

Article

Not peer-reviewed version

Immune Checkpoint Inhibitor Associated Celiac Disease: A Retrospective Analysis and Literature Review

[Malvika Gupta](#)^{*}, [Christopher David Graham](#), [Supriya Gupta](#)^{*}

Posted Date: 23 August 2024

doi: 10.20944/preprints202408.1683.v1

Keywords: Immunotherapy; Celiac Disease; Enterocolitis, CTLA-4, HLA-DQ2, PD-L1, PD-1, Adverse Effects, Gluten-Free Diet



Preprints.org is a free multidiscipline platform providing preprint service that is dedicated to making early versions of research outputs permanently available and citable. Preprints posted at Preprints.org appear in Web of Science, Crossref, Google Scholar, Scilit, Europe PMC.

Copyright: This is an open access article distributed under the Creative Commons Attribution License which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

Article

Immune Checkpoint Inhibitor Associated Celiac Disease: A Retrospective Analysis and Literature Review

Malvika Gupta ¹, Christopher Graham ² and Supriya Gupta ^{2,*}

¹ Kasturba Medical College, Manipal University, India

² Division of Hematology, Oncology and Transplantation, University of Minnesota, United States

* Correspondence: gupt0509@umn.edu

Simple Summary: This study examines a rare side effect of cancer treatments called immune checkpoint inhibitors (ICI), which acts by boosting the body's immune response against tumors. However, in some cases, this can lead to an unintended immune-mediated reactions, causing a condition similar to celiac disease, where the immune system attacks the small bowels. This research analyzes cases of this ICI-induced celiac disease and compared them to another condition, ICI-induced enterocolitis, which has a similar clinical presentation. It was found that patients with the celiac-like condition often have a history of autoimmune diseases and specific genetic markers known as HLA-DQ2. The study concludes that recognizing this condition early and treating it with a gluten-free diet can quickly mitigate the symptoms, avoiding the need for more aggressive treatments to suppress the immune system.

Abstract: Introduction: Immune checkpoint inhibitors (ICI) are used to treat various malignancies. They block the inhibitory signals of tumor cells and enhance the inflammatory cascade which results in tumor killing. However, this can lead to unchecked inflammation throughout the body, leading to various adverse effects. A rare gastrointestinal adverse effect of ICI therapy is the development of immune-mediated celiac disease. This entity has a similar clinical presentation to the more common ICI-induced enterocolitis. Our study aims to determine the clinical characteristics and optimal treatment strategies for this rare ICI toxicity, and differentiate it from ICI-induced enterocolitis. **Methods and Material:** We conducted a retrospective analysis of eight cases of ICI-induced celiac disease and 24 cases of ICI-induced enterocolitis from the literature. Data on patient demographics, clinical history, therapeutic interventions and outcomes were collected. Comparative analysis was performed to identify the key differences between the two groups. **Results:** Patients with ICI-induced celiac disease were more likely to have a pre-existing autoimmune condition and HLA-DQ2 positivity. Significant differences in clinical manifestations, histological findings, and treatment outcomes were observed. Notably, weight loss, nutritional deficiencies and electrolyte abnormalities were more commonly associated with ICI-CD. On pathology, duodenal villous blunting was noted more commonly with ICI-induced celiac disease. Initiating a gluten-free diet led to rapid improvement in patients with ICI-induced celiac disease, while immunosuppressive therapy did not have an impact. **Conclusion:** ICI-induced celiac disease is a rare and underrecognized gastrointestinal adverse effect of ICI therapy, often misdiagnosed as ICI-induced enterocolitis. Early recognition and treatment with a gluten-free diet can lead to rapid symptom resolution, sparing patients from unnecessary systemic immunosuppression and discontinuation of antineoplastic immunotherapy.

Keywords: immunotherapy; celiac disease; enterocolitis; CTLA-4; HLA-DQ2; PD-L1; PD-1; adverse effects; gluten-free diet

1. Introduction

The immune regulatory proteins, cytotoxic T lymphocyte antigen (CTLA-4), programmed cell death protein (PD-1), and its ligand, PD-L1, are important immune system regulators known as immune checkpoint receptors [1]. Humanized monoclonal antibodies have been developed that target immune checkpoint receptors, including monoclonal antibodies blocking CTLA-4 (Ipilimumab), PD-1 (Pembrolizumab, Nivolumab), and PDL-1 (Atezolizumab, Avelumab and Durvalumab) [2]. Immune checkpoint inhibitors (ICIs) have been approved by the Food and Drug Administration (FDA) for the treatment of various malignancies, including melanoma, non-small cell lung carcinoma, squamous cell carcinoma of head and neck, renal cell carcinoma, colorectal carcinoma, classic Hodgkin's lymphoma, Merkel cell carcinoma, urothelial carcinoma etc [2,3].

ICI bind to and prevents the interaction of PD-L1 on tumor cells with PD-1 on cytotoxic T- cells. This leads to increased cytotoxic T-cell activity, production of cytokines and enhancement of the inflammatory cascade, targeting tumor cells [4]. While this may be desirable against neoplastic cells, it may lead to unregulated inflammation throughout the body, leading to various adverse effects [4]. This autoimmune-like toxicity can involve multiple organ systems, including skin, gastrointestinal tract, and endocrine glands [5]. Known gastrointestinal adverse effects include enterocolitis, hepatotoxicity, and pancreatitis which are well described [3]. In one study looking at ICI adverse events, it was found that with Ipilimumab, gastrointestinal adverse effects occurred in 39.7% of the cases. Of these patients, 73.11% presented with colitis/enterocolitis, 18.27% presented with hepatitis, and 2.15% with pancreatitis. In contrast, none of the patients who received pembrolizumab or nivolumab had gastrointestinal adverse effects [6].

