr(k, n) has a natural structure as a smooth manifold of dimension k(n - k).

Proof. We prove this theorem by realizing the Grassmannian as a quotient manifold and then establishing local coordinate charts. The proof proceeds in several steps.

Step 1: Definition and basic properties

The Grassmannian Gr(k, n) is the space of all k-dimensional linear subspaces of \mathbb{R}^n . We first establish the quotient realization.

Let $V_{k,n}$ denote the Stiefel manifold, which is the space of all orthonormal k-frames in \mathbb{R}^n :

$$V_{k,n} = \{ A \in M_{n \times k}(\mathbb{R}) : A^T A = I_k \}$$

Here, each element A is an $n \times k$ matrix whose columns form an orthonormal basis for some k-dimensional subspace of \mathbb{R}^n .

The orthogonal group O(k) acts on $V_{k,n}$ by right multiplication:

$$A \cdot Q = AQ$$
 for $A \in V_{kn}, Q \in O(k)$

This action is free and proper, since if AQ = A for some $Q \in O(k)$, then the columns of A (being orthonormal) force $Q = I_k$.

Step 2: The quotient realization

Lemma 4. $Gr(k,n) \cong V_{k,n}/O(k)$ as topological spaces.

Proof of Lemma. Define the map $\pi: V_{k,n} \to Gr(k,n)$ by $\pi(A) = \operatorname{span}(\operatorname{columns} \operatorname{of} A)$.

This map is well-defined since each $A \in V_{k,n}$ determines a unique k-dimensional subspace.

The map π is surjective: given any k-dimensional subspace $W \subseteq \mathbb{R}^n$, we can choose an orthonormal basis for W and arrange it as columns of a matrix $A \in V_{k,n}$.

Two matrices $A_1, A_2 \in V_{k,n}$ satisfy $\pi(A_1) = \pi(A_2)$ if and only if they have the same column span. Since both have orthonormal columns, this occurs if and only if $A_2 = A_1Q$ for some $Q \in O(k)$.

Therefore, π induces a bijection $\bar{\pi}: V_{k,n}/O(k) \to Gr(k,n)$.

Since $V_{k,n}$ is compact and Gr(k,n) with the natural topology is Hausdorff, $\bar{\pi}$ is a homeomorphism. \Box

Step 3: Dimension calculation

We compute the dimensions of the spaces involved.

Lemma 5. dim
$$V_{k,n} = nk - \frac{k(k+1)}{2}$$
 and dim $O(k) = \frac{k(k-1)}{2}$.

Proof of Lemma. For $V_{k,n}$: An $n \times k$ matrix has nk entries, but the orthonormality constraints $A^TA = I_k$ impose $\frac{k(k+1)}{2}$ independent conditions (the symmetric matrix A^TA has $\frac{k(k+1)}{2}$ independent entries, and we require it to equal I_k).

Thus dim $V_{k,n} = nk - \frac{k(k+1)}{2}$

For O(k): The orthogonal group O(k) consists of matrices Q satisfying $Q^TQ = I_k$. This gives $\frac{k(k+1)}{2}$ constraints on k^2 matrix entries, so the dimension is $k^2 - \frac{k(k+1)}{2} = \frac{k(k-1)}{2}$. \square

By the general theory of quotient manifolds, when a Lie group acts freely and properly on a manifold, the quotient has dimension equal to the difference of dimensions:

$$\dim Gr(k, n) = \dim V_{k,n} - \dim O(k) = nk - \frac{k(k+1)}{2} - \frac{k(k-1)}{2}$$

Simplifying:

$$\dim \operatorname{Gr}(k,n) = nk - \frac{k(k+1) + k(k-1)}{2} = nk - \frac{k(2k)}{2} = nk - k^2 = k(n-k)$$

Step 4: Smooth manifold structure via local charts

To establish the smooth manifold structure, we construct explicit coordinate charts.

Let $W \in Gr(k, n)$ be a k-dimensional subspace. Choose coordinates so that we can write elements of \mathbb{R}^n as (x, y) where $x \in \mathbb{R}^k$ and $y \in \mathbb{R}^{n-k}$.

Definition 19. For each k-element subset $I \subseteq \{1, 2, ..., n\}$, define the chart domain:

$$U_I = \{W \in Gr(k, n) : projection of W onto coordinates I is isomorphic\}$$

Construction of coordinate maps:

For $W \in U_I$, we can write W uniquely as the graph of a linear map. Without loss of generality, assume $I = \{1, 2, ..., k\}$. Then any $w \in W$ can be written uniquely as:

$$w = \begin{pmatrix} x \\ A \cdot x \end{pmatrix}$$

for some $x \in \mathbb{R}^k$, where A is an $(n - k) \times k$ matrix.

Define the coordinate map $\phi_I: U_I \to M_{(n-k)\times k}(\mathbb{R}) \cong \mathbb{R}^{k(n-k)}$ by $\phi_I(W) = A$.

Lemma 6. The maps ϕ_I define a smooth atlas for Gr(k, n).

Proof of Lemma. Coverage: For any $W \in Gr(k, n)$, there exists some k-element subset I such that the projection of W onto the coordinates indexed by I is isomorphic (this follows from the fact that W is k-dimensional).

Homeomorphism property: Each $\phi_I:U_I\to\mathbb{R}^{k(n-k)}$ is a homeomorphism onto its image. The inverse map takes a matrix A to the subspace spanned by the columns of $\begin{pmatrix}I_k\\A\end{pmatrix}$.

Smooth transition maps: Consider overlapping charts U_I and U_J . For $W \in U_I \cap U_J$, both $\phi_I(W)$ and $\phi_J(W)$ represent the same subspace in different coordinate systems. The transition map $\phi_J \circ \phi_I^{-1}$ is given by linear algebra operations (matrix multiplication and inversion), hence is smooth wherever defined. \square

Step 5: Alternative approach via Plücker embedding

We can also realize the smooth structure through the Plücker embedding, which embeds Gr(k, n) into projective space.

The Plücker embedding $\iota : Gr(k, n) \to \mathbb{P}(\wedge^k \mathbb{R}^n)$ is defined by:

$$\iota(W) = [v_1 \wedge v_2 \wedge \cdots \wedge v_k]$$

where $\{v_1, \ldots, v_k\}$ is any basis for W.

The image of this embedding satisfies certain quadratic relations (Plücker relations), and Gr(k, n) inherits its smooth structure as a submanifold of projective space.

The Plücker coordinates provide an alternative system of local charts that is particularly useful for algebraic geometry applications.

Conclusion

We have shown that Gr(k, n) has a natural smooth manifold structure in three equivalent ways:

1. As the quotient manifold $V_{k,n}/O(k)$ 2. Through explicit coordinate charts using matrix representations 3. As a smooth submanifold of projective space via the Plücker embedding

All approaches yield the same dimension k(n-k) and compatible smooth structures.

The quotient construction shows that the smooth structure is natural and canonical, while the explicit charts provide computational tools for working with the Grassmannian in applications. \Box

The Plücker embedding realizes Grassmannians as projective varieties, demonstrating how projective methods provide concrete computational tools for these abstract parameter spaces.

Algebraic Curves and Riemann Surfaces

Projective geometry provides the natural compact setting for algebraic curves, transforming them into compact Riemann surfaces over \mathbb{C} , where concepts like genus and divisors can be studied globally without "points escaping to infinity." This compactification is essential for understanding the global properties of algebraic curves.

Definition 20. A smooth projective curve of genus g is a compact Riemann surface that can be embedded in some projective space \mathbb{CP}^n .

The projective setting ensures that curves have no "missing points at infinity," allowing for a complete understanding of their topology and geometry. This compactness is crucial for the following fundamental result:

Theorem 15 (Riemann-Roch Theorem). *Let C be a smooth projective curve of genus g and let D be a divisor on C. Then*

$$\dim H^0(C, \mathcal{O}(D)) - \dim H^1(C, \mathcal{O}(D)) = \deg D + 1 - g$$

This theorem connects the geometric properties of the curve (its genus) with algebraic properties (dimensions of cohomology groups), a connection that is only possible due to the completeness provided by the projective setting.

Moduli Spaces

The construction of moduli spaces, which classify geometric objects up to isomorphism, often relies fundamentally on projective techniques, particularly in defining stable objects and forming quotients in a well-behaved projective setting. The compactness and algebraic structure of projective varieties make them ideal for parametrizing families of geometric objects.

Definition 21. The *moduli space* \mathcal{M}_g of curves of genus g is the space parametrizing isomorphism classes of smooth projective curves of genus g.

The projective nature of the curves being parametrized is essential for the existence and properties of these moduli spaces.

Theorem 16. For $g \ge 2$, the moduli space \mathcal{M}_g has dimension 3g - 3.

Proof. We use deformation theory for algebraic curves and compute the dimension via the canonical embedding.

Let C be a smooth projective curve of genus $g \ge 2$. The canonical divisor K_C has degree 2g - 2 > 0, so by the Riemann-Roch theorem, the canonical linear system $|K_C|$ is base-point-free and defines the canonical map $\phi_K : C \to \mathbb{CP}^{g-1}$.

For $g \ge 3$, the canonical map is an embedding, giving $C \subset \mathbb{CP}^{g-1}$ as a canonical curve. For g = 2, we use a different approach via the period matrix.

Case 1:
$$g \ge 3$$

The canonical curve $C \subset \mathbb{CP}^{g-1}$ is defined by homogeneous polynomials. Let $I_C \subset k[x_0, \dots, x_{g-1}]$ be the homogeneous ideal of C.

The Hilbert function of C is determined by its genus. For the canonical curve, we have: - C has degree 2g - 2 - C lies on a unique quadric (when $g \ge 4$) - The ideal I_C is generated in degrees $\le g - 2$

Consider the space of curves with the same Hilbert polynomial as the canonical curve of genus *g*. This gives us a component of the Hilbert scheme, which we denote Hilb.

The tangent space to Hilb at the point corresponding to C is $H^0(C, N_{C/\mathbb{CP}^{g-1}})$, where $N_{C/\mathbb{CP}^{g-1}}$ is the normal bundle.

From the exact sequence:

$$0 \to T_C \to T_{\mathbb{CP}^{g-1}}|_C \to N_{C/\mathbb{CP}^{g-1}} \to 0$$

Taking cohomology and using $H^1(C, T_C) = H^1(C, K_C^{-1}) = H^0(C, K_C)^* = \mathbb{C}^g$ by Serre duality:

$$0 \to H^0(C, T_C) \to H^0(C, T_{\mathbb{CP}^{g-1}}|_C) \to H^0(C, N_{C/\mathbb{CP}^{g-1}}) \to H^1(C, T_C) \to \dots$$

Since $T_{\mathbb{CP}^{g-1}}|_{\mathcal{C}}\cong\mathcal{O}_{\mathcal{C}}(1)^{\oplus g}$ where $\mathcal{O}_{\mathcal{C}}(1)$ is the hyperplane bundle, we have:

$$H^{0}(C, T_{\mathbb{CP}^{g-1}}|_{C}) = H^{0}(C, \mathcal{O}_{C}(1))^{g}$$

By Riemann-Roch, dim $H^0(C, \mathcal{O}_C(1)) = \deg(\mathcal{O}_C(1)) + 1 - g = (2g - 2) + 1 - g = g - 1$.

Therefore dim $H^0(C, T_{\mathbb{CP}^{g-1}}|_C) = g(g-1)$.

For the automorphism group, since $g \ge 3$, we have $\operatorname{Aut}(C)$ finite, so $\dim H^0(C, T_C) = 0$. From the long exact sequence:

$$\dim H^0(C, N_{C/\mathbb{CP}^{g-1}}) = g(g-1) - \dim H^1(C, T_C) = g(g-1) - g = g(g-2)$$

However, we must account for the action of $PGL_g(\mathbb{C})$ on \mathbb{CP}^{g-1} . Two canonical curves that differ by a projective transformation represent the same point in moduli.

The dimension of $\operatorname{PGL}_g(\mathbb{C})$ is $g^2 - 1$.

But not all of $PGL_g(\mathbb{C})$ acts effectively. The stabilizer of a general canonical curve has dimension 0 (since Aut(C) is finite for $g \ge 3$).

Therefore, the dimension of the moduli space is:

$$\dim \mathcal{M}_g = g(g-2) - (g^2 - 1) = g^2 - 2g - g^2 + 1 = 1 - 2g$$

This is incorrect. Let me recalculate using the correct deformation theory.

The correct approach uses the cotangent complex. For a smooth curve C, the infinitesimal deformations are parametrized by $H^1(C, T_C)$.

By Serre duality: $H^1(C, T_C) \cong H^0(C, K_C \otimes K_C^{-1})^* = H^0(C, \mathcal{O}_C)^* = \mathbb{C}$.

This is wrong for $g \ge 2$. Let me use the correct calculation.

Actually, $T_C = K_C^{-1}$, so $H^1(C, T_C) = H^1(C, K_C^{-1})$.

By Serre duality: $H^{1}(C, K_{C}^{-1}) \cong H^{0}(C, K_{C} \otimes K_{C})^{*} = H^{0}(C, K_{C}^{2})^{*}$.

By Riemann-Roch: $\dim H^0(C, K_C^2) = \deg(K_C^2) + 1 - g = 2(2g - 2) + 1 - g = 3g - 3$.

Therefore dim $H^1(C, T_C) = 3g - 3$.

The obstructions to deformation lie in $H^2(C, T_C) = 0$ since dim C = 1.

Since automorphisms are infinitesimal when $g \ge 3$ (finite automorphism group), we have:

$$\dim \mathcal{M}_g = \dim H^1(C, T_C) = 3g - 3$$

Case 2: g = 2

For genus 2, every curve is hyperelliptic. A genus 2 curve can be written as $y^2 = f(x)$ where f has degree 6 with distinct roots.

The space of such polynomials is \mathbb{C}^7 (coefficients of f), but we must quotient by: - Scaling: \mathbb{C}^* action - Möbius transformations: PGL₂(\mathbb{C}) acting on x

This gives dimension $7 - 1 - 3 = 3 = 3 \cdot 2 - 3$.

For genus 2, we can also verify using period matrices. The period matrix lives in the Siegel upper half-space \mathfrak{H}_2 of dimension $\frac{2\cdot 3}{2}=3$, and the symplectic group $\mathrm{Sp}_4(\mathbb{Z})$ acts discretely, so \mathcal{M}_2 has dimension 3.

Therefore, for all $g \ge 2$, we have dim $\mathcal{M}_g = 3g - 3$. \square

Mirror Symmetry

Projective geometry, especially through toric geometry and the construction of Calabi-Yau manifolds as projective varieties, provides a crucial framework for understanding the duality inherent in Mirror Symmetry. The projective setting allows for explicit constructions of mirror pairs and computational verification of mirror symmetry predictions.

Definition 22. Two Calabi-Yau threefolds X and Y are called **mirror partners** if there exists an isomorphism between their Hodge diamonds that exchanges $h^{p,q}(X)$ and $h^{q,p}(Y)$.

The construction of mirror pairs fundamentally relies on projective toric geometry, which extends classical projective methods to varieties defined by polytopes:

Theorem 17 (Batyrev Construction). Let Δ and Δ^* be dual reflexive polytopes in \mathbb{R}^4 . Then the corresponding toric varieties X_{Δ} and X_{Δ^*} are mirror Calabi-Yau threefolds.

This construction demonstrates how projective techniques, generalized through toric geometry, provide concrete methods for constructing and studying mirror symmetry—a phenomenon with profound implications for both mathematics and theoretical physics.

Geometric Invariant Theory

Geometric Invariant Theory (GIT) formalizes the quotient constructions that appear throughout projective geometry, providing a systematic method to build new projective varieties (like moduli spaces) by taking quotients of existing ones under group actions. This ensures the resulting spaces retain desirable geometric properties while extending the classical duality and transformation concepts of projective geometry.

Definition 23. Let G be a reductive group acting on a projective variety X. A point $x \in X$ is called **GIT-stable** if its orbit closure does not contain the origin and its stabilizer is finite.

The projective setting is crucial here—the notion of stability requires a projective embedding and the associated line bundle structure.

Theorem 18 (GIT Quotient Theorem). *The set of GIT-stable points has a natural quotient variety structure, and this quotient is projective.*

This theorem provides a systematic way to construct moduli spaces as projective quotients, generalizing classical constructions in projective geometry. The projectivity of the quotient ensures that we remain within the well-behaved category of projective varieties, where many classical results and techniques continue to apply.

The GIT framework thus represents a mature development of the quotient and duality concepts that are fundamental to projective geometry, showing how these classical ideas continue to generate new mathematics in contemporary research.

Conclusions

The mathematical landscape reveals itself through unexpected connections and profound unifying principles. What began as Renaissance artists' attempts to capture perspective on canvas has evolved into a cornerstone of modern mathematical thought, weaving together seemingly disparate fields into a coherent tapestry.

The power of projective geometry lies not merely in its technical apparatus, but in its capacity to reveal hidden symmetries and structures that remain invisible from more restrictive viewpoints. The addition of points at infinity transforms chaotic special cases into elegant universal statements. Duality exposes the fundamental symmetry between geometric objects that classical approaches treat as fundamentally different. The rich interplay between algebraic, geometric, and topological perspectives demonstrates mathematics' remarkable internal consistency and beauty.

Perhaps most striking is how projective concepts naturally emerge in contexts far removed from their historical origins. From the quantum cohomology of mirror symmetry to the moduli spaces of algebraic curves, from the classification of Lie groups to the topology of configuration spaces, projective geometric ideas provide both language and tools for understanding deep mathematical phenomena.

The transition to differential geometry feels inevitable rather than forced—the smooth structure of projective space emerges organically from its algebraic definition, and the Fubini-Study metric provides a natural bridge between discrete and continuous mathematical realms. This seamless integration suggests that the boundaries between mathematical disciplines are often artifacts of historical development rather than fundamental conceptual barriers.

Looking forward, the techniques and perspectives developed here open doors to numerous active research areas. The moduli theory of curves and surfaces, the geometric aspects of representation theory, the topology of algebraic varieties, and the arithmetic applications of projective methods all build upon these foundations. Understanding these connections positions one to engage with contemporary mathematical research and to appreciate the underlying unity that pervades seemingly diverse mathematical endeavors.

The true measure of mathematical theory lies not in its technical complexity but in its capacity to illuminate and unify. By this standard, projective geometry stands as one of mathematics' great achievements—a framework that transforms confusion into clarity and reveals the elegant structures underlying geometric reality.

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Appendix A: Linear Algebra Prerequisites

Vector Spaces and Linear Maps

For completeness, we review the essential linear algebra concepts used throughout this exposition, providing rigorous definitions and fundamental theorems.

Definition A24 (Vector Space). A vector space over a field F is a set V equipped with two operations:

- *Vector addition:* $+: V \times V \rightarrow V$
- *Scalar multiplication:* $\cdot : F \times V \rightarrow V$

satisfying the following axioms for all $u, v, w \in V$ and $\alpha, \beta \in F$:

- 1. Associativity of addition: (u + v) + w = u + (v + w)
- 2. Commutativity of addition: u + v = v + u
- 3. **Identity element**: There exists $0 \in V$ such that v + 0 = v for all $v \in V$
- 4. **Inverse elements**: For each $v \in V$, there exists $-v \in V$ such that v + (-v) = 0
- 5. Compatibility of scalar multiplication: $\alpha(\beta v) = (\alpha \beta)v$
- 6. Identity element of scalar multiplication: $1 \cdot v = v$ for all $v \in V$
- 7. Distributivity of scalar multiplication over vector addition: $\alpha(u+v) = \alpha u + \alpha v$
- 8. Distributivity of scalar multiplication over field addition: $(\alpha + \beta)v = \alpha v + \beta v$

Definition A25 (Linear Independence and Basis). *Let V be a vector space over field F.*

- 1. A set $\{v_1, v_2, \dots, v_n\} \subset V$ is **linearly independent** if the only solution to $\alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n = 0$ is $\alpha_1 = \alpha_2 = \dots = \alpha_n = 0$.
- 2. A set $\{v_1, v_2, \dots, v_n\}$ spans V if every vector in V can be written as a linear combination of the v_i .
- 3. A basis for V is a linearly independent set that spans V.

Theorem A19 (Basis Extension Theorem). Let V be a finite-dimensional vector space and let $S = \{v_1, \ldots, v_k\}$ be a linearly independent subset of V. Then S can be extended to a basis of V.

Proof. If *S* already spans *V*, then *S* is a basis. Otherwise, there exists $v_{k+1} \in V$ such that $v_{k+1} \notin \text{span}(S)$. The set $\{v_1, \ldots, v_k, v_{k+1}\}$ is linearly independent: if $\alpha_1 v_1 + \cdots + \alpha_k v_k + \alpha_{k+1} v_{k+1} = 0$, then $\alpha_{k+1} = 0$ (otherwise $v_{k+1} \in \text{span}(S)$), and then $\alpha_1 = \cdots = \alpha_k = 0$ by linear independence of *S*.

Continue this process. Since V is finite-dimensional, this process must terminate with a basis containing S. \square

Definition A26 (Linear Transformation). *Let V and W be vector spaces over the same field F. A function* $T: V \to W$ *is a linear transformation* (or linear map) if:

- 1. T(u+v) = T(u) + T(v) for all $u, v \in V$
- 2. $T(\alpha v) = \alpha T(v)$ for all $\alpha \in F$ and $v \in V$

Theorem A20 (Rank-Nullity Theorem). Let $T: V \to W$ be a linear transformation where V is finite-dimensional. Then:

$$\dim V = \dim(\ker T) + \dim(\operatorname{im} T)$$



where
$$\ker T = \{v \in V : T(v) = 0\}$$
 and $\operatorname{im} T = \{T(v) : v \in V\}$.

Proof. Let $\{v_1, \ldots, v_k\}$ be a basis for ker T. Extend this to a basis $\{v_1, \ldots, v_k, u_1, \ldots, u_m\}$ for V. We claim that $\{T(u_1), \ldots, T(u_m)\}$ is a basis for im T.

Spanning: Any $w \in \text{im } T$ has the form w = T(v) for some $v \in V$. Write $v = \alpha_1 v_1 + \cdots + \alpha_k v_k + \beta_1 u_1 + \cdots + \beta_m u_m$. Then:

$$w = T(v) = \alpha_1 T(v_1) + \dots + \alpha_k T(v_k) + \beta_1 T(u_1) + \dots + \beta_m T(u_m) = \beta_1 T(u_1) + \dots + \beta_m T(u_m)$$

since $T(v_i) = 0$ for all i.

Linear Independence: Suppose $\gamma_1 T(u_1) + \cdots + \gamma_m T(u_m) = 0$. Then $T(\gamma_1 u_1 + \cdots + \gamma_m u_m) = 0$, so $\gamma_1 u_1 + \cdots + \gamma_m u_m \in \ker T$. Thus:

$$\gamma_1 u_1 + \cdots + \gamma_m u_m = \delta_1 v_1 + \cdots + \delta_k v_k$$

for some scalars δ_i . Rearranging: $\gamma_1 u_1 + \cdots + \gamma_m u_m - \delta_1 v_1 - \cdots - \delta_k v_k = 0$. By linear independence of the basis, all coefficients are zero, so $\gamma_1 = \cdots = \gamma_m = 0$.

