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Keywords: oral dysbiosis; aortic valve disease; infectious endocarditis; 16S metagenome analysis



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Article

# Oral Dysbiosis and Resulting Bacteremia Are Associated with Aortic Valve Diseases

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Abstract: Involvement of oral bacteria in the pathogenesis of distant organs has been shown. However, only a few reports have directly proven the involvement of oral bacteria in distant organ diseases. We attempted to analyze the hierarchy of bacterial species in the resected aortic valve by 16S metagenomic analysis and directly comparing their gene sequences with those in the oral cavity. Thirty-two patients with aortic stenosis or aortic regurgitation who underwent aortic valve replacement were enrolled in this study. Antibody titer against periodontal pathogenic bacteria in the patient's serum was analyzed. The genetic background and hierarchy of bacterial species on the subgingival plaque, the tongue dorsal surface, and the resected aortic valve was analyzed. Most patients with aortic valve disease have severe periodontal disease and show oral dysbiosis. Patients with aortic valve disease were shown to have more severe periodontal disease by the detection of antibodies against Socranscky's red-complex bacteria of periodontitis. Bacterial sequences of the aortic valve were sometimes identical to those of the oral cavity. The findings indicate that bacteria detected in the aortic valve may be infected through oral dysbiosis. Oral dysbiosis and the resulting bacteremia may be associated with the onset or progression of aortic valve disease.

Keywords: oral dysbiosis; aortic valve disease; infectious endocarditis; 16S metagenome analysis

# 1. Introduction

The involvement of oral bacteria with pathogenesis of distant organs have been shown [1,2]. Dysbiosis is known as pathological changes (type and/or proportion of bacteria) of commensal bacteria in some organs, which causes various diseases [3]. Recently, several reports have shown the association of oral bacteria or oral dysbiosis with diseases of other organs by the metagenome analysis and the molecular biological analysis [4,5].

One known disease closely associated with oral bacteria is infectious endocarditis (IE), which causes verrucae on the endocardium. Aortic valve disease (AV) is a known cause of IE. Among aortic valve diseases (aortic stenosis (AS) and aortic regurgitation (AR)), AS is a condition in which blood flow from the left ventricle to the ascending aorta during systole is obstructed due to narrowing of the aortic valve orifice [6]. The causes include idiopathic degenerative sclerosis with calcification (congenital bicuspid valves prone to sclerosis) and rheumatic fever. Valve replacement or valvuloplasty is indicated when stenosis and regurgitation after AS/AR are severe [7].

We have previously reported that more than 60% of bacteria identified in the blood cultures of patients with IE are recognized as oral bacteria [8]. In our previous study, bacterial cultures of blood from patients with IE were compared with dental plaque cultures in the oral cavity to verify the identity of the oral bacteria and the causative bacteria of IE. The culture test was able to detect identical bacterial species; however, only in one case, the bacteria detected from dental plaque and blood had a complete match in the 16s rRNA gene sequence [8]. Although this study showed indirect evidence for so-called focal dental infections in distant organs, it was difficult to identify the causative bacteria by comparing the limited number of clones detected in the bacterial cultures.

In this study, we attempted to detect bacteria in the resected aortic valve, and analyze the hierarchy of bacterial species in the resected aortic valve by metagenomic analysis. Simultaneously, we analyzed the hierarchy of bacterial species in subgingival dental plaque, which causes periodontal disease, and in swabs of the tongue dorsal surface, which reflects the flora of the oral cavity in the same patients. Then we verified whether oral bacteria reached the aortic valve by directly comparing their gene sequences.

# 2. Results

# 2.1. Periodontitis and Aortic Valve Condition in Patients with Aortic Valve Disease

Thirty-two patients with AS or AR who underwent aortic valve replacement between May 2020 and March 2021 at Dokkyo Medical University Hospital were enrolled in this study. The patients' demographic data are presented in Table 1. These patients underwent oral examination and management before surgery, and periodontal disease was staged based on an intraoral examination, panoramic radiograph, and periodontal pocket depth [9].

Of the 32 patients, 15 were males, and 17 were females, with an average age of 74.15 years, 28 had severe AS, 2 had moderate to severe AS, and 2 had severe AS. Five patients had periodontal disease stage I, 2 patients had stage II, 5 had stage III, 10 patients had stage IV, and 10 patients were edentulous (Table 1). Twenty-six patients had calcification in the aortic valves and seven were suspected to have bicuspid valves based on transthoracic echocardiography. AVAi averaged 0.45±0.0012, SV averaged 78.93±78.60, and SVi averaged 49.23±27.57 (Table 1).

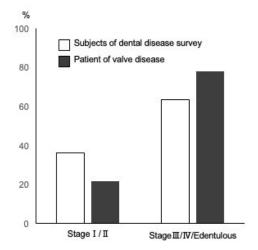
Table 1. Demographic data of the AS/AR patient.

