

Review

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Review

BODIPY Compounds Substituted on Boron

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Abstract: BODIPY compounds are important organic dyes with exceptional spectral and photophysical properties and numerous applications in different scientific fields. Their widespread applications have flourished due to their easy structural modifications, which enable preparation of different molecular structures with tunable spectral and photophysical properties. To date researchers mostly devoted efforts to modify BODIPY *meso*-position or pyrrole rings, whereas substitution of fluorine atoms remained not widely explored. However, chemistry on the boron atom is possible, and it enables tuning of photophysical properties of the dyes, without tackling their spectral properties. Furthermore, modifications on boron affect solubility and aggregation propensity of the molecules. This review article highlights methods for the preparation of B-substituted compounds and the most important reactions on the boron of the BODIPY dyes. They were divided into reactions promoted by Lewis acid (AlCl₃, or BCl₃), or bases such as alkoxides and organometallic reagents. By use of these two methodologies it is possible to cleave B-F bonds and substitute them with B-C, B-N, or B-O bonds from different nucleophiles. A special emphasis in this review is given to still underdeveloped photochemical reactions on the boron atom of BODIPY dyes. These reactions have potential to be used in the development of a new line of BODIPY photo-cleavable protective groups (also known as photocages) with bio-medicinal and photo-pharmacological applications, such as drug delivery.

Keywords: BODIPY compounds; boron chemistry; fluorescent dyes; photocages

1. Introduction

BODIPY is the commercial name for a class of heterocyclic fluorescent compounds with the IUPAC name 4,4-difluoro-4-bora-3a,4a-diaza-s-indacene (Figure 1) [i], that were discovered by A. Treibs and F.H. Kreuzer in 1968 [ii]. To date they have received a significant scientific interest owing to their exceptional photophysical properties and widespread use in material science [iii], fluorescence sensing [iv], molecular biology and medicine where they have been used as fluorescent markers [v] and phototherapeutics [vi]. Their use in different applications has been primarily enabled by their easy structural modifications which allow for tuning of spectral and photophysical properties [vii]. Consequently, the chemistry of BODIPY compounds has been reviewed on several occasions [viii], albeit the reviews on the reactions on boron in BODIPYs are scarce [ix].

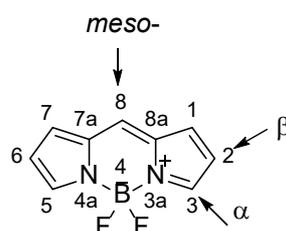
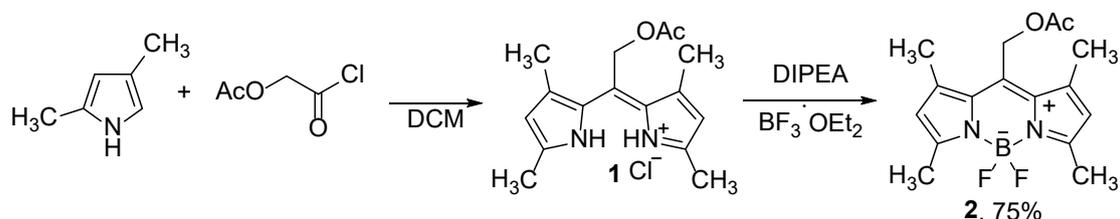


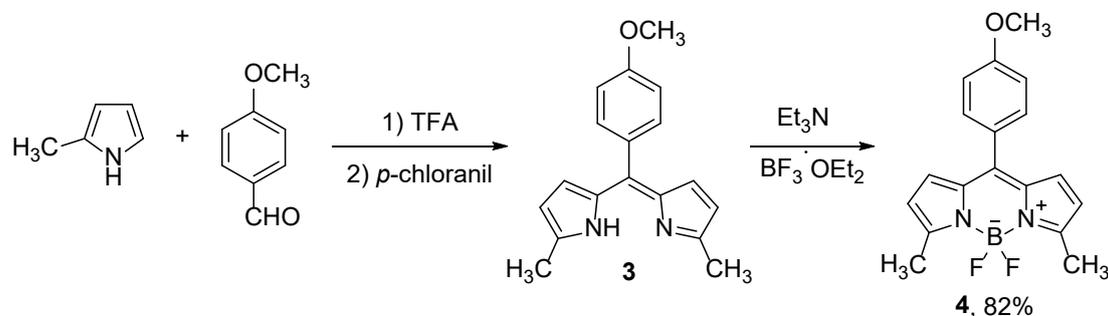
Figure 1. Structure of BODIPY with the numeration according to the IUPAC [viii].

BODIPY compounds generally show chemical and photochemical stability, good solubility in most organic solvents, low tendency to self-aggregation in solution and stability in physiological conditions. They are characterized by intense and narrow absorption bands in the visible part of the electromagnetic spectrum that correspond to the 0-0 transition and the population of the S_1 state, and a less pronounced shoulder attributed to the 0-1 vibrational transition [iv,vii,viii]. The absorption maxima, λ_{abs} , are most often in the range 470–530 nm and they weakly depend on the polarity of solvent [iv,vii,viii]. The values of their molar absorption coefficients are high, in the range of 40000-110000 $M^{-1} cm^{-1}$ [iv,vii,viii]. Most of them have large values of fluorescence quantum yields, with singlet excited state lifetimes of 1-10 ns, and negligible radiationless deactivation and the population of the triplet excited states [x].

The synthesis of BODIPY derivatives is based on the preparation of dipyrromethenes, which are subsequently complexed with BF_3 in the presence of a base [ii,viii]. By using substituted pyrrole and suitable acid chloride, in the first steps of the synthesis, alkylated dipyrromethene **1** is prepared which is converted into BODIPY compound **2** (Scheme 1) [xi]. The influence of the alkyl groups on spectroscopic and photophysical properties is negligible, but alkyl substituents are useful for further functionalization. The other often used procedure for the preparation of differently substituted dipyrromethenes is based on the MacDonald method, from pyrrole and pyrrole carbaldehyde derivatives, usually in the presence of acid such as HBr [xii,xiii]. Derivatives with the desired aryl groups at the position 8 are easily prepared by choosing an appropriate aromatic aldehyde in the synthesis of dipyrromethane [xiv], which are subsequently oxidized, typically with DDQ or *p*-chloranil (Scheme 2) [viii,xv]. Substituents in the *meso*-position do not have a significant effect on the wavelengths of absorption and emission maxima, but they can modify the fluorescence quantum yields [iv,viii]. If the aromatic ring additionally contains electron-donating groups such as tertiary amines, or electron-withdrawing groups such as nitro- or cyano-groups, additional photophysical processes in the excited state, such as photoinduced electron transfer (PET) or charge transfer (CT), affect the fluorescence [iv]. Furthermore, phenyl groups in the *meso*-position greatly reduce fluorescence quantum yields if their rotation is not sterically hindered [xvi], which was applied in the development of molecular rotors – fluorescent probes for microviscosity and temperature [xvii].



Scheme 1. Synthesis of BODIPY from a pyrrole derivative and acyl chloride [xi].