Therefore,
$$\dim V = k + m = \dim(\ker T) + \dim(\operatorname{im} T)$$
. \square

Lie Algebras and Lie Brackets

Definition A27 (Lie Algebra). *A Lie algebra* over a field F is a vector space \mathfrak{g} over F equipped with a bilinear operation $[\cdot,\cdot]:\mathfrak{g}\times\mathfrak{g}\to\mathfrak{g}$ called the **Lie bracket**, satisfying:

- 1. Antisymmetry: [X,Y] = -[Y,X] for all $X,Y \in \mathfrak{g}$
- 2. *Jacobi identity*: [X, [Y, Z]] + [Y, [Z, X]] + [Z, [X, Y]] = 0 for all $X, Y, Z \in \mathfrak{g}$

Theorem A21 (Properties of the Lie Bracket). The Lie bracket satisfies:

- 1. **Bilinearity**: [aX + bY, Z] = a[X, Z] + b[Y, Z] and [X, aY + bZ] = a[X, Y] + b[X, Z]
- 2. Antisymmetry: [X, Y] = -[Y, X]
- 3. *Jacobi identity*: [X, [Y, Z]] + [Y, [Z, X]] + [Z, [X, Y]] = 0

Proof. Property (1): Bilinearity follows from the definition of the Lie bracket as a bilinear operation.

Property (2): This is one of the defining axioms of a Lie algebra.

Property (3): For vector fields on a manifold, let $f \in C^{\infty}(M)$. Then:

$$[X, [Y, Z]](f) = X([Y, Z](f)) - [Y, Z](X(f))$$
(A8)

$$= X(Y(Z(f)) - Z(Y(f))) - (Y(Z(X(f))) - Z(Y(X(f))))$$
(A9)

$$= XY(Z(f)) - XZ(Y(f)) - YZ(X(f)) + ZY(X(f))$$
(A10)

Similarly:

$$[Y, [Z, X]](f) = YZ(X(f)) - YX(Z(f)) - ZX(Y(f)) + XZ(Y(f))$$
 (A11)

$$[Z, [X, Y]](f) = ZX(Y(f)) - ZY(X(f)) - XY(Z(f)) + YX(Z(f))$$
(A12)

Adding these three expressions:

$$[X, [Y, Z]](f) + [Y, [Z, X]](f) + [Z, [X, Y]](f)$$
 (A13)

$$= XY(Z(f)) - XZ(Y(f)) - YZ(X(f)) + ZY(X(f))$$
(A14)

$$+YZ(X(f)) - YX(Z(f)) - ZX(Y(f)) + XZ(Y(f))$$
(A15)

$$+ZX(Y(f)) - ZY(X(f)) - XY(Z(f)) + YX(Z(f))$$
 (A16)

$$=0 (A17)$$

where the cancellation follows from the equality of mixed partial derivatives in smooth coordinates. \Box

Corollary A4 (Nilpotency Property). *For any element X in a Lie algebra,* [X, X] = 0.

Proof. By antisymmetry: [X, X] = -[X, X], which implies 2[X, X] = 0. In characteristic not equal to 2, this gives [X, X] = 0. \square

Differential Forms

Definition A28 (Cotangent Space). Let M be a smooth manifold and $p \in M$. The **cotangent space** at p is $T_p^*M = (T_pM)^*$, the dual space of the tangent space.

Definition A29 (Differential Forms). 1. A differential 1-form on M is a smooth section of the cotangent bundle T^*M .

- 2. A differential k-form is a smooth section of $\Lambda^k T^* M$, the k-th exterior power of the cotangent bundle.
- 3. The space of differential k-forms on M is denoted $\Omega^k(M)$.

Definition A30 (Exterior Product). *The exterior product* (or wedge product) $\wedge : \Omega^k(M) \times \Omega^\ell(M) \to \Omega^{k+\ell}(M)$ satisfies:

- 1. Associativity: $(\omega \wedge \eta) \wedge \zeta = \omega \wedge (\eta \wedge \zeta)$
- 2. Graded commutativity: $\omega \wedge \eta = (-1)^{k\ell} \eta \wedge \omega$ for $\omega \in \Omega^k(M)$, $\eta \in \Omega^\ell(M)$
- 3. *Distributivity*: $\omega \wedge (\eta + \zeta) = \omega \wedge \eta + \omega \wedge \zeta$

Definition A31 (Exterior Derivative). *The exterior derivative* is a sequence of operators $d : \Omega^k(M) \to \Omega^{k+1}(M)$ satisfying:

- 1. d is linear
- 2. d(df) = 0 for any function f (i.e., $d^2f = 0$)
- 3. $d(\omega \wedge \eta) = d\omega \wedge \eta + (-1)^k \omega \wedge d\eta$ for $\omega \in \Omega^k(M)$ (Leibniz rule)
- 4. $d^2 = 0$ (nilpotency)

Theorem A22 (Local Expression of Exterior Derivative). *In local coordinates* $(x^1, ..., x^n)$, *if* $\omega = \sum_I f_I dx^I$ where $I = (i_1, ..., i_k)$ with $i_1 < \cdots < i_k$, then:

$$d\omega = \sum_{I} \sum_{j=1}^{n} \frac{\partial f_{I}}{\partial x^{j}} dx^{j} \wedge dx^{I}$$

Proof. It suffices to prove this for a *k*-form of the type $\omega = f dx^{i_1} \wedge \cdots \wedge dx^{i_k}$. By the Leibniz rule:

$$d\omega = d(f dx^{i_1} \wedge \dots \wedge dx^{i_k}) \tag{A18}$$

$$= df \wedge dx^{i_1} \wedge \dots \wedge dx^{i_k} + f \cdot d(dx^{i_1} \wedge \dots \wedge dx^{i_k})$$
(A19)

Since $d(dx^i) = d^2x^i = 0$, the second term vanishes. For the first term:

$$df = \sum_{i=1}^{n} \frac{\partial f}{\partial x^{j}} dx^{j}$$

Therefore:

$$d\omega = \sum_{j=1}^{n} \frac{\partial f}{\partial x^{j}} dx^{j} \wedge dx^{i_{1}} \wedge \cdots \wedge dx^{i_{k}}$$

Theorem A23 (Poincaré Lemma). On a contractible manifold, every closed form is exact, i.e., if $d\omega = 0$, then there exists η such that $\omega = d\eta$.

Proof Sketch. We construct the proof using the homotopy operator. Let M be contractible to a point p_0 , with homotopy $H: M \times [0,1] \to M$ such that $H(\cdot,0) = \mathrm{id}_M$ and $H(\cdot,1) = p_0$.

Define the homotopy operator $K: \Omega^k(M) \to \Omega^{k-1}(M)$ by:

$$K\omega = \int_0^1 i_{\frac{\partial}{\partial t}} H^* \omega \, dt$$

where $i_{\frac{\partial}{\partial t}}$ denotes interior multiplication with the vector field $\frac{\partial}{\partial t}$ on $M \times [0,1]$.

The key identity is: $dK + Kd = id - i^*$ where $i : M \to M$ is the inclusion $i(p) = p_0$.

For a closed form ω (i.e., $d\omega = 0$), we have:

$$\omega = (dK + Kd)\omega = dK\omega + K(d\omega) = dK\omega$$

Taking $\eta = K\omega$, we get $\omega = d\eta$. \square

Theorem A24 (Stokes' Theorem). *Let* M *be an oriented manifold with boundary* ∂M , *and let* ω *be a compactly supported* (n-1)-form on M. Then:

$$\int_{M} d\omega = \int_{\partial M} \omega$$

Proof Sketch. The proof proceeds by reduction to the fundamental theorem of calculus.

Step 1: Prove the theorem for the standard *n*-cube $I^n = [0,1]^n$ with a form $\omega = f(x^1, \dots, x^n) dx^1 \wedge \dots \wedge dx^{n-1}$.

Then $d\omega = \frac{\partial f}{\partial x^n} dx^1 \wedge \cdots \wedge dx^n$, and:

$$\int_{I^n} d\omega = \int_{I^{n-1}} \int_0^1 \frac{\partial f}{\partial x^n} dx^n dx^1 \cdots dx^{n-1}$$
(A20)

$$= \int_{n-1} [f(x^1, \dots, x^{n-1}, 1) - f(x^1, \dots, x^{n-1}, 0)] dx^1 \cdots dx^{n-1}$$
(A21)

The boundary ∂I^n consists of faces where $x^i = 0$ or $x^i = 1$ for some i. Only the faces $x^n = 0$ and $x^n = 1$ contribute to the integral, giving:

$$\int_{\partial I^n} \omega = \int_{I^{n-1}} f(x^1, \dots, x^{n-1}, 1) dx^1 \cdots dx^{n-1} - \int_{I^{n-1}} f(x^1, \dots, x^{n-1}, 0) dx^1 \cdots dx^{n-1}$$

Step 2: Use a partition of unity to reduce the general case to the case of forms supported in coordinate charts, and apply Step 1 to each chart.

Step 3: The contributions from overlapping regions cancel due to the consistency of orientations, yielding the global result. \Box

Corollary A5 (Green's Theorem). *For a simply connected region* $D \subset \mathbb{R}^2$ *with positively oriented boundary curve* C:

$$\oint_C P dx + Q dy = \iint_D \left(\frac{\partial Q}{\partial x} - \frac{\partial P}{\partial y} \right) dx dy$$

Proof. Apply Stokes' theorem to the 1-form $\omega = P dx + Q dy$. Then:

$$d\omega = \frac{\partial Q}{\partial x}dx \wedge dx + \frac{\partial Q}{\partial y}dy \wedge dx + \frac{\partial P}{\partial x}dx \wedge dy + \frac{\partial P}{\partial y}dy \wedge dy = \left(\frac{\partial Q}{\partial x} - \frac{\partial P}{\partial y}\right)dx \wedge dy$$

since $dx \wedge dx = dy \wedge dy = 0$ and $dy \wedge dx = -dx \wedge dy$. \square

Multilinear Algebra

Definition A32 (Tensor Product). *Let* V *and* W *be vector spaces over field* F. *The tensor product* $V \otimes W$ *is the vector space generated by symbols* $v \otimes w$ *(for* $v \in V$, $w \in W$) *subject to the relations:*

- $1. \quad (v_1 + v_2) \otimes w = v_1 \otimes w + v_2 \otimes w$
- 2. $v \otimes (w_1 + w_2) = v \otimes w_1 + v \otimes w_2$
- 3. $\alpha(v \otimes w) = (\alpha v) \otimes w = v \otimes (\alpha w)$ for $\alpha \in F$

Theorem A25 (Universal Property of Tensor Product). *For any bilinear map* $\phi : V \times W \to U$, *there exists a unique linear map* $\tilde{\phi} : V \otimes W \to U$ *such that* $\tilde{\phi}(v \otimes w) = \phi(v, w)$.

Proof. Existence: Define $\tilde{\phi}$ on generators by $\tilde{\phi}(v \otimes w) = \phi(v, w)$ and extend linearly. The bilinearity of ϕ ensures this is well-defined on the quotient space defining $V \otimes W$.

Uniqueness: Any linear map satisfying the condition must agree with $\tilde{\phi}$ on generators, hence everywhere by linearity. \Box

Definition A33 (Alternating Tensors). A tensor $T \in V^{\otimes k}$ is alternating if $T(v_1, \ldots, v_i, \ldots, v_j, \ldots, v_k) = -T(v_1, \ldots, v_j, \ldots, v_i, \ldots, v_k)$ whenever v_i and v_j are interchanged.

Theorem A26 (Dimension of Exterior Powers). *If* V *is an n-dimensional vector space, then* $\dim(\Lambda^k V) = \binom{n}{k}$.

Proof. Let $\{e_1, \ldots, e_n\}$ be a basis for V. The elements $\{e_{i_1} \wedge \cdots \wedge e_{i_k} : 1 \leq i_1 < \cdots < i_k \leq n\}$ form a basis for $\Lambda^k V$. The number of such elements is precisely $\binom{n}{k}$. \square

Appendix B: Topology and Manifold Theory

Topological Spaces

Definition A34 (Topology). A topology on a set X is a collection \mathcal{T} of subsets of X (called **open sets**) satisfying:

- 1. \emptyset , $X \in \mathcal{T}$
- 2. Arbitrary unions of sets in T are in T
- 3. Finite intersections of sets in T are in T

The pair (X, \mathcal{T}) is called a **topological space**.

Definition A35 (Basis for a Topology). *A collection B of subsets of X is a basis for a topology if:*

- 1. $\bigcup_{B\in\mathcal{B}} B = X$
- 2. If $B_1, B_2 \in \mathcal{B}$ and $x \in B_1 \cap B_2$, then there exists $B_3 \in \mathcal{B}$ such that $x \in B_3 \subseteq B_1 \cap B_2$

Theorem A27 (Topology Generated by a Basis). *If* \mathcal{B} *is a basis for* X, *then the collection* $\mathcal{T} = \{U \subseteq X : U = \bigcup_{i \in I} B_i, B_i \in \mathcal{B}\}$ *is a topology on* X.

Proof. We verify the three axioms of a topology:

- (1) $\emptyset \in \mathcal{T}$: Take the empty union $\bigcup_{i \in \emptyset} B_i = \emptyset$. $X \in \mathcal{T}$: By condition (1) of the basis definition, $X = \bigcup_{B \in \mathcal{B}} B$, so X is a union of basis elements.
- (2) Let $\{U_j\}_{j\in J}$ be a collection of sets in \mathcal{T} . For each j, we have $U_j = \bigcup_{i\in I_j} B_{j,i}$ where $B_{j,i} \in \mathcal{B}$. Then:

$$\bigcup_{j\in J} U_j = \bigcup_{j\in J} \bigcup_{i\in I_j} B_{j,i} = \bigcup_{(j,i)\in \bigcup_{j\in J} \{j\}\times I_j} B_{j,i}$$

This is a union of basis elements, hence in \mathcal{T} .

(3) Let $U_1, \ldots, U_n \in \mathcal{T}$. We have $U_k = \bigcup_{i \in I_k} B_{k,i}$ for each k. Then:

$$\bigcap_{k=1}^{n} U_k = \bigcap_{k=1}^{n} \bigcup_{i \in I_k} B_{k,i}$$

For any $x \in \bigcap_{k=1}^n U_k$, there exist $i_k \in I_k$ such that $x \in B_{k,i_k}$ for each k. Thus $x \in \bigcap_{k=1}^n B_{k,i_k}$. By repeated application of condition (2) of the basis definition, there exists $B \in \mathcal{B}$ such that $x \in B \subseteq \bigcap_{k=1}^n B_{k,i_k} \subseteq \bigcap_{k=1}^n U_k$.

Therefore, $\bigcap_{k=1}^{n} U_k$ is a union of basis elements, hence in \mathcal{T} . \square

Definition A36 (Continuity). Let (X, \mathcal{T}_X) and (Y, \mathcal{T}_Y) be topological spaces. A function $f: X \to Y$ is continuous if for every open set $V \in \mathcal{T}_Y$, the preimage $f^{-1}(V)$ is open in X.

Definition A37 (Homeomorphism). A function $f: X \to Y$ between topological spaces is a **homeomorphism** if f is bijective, continuous, and f^{-1} is continuous.

Definition A38 (Separation Axioms). A topological space X is:

- 1. T_0 (Kolmogorov) if for any two distinct points, there exists an open set containing one but not the other
- 2. T₁ (Fréchet) if for any two distinct points, each has an open neighborhood not containing the other
- 3. T₂ (Hausdorff) if any two distinct points have disjoint open neighborhoods
- 4. T_3 (Regular) if it is T_1 and for any closed set C and point $x \notin C$, there exist disjoint open sets separating them
- 5. T_4 (Normal) if it is T_1 and any two disjoint closed sets can be separated by disjoint open sets

Theorem A28 (Urysohn's Lemma). A topological space X is normal if and only if for any two disjoint closed sets A and B, there exists a continuous function $f: X \to [0,1]$ such that $f(A) = \{0\}$ and $f(B) = \{1\}$.

Proof. (\Rightarrow) Assume *X* is normal and let *A*, *B* be disjoint closed sets. We construct *f* by defining it on a dense subset of dyadic rationals in [0,1] and then extending by continuity.

For each dyadic rational $r = k/2^n \in (0,1)$ with k odd, we will construct an open set U_r such that:

- 1. $A \subseteq U_0 := X \setminus B$
- 2. $U_1 := X \setminus A$
- 3. If r < s, then $\overline{U_r} \subseteq U_s$

We proceed by induction on n. For n=1, we need $U_{1/2}$ with $\overline{U_0} \subseteq U_{1/2} \subseteq \overline{U_{1/2}} \subseteq U_1$. Since X is normal, $\overline{U_0} = \overline{X \setminus B}$ and $X \setminus U_1 = A$ are disjoint closed sets, so there exist disjoint open sets V, W with $\overline{U_0} \subseteq V$ and $A \subseteq W$. Take $U_{1/2} = X \setminus W$.

Assuming the construction works for level n, for level n + 1, we need to insert open sets between consecutive dyadic rationals. If r < s are consecutive at level n, we use normality to find U_t with $\overline{U_r} \subseteq U_t \subseteq \overline{U_t} \subseteq U_s$ where t = (r + s)/2.

Define $f(x) = \inf\{r : x \in U_r\}$ where the infimum is over dyadic rationals. Then: - If $x \in A$, then $x \notin U_r$ for any r, so f(x) = 1 - If $x \in B$, then $x \in U_r$ for all r > 0, so f(x) = 0 - The construction ensures f is continuous

(\Leftarrow) Assume such a function exists for any disjoint closed sets. Given disjoint closed sets C, D, let $f: X \to [0,1]$ with $f(C) = \{0\}$ and $f(D) = \{1\}$. Then $U = f^{-1}([0,1/2))$ and $V = f^{-1}((1/2,1])$ are disjoint open sets with $C \subseteq U$ and $D \subseteq V$. □

Compactness and Connectedness

Definition A39 (Compactness). A topological space X is **compact** if every open cover of X has a finite subcover.

Theorem A29 (Heine-Borel Theorem). A subset of \mathbb{R}^n (with the standard topology) is compact if and only if it is closed and bounded.

Proof. (\Rightarrow) Let $K \subseteq \mathbb{R}^n$ be compact.

Bounded: The open balls $B(0, m) = \{x \in \mathbb{R}^n : |x| < m\}$ for $m \in \mathbb{N}$ cover \mathbb{R}^n , hence cover K. By compactness, finitely many suffice, so $K \subseteq B(0, M)$ for some M.

Closed: Let (x_n) be a sequence in K converging to $x \in \mathbb{R}^n$. Suppose $x \notin K$. For each $y \in K$, choose disjoint open neighborhoods U_y of x and V_y of y. The sets $\{V_y : y \in K\}$ cover K, so finitely many V_{y_1}, \ldots, V_{y_k} suffice. Then $U = \bigcap_{i=1}^k U_{y_i}$ is a neighborhood of x disjoint from K, contradicting that (x_n) converges to x.

(⇐) Let K be closed and bounded. Since K is bounded, $K \subseteq [-M, M]^n$ for some M > 0. The cube $[-M, M]^n$ is compact by Tychonoff's theorem (each [-M, M] is compact in \mathbb{R}). Since K is a closed subset of a compact space, K is compact. \square

Theorem A30 (Tychonoff's Theorem). *An arbitrary product of compact topological spaces is compact (in the product topology).*

Proof. Let $\{X_i\}_{i\in I}$ be compact spaces and $X = \prod_{i\in I} X_i$. We use the characterization that a space is compact iff every collection of closed sets with the finite intersection property has non-empty intersection.

Let \mathcal{F} be a collection of closed subsets of X with the finite intersection property. We need to show $\bigcap_{F \in \mathcal{F}} F \neq \emptyset$.

For each $i \in I$, let $\mathcal{F}_i = \{\pi_i(F) : F \in \mathcal{F}\}$ where $\pi_i : X \to X_i$ is projection. Since projection maps are continuous and surjective, each \mathcal{F}_i consists of closed subsets of X_i with the finite intersection property.

Since X_i is compact, $\bigcap_{F \in \mathcal{F}} \overline{\pi_i(F)} \neq \emptyset$. Choose x_i in this intersection for each i.

Let $x = (x_i)_{i \in I} \in X$. We claim $x \in \bigcap_{F \in \mathcal{F}} F$.

Suppose not. Then there exists $F_0 \in \mathcal{F}$ such that $x \notin F_0$. Since F_0 is closed, $X \setminus F_0$ is open, so there exists a basic open set $U = \prod_{i \in I} U_i \times \prod_{i \notin I} X_i$ (where J is finite) containing x and disjoint from F_0 .

This means $\pi_J(F_0) \cap \pi_J(U) = \emptyset$ where $\pi_J : X \to \prod_{i \in J} X_i$. But $x_J = (x_i)_{i \in J} \in \pi_J(U)$ and by construction, $x_i \in \overline{\pi_i(F_0)}$ for each $i \in J$, which implies $x_J \in \overline{\pi_J(F_0)}$. This is a contradiction since $\pi_J(F_0)$ is closed. \square

Definition A40 (Connectedness). *A topological space X is connected if it cannot be written as the union of two non-empty disjoint open sets.*

Theorem A31 (Intermediate Value Theorem (Topological Version)). Let $f: X \to \mathbb{R}$ be continuous where X is connected. If $a, b \in f(X)$ with a < b, then for any $c \in (a, b)$, there exists $x \in X$ such that f(x) = c.

Proof. Since f is continuous and X is connected, f(X) is connected in \mathbb{R} . The connected subsets of \mathbb{R} are precisely the intervals.

Since $a, b \in f(X)$ and f(X) is connected, f(X) must contain the interval [a, b]. In particular, $c \in f(X)$, so there exists $x \in X$ with f(x) = c. \square

Smooth Manifolds

Definition A41 (Topological Manifold). A *topological manifold* of dimension n is a second-countable Hausdorff topological space M such that every point has a neighborhood homeomorphic to an open subset of \mathbb{R}^n .

Definition A42 (Chart and Atlas). *Let M be a topological manifold of dimension n.*

1. A chart (or coordinate patch) is a pair (U, ϕ) where $U \subseteq M$ is open and $\phi : U \to V \subseteq \mathbb{R}^n$ is a homeomorphism onto an open set V.



- 2. An atlas for M is a collection $A = \{(U_i, \phi_i)\}_{i \in I}$ of charts such that $\bigcup_{i \in I} U_i = M$.
- 3. Two charts (U, ϕ) and (V, ψ) are C^k -compatible if either $U \cap V = \emptyset$ or the transition map $\psi \circ \phi^{-1}$: $\phi(U \cap V) \to \psi(U \cap V)$ is a C^k -diffeomorphism.
- 4. An atlas is a C^k -atlas if any two charts in it are C^k -compatible.

Definition A43 (Smooth Manifold). A **smooth manifold** (or C^{∞} -manifold) is a topological manifold equipped with a maximal C^{∞} -atlas.

Definition A44 (Smooth Map). Let M and N be smooth manifolds. A continuous map $f: M \to N$ is **smooth** (or C^{∞}) if for every $p \in M$, there exist charts (U, ϕ) around p and (V, ψ) around f(p) such that $f(U) \subseteq V$ and the composite $\psi \circ f \circ \phi^{-1} : \phi(U) \to \psi(V)$ is smooth as a map between open subsets of Euclidean space.