				Transthoratic echocardiography findings							Vulvelar findings						
Ago	Gender	Stage of	Number of remaining	Diagnosis	AVAi	LVEF	peakPG	SV(ml)	Svi(ml/m <sup>2</sup> )	AR	MR	TR	PR	Structure			
Age	Gender	Periodontitis	tooth	Diagnosis	$(cm^2/m^2)$	LVEF	peakPG	SV(mi)	Svi(ml/m <sup>-</sup> )	AK	MK	1 K	PK	Structure			
87	Female	IV	5	severeAS moderatePH	0.34	82	64	56	41	+	+	+	±	Cacification			
77	Female	Ш	23	severeAS	0.62	74	95	90	58.4	_	-	±	_	Cacification			
84	Female	NA	NA NA	moderateAS	0.64	64	22	39	25.9	±	++	++	±	Cacification			
														Cacification			
70	Female	IV	5	severeAS	0.26	65	88	49	29.7	±	±	-	-	Bicuspid valve			
66	Male	IV	11	OMI moderateAS	0.41	40	42	39	26.5	-	+	+	+	Cacification			
54	Female	Ш	21	severeAS	0.34	72	93	61	37.4	±	-	-	-	Cacification			
66	Male	II	25	severeAS	ND	37	ND	141	94.1	+++	+	-	±				
65	Female	I	26	severeAS	0.4	44	54.17	47	32.6	+	±	+	±	Cacification			
79	Female	NA	NA	severeAS	ND	45.2	56.3	ND	ND	+	+	±	-	Cacification			
78	Female	NA	NA	severeAS	0.27	70	127.7	47	36.2	±	±	+	±	Cacification			
58	Male	I	26	severeAS	0.36	34	94	78	44.5	+	±	±	++	Cacification Bicuspid valve s/o			
74	Female	NA	NA	Post PMI severeAS	0.32	63	116	52	36.6	+	+	±	±	Cacification			
78	Female	NA	0	severeAS	0.55	69	53	55	44.6	+	+~++	+	+	Cacification			
72	Female	NA	0	severeAS	0.4	59	71	51	33.1	+	++	+	±	Cacification			
76	Male	II	24	moderate - severeAS mildPH	0.42	84	37	35	24	+	++	+	±	Cacification			
79	Male	IV	12	severeAS	0.44	66	104	96	56	+~++	±	+	+	No abnormal findings			
83	Male	Ш	23	severeAS	0.42	66	77	65	42.4	±	±	+	±	Cacification Bicuspid valve			
85	Male	NA	0	moderateAS	0.86	59	57	112	69.1	++~+++	+	+	±	Cacification			
79	Female	NA	NA	moderate- severeAR	ND	61	ND	106	82.6	++~+++	±	++	+	No abnormal findings			
77	Male	IV	5	severeAS	0.48	34	73	93	53	+	+~++	±	+	Cacification			
74	Male	IV	16	moderate- severeAR	ND	74	16.5	107	55.4	++~+++	-	-	+	Cacification			
86	Female	IV	11	moderate- severeAS	0.58	67	56	57	42.8	+	+	+	±	Cacification			
75	Male	I	23	severeAR	ND	60	ND	195	120	+++	+	±	+	Cacification			
71	Male	NA	0	severeAS	0.4	24	79	73	39.9	++	+	+	-	Cacification Bicuspid valv			
78	Female	IV	8	severeAS	0.53	67	54	91	53.2	+	+	+	±	Cacification			
64	Male	Ш	22	severeAS	0.56	56	85	116	60.6	++	±	-	+	Bicuspid valve			
76	Male	NA	0	severeAS	0.53	70	71	105	53	±	±	_	-	Valve Cacification			
				severeAS													
84	Female	I	28	mildPH	0.38	65	102	79	59	±	+	±	±	Cacification			
72	Male	I	27	PostTAVI	ND	36	32	ND	ND	++	+	+	+	No abnormal findings			
79	Female	IV	10	severeAS	0.28	62	129	56	38.4	-	+	+	_	No abnormal findings			
65	Male	IV	11	severeAS	0.53	71	74	106	47	+	±	-	±	Cacification Bicuspid valve			
61	Female	Ш	25	severeAS	0.38	75	83	71	40	-		±	-	Cacification Bicuspid valve			

AS: aortic stenosis. AR: aortic regurgitation. AVA: aortic valve area. AVAi: AVA index. LVEF: left ventricular ejection fraction. peakPG: peak pressure gradient. SV: Stroke Volume. SVi: Stroke Volume index. MR: mitral regurgitation. TR: tricuspid regurgitation. PR: pulmonary regurgitation. PH: Pulmonary Hypertension. OMI: Old Myocardial Infarction. PMI: Pacemaker Implantation. TAVI: Transcatheter Aortic Valve Implantation. NA; not applicable. ND; not determined. In valvular findings, -; no sign of regurgitation, ±; trace level of regurgitation, +; mild regurgitation, ++; moderate regurgitation, +++; severe regurgitation

We compared the severity of periodontitis in the patients in this study and of the same age group from the results of the Survey of Actual Conditions of Dental Diseases in Japan [10]; 36.5% of the patients were classified as having mild disease in stages I and II, while 25.9% of patients with aortic disease were in the same category. In contrast, the percentage of patients with advanced periodontitis in stages III and IV and the edentulous jaw group was 74.1% in patients with aortic disease and 63.5% in the Survey of Dental Diseases in Japan (Figure 1). Although statistical comparisons were difficult because of the large difference in population size (32 patients in this study and 2378 patients in the

survey), there was a tendency for more patients with aortic valve disease to have stage III or IV advanced periodontitis and edentulous jaws (odds ratio 1.602 [95%CI: 0.709 – 3.805]).



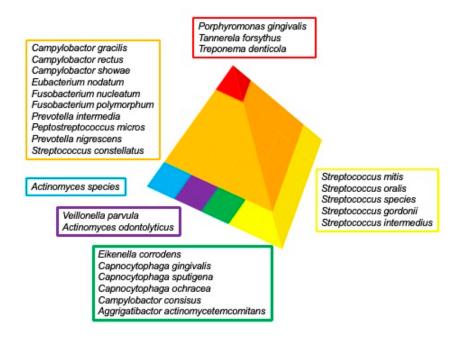
**Figure 1. Periodontitis/edentulous status of patients with aortic valve disease.** Stage represents the severity of periodontitis. Stage I and II were considered as early/mild periodontitis, while stage III and IVwere considered as advanced and moderate to severe periodontitis. The edentulous patient was considered as result of periodontitis progression.