Celiac disease is a common autoimmune disease of the small intestine. Classic clinical manifestations include bulky, floating, foul-smelling, loose stools [7]. Extra-intestinal manifestations of celiac disease include dermatitis herpetiformis and atrophic glossitis [7]. It is caused by sensitivity to dietary gluten in genetically predisposed individuals [7]. It affects one in 200 individuals, more commonly in the western societies [8]. It is primarily diagnosed by serological testing for anti-tissue transglutaminase IgA (sensitivity of 93% and specificity of $\geq 98\%$), anti-endomysial antibody IgA (sensitivity of 93% and specificity of $>99\%$), anti-deamidated gliadin peptide IgA and IgG (sensitivity of 75% and specificity of 94%), and confirmed by duodenal biopsy [2,3]. The global incidence of celiac disease based on seroprevalence is 1.4%, and biopsy confirmed prevalence is 0.7% [9].

ICI-induced celiac disease is a rare gastrointestinal adverse effect of immune checkpoint inhibitor therapy. One study found that the incidence of ICI-induced celiac disease in patients with melanoma treated with ICIs was only 0.3% [10]. To date, only eight cases of ICI-induced celiac disease have been reported in the literature [3–5,10–14]. The pathophysiology, risk factors, clinicopathological presentation, and management are not well understood. Our study aims to determine the clinical characteristics and risk factors for developing ICI-induced celiac disease. Additionally, due to common clinical presentation between ICI-induced celiac disease and the more common ICI-induced enterocolitis, our study aims to deduce the difference between the two ICI-associated gastrointestinal toxicities, and determine the optimal treatment strategies.

2. Materials and Methods

We conducted a retrospective observational study that evaluated the epidemiological features, clinicopathological profile, treatments, and outcomes of patients with ICI-induced celiac disease. We compiled a database of eight cases of ICI-induced celiac disease published in the literature to perform this analysis. The diagnostic criteria used for the selection of the cases included patients undergoing treatment for malignancy with immune checkpoint inhibitors, who presented with non-bloody diarrhea after starting treatment with ICIs, and who had positive celiac serology and duodenal biopsies suggestive of celiac histology.

We also compiled data from 24 published cases of ICI-induced enterocolitis for comparison. The diagnostic criteria used for the selection of the cases included patients undergoing treatment for malignancy with immune checkpoint inhibitors, who presented with non-bloody diarrhea after starting treatment with ICIs, and who had a negative celiac serology. Data on patient demographics,

clinical history, medication history, presenting symptoms, relevant past medical history, family history, treatment, and outcome were collected in a pooled database.

Descriptive statistics were used to evaluate patient and disease characteristics, therapeutic interventions, and outcomes between the cases (ICI-induced celiac disease) and controls (ICI-induced enterocolitis). Data collected included demographic characteristics, primary malignancy, disease stage, treatment prior to initiating ICI, a preexisting autoimmune condition, type of ICI used, number of cycles prior to development of toxicity, time from the first dose to development of toxicity, clinical features, microscopic features, genetic testing for HLA DQ2, therapeutic interventions, and outcomes (response to therapy, resolution of symptoms, time to improvement, and re-initiation of ICI therapy).

Chi-square test was used to determine if there is an association between specific risk factors, clinical and pathological manifestations, and outcomes while comparing the two groups. A p-value of ≤ 0.05 was considered significant. We also calculated the odds ratio (OR) with a 95% confidence interval (95% CI) for potential risk factors of ICI-induced celiac disease. A confidence interval excluding 1.000 and a p-value of ≤ 0.05 was considered significant to determine prognostic factors in ICI-induced celiac disease.

3. Results

Eight cases of ICI-induced celiac disease (ICI-CD) and twenty-four cases of ICI-induced enterocolitis (ICI-EC) were included.

3.1. Demographic Characteristics

The median age for the ICI-CD cohort and ICI-EC cohort were 70 years (62-79 years) and 65 years (22-83 years) respectively. A higher proportion of males were observed in the ICI-EC cohort (75% or N=18) compared to the ICI-CD cohort (62.5% or N=5) (p=0.496). Of the patients with ICI-CD, 50% (N=4) were Caucasian, compared to 20.83% (N=5) of patients with ICI-EC (p=0.389). One patient (4.17%) in the ICI-EC cohort was Asian. (Table 1).

Table 1. Demographic, Clinical and Pathological features of patients with ICI-induced celiac disease versus ICI-induced enterocolitis.

	ICI-induced celiac disease (Cases)	ICI-induced enterocolitis (Controls)	p- value	Odd's ratio (95% Confidence Interval)	p- value
No. of subjects	8	24			
Age	62-79 years	22-83 years			
Median age	70 years	65 years			
<65 years (%)	2 (25)	12 (50)	0.217	0.333 (0.056-1.995)	0.511
>65 years (%)	6 (75)	12 (50)	0.217	3.000 (0.501-17.954)	0.511
Sex			0.496		
Male (%)	5 (62.5)	18 (75)	0.496	0.556 (0.101-3.052)	0.470
Female (%)	3 (37.5)	6 (25)	0.496	1.800 (0.328-9.889)	0.470
Race/Ethnicity			0.390		
Caucasian (%)	4 (50)	5 (20.83)	0.389	N/A	N/A
Asian (%)	0	1 (4.17)		N/A	N/A
Not specified	4 (50)	18 (75)	N/A	N/A	N/A
Preexisting Autoimmune Disease					
Yes (%)	2 (25)	1 (4.17)	0.080	7.667 (0.591-99.487)	0.774
Thyroid	1 (12.5)	0			