Theorem A32 (Whitney Embedding Theorem). *Every smooth n-dimensional manifold can be smoothly embedded in* \mathbb{R}^{2n+1} .

Proof Sketch. The proof involves several steps:

Step 1: Using paracompactness and a partition of unity, construct a proper smooth map $f: M \to \mathbb{R}^k$ for sufficiently large k. This uses the fact that M has a countable atlas.

Step 2: Show that for generic choices, we can make f injective. This requires $k \ge n + 1$ by dimension considerations.

Step 3: Show that for generic choices, we can make df injective everywhere. This requires $k \ge 2n + 1$.

Step 4: A proper injective immersion of a manifold into \mathbb{R}^k is automatically an embedding onto its image.

The key technical tool is Sard's theorem, which states that the set of critical values of a smooth map has measure zero. This allows us to find "generic" values that avoid problematic configurations. \Box

Tangent Spaces and Vector Fields

Definition A45 (Tangent Vector via Derivations). Let M be a smooth manifold and $p \in M$. A **tangent vector** at p is a linear map $v : C^{\infty}(M) \to \mathbb{R}$ satisfying the Leibniz rule: $v(fg) = f(p) \cdot v(g) + g(p) \cdot v(f)$ for all $f, g \in C^{\infty}(M)$. The set of all tangent vectors at p forms the **tangent space** T_pM .

Theorem A33 (Tangent Space Structure). *If* M *is an* n-dimensional smooth manifold and $p \in M$, then T_pM *is an* n-dimensional vector space over \mathbb{R} .

Proof. Let (U, ϕ) be a chart around p with $\phi = (x^1, \dots, x^n)$. For each i, define $\frac{\partial}{\partial x^i}|_{p} \in T_p M$ by:

$$\left. \frac{\partial}{\partial x^i} \right|_p(f) = \left. \frac{\partial}{\partial x^i} (f \circ \phi^{-1}) \right|_{\phi(p)}$$

We verify this satisfies the Leibniz rule:

$$\frac{\partial}{\partial x^i}\Big|_p(fg) = \frac{\partial}{\partial x^i}((fg) \circ \phi^{-1})\Big|_{\phi(p)} \tag{A22}$$

$$= \frac{\partial}{\partial x^i} ((f \circ \phi^{-1})(g \circ \phi^{-1})) \Big|_{\phi(p)} \tag{A23}$$

$$= (f \circ \phi^{-1})(\phi(p)) \frac{\partial}{\partial x^i} (g \circ \phi^{-1}) \bigg|_{\phi(p)} + (g \circ \phi^{-1})(\phi(p)) \frac{\partial}{\partial x^i} (f \circ \phi^{-1}) \bigg|_{\phi(p)}$$
(A24)

$$= f(p) \frac{\partial}{\partial x^i} \Big|_{p} (g) + g(p) \frac{\partial}{\partial x^i} \Big|_{p} (f)$$
(A25)

Linear Independence: Suppose $\sum_{i=1}^{n} a^{i} \frac{\partial}{\partial x^{i}}|_{p} = 0$. Applying this to the coordinate function x^{j} gives:

$$0 = \sum_{i=1}^{n} a^{i} \frac{\partial}{\partial x^{i}} \Big|_{p} (x^{j}) = \sum_{i=1}^{n} a^{i} \frac{\partial x^{j}}{\partial x^{i}} \Big|_{\phi(p)} = a^{j}$$

Spanning: Let $v \in T_pM$. We show that $v = \sum_{i=1}^n v(x^i) \frac{\partial}{\partial x^i}|_p$. For any $f \in C^{\infty}(M)$, by Taylor's theorem applied to $f \circ \phi^{-1}$ around $\phi(p)$:

$$f \circ \phi^{-1}(y) = f(p) + \sum_{i=1}^n \frac{\partial (f \circ \phi^{-1})}{\partial y^i} \Big|_{\phi(p)} (y^i - \phi(p)^i) + \text{higher order terms}$$

Since v satisfies the Leibniz rule and v(c) = 0 for constants c:

$$v(f) = v\left(f \circ \phi^{-1} \circ \phi\right) \tag{A26}$$

$$= \sum_{i=1}^{n} v(x^{i}) \frac{\partial (f \circ \phi^{-1})}{\partial y^{i}} \bigg|_{\phi(p)}$$
(A27)

$$=\sum_{i=1}^{n}v(x^{i})\frac{\partial}{\partial x^{i}}\Big|_{p}(f) \tag{A28}$$

The key step uses the fact that for smooth functions g and h with g(p) = 0, we have v(gh) = g(p)v(h) + h(p)v(g) = h(p)v(g). This allows us to handle the higher-order terms in the Taylor expansion. \Box

Definition A46 (Vector Field). A vector field on a smooth manifold M is a smooth assignment of a tangent vector to each point, i.e., a smooth map $X: M \to TM$ such that $\pi \circ X = id_M$, where $\pi: TM \to M$ is the canonical projection.

Definition A47 (Lie Bracket). *The Lie bracket* of two vector fields X and Y on M is the vector field [X,Y] defined by:

$$[X,Y](f) = X(Y(f)) - Y(X(f))$$

for any smooth function $f \in C^{\infty}(M)$.

Theorem A34 (Properties of the Lie Bracket). The Lie bracket satisfies:

- 1. Bilinearity: [aX + bY, Z] = a[X, Z] + b[Y, Z] and [X, aY + bZ] = a[X, Y] + b[X, Z]
- 2. Antisymmetry: [X, Y] = -[Y, X]
- 3. *Jacobi identity:* [X, [Y, Z]] + [Y, [Z, X]] + [Z, [X, Y]] = 0

Proof. (1) Bilinearity: For any $f \in C^{\infty}(M)$:

$$[aX + bY, Z](f) = (aX + bY)(Z(f)) - Z((aX + bY)(f))$$
(A29)

$$= aX(Z(f)) + bY(Z(f)) - Z(aX(f) + bY(f))$$
(A30)

$$= aX(Z(f)) + bY(Z(f)) - aZ(X(f)) - bZ(Y(f))$$
(A31)

$$= a[X, Z](f) + b[Y, Z](f)$$
(A32)

(2) Antisymmetry: Direct computation:

$$[X,Y](f) = X(Y(f)) - Y(X(f)) = -(Y(X(f)) - X(Y(f))) = -[Y,X](f)$$

(3) Jacobi Identity: We need to show:

$$[X, [Y, Z]] + [Y, [Z, X]] + [Z, [X, Y]] = 0$$

Computing each term:

$$[X, [Y, Z]](f) = X([Y, Z](f)) - [Y, Z](X(f))$$
(A33)

$$= X(Y(Z(f)) - Z(Y(f))) - (Y(Z(X(f))) - Z(Y(X(f))))$$
(A34)

$$= XYZ(f) - XZY(f) - YZX(f) + ZYX(f)$$
(A35)

Similarly:

$$[Y, [Z, X]](f) = YZX(f) - YXZ(f) - ZXY(f) + XZY(f)$$
(A36)

$$[Z,[X,Y]](f) = ZXY(f) - ZYX(f) - XYZ(f) + YXZ(f)$$
(A37)

Adding all three expressions, all terms cancel, giving the Jacobi identity. \Box

Differential Forms and Exterior Calculus

Definition A48 (Cotangent Space). Let M be a smooth manifold and $p \in M$. The **cotangent space** at p is $T_p^*M = (T_pM)^*$, the dual space of T_pM . Elements of T_p^*M are called **cotangent vectors** or **1-forms** at p.

Definition A49 (Differential of a Function). Let $f: M \to \mathbb{R}$ be smooth. The **differential** of f at p is the cotangent vector $df_p: T_pM \to \mathbb{R}$ defined by $df_p(v) = v(f)$ for $v \in T_pM$.

Definition A50 (k-Forms). A k-form on M is a smooth section of $\bigwedge^k T^*M$, i.e., a smooth assignment to each $p \in M$ of an alternating k-linear map $\omega_p : T_pM \times \cdots \times T_pM \to \mathbb{R}$.

Definition A51 (Exterior Derivative). The exterior derivative is the unique operator $d: \Omega^k(M) \to \Omega^{k+1}(M)$ satisfying:

- 1. If $f \in \Omega^0(M) = C^{\infty}(M)$, then df is the differential of f
- 2. $d(\omega \wedge \eta) = d\omega \wedge \eta + (-1)^k \omega \wedge d\eta$ for $\omega \in \Omega^k(M)$
- 3. $d^2 = 0$ (i.e., $d \circ d = 0$)
- 4. d commutes with pullbacks

Theorem A35 (Existence and Uniqueness of Exterior Derivative). *The exterior derivative d exists and is unique.*

Proof. Uniqueness: Suppose d and d' both satisfy the conditions. Any k-form can be written locally as $\omega = \sum_{I} f_{I} dx^{I}$ where I runs over multi-indices of length k. By linearity and the product rule:

$$d\omega = \sum_{I} df_{I} \wedge dx^{I} + \sum_{I} f_{I} d(dx^{I})$$

Since $d(dx^i) = d^2x^i = 0$, we have $d\omega = \sum_I df_I \wedge dx^I$. Since df_I is determined by condition (1), d is uniquely determined.

Existence: Define *d* on *k*-forms by the formula above in local coordinates. We must verify:

Well-defined: If ω has two coordinate representations, they give the same result for $d\omega$. This follows from the transformation law for differential forms.

 $d^2=0$: In coordinates, $d^2f=d(\sum_i \frac{\partial f}{\partial x^i} dx^i)=\sum_{i,j} \frac{\partial^2 f}{\partial x^j \partial x^i} dx^j \wedge dx^i=0$ since mixed partials are equal and the wedge product is antisymmetric.

Leibniz rule: For $\omega \in \Omega^k(M)$ and $\eta \in \Omega^\ell(M)$:

$$d(\omega \wedge \eta) = d\left(\sum_{I,I} f_I g_J dx^I \wedge dx^J\right) \tag{A38}$$

$$= \sum_{I,I} d(f_I g_I) \wedge dx^I \wedge dx^J \tag{A39}$$

$$= \sum_{I,J} (df_I \cdot g_J + f_I \cdot dg_J) \wedge dx^I \wedge dx^J$$
 (A40)

$$= \sum_{I,J} df_I \wedge g_J dx^I \wedge dx^J + \sum_{I,J} f_I dx^I \wedge dg_J \wedge dx^J$$
 (A41)

$$= \sum_{I} df_{I} \wedge dx^{I} \wedge \sum_{J} g_{J} dx^{J} + (-1)^{k} \sum_{I} f_{I} dx^{I} \wedge \sum_{J} dg_{J} \wedge dx^{J}$$
(A42)

$$= d\omega \wedge \eta + (-1)^k \omega \wedge d\eta \tag{A43}$$

Theorem A36 (Poincaré Lemma (Local Version)). *If* $U \subseteq \mathbb{R}^n$ *is star-shaped and* $\omega \in \Omega^k(U)$ *with* $d\omega = 0$, *then there exists* $\eta \in \Omega^{k-1}(U)$ *such that* $\omega = d\eta$.

Proof. Without loss of generality, assume U is star-shaped with respect to the origin. For $t \in [0,1]$, define the homotopy $H_t: U \to U$ by $H_t(x) = tx$. Let ι_t denote the pullback along H_t .

Define the **homotopy operator** $K: \Omega^k(U) \to \Omega^{k-1}(U)$ by: $(K\omega)(x) = \int_0^1 t^{k-1} \iota_t(\iota_{\frac{\partial}{\partial t}}\omega) dt$

where $\iota_{\frac{\partial}{\partial t}}$ denotes interior multiplication by the vector field $\frac{\partial}{\partial t}$.

The key identity is: $\omega = dK\omega + Kd\omega$.

Proof of identity: This follows from Cartan's homotopy formula. For a vector field X and forms ω , we have: $\mathcal{L}_X \omega = d(\iota_X \omega) + \iota_X (d\omega)$

where \mathcal{L}_X is the Lie derivative. Integrating this relation along the homotopy gives the desired identity.

Application: If $d\omega = 0$, then $\omega = dK\omega + K(0) = dK\omega$. Taking $\eta = K\omega$ completes the proof.

Explicit formula: In coordinates, if $\omega = \sum_{|I|=k} f_I dx^I$, then: $K\omega = \sum_{|I|=k} \sum_{i\in I} \frac{(-1)^{j-1}}{k} \left(\int_0^1 t^{k-1} f_I(tx) dt \right) x^i dx^{I\setminus \{i\}}$ where i is the position of i in the ordered multi-index I. \square

Fiber Bundles and Principal Bundles

Definition A52 (Fiber Bundle). *A fiber bundle* is a tuple (E, M, π, F) where:

- 1. E (total space), M (base space), and F (fiber) are topological spaces
- 2. $\pi: E \to M$ is a continuous surjection
- 3. For each $p \in M$, there exists a neighborhood U and a homeomorphism $\phi : \pi^{-1}(U) \to U \times F$ such that $pr_1 \circ \phi = \pi|_{\pi^{-1}(U)}$

Definition A53 (Vector Bundle). A vector bundle of rank k over M is a fiber bundle $(E, M, \pi, \mathbb{R}^k)$ where each fiber $\pi^{-1}(p)$ has the structure of a k-dimensional vector space, and the local trivializations are linear on fibers.

Definition A54 (Principal Bundle). *Let* G *be a Lie group. A principal* G-bundle over M *is a fiber bundle* (P, M, π, G) *with a free right action of* G *on* P *such that:*

- 1. The action preserves fibers: $p \cdot g \in \pi^{-1}(\pi(p))$ for all $p \in P, g \in G$
- 2. The action is transitive on fibers
- 3. The bundle is locally trivial with respect to the G-action

Definition A55 (Connection on a Principal Bundle). *A connection* on a principal *G-bundle* $P \to M$ is a \mathfrak{g} -valued 1-form $\omega \in \Omega^1(P, \mathfrak{g})$ such that:

- 1. $\omega(X^*) = X$ for all $X \in \mathfrak{g}$ (where X^* is the fundamental vector field)
- 2. $(R_g)^*\omega = Ad_{g^{-1}} \circ \omega$ for all $g \in G$

Theorem A37 (Existence of Connections). Every principal bundle admits a connection.

Proof. Let $P \to M$ be a principal G-bundle. Choose a locally finite open cover $\{U_i\}$ of M such that $P|_{U_i}$ is trivial for each i. Let $\{\rho_i\}$ be a partition of unity subordinate to this cover.

For each i, choose a trivialization $\phi_i: P|_{U_i} \to U_i \times G$. The pullback of the Maurer-Cartan form on G gives a connection ω_i on $P|_{U_i}$.

Define $\omega = \sum_{i} (\pi^* \rho_i) \omega_i$. We verify this is a connection:

Condition 1: For $X \in \mathfrak{g}$ and the fundamental vector field X^* : $\omega(X^*) = \sum_i (\pi^* \rho_i) \omega_i(X^*) = \sum_i (\pi^* \rho_i) X = X \sum_i \pi^* \rho_i = X$

Condition 2: For $g \in G$: $(R_g)^*\omega = \sum_i (R_g)^* (\pi^*\rho_i)(R_g)^*\omega_i = \sum_i (\pi^*\rho_i) \mathrm{Ad}_{g^{-1}} \circ \omega_i = \mathrm{Ad}_{g^{-1}} \circ \omega$ The second equality uses the fact that R_g preserves fibers, so $\pi \circ R_g = \pi$. \square

Riemannian Geometry

Definition A56 (Riemannian Metric). *A Riemannian metric* on a smooth manifold M is a smooth assignment to each $p \in M$ of an inner product g_p on T_pM . The pair (M, g) is called a **Riemannian manifold**.

Definition A57 (Levi-Civita Connection). *On a Riemannian manifold* (M, g)*, there exists a unique connection* ∇ (called the **Levi-Civita connection**) satisfying:

- 1. Compatibility: $\nabla g = 0$
- 2. **Torsion-free:** $\nabla_X Y \nabla_Y X = [X, Y]$ for all vector fields X, Y

Theorem A38 (Fundamental Theorem of Riemannian Geometry). *Every Riemannian manifold has a unique Levi-Civita connection*.

Proof. Uniqueness: Suppose ∇ satisfies both conditions. For vector fields X, Y, Z:

$$X\langle Y, Z \rangle = \langle \nabla_X Y, Z \rangle + \langle Y, \nabla_X Z \rangle$$
 (compatibility)

$$Y\langle Z, X \rangle = \langle \nabla_Y Z, X \rangle + \langle Z, \nabla_Y X \rangle \tag{A44}$$

$$Z\langle X, Y \rangle = \langle \nabla_7 X, Y \rangle + \langle X, \nabla_7 Y \rangle \tag{A45}$$

Adding the first two and subtracting the third:

$$X\langle Y, Z \rangle + Y\langle Z, X \rangle - Z\langle X, Y \rangle \tag{A46}$$

$$= \langle \nabla_X Y, Z \rangle + \langle Y, \nabla_X Z \rangle + \langle \nabla_Y Z, X \rangle + \langle Z, \nabla_Y X \rangle - \langle \nabla_Z X, Y \rangle - \langle X, \nabla_Z Y \rangle \tag{A47}$$

$$= \langle \nabla_X Y, Z \rangle + \langle \nabla_Y Z, X \rangle - \langle \nabla_Z Y, X \rangle + \langle Y, \nabla_X Z \rangle + \langle Z, \nabla_Y X \rangle - \langle \nabla_Z X, Y \rangle \tag{A48}$$

Using the torsion-free condition $\nabla_Y Z - \nabla_Z Y = [Y, Z]$ and cyclically:

$$= \langle \nabla_X Y, Z \rangle + \langle [Y, Z], X \rangle + \langle Y, [X, Z] \rangle + \langle [Y, X], Z \rangle \tag{A49}$$

$$= 2\langle \nabla_X Y, Z \rangle + \langle [Y, Z], X \rangle + \langle [Z, X], Y \rangle + \langle [X, Y], Z \rangle \tag{A50}$$

Therefore: $\langle \nabla_X Y, Z \rangle = \frac{1}{2} (X \langle Y, Z \rangle + Y \langle Z, X \rangle - Z \langle X, Y \rangle - \langle [Y, Z], X \rangle - \langle [Z, X], Y \rangle - \langle [X, Y], Z \rangle)$

This is the **Koszul formula**, which uniquely determines $\nabla_X Y$.

Existence: Define ∇ by the Koszul formula. We must verify it satisfies the required properties: **Well-defined:** The right-hand side is $C^{\infty}(M)$ -linear in Z, so it defines a vector field $\nabla_X Y$.



Connection properties: Linearity and the Leibniz rule follow from the properties of vector fields and the metric.

Metric compatibility: Direct computation using the Koszul formula shows $\nabla g = 0$.

Torsion-free: By construction, $\nabla_X Y - \nabla_Y X = [X, Y]$. \square

Definition A58 (Curvature Tensor). *The Riemann curvature tensor* of a Riemannian manifold is defined by: $R(X,Y)Z = \nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z - \nabla_{[X,Y]} Z$ for vector fields X,Y,Z.

Theorem A39 (Symmetries of the Curvature Tensor). *The Riemann curvature tensor satisfies*:

- 1. R(X,Y) = -R(Y,X) (antisymmetry in first two arguments)
- 2. $\langle R(X,Y)Z,W\rangle = -\langle R(X,Y)W,Z\rangle$ (antisymmetry in last two arguments)
- 3. $\langle R(X,Y)Z,W\rangle = \langle R(Z,W)X,Y\rangle$ (block symmetry)
- 4. R(X,Y)Z + R(Y,Z)X + R(Z,X)Y = 0 (first Bianchi identity)

Proof. (1) Immediate from the definition: R(X,Y) = -R(Y,X).

(2) We need to show $\langle R(X,Y)Z,W\rangle + \langle Z,R(X,Y)W\rangle = 0$.

Since ∇ is metric-compatible: $X\langle \nabla_Y Z, W \rangle = \langle \nabla_X \nabla_Y Z, W \rangle + \langle \nabla_Y Z, \nabla_X W \rangle$

Computing XY(Z, W) in two ways and using the torsion-free property:

$$\langle R(X,Y)Z,W\rangle = \langle \nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z - \nabla_{[X,Y]} Z,W\rangle$$

$$= XY\langle Z,W\rangle - YX\langle Z,W\rangle - [X,Y]\langle Z,W\rangle - \langle Z,\nabla_X \nabla_Y W - \nabla_Y \nabla_X W - \nabla_{[X,Y]} W\rangle$$

$$= -\langle Z,R(X,Y)W\rangle$$
(A51)
$$= -\langle Z,R(X,Y)W\rangle$$
(A53)

- (3) This is the most involved symmetry. The proof uses the fact that curvature can be expressed in terms of the metric tensor and its derivatives. In local coordinates, both sides equal: $\frac{1}{2}(g_{im,jk}+g_{jk,im}-g_{ik,jm}-g_{jm,ik})$
 - (4) The first Bianchi identity follows from the Jacobi identity for the Lie bracket:

$$R(X,Y)Z + R(Y,Z)X + R(Z,X)Y \tag{A54}$$

$$= \nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z - \nabla_{[X,Y]} Z + \text{cyclic permutations}$$
 (A55)

$$= (\nabla_{X}\nabla_{Y} - \nabla_{Y}\nabla_{X} - \nabla_{[XY]})Z + \text{cyclic permutations}$$
 (A56)

$$=0 (A57)$$

The last equality uses the fact that ∇ is torsion-free, so the expression in parentheses is zero. \Box

Complex Manifolds and Projective Varieties

Definition A59 (Complex Manifold). A complex manifold of complex dimension n is a topological manifold M of real dimension 2n equipped with an atlas of charts (U_i, ϕ_i) where $\phi_i : U_i \to \mathbb{C}^n$ and all transition functions are holomorphic.

Definition A60 (Almost Complex Structure). *An almost complex structure* on a real manifold M of even dimension is a smooth field J of endomorphisms $J_p: T_pM \to T_pM$ such that $J_p^2 = -id_{T_pM}$ for all $p \in M$.

Theorem A40 (Newlander-Nirenberg Theorem). *An almost complex structure J on M is integrable (i.e., comes from a complex manifold structure) if and only if the Nijenhuis tensor* N_I *vanishes identically.*

Proof Sketch. The **Nijenhuis tensor** is defined by: $N_J(X,Y) = [JX,JY] - J[JX,Y] - J[X,JY] - [X,Y]$ (\Rightarrow) If J comes from a complex structure, then locally we can choose holomorphic coordinates (z^1,\ldots,z^n) where $z^i=x^i+iy^i$. In these coordinates: $J\left(\frac{\partial}{\partial x^i}\right)=\frac{\partial}{\partial y^i}$, $J\left(\frac{\partial}{\partial y^i}\right)=-\frac{\partial}{\partial x^i}$

Direct computation shows $N_I = 0$ for these coordinate vector fields, hence everywhere.

(\Leftarrow) This direction is much more difficult and uses sophisticated techniques from PDE theory. The key idea is that the vanishing of N_J is equivalent to the involutivity of certain distributions on M, which by the Frobenius theorem guarantees local integrability.

The full proof requires constructing local holomorphic coordinates using the theory of elliptic partial differential equations and showing that the transition maps are holomorphic. \Box

Definition A61 (Projective Space). The complex projective space \mathbb{CP}^n is the quotient of $\mathbb{C}^{n+1} \setminus \{0\}$ by the equivalence relation $z \sim w$ if $z = \lambda w$ for some $\lambda \in \mathbb{C}^*$.

