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Article

Multiplex PCR-Based Detection of Eight Carbapenemase Genes and Their Clinical Characteristics in Urinary Tract Infections

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Abstract: Background: The emergence and spread of urinary carbapenem-resistant organisms (CROs) is a major public health concern, particularly in Sri Lanka. We aimed to detect and genotypically characterize CROs in urinary tract infections (UTIs) and their clinical outcomes. **Methods:** Urinary CROs were collected from two hospitals in Sri Lanka from January to December, 2023. Among 7640 urine samples, 100 CROs were identified by disk diffusion method, and 99 were detected by BD Pheonix™ automated system. The presence of eight carbapenemase genes; *bla_{KPC}*, *bla_{NDM}*, *bla_{VIM}*, *bla_{IMP}*, *bla_{OXA-23}*, *bla_{OXA-48}*, *bla_{OXA-51}*, and *bla_{OXA-58}*, among 97 CROs was detected by a multiplex PCR kit. **Results:** Out of 99 urinary CROs, *K. pneumoniae* (33/99, 33.3%) was the most common species. A single gene was detected in 35.05 % (34/97), whereas two or more genes co-occurred in 39.18% (38/97). The highest occurrence was *bla_{OXA-51}* (47.4%), followed by *bla_{OXA-58}* (41.2%). The education level of the patients was significantly associated with the presence of carbapenemase genes ($p < 0.05$). The majority of the patients (95.74%; $n = 90/97$) clinically improved within seven days of treatment. However, majority (74.20%; $n = 69/93$) experienced one or more mild UTI episodes during the next three months. Four deaths (4/10, 40%) occurred during the hospital stay, and six deaths (6/10, 60%) during the follow-up period, but none were due to UTIs. **Conclusion:** *K. pneumoniae*, showed the highest carbapenemase gene diversity. Recurrent UTIs were observed during the follow-up period. Continuous surveillance and implementation of targeted infection control programs are needed to minimize further emergence and spread of carbapenemase genes.

Keywords: Carbapenemase genes; carbapenem resistance; molecular characterization; multiplex PCR; Sri Lanka; urinary tract infections (UTIs)

1. Introduction

Urinary tract infections (UTIs) cause a significant impact on health care systems worldwide. The treatment and management of affected patients are further complicated by the emergence of antibiotic-resistant bacteria such as, carbapenem-resistant organisms (CROs) [1]. An alarming trend of CROs was observed globally in recent years [2]. The World Health Organization (WHO) published their first list of “antibiotic-resistant priority pathogens”, including carbapenem-resistant Enterobacteriaceae (CRE), carbapenem-resistant *Acinetobacter*, and carbapenem-resistant *Pseudomonas* as the most critical groups [3]. In 2017, 13,100 infections in hospitalized patients were

due to CRE, and 1,100 deaths were reported in the United States [4]. The mortality and morbidity associated with infections caused by CROs are relatively greater than those associated with infections caused by carbapenem-sensitive pathogens [5, 6]. The majority of CREs cause UTIs [7], of which patients with urinary catheters are at a great risk of CRE infections [4].

The major mechanism of resistance to carbapenem antibiotics is known to involve the production of carbapenemase enzymes [8]. These carbapenemases hydrolyse broad-spectrum β -lactam antibiotics including carbapenems, which are considered the last resort for the treatment of infections caused by multidrug-resistant (MDR) bacteria [7, 9, 10]. These carbapenemases are divided into different classes on the basis of their amino acid sequences. Ambler class A and D include serine β -lactamases, whereas class B includes metallo- β -lactamases. These classes were further divided considering carbapenemases within each class. The Ambler class A includes *Klebsiella pneumoniae* carbapenemase (*bla_{KPC}*), whereas the class B includes Verona Integron encoding metallo- β -lactamase (*bla_{VIM}*), New Delhi metallo- β -lactamase (*bla_{NDM}*) and imipenemase (*bla_{IMP}*). The Ambler class D includes oxacillinases such as *bla_{OXA-23}*, *bla_{OXA-48}*, *bla_{OXA-181}* etc. [7, 8, 10, 11]. Variations in the minimum inhibitory concentrations (MICs) of carbapenems occur depending on the type and expression of carbapenemase enzymes present, the type of CRO present, and the presence of other resistance mechanisms [11].

Many carbapenemases encoding genes were originated in India (i.e., *bla_{NDM-1}* and *bla_{OXA-181}*), and India is considered a reservoir for most of the carbapenemase genes [12, 13]. The study by Tesfa et al. reported highest prevalence rate of carbapenem resistant *K. pneumoniae* from India, China, Egypt, Spain and USA ranging from 15% to 22%, and the lowest prevalence rate from Japan (0.13%). In addition, highest CRO colonization rate was observed in Asia, mainly in China and India (1.4%), followed by Europe (1.2%), America (0.3%), and Africa (0.07%) indicating that the CRO distribution is not uniform even within a single country [14, 15].

Since Sri Lanka is located at the southern tip of India, there is an increased risk of dissemination of carbapenemase genes to Sri Lanka through the frequent movement of people between the two countries [16]. Although, CROs are emerging as a global threat, Sri Lankan data on CRO prevalence is scarce. However, an increasing trend of CROs has been reported ranging from 8.3% in 2017 to 35.2% in 2022 among different CRO types isolated from different specimens, posing a substantial challenge in the management of patients [17 -21]. As Sri Lanka is a third world country with a free healthcare system, this alarming carbapenem resistance rate is a crucial concern for the economy [19]. Although, data on the epidemiology and characterization of carbapenemase genes were reported a few years ago from Sri Lanka [20, 21, 22], recent data on UTIs caused by CROs, their resistance mechanisms and clinical characteristics of these patients are lacking. Therefore, the objective of the present study was to detect carbapenemase genes present among urinary isolates that were initially resistant to carbapenem antibiotics by clinical laboratory standard institute (CLSI) disk diffusion method, and to assess the clinical characteristics of respective UTI patients over a period of three months.

2. Results

2.1. Demographic characteristics

A total of 7640 urine samples were received to microbiology laboratories of two hospitals for routine urine culture and antibiotic susceptibility testing (ABST) during the sample collection period. Among the 5270 urine samples received to university hospital, Kotelawala Defence University (UHKDU), 53 were CROs. Following the exclusion of three isolates due to mixed growths, 50 isolates from the UHKDU were included in the study. Further, a total of 2370 urine samples were received to National cancer institute (NCI) and 65 cultures were positive for CROs. Of which 50/65 were included

in our study following the exclusion of 15 isolates, due to the presence of mixed growth on the ABST plate and due to receiving of more than one urine sample from the same patient. Therefore, a total of 100 CROs were included. Most of the isolates were from adult patients between the ages of 61 and 70 years (21.1%). Whilst, the mean age of the study sample was 52.6 ± 22.5 years and, a male predominance (54.5 %) was observed.