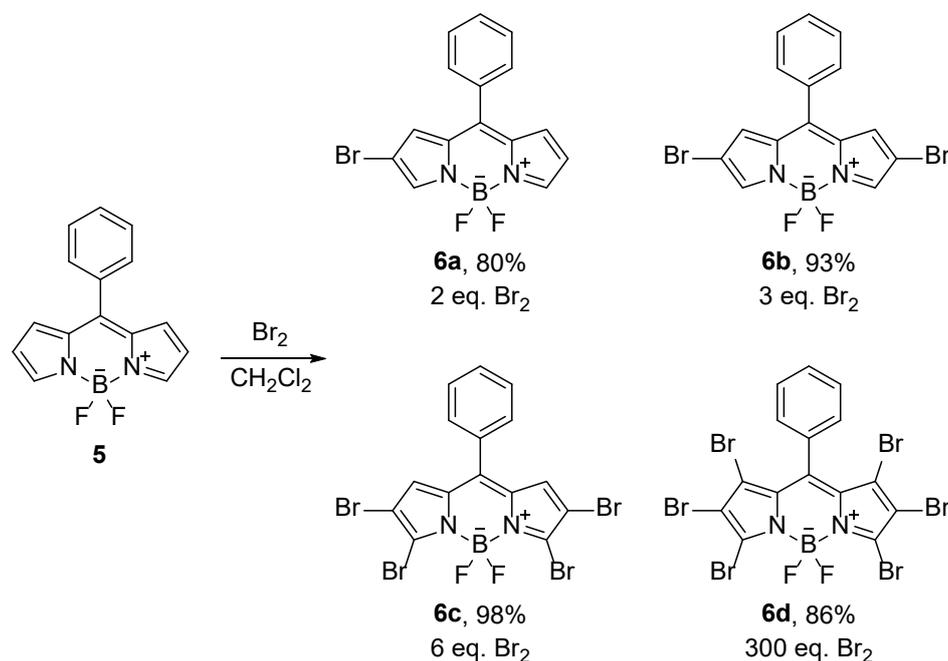
Scheme 2. Synthesis of BODIPY from pyrrole derivative and aromatic aldehyde [xv].

2. Structural modifications of BODIPY chromophore

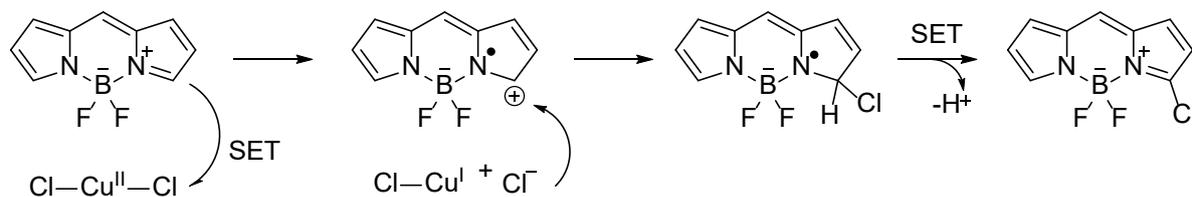
The great advantage of BODIPY compounds compared to other fluorophores is a large number of possible synthetic modifications of the chromophore, which lead to the changes of spectral and

photophysical properties [vii]. It is possible to introduce the desired substituents and carry out reactions on the entire chromophore – pyrrolic carbon atoms, *meso*-carbon and the boron atom.

A frequent modification of BODIPY compounds is the introduction of halogen atoms as reactive groups. Halogenated derivatives are suitable because they enable the introduction of target functional groups in the late steps of the synthesis [xviii]. A large number of halogenation reactions have been described: bromination with elemental bromine [xix], *N*-bromosuccinimide [xx], and CuBr₂ [xxi], chlorination with *N*-chlorosuccinimide [xxii], and CuCl₂·2H₂O [xxiii], iodination with ICl [xxiv] and I₂/HIO₃ [xxv], or *N*-iodosuccinimide [xxvi]. The electrophilic halogenation of compound **5** with bromine first takes place at the positions 2- and 6-, and then 3- and 5-, and finally at positions 1- and 7- (Scheme 3). On the other hand, the use of copper halogenides gives rise to selective halogenation. Thus, CuBr₂ yields the brominated positions 2 and 6, whereas by use of CuCl₂, chlorines enter the positions 3 and 5. The difference in regioselectivity is due to different reaction mechanism in the case of CuCl₂, where single-electron transfer takes place (Scheme 4).

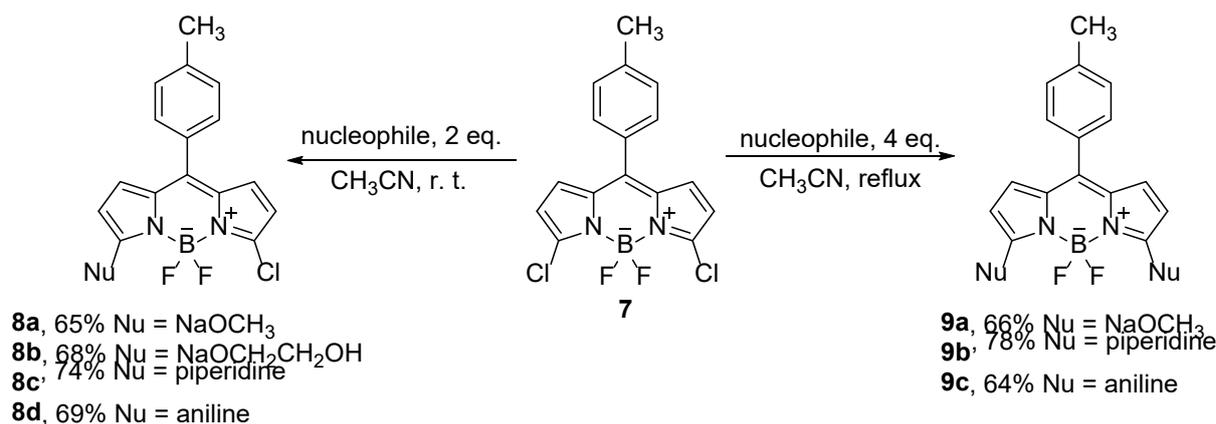


Scheme 3. Bromination of BODIPY by elemental bromine [xix].