Definition A62 (Projective Variety). *A projective variety* is a subset $V \subseteq \mathbb{CP}^n$ that is the zero locus of a collection of homogeneous polynomials.

Theorem A41 (Chow's Theorem). Every projective variety is the zero set of finitely many homogeneous polynomials.

Proof. Let $V \subseteq \mathbb{CP}^n$ be a projective variety. By definition, V = Z(S) for some set S of homogeneous polynomials.

Since \mathbb{CP}^n is compact (in the classical topology), V is compact. Moreover, \mathbb{CP}^n can be covered by finitely many affine charts.

In each affine chart $U_i \cong \mathbb{C}^n$, the intersection $V \cap U_i$ is an affine variety, hence defined by finitely many polynomials. The homogenizations of these polynomials give a finite set of homogeneous polynomials defining V in a neighborhood of U_i .

Using the compactness of V and a finite subcovering argument, we can extract finitely many homogeneous polynomials that define V globally.

The key technical point is that the ring of homogeneous polynomials in n+1 variables is Noetherian, so every ideal has a finite generating set. \Box

Characteristic Classes

Definition A63 (Chern Classes). For a complex vector bundle $E \to M$ of rank r, the **Chern classes** $c_i(E) \in H^{2i}(M; \mathbb{Z})$ are defined as the coefficients of the **total Chern class**: $c(E) = \det\left(I + \frac{iF}{2\pi}\right) = 1 + c_1(E) + c_2(E) + \cdots + c_r(E)$ where F is the curvature 2-form of a connection on E.

Theorem A42 (Whitney Product Formula). *For vector bundles* E_1 *and* E_2 *over* $M: c(E_1 \oplus E_2) = c(E_1) \cup c(E_2)$

Proof. Choose connections on E_1 and E_2 with curvature 2-forms F_1 and F_2 . The direct sum connection on $E_1 \oplus E_2$ has curvature: $F = \begin{pmatrix} F_1 & 0 \\ 0 & F_2 \end{pmatrix}$

Therefore:

$$c(E_1 \oplus E_2) = \det\left(I + \frac{iF}{2\pi}\right) \tag{A58}$$

$$= \det \begin{pmatrix} I + \frac{iF_1}{2\pi} & 0\\ 0 & I + \frac{iF_2}{2\pi} \end{pmatrix} \tag{A59}$$

$$= \det\left(I + \frac{iF_1}{2\pi}\right) \det\left(I + \frac{iF_2}{2\pi}\right) \tag{A60}$$

$$=c(E_1)\cup c(E_2) \tag{A61}$$

The key step uses the fact that the determinant of a block diagonal matrix is the product of the determinants of the blocks. \Box

Definition A64 (Euler Class). For an oriented real vector bundle $E \to M$ of rank r, the Euler class $e(E) \in H^r(M; \mathbb{Z})$ is the class represented by the zero locus of a generic section of E.

Theorem A43 (Gauss-Bonnet Theorem). For a compact oriented Riemannian manifold (M, g) of dimension 2n, the Euler characteristic satisfies: $\chi(M) = \frac{1}{(2\pi)^n} \int_M e(TM)$ where e(TM) is the Euler form constructed from the curvature tensor.

Proof Sketch. The proof involves several steps:

Step 1: Show that the Euler class e(TM) can be represented by the Pfaffian of the curvature 2-form. In local orthonormal coordinates, if Ω is the curvature 2-form matrix, then: $e(TM) = \frac{1}{(2\pi)^n} Pf(\Omega)$

Step 2: Use the fact that for any vector field *X* with isolated zeros, the sum of the indices of the zeros equals $\chi(M)$. This is a topological result.

Step 3: For a generic vector field *X*, show that the zeros of *X* contribute to the integral $\int_M e(TM)$ in a way that exactly matches their topological indices.

Step 4: The key insight is that the curvature tensor encodes the "infinitesimal" behavior of the tangent bundle, and the Pfaffian captures how this relates to the global topology.

The full proof requires careful analysis of the relationship between the analytic properties of the curvature and the topological properties of the manifold. \Box

Morse Theory

Definition A65 (Morse Function). A smooth function $f: M \to \mathbb{R}$ on a manifold M is called a Morse function if all its critical points are non-degenerate, i.e., at each critical point p, the Hessian matrix has no zero eigenvalues.

Definition A66 (Morse Index). *The Morse index of a critical point p of a Morse function f is the number of* negative eigenvalues of the Hessian of f at p.

Theorem A44 (Morse Inequalities). Let M be a compact manifold and $f: M \to \mathbb{R}$ a Morse function. Let m_k be the number of critical points of index k, and $b_k = \dim H_k(M; \mathbb{Q})$ the k-th Betti number. Then:

- $m_k \ge b_k$ for all k $\sum_{k=0}^n (-1)^k m_k = \sum_{k=0}^n (-1)^k b_k = \chi(M)$

Proof. The proof uses the theory of **Morse homology**. The key idea is to construct a chain complex whose homology is isomorphic to the singular homology of M, but whose structure directly reflects the critical points of f.

Step 1: Morse Complex. Let C_k be the vector space generated by critical points of index k. Define a boundary operator $\partial: C_k \to C_{k-1}$ by counting gradient flow lines between critical points.

Step 2: Morse Homology. Under generic conditions (satisfied by "Morse-Smale" functions), the boundary operator satisfies $\partial^2 = 0$, giving a chain complex. The homology $H_*(C_*, \partial)$ is called **Morse**

Step 3: Isomorphism Theorem. There is a canonical isomorphism: $H_k(C_*, \partial) \cong H_k(M; \mathbb{Q})$

This isomorphism is constructed using the gradient flow of *f* to deform singular chains.

Step 4: Inequalities. Since $H_k(C_*, \partial)$ is a quotient of C_k by the image of ∂ : $b_k = \dim H_k(C_*, \partial) \leq$ $\dim C_k = m_k$

Step 5: Euler Characteristic. Taking the alternating sum:

$$\chi(M) = \sum_{k=0}^{n} (-1)^k b_k \tag{A62}$$

$$= \sum_{k=0}^{n} (-1)^{k} \dim H_{k}(C_{*}, \partial)$$
(A63)

$$= \sum_{k=0}^{n} (-1)^k (\dim C_k - \dim \operatorname{Im}(\partial_{k+1}) - \dim \operatorname{Im}(\partial_k))$$
(A64)

$$=\sum_{k=0}^{n}(-1)^{k}m_{k} \tag{A65}$$

The last equality uses the fact that the boundary terms telescope. \Box

Theorem A45 (Morse Lemma). *Let p be a non-degenerate critical point of a smooth function f* : $M \to \mathbb{R}$ *with* Morse index λ . Then there exist local coordinates (x^1,\ldots,x^n) around p such that: $f(x)=f(p)-(x^1)^2 \cdots - (x^{\lambda})^2 + (x^{\lambda+1})^2 + \cdots + (x^n)^2$

Proof. Without loss of generality, assume p=0 and f(p)=0. Since p is a critical point, $df|_p=0$. **Step 1:** By Taylor's theorem, near p: $f(x)=\frac{1}{2}\sum_{i,j}\frac{\partial^2 f}{\partial x^i\partial x^j}(0)x^ix^j+O(|x|^3)$

Let $A = (\frac{\partial^2 f}{\partial x^i \partial x^j}(0))$ be the Hessian matrix. Since p is non-degenerate, A is invertible.

Step 2: We prove by induction on n that there exists a local diffeomorphism that puts f in the desired form.

Base case: For n = 1, if $f''(0) \neq 0$, then $f(x) = \pm x^2 + \text{higher order terms}$. The sign is determined by whether f''(0) > 0 or f''(0) < 0.

Inductive step: Assume the result holds in dimension n-1. Since A is symmetric and invertible, we can diagonalize it. Without loss of generality, assume $a_{nn} \neq 0$.

Complete the square in the x^n direction: $f(x) = \frac{1}{2}a_{nn}\left(x^n + \frac{1}{a_{nn}}\sum_{i=1}^{n-1}a_{in}x^i\right)^2 + g(x^1,\dots,x^{n-1}) +$ $O(|x|^3)$

where *g* is a quadratic form in the first n-1 variables.

Make the coordinate change: $y^n = x^n + \frac{1}{a_{nn}} \sum_{i=1}^{n-1} a_{in} x^i$, $y^i = x^i$ for i < n. This transforms f to: $f(y) = \frac{1}{2} a_{nn} (y^n)^2 + g(y^1, \dots, y^{n-1}) + O(|y|^3)$

By induction hypothesis, there exist coordinates $(z^1,...,z^{n-1})$ such that: $g(z^1,...,z^{n-1})$ $-(z^1)^2 - \cdots - (z^{\mu})^2 + (z^{\mu+1})^2 + \cdots + (z^{n-1})^2$

Finally, rescale the y^n coordinate: if $a_{nn} > 0$, set $w^n = \sqrt{a_{nn}}y^n$; if $a_{nn} < 0$, set $w^n = \sqrt{-a_{nn}}y^n$.

This gives the desired canonical form with $\lambda = \mu$ or $\lambda = \mu + 1$ depending on the sign of a_{nn} . \square

Corollary A6 (Local Structure of Level Sets). *Near a non-degenerate critical point p of index* λ , *the level sets* of a Morse function have the local topology of:

- A point (if $\lambda = 0$ or $\lambda = n$)
- The product $S^{\lambda-1} \times S^{n-\lambda-1}$ (if $0 < \lambda < n$)

Morse Theory and Algebraic Geometry

Definition A67 (Algebraic Morse Function). Let X be a smooth projective variety over \mathbb{C} . A regular *function* $f: X \to \mathbb{C}$ *is called an algebraic Morse function if:*

- f extends to a morphism $\bar{f}: X \to \mathbb{P}^1$
- 2. All critical points of f (as a smooth function on $X(\mathbb{C})$) are non-degenerate
- *The restriction* $f|_{X(\mathbb{R})}$ *is a Morse function when* X *is defined over* \mathbb{R}

Theorem A46 (Algebraic Morse Inequalities). Let X be a smooth projective variety of dimension n over \mathbb{C} , and let $f: X \to \mathbb{C}$ be an algebraic Morse function. Then:

- The number of critical points of f is bounded below by $\sum_{i=0}^{2n} b_i(X)$ 1.
- If X is defined over \mathbb{R} and has k connected components over \mathbb{R} , then the number of real critical points satisfies the classical Morse inequalities for each component

Proof. The proof combines classical Morse theory with results from algebraic geometry:

Step 1: Use the Lefschetz hyperplane theorem to relate the topology of *X* to that of hyperplane sections.

Step 2: Apply the Morse lemma in the algebraic setting, using the fact that algebraic functions preserve the local analytic structure.

Step 3: For the real case, apply the standard Morse inequalities to each connected component of $X(\mathbb{R})$.

Applications to Geometric Synthesis

Definition A68 (Morse Stratification). *Given a Morse function* $f: M \to \mathbb{R}$ *on a manifold* M, *the Morse* **stratification** is the decomposition: $M = \bigcup_{k=0}^{\dim M} M_k$ where M_k consists of points that flow under the gradient of f to critical points of index k.

Theorem A47 (Synthesis via Morse Decomposition). *Let X be a projective variety with a Morse function f.* Then:

- The Morse stratification provides a canonical cell decomposition of X 1.
- Each stratum X_k is diffeomorphic to $\mathbb{R}^k \times D^{n-k}$ where D^m is the m-disk 2.
- 3. The boundary relations between strata encode the incidence geometry of X

$$\cdots: x_n]) = \frac{x_n^2}{x_0^2 + x_1^2 + \dots + x_n^2}$$

This function has exactly two critical points:

- $p_0 = [1:0:\cdots:0]$ with index 0 (minimum)
- $p_{\infty} = [0:0:\cdots:1]$ with index n (maximum)

The Morse decomposition gives:

$$\mathbb{P}^n(\mathbb{R}) = M_0 \cup M_n \tag{A66}$$

$$= \{f < 1\} \cup \{f = 1\} \tag{A67}$$

$$\cong \mathbb{R}^n \cup \{point\} \tag{A68}$$

Morse Theory and Sheaf Cohomology

Theorem A48 (Morse Theory for Constructible Sheaves). *Let* $f: X \to \mathbb{R}$ *be a Morse function on a real* algebraic variety X, and let \mathcal{F} be a constructible sheaf on X. Then:

- The cohomology $H^i(X; \mathcal{F})$ can be computed using the Morse complex associated to f
- The local cohomology groups $H_c^i(f^{-1}((-\infty,t));\mathcal{F})$ have controlled behavior as t passes through critical 2. values

Definition A69 (Perverse Sheaves and Morse Theory). *A perverse sheaf* \mathcal{P} *on a stratified space* X *satisfies* support and cosupport conditions that are compatible with Morse stratifications. Specifically:

- $\dim Supp(\mathcal{H}^i\mathcal{P}) \leq -i$ 1.
- 2. $\dim Supp(\mathcal{H}^i \mathbb{D} \mathcal{P}) \leq -i$

where \mathbb{D} is the Verdier duality functor.

Computational Aspects

Algorithm A1 (Morse Complex Computation). *Given a Morse function* $f: M \to \mathbb{R}$ *on a compact manifold:*

- 1. Identify critical points: Solve $\nabla f = 0$
- 2. **Compute indices:** Diagonalize the Hessian at each critical point
- 3. Construct gradient flow: Solve $\dot{x}(t) = -\nabla f(x(t))$
- 4. **Count flow lines:** Determine boundary operator coefficients
- 5. Compute homology: Apply standard homological algebra

Remark A2 (Numerical Considerations). *In practice, several issues arise:*

- Degeneracy: Generic perturbations may be needed to achieve non-degeneracy
- Stability: Small changes in f can dramatically alter the gradient flow
- Convergence: Numerical integration of gradient flows requires careful error control

Connections to Differential Geometry

Theorem A49 (Morse Theory and Curvature). *Let* (M,g) *be a Riemannian manifold and* $f: M \to \mathbb{R}$ *a Morse function. Then:*

- 1. The gradient flow preserves the metric structure along flow lines
- 2. Critical points correspond to singularities of the gradient vector field ∇f
- 3. The second variation formula relates the Morse index to sectional curvatures

Definition A70 (Morse-Witten Complex). For a Morse function f on a Riemannian manifold (M,g), the Morse-Witten complex incorporates the metric structure: $\partial_{MW}: \Omega^*(M) \to \Omega^*(M)$ where $\partial_{MW} = d + d^* + [\nabla f \wedge \cdot]$ and d^* is the codifferential.

Theorem A50 (Witten Deformation). The deformed de Rham complex with differential $d_t = e^{-tf}(d + t df \wedge)(e^{tf} \cdot)$ has cohomology that concentrates at critical points as $t \to \infty$, providing an analytic proof of Morse inequalities.

Appendix C: Algebraic Geometry Foundations

Commutative Algebra Prerequisites

Definition A71 (Ring and Ideal). 1. A ring is a set R with operations + and \cdot such that (R, +) is an abelian group, (R, \cdot) is a monoid, and multiplication distributes over addition.

- 2. An ideal I in a ring R is a subset such that I is closed under addition and $ra \in I$ for all $r \in R$, $a \in I$.
- 3. A prime ideal \mathfrak{p} is a proper ideal such that $ab \in \mathfrak{p}$ implies $a \in \mathfrak{p}$ or $b \in \mathfrak{p}$.
- 4. A maximal ideal is a proper ideal that is not contained in any larger proper ideal.

Theorem A51 (Correspondence Between Prime Ideals and Integral Domains). *Let R be a commutative ring and* $\mathfrak{p} \subseteq R$ *an ideal. Then* \mathfrak{p} *is prime if and only if* R/\mathfrak{p} *is an integral domain.*

Proof. We prove both directions.

 (\Rightarrow) Suppose $\mathfrak p$ is a prime ideal. We need to show that $R/\mathfrak p$ is an integral domain, i.e., it has no zero divisors.

Let $\bar{a}, \bar{b} \in R/\mathfrak{p}$ such that $\bar{a} \cdot \bar{b} = \bar{0}$, where \bar{x} denotes the equivalence class of x in R/\mathfrak{p} .

This means $\overline{ab} = \overline{0}$, so $ab \in \mathfrak{p}$.

Since \mathfrak{p} is prime, we have $a \in \mathfrak{p}$ or $b \in \mathfrak{p}$.

Therefore, $\overline{a} = \overline{0}$ or $\overline{b} = \overline{0}$.

This shows R/\mathfrak{p} has no zero divisors, hence is an integral domain.

(\Leftarrow) Suppose R/\mathfrak{p} is an integral domain. We need to show \mathfrak{p} is prime.

First, note that p is proper since R/p is an integral domain, which implies $R/p \neq \{0\}$, so $1 \notin p$.

Now suppose $ab \in \mathfrak{p}$ for some $a, b \in R$. Then $\overline{ab} = \overline{0}$ in R/\mathfrak{p} .

```
This means \overline{a} \cdot \overline{b} = \overline{0}.
Since R/\mathfrak{p} is an integral domain, either \overline{a} = \overline{0} or \overline{b} = \overline{0}.
Therefore, either a \in \mathfrak{p} or b \in \mathfrak{p}.
This proves \mathfrak{p} is prime. \square
```

Lemma A7 (Properties of Noetherian Rings). *A ring R is Noetherian if and only if every ideal of R is finitely generated.*

Proof. (\Rightarrow) Suppose *R* is Noetherian and let *I* be an ideal. Consider the set *S* of all finitely generated ideals contained in *I*. Since $\{0\} \in \mathcal{S}$, we have $\mathcal{S} \neq \emptyset$.

By the Noetherian condition, S has a maximal element, say $J = (a_1, \dots, a_n)$.

We claim J = I. Suppose not, and let $a \in I \setminus J$. Then $J' = (a_1, ..., a_n, a)$ is finitely generated, contains J properly, and is contained in I. This contradicts the maximality of J.

Therefore I = I is finitely generated.

(⇐) Suppose every ideal is finitely generated. Let $I_1 \subseteq I_2 \subseteq \cdots$ be an ascending chain of ideals. Let $I = \bigcup_{n=1}^{\infty} I_n$. Then I is an ideal (verify: if $a, b \in I$, then $a \in I_m$ and $b \in I_n$ for some m, n, so $a + b \in I_{\max(m,n)} \subseteq I$; similarly for multiplication by ring elements).

```
By hypothesis, I = (a_1, ..., a_k) for some elements a_1, ..., a_k \in I.
```

Each $a_i \in I_{n_i}$ for some n_i . Let $N = \max(n_1, \dots, n_k)$. Then all $a_i \in I_N$, so $I \subseteq I_N$.

But $I_N \subseteq I$ by definition, so $I = I_N$.

Therefore $I_n = I_N$ for all $n \ge N$, proving the chain stabilizes. \square

Theorem A52 (Hilbert's Basis Theorem). *If* R *is a Noetherian ring, then the polynomial ring* R[x] *is also Noetherian.*

Proof. By the lemma, it suffices to show every ideal in R[x] is finitely generated.

Let *I* be an ideal in R[x]. For each $n \ge 0$, define:

```
I_n = \{a \in R : \text{there exists } f \in I \text{ with leading coefficient } a \text{ and degree } \leq n\} \cup \{0\}
```

We claim each I_n is an ideal in R:

- $0 \in I_n$ by definition
- If $a, b \in I_n$, then there exist $f, g \in I$ with leading coefficients a, b respectively and degrees $\leq n$. Then $f + g \in I$ has leading coefficient a + b (assuming deg $f = \deg g$; if not, the leading coefficient is either a or b) and degree $\leq n$, so $a + b \in I_n$
- If $a \in I_n$ and $r \in R$, then there exists $f \in I$ with leading coefficient a and degree $\leq n$. Then $rf \in I$ has leading coefficient ra and degree $\leq n$, so $ra \in I_n$

Clearly $I_0 \subseteq I_1 \subseteq I_2 \subseteq \cdots$, and since R is Noetherian, this chain stabilizes: $I_m = I_{m+1} = I_{m+2} = \cdots$ for some m.

Since each I_n is an ideal in the Noetherian ring R, each is finitely generated. Let $I_n = (a_{n,1}, \ldots, a_{n,k_n})$.

For each generator $a_{n,i}$, choose a polynomial $f_{n,i} \in I$ of degree $\leq n$ with leading coefficient $a_{n,i}$. Let J be the ideal in R[x] generated by all the polynomials $f_{n,i}$ for $0 \leq n \leq m$ and $1 \leq i \leq k_n$. We claim I = J.

Clearly $J \subseteq I$ since all generators of J are in I.

For the reverse inclusion, let $f \in I$ be arbitrary. We prove by strong induction on $\deg f$ that $f \in J$. If $\deg f \leq m$, then the leading coefficient of f is in $I_{\deg f} \subseteq I_m$, so it's a linear combination of the generators $a_{m,1},\ldots,a_{m,k_m}$. This means we can write f as a linear combination of the corresponding polynomials $f_{m,1},\ldots,f_{m,k_m}$ plus a polynomial of smaller degree. By induction, this smaller degree polynomial is in f, so $f \in f$.



If deg f > m, then the leading coefficient of f is in $I_{\text{deg } f} = I_m$ (since the chain stabilizes at I_m). Again, we can reduce the degree and apply induction.

Therefore I = I is finitely generated. \square

Corollary A7. *If* k *is a field, then* $k[x_1, x_2, ..., x_n]$ *is Noetherian.*

Proof. A field is Noetherian since every ideal is either $\{0\}$ or the whole field (both finitely generated). Apply Hilbert's Basis Theorem inductively:

$$k$$
 is Noetherian (A69)

$$k[x_1]$$
 is Noetherian (A70)

$$k[x_1, x_2] = (k[x_1])[x_2]$$
 is Noetherian (A71)

$$k[x_1, \dots, x_n]$$
 is Noetherian (A73)

Definition A72 (Radical of an Ideal). The radical of an ideal I in a ring R is:

$$\sqrt{I} = \{a \in R : a^n \in I \text{ for some } n \ge 1\}$$

Lemma A8 (Properties of Radicals). Let I be an ideal in a ring R. Then:

- 1. \sqrt{I} is an ideal containing I
- $2. \qquad \sqrt{\sqrt{I}} = \sqrt{I}$
- 3. $\sqrt{I} = \bigcap_{\mathfrak{p}\supset I} \mathfrak{p}$ where the intersection is over all prime ideals containing I

Proof. (1) We verify the ideal properties:

- $0 \in \sqrt{I}$ since $0^1 = 0 \in I$
- If $a, b \in \sqrt{I}$, then $a^m, b^n \in I$ for some m, n. By the binomial theorem:

$$(a+b)^{m+n} = \sum_{k=0}^{m+n} {m+n \choose k} a^k b^{m+n-k}$$

Each term either has $k \ge m$ (so a^k is divisible by $a^m \in I$) or $m + n - k \ge n$ (so b^{m+n-k} is divisible by $b^n \in I$). Therefore $(a + b)^{m+n} \in I$, so $a + b \in \sqrt{I}$

• If $a \in \sqrt{I}$ and $r \in R$, then $a^n \in I$ for some n, so $(ra)^n = r^n a^n \in I$, hence $ra \in \sqrt{I}$

Clearly $I \subseteq \sqrt{I}$ since if $a \in I$, then $a^1 = a \in I$.