<i>Diabetes Mellitus (Type 1)</i>	1 (12.5)	1 (4.17)			
<i>No (%)</i>	6 (75)	23 (95.83)			
HLA-DQ2 Testing					
<i>Positive</i>	3 (37.5)	0			
<i>Negative</i>	0	0	N/A	N/A	N/A
<i>Not performed</i>	5 (62.5)	24 (100)			
Primary Malignancy			0.394		
<i>Genitourinary (%)</i>	2 (25)	4 (16.67)	0.601	1.667 (0.243-11.449)	0.572
<i>Clear Cell Renal Cell Carcinoma (%)</i>	1 (12.5)	4 (16.67)			
<i>Prostate Adenocarcinoma (%)</i>	1 (12.5)	0			
<i>Lung (%)</i>	1 (12.5)	5 (20.83)	0.601	0.543 (0.054-5.498)	0.709
<i>Non-small cell (%)</i>	1 (12.5)	2 (8.33)			
<i>Adenocarcinoma</i>	0	3 (12.5)	0.539	0.600 (0.116-3.093)	0.438
<i>Malignant Melanoma (%)</i>	3 (37.5)	12 (50)	0.399	N/A	N/A
<i>Gastrointestinal (%)</i>	0	2 (8.33)			
<i>Colon (%)</i>	0	1 (4.17)			
<i>Esophageal (%)</i>	0	1 (4.17)	0.080	7.667 (0.591-99.487)	0.774
<i>Other (%)</i>	2 (25)	1 (4.17)			
<i>Lobular Breast Adenocarcinoma (%)</i>	1 (12.5)	0			
<i>Mesothelioma (%)</i>	1 (12.5)	0			
<i>Head and Neck Squamous Cell Carcinoma (%)</i>	0	1 (4.17)			
Stage of primary malignancy at the time of starting ICI therapy			0.218		
<i>1 (%)</i>	1 (12.5)	1 (4.17)	0.356	3.667 (0.199-67.656)	0.842
<i>2 (%)</i>	1 (12.5)	0	0.065	N/A	N/A
<i>3 (%)</i>	1 (12.5)	4 (16.67)	0.847	0.792 (0.074-8.518)	0.726
<i>4: Distant Metastasis (%)</i>	4 (50)	18 (75)	0.268	0.370 (0.062-2.230)	0.513
<i>Not specified (%)</i>	1 (12.5)	1 (4.17)	N/A	N/A	N/A
Treatment of malignancy before initiating ICI therapy					
<i>Resection (%)</i>	3 (37.5)	9 (37.5)	>0.999	1.00 (0.192-5.222)	0.444
<i>Chemotherapy (%)</i>	3 (37.5)	7 (29.17)	0.660	1.457 (0.271-7.821)	0.458
<i>Radiation (%)</i>	3 (37.5)	4 (16.67)	0.217	3.000 (0.501-17.954)	0.511
<i>Immunotherapy (%)</i>	1 (12.5)	5 (20.83)	0.601	0.543 (0.054-5.498)	0.709
<i>Non-ICI agent (%)</i>	0	1 (4.17)			
<i>ICI (%)</i>	0	3 (12.5)			
<i>Combination of both (%)</i>	1 (12.5)	1 (4.17)			
ICI used			0.534		
<i>Ipilimumab (%)</i>	2 (25)	6 (25)	>0.999	1.00 (0.158-6.347)	0.537
<i>Pembrolizumab (%)</i>	2 (25)	7 (29.17)	0.820	0.810 (0.130-5.028)	0.528
<i>Combination of Ipilimumab and Nivolumab (%)</i>	2 (25)	7 (29.17)	0.820	0.810 (0.130-5.028)	0.528

<i>Nivolumab (%)</i>	1 (12.5)	4 (16.67)	0.778	0.714 (0.068-7.522)	0.720
<i>Durvalumab (%)</i>	1 (12.5)	0 (0)	0.078	N/A	N/A
Type of ICI used			0.971		
<i>CTLA-4 Inhibitor (%)</i>	2 (25)	6 (25)	>0.999	1.00 (0.158-6.347)	0.537
<i>PD-1/PDL-1 Inhibitor (%)</i>	4 (50)	11 (45.83)	0.838	1.182 (0.238-5.864)	0.418
<i>Combination of CTLA-4 and PD-1 Inhibitors (%)</i>	2 (25)	7 (29.17)	0.820	0.810 (0.130-5.028)	0.528
No. of cycles of ICI therapy prior to onset of diarrhea	1-5	1-13	0.449		
<i>1 (%)</i>	2 (25)	6 (25)	0.847	0.833 (0.130-5.350)	0.542
<i>2 (%)</i>	4 (50)	5 (20.83)	0.173	3.200 (0.578-17.719)	0.474
<i>3 (%)</i>	0	6 (25)	0.089	N/A	N/A
<i>4 (%)</i>	1 (12.5)	2 (8.33)	0.814	1.357 (0.106-17.418)	0.771
<i>≥ 5 (%)</i>	1 (12.5)	2 (8.33)	0.814	1.357 (0.106-17.418)	0.771
<i>Not specified (%)</i>	0	3 (12.5)	N/A	N/A	N/A
<i>>2 cycles (%)</i>	2 (25)	10 (41.66)	0.221	0.333 (0.055-2.028)	0.518
Median time between first dose of ICI and onset of diarrhea (weeks)	3 (1-15)	6 (1-40)			
ICI therapy continued despite onset of diarrhea (%)	4 (50)	3 (12.5)			
Grade of Diarrhea			0.064		
<i>1 (%)</i>	2 (25)	0	0.020		
<i>2 (%)</i>	0	4 (16.67)	0.172		
<i>3 (%)</i>	3 (37.5)	11 (45.83)	0.403		
<i>4 (%)</i>	3 (37.5)	5 (20.83)	0.508		
<i>Not specified (%)</i>	0	4 (16.67)	N/A		
Other Clinical Manifestations					
<i>Abdominal Pain</i>	1 (12.5)	15 (62.5)	0.014		
<i>Nausea/Vomiting (%)</i>	2 (25)	4 (16.67)	0.601		
<i>Weight Loss (%)</i>	5 (62.5)	5 (20.83)	0.027		
<i>Nutritional/Electrolyte Deficiency (%)</i>	6 (75)	7 (29.17)	0.022		
Other ICI-related adverse effect			0.462		
<i>Yes (%)</i>	2 (25)	9 (37.5)			
<i>Thyroiditis (%)</i>	1 (12.5)	3 (12.5)			
<i>Transaminitis (%)</i>	1 (12.5)	2 (8.33)			
<i>Pancreatitis (%)</i>	1 (12.5)	1 (4.17)			
<i>Involvement of skin (%)</i>	0	1 (4.17)			
<i>Adrenal Insufficiency (%)</i>	0	1 (4.17)			
<i>Interstitial Pneumonitis (%)</i>	0	1 (4.17)			
<i>No (%)</i>	6 (75)	15 (62.5)			
Duodenal Microscopy					
<i>Villous Atrophy (%)</i>	8 (100)	4 (16.67)	0.078		
<i>Crypt Hyperplasia (%)</i>	3 (37.5)	2 (8.33)	0.872		