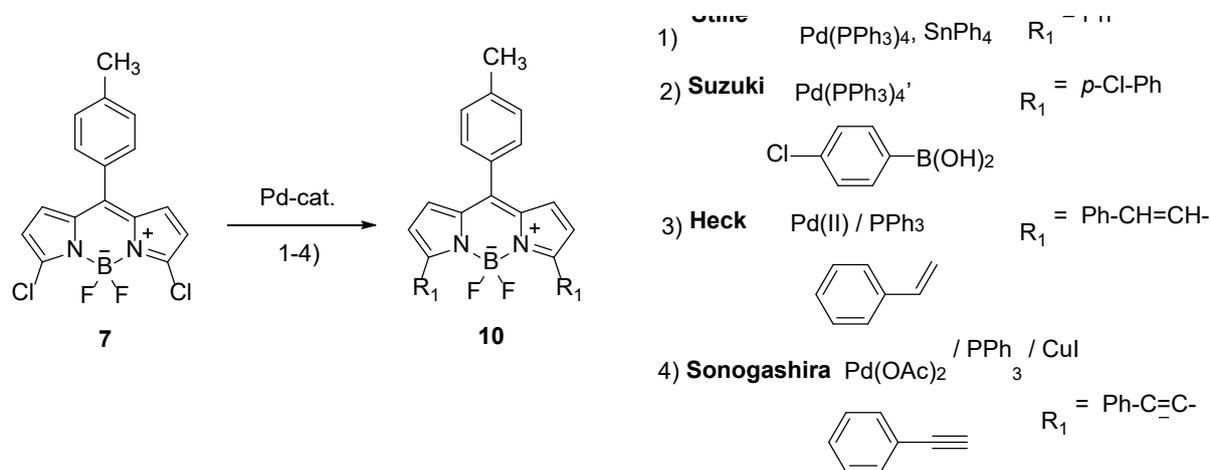
Scheme 4. Mechanism of the chlorination of BODIPY by CuCl₂.

The presence of bromine or iodine atoms in the BODIPY structures increases the rate of intersystem crossing due to greater spin-orbital coupling owing to the heavy atom effect, and consequently, there is a decrease in fluorescence quantum yields [xxvii]. The population of triplet excited state enables the generation of singlet oxygen, which is the main condition for the use of BODIPY compounds in photodynamic therapy where the iodo-derivatives show the greatest potential [vi]. Moreover, halogenated BODIPY derivatives can undergo reactions characteristic of halogenated aromatic heterocycles, such as nucleophilic aromatic substitution (Scheme 5). Substitution reactions can be carried out with carbon, oxygen and nitrogen nucleophiles [xxviii].

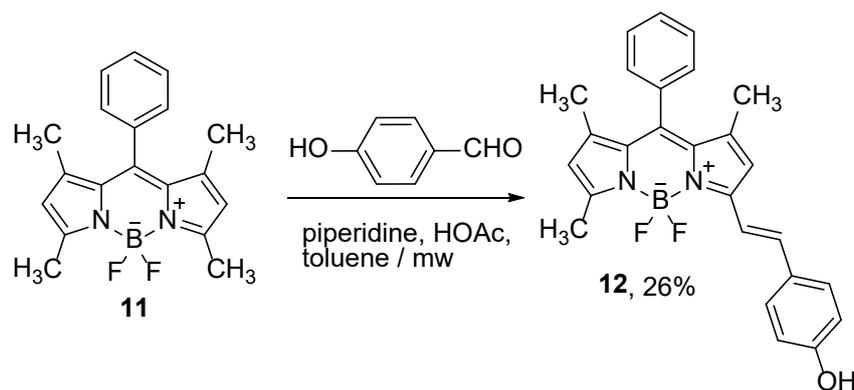


Scheme 5. Nucleophilic aromatic substitution on BODIPY 7 [xxviii].

Halogenated BODIPY compounds, including chloro-derivatives, undergo palladium-catalyzed cross-coupling reactions, including - Suzuki, Stille, Heck and Sonogashira reactions (Scheme 6) [xviii, xxix], which have been extensively used to enlarge chromophoric system and shift it bathochromically to red and infrared regions [vii,viii]. The smallest bathochromic shift in the absorption spectrum is caused by a phenyl substituent, and the largest by a styryl substituent. Furthermore, alkenyl derivatives are more often prepared from BODIPY compounds bearing methyl substituents by Knoevenagel reactions with aromatic aldehydes (Scheme 7) [xxx]. It is interesting to note that BODIPY compounds with alkenyl substituents generally have high fluorescence quantum yields and long singlet excited state lifetimes, even though it is known that alkenes very effectively deactivate by photochemical *E-Z* isomerization [xxxi]. Namely, the excitation of alkenyl BODIPY derivatives to S₁ does not lead to a decrease in the electron density between the C-atom of the double bond, which would result in the torsional relaxation on the S₁ surface [xxxii].



Scheme 6. Pd-catalyzed reactions on chlorinated BODIPY 7.

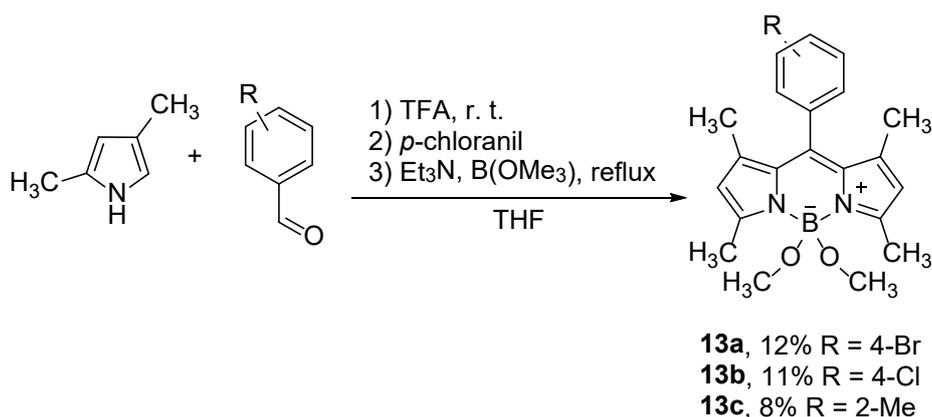


Scheme 7. An example of Knoevenagel reaction on BODIPY **11** [xxxiii].

3. Reactivity of BODIPY compounds on boron

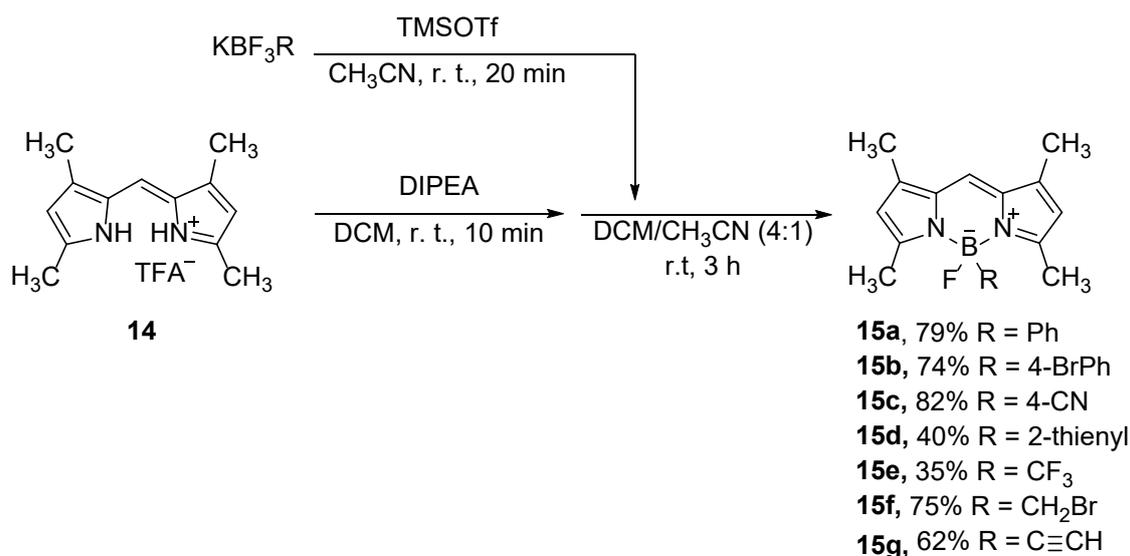
As BODIPY is the name for derivatives of 4,4-difluoro-4-bora-3a,4a-diaza-s-indacene, it is understood that two fluorine atoms are attached to the boron atom in the structures. However, reactions have been developed by which it is possible to derivatize the 4- position, that is, the substitution reactions of fluorine on the boron atom have also been developed [vii,ix]. It is known that the substitution of fluorines affects the fluorescence quantum yields, chemical and photochemical stability, solubility, and redox properties, all without significant effects on the wavelengths of absorption and emission maxima [vii,ix,xxxiv]. Most BODIPY compounds are poorly soluble in polar solvents, especially water. Because of the planarity of the molecules, they aggregate due to π - π stacking [xxxv]. By introducing hydrophilic, electronically charged or sterically large groups on the boron atom, which has a tetrahedral geometry, it is possible to effectively increase solubility and reduce aggregation [xxxvi].