2.2. Organism identification and antibiotic susceptibility testing

Among the 100 isolates, 99 were identified by the BD Phoenix automated system, due to the limitation of resources. The organism distribution among the study samples is shown in Figure 1. The majority were *Klebsiella pneumoniae*, accounting for 33.3% (n=33/99) of the study sample, followed by *Pseudomonas aeruginosa* (17.2%, n=17/99) and *E. coli* (16.2%, n=16/97). The resistance rates for imipenem and meropenem obtained by disk diffusion method were 87.6% (n=85/97) and 86.6% (n=84/97), respectively, whereas the resistance rates obtained by the automated method were equal for both the carbapenem antibiotics (88.7%, n=86/97).

2.1. Subsection

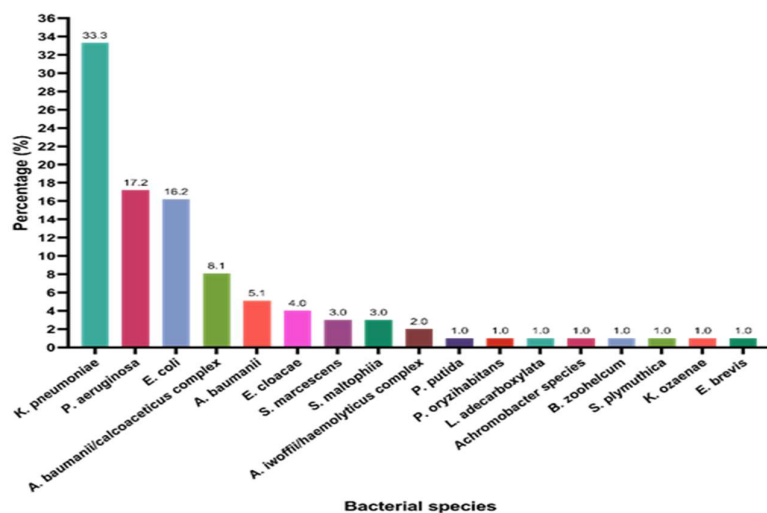


Figure 1. Distribution of different carbapenem-resistant uropathogens isolated from patients with urinary tract infections in our study sample (n=99). The full names of the bacterial species used include; *Klebsiella pneumoniae*, *Pseudomonas aeruginosa*, *Escherichia coli*, *Acinetobacter baumannii/calcoaceticus complex*, *Acinetobacter baumannii*, *Enterobacter cloacae*, *Stenotrophomonas maltophilia*, *Acinetobacter iwoffii/haemolyticus*, *Pseudomonas putida*, *Pseudomonas oryzihabitans*, *Serratia marcescens*, *Leclercia adedecarboxylata*, *Achromobacter species*, *Bergeyella zoohelcum*, *Serratia plymuthica*, *Empedobacter brevis*, *Klebsiella ozaenae*.

2.2. Distribution of carbapenemase-encoding genes in the study sample

A total of 97 out of 99 isolates were tested for the determination of carbapenemase genes by a multiplex PCR kit, due to the limitation resources. Among these 97 isolates, 74.2% (n=72/97) were positive for the detected carbapenemase genes. A single gene was detected in 35.05% (n=34/97), whereas two or more genes co-occurred in 39.18% (n=38/97) of the isolates. When considering the overall carbapenemase gene distribution irrespective of its occurrence as combinations or as a single gene, the predominant gene was *bla_{OXA-51}* (47.4%, n= 46/97), and it was followed by *bla_{OXA-58}* (41.2%, n= 46/97), *bla_{OXA-23}* (23.7%, n= 23/97), *bla_{VIM}* (17.5%, n= 17/97), *bla_{OXA-48}* (16.5%, n= 16/97), *bla_{NDM}* (9.3%, n= 16/97), *bla_{IMP}* (3.1%, n= 3/97), and *bla_{KPC}* (1/97, n=1.0%). We detected *bla_{VIM}*, *bla_{OXA-23}*, *bla_{OXA-48}*, *bla_{OXA-51}*, and *bla_{OXA-58}* as single genes in different percentages. The distribution of different carbapenemase genes is shown in Figure 2. Among these genes, *bla_{OXA-51}* and *bla_{OXA-58}* showed the highest equal occurrence of 11.3% (n=11/97). The other carbapenemase genes; *bla_{KPC}*, *bla_{NDM}*, and *bla_{VIM}*, were not detected as single genes. Two genes commonly co-occurred were, *bla_{OXA-51}+bla_{OXA-58}* (7.2%, n=7/97). The

predominant co-occurring gene combination among the three genes was *bla*_{OXA-51}+*bla*_{OXA-58}+*bla*_{VIM} (5.2%, n=5/97). The maximum number of carbapenemase genes detected was four genes in the combination of *bla*_{NDM}+*bla*_{IMP}+*bla*_{OXA-48}+*bla*_{OXA-51} (1.0%, n=1/97).

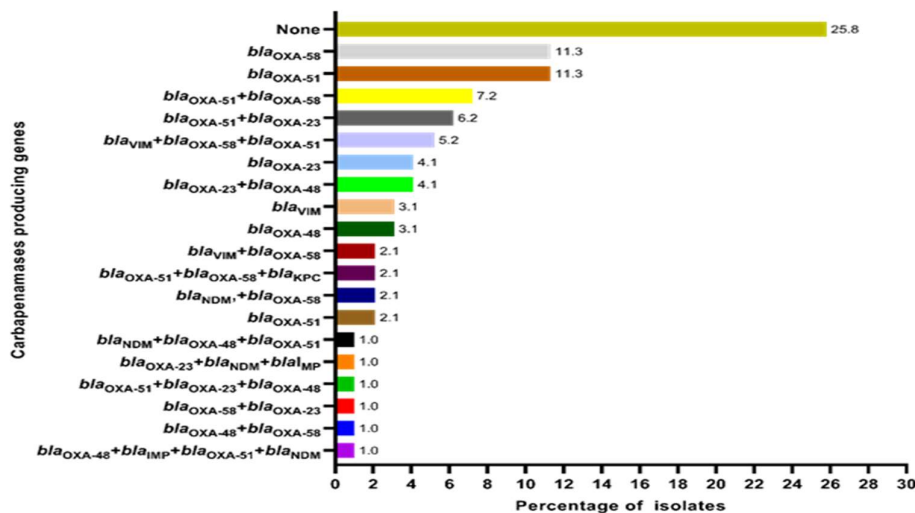


Figure 2. Percentage distribution of carbapenem resistant genes as singles or combinations among the included carbapenem-resistant uropathogens (n=97).