Expansion of Lamina Propria (%)	2 (25)	1 (4.17)	0.707		
Immunostaining positive for CD3 (%)	2 (25)	1 (4.17)			
Endoscopy not performed (%)	0	18 (75)	N/A		
Colonic Microscopy					
Crypt Abscess/ Cryptitis (%)	0	13 (54.16)	0.0004		
Lamina Propria Inflammatory Infiltrates (%)	0	13 (54.16)	0.0036		
Epithelial apoptotic bodies (%)	1 (12.5)	5 (20.83)	0.478		
Colonoscopy not performed (%)	2 (25)	6 (25)	N/A		

Patients with ICI-CD had a 7.67 times higher rate of preexisting autoimmune disease compared to ICI-induced enterocolitis cohort (25% vs. 4.17%, $p=0.08$). Only 3 patients (37%) in the ICI-induced cohort were tested for HLA-DQ2, with all three testing positive. None of the ICI-induced enterocolitis were tested for HLA-DQ2.

The most common primary malignancy in the ICI-CD cohort was melanoma (37.5%) followed by genitourinary cancers (25%), non-small cell lung cancers (NSCLC) (12.5%) and others (25%). For patients who had ICI-EC, the most common malignancies were melanoma (50%), NSCLC (20.83%) genitourinary cancers (16.7%), gastrointestinal cancers (8.3%), and 4.17% other malignancies (Table 1). The most common stage for patients with cancer was Stage IV for both ICI-CD and ICI-EC groups (75% vs 50%). The distribution between Stages I-III was similar between the ICI-CD, and ICI-EC groups. There was no statistically significant difference between the two groups based on primary malignancy and stage of malignancy.

3.2. Pathology

On duodenal microscopy, 100% of patients with ICI-CD had villous blunting compared to 16.67% ($N=4$) of patients with ICI-EC ($p=0.078$). Crypt hyperplasia (37% vs 8%), lamina propria expansion (25% vs 4.17%), and CD3 positivity (25% vs 4%) were more commonly seen with ICI-CD (Table 1). On colonic microscopy, no patients with ICI-CD had cryptitis compared to 54% of ICI-EC ($P=0.004$). Inflammatory infiltrates in the lamina propria (54% vs 0%, $p=0.0036$) and apoptotic bodies (21% vs 12.5%) were seen more frequently in the ICI-EC cohort (Figure 4).

3.3. Prior Therapy

There was no difference in incidence among patients who received surgical resection before ICI between the two groups (37.5% for both). More patients in the ICI-CD cohort had chemotherapy before ICI compared to ICI-EC cohort (37.5% vs. 29%, $P=0.660$) and radiation therapy (37% vs 16%, $P=0.21$). Only 12.5% in the ICI-CD and 21% in the ICI-EC had prior ICI. The use of Ipilimumab, Pembrolizumab, Nivolumab, and Ipilimumab + Nivolumab combination prior to developing ICI toxicity was similar between the groups, with a median of two prior cycles for each before toxicity onset. (Figure 5). One patient with ICI-CD had received durvalumab compared to none for ICI-EC. There were no reported cases of ICI-CD with Atezolizumab or Avelumab.

3.4. Immunotherapy Related-Adverse Event (irAE)

For patients with ICI-CD, the timing of diarrhea occurred earlier at 3 weeks compared to 6 weeks for ICI-EC. The occurrence of diarrhea in the ICI-CD cohort did not lead to ICI treatment interruptions or discontinuation compared to patients with ICI-EC (50% vs 100%, $P=0.02$).

Grade IV Diarrhea, as graded by Common Terminology Criteria for Adverse Events Version 5.0 (CTCAE v5) was higher in patients with ICI-CD compared to ICI-EC (37% vs 20.8%, $P=0.5$). For non-diarrhea-associated irAEs, abdominal pain was five-times more likely to occur with ICI-EC compared

to ICI-CD (62.5% vs 12.5%, $P=0.014$). Weight loss was three times (95% CI; 1.16 - 7.73) more likely to occur with ICI-CD compared to ICI-EC (62.1% vs 25%, $p=0.027$). Nutritional deficiencies and electrolyte abnormalities also occurred 2.6 times more frequently (95% CI; 1.2 - 5.4) with ICI-CD compared to ICI-EC (75% vs 29%, $p=0.02$).

3.5. Treatment

Therapeutic interventions included treatment with a gluten-free diet, topical glucocorticoids in the form of budesonide, and systemic glucocorticoids such as prednisone, and the use of immunosuppressive therapy including Infliximab, Vedolizumab, and Tacrolimus.

Patients with ICI-CD were treated with systemic glucocorticoids as the first-line treatment in 62.5% with a median dose of 50 milligrams (mg) per day, compared to 91.3% ($N=21$) of patients with ICI-EC at a median dose of 65 mg per day ($p=0.116$). Three (37%) patients with ICI-CD received a gluten-free diet as the first-line treatment, and had rapid clinical improvement. Five patients (60%) were started on corticosteroids as first-line treatment though they did not have improvement of their symptoms until starting a gluten-free diet later. Two patients (25%) received steroids concurrently with a gluten-free diet and both improved clinically. No additional treatment was required for the patients on the ICI-CD cohort after initiating gluten-free diet. None of the ICI-CD patients required biologics for management of their symptoms.

For patients with ICI-EC, 91% received systemic steroids as first-line treatment, with 48% having resolution of symptoms ($p=0.261$). One patient each received supportive care and topical NSAIDS (mesalamine plus octreotide and 5-Aminosalicylate, respectively) without improvement. Biologics were administered to 39%: eight received Infliximab, of which 6 received it as a second-line treatment, and 75% responded. Out of the non-responders, Vedolizumab was started as the next line of therapy (one responded and one was refractory, requiring tacrolimus). One patient received vedolizumab instead of Infliximab due to concerns for toxicity with Infliximab and had improvement (Table 2).