BODIPY compounds substituted on the boron can be prepared already in the first steps of the synthesis. The BODIPY prepared from 2,4-dimethylpyrrole and aromatic aldehydes, with subsequent oxidation with *p*-chloranil to dipyrromethene and the treatment with triethylamine and trimethylborate as Lewis acid (instead of BF_3), gave compounds **13** in moderate yields (Scheme 8) [xxxvii].



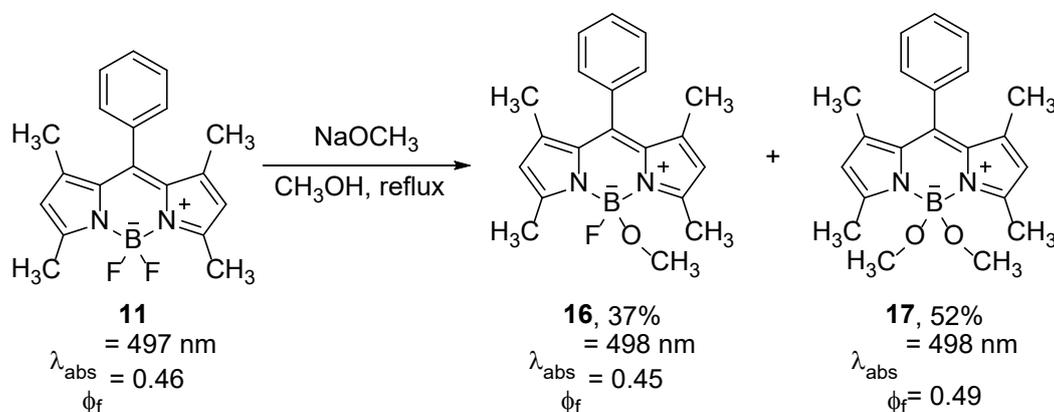
Scheme 8. Synthesis of dimethoxy BODIPY compounds **13** [xxxvii].

Better yields on B-substituted products, and wider scope of the B-substituted substrates can be obtained in the reactions of dipyrromethenes with potassium salts of B-substituted trifluoroborates (Scheme 9) [xxxviii]. The reaction afforded B-monoalkylated or monoarylated compounds **15** in moderate to good yields, as well as perfluoroalkyl derivatives, which were used in the live cell imaging [xxxixa] or inhibition of tau amyloid formation [xxxixb].



Scheme 9. Synthesis of B-substituted BODIPY derivatives **15** [xxxxviii].

Oxygen nucleophiles can substitute fluorine at the boron atom under basic conditions, often at high temperatures. Thus, the reaction of compound **11** with sodium methoxide in methanol gave a mixture of compounds **16** and **17** (Scheme 10) [xi]. The BODIPY compounds with alkoxy substituents at the boron have similar spectroscopic properties as the unsubstituted BF₂ compound, but their oxidation potentials differ. By replacing the more electronegative fluorine with a methoxide group, the inductive effect of fluorine is weakened, and the oxidation potentials have lower values by about 0.1 V per OCH₃ group. Therefore, the substitutions on boron have potential to tune properties of molecules for PET [xi].



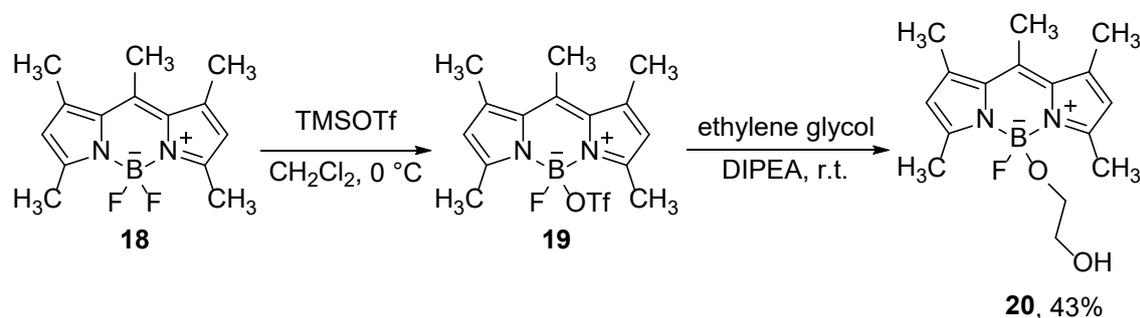
Scheme 10. The substitution of fluorine in BODIPY by alkoxide, maxima in the absorption spectra and quantum yields of fluorescence [xi].

Fluorine substitution reactions can also be conducted with sterically demanding nucleophiles, e.g. potassium *tert*-butoxide, but they are often limited to monosubstitution and have low reaction yields [xii]. The use of a large excess of nucleophiles in the reaction does not give better yields on the substitution products, but results in the elimination of BF₂ and release of the free dipyrromethenes.

3.1. Lewis acid promoted formation of the B-O bonds

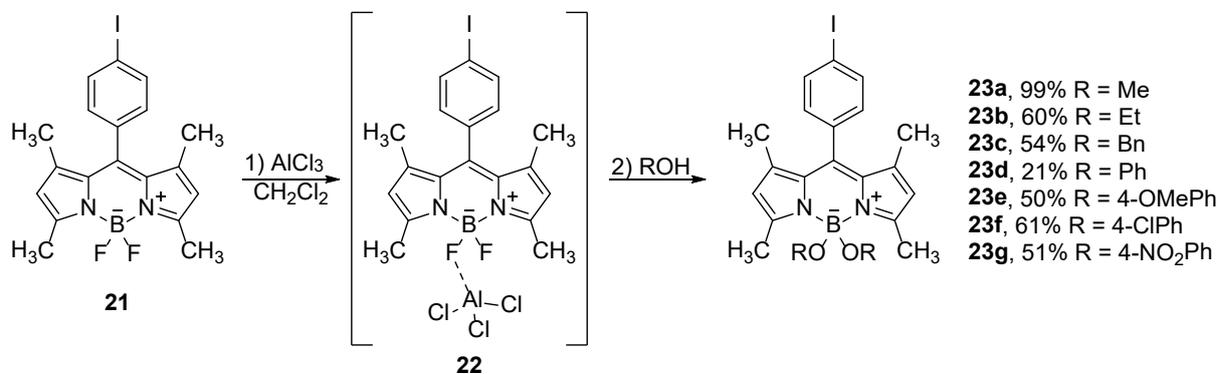
The use of Lewis acids, which interact with the boron atom or fluorines in BODIPY compounds, allows for the substitution of fluorine with different groups. Thus, Mazitschek and co-workers developed a two-step one-pot method for the synthesis of a series of 4-alkoxy-BODIPY derivatives. Selective monosubstitution is carried out on BODIPY **18** by use of trimethylsilyl-trifluoromethanesulfonate (TMSOTf), which binds to boron and forms an activated intermediate **19**,