# 2.2. Measurement of Serum Antibody Titer Against Periodontal Pathogenic Bacteria in Patients with Aortic Valve Disease

Periodontal pathogenic bacteria are classified into several categories (color-coded as red, orange, yellow, green, blue, and purple complexes) based on their importance in clinical pathogenesis by Socransky et al. [13], and bacteria classified in the red complex (*Porphyromonas gingivalis, Tannerella forsythia, Treponema denticola*) are considered to be important for the pathogenesis of periodontitis (Figure 2A). The radar chart in Figure 2B shows the mean antibody titers of various periodontal pathogenic bacteria in the serum of patients with aortic valve disease. Antibody titers against bacteria in the red complex category were significantly elevated in patients compared to healthy controls. A comparison of serum antibody titers against red complex bacteria by periodontitis stage in patients with aortic valve disease showed that antibody titers tended to increase with the progression of the periodontitis stage, and antibody titers to red-complex bacteria were positively correlated with the periodontitis stage (Figure 2C). A weak but positive correlation was also observed between the antibody titers against the bacteria of the orange complex and periodontitis stage (Figure 2C). Antibody titers against the bacteria of the blue and green complexes were weakly correlated with the periodontitis stage, although there was a tendency for antibody titers to increase.

A

C



В Pg Typel ATCC33277 Pg Typell HW24D1 Pn33563 g Typelli 6/26 600-400 Pi25611 Pg TypeIV W83 200 Fn10953 Pg TypeV HNA99 Td35405 Fn25586 Tf 43037 AaSUNY67 =+3SD =+2SD +1SD Healthy controls AS patients

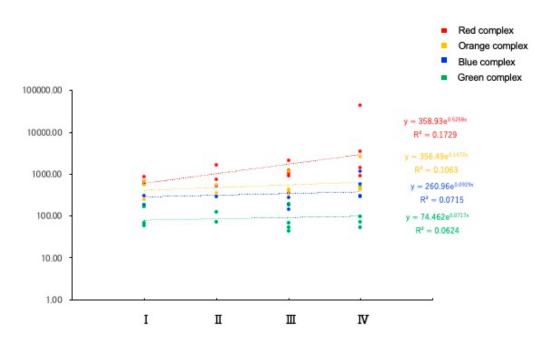


Figure 2. Status of antibody titer to periodontal pathogenic bacteria. (A)Schematic illustration of Periodontal pathogenic bacteria classified by Socransky's criteria. Periodontal pathogenic bacteria exist in defined order. They are divided in 6 categories, and each are coded by color. Blue, purple, green, and yellow complexes, forms the basic attachment to the body surfaces such as teeth and mucosa. Red complex are definite pathogens in periodontitis, while orange complex mainly functions as a connecting anchor for red complex members to the biofilm. (B) Average antibody titer against representative periodontal pathogenic bacteria. Antibody titer against each pathogenic bacteria are standardized to average value of healthy controls. Red area in radar chart demonstrates +3 S.D. titer from healthy controls, orange area, +2 S.D., green area; +1 S.D, respectively. Average of AS/AR patients in this study are shown in red line. (C) Correlation of antibody titers against the periodontal pathogenic bacteria and periodontal stage of AS/AR patient. Sum of each red, orange, blue, and green complexes of each patients tested are plotted.

### 2.3. Taxonomy Analysis

Bacterial 16S rRNA was detected in 12 aortic valves of 32 patients examined using PCR. We obtained sufficient DNA for amplicon sequencing analysis in six cases. Taxonomic analysis was performed to identify ASVs with > 70% homology. The flora composition based on the read count of each ASV is shown in (Fig. S1). Surprisingly, several bacterial species were detected in the resected aortic valve. As expected, the flora of the tongue and periodontal pocket dental plaque were similar in many cases; however, the flora detected in the aortic valve showed a different pattern.

# 2.4. $\alpha$ -Diversity and $\beta$ -Diversity

Examination of  $\alpha$ -diversity and  $\beta$ -diversity indicated that the bacterial flora in the resected aortic valve tended to be similar, although not identical, to the composition of the oral flora. We examined the  $\alpha$ -diversity of the plaques, tongue swabs, and excised aortic valves (Fig. S2). There was no significant difference in the diversity within each flora detected at each site (p = 0.547). This suggests that a wide variety of bacteria are present in the resected aortic valve, and that, like the oral environment, the flora may be diverse. When we examined the  $\beta$ -diversity among the flora (Fig. S3), we found that the vectors of diversity of the flora in the three groups were different (p = 0·001): plaque, tongue, and resected aortic valve. Among the resected aortic valves, we recognized two groups with different vectors of diversity, and among the two groups, three cases, AS 14, 20, and 25, were considered to have flora similar to those of the oral-derived specimens, being closer to the oral bacteria. The frequency of detection of ASV in AS 18, 28, and 30 was higher than that in the Unassigned group, which may have been a factor in the division into two groups. (Although the Gene

analyzer detected the expected size of PCR products in these three samples at the 1stPCR stage, it was insufficient in quantity. As the primary purpose of this study was to examine whether bacteria were present in the resected aortic valve, we performed 1st PCR again using the 1st PCR product as a PCR template. This increased nonspecific amplification, and unassigned ASVs became more frequent. However, from the viewpoint of Axis 2 and 3, there was a similar trend to that of the oral-derived specimens.