The most common genes detected in each CRO species irrespective of gene co-occurrences were *bla*_{OXA-51} (62.5%, n=5/8) in *Acinetobacter baumannii*; *bla*_{OXA-23} and *bla*_{OXA-51} (60.0%, n=3/5) in *A. baumannii/calcoaceticus* complex; *bla*_{OXA-51} and *bla*_{OXA-58} (53.33%, n=8/15) in *E. coli*; *bla*_{OXA-51} (35%, n=7/20) in other Gram-negative bacteria (GNB); *bla*_{OXA-48} (33.33%, n=11/33) in *K. pneumoniae*; and *bla*_{VIM} (25.0%, n=4/16) in *P. aeruginosa*. However, in *A. baumannii/calcoaceticus* complex, *Achromobacter* spp., *Pseudomonas putida*, *Pseudomonas orizihabitans*, *Empedobacter brevis*, *Serratia plymuthica* and *Leclercia adecarboxylata*, all the carbapenemase genes were presented as gene combinations.

When gene combinations were considered, *bla*_{OXA-51}+*bla*_{OXA-23} was common in *A. baumannii* (60%, n=3/5) and the *A. baumannii/calcoaceticus* complex (37.5%, n=3/8). In *E. coli*, *bla*_{OXA-51}+*bla*_{OXA-58} was predominantly detected (13.3%, n=2/15). Among *K. pneumoniae* strains, *bla*_{VIM}+*bla*_{OXA-48} was the most common gene combination (9.1%, n=3/33). The highest gene diversity was observed in *K. pneumoniae*, which included 11 gene combinations. Four coexisting carbapenemase genes (*bla*_{NDM}+*bla*_{IMP}+*bla*_{OXA-48}+*bla*_{OXA-51}, 3.0%) were also detected in an isolate of *K. pneumoniae*. It was isolated from a 73-year-old male patient admitted to the UHKDU. The Figure 3 shows the frequency distribution of carbapenemase genes among different carbapenem resistant uropathogenic species.

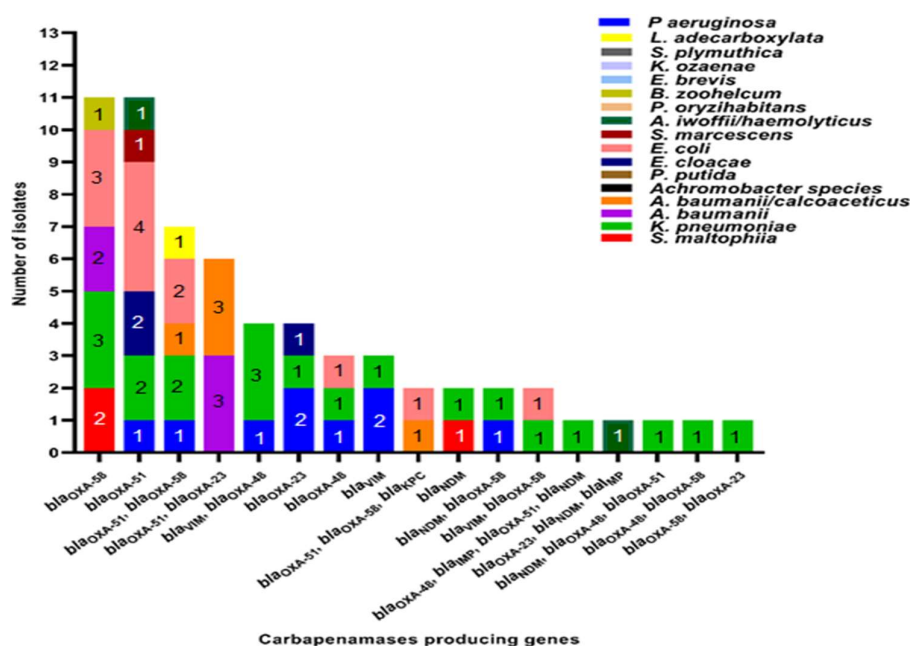


Figure 3. Frequency distribution of carbapenemase genes among identified carbapenem-resistant uropathogenic species.

2.3. Demographic characteristics versus carbapenemase gene presence

When the carbapenemase gene distribution among different age groups was considered, *bla*_{OXA-23} was common among the 41-50 years age group. The *bla*_{OXA-58} encoding genes were predominant among patients aged 51-60 years, whereas the *bla*_{OXA-51} encoding genes were predominant among patients aged 61-70 years and 71-80 years, as shown in Table 2. The predominant carbapenemase genes among males and females were *bla*_{OXA-58} and *bla*_{OXA-51}, respectively. The *bla*_{KPC} gene was not detected among females. A high frequency of coexisting genes was observed among males, as shown in Table 2. In terms of education level, the majority of the patients had a secondary education level (50/97, 51.54%) and were employed as skilled workers (46/97, 47.42%). The results of the univariate analysis are shown in Table 3. Only education level was significantly associated with the presence or absence of carbapenemase genes ($p < 0.05$), and it was subjected to binary logistic regression. Among the four education levels considered, education only up to primary education significantly contributed to carbapenemase gene presence ($p < 0.05$).

Table 2. Distribution of carbapenemase genes among different age categories and genders within the study sample (n=97).