which reacts with alcohols (Scheme 11). The intermediate product is not stable under the reaction conditions and dissociates slowly, forming free dipyrromethene, so an alcohol must be added to the reaction mixture at the appropriate time [xliii].



Scheme 11. Activation of the B–F bond in compound **18** with TMSOTf and preparation of alkoxy-BODIPY compounds **20**.

A convenient substitution method for the activation of the B–F bonds is based on the use of AlCl₃, which proved to be compatible with a large number of functional groups. Such a synthetic protocol was used for the preparation of 4,4-dialkoxy and 4,4-diaryloxy-BODIPY compounds **23** in the reactions with alcohols and phenolic derivatives (Scheme 12). The reaction conditions were tolerant for the aldehydes, esters or amino groups [xliiii]. If dialcohols or dihydroxybenzene derivatives such as catechol are used for the substitution, both fluorine atoms can be substituted giving cyclic derivatives (Figure 2) [xliiv]. Furthermore, the authors reported that the fluorescence of the catechol derivative **24** (Figure 2) was quenched, by very efficient PET from the catechol unit to the BODIPY chromophore, which can be restored by substitution of the catechol by methoxides.



Scheme 12. The substitution of fluorines in BODIPY **21** with alkoxides in the presence of AlCl₃ as a Lewis acid, which binds to the fluorine and forms an activated complex **22** [xliiii].

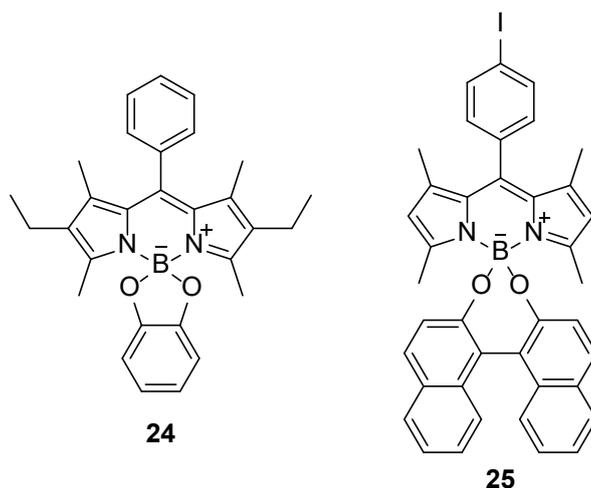
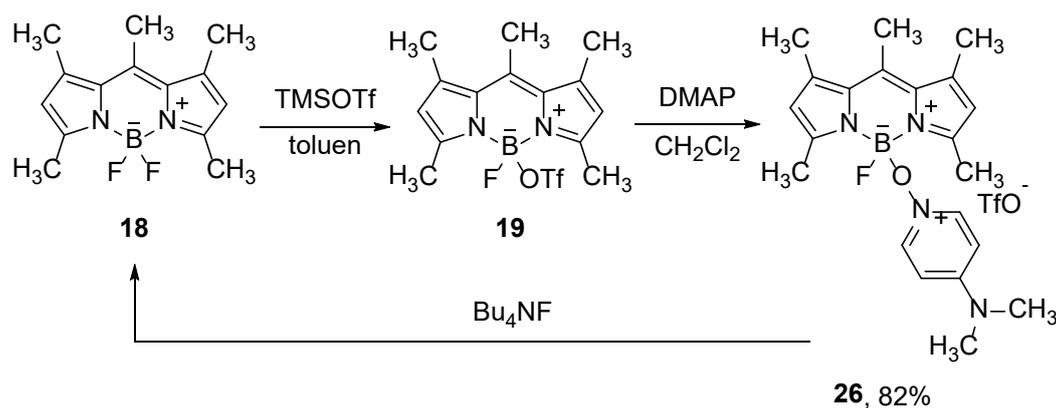


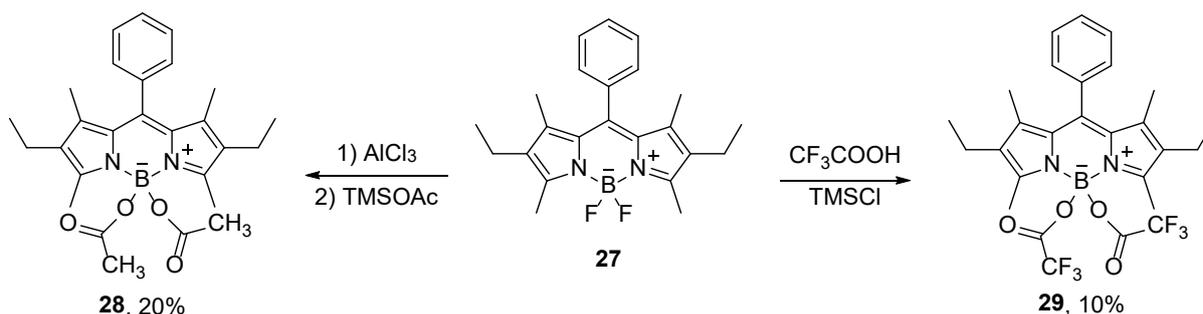
Figure 2. Cyclic nonfluorescent BODIPY compounds obtained by AlCl_3 -catalyzed reactions with dihydroxy aromatic derivatives [xlv].

Nonfluorescent alkoxy BODIPY derivatives, such as **26**, can be used as a PET sensor for fluoride anion. In the presence of F^- , the parent BODIPY compound **18** is restored, which results in a significant increase in fluorescence (Scheme 13) [xlv].



Scheme 13. Synthesis of fluoride PET sensor **26** and its reaction with F^- , which increases fluorescence [xlv].