# 2.5. Characteristics of Bacteria Detected in the Tongue, Dental Plaque, and Resected Aortic Valve

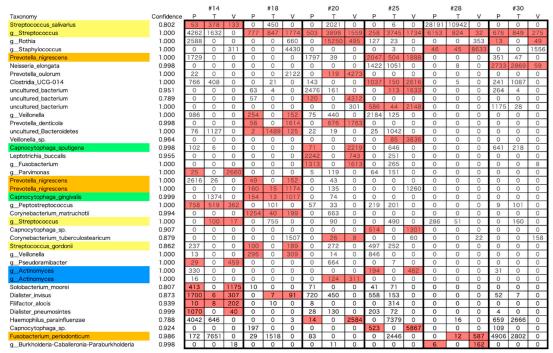
The 195 bacteria detected in the tongue, dental plaque, and resected aortic valve specimens were ranked according to read count. The lists of the top 25 bacteria are shown in Figure 3A, B, and C. Among the bacteria listed for resected aortic valves, 48% (16-64%) of the 35 species were associated with the oral cavity, and 18% (4-28%) were periodontal pathogenic bacteria (color-coded as red, orange, yellow, green, blue, and purple) according to Socransky's classification [14]. In cases 20, 25, and 30, a significant number of red and orange bacteria were included in the flora considered endemic to the oral cavity detected on the dorsal surface of the tongue. In cases 20 and 25, red and orange bacteria were detected in the aortic valve. In Case 30, neither red nor orange complex bacteria were detected in the aortic valve. In cases 14, 18, and 28, the bacterial flora detected on the dorsal surface of the tongue contained no red and only a few orange bacteria. In contrast, red and orange bacteria were detected in the aortic valve.