The median time for symptomatic improvement after initiating treatment was 14 (1-21) days for the ICI-CD cohort and 4 (1-42) days for the ICI-EC cohort. Two patients with ICI-CD were reinitiated on ICI therapy after resolution of symptoms with 50% relapsing afterwards despite gluten-free diet adherence. For patients with ICI-EC, 3 patients (13%) were reinitiated on ICI therapy, and none of them had a relapse.

Table 2. Treatment of patients with ICI-induced celiac disease compared to ICI-induced enterocolitis.

Treatment	ICI-induced celiac disease (Cases)	ICI-induced enterocolitis (Controls)	p value of Chi Square
No. of subjects	8	23	
First Line Treatment			
Systemic Glucocorticoids (%)	5 (62.5)	21 (91.3)	
Budesonide (%)	0	1 (4.34)	0.007
Gluten Free Diet (%)	3 (37.5)	0	0.116
Loperamide (%)	0	1 (4.34)	
5-Aminosalicylate (%)	0	1 (4.34)	
Failure of First Line Treatment			
Systemic Glucocorticoids (%)	3 (60)	9 (42.85)	0.261
Gluten Free Diet (%)	0	N/A	N/A
Others (%)	N/A	1 (50)	N/A
Second Line Treatment			
Systemic Steroids (%)	2 (12.5)	4 (17.39)	
Budesonide (%)	1 (12.5)	1 (4.34)	
Gluten Free Diet (%)	5 (62.5)	0	
Infliximab (%)	0	6 (26.09)	

Others (%)	0	1 (4.34)
Mesalamine (%)	0	1 (4.34)
Octreotide (%)	0	1 (4.34)
Not required (%)	1 (12.5)	13 (56.52)
Failure of Second Line Treatment		
Systemic Steroids (%)	0	1 (25)
Gluten Free Diet (%)	0	N/A
Infliximab (%)	N/A	1 (16.67)
Others (%)	N/A	1 (100)
Dose of Systemic Steroids given (mg/day in prednisone units)		
	50 (3.8-150)	65 (30-160)
Biologicals		
Required (%)	0	9 (39.13)
Infliximab (%)	0	8 (34.78)
Vedolizumab (%)	0	3 (13.04)
Tacrolimus (%)	0	1 (4.34)
Did not require (%)	8 (100)	14 (60.87)
Median time required for symptomatic improvement after starting treatment (days)		
	14 (0-21)	4 (1-42)
Restarted ICI after improvement of diarrhea (%)		
	2 (25)	3 (13.04)
Relapse of diarrhea with reinitiating ICI despite adhering to treatment (%)*		N/A
	1 (50)	0

4. Discussion

The primary pathogenesis of celiac disease is an exaggerated immune response to gluten and similar proteins found in everyday food grains like wheat, rye, and barley due to their from immune-mediated release of interferon-gamma, interleukin-15 and cytokines involved in T-cell chemotaxis into the duodenal mucosa [8,9]. These T-helper cells recognize gluten peptides modified by the mucosal enzyme transglutaminase. Therefore, modification of gluten by tissue transglutaminase is a critical event in the development of celiac disease. Serologically, the presence of autoantibodies in tissue transglutaminase indicates celiac disease [8]. Celiac disease is known to be overrepresented in patients with other autoimmune diseases like type-1 diabetes mellitus and thyroiditis [8]. Type 1 diabetes mellitus, in particular, is associated with the same genetics as celiac disease [7]. Therefore, celiac disease screening is recommended for these patients [8]. Our study shows that patients with preexisting autoimmune disease, specifically thyroiditis or type-1 diabetes mellitus, were more likely to develop ICI-CD.

A high prevalence among first-degree relatives of patients with celiac disease indicates that genetic factors play a role in susceptibility [15]. Multiple genes have been implicated in the pathogenesis of celiac disease, particularly *HLA-DQ* and *CTLA-4*. The majority of patients with celiac disease carry the HLA-DQA1*05 and HLA-DQB1*02 genes that encode the molecule HLA-DQ2 which binds to the peptide fragments of gluten proteins and presents them to T helper cells leading to activation, cytokine release, and infiltration of the mucosal border: the direct result leading to villous atrophy and crypt hyperplasia affecting absorption of micro- and macronutrients [8]. However, there is a difference in the concordance rate of celiac disease between HLA-identical siblings (30%) and monozygotic twins (70%), suggesting that non-HLA genes may be implicated in celiac disease as well [16]. According to one study, untreated patients with celiac disease had high cytotoxic T lymphocyte antigen-4 (CTLA-4) concentrations in their serum [3]. As previously discussed CTLA-4 is also known to influence T-cell activation and thus is thought to be a non-HLA gene contributor to celiac disease and is the target for ipilimumab [8]. Multiple population studies have concluded that polymorphism in the CTLA-4 exon is a non-HLA determinant in developing susceptibility to celiac disease [17–21]. CTLA-4 and CD28 are essential modulators of T-cell function.

Both bind to B7 molecules on antigen-presenting cells. While the binding of CD28 is required for T-cell activation, CTLA-4 maintains tolerance and induces anergy by negative regulation. Variations in CTLA-4 have been implicated in many autoimmune conditions, including celiac disease [17].

Pathogenesis of ICI-induced celiac disease: It is hypothesized that immune cell activation with ICI therapy leads to the unmasking of gluten sensitivity in susceptible individuals and subsequent T cell-mediated tissue injury [12]. Patients who develop ICI-induced celiac disease may have a variant of celiac disease with cross-tolerance and decreased penetrance that is unrestricted by blockade of CTLA-4 [1]. In one study, 25% of patients with ICI-induced celiac disease had a family history of celiac disease, supporting this hypothesis [1,13]. In our study, three patients with ICI-induced celiac disease underwent HLA-DQ2 testing, and all of them tested positive. Additionally, the presence of concurrent autoimmune disease was more likely in patients who developed ICI-induced celiac disease. The primary site to be affected was the duodenum sparing the rest of the GI tract, suggesting a separate mechanism than traditional enterocolitis associated with ICI use. However, confirmation with endoscopies and tissue-transglutaminase IgA should be considered in patients with factors that puts them at a higher risk for ICI-CD prior to starting ICI therapy [13].