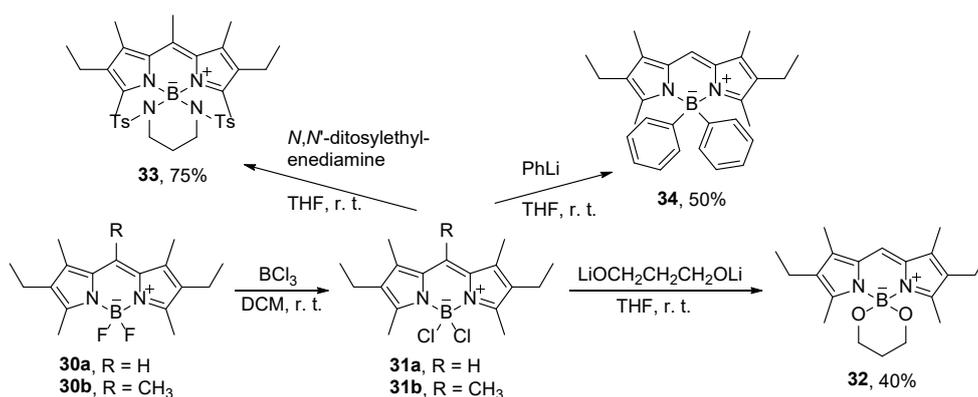
In addition to alcohols, carboxyl groups can also be attached to the boron atom. Substitutions with carboxyl groups are carried out using trimethylsilyl carboxylates generated in situ from carboxylic acids and trimethylsilyl chloride [xlv]. Thus, 4,4-diacetoxy-BODIPY compounds were prepared in good yields in the reactions with trimethylsilyl acetate in the presence of AlCl_3 as a Lewis acid (Scheme 14) [xlv]. The acyloxy groups are located perpendicular to the plane defined by the planar BODIPY structure. Therefore, this substitution does not affect the absorption and emission maxima, but it significantly improves the solubility in polar solvents [xlvii].



Scheme 14. Substitution of fluorines in BODIPY **27** with carboxylic groups in the presence of Lewis acid AlCl_3 [xlv].

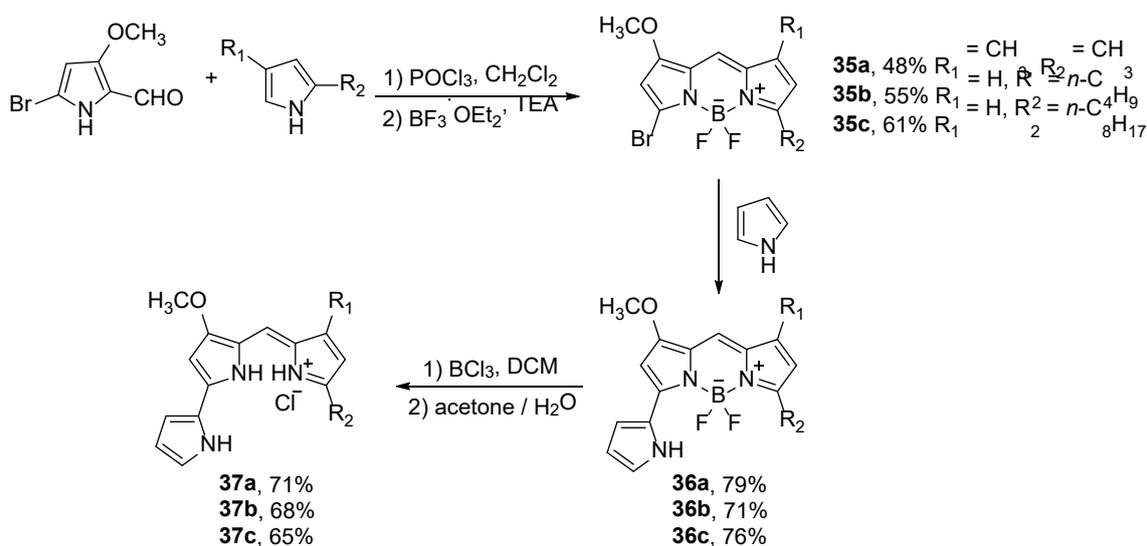
3.2. Reactivity with BCl_3 and subsequent reactions

A. Thompson and co-workers described reactivity of BODIPY compounds with BCl_3 [xlviii]. The addition of boron trichloride to the solutions of BODIPY **30** quantitatively results in the formation of 4,4-dichloro-BODIPY compound **31** (Scheme 15). The chlorinated BODIPY derivatives are very reactive compounds, but can be isolated under inert conditions. Fluorescence quantum yields of the chlorinated derivatives are lower than fluorinated analogues, plausibly due to the heavy atom effect. The lower strength of the B–Cl bonds makes them more susceptible to nucleophilic attacks and enables fast and efficient substitutions with C-, N- or O-nucleophiles (Scheme 15) [xlviii, xlix].



Scheme 15. Synthesis of 4,4-dichloro BODIPY compound **31** and subsequent substitutions with nucleophiles.

The use of BCl_3 can also lead to the elimination of BF_2 from BODIPY and formation of the free base dipyrromethene [1]. Such a strategy was used to prepare natural compounds prodigiosene derivatives **37** (Scheme 16) [li].

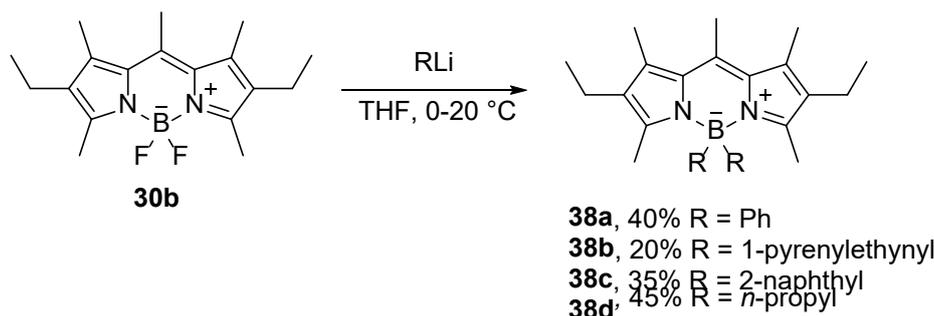


Scheme 16. Synthesis of prodigiosene derivatives **37**, where the decomplexation of BODIPY by use of BCl_3 was used as the key step.

3.3. Organometallic alkylation and arylation

Strong carbon nucleophiles, such as organolithium compounds, attack the boron center of BODIPY compounds and substitute the fluorine atoms. Such a methodology was used for the synthesis of 4-alkyl [lii], 4-aryl [lii, liii], and 4-alkynyl [lii, liiv] BODIPY derivatives (Scheme 17).

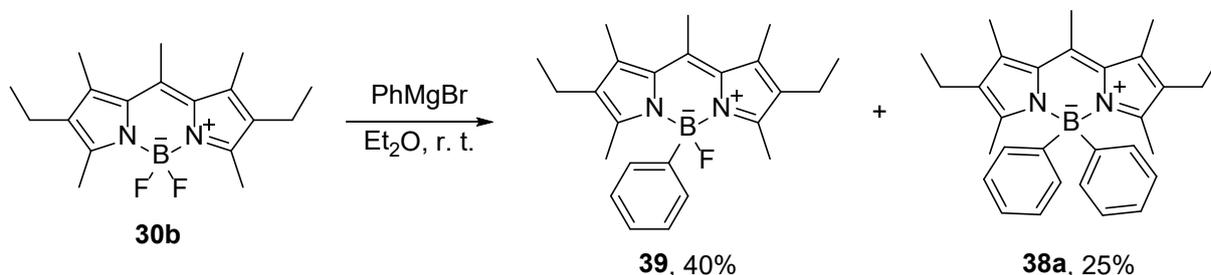
Organolithium reagents enable efficient substitution even under mild reaction conditions, but due to vigorous reactivity, monosubstituted derivatives cannot be formed [lii].