Carbapenemases encoded by gene/s	Age categories in years										Gender	
	0-10	11-20	21-30	31-40	41-50	51-60	61-70	71-80	81-90	91-100	Males	Female
<i>bla</i> _{NDM}	1	0	0	0	0	1	0	0	0	0	0	2
<i>bla</i> _{VIM}	0	0	1	1	1	0	0	0	0	0	2	1
<i>bla</i> _{OXA-51}	0	0	2	0	1	2	2	3	1	0	3	8
<i>bla</i> _{OXA-23}	0	1	0	1	0	1	1	0	0	0	1	3
<i>bla</i> _{OXA-48}	0	0	0	0	0	1	2	0	0	0	1	2
<i>bla</i> _{OXA-58}	1	1	0	1	0	5	1	1	0	1	6	5

<i>bla</i> _{NDM} , <i>bla</i> _{OXA-58}	0	0	0	1	0	1	0	0	0	0	1	1
<i>bla</i> _{VIM} , <i>bla</i> _{OXA-48}	1	0	1	0	0	0	0	2	0	0	4	0
<i>bla</i> _{VIM} , <i>bla</i> _{OXA-58}	1	0	0	0	0	0	0	1	0	0	1	1
<i>bla</i> _{OXA-51} , <i>bla</i> _{OXA-23}	1	0	0	1	2	1	1	0	0	0	2	4
<i>bla</i> _{OXA-51} , <i>bla</i> _{OXA-58}	1	1	1	0	0	0	2	2	0	0	4	3
<i>bla</i> _{OXA-48} , <i>bla</i> _{OXA-58}	0	0	0	0	0	0	0	1	0	0	1	0
<i>bla</i> _{OXA-48} , <i>bla</i> _{OXA-23}	0	0	0	0	2	1	0	1	0	0	2	2
<i>bla</i> _{OXA-58} , <i>bla</i> _{OXA-23}	0	0	0	0	0	0	0	1	0	0	1	0
<i>bla</i> _{OXA-51} , <i>bla</i> _{OXA-23} , <i>bla</i> _{OXA-48}	0	0	0	0	0	0	0	1	0	0	1	0
<i>bla</i> _{VIM} , <i>bla</i> _{OXA-58} , <i>bla</i> _{OXA-51}	0	0	0	1	0	0	2	1	1	0	3	2
<i>bla</i> _{OXA-51} , <i>bla</i> _{OXA-58} , <i>bla</i> _{KPC}	0	0	0	0	0	0	1	0	1	0	1	0
<i>bla</i> _{OXA-23} , <i>bla</i> _{NDM} , <i>bla</i> _{IMP}	0	0	0	1	0	0	0	0	0	0	1	0
<i>bla</i> _{NDM} , <i>bla</i> _{OXA-48} , <i>bla</i> _{OXA-51}	0	0	0	0	0	0	1	0	0	0	1	0
<i>bla</i> _{OXA-48} , <i>bla</i> _{OXA-51} , <i>bla</i> _{IMP} , <i>bla</i> _{NDM}	0	0	0	0	0	0	0	1	0	0	1	0
No carbapenemase encoding genes detected	1	1	1	1	5	4	8	4	0	0	12	13
Total	7	4	6	8	11	17	21	19	3	1	50	47

2.4. Clinical characteristics versus carbapenemase gene presence

2.4.1. Type of current UTI

When considering the type of UTI, the majority of the patients had uncomplicated cystitis (49/97, 50.5%), followed by complicated pyelonephritis (30/97, 30.9%). In addition, of the patients diagnosed with UTIs, the majority (72/97, 74.22%) were positive for one or more of the carbapenemase genes, without any statistically significant difference ($p=0.415$) with the presence of carbapenemase genes, as shown in Table 3.

2.4.2. Antibiotic usage history

A total of 95.9% ($n=95/97$) of patients were treated with outpatient antibiotic medications prior to hospital admission within the last 3 months for UTIs and other infections. However, only 38.8% ($n=34/95$) were aware of the types of antibiotics used. Reasons for this were, poor knowledge of the patients on antibiotics and their names, and the absence of previous medical records with most of the patients. The antibiotics used to treat these patients included co-amoxiclav, ceftriaxone, ciprofloxacin, clarithromycin, nitrofurantoin, metronidazole, gentamicin, and meropenem. However, the majority of the patients were treated with co-amoxiclav (47.1%, $n=16/34$). Among the patients previously exposed to antibiotics, 73.7% were positive for carbapenemase genes. The only two patients who were not previously exposed to antibiotics were also positive for carbapenemase genes.

2.4.3. History of episodes of UTIs in the past six months

Overall, 19.6% (19/97) patients have not experienced UTIs during the past six months. In addition, 11.3% (11/97) had experienced one UTI, and an equal number of patients (13.4%, $n=13/97$)

had previously experienced to two or three episodes of UTIs. A total of 42.3% (n=41/97) patients had experienced more than three previous episodes of UTIs during the past six months, and 68.3% (n=28/41) were positive for carbapenemase genes. Furthermore, out of the 19.6% (n=19/97) patients who had not experienced to previous UTI episodes, 78.9% (n=15/19) were positive for at least one carbapenemase-encoding gene tested. However, a statistically significant difference was not noted (p=0.593) between previous UTI episodes, and the presence or absence of carbapenemase genes, as shown in Table 3.

Table 3. Univariate analysis of demographic and clinical outcome related factors with the presence or absence of carbapenemase genes among patients with urinary tract infections caused by carbapenem resistant organisms.

Variables, n (%)			Total (n=97)	CRG present (n=72, %)	CRG absent (n=25, %)	p value
Demographic factors (n=97)	Age (mean ± SD, years=52.6 ± 22.5)	0 – 10	7	6 (85.7 %)	1 (14.3 %)	0.634
		11 – 20	4	3 (75.0 %)	1 (25.0 %)	
		21 – 30	6	5 (83.3 %)	1 (16.7 %)	
		31 – 40	8	7 (97.5 %)	1 (12.5 %)	
		41 – 50	11	6 (54.5 %)	5 (45.5 %)	
		51 – 60	17	13 (76.5 %)	4 (23.5 %)	
		61 - 70	21	13 (61.9 %)	8 (38.1 %)	
		71 – 80	19	15 (78.94 %)	4 (21.1 %)	
		81 – 90	3	3 (100 %)	0	
		91 - 100	1	1 (100 %)	0	
Gender	Male	50	38 (76.0 %)	12 (24.0 %)	0.680	
	Female	47	34 (72.3 %)	13 (27.7 %)		
Education level	Primary	23	19 (82.6 %)	4 (17.4 %)	0.034*	
	Secondary	50	31 (62.0 %)	19 (38.0 %)		
	Higher education	21	19 (90.5 %)	2 (9.5 %)		
	No schooling	3	3 (100 %)	0		
Occupation	Unemployed	25	19 (76.0 %)	6 (24.0 %)	0.680	
	Retired	4	2 (50.0 %)	2 (50.0 %)		
	Unskilled worker	10	7 (70.0 %)	3 (30.0 %)		
	Skilled worker	46	34 (73.9 %)	12 (23.1 %)		
	Professional or managerial	7	5 (71.4 %)	2 (28.6 %)		
	Other	5	5 (100 %)	0 (0 %)		
Clinical presentation and history related factors (n=97)	Type of current urinary tract infection	Uncomplicated cystitis	4	4 (100 %)	0 (0 %)	0.415
		Complicated cystitis	16	13 (81.3 %)	3 (18.8 %)	
	Uncomplicated pyelonephritis	Uncomplicated	2	2 (100 %)	0	
		pyelonephritis				