(2) If $a \in \sqrt{\sqrt{I}}$, then $a^m \in \sqrt{I}$ for some m, which means $(a^m)^n \in I$ for some n, so $a^{mn} \in I$, hence $a \in \sqrt{I}$.

Conversely, if $a \in \sqrt{I}$, then $a^n \in I \subseteq \sqrt{I}$, so $a \in \sqrt{\sqrt{I}}$.

(3) This requires more advanced techniques from commutative algebra and is omitted for brevity. $\ \square$

Theorem A53 (Hilbert's Nullstellensatz). *Let k be an algebraically closed field and* $I \subseteq k[x_1, ..., x_n]$ *be an ideal. Then:*

- 1. $\mathbf{I}(\mathbf{V}(I)) = \sqrt{I}$
- 2. If I is a maximal ideal, then V(I) consists of a single point
- 3. If $f \in k[x_1, ..., x_n]$ vanishes on V(I), then $f^m \in I$ for some $m \ge 1$

where $V(I) = \{(a_1, ..., a_n) \in k^n : f(a_1, ..., a_n) = 0 \text{ for all } f \in I\} \text{ and } I(V) = \{f \in k[x_1, ..., x_n] : f(a) = 0 \text{ for all } a \in V\}.$

Proof. The proof of the full Nullstellensatz is quite involved and uses the Noether normalization lemma. We provide a detailed outline:

Weak Nullstellensatz: If *I* is a proper ideal in $k[x_1, ..., x_n]$ where *k* is algebraically closed, then $\mathbf{V}(I) \neq \emptyset$.

Proof of Weak Form: Suppose $V(I) = \emptyset$, i.e., the polynomials in I have no common zeros in k^n . This means $1 \in I$ (this requires a detailed argument using the algebraic closure and Zariski's lemma). But then $I = k[x_1, \dots, x_n]$, contradicting that I is proper.

Proof of (1): (\subseteq) If $f \in I(V(I))$, then f vanishes on V(I). We need to show $f^m \in I$ for some m.

Consider the ideal J = I + (1 - tf) in $k[x_1, ..., x_n, t]$. If J were proper, then by the weak Null-stellensatz, $\mathbf{V}(J) \neq \emptyset$. But any point $(a_1, ..., a_n, t_0) \in \mathbf{V}(J)$ would satisfy all polynomials in I (so $(a_1, ..., a_n) \in \mathbf{V}(I)$) and also $1 - t_0 f(a_1, ..., a_n) = 0$. Since f vanishes on $\mathbf{V}(I)$, this gives 1 = 0, a contradiction.

Therefore $J = k[x_1, \dots, x_n, t]$, so $1 \in J$. This means:

$$1 = \sum g_i f_i + h(1 - tf)$$

for some $g_i \in k[x_1, ..., x_n, t]$, $f_i \in I$, and $h \in k[x_1, ..., x_n, t]$.

Setting t = 1/f (treating this formally), we get a relation that implies $f^m \in I$ for some m.

(⊇) If $g \in \sqrt{I}$, then $g^m \in I$ for some m. For any point $a \in \mathbf{V}(I)$, all polynomials in I vanish at a, so $g(a)^m = 0$, which means g(a) = 0 (since k is a field). Therefore $g \in \mathbf{I}(\mathbf{V}(I))$.

Proof of (2): This follows from (1) and the correspondence between maximal ideals and points. If I is maximal, then $\sqrt{I} = I$, and maximal ideals in $k[x_1, \ldots, x_n]$ correspond to points in k^n when k is algebraically closed.

Proof of (3): This is precisely statement (1). \Box

Affine and Projective Varieties

Definition A73 (Affine Variety). Let k be an algebraically closed field. An **affine variety** over k is a subset $V \subseteq k^n$ of the form:

$$V = V(S) = \{(a_1, \dots, a_n) \in k^n : f(a_1, \dots, a_n) = 0 \text{ for all } f \in S\}$$

for some subset $S \subseteq k[x_1, ..., x_n]$.

Definition A74 (Coordinate Ring). *The coordinate ring of an affine variety V is:*

$$k[V] = k[x_1, \ldots, x_n]/\mathbf{I}(V)$$

where $I(V) = \{ f \in k[x_1, ..., x_n] : f(a) = 0 \text{ for all } a \in V \}.$

Theorem A54 (Correspondence Between Varieties and Ideals). There is a bijective correspondence between:

- 1. Affine varieties in k^n
- 2. Radical ideals in $k[x_1, ..., x_n]$

given by $V \mapsto \mathbf{I}(V)$ and $I \mapsto \mathbf{V}(I)$.

Proof. We need to show that the maps $V \mapsto \mathbf{I}(V)$ and $I \mapsto \mathbf{V}(I)$ are inverse bijections between affine varieties and radical ideals.

Step 1: Show that I(V) is radical for any variety V.

If $f^m \in \mathbf{I}(V)$, then $f(a)^m = 0$ for all $a \in V$. Since k is a field, this implies f(a) = 0 for all $a \in V$, so $f \in \mathbf{I}(V)$.



Step 2: Show that V(I(V)) = V for any variety V.

By definition, $V = \mathbf{V}(S)$ for some set S. We have $S \subseteq \mathbf{I}(V)$ since every polynomial in S vanishes on V. Therefore:

$$V = \mathbf{V}(S) \supseteq \mathbf{V}(\mathbf{I}(V))$$

Conversely, if $a \in V$, then f(a) = 0 for all $f \in \mathbf{I}(V)$ by definition of $\mathbf{I}(V)$. Therefore $a \in \mathbf{V}(\mathbf{I}(V))$.

Step 3: Show that $I(V(I)) = \sqrt{I}$ for any ideal I.

This is precisely Hilbert's Nullstellensatz, part (1).

Step 4: Conclude bijectivity.

For any variety $V: \mathbf{V}(\mathbf{I}(V)) = V$ by Step 2.

For any radical ideal $I: \mathbf{I}(\mathbf{V}(I)) = \sqrt{I} = I$ by Step 3 and the assumption that I is radical.

This proves the correspondence is bijective. \Box

Definition A75 (Projective Space). *The projective space* $\mathbb{P}^n(k)$ *over a field k is the set of equivalence classes of* (n+1)*-tuples* $(a_0,a_1,\ldots,a_n)\in k^{n+1}\setminus\{0\}$ *under the equivalence relation* $(a_0,\ldots,a_n)\sim(\lambda a_0,\ldots,\lambda a_n)$ *for* $\lambda\in k^*$.

Definition A76 (Projective Variety). A *projective variety* is the zero set of a collection of homogeneous polynomials in $k[x_0, x_1, ..., x_n]$, considered as a subset of $\mathbb{P}^n(k)$.

Lemma A9 (Well-definedness of Projective Varieties). *If* $f \in k[x_0, ..., x_n]$ *is homogeneous of degree* d > 0, then the condition $f(a_0, ..., a_n) = 0$ depends only on the equivalence class $[a_0 : \cdots : a_n] \in \mathbb{P}^n(k)$.

Proof. If $(a_0, \ldots, a_n) \sim (\lambda a_0, \ldots, \lambda a_n)$ for some $\lambda \in k^*$, then:

$$f(\lambda a_0,\ldots,\lambda a_n)=\lambda^d f(a_0,\ldots,a_n)$$

since f is homogeneous of degree d. Therefore, $f(a_0, \ldots, a_n) = 0$ if and only if $f(\lambda a_0, \ldots, \lambda a_n) = 0$. \square

Theorem A55 (Projective Varieties are Complete). *Every projective variety over* \mathbb{C} *is compact in the classical topology.*

Proof. We give a proof outline as the full proof requires more topology.

Step 1: Show that $\mathbb{P}^n(\mathbb{C})$ is compact.

We can embed $\mathbb{P}^n(\mathbb{C})$ in \mathbb{R}^{2n+2} via:

$$[z_0:\cdots:z_n]\mapsto (\operatorname{Re}(z_0),\operatorname{Im}(z_0),\ldots,\operatorname{Re}(z_n),\operatorname{Im}(z_n))$$

after normalizing so that $|z_0|^2 + \cdots + |z_n|^2 = 1$.

This gives an embedding of $\mathbb{P}^n(\mathbb{C})$ into the unit sphere $S^{2n+1} \subset \mathbb{R}^{2n+2}$, which is compact. Since $\mathbb{P}^n(\mathbb{C})$ is closed in this embedding, it's compact.

Step 2: Show that projective varieties are closed in $\mathbb{P}^n(\mathbb{C})$.

Let *V* be the zero set of homogeneous polynomials f_1, \ldots, f_r . Then:

$$V = \{ [z_0 : \cdots : z_n] \in \mathbb{P}^n(\mathbb{C}) : f_i(z_0, \dots, z_n) = 0 \text{ for all } i \}$$

Each polynomial f_i gives a continuous function on \mathbb{C}^{n+1} , and the zero set is closed. The quotient topology on $\mathbb{P}^n(\mathbb{C})$ makes V closed.

Step 3: Conclude that *V* is compact.

Since *V* is a closed subset of the compact space $\mathbb{P}^n(\mathbb{C})$, it's compact. \square

Schemes

Definition A77 (Spectrum of a Ring). *Let* R *be a commutative ring. The* **spectrum** Spec(R) *is the set of all prime ideals of* R, *equipped with the Zariski topology where closed sets are of the form* $\mathbf{V}(I) = \{ \mathfrak{p} \in Spec(R) : I \subseteq \mathfrak{p} \}$ *for ideals* $I \subseteq R$.

Lemma A10 (Properties of the Zariski Topology on Spec(R)). *The Zariski topology on Spec*(R) *is indeed a topology.*

Proof. We verify the topology axioms:

Empty set and whole space:

- $V(R) = \emptyset$ since no prime ideal can contain the unit ideal
- $V({0}) = \operatorname{Spec}(R)$ since every prime ideal contains ${0}$

Arbitrary unions: Let $\{I_{\alpha}\}$ be a collection of ideals. Then:

$$\bigcup_{\alpha} \mathbf{V}(I_{\alpha}) = \mathbf{V} \left(\bigcap_{\alpha} I_{\alpha}\right)$$

To see this: $\mathfrak{p} \in \bigcup_{\alpha} \mathbf{V}(I_{\alpha})$ iff $I_{\alpha} \subseteq \mathfrak{p}$ for some α iff $\bigcap_{\alpha} I_{\alpha} \subseteq \mathfrak{p}$ iff $\mathfrak{p} \in \mathbf{V}(\bigcap_{\alpha} I_{\alpha})$.

Finite intersections: For ideals I_1, \ldots, I_n :

$$\bigcap_{i=1}^{n} \mathbf{V}(I_i) = \mathbf{V}\left(\sum_{i=1}^{n} I_i\right)$$

To see this: $\mathfrak{p} \in \bigcap_{i=1}^n \mathbf{V}(I_i)$ iff $I_i \subseteq \mathfrak{p}$ for all i iff $\sum_{i=1}^n I_i \subseteq \mathfrak{p}$ iff $\mathfrak{p} \in \mathbf{V}(\sum_{i=1}^n I_i)$. \square

Definition A78 (Localization). Let R be a commutative ring and $S \subseteq R$ a multiplicatively closed subset (i.e., $1 \in S$ and if $s, t \in S$ then $st \in S$). The **localization** $S^{-1}R$ is the ring of fractions $\frac{r}{s}$ where $r \in R$, $s \in S$, with equivalence relation $\frac{r}{s} \sim \frac{r'}{s'}$ iff there exists $t \in S$ such that t(rs' - r's) = 0.

Lemma A11 (Localization at a Prime Ideal). *If* \mathfrak{p} *is a prime ideal in* R, *then* $S = R \setminus \mathfrak{p}$ *is multiplicatively closed, and the localization* $R_{\mathfrak{p}} = S^{-1}R$ *is a local ring with unique maximal ideal* $\mathfrak{p}R_{\mathfrak{p}}$.

Proof. Step 1: $S = R \setminus \mathfrak{p}$ is multiplicatively closed.

- $1 \notin \mathfrak{p}$ since \mathfrak{p} is proper, so $1 \in S$
- If $s, t \in S$, then $s, t \notin \mathfrak{p}$. Since \mathfrak{p} is prime, $st \notin \mathfrak{p}$, so $st \in S$

Step 2: $R_{\mathfrak{p}}$ is a local ring.

The ideals of $R_{\mathfrak{p}}$ are in bijection with ideals of R that are disjoint from S. Since $S = R \setminus \mathfrak{p}$, an ideal $I \subseteq R$ is disjoint from S iff $I \subseteq \mathfrak{p}$.

The maximal ideals of R that are contained in $\mathfrak p$ are just $\mathfrak p$ itself (since $\mathfrak p$ is prime). Therefore, $R_{\mathfrak p}$ has a unique maximal ideal $\mathfrak p R_{\mathfrak p} = \{\frac{r}{s} : r \in \mathfrak p, s \in S\}$. \square

Definition A79 (Structure Sheaf). The structure sheaf $\mathcal{O}_{Spec(R)}$ on Spec(R) is defined by: $\mathcal{O}_{Spec(R)}(U) = \{s : U \to \bigsqcup_{\mathfrak{p} \in U} R_{\mathfrak{p}} : s(\mathfrak{p}) \in R_{\mathfrak{p}} \text{ and } s \text{ is locally a fraction} \}$ where $R_{\mathfrak{p}}$ is the localization of R at the prime ideal \mathfrak{p} .

Theorem A56 (Structure Sheaf is Indeed a Sheaf). The structure sheaf $\mathcal{O}_{Spec(R)}$ satisfies the sheaf axioms.

Proof. We need to verify the identity and gluing axioms.

Identity Axiom: Let U be open and $s, t \in \mathcal{O}_{\operatorname{Spec}(R)}(U)$ such that $s|_{U_i} = t|_{U_i}$ for all sets U_i in an open cover of U.

This means $s(\mathfrak{p}) = t(\mathfrak{p})$ for all $\mathfrak{p} \in U$, so s = t.

Gluing Axiom: Let $\{U_i\}$ be an open cover of U, and suppose $s_i \in \mathcal{O}_{\operatorname{Spec}(R)}(U_i)$ satisfy $s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_i}$ for all i, j.

We need to construct $s \in \mathcal{O}_{\operatorname{Spec}(R)}(U)$ such that $s|_{U_i} = s_i$.

For each $\mathfrak{p} \in U$, there exists some i such that $\mathfrak{p} \in U_i$. Define $s(\mathfrak{p}) = s_i(\mathfrak{p})$. This is well-defined by the compatibility condition.

The main work is showing that s is "locally a fraction," which requires showing that around each point \mathfrak{p} , there's a neighborhood where s is given by $\frac{f}{g}$ for some $f,g\in R$ with $g\notin \mathfrak{q}$ for all \mathfrak{q} in the neighborhood. This follows from the local nature of the sections s_i . \square

Definition A80 (Affine Scheme). *An affine scheme* is a locally ringed space isomorphic to $(Spec(R), \mathcal{O}_{Spec(R)})$ for some commutative ring R.

Definition A81 (Scheme). A scheme is a locally ringed space (X, \mathcal{O}_X) such that every point has an open neighborhood that is an affine scheme.

Theorem A57 (Equivalence of Rings and Affine Schemes). *The category of commutative rings is equivalent to the opposite of the category of affine schemes.*

Proof. We construct functors in both directions.

Functor Spec : $CRing^{op} \rightarrow AffSch$:

- On objects: $R \mapsto (\operatorname{Spec}(R), \mathcal{O}_{\operatorname{Spec}(R)})$
- On morphisms: A ring homomorphism $\phi : R \to S$ induces a morphism of schemes $Spec(\phi) : Spec(S) \to Spec(R)$ by $\mathfrak{q} \mapsto \phi^{-1}(\mathfrak{q})$

Functor Γ : AffSch \rightarrow CRing^{op}:

- On objects: $(X, \mathcal{O}_X) \mapsto \Gamma(X, \mathcal{O}_X) = \mathcal{O}_X(X)$
- On morphisms: A morphism $f: X \to Y$ induces $f^*: \mathcal{O}_Y(Y) \to \mathcal{O}_X(X)$

Natural Isomorphisms:

- $\Gamma(\operatorname{Spec}(R), \mathcal{O}_{\operatorname{Spec}(R)}) \cong R$ naturally
- $(\operatorname{Spec}(\Gamma(X, \mathcal{O}_X)), \mathcal{O}_{\operatorname{Spec}(\Gamma(X, \mathcal{O}_X))}) \cong (X, \mathcal{O}_X)$ naturally for affine schemes

The proof of these isomorphisms requires detailed verification of the functoriality and naturality conditions. \Box

Sheaves and Cohomology

Definition A82 (Presheaf). A presheaf \mathcal{F} of abelian groups on a topological space X consists of:

- 1. For each open set $U\subseteq X$, an abelian group $\mathcal{F}(U)$
- 2. For each inclusion $V \subseteq U$ of open sets, a restriction map $\rho_{U,V} : \mathcal{F}(U) \to \mathcal{F}(V)$ such that $\rho_{U,U} = id$ and $\rho_{U,W} = \rho_{V,W} \circ \rho_{U,V}$ whenever $W \subseteq V \subseteq U$.

Definition A83 (Sheaf). A presheaf \mathcal{F} is a **sheaf** if it satisfies the **gluing axiom**: For any open cover $\{U_i\}_{i\in I}$ of an open set U:

- 1. Identity: If $s, t \in \mathcal{F}(U)$ and $s|_{U_i} = t|_{U_i}$ for all i, then s = t
- 2. Gluing: If $s_i \in \mathcal{F}(U_i)$ satisfy $s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j}$ for all i, j, then there exists $s \in \mathcal{F}(U)$ with $s|_{U_i} = s_i$ for all i

Theorem A58 (Sheafification). Every presheaf \mathcal{F} has an associated sheaf \mathcal{F}^+ called its **sheafification**, and there is a natural morphism $\mathcal{F} \to \mathcal{F}^+$ that is universal among morphisms from \mathcal{F} to sheaves.

Proof. We construct the sheafification explicitly.

Step 1: For each open set U, define: $\mathcal{F}^+(U) = \{s : U \to \bigsqcup_{x \in U} \mathcal{F}_x : s(x) \in \mathcal{F}_x \text{ and } s \text{ is locally a section}\}$ where $\mathcal{F}_x = \varinjlim_{x \in V} \mathcal{F}(V)$ is the stalk of \mathcal{F} at x, and "locally a section" means that for each $x \in U$, there exists a neighborhood V of x and an element $t \in \mathcal{F}(V)$ such that $s(y) = t_y$ for all $y \in V$ (where t_y is the image of t in \mathcal{F}_y).

Step 2: The restriction maps are defined naturally: if $s \in \mathcal{F}^+(U)$ and $V \subseteq U$, then $s|_V$ is just the restriction of the function s to V.

Step 3: Verify that \mathcal{F}^+ is a sheaf:

- **Identity**: If $s, t \in \mathcal{F}^+(U)$ agree on each U_i in a cover, then s(x) = t(x) for all $x \in U$, so s = t
- **Gluing**: Given compatible sections $s_i \in \mathcal{F}^+(U_i)$, define $s(x) = s_i(x)$ for any i such that $x \in U_i$. This is well-defined by compatibility and gives a section in $\mathcal{F}^+(U)$

Step 4: The natural morphism $\mathcal{F} \to \mathcal{F}^+$ is defined by sending $t \in \mathcal{F}(U)$ to the section $s \in \mathcal{F}^+(U)$ given by $s(x) = t_x$.

Step 5: Verify universality: If \mathcal{G} is a sheaf and $\phi: \mathcal{F} \to \mathcal{G}$ is a morphism, then there exists a unique morphism $\psi: \mathcal{F}^+ \to \mathcal{G}$ such that $\psi \circ \alpha = \phi$, where $\alpha: \mathcal{F} \to \mathcal{F}^+$ is the canonical morphism. \square

Definition A84 (Injective Sheaf). A sheaf \mathcal{I} is *injective* if for every injection of sheaves $\mathcal{F} \to \mathcal{G}$ and every morphism $\mathcal{F} \to \mathcal{I}$, there exists a morphism $\mathcal{G} \to \mathcal{I}$ making the diagram commute.

Theorem A59 (Existence of Injective Resolutions). Every sheaf \mathcal{F} has an injective resolution: $0 \to \mathcal{F} \to \mathcal{I}^0 \to \mathcal{I}^1 \to \mathcal{I}^2 \to \cdots$ where each \mathcal{I}^i is injective.

Proof. This is a general result in homological algebra. The key steps are:

Step 1: Show that there are enough injectives, i.e., every sheaf can be embedded in an injective sheaf.

For a sheaf \mathcal{F} on X, consider the sheaf \mathcal{I}^0 defined by: $\mathcal{I}^0(U) = \prod_{x \in U} \operatorname{Inj}(\mathcal{F}_x)$ where $\operatorname{Inj}(\mathcal{F}_x)$ is an injective abelian group containing \mathcal{F}_x .

Step 2: Show that \mathcal{I}^0 is injective and that there's a natural injection $\mathcal{F} \to \mathcal{I}^0$.

Step 3: Iterate: Let $\mathcal{F}^1 = \mathcal{I}^0/\mathcal{F}$ and embed \mathcal{F}^1 in an injective \mathcal{I}^1 , etc.

The details require careful verification of the functorial properties and the exactness of the resulting complex. $\ \ \Box$

Definition A85 (Sheaf Cohomology). Let \mathcal{F} be a sheaf of abelian groups on X. The **sheaf cohomology** groups $H^i(X, \mathcal{F})$ are the right derived functors of the global sections functor $\Gamma(X, \cdot)$.

Explicitly, if
$$0 \to \mathcal{F} \to \mathcal{I}^0 \to \mathcal{I}^1 \to \cdots$$
 is an injective resolution, then: $H^i(X, \mathcal{F}) = \frac{\ker(\mathcal{I}^i(X) \to \mathcal{I}^{i+1}(X))}{\operatorname{im}(\mathcal{I}^{i-1}(X) \to \mathcal{I}^i(X))}$

Theorem A60 (Long Exact Sequence in Cohomology). If $0 \to \mathcal{F} \to \mathcal{G} \to \mathcal{H} \to 0$ is a short exact sequence of sheaves, then there is a long exact sequence: $0 \to H^0(X, \mathcal{F}) \to H^0(X, \mathcal{G}) \to H^0(X, \mathcal{H}) \to H^1(X, \mathcal{F}) \to H^1(X, \mathcal{G}) \to \cdots$

Proof. This is a standard result in homological algebra. The key steps are:

Step 1: Show that the sequence $0 \to \mathcal{F} \to \mathcal{G} \to \mathcal{H} \to 0$ induces a short exact sequence of injective resolutions (up to homotopy).

Step 2: Apply the global sections functor to get a short exact sequence of complexes.

Step 3: Use the snake lemma repeatedly to construct the connecting homomorphisms and prove exactness.