Risk Factors for ICI-CD: In our study, we observed that the median age of patients with ICI-CD and ICI-EC was similar, around 65 to 70 years. Variations in sex chromosomes and hormonal changes make females more at risk for autoimmune conditions [22]. This may explain why women had a higher likelihood of having ICI-induced celiac disease than ICI-induced enterocolitis in our study. Studies have indicated that prolonged treatment with ICIs does not result in an increased incidence of ICI-induced toxicities [11]. This was confirmed in our study, as the median number of cycles of ICI therapy was 2, ranging between 1 and 5 in the ICI-induced celiac disease cohort. Only 25% of the patients received more than two cycles of ICI therapy before presenting with diarrhea. Most (75%) of the cohort received one or two cycles only. Additionally, patients could present with diarrhea anytime between one to fifteen weeks after administering the first dose of ICI.

Clinical presentation of celiac disease: Falade et al. reported a case of a patient treated with combined CTLA-4 and PD-1 inhibition for metastatic melanoma who developed a fulminant manifestation of celiac disease with severe protein-losing enteropathy, resulting in hypotension and anasarca. The patient also presented with transaminitis, which was secondary to celiac disease and not concomitant ICI-induced hepatotoxicity. The patient improved with supportive management and a gluten-free diet. No systemic immunosuppression was given. The fulminant nature of ICI-induced celiac disease is uncommon, but known to occur [10]. Therefore, it is essential to include it in the differential diagnosis for ICI-induced gastrointestinal toxicity, irrespective of the severity of the presentation. Not all patients with celiac disease manifest with diarrhea. Silent clinical features of celiac disease can include iron deficiency anemia and osteoporosis. Patients may present with fatigue, depression, and infertility, and not point towards a gastrointestinal disease at all [11,15]. Therefore, monitoring asymptomatic patients on ICI therapy for nutritional deficiencies is important. In our study, abdominal pain was more likely to manifest in patients with ICI-EC. In contrast, weight loss, nutritional deficiencies, and electrolyte disturbances were more likely to be seen in patients with ICI-CD. Extra-intestinal manifestations were not observed in our study in the ICI-CD cohort.

Histology of gastrointestinal adverse effects of ICIs: Colitis is the most frequent manifestation of ICI-induced gastrointestinal adverse effects [2]. Macroscopically, there may be erythema, granularity, and mucosal ulcers. Histologically, diffuse active colitis patterns may be seen with CTLA-4 inhibitors and lymphocytic and collagenous colitis patterns with pembrolizumab [14]. In the duodenum, ICI-related toxicity may manifest as erythema, erosions, ulcers, or strictures macroscopically. Histological findings may vary from normal villous architecture to severe blunting of villi, increased lamina propria inflammation, intraepithelial lymphocytosis, and scattered apoptotic bodies [14]. Regarding the immunophenotypic profile, the classical celiac disease shows increased CD3+, CD8+, and $\gamma\delta$ T-cell intraepithelial lymphocytosis. On the other hand, ICI-CD has more CD68+ and PD-L+ macrophages in the lamina propria compared to classic celiac disease [12].

A retrospective analysis conducted by Fazal et al. studied 40 patients who presented with diarrhea following treatment with ICIs targeting PD-1. Of these patients, 17.5% had macroscopic

evidence of duodenal inflammation and 71% of those patients had microscopic evidence of villous atrophy. However, serological evidence of celiac disease with anti-tTG IgA was not commented upon [4]. Our study showed that colon biopsies were more likely to show evidence of cryptitis, crypt abscesses, and inflammatory infiltrates in the lamina propria in ICI-EC than ICI-CD. On the other hand, both cohorts showed evidence of villous blunting and crypt hyperplasia on duodenal biopsy, although more common in ICI-CD.

Comparison between ICI-EC of the duodenum and ICI-CD: There are subtle histological features that may point more towards ICI-EC involving the duodenum rather than ICI-CD. These include patchy intraepithelial lymphocytosis and more neutrophilic or eosinophilic infiltrate in the lamina propria [2]. However, serological testing is crucial to distinguish between the two entities [2,23,24]. In a study conducted by Irshaid et al., compared celiac disease, ICI-EC involving the duodenum demonstrated more neutrophilic infiltrate compared to celiac disease. It also showed increased CD3+ lymphocytes, increased CD8+ lymphocytes, and reduced CD4:CD8 ratio in the lamina propria when compared to ICI-CD [25]. However, there was no significant difference in the degree of inflammation of lamina propria, intraepithelial lymphocytosis, crypt hyperplasia, apoptotic bodies, or lymphoid aggregates [25]. Badran et al. conducted a study comparing the clinical-epidemiological features of patients presenting with ICI-EC of the duodenum and ICI-CD. They concluded that the mean anti-tTG IgA levels in patients with ICI-EC duodenitis was 1.3 ± 0.23 units. In contrast, for patients with ICI-CD, the mean level was 121.21 ± 80.29 units ($p=0.003$). The similarities in the clinical presentations between the two entities suggest that the immunological mechanism driving these two processes. However, the lack of improvement with a gluten-free diet and negative serology for anti-tTG suggests different antigenic targets between the two entities [1,12].

Other differential diagnosis of ICI-CD: Histological features of celiac disease include intraepithelial lymphocytosis (>25 T-lymphocytes which are CD3 positive per 100 enterocytes), crypt hyperplasia and villous atrophy (a villous/crypt ratio of less than 3:1) in the duodenal mucosa [2]. Differential diagnosis for villous atrophy with intraepithelial lymphocytosis includes infection with norovirus and cryptosporidiosis, tropical sprue, collagenous sprue, drugs such as angiotensinogen converting enzyme inhibitors (ACEi) and non-steroidal anti-inflammatory agents, IgA deficiency, human immunodeficiency virus-related enteropathy and small intestine bacterial overgrowth [9]. Other drugs can present with chronic diarrhea, which must be kept in mind as a differential diagnosis. Olmesartan, an ACEi, and mycophenolate mofetil increase inflammation and enteropathy and can lead to chronic diarrhea [26]. Many antibiotics can also induce diarrhea by decreasing the digestive function of colonic microbiota. Chemotherapeutic agents may damage the gastrointestinal mucosa, while procholinergic drugs accelerate gastrointestinal transit time and increase secretion [26].