		Complicated pyelonephritis	30	23 (76.7 %)	7 (23.3 %)		
		Other	45	30 (66.7 %)	15 (33.3 %)		
Outpatient antibiotic treatment prior to admission for the current illness	Yes		95	70 (73.7 %)	25 (26.3 %)	0.400	
	No		2	2 (100 %)	0		
History of episodes of UTI in last 6 months	None		19	15 (78.9 %)	4 (21.1 %)	0.593	
	One		11	10 (90.9 %)	1 (9.1 %)		
	Two		13	10 (76.9 %)	3 (23.1 %)		
	Three		13	9 (69.2 %)	4 (30.7 %)		
	More than three		41	28 (68.3 %)	13 (31.7 %)		
Clinical outcome assessment during hospital stay (n=97)							
Clinical improvement during hospital stay	Clinical improvement at day 3 of treatment	Yes	23	18 (78.3 %)	5 (21.7 %)	0.613	
		No	74	54 (72.9 %)	20 (27.0 %)		
Clinical improvement on day 5 treatment	Clinical improvement on day 5 treatment	Yes	43	34 (79.1 %)	9 (20.9 %)	0.459	
		No	31	22 (70.9 %)	11 (35.5 %)		
Clinical improvement at day 7 of treatment	Clinical improvement at day 7 of treatment	Yes	28	18 (64.3 %)	10 (35.7 %)	0.251	
		No	3	3 (50.0 %)	0		
Worsening after day 7 of treatment	Worsening after day 7 of treatment	Yes	3	3 (100 %)	0	0.402	
		No	90	68 (75.6 %)	22 (24.4 %)		
Death	Death during hospital stay	Yes	Due to UTI	0	0	0	0.971
			Due to other reasons	4	3 (75.0 %)	1 (25.0 %)	
		No	93	69 (74.2 %)	24 (25.8 %)		
Clinical outcome assessment following hospital discharge (n=93)							
UTI symptom recurrence following hospital discharge	UTI symptom recurrence within 30 days of infection	Yes	33	25 (75.8 %)	8 (24.2 %)	0.761	
		No	60	47 (78.3 %)	13 (21.7 %)		
UTI symptom recurrence following hospital discharge	UTI symptom recurrence following hospital discharge	Yes	24	21 (87.5 %)	3 (12.5 %)	0.288	
		No	69	51 (73.9 %)	18 (26.1 %)		

	If admitted outcome within 60-90 days of infection	Complicated infection		0	0	0	
		No hospital admission		87	72 (82.8 %)	15 (17.2 %)	
Deaths occurred during three mo	Death within 30 days	Yes	Due to UTI	0	0	0	0.265
			Due to other reasons	4	2 (50.0 %)	2 (50.0 %)	
		No		93	69 (74.2 %)	24 (25.8 %)	
	Death within 30-60 days	Yes	Due to UTI	0	0	0	0.554
			Due to other reasons	1	1 (100 %)	0	
		No		96	71 (74.0 %)	25 (26.0 %)	
	Death within 60-90 nthsdays	Yes	Due to UTI	0	0	0	0.554
			Due to other reasons	1	1 (100.0 %)	0	
		No		96	71 (74.0 %)	25 (26.0 %)	

*Statistically significant difference was noted, CRG-carbapenem resistance genes. OPD, outpatient department; ICU, intensive care unit

2.4.4. In-hospital clinical improvement with the presence carbapenemase genes

Among the study sample, clinical improvement was observed in 23.7% (n=23/97) patients by day three. Of these improved patients, 30.4% (n=7/23) harbored a single carbapenemase-encoding gene, while 47.8% (n=11/23) carried multiple carbapenemase-encoding genes. By day five of treatment, of 58.1% (n=43/74) patients demonstrated clinical improvement, an equal proportion (41.9%, n=18/43) harboring either single and multiple carbapenemase genes. At day seven, post-treatment with a sensitive antibiotic, 90.3% (n=28/31) of patients exhibited clinical improvement. Similar to the day five findings, an equal distribution of patients harbored single and multiple carbapenemase genes (32.1%, n=9/28). Accordingly, a progressive increase in clinical improvement was observed during the period of hospital stay. However, three patients were observed with worsening of their clinical condition following day seven of treatments, and all of them were found to carry multiple carbapenemases encoding genes. Moreover, a statistically significant difference was not noted ($p>0.05$) between the in-hospital clinical improvement and the presence of carbapenemase genes, as shown in Table 3.

2.4.5. Clinical improvement following hospital discharge with the presence of

carbapenemase genes

Overall, 71.1% (n=69/97) of the patients experienced UTI symptoms following hospital discharge, of whom 52.2% (n=36/69) were managed with outpatient department (OPD) medications. Out of which equal proportion (39.1%, n=27/69) harbored carbapenemases encoding genes. Additionally, 50.5% (n=49/97) of patients required readmission to general wards with no intensive care unit (ICU) admissions recorded. Among re-admitted patients, 30.6% (n=15/49) harbored single carbapenemases encoding genes, while 44.9% (n=22/49) harbored multiple genes. The majority (93.9%, n=46/49) were discharged following complete recovery. However, 6.1% (n=3/49) developed infectious complications, including one patient without detectable carbapenemase genes and two patients with multiple carbapenemases encoding genes. Furthermore, none of the considered clinical

improvement-related factors were significantly associated with the presence of carbapenemase genes ($p>0.05$), as shown in Table 3.

2.4.6. Deaths associated with the presence of carbapenemase genes

Overall, 10.3 % ($n=10/97$) patients deceased during the study period, though mortality was attributed due to non-UTI related causes. As summarized in Table 4, the majority of the deceased patients were females (60.0%, $n=6/10$) and of advanced age. The most frequently observed CRO was *K. pneumoniae*. Notably, three of the deceased patients who deceased as shown in Table 4 did not harbor any carbapenemases encoding genes.

Table 4. Characteristics of death patients during the hospital stay and after discharged from the hospital.