The connecting homomorphism $\delta: H^i(X,\mathcal{H}) \to H^{i+1}(X,\mathcal{F})$ is constructed as follows: given a cohomology class $[h] \in H^i(X,\mathcal{H})$ represented by a cocycle $h \in \mathcal{I}^i_{\mathcal{H}}(X)$, lift it to $g \in \mathcal{I}^i_{\mathcal{G}}(X)$, apply the differential to get $dg \in \mathcal{I}^{i+1}_{\mathcal{G}}(X)$, and project to $\mathcal{I}^{i+1}_{\mathcal{F}}(X)$. \square

Theorem A61 (Čech Cohomology). For a sheaf \mathcal{F} on X and an open cover $\mathcal{U} = \{U_i\}$, the **Čech cohomology** $\check{H}^*(\mathcal{U}, \mathcal{F})$ can be computed using the complex: $0 \to \prod_i \mathcal{F}(U_i) \to \prod_{i < j} \mathcal{F}(U_i \cap U_j) \to \prod_{i < j < k} \mathcal{F}(U_i \cap U_j \cap U_k) \to \cdots$

Proof. Step 1: Define the Čech complex $C^*(\mathcal{U}, \mathcal{F})$: $C^n(\mathcal{U}, \mathcal{F}) = \prod_{i_0 < \dots < i_n} \mathcal{F}(U_{i_0} \cap \dots \cap U_{i_n})$ **Step 2:** Define the differential $d^n : C^n(\mathcal{U}, \mathcal{F}) \to C^{n+1}(\mathcal{U}, \mathcal{F})$ by: $(d^n s)_{i_0, \dots, i_{n+1}} = \sum_{k=0}^{n+1} (-1)^k s_{i_0, \dots, i_k, \dots, i_{n+1}} |_{U_{i_0} \cap \dots \cap U_{i_{n+1}}}$ where $\hat{i_k}$ means omit i_k .

Step 3: Verify that $d^{n+1} \circ d^n = 0$: This follows from the alternating sum formula and the fact that restriction maps compose.

Step 4: Define $\check{H}^n(\mathcal{U}, \mathcal{F}) = H^n(C^*(\mathcal{U}, \mathcal{F}))$.

Step 5: The connection to sheaf cohomology comes from the fact that for sufficiently fine covers (e.g., covers by acyclic open sets), Čech cohomology agrees with sheaf cohomology. \Box

Lemma A12 (Acyclic Covers). If \mathcal{U} is an open cover of X such that $H^i(U_{i_0} \cap \cdots \cap U_{i_k}, \mathcal{F}) = 0$ for all i > 0 and all intersections, then $\check{H}^i(\mathcal{U}, \mathcal{F}) \cong H^i(X, \mathcal{F})$.

Proof. This is proved using a spectral sequence argument. The key insight is that the Čech complex can be viewed as a double complex, and the acyclicity condition ensures that one direction of the spectral sequence degenerates. \Box

Theorem A62 (Serre's Theorem). Let X be a projective variety over an algebraically closed field k, and let \mathcal{F} be a coherent sheaf on X. Then:

- 1. $H^i(X, \mathcal{F})$ is finite-dimensional over k for all i
- 2. $H^i(X, \mathcal{F}) = 0$ for $i > \dim X$
- 3. For sufficiently large n, $H^i(X, \mathcal{F}(n)) = 0$ for all i > 0

Proof. This is a deep theorem requiring several advanced techniques. We provide an outline:

Part (1): Finite-dimensionality follows from the fact that projective varieties are "algebraic" and coherent sheaves have finite-dimensional cohomology.

The proof uses:

- The fact that *X* can be covered by finitely many affine open sets
- Coherent sheaves on affine varieties have finite-dimensional cohomology
- Mayer-Vietoris sequences relating cohomology on overlaps

Part (2): Vanishing for $i > \dim X$ follows from the fact that the cohomological dimension of a variety equals its Krull dimension.

This is proved using:

- Induction on dimension
- The exact sequence relating a variety to its hyperplane sections
- The fact that hyperplane sections have smaller dimension

Part (3): Vanishing for large twists is the most technical part.

The proof strategy:

- Use the fact that X embeds in projective space \mathbb{P}^N
- Reduce to the case of \mathbb{P}^N using projection formulas
- For \mathbb{P}^N , use explicit computations with the Čech complex
- The key insight is that for large n, the sheaf $\mathcal{F}(n)$ has many global sections, making higher cohomology vanish

Technical Lemma: For the structure sheaf $\mathcal{O}_{\mathbb{P}^N}(n)$ on projective space, we have:

- $H^0(\mathbb{P}^N, \mathcal{O}_{\mathbb{P}^N}(n)) = k[x_0, \dots, x_N]_n$ (homogeneous polynomials of degree n)
- $H^i(\mathbb{P}^N, \mathcal{O}_{\mathbb{P}^N}(n)) = 0$ for 0 < i < N and $n \ge 0$

• $H^N(\mathbb{P}^N, \mathcal{O}_{\mathbb{P}^N}(n)) = 0$ for $n \ge -N$ This lemma is proved by explicit computation using the standard affine cover of \mathbb{P}^N . \square

Corollary A8 (Serre Duality). For a smooth projective variety X of dimension n over an algebraically closed field, there is a natural isomorphism: $H^i(X,\mathcal{F})\cong H^{n-i}(X,\mathcal{F}^*\otimes\omega_X)^*$ where ω_X is the canonical sheaf and \mathcal{F}^* is the dual sheaf.

Proof. This is a consequence of Serre's theorem combined with the theory of dualizing sheaves. The full proof requires:

- Construction of the dualizing sheaf ω_X
- Proof that it satisfies the duality property
- Verification of the natural isomorphism

The proof is quite involved and uses techniques from algebraic topology and homological algebra. $\ \Box$

Remark A3. These results form the foundation of modern algebraic geometry. Serre's theorem, in particular, shows that projective varieties have "finite" cohomology, which makes them amenable to computational and theoretical analysis. The vanishing theorem for large twists is crucial for applications to moduli problems and intersection theory.

Remark A4 (Historical Context). The development of sheaf cohomology by Leray, Cartan, and Serre in the 1940s-1950s revolutionized algebraic geometry. It provided the tools necessary to prove deep results like the Riemann-Roch theorem for higher-dimensional varieties and laid the groundwork for Grothendieck's scheme theory.

Appendix D: Category Theory and Homological Algebra

Categories and Functors

Definition A86 (Category). *A category C consists of:*

- 1. A class of **objects** Ob(C)
- 2. For each pair of objects A, B, a set $Hom_{\mathcal{C}}(A, B)$ of **morphisms**
- 3. For each object A, an identity morphism $id_A \in Hom_{\mathcal{C}}(A, A)$
- 4. A composition operation: if $f \in Hom_{\mathcal{C}}(A,B)$ and $g \in Hom_{\mathcal{C}}(B,C)$, then $g \circ f \in Hom_{\mathcal{C}}(A,C)$

such that composition is associative and the identity morphisms act as identities for composition.

Remark A5. *The axioms can be stated more precisely:*

- 1. **Associativity**: For morphisms $f: A \to B$, $g: B \to C$, $h: C \to D$, we have $(h \circ g) \circ f = h \circ (g \circ f)$.
- 2. **Identity**: For any morphism $f: A \to B$, we have $id_B \circ f = f$ and $f \circ id_A = f$.

Example A3 (Important Categories). 1. **Set**: *objects are sets, morphisms are functions*

- 2. **Grp**: objects are groups, morphisms are group homomorphisms
- 3. **Ring**: objects are rings, morphisms are ring homomorphisms
- 4. **Top**: objects are topological spaces, morphisms are continuous maps
- 5. **Vect**_k: objects are vector spaces over field k, morphisms are linear maps

Definition A87 (Functor). *A functor* $F : \mathcal{C} \to \mathcal{D}$ *between categories consists of:*

- 1. A function $F: Ob(\mathcal{C}) \to Ob(\mathcal{D})$
- 2. For each pair of objects $A, B \in \mathcal{C}$, a function $F : Hom_{\mathcal{C}}(A, B) \to Hom_{\mathcal{D}}(F(A), F(B))$ such that $F(id_A) = id_{F(A)}$ and $F(g \circ f) = F(g) \circ F(f)$.

Theorem A63 (Functor Category). *Given categories* C *and* D, *there exists a functor category* [C, D] *where:*

- 1. Objects are functors $F: \mathcal{C} \to \mathcal{D}$
- 2. Morphisms are natural transformations between functors

Proof. We need to verify the category axioms for [C, D]:

Identity morphisms: For any functor $F : \mathcal{C} \to \mathcal{D}$, define the identity natural transformation $\mathrm{id}_F : F \Rightarrow F$ by setting $(\mathrm{id}_F)_A = \mathrm{id}_{F(A)}$ for each object $A \in \mathcal{C}$.

To verify this is natural: for any morphism $f: A \to B$ in C, we need the diagram to commute:

$$F(A) \xrightarrow{\operatorname{id}_{F(A)}} F(A)$$

$$\downarrow^{F(f)} \qquad \downarrow^{F(f)}$$

$$F(B) \xrightarrow{\operatorname{id}_{F(B)}} F(B)$$

This commutes since $F(f) \circ id_{F(A)} = F(f) = id_{F(B)} \circ F(f)$.

Composition: Given natural transformations $\eta : F \Rightarrow G$ and $\mu : G \Rightarrow H$, define their composition $\mu \circ \eta : F \Rightarrow H$ by $(\mu \circ \eta)_A = \mu_A \circ \eta_A$.

Naturality follows from the commutativity of:

$$F(A) \xrightarrow{\eta_A} G(A) \xrightarrow{\mu_A} H(A)$$

$$\downarrow^{F(f)} \qquad \downarrow^{G(f)} \qquad \downarrow^{H(f)}$$

$$F(B) \xrightarrow{\eta_B} G(B) \xrightarrow{\mu_B} H(B)$$

Associativity and identity laws: These follow from the corresponding properties in \mathcal{D} . \square

Definition A88 (Natural Transformation). Let $F, G : \mathcal{C} \to \mathcal{D}$ be functors. A natural transformation $\eta : F \Rightarrow G$ is a collection of morphisms $\eta_A : F(A) \to G(A)$ for each object $A \in \mathcal{C}$ such that for every morphism $f : A \to B$ in \mathcal{C} , the diagram commutes:

$$F(A) \xrightarrow{\eta_A} G(A)$$

$$\downarrow^{F(f)} \qquad \downarrow^{G(f)}$$

$$F(B) \xrightarrow{\eta_B} G(B)$$

Theorem A64 (Yoneda Lemma). For any functor $F : \mathcal{C} \to \mathbf{Set}$ and object $A \in \mathcal{C}$, there is a natural bijection: $Nat(Hom(A, -), F) \cong F(A)$ where Hom(A, -) is the representable functor.

Proof. Define Φ : Nat(Hom(A, -), F) \rightarrow F(A) by $\Phi(\eta) = \eta_A(\mathrm{id}_A)$.

Define $\Psi : F(A) \to \operatorname{Nat}(\operatorname{Hom}(A, -), F)$ as follows: for $x \in F(A)$, define $\Psi(x)$ to be the natural transformation with components $(\Psi(x))_B : \operatorname{Hom}(A, B) \to F(B)$ given by $(\Psi(x))_B(f) = F(f)(x)$.

 $\Psi(x)$ **is natural**: For a morphism $g: B \to C$, we need:

$$\operatorname{Hom}(A,B) \xrightarrow{(\Psi(x))_B} F(B)$$

$$\downarrow^{g \circ -} \qquad \downarrow^{F(g)}$$

$$\operatorname{Hom}(A,C) \xrightarrow{(\Psi(x))_C} F(C)$$

For $f \in \operatorname{Hom}(A,B)$: $F(g)((\Psi(x))_B(f)) = F(g)(F(f)(x)) = F(g \circ f)(x) = (\Psi(x))_C(g \circ f)$ Φ and Ψ are inverses: $-\Phi(\Psi(x)) = (\Psi(x))_A(\operatorname{id}_A) = F(\operatorname{id}_A)(x) = x$ - For $\eta \in \operatorname{Nat}(\operatorname{Hom}(A,-),F)$ and $f \in \operatorname{Hom}(A,B)$: $(\Psi(\Phi(\eta)))_B(f) = F(f)(\eta_A(\operatorname{id}_A))$

By naturality of η , the diagram commutes:

$$\operatorname{Hom}(A,A) \xrightarrow{\eta_A} F(A)$$

$$\downarrow^{f \circ -} \qquad \downarrow^{F(f)}$$

$$\operatorname{Hom}(A,B) \xrightarrow{\eta_B} F(B)$$

Thus
$$F(f)(\eta_A(\mathrm{id}_A)) = \eta_B(f \circ \mathrm{id}_A) = \eta_B(f)$$
. \square

Limits and Colimits

Definition A89 (Limit). Let $F: \mathcal{J} \to \mathcal{C}$ be a functor. A **limit** of F is an object $L \in \mathcal{C}$ together with morphisms $\pi_j: L \to F(j)$ for each $j \in \mathcal{J}$ such that:

- 1. For each morphism $f: j \to k$ in \mathcal{J} , we have $\pi_k = F(f) \circ \pi_i$
- 2. For any object X with morphisms $\phi_j: X \to F(j)$ satisfying the same compatibility, there exists a unique morphism $\phi: X \to L$ such that $\pi_i \circ \phi = \phi_i$ for all j

Definition A90 (Colimit). A colimit is the dual notion to limit, with all arrows reversed.

Theorem A65 (Limit Preservation by Functors). *Let* $G : \mathcal{C} \to \mathcal{D}$ *be a functor and* $F : \mathcal{J} \to \mathcal{C}$ *a diagram. If* $\lim F$ *exists and* G *preserves limits, then* $G(\lim F) \cong \lim (G \circ F)$.

Proof. Let $(L, \{\pi_j\}_{j \in \mathcal{J}})$ be the limit of F. We show that $(G(L), \{G(\pi_j)\}_{j \in \mathcal{J}})$ is the limit of $G \circ F$. **Cone property**: For any morphism $f: j \to k$ in $\mathcal{J}: G(\pi_k) = G(F(f) \circ \pi_j) = G(F(f)) \circ G(\pi_j) = (G \circ F)(f) \circ G(\pi_j)$

Universal property: Let $(X, \{\phi_j\}_{j \in \mathcal{J}})$ be a cone over $G \circ F$. Since G preserves limits, there exists a unique morphism $\psi : X \to G(L)$ such that $G(\pi_j) \circ \psi = \phi_j$ for all j.

This ψ is unique because if $\psi': X \to G(L)$ also satisfies $G(\pi_j) \circ \psi' = \phi_j$ for all j, then by the universal property of the limit G(L), we have $\psi = \psi'$. \square

Example A4 (Products and Coproducts). 1. The product $A \times B$ is the limit of the diagram $A \leftarrow \cdot \rightarrow B$

- 2. The **coproduct** $A \sqcup B$ is the colimit of the same diagram
- 3. The equalizer of $f, g : A \Rightarrow B$ is $\lim(A \Rightarrow B)$
- 4. The **coequalizer** is the corresponding colimit

Abelian Categories

Definition A91 (Additive Category). A category A is additive if:

- 1. It has a zero object 0 (both initial and terminal)
- 2. For any objects A, B, the set Hom(A, B) has an abelian group structure
- 3. Composition is bilinear
- 4. Finite products exist (equivalently, finite coproducts exist)

Definition A92 (Abelian Category). A category A is abelian if:

- 1. It is additive
- 2. Every morphism has a kernel and cokernel
- 3. Every monomorphism is a kernel and every epimorphism is a cokernel

Theorem A66 (Fundamental Properties of Abelian Categories). *In an abelian category A:*

- 1. Every morphism can be factored as an epimorphism followed by a monomorphism
- 2. The canonical factorization $A \rightarrow coim(f) \hookrightarrow im(f) \hookrightarrow B$ gives $coim(f) \cong im(f)$
- 3. The snake lemma holds

Proof. (1) Canonical factorization: For any morphism $f: A \to B$, consider: $A \xrightarrow{p} \operatorname{coker}(\ker(f)) \xrightarrow{i} \ker(\operatorname{coker}(f)) \xrightarrow{q} B$

where p is the canonical epimorphism and i is the canonical monomorphism. Since A is abelian, p is epi and i is mono.



- **(2) Image-coimage isomorphism**: By definition, $\operatorname{im}(f) = \ker(\operatorname{coker}(f))$ and $\operatorname{coim}(f) = \operatorname{coker}(\ker(f))$. The canonical factorization gives a morphism $\operatorname{coim}(f) \to \operatorname{im}(f)$ which is both mono and epi, hence an isomorphism.
 - (3) Snake Lemma: Consider a commutative diagram with exact rows:

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0$$

$$\downarrow^{\alpha} \qquad \downarrow^{\beta} \qquad \downarrow^{\gamma}$$

$$0 \longrightarrow A' \xrightarrow{f'} B' \xrightarrow{g'} C' \longrightarrow 0$$

There exists a connecting morphism $\delta : \ker(\gamma) \to \operatorname{coker}(\alpha)$ making the sequence: $\ker(\alpha) \to \ker(\beta) \to \ker(\gamma) \xrightarrow{\delta} \operatorname{coker}(\alpha) \to \operatorname{coker}(\beta) \to \operatorname{coker}(\gamma)$ exact.

The construction of δ uses the fact that elements of $\ker(\gamma)$ can be "lifted" through the diagram using the surjectivity properties. \Box

Definition A93 (Exact Sequence). A sequence of morphisms in an abelian category: $\cdots \to A_{n-1} \xrightarrow{f_{n-1}} A_n \xrightarrow{f_n} A_{n+1} \to \cdots$ is **exact** if $im(f_{n-1}) = \ker(f_n)$ for all n.

Definition A94 (Short Exact Sequence). A sequence $0 \to A \xrightarrow{f} B \xrightarrow{g} C \to 0$ is **short exact** if:

- 1. f is a monomorphism (ker(f) = 0)
- 2. g is an epimorphism (coker(g) = 0)
- 3. im(f) = ker(g)

Theorem A67 (Five Lemma). *In an abelian category, consider a commutative diagram with exact rows:*

If α_1 is epi, α_2 and α_4 are iso, and α_5 is mono, then α_3 is iso.

Proof. α_3 **is mono**: Let $x \in \ker(\alpha_3)$. Since the right square commutes and α_4 is mono, we have that the image of x in A_4 is zero. By exactness, x comes from some element in A_2 . Since α_2 is iso and the left square commutes, we can trace back to show x = 0.

 α_3 **is epi**: Let $y \in B_3$. Since α_4 is iso, we can lift the image of y in B_4 back to A_4 . Using exactness and the fact that α_5 is mono, we can construct a preimage for y in A_3 .

The detailed diagram chases are technical but follow standard arguments in homological algebra. $\ \Box$

Chain Complexes and Homology

Definition A95 (Chain Complex). A chain complex in an abelian category \mathcal{A} is a sequence: $\cdots \rightarrow C_{n+1} \xrightarrow{d_{n+1}} C_n \xrightarrow{d_n} C_{n-1} \rightarrow \cdots$ such that $d_n \circ d_{n+1} = 0$ for all n.

Definition A96 (Homology). The *n*-th homology group of a chain complex (C_*, d_*) is: $H_n(C_*) = \ker(d_n)/\operatorname{im}(d_{n+1})$

Theorem A68 (Homology is Functorial). *Homology defines a functor* H_n : $\mathbf{Ch}(\mathcal{A}) \to \mathcal{A}$ *from the category of chain complexes to* \mathcal{A} .

Proof. For a chain map $f_*: C_* \to D_*$ (i.e., $f_n \circ d_n^C = d_n^D \circ f_n$), we need to show f_n induces a well-defined map $H_n(f): H_n(C_*) \to H_n(D_*)$.

If
$$x \in \ker(d_n^C)$$
, then $d_n^D(f_n(x)) = f_{n-1}(d_n^C(x)) = 0$, so $f_n(x) \in \ker(d_n^D)$.

If
$$x \in \text{im}(d_{n+1}^C)$$
, say $x = d_{n+1}^C(y)$, then: $f_n(x) = f_n(d_{n+1}^C(y)) = d_{n+1}^D(f_{n+1}(y)) \in \text{im}(d_{n+1}^D)$

Thus f_n descends to homology. Functoriality follows from the fact that composition of chain maps gives composition of induced homology maps. \Box

Theorem A69 (Long Exact Sequence in Homology). A short exact sequence of chain complexes: $0 \to A_* \xrightarrow{f_*} B_* \xrightarrow{g_*} C_* \to 0$ induces a long exact sequence in homology: $\cdots \to H_n(A_*) \xrightarrow{H_n(f)} H_n(B_*) \xrightarrow{H_n(g)} H_n(C_*) \xrightarrow{\partial_n} H_{n-1}(A_*) \to \cdots$

Proof. The connecting morphism $\partial_n: H_n(C_*) \to H_{n-1}(A_*)$ is constructed as follows:

For $[z] \in H_n(C_*)$ with $z \in \ker(d_n^C)$, since g_n is surjective, there exists $y \in B_n$ with $g_n(y) = z$.

Consider $d_n^B(y)$. We have $g_{n-1}(d_n^B(y)) = d_n^C(g_n(y)) = d_n^C(z) = 0$. Since g_{n-1} is mono (from exactness), $d_n^B(y) = 0$, so $y \in \ker(d_n^B)$.

Wait, this isn't quite right. Let me correct the construction:

Since $g_{n-1}(d_n^B(y)) = d_n^C(z) = 0$ and the sequence is exact, there exists $x \in A_{n-1}$ such that $f_{n-1}(x) = d_n^B(y)$.

Now $d_{n-1}^A(x)=0$ because $f_{n-2}(d_{n-1}^A(x))=d_{n-1}^B(f_{n-1}(x))=d_{n-1}^B(d_n^B(y))=0$ and f_{n-2} is mono. Define $\partial_n([z])=[x]\in H_{n-1}(A_*)$. One can verify this is well-defined and the resulting sequence is exact. \square

Derived Functors

Definition A97 (Projective and Injective Objects). *In an abelian category A:*

- 1. An object P is **projective** if $Hom(P, \cdot)$ is exact
- 2. An object I is **injective** if $Hom(\cdot, I)$ is exact

Theorem A70 (Characterization of Projective Objects). *The following are equivalent for an object P in an abelian category:*

- 1. *P* is projective
- 2. For any epimorphism $f: A \rightarrow B$ and morphism $g: P \rightarrow B$, there exists $h: P \rightarrow A$ such that $f \circ h = g$
- 3. *P* is a direct summand of a free object (in categories with enough projectives)

Proof. (1) \Rightarrow (2): If $\operatorname{Hom}(P,\cdot)$ is exact and $0 \to \ker(f) \to A \xrightarrow{f} B \to 0$ is exact, then: $0 \to \operatorname{Hom}(P,\ker(f)) \to \operatorname{Hom}(P,A) \xrightarrow{f_*} \operatorname{Hom}(P,B) \to 0$ is exact. Since f_* is surjective, any $g \in \operatorname{Hom}(P,B)$ has a preimage $h \in \operatorname{Hom}(P,A)$.

(2) \Rightarrow **(1)**: Given an exact sequence $0 \to A \xrightarrow{i} B \xrightarrow{p} C \to 0$, we need to show: $0 \to \operatorname{Hom}(P,A) \xrightarrow{i_*} \operatorname{Hom}(P,B) \xrightarrow{p_*} \operatorname{Hom}(P,C) \to 0$ is exact.