Scheme 17. Substitution of fluorine in **30b** by organolithium reagents.

The absorption spectrum of the disubstituted compound **38b** is similar to the overlapped absorption spectra of the BODIPY chromophore in **30b** and the pyrenylalkyne, indicating no electron delocalization over the boron center. Furthermore, the photoexcitation of the pyrenylalkynyl groups gives rise to the emission from the BODIPY fluorophore. A good overlap of the $S_0 \rightarrow S_2$ bands of the BODIPY absorption and the pyrene emission enables efficient Förster resonance energy transfer (FRET) from the pyrene to the BODIPY [liv].

4-Monosubstituted BODIPY compounds were prepared with less reactive Grignard reagents [liii]. The reaction of compound **30b** with phenylmagnesium bromide at 0 °C produces monosubstituted compound **39** in 40% yield (Scheme 18) [liiii]. At higher temperatures and larger amounts of the Grignard reagents, the yields on monosubstituted products decrease, while the disubstituted products are formed.

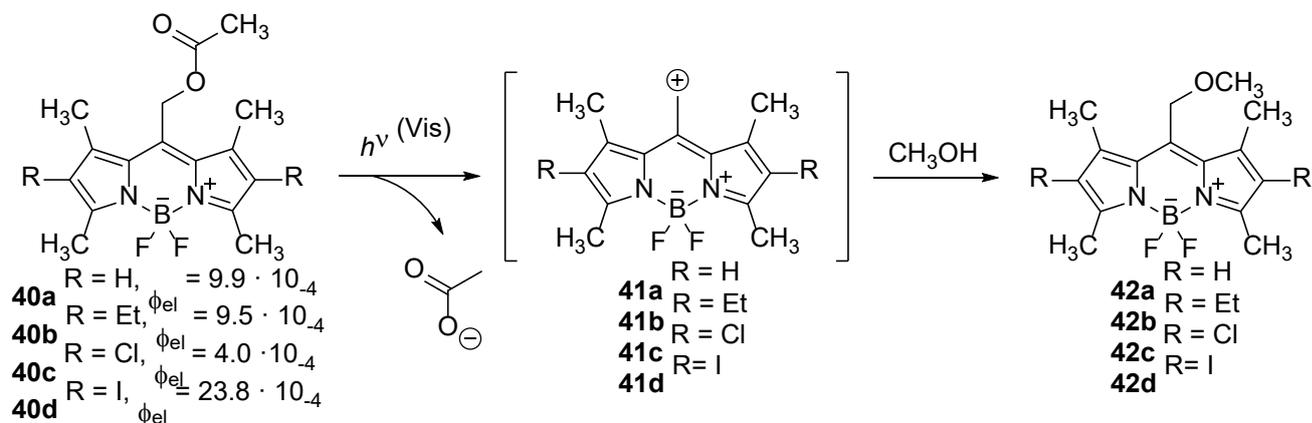


Scheme 18. The substitution of fluorines in **30b** with a Grignard reagent.

4. Photochemical reactivity of BODIPY compounds on boron

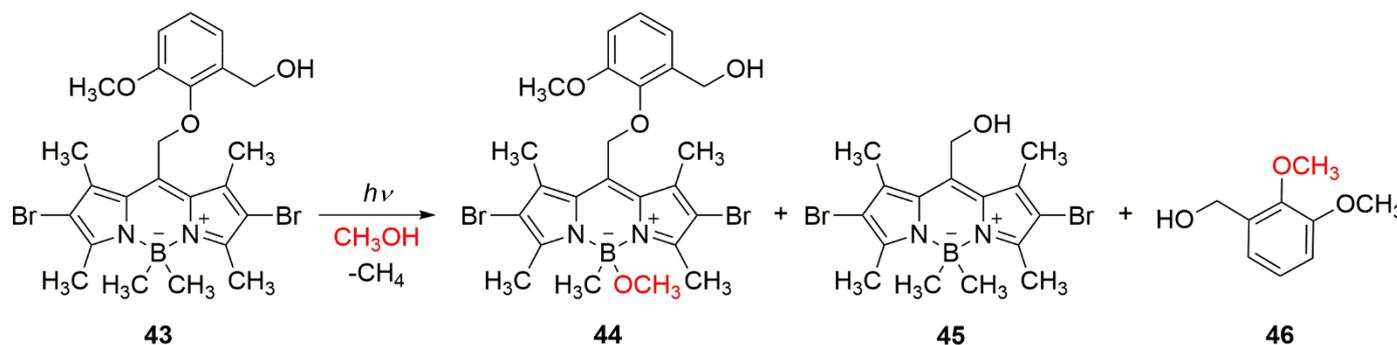
The number of described BODIPY compounds to date is very large, and most of them are characterized by exceptional photochemical stability. Therefore, the number of reports on photochemically reactive BODIPY compounds is limited, which are mostly connected to the development of photo-cleavable protective groups, known as photocages [lv]. Photocages are used in biological research because they enable the manipulation of the activity of covalently bound substrates of interest and their temporally and spatially controlled release. While the appropriate functional group of the biologically active substrate is bound to the photocage, its biological activity is disabled, and it is activated by the release of the substrate after photoexcitation [lvi]. Weinstein, Winter, Klan and Slanina synthesized a number of BODIPY compounds **40** which are photo-cleavable at the *meso*-methy group (Scheme 19) [lvii,lviii]. The advantage of BODIPY photocages compared to most organic chromophores is that the release of the substrate can be triggered by visible light, which is not harmful to healthy tissues and has a greater ability to penetrate through the tissue. They devoted a significant endeavor to elucidate the reaction mechanism and it was proposed that the reaction mostly takes place via the triplet excited states and proceeds via the BODIPY carbocation, which is in its triplet ground state. The efficiency for the elimination depends on the groups on

BODIPY which facilitate the intersystem crossing and stabilize the carbocation and the leaving groups [xxxiv,lvi,lviii].