Period of death	Gender	Age (years)	Name of the CRO present	Carbapenemase genes present
During the hospital stay (n=4)	Female	45	<i>K. pneumoniae</i>	None
	Male	49	<i>A. baumannii</i>	<i>bla_{OXA-51}+bla_{OXA-23}</i>
	Female	69	<i>K. pneumoniae</i>	<i>bla_{OXA-48}</i>
	Female	24	<i>K. pneumoniae</i>	<i>bla_{OXA-51}</i>
Within 30 days of discharge (n=4)	Male	65	<i>P. aeruginosa</i>	None
	Male	79	<i>S. marcescens</i>	<i>bla_{OXA-51}</i>
	Female	80	<i>K. pneumoniae</i>	None
	Female	80	<i>K. pneumoniae</i>	<i>bla_{OXA-51}</i>
Within 30-60 days of discharge (n=1)	Male	82	<i>A. baumannii/calcoaceticus</i> complex	<i>bla_{KPC}+bla_{OXA-51}+bla_{OXA-58}</i>
Within 60-90 days of discharge (n=1)	Female	70	<i>E. coli</i>	<i>bla_{OXA-51}+bla_{OXA-58}</i>

3. Discussion

UTIs represent a significant health concern worldwide with increasing resistance to common antibiotics, creating a substantial challenge in the management of patients [24]. Among the resistance mechanisms, production of carbapenemases by uropathogens is a threat, because of their ability to hydrolyse broad-spectrum β -lactam antibiotics, including carbapenems [25]. The global trends in CROs show alarming increase across multiple pathogens and regions. Particularly, the ATLAS surveillance program reported rising rates of CREs in Asia Pacific, Europe, Latin America, and Middle East Africa from 2018 to 2022, varying carbapenemase prevalence by region [26]. In the present study, we assessed molecular determinants of urinary CROs and their clinical characteristics. These isolates were recovered from hospitalized patients in two Sri Lankan hospitals, the UHKDU and the NCI, from January to December in 2023. Among the 7640 urine samples screened, 100 CROs were included in this study based on inclusion and exclusion criteria. Of which, 99/100 were identified to the species level via the BD Phoenix automated system. The genotypic analysis by a multiplex PCR kit was performed for 97/99 isolates and, 72/97 (74.2 %) were identified as carbapenemase producers.

In the present study, a high occurrence of CROs were observed among males, supporting previous findings [20, 27]. Although, UTIs are more common among females [1], the reason for this high CRO occurrence among males may be that older males have an increased risk of experiencing prostate-related infections such as, benign prostatic hyperplasia (BPH), which may cause urine incontinence and recurrent UTIs. In addition, due to prostate enlargement, urine catheterization is needed. When catheterized for a long period of time, biofilms are formed by antibiotic-resistant bacteria. As a result, repeated and chronic antibiotic treatments are needed, resulting in resistance. In

addition, the highest occurrence of CROs was observed among elderly patients, supporting previous findings [28]. This may be due to the weakened immune system, recent hospitalizations, comorbidities, chronic diseases and frequent antibiotic usage with increasing age. In contrast, published studies reported a high rate of carbapenemase production among children aged less than one year in neonatal and pediatric wards [27].

Carbapenem resistance is particularly concerning in GNB such as; *K. pneumoniae*, *P. aeruginosa* and *A. baumannii* [29]. Our study detected a wide diversity of carbapenemase producers, with *K. pneumoniae* being the most common uropathogen (33.2%), which is in line with studies previously published in Sri Lanka [20-22] and elsewhere [27]. In contrast, different findings were also reported elsewhere [30]. *K. pneumoniae* was followed by *P. aeruginosa*, *E. coli*, the *A. baumannii/calcoaceticus* complex, *A. baumannii* and some other GNB, which is in line with previous findings [26, 30]. *A. baumannii* revealed high carbapenem resistance rates globally with an upward trend in 2020 to 2023 supporting our findings [31]. In addition, the isolation of some less frequent carbapenemase producers in our study is important, primarily due to their potential to disseminate carbapenemase genes and act as reservoirs for carbapenemases.

Genotypic analysis by PCR revealed that 74.2% (n=72/97) of the tested uropathogenic CROs were positive for carbapenemase-encoding genes. The tested eight carbapenemase encoding genes, i.e., *bla_{NDM}*, *bla_{KPC}*, *bla_{IMP}*, *bla_{VIM}*, *bla_{OXA-51}*, *bla_{OXA-23}*, *bla_{OXA-48}* and *bla_{OXA-58}*, presented as single genes or in different combinations, indicating high carbapenem resistance variability in our study sample. In addition, the present study reported a high occurrence rate of gene combinations (39.18%) than single genes. Similar findings were reported in Egypt, with a substantial gene co-occurrence rate of 54.5%, which was higher than that of single-gene detection (45.5%), supporting our findings. The reason for this may be, as these genes are carried on mobile genetic elements and are transmissible easily within different hospital settings [32]. In addition, the diverse nature of *bla_{NDM-1}* and its capability to carry plasmids, retains the ability to harbor a high number of additional resistance genes, and these plasmids are considered as potential sources of MDR [34]. Furthermore, the observation of 25.77% (n=25/97) of carbapenem-resistant isolates detected by disk diffusion were PCR-negative for carbapenemase genes. This can be attributed to non-carbapenemase-mediated resistant mechanisms such as porin loss, over-expression of efflux pumps and alteration in penicillin-binding proteins [33]. This discrepancy underscores the importance of integrating phenotypic tests with genotypic methods to fully characterize resistance profiles.

Different carbapenemase producing genes, such as *bla_{OXA-181}* [14, 17], *bla_{OXA-48-like}* [20] and *bla_{NDM}* [21], have been reported previously from Sri Lanka. In addition, our study detected one *E. coli* isolate harboring *bla_{KPC}* (1.0%) as a combination of *bla_{KPC}+bla_{OXA-51}+bla_{OXA-58}*, and it was isolated from a 70 years old male patient, supporting the available local data [20-22]. In the present study, *bla_{NDM}* did not occur as a single gene but, four combinations were noted. Higher *bla_{NDM}* occurrence percentages than our study were reported locally and internationally [20, 35]. Moreover, *bla_{NDM-1}* and *bla_{NDM-4-like}* variants have also been reported in Sri Lanka [14]. Although, previously only two combinations of *bla_{NDM}* such as, *bla_{NDM}+bla_{OXA-48}* and *bla_{NDM}+bla_{KPC}+bla_{OXA-48}*, were reported in Sri Lanka, the present study reported four co-occurrences of *bla_{NDM}* with *bla_{OXA-48}*, *bla_{OXA-23}*, *bla_{OXA-51}* and *bla_{IMP}* in different combinations, supporting the findings of elsewhere [27, 36, 37]. Similar percentages to other carbapenemase genes such as, *bla_{IMP}*, *bla_{VIM}*, *bla_{OXA-23}*, *bla_{OXA-51}* and *bla_{OXA-58}* were also reported in studies published in China [37], Thailand [38], Pakistan [39], etc. Interestingly, the *bla_{OXA-23}+bla_{OXA-51}* combination was predominant among the *A. baumannii* (60%) and *A. baumannii/calcoaceticus* complex isolates (37.5%). To date, local studies reporting the occurrence of these *bla_{IMP}*, *bla_{VIM}*, *bla_{OXA-23}*, *bla_{OXA-51}* and *bla_{OXA-58}* genes are scarce. This gap in data may be due to the lack of prior screening for carbapenemase-encoding genes in Sri Lankan clinical setting.