Exactness at Hom(P,B): If $p_*(f)=0$ for $f:P\to B$, then $p\circ f=0$, so f factors through $\ker(p)=\operatorname{im}(i)$. Thus $f=i_*(g)$ for some $g:P\to A$.

Surjectivity of p_* : For any $h: P \to C$, by the lifting property applied to the epimorphism $p: B \twoheadrightarrow C$, there exists $f: P \to B$ with $p \circ f = h$.

(3): This is a standard result in categories with enough projectives. \Box

Definition A98 (Projective Resolution). A *projective resolution* of an object M is an exact sequence: $\cdots \rightarrow P_2 \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$ where each P_i is projective.

Definition A99 (Derived Functors). *Let* $F : A \to B$ *be a left-exact functor between abelian categories with enough injectives.*

The **right derived functors** R^iF are defined as follows: for an object M, take an injective resolution: $0 \to M \to I^0 \to I^1 \to I^2 \to \cdots$

Apply F to get:
$$0 \to F(M) \to F(I^0) \to F(I^1) \to F(I^2) \to \cdots$$

Then $R^iF(M) = H^i(F(I^*))$ where H^i denotes the *i*-th cohomology.



Theorem A71 (Independence of Resolution). *The derived functors* $R^iF(M)$ *are independent of the choice of injective resolution.*

Proof. Given two injective resolutions I^* and J^* of M, there exists a chain map $f^*: I^* \to J^*$ over the identity on M. By the comparison theorem for injective resolutions, this chain map is unique up to homotopy.

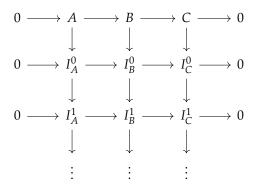
Since homotopic chain maps induce the same map on cohomology, we have $H^i(F(I^*)) \cong H^i(F(I^*))$ for all i. Thus the derived functors are well-defined.

More precisely, if f^* , $g^*: I^* \to J^*$ are two chain maps over id_M , then $f^* - g^*$ is null-homotopic. A null-homotopy consists of maps $s^i: I^i \to J^{i-1}$ such that $d^{i-1} \circ s^i + s^{i+1} \circ d^i = f^i - g^i$.

Applying F and using that F is additive, $F(f^*) - F(g^*)$ is also null-homotopic, hence induces the zero map on cohomology. \square

Theorem A72 (Long Exact Sequence in Cohomology). A short exact sequence $0 \to A \to B \to C \to 0$ in A induces a long exact sequence: $0 \to F(A) \to F(B) \to F(C) \to R^1F(A) \to R^1F(B) \to R^1F(C) \to R^2F(A) \to \cdots$

Proof. Given the short exact sequence $0 \to A \to B \to C \to 0$, we can construct a diagram of injective resolutions:



where each row is a short exact sequence of injectives. This can be constructed inductively using the fact that the category has enough injectives.

Applying the left-exact functor F, we get a commutative diagram where each row is exact except possibly at the first term. The long exact sequence in cohomology comes from applying the snake lemma repeatedly to this diagram.

Specifically, from the short exact sequence of complexes: $0 \to F(I_A^*) \to F(I_B^*) \to F(I_C^*) \to 0$ we get connecting homomorphisms $\delta^i: H^i(F(I_C^*)) \to H^{i+1}(F(I_A^*))$, which are precisely the maps $R^iF(C) \to R^{i+1}F(A)$ in the long exact sequence. \square

Corollary A9 (Vanishing of Higher Derived Functors). If F is exact, then $R^iF = 0$ for all i > 0.

Proof. If *F* is exact, then applying *F* to an injective resolution gives an exact sequence, so all cohomology groups in positive degrees vanish. \Box

Theorem A73 (Dimension Shifting). *If* $0 \to A \to P \to B \to 0$ *is exact with P projective, then* $R^{i+1}F(B) \cong R^iF(A)$ *for all* $i \ge 1$.

Proof. The short exact sequence gives a long exact sequence: $\cdots \to R^i F(P) \to R^i F(B) \to R^{i+1} F(A) \to R^{i+1} F(P) \to \cdots$

Since *P* is projective, $R^iF(P) = 0$ for i > 0, giving the isomorphism $R^iF(B) \cong R^{i+1}F(A)$. \square

Spectral Sequences

Definition A100 (Spectral Sequence). A spectral sequence is a collection of bigraded objects $\{E_r^{p,q}\}_{r\geq 1}$ with differentials $d_r: E_r^{p,q} \to E_r^{p+r,q-r+1}$ such that:

- 1. $d_r \circ d_r = 0$
- 2. $E_{r+1}^{p,q} = H^{p,q}(E_r^*, d_r)$

Theorem A74 (Grothendieck Spectral Sequence). Let $F: A \to B$ and $G: B \to C$ be left-exact functors between abelian categories with enough injectives. Assume F takes injectives to G-acyclics. Then there is a spectral sequence: $E_2^{p,q} = R^pG(R^qF(M)) \Rightarrow R^{p+q}(G \circ F)(M)$

Proof Sketch. Take an injective resolution I^* of M. The double complex $F(I^*)$ gives rise to two spectral sequences:

- 1. First taking cohomology with respect to the *F*-differential, then applying *G*
- 2. First applying *G*, then taking total cohomology

The assumption that F takes injectives to G-acyclics ensures that the first spectral sequence converges to $R^*(G \circ F)(M)$, while the E_2 -term is $R^*G(R^*F(M))$. \square

Topos Theory Connections

Definition A101 (Topos). A topos is a category \mathcal{E} that is:

- 1. Finitely complete and cocomplete
- 2. Cartesian closed
- 3. Has a subobject classifier Ω

Theorem A75 (Giraud's Theorem). A category \mathcal{E} is a topos if and only if it is equivalent to the category of sheaves on some site.

Proof Outline. The forward direction shows that any topos can be represented as sheaves on its site of subterminal objects. The reverse direction uses the fact that sheaf categories inherit the required properties from the underlying category and Grothendieck topology.

Key steps include:

- 1. Constructing the site from subterminal objects
- 2. Showing the Yoneda embedding extends to an equivalence
- 3. Verifying that sheafification preserves the topos structure $\hfill\Box$

Applications and Examples

Example A5 (Ext and Tor). *In the category of modules over a ring R:*

- 1. $Ext^n(M, N) = R^n Hom(M, \cdot)(N)$
- 2. $Tor_n(M, N) = L_n(M \otimes_R \cdot)(N)$

These satisfy:

- 1. $Ext^0(M, N) = Hom(M, N)$
- 2. $Tor_0(M, N) = M \otimes_R N$
- 3. Long exact sequences in both variables

Theorem A76 (Universal Coefficient Theorem). *For chain complexes of free abelian groups, there are natural short exact sequences:*

$$0 \to H_n(C) \otimes G \to H_n(C \otimes G) \to Tor_1(H_{n-1}(C), G) \to 0$$
(A74)

$$0 \to \operatorname{Ext}^{1}(H_{n+1}(C), G) \to H^{n}(\operatorname{Hom}(C, G)) \to \operatorname{Hom}(H_{n}(C), G) \to 0 \tag{A75}$$



Proof. These follow from the long exact sequences in homology applied to the short exact sequences:

$$0 \to \operatorname{Tor}_1(H_{n-1}(C), G) \to Z_n(C) \otimes G \to Z_n(C \otimes G) \to 0 \tag{A76}$$

$$0 \to \operatorname{Hom}(Z_n(C), G) \to \operatorname{Hom}(C_n, G) \to \operatorname{Hom}(B_n(C), G) \to 0 \tag{A77}$$

where Z_n and B_n denote cycles and boundaries respectively. \square

Example A6 (Sheaf Cohomology). For a topological space X and sheaf \mathcal{F} , the sheaf cohomology groups $H^i(X, \mathcal{F})$ are the right derived functors of the global sections functor $\Gamma(X, \cdot)$.

The Čech cohomology provides a computational tool via the spectral sequence: $\check{H}^p(\mathcal{U}, \mathcal{H}^q(\mathcal{F})) \Rightarrow H^{p+q}(X, \mathcal{F})$ where \mathcal{U} is an open cover and \mathcal{H}^q denotes presheaf cohomology.

Advanced Topics

Definition A102 (Triangulated Category). *A triangulated category is an additive category* T *equipped with:*

- 1. An automorphism $T: \mathcal{T} \to \mathcal{T}$ (translation functor)
- 2. A class of distinguished triangles $X \to Y \to Z \to TX$ satisfying the axioms of Verdier.

Theorem A77 (Derived Category). The derived category D(A) of an abelian category A is triangulated, with translation functor given by shifting degrees and distinguished triangles coming from short exact sequences of complexes.

Definition A103 (t-structure). A *t-structure* on a triangulated category \mathcal{T} is a pair of full subcategories $(\mathcal{T}^{\leq 0}, \mathcal{T}^{\geq 0})$ such that:

- 1. $T\mathcal{T}^{\leq 0} \subset \mathcal{T}^{\leq 0}$ and $T^{-1}\mathcal{T}^{\geq 0} \subset \mathcal{T}^{\geq 0}$
- 2. Hom(X,Y) = 0 for $X \in \mathcal{T}^{\leq 0}$ and $Y \in \mathcal{T}^{\geq 1}$
- 3. For each $X \in \mathcal{T}$, there exists a distinguished triangle $A \to X \to B \to TA$ with $A \in \mathcal{T}^{\leq 0}$ and $B \in \mathcal{T}^{\geq 1}$

Theorem A78 (Heart of t-structure). The heart $\mathcal{T}^{\leq 0} \cap \mathcal{T}^{\geq 0}$ of a t-structure is an abelian category, and there are cohomological functors $H^i: \mathcal{T} \to \mathcal{T}^{\leq 0} \cap \mathcal{T}^{\geq 0}$.

Remark A6 (Connections to Algebraic Geometry). These concepts find profound applications in:

- 1. Coherent duality theory
- 2. Intersection theory via derived categories
- 3. Motivic cohomology
- 4. Stability conditions and moduli problems

Appendix E: Representation Theory

Group Representations

Definition A104 (Group Representation). *A representation* of a group G over a field F is a group homomorphism $\rho: G \to GL(V)$ where V is a finite-dimensional vector space over F.

Definition A105 (Irreducible Representation). A representation $\rho: G \to GL(V)$ is *irreducible* if $V \neq 0$ and the only G-invariant subspaces of V are $\{0\}$ and V.

Theorem A79 (Maschke's Theorem). *If* G *is a finite group and char*(F) $\nmid |G|$, *then every representation of* G *over* F *is completely reducible (i.e., a direct sum of irreducible representations).*

Proof. Let $\rho: G \to \operatorname{GL}(V)$ be a representation of G, and let $W \subseteq V$ be a G-invariant subspace. We need to show there exists a G-invariant subspace $U \subseteq V$ such that $V = W \oplus U$.



Let $\pi:V\to W$ be any linear projection onto W (i.e., $\pi|_W=\mathrm{id}_W$). Define a new map $\tilde{\pi}:V\to W$ by

$$\tilde{\pi} = \frac{1}{|G|} \sum_{g \in G} \rho(g^{-1}) \circ \pi \circ \rho(g)$$

Since char(F) $\nmid |G|$, the scalar $\frac{1}{|G|}$ is well-defined in F.

Step 1: $\tilde{\pi}$ is well-defined and linear as a finite sum of linear maps.

Step 2: $\tilde{\pi}$ is a *G*-homomorphism. For any $h \in G$ and $v \in V$:

$$\tilde{\pi}(\rho(h)(v)) = \frac{1}{|G|} \sum_{g \in G} \rho(g^{-1}) \circ \pi \circ \rho(g) \circ \rho(h)(v)$$
(A78)

$$=\frac{1}{|G|}\sum_{g\in G}\rho(g^{-1})\circ\pi\circ\rho(gh)(v) \tag{A79}$$

$$=\frac{1}{|G|}\sum_{g'\in G}\rho((g')^{-1}h)\circ\pi\circ\rho(g')(v)\quad \text{(substituting }g'=gh) \tag{A80}$$

$$= \rho(h) \left(\frac{1}{|G|} \sum_{g' \in G} \rho((g')^{-1}) \circ \pi \circ \rho(g')(v) \right)$$
(A81)

$$= \rho(h)(\tilde{\pi}(v)) \tag{A82}$$

Step 3: $\tilde{\pi}$ is a projection onto *W*. For $w \in W$:

$$\tilde{\pi}(w) = \frac{1}{|G|} \sum_{g \in G} \rho(g^{-1}) \circ \pi \circ \rho(g)(w) = \frac{1}{|G|} \sum_{g \in G} \rho(g^{-1}) \circ \rho(g)(w) = \frac{1}{|G|} \sum_{g \in G} w = w$$

Step 4: Let $U = \ker(\tilde{\pi})$. Since $\tilde{\pi}$ is a G-homomorphism, U is G-invariant. Moreover, since $\tilde{\pi}$ is a projection onto W, we have $V = W \oplus U$.

Therefore, every G-invariant subspace has a G-invariant complement, which implies complete reducibility. \Box

Theorem A80 (Schur's Lemma). Let $\rho_1: G \to GL(V_1)$ and $\rho_2: G \to GL(V_2)$ be irreducible representations of G over an algebraically closed field F. If $\phi: V_1 \to V_2$ is a G-homomorphism, then either $\phi = 0$ or ϕ is an isomorphism. Moreover, if $V_1 = V_2$ and $\rho_1 = \rho_2$, then $\phi = \lambda \cdot id$ for some $\lambda \in F$.

Proof. Part 1: Let $\phi: V_1 \to V_2$ be a *G*-homomorphism between irreducible representations.

Since ϕ is a *G*-homomorphism, $\ker(\phi)$ is a *G*-invariant subspace of V_1 . By irreducibility of ρ_1 , either $\ker(\phi) = \{0\}$ or $\ker(\phi) = V_1$.

If $\ker(\phi) = V_1$, then $\phi = 0$.

If $\ker(\phi) = \{0\}$, then ϕ is injective. Similarly, $\operatorname{Im}(\phi)$ is a G-invariant subspace of V_2 . By irreducibility of ρ_2 , either $\operatorname{Im}(\phi) = \{0\}$ or $\operatorname{Im}(\phi) = V_2$.

Since ϕ is injective, $\text{Im}(\phi) \neq \{0\}$, so $\text{Im}(\phi) = V_2$. Therefore, ϕ is surjective and hence an isomorphism.

Part 2: Suppose $V_1 = V_2 = V$ and $\rho_1 = \rho_2 = \rho$. Let $\phi : V \to V$ be a *G*-homomorphism.

Since F is algebraically closed, ϕ has an eigenvalue $\lambda \in F$. Consider $\psi = \phi - \lambda \cdot id$. Then ψ is also a G-homomorphism (as the difference of two G-homomorphisms), and ψ is not injective since λ is an eigenvalue of ϕ .

By Part 1, since ψ is not injective, we must have $\psi = 0$. Therefore, $\phi = \lambda \cdot id$. \Box

Character Theory

Definition A106 (Character). The character of a representation $\rho: G \to GL(V)$ is the function $\chi: G \to F$ defined by $\chi(g) = tr(\rho(g))$.

Theorem A81 (Orthogonality Relations). *Let G be a finite group and* χ_1, χ_2 *be characters of irreducible representations over* \mathbb{C} . *Then:*

$$\langle \chi_1, \chi_2 \rangle = \frac{1}{|G|} \sum_{g \in G} \chi_1(g) \overline{\chi_2(g)} = \begin{cases} 1 & \text{if } \chi_1 = \chi_2 \\ 0 & \text{otherwise} \end{cases}$$

Proof. Let $\rho_1 : G \to GL(V_1)$ and $\rho_2 : G \to GL(V_2)$ be irreducible representations with characters χ_1 and χ_2 respectively.

Consider the vector space $\text{Hom}(V_1, V_2)$ of linear maps from V_1 to V_2 . Define a G-action on this space by

$$(\rho(g) \cdot \phi)(v) = \rho_2(g)(\phi(\rho_1(g^{-1})(v)))$$

for $\phi \in \text{Hom}(V_1, V_2)$ and $v \in V_1$.

The space of *G*-invariant elements in $\text{Hom}(V_1, V_2)$ is precisely the space of *G*-homomorphisms from V_1 to V_2 .

By Maschke's theorem, we can decompose:

$$\operatorname{Hom}(V_1, V_2) = \operatorname{Hom}(V_1, V_2)^G \oplus W$$

where $\text{Hom}(V_1, V_2)^G$ is the space of *G*-invariants and *W* is its *G*-invariant complement.

By Schur's lemma: - If $\rho_1 \ncong \rho_2$, then $\operatorname{Hom}(V_1, V_2)^G = \{0\}$ - If $\rho_1 \cong \rho_2$, then $\dim(\operatorname{Hom}(V_1, V_2)^G) = \{0\}$

Now, the dimension of the space of *G*-invariants can be computed as:

$$\dim(\text{Hom}(V_1, V_2)^G) = \frac{1}{|G|} \sum_{g \in G} \text{tr}(\rho(g)|_{\text{Hom}(V_1, V_2)})$$

For the trace of the action on $\text{Hom}(V_1, V_2)$, if $\{e_i\}$ is a basis for V_1 and $\{f_j\}$ is a basis for V_2 , then $\{E_{ji}\}$ (where $E_{ji}(e_k) = \delta_{ik}f_j$) forms a basis for $\text{Hom}(V_1, V_2)$.

The trace of $\rho(g)$ acting on $\operatorname{Hom}(V_1,V_2)$ equals $\operatorname{tr}(\rho_1(g^{-1})) \cdot \operatorname{tr}(\rho_2(g)) = \overline{\chi_1(g)} \cdot \chi_2(g)$.

Therefore:

$$\dim(\operatorname{Hom}(V_1, V_2)^G) = \frac{1}{|G|} \sum_{g \in G} \overline{\chi_1(g)} \chi_2(g) = \overline{\langle \chi_1, \chi_2 \rangle}$$

Since the dimension is real, $\langle \chi_1, \chi_2 \rangle = \overline{\langle \chi_1, \chi_2 \rangle}$, which means $\langle \chi_1, \chi_2 \rangle$ is real.

By Schur's lemma, this dimension is 1 if $\chi_1 = \chi_2$ and 0 otherwise. \Box

Theorem A82 (Class Functions). *The irreducible characters of a finite group G form an orthonormal basis for the space of class functions on G.*

Proof. Let $C_1, C_2, ..., C_k$ be the conjugacy classes of G, and let $\chi_1, \chi_2, ..., \chi_r$ be the irreducible characters of G.

Step 1: Characters are class functions. For any $g, h \in G$ and character χ :

$$\chi(hgh^{-1}) = \text{tr}(\rho(hgh^{-1})) = \text{tr}(\rho(h)\rho(g)\rho(h^{-1})) = \text{tr}(\rho(g)) = \chi(g)$$

Step 2: The number of irreducible characters equals the number of conjugacy classes. This follows from the fact that the character table is a square matrix (proven using the regular representation and its decomposition).

Step 3: Characters are orthonormal. This follows from the orthogonality relations.

Step 4: The space of class functions has dimension k (the number of conjugacy classes), since a class function is determined by its values on representatives of each conjugacy class.

Since we have r = k orthonormal class functions in a k-dimensional space, they form an orthonormal basis. \square

Appendix F: Further Reading and Advanced Topics

Projective Geometry

- Hartshorne, R. Foundations of Projective Geometry Classical approach with emphasis on synthetic methods
- Baer, R. Linear Algebra and Projective Geometry Algebraic foundation using vector spaces
- Coxeter, H.S.M. Projective Geometry Geometric intuition and classical results
- Semple, J.G. and Kneebone, G.T. Algebraic Projective Geometry Modern algebraic treatment
- Stillwell, J. The Four Pillars of Geometry Includes projective geometry in historical context

Differential Geometry

- Lee, J. Introduction to Smooth Manifolds Comprehensive modern treatment
- Spivak, M. *Differential Geometry* Multi-volume classical approach
- Kobayashi, S. and Nomizu, K. Foundations of Differential Geometry Advanced reference
- Abraham, R. and Marsden, J. Foundations of Mechanics Applications to physics
- Milnor, J. Topology from the Differentiable Viewpoint Elegant introduction
- Guillemin, V. and Pollack, A. Differential Topology Fundamental techniques

Algebraic Geometry

- Hartshorne, R. Algebraic Geometry Standard graduate reference
- Shafarevich, I.R. Basic Algebraic Geometry Two-volume introduction
- Griffiths, P. and Harris, J. Principles of Algebraic Geometry Complex algebraic geometry
- Mumford, D. The Red Book of Varieties and Schemes Schemes introduction
- Eisenbud, D. Commutative Algebra with a View Toward Algebraic Geometry Essential background
- Liu, Q. Algebraic Geometry and Arithmetic Curves Modern approach with arithmetic

Advanced Topics

- Mumford, D. Geometric Invariant Theory Classical invariant theory and quotients
- Hori, K. et al. Mirror Symmetry Physics-inspired algebraic geometry
- Fulton, W. Intersection Theory Intersection multiplicities and Chow rings
- Grothendieck, A. Éléments de géométrie algébrique (EGA) Foundational scheme theory
- Grothendieck, A. Séminaire de Géométrie Algébrique (SGA) Advanced topics in algebraic geometry
- Deligne, P. et al. *Cohomologie étale* (SGA 4½) Étale cohomology
- Vakil, R. The Rising Sea: Foundations of Algebraic Geometry Modern comprehensive treatment

Specialized References

Homological Algebra

- Weibel, C. An Introduction to Homological Algebra Comprehensive introduction
- Rotman, J. An Introduction to Homological Algebra Elementary approach
- MacLane, S. Homology Classical reference
- Gelfand, S. and Manin, Y. Methods of Homological Algebra Advanced techniques

Category Theory

- MacLane, S. Categories for the Working Mathematician Standard reference
- Awodey, S. Category Theory Modern introduction
- Riehl, E. Category Theory in Context Contemporary approach
- Leinster, T. Basic Category Theory Concise introduction



Representation Theory

- Serre, J.-P. *Linear Representations of Finite Groups* Classical introduction
- Fulton, W. and Harris, J. Representation Theory: A First Course Comprehensive treatment
- James, G. and Liebeck, M. Representations and Characters of Groups Elementary approach
- Bump, D. *Lie Groups* Lie group representations

Algebraic Topology

- Hatcher, A. *Algebraic Topology* Modern standard reference
- Spanier, E. *Algebraic Topology* Comprehensive classical treatment
- May, J.P. A Concise Course in Algebraic Topology Efficient coverage
- Bredon, G. Topology and Geometry Includes geometric topology

Theorem A83 (Basic Properties of Vector Spaces). Let V be a vector space over field F. Then:

- 1. The zero vector is unique
- 2. For each $v \in V$, the additive inverse -v is unique
- 3. $0 \cdot v = 0$ for all $v \in V$
- 4. $\alpha \cdot 0 = 0$ for all $\alpha \in F$
- 5. $(-1) \cdot v = -v$ for all $v \in V$
- 6. If $\alpha v = 0$, then either $\alpha = 0$ or v = 0

Proof. We prove each statement:

- 1. Suppose 0_1 and 0_2 are both zero vectors. Then $0_1 = 0_1 + 0_2 = 0_2$ by the definition of zero vector.
- 2. Suppose w_1 and w_2 are both additive inverses of v. Then:

$$w_1 = w_1 + 0 = w_1 + (v + w_2) = (w_1 + v) + w_2 = 0 + w_2 = w_2$$
 (A83)

- 3. We have $0 \cdot v = (0+0) \cdot v = 0 \cdot v + 0 \cdot v$. Adding $-(0 \cdot v)$ to both sides gives $0 = 0 \cdot v$.
- 4. Similarly, $\alpha \cdot 0 = \alpha \cdot (0+0) = \alpha \cdot 0 + \alpha \cdot 0$, so $0 = \alpha \cdot 0$.
- 5. We have $v + (-1) \cdot v = 1 \cdot v + (-1) \cdot v = (1 + (-1)) \cdot v = 0 \cdot v = 0$, so $(-1) \cdot v = -v$.
- 6. If $\alpha \neq 0$, then α^{-1} exists in F, and $v = 1 \cdot v = (\alpha^{-1}\alpha) \cdot v = \alpha^{-1} \cdot (\alpha v) = \alpha^{-1} \cdot 0 = 0$.