Treatment of celiac disease: The standard treatment for celiac disease is a gluten-free diet, which resolves clinical symptoms and returns normal duodenal microscopy [9]. In our study, all patients with ICI-CD responded well to a gluten-free diet. However, only a symptomatic response with a gluten-free diet is not sufficient to make a diagnosis of celiac disease [14]. Additionally, on follow-up, only a negative celiac serology does not guarantee mucosal healing. A repeat biopsy showing the return of normal histology of duodenal mucosa is important to confirm disease resolution [9]. Long-term sequelae of untreated celiac disease can lead to adenocarcinoma of the small intestine, enteropathy-associated T-cell lymphoma, and refractory sprue. Therefore, it may be crucial to adhere to a gluten-free diet once diagnosed with celiac disease [11].

Treatment of ICI-CD: A gluten-free diet may be sufficient to manage ICI-CD without the need for immunosuppression. On the other hand, systemic immunosuppression is required to treat ICI-EC [11]. Theodoraki et al. reported a case of a patient with ICI-EC with negative celiac serology, who improved only with gluten withdrawal. This is the only documented case where a gluten-free diet led to improvement of ICI-EC [24]. In our study, we observed that many patients with ICI-CD were treated with systemic glucocorticoids first. However, these patients failed to improve with steroids alone. After stopping the ICI therapy, a gluten-free diet was adequate for symptomatic improvement in all patients. Some patients improved with only a gluten-free diet, whereas others were given

concurrent therapy with steroids. Further studies are required to determine if it is solely a gluten-free diet that leads to clinical improvement, or a combination of discontinuing ICI therapy, gluten-free diet, and steroid therapy.

Reinitiating ICI therapy after clinical improvement: Symptomatic improvement occurred between 1 and 21 days from symptom onset in the ICI-CD cohort in our study. The choice of reinitiating treatment with ICIs in patients with ICI-induced toxicities depends on multiple factors, including the clinical response of the malignancy to the initial immunotherapy regimen, the severity of the ICI-induced toxicity, its response to treatment, and the availability of alternative treatment options for the primary malignancy [27]. In our study, two patients with ICI-CD restarted ICI therapy after clinical improvement. However, despite adhering to a gluten-free diet, one of the patient's symptoms relapsed. Further studies are required to determine the safety of resuming ICI therapy in patients with ICI-CD.

Limitations of the study: This study is retrospective, therefore may be subject to biases. Additionally, the sample size was small due to the rarity of this condition. The findings from this study require prospective verification with a larger sample size.

5. Conclusions

Celiac disease is a rare gastrointestinal adverse effect of immune checkpoint inhibitor therapy. ICIs lead to immune dysregulation and thereby may unmask an inherited, underlying, asymptomatic celiac disease. This may be confirmed by performing celiac serology or an upper gastrointestinal endoscopy to diagnose celiac disease before initiating ICI therapy. Patients with pre-existing autoimmune diseases (especially type-1 diabetes mellitus) or known HLA-DQ2 positive status may be more prone to get ICI-induced celiac disease. Therefore, testing for HLA-DQ2 should be considered if ICI-associated gastrointestinal toxicity is suspected. Patients often present with non-bloody diarrhea. This condition is highly under-recognized and often misdiagnosed as ICI-induced enterocolitis. As a result, most patients may be initially treated with systemic steroids which is the standard management of ICI-induced enterocolitis. However, we observed that in patients with ICI-induced celiac disease, discontinuing ICI therapy and introducing a gluten-free diet leads to rapid symptomatic improvement, and immunosuppressive therapy like steroids may not be as useful. There are other clinical findings that can help distinguish ICI-induced celiac disease from the more common ICI-induced enterocolitis, including absence of abdominal pain, presence of duodenal villous blunting and colonic cryptitis. The gold standard to diagnose ICI-induced celiac disease is a positive celiac serology. Based on the results of our study, we recommend that patients receiving ICI therapy presenting with non-bloody diarrhea be tested for celiac disease, especially if there is no improvement with steroids and other immunosuppressive medication. If celiac disease is confirmed, a gluten-free diet should be implemented. A gluten-free diet is a cost-effective and efficient treatment modality which spares the patient from unnecessary exposure to the adverse effects of systemic immunosuppression, while leading to rapid improvement of symptoms. Early recognition of this disease can help avoid future complications and discontinuation of antineoplastic immunotherapy.

Author Contributions: Conceptualization, M.G. and S.G.; methodology, S.G.; validation, S.G., C.G.; formal analysis, M.G.; investigation, M.G.; resources, S.G.; data curation, M.G.; writing—original draft preparation, M.G.; writing—review and editing, S.G., C.G.; visualization, M.G.; supervision, S.G. All authors have read and agreed to the published version of the manuscript.

Funding: This research received no external funding

Institutional Review Board Statement: Not applicable

Informed Consent Statement: Not applicable

Acknowledgments: The authors would like to thank their colleagues from Kasturba Medical College and University of Minnesota for their encouragement in this effort.

Conflicts of Interest: The authors declare no conflicts of interest.