st of bacterias related to periodontitis	Ranking	AS-14V		AS-18V		AS-20V		AS-25V		AS-28V		AS-30V	
ed Porphyromonas gingivalis	1	1 21743		9652		8038	gStephylococcus	7624	Capnocytophaga_sp.	17720	g_Staphy I occoous	21298	
Treponema denticola	2		d_Bacteria	7813	g_Aneerococcus		gStreptococcus		Cutibacterium		Unassigned	9395	
Tannerella forsythensis	3		g_Parvimonas	6836	g_Finegoldia		Prevotella_oulorum		Dolosigranulum_pigrum		d_Bacteria		g_Stephy I ococcus
range Campylobacter gracillis	4		Prevotella_sp.		g_Paracoccus	4385	g_Cutibacterium	6570			g_Anaerobacillus	966	
Campylobactor rectus	5	5 1175			g_Chloroplast	4312	g_Centipeda	4680	Enhydrobacter	587	Fusobecterium_periodonticum	604	
Campylobactor showae	- 6		g_Acinetobacte		Prevotella_oulorum		g_Alloprevotella		g_Lawsonella		Lactobacillus_iners	502	
Eubacterium nodatum	7	7 807		3142	Prevotella_denticola	3623	gPorphyrosones	3965	Veillonella_sp.	431	g_Cutibacterium	486	
Fusobacterium nucleatum			Phocaelcola_abscessus		g_Enhydrobacter	3042	g_Lawsonella	3895	Prevotella_loescheil	353	gRothie	450	
Fusobacterium polymorphum	9	9 586		2333		2835	Porphyromones_paster i		Acinetobacter_baumannii		Bacillus_pseudofirmus	410	
Prevotella intermedia	10		g_Peptoniphilus	2276			Haemophilus_parainfluenza		Prevotella_nigrescens		g_Fueobecter i un		Sphingomonas_sp.
Peptostreptococcus micros	11	1 497			gVeillonella	2529	Capnocytophaga_aputiga	2875	Prevotella_denticola		d_Eukaryota		g_Nocardioides
Prevotella nigrescens	12	2 459		1529		2053	_Paracoccus		g_Clostridia_UCG-014	243		312	
Streptococcus constellatus	13	3 458			Prevotella_nigrescens	1862	Prevotella_denticola		g_Meisseria		g_Alloprevotella	303	
llow Streptococcus gordonii	14		d_Eukaryota		Corynebacterium_tuberculostear		g_Amaricoccus		Abiotrophia		g_Lawsonella		g_Streptococcus
Streptococcus intermedius	15		Bacteroidales_oral		g_Paenibacillus		Candidatus_Saccharibacter		g_Streptococcus		g_Cellulomonas	236	
Streptococcus mitis	16	6 441			Treponema_medium		g_Fusobecter i um	1633	F0058	162		b 216	
Streptococcus oralis	17	7 431		832			g_Pedobacter	1448	Capnocytophaga_ochracea		f_Micrococcaceae	204	
Streptococcus sanguis	18	3 311	g_Staphy I ococcus		g_Peptoniphilus		g_Skermanella	1182	Pasteurellaceae		Fusobacter lun_sp.		g_Mitochondria
Streptococcus sp.	19	9 307		801	g_Rothia	1489	g_Porphyrosones	1121	Paracoccus	90	g_Neisseria	188	g_Lectobec i i lus
en Aggaregatibacter actinomycetescomitan	20	0 294		785	g_Cutibacterium	1397	g_Leptotrichia	1053	Pseudomonas	58	g_Porphyromonas	186	uncultured_Spartobacter
Capnocytophaga gingivalis	21	274	gPseudomonas	772		1389	Veillonella_ap.	905	Kocuria_marina		g_Escherichia-Shigella	175	g_licroccccus
Capnocytophaga sputigena	22	2 270			Prevotella_shahii		Leptotrichia_buccalis	697	Actinomyces		g_Aerosphaera		g_Angerococcus
Capnocytophaga ochracea	23		Filifactor_alocis		g_Fusobacter i un	1304	g_lii crococcus	687			Murdochiella_asaccharolytica		g_Ezakiell
Campylobactor conicisus	24				g_Chthoniobacter	1161	g_Spirosoma	574	g_Solobacterium		g_Streptococous	170	g_Methylobacterium-M
Eikenella corrodens	25	236	g_Anaerococcus	569	Prevotella_lossoheii	1042	g_Alloprevotella	60	Corynebacterium_tuberculostearicu	31	g_Bradyrhizobium	158	Corynebacterium_tubero
}													
,													
of bacterias related to periodontitis	Ranking	AS-14P	菌種名	AS-18P		AS-20P		AS-25P		AS-28P		AS-30P	
Porphyromonas gingivalis	- 1	1 11798	g_Veillonella	19179	Selenomonas_noxia	5688	g_Saccharimonadaceae	9415	_Meisseria	28191	Streptococcus_saliverius	9859	g_Neisseria
Treponema denticola	2		Prevotella melaninogeni	6207	g_Saccharimonadaceae	5274	gF0058	8062	Veillonella	14266	g_Streptococous	4906	Fusobacter ium_per io
Tannerella forsythensis		3 4948	g_Haemophilus	4906	Prevotella_shahii	4962	g_Fusobecter ium	4745	Prevotella_melaninogenica	11266	Veillonella_atypica	4438	Prevotel la_losschei
ige Campylobacter gracillis		4 4441	g_Streptococcus		Leptotrichia_hofstadii	4280	Anaeroglobus_geminatus	4153	_Fusobacter i um	7423	Lactobacillus_fermentum	4225	g_Veillonella
Campylobactor rectus		5 4345	Prevotella_nigresoens	3909		2607	Candidatus Saccharibacter	3093	Streptococcus_senguinis		Prevotella_histicola	4170	g_Lautropia
Campylobactor showae	- 7	6 4042	Haemophilus parainfluenzae	3777	Selenomonas artemidis	2447	Leptotrichia_buccalis	2940	Porphyromones_gingivalis		g_Rothia		Capnocytophaga_ging
Fubacterium nodatum	<del></del>		unouitured_Prevotella		Capnocytophaga_granulosa		g Centineda		Meisseria_elongata		g_Granulicatella	3/152	g_Fueobaoterium
Fusobacterium nucleatum	- :		Fuschecter ium nucleatum	3005	Campylobacter_gracilis	2201	g Leptotrichia	2901	Haemophilus	1940	Rothia_muci laginosa	3404	g_Lachnoanaerobaculu
Fusobacterium nolymorphum			g_Rothie		g_Fusobecter ium		Leptotrichia wadei		Prevotel la_lossohei i		e Chloroplast	2793	
Prevotella intermedia	9		g_Actinomyces		grusopeoterius		Campylobacter_gracilia						
Peptostreptococcus micros	- 10				g_Streptogoogus				Meiseeria_oralis	580	<u>gVeillonella</u> Alloscardovia_omnicolens	1980	Meiseeria_elongata a Selenomonas
Prevotella nigrescens	- 11		Prevotella_histicola	1526	Meisseria bacilliformia		Prevotella_genomosp.		Prevotella_nigrescens		g Lactobacillus		
	12			1489							g_Lactobacillus g_Mitochondria	1915	Streptococcus_sangu
Streptococcus constellatus	13		Prevotella_oris			1582	Treponema_sooranski i		Prevotella_denticola			1866	Capnocytophaga_ochr