Univariate analysis revealed education level as the only factor that was significantly associated with the presence of carbapenemase genes, and of which education only up to primary grade was a significant predictor according to the binary logistic regression. Patients with low education levels are more likely to acquire UTIs caused by CROs, potentially due to less awareness of preventive

measures and limited access to treatments supporting the available literature [40, 41]. None of the other clinical or demographic factors such as age, sex, occupation or type of UTI, were significantly associated with the presence of carbapenemase genes, highlighting the pivotal role of education level in predicting carbapenem resistance.

Previous episodes of UTIs and prior antibiotic treatments were not significantly associated with the presence of carbapenemase genes in our study. Although, the history of antibiotic use may contribute to the emergence of carbapenem resistance [42, 43]. However, the lack of statistically significant difference in our study could be due to diversified reasons such as, unawareness of patients about the medicines they are taking, limited sample size, and inclusion of immunocompromised patients with malignancies. In addition, the occurrence of potential carbapenem resistance in Sri Lanka was facilitated by inappropriate antibiotic prescriptions (22.6%), and redundant antibiotic therapy (17.1%) against local treatment guidelines [44]. This ultimately leads to high mortality and morbidity rates due to treatment failure, public health threats caused by outbreaks of antibiotic-resistant infections, and increased health care-associated costs [12]. In terms of clinical characteristics, neither clinical improvement during hospital stay nor clinical improvement after discharge from the hospital were significantly associated with the presence of carbapenemase genes.

Among the 97 enrolled patients, mortality was observed in 10 cases (10.3%), with four deaths occurring during hospitalization and 6 following discharge from hospitals. Of the post-discharge deaths, four occurred within 30 days, while the remaining two deaths were recorded within 30-60 days and 60-90 days post-discharge, respectively. Although, none of these fatalities were attributed due to UTIs. Demographic analysis revealed that mortality was more prevalent among female patients and older individuals. Notably, three deceased patients showed no detectable carbapenemase genes. These findings are in contrast with published literature demonstrating higher mortality rates due to infections among patients with CROs compared to those infected with carbapenem-susceptible organisms [45]. In addition, the clinical outcome may depend on the type of carbapenemase genes present. In particular, isolates encoding *bla_{NDM-1}* or *bla_{NDM-1}/bla_{OXA-48}* presented higher mortality rates than *bla_{OXA-48}* producers did. Notably, our findings demonstrate that the mere presence of carbapenemase genes does not independently predict mortality outcomes. The treatment of CROs associated UTIs should be decided on the basis of presence or absence of carbapenemase genes their mechanisms of resistance, and by careful clinical monitoring of patients' response, especially when using carbapenems or colistin as therapeutic drugs. However, no statistically significant difference was documented in the mortality outcome of patients infected with CROs when treated with different antimicrobial agents, supporting our findings [46, 47, 48].

The present study reports the detection of eight carbapenemases encoding genes via a multiplex PCR kit to detect urinary CROs in Sri Lanka. This is the major strength of our study, which enabled the early identification of causative genetic mechanisms, and early initiation of appropriate antibiotic therapy, and preventive measures. Moreover, mortality associated with inappropriate, prolonged antibiotic prescriptions is limited by the use of multiplex PCR systems [49, 50]. Despite these strengths, there were several limitations as well. Although, this study included 100 patients from two hospitals, the BD Phoenix automated identification was performed for 99 isolates while, PCR testing was performed for only 97 isolates due to the limitation of resources. Further studies should be conducted including large sample sizes, in different geographical regions, with more resourced settings to detect carbapenemase genes circulating in the country. In addition, the broader epidemiology of CRO in the country may be identified by testing other clinical samples as well. In the present study, only carbapenemase production was tested, but the detection of other resistance mechanisms such as, efflux pumps, mutations in porins and penicillin-binding proteins, at least in PCR-negative isolates may contribute to the overall resistance profile.

Sri Lanka is the best-fitting and well-known country for the tourism industry and it plays a significant role in Sri Lanka's economy. As a result of the high influx of foreign travelers from carbapenemase-encoding genes endemic countries such as India, there is an increased potential for

the dissemination of these resistance genes, which poses a risk not only to local residents but, also to global health [34, 51]. Evidence-based public health policies, proper antibiotic stewardship programs, robust surveillance, and timely interventions play crucial roles in combating the threat of carbapenem resistance. Knowledge of resistance mechanisms is a cornerstone for reducing carbapenem resistance. Alternative β -lactamase inhibitor drug combinations such as, ceftazidime/avibactam, which are highly effective against *bla*_{OXA-48}, and the addition of aztreonam are needed to treat *bla*_{NDM-1} [25]. In addition, the reuse of older forgotten antibiotics and, the development of new antibiotics would be beneficial in both local and global contexts.

4. Materials and Methods

A hospital-based prospective, cross-sectional study was conducted in two hospitals in the Western province of Sri Lanka; UHKDU, and NCI. The study duration was from January to December, in 2023. The urine culture samples received to respective microbiology laboratories were scrutinized on a daily basis to identify the eligible study population. Out of all the urine samples received, urinary isolates from UTI patients which showed $\geq 10^4$ colony forming unite (CFU)/ml and demonstrated resistance and/ or intermediate resistance to meropenem and/ or imipenem according to CLSI disk diffusion method were included in the study. Patients who were not presenting with UTIs, isolates with mixed growths in urine cultures, and repeated isolates from same patient were excluded. Following the isolation of urinary CROs, further laboratory analysis, and clinical characteristics assessment were performed.