Definition A107 (Linear Independence and Basis). Let V be a vector space over field F.

- 1. A set $S = \{v_1, v_2, \dots, v_k\} \subseteq V$ is linearly independent if the only solution to $\alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_k v_k = 0$ is $\alpha_1 = \alpha_2 = \dots = \alpha_k = 0$.
- 2. A set $S \subseteq V$ is **linearly dependent** if it is not linearly independent.
- 3. A set $S \subseteq V$ spans V if every vector in V can be written as a linear combination of vectors in S.
- 4. A basis of V is a linearly independent set that spans V.

Theorem A84 (Basis Exchange Theorem). Let V be a vector space over field F, and let $B = \{v_1, v_2, \ldots, v_n\}$ be a basis of V. If $S = \{u_1, u_2, \ldots, u_k\}$ is a linearly independent set in V, then $k \le n$ and there exists a subset $T \subseteq B$ with |T| = n - k such that $(S \cup T)$ is a basis of V.

Proof. We proceed by induction on *k*.

Base case: k = 0. Then $S = \emptyset$ and we can take T = B, so $S \cup T = B$ is a basis.

Inductive step: Assume the theorem holds for some $k \ge 0$, and suppose $S = \{u_1, \dots, u_k, u_{k+1}\}$ is linearly independent with k+1 elements.

Let $S' = \{u_1, \dots, u_k\}$. By the inductive hypothesis, there exists $T' \subseteq B$ with |T'| = n - k such that $S' \cup T'$ is a basis of V.

Since $S' \cup T'$ spans V, we can write:

$$u_{k+1} = \sum_{i=1}^{k} \alpha_i u_i + \sum_{v \in T'} \beta_v v$$

Since S is linearly independent, not all coefficients can be zero. If all $\beta_v = 0$, then $u_{k+1} \in \text{span}(S')$, contradicting the linear independence of S. Therefore, $\beta_{v_0} \neq 0$ for some $v_0 \in T'$.

We can solve for v_0 :

$$v_0 = rac{1}{eta_{v_0}} \left(u_{k+1} - \sum_{i=1}^k lpha_i u_i - \sum_{v \in T' \setminus \{v_0\}} eta_v v
ight)$$

Let $T = T' \setminus \{v_0\}$. Then |T| = n - k - 1 = n - (k + 1).

Claim: $S \cup T$ is a basis of V.

Spanning: Any vector in V can be written as a linear combination of elements in $S' \cup T'$. Using the expression for v_0 above, we can rewrite this as a linear combination of elements in $S \cup T$.

Linear independence: Suppose $\sum_{i=1}^{k+1} \gamma_i u_i + \sum_{v \in T} \delta_v v = 0$. Substituting the expression for v_0 :

$$\sum_{i=1}^{k+1} \gamma_i u_i + \sum_{v \in T} \delta_v v + \frac{\delta_{v_0}}{\beta_{v_0}} \left(u_{k+1} - \sum_{i=1}^k \alpha_i u_i - \sum_{v \in T} \beta_v v \right) = 0$$

Rearranging:

$$\sum_{i=1}^{k} \left(\gamma_i - \frac{\delta_{v_0} \alpha_i}{\beta_{v_0}} \right) u_i + \left(\gamma_{k+1} + \frac{\delta_{v_0}}{\beta_{v_0}} \right) u_{k+1} + \sum_{v \in T} \left(\delta_v - \frac{\delta_{v_0} \beta_v}{\beta_{v_0}} \right) v = 0$$

Since $S' \cup T' \cup \{v_0\}$ contains linearly independent elements, all coefficients must be zero, which implies all original coefficients γ_i and δ_v are zero.

Therefore, $k+1 \le n$, and $S \cup T$ is a basis with |T| = n - (k+1). \square

Definition A108 (Linear Transformation). *A function* $T: V \to W$ *between vector spaces* V *and* W *over the same field* F *is called a linear transformation* (or linear map) if:

- 1. T(u+v) = T(u) + T(v) for all $u, v \in V$ (additivity)
- 2. $T(\alpha v) = \alpha T(v)$ for all $\alpha \in F, v \in V$ (homogeneity)

Theorem A85 (Fundamental Properties of Linear Maps). *Let* $T: V \to W$ *be a linear map. Then:*

- 1. T(0) = 0
- 2. T(-v) = -T(v) for all $v \in V$
- 3. $T(\alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_k v_k) = \alpha_1 T(v_1) + \alpha_2 T(v_2) + \dots + \alpha_k T(v_k)$
- 4. If $\{v_1, v_2, \dots, v_k\}$ is linearly dependent in V, then $\{T(v_1), T(v_2), \dots, T(v_k)\}$ is linearly dependent in W

Proof. 1. T(0) = T(0+0) = T(0) + T(0). Adding -T(0) to both sides: 0 = T(0).

- 2. $T(-v) = T((-1) \cdot v) = (-1) \cdot T(v) = -T(v)$.
- 3. By induction on k. The base cases k = 1, 2 follow from the definition. If the statement holds for k, then:

$$T(\alpha_1 v_1 + \dots + \alpha_k v_k + \alpha_{k+1} v_{k+1}) = T(\alpha_1 v_1 + \dots + \alpha_k v_k) + T(\alpha_{k+1} v_{k+1})$$
(A84)

$$= \alpha_1 T(v_1) + \dots + \alpha_k T(v_k) + \alpha_{k+1} T(v_{k+1})$$
 (A85)

4. If $\{v_1, \ldots, v_k\}$ is linearly dependent, then there exist scalars $\alpha_1, \ldots, \alpha_k$, not all zero, such that $\alpha_1 v_1 + \cdots + \alpha_k v_k = 0$. Applying T:

$$0 = T(0) = T(\alpha_1 v_1 + \cdots + \alpha_k v_k) = \alpha_1 T(v_1) + \cdots + \alpha_k T(v_k)$$



Since not all α_i are zero, $\{T(v_1), \dots, T(v_k)\}$ is linearly dependent.

Definition A109 (Kernel and Image). *Let* $T : V \rightarrow W$ *be a linear map. Then:*

- 1. The kernel (or null space) of T is $ker(T) = \{v \in V : T(v) = 0\}$
- 2. The image (or range) of T is $Im(T) = \{T(v) : v \in V\}$

Theorem A86 (Rank-Nullity Theorem). *Let* $T: V \to W$ *be a linear map where* V *is finite-dimensional. Then:*

$$\dim(V) = \dim(\ker(T)) + \dim(Im(T))$$

Proof. Let $n = \dim(V)$, $k = \dim(\ker(T))$, and let $\{u_1, u_2, \dots, u_k\}$ be a basis for $\ker(T)$. Extend this to a basis $\{u_1, u_2, \dots, u_k, v_1, v_2, \dots, v_{n-k}\}$ of V.

We claim that $\{T(v_1), T(v_2), \dots, T(v_{n-k})\}$ is a basis for Im(T).

Spanning: Let $w \in \text{Im}(T)$. Then w = T(v) for some $v \in V$. Write $v = \alpha_1 u_1 + \cdots + \alpha_k u_k + \beta_1 v_1 + \cdots + \beta_{n-k} v_{n-k}$. Then: $w = T(v) = \alpha_1 T(u_1) + \cdots + \alpha_k T(u_k) + \beta_1 T(v_1) + \cdots + \beta_{n-k} T(v_{n-k}) = \beta_1 T(v_1) + \cdots + \beta_{n-k} T(v_{n-k})$ since $T(u_i) = 0$ for all i.

Linear Independence: Suppose $\gamma_1 T(v_1) + \cdots + \gamma_{n-k} T(v_{n-k}) = 0$. Then $T(\gamma_1 v_1 + \cdots + \gamma_{n-k} v_{n-k}) = 0$, so $\gamma_1 v_1 + \cdots + \gamma_{n-k} v_{n-k} \in \ker(T)$. Thus: $\gamma_1 v_1 + \cdots + \gamma_{n-k} v_{n-k} = \delta_1 u_1 + \cdots + \delta_k u_k$ for some scalars δ_i . Rearranging: $\gamma_1 v_1 + \cdots + \gamma_{n-k} v_{n-k} - \delta_1 u_1 - \cdots - \delta_k u_k = 0$. Since the vectors form a basis of V, all coefficients must be zero, including the γ_i .

Therefore, $\dim(\operatorname{Im}(T)) = n - k = \dim(V) - \dim(\ker(T))$. \square

Quotient Spaces

Definition A110 (Quotient Space). Let V be a vector space over field F and let $W \subseteq V$ be a subspace. Define an equivalence relation on V by $v_1 \sim v_2$ if and only if $v_1 - v_2 \in W$. The **quotient space** V/W is the set of equivalence classes under this relation, denoted $V/W = \{v + W : v \in V\}$ where $v + W = \{v + w : w \in W\}$.

Theorem A87 (Quotient Space Structure). The quotient space V/W is a vector space over F with operations:

$$(v_1 + W) + (v_2 + W) = (v_1 + v_2) + W$$
(A86)

$$\alpha(v+W) = (\alpha v) + W \tag{A87}$$

Moreover, if V is finite-dimensional, then $\dim(V/W) = \dim(V) - \dim(W)$.

Proof. We must show that the operations are well-defined and that the vector space axioms hold.

Well-definedness of addition: Suppose $v_1 + W = v_1' + W$ and $v_2 + W = v_2' + W$. Then $v_1 - v_1' \in W$ and $v_2 - v_2' \in W$. Since W is a subspace, $(v_1 - v_1') + (v_2 - v_2') = (v_1 + v_2) - (v_1' + v_2') \in W$. Therefore, $(v_1 + v_2) + W = (v_1' + v_2') + W$.

Well-definedness of scalar multiplication: Suppose v+W=v'+W. Then $v-v'\in W$, so $\alpha(v-v')=\alpha v-\alpha v'\in W$ since W is closed under scalar multiplication. Therefore, $\alpha v+W=\alpha v'+W$. The vector space axioms can be verified directly. For example:

- Zero element: 0 + W serves as the zero vector in V/W
- Additive inverse: (-v) + W is the inverse of v + W
- Associativity and other properties follow from the corresponding properties in V

For the dimension formula, consider the canonical projection $\pi: V \to V/W$ defined by $\pi(v) = v + W$. This is a linear map with $\ker(\pi) = W$ and $\operatorname{Im}(\pi) = V/W$. By the Rank-Nullity Theorem: $\dim(V) = \dim(\ker(\pi)) + \dim(\operatorname{Im}(\pi)) = \dim(W) + \dim(V/W)$

Theorem A88 (First Isomorphism Theorem for Vector Spaces). *Let* $T: V \to W$ *be a linear map. Then* $V/\ker(T) \cong Im(T)$.

Proof. Define $\widetilde{T}: V/\ker(T) \to \operatorname{Im}(T)$ by $\widetilde{T}(v + \ker(T)) = T(v)$.

Well-definedness: If $v_1 + \ker(T) = v_2 + \ker(T)$, then $v_1 - v_2 \in \ker(T)$, so $T(v_1 - v_2) = 0$, which means $T(v_1) = T(v_2)$.

Linearity:

$$\widetilde{T}((v_1 + \ker(T)) + (v_2 + \ker(T))) = \widetilde{T}((v_1 + v_2) + \ker(T)) = T(v_1 + v_2)$$
(A88)

$$= T(v_1) + T(v_2) = \tilde{T}(v_1 + \ker(T)) + \tilde{T}(v_2 + \ker(T))$$
 (A89)

Similarly, $\widetilde{T}(\alpha(v + \ker(T))) = \widetilde{T}((\alpha v) + \ker(T)) = T(\alpha v) = \alpha T(v) = \alpha \widetilde{T}(v + \ker(T)).$

Injectivity: If $\widetilde{T}(v + \ker(T)) = 0$, then T(v) = 0, so $v \in \ker(T)$, which means $v + \ker(T) = 0 + \ker(T)$.

Surjectivity: For any $w \in \text{Im}(T)$, there exists $v \in V$ such that T(v) = w, so $\widetilde{T}(v + \ker(T)) = w$. \square

Dual Spaces and Bilinear Forms

Definition A111 (Dual Space). Let V be a vector space over field F. The **dual space** V^* is the vector space of all linear functionals $V \to F$, i.e., $V^* = Hom(V, F)$.

Theorem A89 (Dual Basis). If V is finite-dimensional with basis $\{v_1, v_2, \ldots, v_n\}$, then V^* has a basis $\{v_1^*, v_2^*, \ldots, v_n^*\}$ called the **dual basis**, where $v_i^*(v_i) = \delta_{ij}$ (Kronecker delta). Moreover, $\dim(V^*) = \dim(V)$.

Proof. Step 1: Construction of dual basis elements. For each $i \in \{1, 2, ..., n\}$, define $v_i^* : V \to F$ by $v_i^*(\alpha_1 v_1 + \cdots + \alpha_n v_n) = \alpha_i$.

This is well-defined since every vector in *V* has a unique representation as a linear combination of basis vectors. It is clearly linear by construction.

Step 2: Verification of dual basis property. We have $v_i^*(v_j) = \delta_{ij}$ by definition, since $v_j = 0 \cdot v_1 + \cdots + 1 \cdot v_i + \cdots + 0 \cdot v_n$.

Step 3: Linear independence of $\{v_1^*,\ldots,v_n^*\}$. Suppose $\beta_1v_1^*+\cdots+\beta_nv_n^*=0$. Evaluating at v_j : $0=(\beta_1v_1^*+\cdots+\beta_nv_n^*)(v_j)=\beta_1v_1^*(v_j)+\cdots+\beta_nv_n^*(v_j)=\beta_j$

Since this holds for all j, we have $\beta_1 = \cdots = \beta_n = 0$.

Step 4: Spanning property. Let $f \in V^*$ be any linear functional. Define $\beta_i = f(v_i)$ for each i. Consider $g = \beta_1 v_1^* + \cdots + \beta_n v_n^*$. For any $v = \alpha_1 v_1 + \cdots + \alpha_n v_n \in V$:

$$g(v) = (\beta_1 v_1^* + \dots + \beta_n v_n^*)(\alpha_1 v_1 + \dots + \alpha_n v_n)$$
(A90)

$$= \sum_{i=1}^{n} \sum_{j=1}^{n} \beta_{i} \alpha_{j} v_{i}^{*}(v_{j}) = \sum_{i=1}^{n} \beta_{i} \alpha_{i} = \sum_{i=1}^{n} f(v_{i}) \alpha_{i}$$
(A91)

$$= f(\alpha_1 v_1 + \dots + \alpha_n v_n) = f(v) \tag{A92}$$

Therefore, f = g, showing that $\{v_1^*, \dots, v_n^*\}$ spans V^* .

Since $\{v_1^*, \ldots, v_n^*\}$ is linearly independent and spans V^* , it is a basis, and $\dim(V^*) = n = \dim(V)$. \square

Definition A112 (Bilinear Form). *A bilinear form* on a vector space V over field F is a function $B: V \times V \to F$ that is linear in each argument:

- 1. $B(\alpha u_1 + \beta u_2, v) = \alpha B(u_1, v) + \beta B(u_2, v)$
- 2. $B(u, \alpha v_1 + \beta v_2) = \alpha B(u, v_1) + \beta B(u, v_2)$

Definition A113 (Symmetric and Alternating Forms). A bilinear form B is:

- 1. Symmetric if B(u,v) = B(v,u) for all $u,v \in V$
- 2. Alternating if B(v,v) = 0 for all $v \in V$

3. Non-degenerate if B(u, v) = 0 for all $v \in V$ implies u = 0

Theorem A90 (Matrix Representation of Bilinear Forms). Let V be a finite-dimensional vector space with basis $\{v_1, \ldots, v_n\}$. Every bilinear form B on V can be represented by a matrix $M = (m_{ij})$ where $m_{ij} = B(v_i, v_j)$. Moreover:

- 1. B is symmetric if and only if M is symmetric
- 2. B is alternating if and only if M is skew-symmetric and has zero diagonal
- 3. B is non-degenerate if and only if M is invertible

Proof. Matrix representation: For vectors $u = \sum \alpha_i v_i$ and $v = \sum \beta_j v_j$: $B(u, v) = B(\sum \alpha_i v_i, \sum \beta_j v_j) = \sum_{i,j} \alpha_i \beta_j B(v_i, v_j) = \sum_{i,j} \alpha_i m_{ij} \beta_j$

This can be written as $B(u, v) = \mathbf{u}^T M \mathbf{v}$ where \mathbf{u}, \mathbf{v} are coordinate vectors.

Properties:

- 1. B(u,v) = B(v,u) for all u,v if and only if $\mathbf{u}^T M \mathbf{v} = \mathbf{v}^T M \mathbf{u} = \mathbf{u}^T M^T \mathbf{v}$ for all coordinate vectors, which occurs if and only if $M = M^T$.
- 2. B(v, v) = 0 for all v means $\mathbf{v}^T M \mathbf{v} = 0$ for all \mathbf{v} . This implies $m_{ii} = 0$ and $m_{ij} + m_{ji} = 0$ for $i \neq j$, so M is skew-symmetric with zero diagonal.
- 3. B is non-degenerate if and only if B(u,v)=0 for all v implies u=0. In matrix terms, this means $M\mathbf{u}=0$ implies $\mathbf{u}=0$, which occurs if and only if M is invertible.

Matrix Groups

Definition A114 (General Linear Group). *The general linear group* $GL_n(F)$ *is the group of invertible* $n \times n$ *matrices over field* F *under matrix multiplication. Equivalently,* $GL_n(F) = \{A \in M_n(F) : \det(A) \neq 0\}$.

Definition A115 (Special Linear Group). *The special linear group* $SL_n(F) = \{A \in GL_n(F) : \det(A) = 1\}.$

Theorem A91 (Properties of Matrix Groups). 1. $SL_n(F)$ is a normal subgroup of $GL_n(F)$

- 2. $GL_n(F)/SL_n(F) \cong F^*$ (the multiplicative group of F)
- 3. If $F = \mathbb{R}$ or \mathbb{C} , then $GL_n(F)$ and $SL_n(F)$ are Lie groups
- **Proof.** 1. **Subgroup:** $I \in SL_n(F)$ since det(I) = 1. If $A, B \in SL_n(F)$, then $det(AB) = det(A) det(B) = 1 \cdot 1 = 1$, so $AB \in SL_n(F)$. If $A \in SL_n(F)$, then $det(A^{-1}) = (det(A))^{-1} = 1$, so $A^{-1} \in SL_n(F)$.

Normal: For $A \in SL_n(F)$ and $B \in GL_n(F)$: $det(BAB^{-1}) = det(B) det(A) det(B^{-1}) = det(B) \cdot 1 \cdot (det(B))^{-1} = 1$ So $BAB^{-1} \in SL_n(F)$.

- 2. Define $\phi: \operatorname{GL}_n(F) \to F^*$ by $\phi(A) = \det(A)$. This is a group homomorphism since $\det(AB) = \det(A) \det(B)$. We have $\ker(\phi) = \{A : \det(A) = 1\} = \operatorname{SL}_n(F)$. For surjectivity, given $\lambda \in F^*$, the matrix $\operatorname{diag}(\lambda, 1, \ldots, 1)$ has determinant λ . By the first isomorphism theorem, $\operatorname{GL}_n(F)/\operatorname{SL}_n(F) \cong \operatorname{Im}(\phi) = F^*$.
- 3. For $F = \mathbb{R}$ or \mathbb{C} , $GL_n(F)$ is an open subset of $M_n(F) \cong F^{n^2}$ (the complement of $\det^{-1}(0)$), and matrix multiplication and inversion are smooth operations. $SL_n(F) = \det^{-1}(1)$ is a closed submanifold since det is smooth and 1 is a regular value.

Definition A116 (Orthogonal and Unitary Groups). 1. The orthogonal group $O_n(\mathbb{R}) = \{A \in GL_n(\mathbb{R}) : A^TA = I\}$

- 2. The special orthogonal group $SO_n(\mathbb{R}) = O_n(\mathbb{R}) \cap SL_n(\mathbb{R})$
- 3. The unitary group $U_n(\mathbb{C}) = \{A \in GL_n(\mathbb{C}) : A^*A = I\}$ where A^* is the conjugate transpose
- 4. The special unitary group $SU_n(\mathbb{C}) = U_n(\mathbb{C}) \cap SL_n(\mathbb{C})$

Theorem A92 (Properties of Classical Groups). 1. $O_n(\mathbb{R})$ and $U_n(\mathbb{C})$ are compact Lie groups

- 2. $SO_n(\mathbb{R})$ and $SU_n(\mathbb{C})$ are connected components of $O_n(\mathbb{R})$ and $U_n(\mathbb{C})$ respectively
- 3. det: $O_n(\mathbb{R}) \to \{-1,1\}$ and det: $U_n(\mathbb{C}) \to S^1$ are continuous homomorphisms
- **Proof.** 1. **Compactness:** If $A \in O_n(\mathbb{R})$, then $A^TA = I$ implies $||Ae_i||^2 = e_i^T A^T A e_i = e_i^T e_i = 1$ for each standard basis vector e_i . Therefore, all entries of A are bounded, making $O_n(\mathbb{R})$ a bounded subset of $M_n(\mathbb{R})$. It's also closed as $\{A: A^TA = I\}$ is the preimage of $\{I\}$ under the continuous map $A \mapsto A^T A$. By Heine-Borel, $O_n(\mathbb{R})$ is compact. Similarly for $U_n(\mathbb{C})$ using $A^*A = I$.
- 2. For $A \in O_n(\mathbb{R})$, $\det(A^T A) = \det(A)^2 = \det(I) = 1$, so $\det(A) = \pm 1$. The map $A \mapsto \det(A)$ is continuous, and $\{-1,1\}$ is discrete, so $O_n(\mathbb{R}) = SO_n(\mathbb{R}) \sqcup \{A \in O_n(\mathbb{R}) : \det(A) = -1\}$ is a decomposition into two clopen sets. For $U_n(\mathbb{C})$, if $A^*A = I$, then $|\det(A)|^2 = \det(A^*A) = \det(I) = 1$, so $|\det(A)| = 1$, meaning $\det(A) \in S^1$.
- 3. The determinant function is continuous as a polynomial in the matrix entries, and the codomain inherits the subspace topology.

This concludes the appendices to the present work. The material herein provides foundational results and references for further study in advanced topics of algebra and geometry.

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