low Streptococcus gordonii	14	1199	Prevote i la_pa i lens	1392	Megasphaera_micronuciformis	1567	g_Tannerella		Prevotella_intermedia	373	Prevotella_salivee	1560	
Streptococcus intermedius	15		Prevote i la_genomosp.		g_Veillonella	1486	Alloprevotella_tannerae		Candidatus_Saccharibacteria		g_Gemella		
Streptococcus mitis	16	6 1128	Veillonella_parvula	1231	g_Selenomonas		Prevotella_oralis		Anaeroglobus_geminatus		Schaalia_odontolytica	1260	f_Selenomonadaceae
Streptococcus oralis	17	/ 1107	Megasphaera_micronuciform	1178		1287	g_Prevotella	1037	Capnocytophaga_sp.	235	Campy lobacter_concleus		g_Campylobacter
Streptococcus sanguis	18	3 1079	g_Atopobium	1172	Tannerella_ap.	1259	g_TM7x		g_Clostridia_UCG-014	166	Oryza_sativa	1221	Prevotella_melanino
Streptococcus sp.	19	9 1070		1099	Selenomonas_sp.	1161	g_Leptotrichia	987	Alloprevotella_tannerae		g_Atopobium	1193	
en Aggaregatibacter actinomycetescomitan	20	0 1040		1097	g_Lachnoanaerobaculum	1052		832	Neisseriaceae	107		1189	
Capnocytophaga gingivalis	21		g_Alloprevotella		g_Cardiobacterium		Prevotella_marshil	795	Capnocytophaga_leadbetteri		g_Klebsiella	1185	Porphyromonas_peate
Capnocytophaga sputigena	22	2 890	Capnocytophaga_gingival	1036	Neisseria_elongata	997	Capnocytophaga_I eadbet	791	Lautropia		Lactobacillus_gasseri	1175	g_Abiotrophia
Capnocytophaga ochracea	23	3 864	g_Neisseria	901	g_Kingella	865	Corynebacterium_matrucho	750	Prevotelia_genomosp.	83	g_Stomatobaculum	1098	g_Leptotrichia
Campylobactor conicisus	24	4 834	gFusobacter i un		Prevote I la_genomosp.	822	f_Selenomonadaceae	714	Selenomonas_sputigena	83		1061	
Eikenella corrodens	25	5 829	uncultured_Prevotella	629	Capnocytophaga_leadbetteri	728	Schwartzia_sp.	700	_Lachnospiraceae;	80	Pyropia_yezoensis	1039	g_lohnsonella
1													
_													
of bacterias related to periodoptitis	Panking	AS-14T	ń	AS-18T	1	IAS-20T	i	AS-25T		IAS-28T	ii.	IAS-30T	
Porphyromonas gingivalis	ranking 1		Prevotella_melaninogeni		z_Nelsseria		g_Rothia		g_Melsoeria		g_Streptococcus		g_Heisseria
Trenonema denticola	-	11080	Prevotella_melaninogeni									3550	
Tannerella forsythensis		2 8842	g_Vellionella	3194	g_Vellionella d Haemophilus	3976	g_Streptococcus	8093	Veillonella	10942	Streptococcus_saliverius		
rannereija torsytnensis de Campylobacter gracillis			Fusebecter ium_per i odont				g_Campy lobacter		Heemophilus_parainfluenzae		Vellionella_atypica		Porphyromonas_past
Campylobactor rectus	- 4	5 3733	g_Noisseria	3157	Prevotella_melaninogenica	3468	Porphyromonas_gingival		Prevotella_pallens	3101	Rothia_muci laginosa		g_Rothia
Campylobactor rectus Campylobactor showae	-	6 3281	g_Lachnoanaerobeoulus		Fusobacter ium_per lodont icum	22/8	g_Actinomyces		_Streptococcus		Prevotella_histicola	3119	Fusobacter lum_per id
	- "			2708	g_Streptococcus		Lactobacilius_reuteri		g_Fusobacterium	4582	g_Kiebsiella		
Eubacterium nodatum	-		Veillonella_atypica		Prevotella_pallens		Tannerella_forsythia		Fusobacterium_periodonticum		g_Oribacterium Megasphaera micronuciformis	3098	
Fusobacterium nucleatum		3 3228	Prevotella_pallens		g_Leptotrichia	2021	Streptococcus_salivari	2324	Prevotella_melaninogenica			2869	Heisseria_elongata
Fusobacterium polymorphum Prevotella intermedia		3 2640	Campy I obsecter_concisus		Prevotella_shshii		g_Chloroplast		Leptotrichia	1603	g_Veillonella		
	10	2 2354	g_Streptococcus	1489	Rothia_mucilaginosa	1850	Porphyromones_endodont	1435	Prevotel la_jejuni	149	Prevotella_salivae	2671	g_Porphyromonas
Peptostreptococcus micros	11	1 2295			g_Alloprevotella		Lactobacillus_gasseri		g_TM7x		g_Stomatobaculum		
Prevotella nigrescens	12	2 2106			g_Rothie		Veillonella_atypica	1302	Meisseria_elongata		Lactobacillus_fermentum		gVeillonella
Streptococcus constellatus	13		unouitured_Bacteroidete		g_Oribacterium	1566	gVeillonella	1240	Haemophilus		g_Rothia		g_Granulicatella
w Streptococcus gordonii	14		Megasphaera_micronuciform		Leptotrichia_sp.		Lactobacillus_fermentum		Porphyromones_gingivalis		Alloscardovia_omnicolens	1562	
Streptococcus intermedius	15	5 1034		838	g_Solobacterium		Lectobacillus_salivari		Solobacterium_moorei		Sohaalia_odontolytica	1442	g_Haemophilus
Streptococcus mitis	16		Eubacterium_sulci	775	Veillonella_atypica		g_Granulicatella	1150	Porphyromones_pesteri	682	gAotinomyces	1335	
Streptococcus oralis		7 856		715	g_Lachnoanaerobaculum		g_F0058	1125	Leptotrichia	635	g_Granulicatella		Sohaalia_odontolyt
Streptococcus sanguis	18		Prevotella_histicola		Campy lobecter_concisus	1133	Treponeme_lecithinolyt	1116	Porphyromonas	567	Campy lobacter_concisus	1087	
Streptococcus sp.	19	9 772	Sohaalia_odontolytica	597	Capnocytophaga_gingivalis	1116	Anaeroglobus_geminatus	1042	Alloprevotella	431	Solobacterium_moorei	1011	gPorphyromones
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		667	Prevote I la_scopce	529	Schaelia_odontolytica	1031	gFusobecterium	957	Megasphaera_micronuciformis		g_Lachnoanaerobaculum	767	gMoraxella
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	21 22 23	2 663 3 646	gAtopobium Haemophilus_parainfluenzae	494 450	Streptococcus_seliverius		Corynebacterium_matrucho Sohaalia_odontolytica	864	_Compy   obseter		f_Enterobacteriaceae g_Lactobacillus	742	Veillonella_rogosae Prevotella_aurantia
Capnocytophaga sputigena	21 22 23 24	2 663 3 646	g_Atopobium	494 450		959		864		196		742	