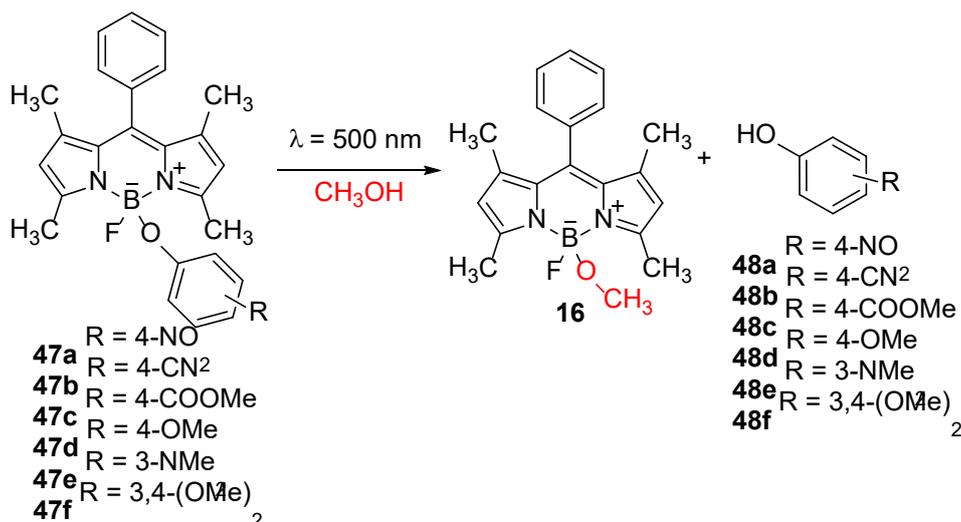
Scheme 19. Photo-cleavage of acetic acid at the *meso*-methyl position for a series of BODIPY compounds and the corresponding quantum yields for the photo-elimination [lix].

When the BODIPY photocage has a poor leaving group at the *meso*-methyl position, such as phenoxy, the cleavage at the boron competes with the elimination of the group from the *meso*-position. Thus, upon irradiation of **43**, two competing photoreactions take place (Scheme 20) [lx].



Scheme 20. Competing photo-cleavage on boron and at the *meso*-position in BODIPY **43**.

The photocleavage at the boron atom was also reported by Y. Urano et al. Upon excitation by visible light BODIPY compounds **47** with phenolic substituents on the boron atom underwent photoelimination reactions of the phenolic group (Scheme 21) [lxi]. The authors reported that the fluorescence quantum yields of these derivatives decrease with the increase in the energy of the HOMO orbitals of the phenolic groups, which they explained by PET from the phenolic groups to the BODIPY chromophore. It was assumed that photoexcitation gave rise to the radical cation of the phenolic groups and the radical anion of the BODIPY fluorophore, which were followed by the subsequent solvolysis of the B–O bonds [lxi].

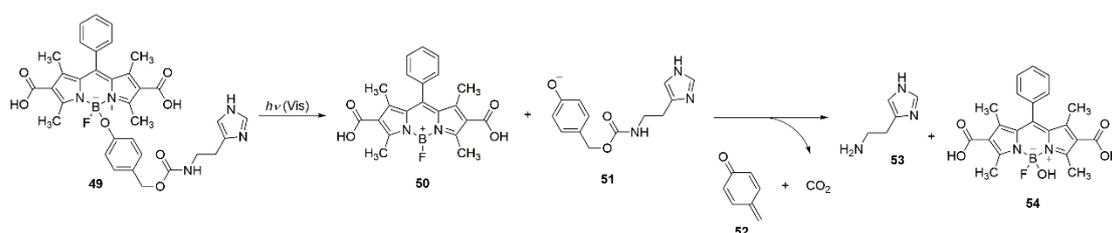


Scheme 21. Photoreaction of BODIPY compounds **47** with phenolic substituent on boron.

The parameters affecting the elimination of phenolic groups from boron were additionally investigated. It was demonstrated that the efficiency of PET can be affected by the substitution of the BODIPY at the 2- and 6-positions or by substitution of the *para*-position of the phenol [l^{xiii}]. PET is more efficient if there are electronegative substituents at the positions 2 and 6 of the BODIPY and if phenols bear electron-donating substituents in the *para*-position. Furthermore, the efficiency of the elimination depends on the polarity of the solvent, and is higher in non-polar solvents.

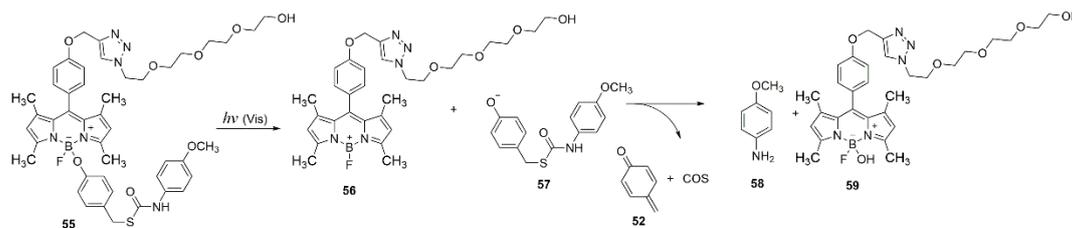
Photochemically reactive BODIPY compounds on boron were also used as self-immolative molecules, first described by J. A. Katzenellenbogen and co-workers [l^{xiii}]. Such systems consist of reactive carriers, scavenging links and substrates. The carriers can react with acids and bases, enzymes, or they can be excited by light and then release the linker and substrate. The reasons for the irreversible self-destruction of the linker are the increase in entropy of the system and the formation of thermodynamically stable products [l^{xiv}]. The most commonly used linkers are structures containing an aromatic ring with an electron-donating group (hydroxy-, amino- or thiol) which is in the *ortho*- or *para*-conjugation with a leaving group attached to the benzylic position of the ring. The presence of the electron-donating groups is necessary in order to reduce the energy barrier of dearomatization, and to form reactive intermediates: quinone methides, azaquinone methides and tiaquinone methides. The destruction of the linker leads to the final release of the substrate and enables its biological actions [l^{xiv}].

An example of a self-immolative system, which is based on the photoreactivity of BODIPY on boron is molecule **49**. The fluorophore is a reactive carrier to which the biologically active substrate histamine is bound by a *para*-hydroxybenzyloxycarbonyl self-destructing linker. The irradiation of **49** with visible light in living cells results in the release of phenol **51**, which then decomposes into histamine (**53**), CO₂ and quinone methide **52** (Scheme 22) [l^{xi}].



Scheme 22. Photoreaction of BODIPY **49** on boron used for the release of histamine (**53**) in living cells.

A similar approach for the photo-release in living cells is based on the photoreaction on boron of BODIPY compound **55**. It was used for the controlled release of COS, which is then decomposed to H₂S (Scheme 23) [l^{xv}].



Scheme 23. Photoreaction of BODIPY **53** on boron, applied in living nerve cells for the controlled release of H₂S.

5. Summary and Perspective

This mini-review article highlights reactions on the boron atom in BODIPY compounds, which hitherto have not received significant attention. However, structural modification on the boron atom allow for tuning of photophysical properties and different applications of new chromophoric systems. The reactions on the boron can be conducted under basic conditions, with strong nucleophiles such as alkoxides or organometallic reagents. Furthermore, the use of Lewis acids, which complex with the BF₂ moiety, allow for different substitution protocols of the fluorines by nucleophiles. The use of BCl₃ opens further avenues for the transformations, which include decomplexation and formation of the free dipyrines, the formation of the BCl₂ complexes and subsequent substitutions with N- C- or O-nucleophiles. The photochemical reactivity on boron is also possible and the photo-solvolysis reactions open opportunities for the development of new photocages and tools for photo-pharmacology. Consequently, the modifications of the BODIPY position 4 has yet to flourish, opening new horizons for further development of BODIPY chromophore in different scientific disciplines.

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Graphical TOC:

Bright Future of BODIPY Dyes
with Chemistry on the Boron