4.1. Screening for carbapenem resistance in uropathogens by the disk diffusion test

Zone diameters of meropenem and imipenem were interpreted according to 2023, CLSI guidelines [52]. Organisms that showed resistance or intermediate resistance to imipenem and/or meropenem antibiotic disks on Mueller–Hinton agar plates were considered as possible CROs. Antimicrobial susceptibility testing was quality controlled with the *Escherichia coli* ATCC 25922 strain during the screening period, according to CLSI recommendations.

4.2. Organism identification and susceptibility testing by the BD Phoenix automated system

The BD Phoenix™ NMIC/ID-421 panel, which is specialized for the detection of carbapenemase-producing organisms, was used for urinary CRO identification and susceptibility testing of imipenem and meropenem in the BD Phoenix automated system. The tests were performed following the manufacturers' instructions, and quality control was performed using the *E. coli* ATCC25922 strain.

4.3. Molecular characterization of CROs

DNA extraction (HiPuraA® bacterial genomic extraction kit, catalogue no: MB505) and polymerase chain reaction (PCR) (Hi-PCR carbapenemase gene probe multiplex PCR kit, catalogue no: MBPCR132) were performed by commercially available, validated kits manufactured by Himedia laboratories in India, following the manufacturer's instructions. A total of eight carbapenemases encoding genes were detected. The *bla*_{NDM}, *bla*_{KPC}, *bla*_{IMP}, *bla*_{VIM}, and *bla*_{OXA-48} were selected since they are the most clinically reported and wide spread carbapenemase genes across globe, particularly in South and South East Asia. Whilst, *bla*_{OXA-23}, *bla*_{OXA-51}, and *bla*_{OXA-58} were tested since they were commonly detected in *A. baumannii*, especially in South Asia which is a WHO priority pathogen, epidemiologically linked with healthcare associated outbreaks and limited evidence is available on Sri Lankan occurrence [3]. Each PCR run was quality controlled by positive and negative controls, that were available with the PCR kit.

4.4. Demographics, clinical factors and clinical outcome assessment

A recently validated questionnaire by the same authors was used to collect demographic and clinical data from the selected group of patients, and the questionnaire was filled. Clinical outcome

assessment during the hospital stay was assessed by visiting wards at three, five and seven days of intervals, subsequently urine cultures reports confirmed the presence of CROs. The parameters considered for in-ward clinical improvement assessment were; patients' clinical response as indicated in the patients' records, reduction in white blood cell (WBC) count, and C-reactive protein level. Data on these parameters were obtained by referring to patients' medical records, inputs from treating medical officers and laboratory reports.

The clinical outcomes of patients following hospital discharge were collected by contacting patients/guardians over the phone. Written informed consent to participate in the study and contact over the phone were obtained from patients/guardians at the first ward visit. They were followed for up to three months, and contacted at day 30, 60 and 90 following hospital discharge. The patients/guardians were questioned regarding the recurrence of UTI symptoms such as, dysuria, increased urinary frequency, abdominal pain, fever, back pain, loin pain, nausea, vomiting, and confusion during this period. In addition, questions related to the type of medical treatment received for recurrent UTIs, such as outpatient department (OPD) treatment, ward treatment due to UTI recurrence, and mortality data during the 3 months, were also obtained.

4.5. Statistical analysis

Data analysis was performed via SPSS software version 26. The means and standard deviations were used to summarize age. Frequencies and percentages are reported for categorical variables. Correlations between ordinal and non-normal continuous variables were analysed by the Spearman correlation coefficient, whereas Pearson's chi-square test (or Fisher's exact test for variables with <5 sample counts) was used to analyse the significance of categorical data. Associations of different genetic determinants with patient demographics and clinical outcomes were analysed by dividing the study sample into two groups as presence or absence of carbapenem resistance genes. Statistically significant demographic/clinical variables, with the presence or absence of carbapenem resistance genes identified by univariate logistic regression, were further subjected to binary logistic regression. A significance value (P) <0.05 was considered significant.

5. Conclusions

Carbapenem resistance is an increasing threat in Sri Lanka and globally. Understanding the local epidemiology and resistant mechanisms is important in therapeutic approaches. This study highlights the presence of diverse carbapenemases in UTI patients and their clinical characteristics in Sri Lanka. The detection of carbapenemases encoding genes as singles and co-occurrences emphasize the complex nature of treating infections caused by CRO associated UTIs. Consideration on mortality rate and determining causative factors are utmost important to improve patient care. Findings emphasize the need of continuous surveillance, infection control measures and antibiotic stewardship programs, to minimize further spread and future outbreaks of urinary CROs in clinical settings.

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Institutional Review Board Statement: The study was conducted in accordance with the Declaration of Helsinki, and approved by the Ethics Committee of the Faculty of Medicine, General Sir John Kotelawala Defence University (protocol code: RP/2022/30 and date of approval: 23.09.2022).

Informed Consent Statement: A detailed information sheet was distributed among potential participants, and the voluntary nature of the study was explained verbally. Then, the consent form was given, and written informed consent was obtained from individual participants/guardians to participate in this study as well as to contact participants three times over the phone, at 30-day intervals for three months after discharge from two hospitals. In addition, confidentiality and data protection of the participants were ensured throughout this study.

Data Availability Statement: All the data generated and analyzed during this study are included in this manuscript. Further inquiries can be directed to the corresponding author.

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Conflicts of Interest: The authors declare no conflicts of interest.

Abbreviations

The following abbreviations are used in this manuscript:

ABST	Antibiotic susceptibility testing
BPH	Benign prostatic hyperplasia
CFU	Colony forming units
CRO	Carbapenem resistant organisms
CRE	Carbapenem resistant enterobacteriaceae
CLSI	Clinical laboratory standard institute
GNB	Gram negative bacteria
ICU	Intensive care unit
MDR	Multidrug-resistant
MIC	Minimum inhibitory concentration
NCI	National cancer institute
OPD	Out-patient department
PCR	Polymerase chain reaction
UHKDU	University hospital, Kotelawala Defence University
USA	United states of America
UTI	Urinary tract infection
WBC	White blood cells
WHO	World health organization
<i>bla_{KPC}</i>	<i>Klebsiella pneumoniae</i> carbapenemase
<i>bla_{IMP}</i>	Imipenemase
<i>bla_{NDM}</i>	New Delhi metallo- β -lactamase
<i>Bla_{OXA}</i>	Oxacillinase
<i>bla_{VIM}</i>	Verona Integron encoding metallo- β -lactamase

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