Figure 3. Top 25 bacteria listed by read count in each sample. Bacteria related to oral cavity are highlighted. The background color represents the Socransky's periodontal pathogens. (A) List of aortic valve samples. (B) List of dental plaque samples. (C) List of tongue samples.

2.6. Identification of the Same Bacterial Clones in the Tongue, Dental Plaque, and Resected Aortic Valve

The same ASV was detected in the tongue, dental plaque, and the resected aortic valve (Figure 4). This indicates that bacteria with the same genetic background, that is, the same clones of the bacteria, are present in the oral cavity and aortic valve. A total of 2,524 independent ASVs were detected in all samples. Forty bacteria were found to have identical ASVs in the excised aortic valve and oral cavity (dorsal surface of the tongue and/or dental plaque). Fourteen bacteria were detected with identical ASVs from the three sites of the tongue, dental plaque, and excised aortic valve, and 26 bacteria were detected with identical ASVs from the excised aortic valve and tongue or dental plaque (Figure 4). Twelve of the 40 bacteria were periodontal pathogenic bacteria, according to Socransky's classification.



**Figure 4.** List of bacteria which the ASV was matched in dental plaque, tongue, and valve. Matched samples are highlighted by red background. The numbers in the tables represents the read count of each bacterium.

# 3. Discussion

In this study, we found the following points: [1] patients with aortic valve disease were more likely to have periodontitis and more of them had severe disease or edentulous jaws; [2] serum titers of antibodies against periodontal pathogenic bacteria and red complexes were high in patients with aortic valve disease; [3] Bacterial DNA was detectable in the resected aortic valve of 12/32 (37.5%) patients with aortic valve disease; [4] a wide variety of bacteria were detected in the resected aortic valves of 6 patients who underwent amplicon sequencing analysis; [5] 40 identical bacteria were found in both the resected aortic valve and oral bacterial ASVs; [6] among the 40 identical bacteria,12 were periodontal pathogenic bacteria according to Socransky's classification. These results strongly suggests that in patients with current periodontitis or previous periodontitis, oral bacteria invade the body and settle in the aortic valve via the blood stream.

In some cases (cases 20, 25, and 30), bacteria in the red and orange complexes were significant in the flora of the oral cavity. It was endemic to the oral cavity and was detected on the dorsal surface

of the tongue, suggesting that dysbiosis had occurred. In cases 20 and 25, bacteria in the red and orange complexes were also detected in the aortic valve. In contrast, the dorsal surface of the tongue contained less orange complex bacteria in cases 14, 18, and 28, without red complexes. Nevertheless, red and orange complexes were detected in the aortic valve, suggesting that colonization of the aortic valve via bacteremia from periodontal bacteria in the periodontal pocket occurs even in the absence of oral bacterial dysbiosis. The identity of the bacteria detected in the oral cavity (dorsal surface of the tongue or periodontal pockets) and the aortic valve was examined, and in cases 14, 18, 20, and 25, a significant number of bacteria were detected from both sources. Only Staphylococcus and Neisseria were detected in cases 28 and 30, respectively, suggesting that oral bacteria may be less involved.

In many cases, Streptococcus, which appears to be an early colonizer, and subsequent dental plaque constituent bacteria were also present in the aortic valve, suggesting that they may be involved in bacterial colonization. However, some ASV species that were detected in the resected aortic valve were not detected in the oral cavity. Because oral dysbiosis has been present for a considerable period and the timing of bacterial invasion and settlement in the aortic valve does not always coincide because of repeated acute conversion and remission of periodontal disease, it is thought that there were bacterial clones that existed in the oral cavity but whose ASVs did not coincide.

Although there have been many reports on the detection of oral bacteria in distant organs using PCR [8,15–21], a few reports have verified whether the bacteria detected in the oral cavity and distant organs are the same clone [8]. For example, Zeibolz et al. examined the presence of pathogenic periodontal bacteria in aortic valves using PCR [15]. The relationship between oral bacteria and diseases of distant organs is also well known. Periodontal pathogens are known to be risk factors for atherosclerosis [16,17] and, as a result, are thought to be involved in the development of several cardiac diseases, including infective endocarditis [18]. Streptococcus mutans, a well-known cariescausing bacterium, is involved in the development of cerebral hemorrhage [19–21]. Although these findings are valuable as circumstantial evidence that oral bacteria can infect distant organs via the bloodstream, it is not easy to examine whether the diseases in oral cavity, such as periodontitis and dental caries can be the gateway to entry. In this study, we found that identical clones of bacteria were present in the oral cavity, distant organs, and the aortic valve. However, at present, we did not clarify whether oral bacteria caused aortic valve disease or colonized the injured aortic valve.

The importance of oral management during surgery and several medical treatments have recently been reported [22]. However, there is no clear direct evidence that bacteria in the oral cavity can induce secondary infections in other organs. Accumulated experience strongly suggests that oral lesions (dental caries reaching the root canal or periodontal disease) may serve as a gateway for bacterial invasion into the body, and studies providing direct evidence have increased. In this study, we detected bacteria with the same genetic background, that is, the same clone of bacteria, in the oral cavity and resected aortic valve of patients with aortic valve disease. Oral dysbiosis and the resulting bacteremia may be associated with the onset or progression of aortic valve disease.

# 4. Materials and Methods

#### 4.1. Patients

Thirty-two patients with AS or AR who underwent aortic valve replacement between May 1, 2020 and March 31, 2021 at Dokkyo Medical University Hospital were enrolled in this study. This study was approved by the Ethics Committee of Dokkyo Medical University (approval no. R-37-20J). Patients were included in the study only when consent was obtained from the patient or the key person. In cases where the patients themselves could not make a decision; we explained the details of the research to the key participants in the study. In this study, we obtained genetic information from microorganisms, but not human-derived information.

4.2. Measurement of Serum Antibody Titer Against Periodontal Pathogenic Bacteria



Serum antibody titers were measured according to a previously reported [9]. Serum from the preoperative blood collection of patients was isolated and stored at -80°C. Serum was collected from patients during surgery and stored at -80°C. Serum IgG antibody titers against periodontal pathogenic bacteria were determined by ELISA using strains of bacteria that had already been isolated and identified. The antibody titer against each bacterium was expressed as a relative value using the antibody titer in the sera of adults without advanced periodontal disease as the standard [9]. We examined the stages of periodontitis and antibody titers against periodontal pathogenic bacteria using the Kruskal–Wallis test. The correlation between the antibody titer and stage of periodontitis was analyzed.