References

1. Badran YR, Shih A, Leet D, Mooradian MJ. Immune checkpoint inhibitor-associated celiac disease. *J Immunother Cancer*. 2020 Jun;8(1):e000958.
2. Del Sordo R, Volta U, Lougaris V, Parente P. Histological Features of Celiac-Disease-like Conditions Related to Immune Checkpoint Inhibitors Therapy: A Signal to Keep in Mind for Pathologists. *Diagnostics (Basel)*. 2022 Feb 3;12(2):395.
3. Gentile NM, D'Souza A, Fujii LL, Wu TT, Murray JA. Association between ipilimumab and celiac disease. *Mayo Clin Proc*. 2013 Apr;88(4):414-7.
4. Walton H, Hopkins S, Shand A, Din S. Immunotherapy-induced coeliac disease in curative lung cancer. *BMJ Case Rep*. 2021 Sep 27;14(9):e243406.
5. Schoenfeld SR, Aronow ME, Leaf RK, Dougan M, Reynolds KL. Diagnosis and Management of Rare Immune-Related Adverse Events. *Oncologist*. 2020 Jan;25(1):6-14.
6. Abdel-Wahab N, Shah M, Suarez-Almazor ME. Adverse Events Associated with Immune Checkpoint Blockade in Patients with Cancer: A Systematic Review of Case Reports. *PLoS One*. 2016 Jul 29;11(7):e0160221.
7. Schuppan D, Dieterich W. Epidemiology, pathogenesis, and clinical manifestations of celiac disease in adults. In: Grover S, ed. *UpToDate*. Waltham, Mass.: UpToDate, 2024.
8. Sollid LM, Lundin KE. Coeliac disease. An inappropriate immune response. *Lancet*. 2001 Dec;358 Suppl:S13.
9. Brown I, Bettington M, Rosty C. The role of histopathology in the diagnosis and management of coeliac disease and other malabsorptive conditions. *Histopathology*. 2021 Jan;78(1):88-105.
10. Falade AS, Reynolds KL, Zubiri L, Deshpande V. Case Report: Fulminant Celiac Disease With Combination Immune Checkpoint Therapy. *Front Immunol*. 2022 Apr 14;13:871452.
11. Sethi A, Helfand A, Balikani L, Bunker M, Finley G. Association of Celiac Disease With Pembrolizumab. *Cureus*. 2021 Jun 10;13(6):e15565.
12. Leblanc J, Hoibian S, Boucraut A, Ratone JP, Stoffaes L, Dano D, Louvel-Perrot D, Chanez B, Chretien AS, Madroszyk A, Rochigneux P. Celiac Disease After Administration of Immune Checkpoint Inhibitors: A Case Report. *Front Immunol*. 2021 Dec 17;12:799666.
13. Braun DS, Patel S, Schwartz A. Subclinical Celiac Disease Unmasked by Immune Checkpoint Inhibitor Therapy. *J Immunother*. 2023 May 1;46(4):152-153.
14. Khandakar B, Srivastava A. Immune checkpoint inhibitor therapy associated enteritis mimicking celiac disease. *Gastroenterol Hepatol Bed Bench*. 2023;16(2):240-244.
15. Sollid LM, McAdam SN, Molberg O, Quarsten H, Arentz-Hansen H, Louka AS, Lundin KE. Genes and environment in celiac disease. *Acta Odontol Scand*. 2001 Jun;59(3):183-6.
16. Clot F, Fulchignoni-Lataud MC, Renoux C, Percopo S, Bouguerra F, Babron MC, Djilali-Saiah I, Caillat-Zucman S, Clerget-Darpoux F, Greco L, Serre JL. Linkage and association study of the CTLA-4 region in coeliac disease for Italian and Tunisian populations. *Tissue Antigens*. 1999 Nov;54(5):527-30.
17. Popat S, Hearle N, Wixey J, Hogberg L, Bevan S, Lim W, Stenhammar L, Houlston RS. Analysis of the CTLA4 gene in Swedish coeliac disease patients. *Scand J Gastroenterol*. 2002 Jan;37(1):28-31.
18. Djilali-Saiah I, Schmitz J, Harfouch-Hammoud E, Mougnot JF, Bach JF, Caillat-Zucman S. CTLA-4 gene polymorphism is associated with predisposition to coeliac disease. *Gut*. 1998 Aug;43(2):187-9.
19. Kristiansen OP, Larsen ZM, Pociot F. CTLA-4 in autoimmune diseases--a general susceptibility gene to autoimmunity? *Genes Immun*. 2000 Feb;1(3):170-84.
20. King AL, Yiannakou JY, Brett PM, Curtis D, Morris MA, Dearlove AM, Rhodes M, Rosen-Bronson S, Mathew C, Ellis HJ, Ciclitira PJ. A genome-wide family-based linkage study of coeliac disease. *Ann Hum Genet*. 2000 Nov;64(Pt 6):479-90.
21. Naluai AT, Nilsson S, Samuelsson L, Gudjónsdóttir AH. The CTLA4/CD28 gene region on chromosome 2q33 confers susceptibility to celiac disease in a way possibly distinct from that of type 1 diabetes and other chronic inflammatory disorders. *Tissue Antigens*. 2000 Oct;56(4):350-5.
22. Angum F, Khan T, Kaler J, Siddiqui L, Hussain A. The Prevalence of Autoimmune Disorders in Women: A Narrative Review. *Cureus*. 2020 May 13;12(5):e8094.
23. Zhang ML, Deshpande V. Histopathology of Gastrointestinal Immune-related Adverse Events: A Practical Review for the Practicing Pathologist. *Am J Surg Pathol*. 2022 Jan 1;46(1):e15-e26.
24. Theodoraki E, Giannarakis M, Tzardi M, Koutroubakis IE. Pembrolizumab-induced antiTTG IgA-negative duodenitis treated with gluten withdrawal. *Eur J Gastroenterol Hepatol*. 2021 Aug 1;33(8):1130-1131.
25. Irshaid L, Robert ME, Zhang X. Immune Checkpoint Inhibitor-Induced Upper Gastrointestinal Tract Inflammation Shows Morphologic Similarities to, but Is Immunologically Distinct From, *Helicobacter pylori* Gastritis and Celiac Disease. *Arch Pathol Lab Med*. 2021 Feb 1;145(2):191-200.

26. Marietta EV, Cartee A, Rishi A, Murray JA. Drug-induced enteropathy. *Dig Dis*. 2015;33(2):215-220.
27. Postow M, Johnson DB. Toxicities associated with immune checkpoint inhibitors. In: Shah SM, ed. *UpToDate*. Waltham, Mass.: UpToDate, 2024.

Disclaimer/Publisher's Note: The statements, opinions and data contained in all publications are solely those of the individual author(s) and contributor(s) and not of MDPI and/or the editor(s). MDPI and/or the editor(s) disclaim responsibility for any injury to people or property resulting from any ideas, methods, instructions or products referred to in the content.