#### 4.3. DNA Extraction from the Aortic Valve or Oral Bacteria

Aortic valves were stored in 1.5 ml microtubes at -80°C immediately after surgical excision. Isospin Tissue DNA (Nippon Gene Inc., Tokyo, Japan) was used to extract DNA from excised aortic valves. Samples of the dorsal surface of the tongue, representing the flora of the oral cavity, were collected using cotton swabs. The swabs were rubbed on the dorsal surface of the tongue and stored in conical tubes at -80°C until DNA extraction. In addition, subgingival plaque samples were collected from sites with obvious inflammatory conditions, such as periodontitis, using a manual scaler and stored in 1.5-ml microtubes at -80°C until DNA extraction. DNA was extracted from plaque and tongue swab samples using the Isoil DNA extraction kit following the manufacturer's instructions (Nippon Gene Inc., Tokyo, Japan). The plaques were added directly to the extraction buffer, and the swab tips were cut into the extraction buffer, thoroughly agitated, and centrifuged to obtain the supernatant.

#### 4.4. Confirmation of Bacterial DNA in the Extracted Samples

Extracted DNA was confirmed using primers against bacterial 16S rRNA. The primers used were 16S rRNA-27f: 5'-AGAGAGTTTGATCCTGGCTCAG-3',16S rRNA-1492r: 5'-ACGGCTACCTTGTTACGACTT-3'. We performed PCR on extracted DNA samples using QUICK Taq HS DyeMix (TOYOBO Co., Ltd., Osaka, Japan). The PCR products were subjected to 1.2% agarose gel electrophoresis to detect a band of approximately 1,500 bp corresponding to 16S rRNA.

# 4.5. 16S rRNA Gene Amplicon Sequencing Analysis

For amplicon sequencing, libraries were prepared according to the Illumina 16S metagenomic sequencing library preparation protocols. 2.5 µl of microbial genomic DNA (5 ng/µL in 10 mM Microbial genomic DNA 2.5 μl (5 ng/μL in 10 mM Tris pH 8.5) was used for 1st PCR with the following 5'primers: forward, TCGTCGCCAGCGTCAGATGTGTATAAGAGAGACAGCCTAHGGGRBGCAGCAG-3,' 5'-GTCGTGGGCTCTCGAGAGATGTGTAGTAAGAGACAGGACTACHVGGGGTATCTAATCC-3'. PCR was performed using KAPA HiFi HotStart ReadyMix (KAPA Biosystems Inc., Washington, MA, United States) was used for PCR, and PCR products were analyzed using a Bioanalyzer DNA 1000 chip (Agilent Technologies LTD., Santa Clara, CA, United States). PCR products were purified using an Agencourt AMPure XP 60 ml kit (Beckman Coulter Co., Brea, CA, United States). Then, 2nd PCR was performed to construct a sequencing library following the Illumina 16S Metagenomic Sequencing Library Preparation Protocol, and the PCR products were purified and subjected to amplicon sequencing. Sample sequencing was performed using Illumina MiSeq (Illumina Inc., San Diego, CA, U.S.A.) and Paired-End Sequence data detected in each sample were stored in separate FASTAQ files for Read1 and Read2. Sequence data were analyzed using the Qiime2. First, demultiplexing and denoising were performed to remove adapter sequences, linker sequences, and primer sequences.

# 4.6. Microbial Population Analysis



Before analysis, ASVs (Amplicon Sequence Variants) were generated by filtering, denoising, chimera check, and merging pair-reads of the sequence data according to the DADA2 workflow [8]. The Silva dataset was used for the 16S rRNA taxonomy database [9] and the V3-V4 region of the 16S rRNA was cut from the full-length sequence to adjust the dataset for analysis. The alpha diversity of each flora and beta diversity of the detected sites were examined. Kruskal-Wallis test was used for alpha diversity and evenness, and the observed features, Faith pd index, and Shannon index were used for beta diversity. Principal Coordinate Analysis (PCoA) was performed based on the distance and read count values in the phylogenetic tree of the detected fungi and PERMANOVA was used for the test.

# 5. Conclusions

Oral dysbiosis and the resulting bacteremia may be associated with the onset or progression of aortic valve disease.

**Supplementary Materials:** The supporting information can be downloaded at website of this paper posted on Preprints.org.

**Author Contributions:** Conceptualization; Y.K., E.Y., H.K.: Methodology; Y.K., E.Y., H.K.: Conceptualization; Y.K., E.Y., H.K.: Methodology; Y.K., E.Y., H.K.: Investigation; E.Y., Y.K., R.S., T.H., S.I., T.S.: Visualization; Y.K., E.Y., S.I., T.S.: Funding acquisition; E.Y., Y.K.: Project administration; Y.K., E.Y.: Supervision; H.S., S.H., H.F., S.T., C.F., S.I., T.W., H.K.: Writing – original draft; Y.K., E.Y.: Writing – review & editing; Y.K., E.Y., H.K.

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**Institutional Review Board Statement:** The study was conducted in accordance with the Declaration of Helsinki, and the Ethical Guidelines for Medical and Health Research Involving Human Subjects issued by Japan Ministry of Health, Labor and Welfare and approved by the Ethics Committee of Dokkyo Medical University (Approval No. R-37-20J).

**Informed Consent Statement:** Written Informed consent was obtained from all participants. In cases where the patients themselves could not decide; we explained the details of the research to the legal guardian of the patient. Written informed consent has been obtained from the patients to publish this paper

**Data Availability Statement:** Authors declare that they have no competing interests. All data are available in the main text or the supplementary materials.

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