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Article

Free Energy Evaluation of Homogeneous Bubble Nucleation based on Stochastic Thermodynamics

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Abstract: Nucleation is a fundamental and general process at the initial stage of first order phase transition. Although various models based on the classical nucleation theory (CNT) have been proposed to explain the energetics and kinetics of nucleation, detailed understanding on nanoscales are still required. Here we focus on homogeneous bubble nucleation, in which evaluation of the size dependence of bubble free energy is the key issue. We propose application of a formula in stochastic thermodynamics, the Jarzynski's equality, for data analysis of molecular dynamics (MD) simulation to evaluate the free energy of bubble nucleation. As a test case, we performed a series of MD simulations with Lennard-Jones (LJ) fluid system. By applying an external spherical force field to equilibrated LJ liquid, we evaluated the free energy change during bubble growth as the Jarzynski's ensemble average of required works. A fairly smooth free energy curve was obtained as a function of bubble radius in metastable liquid of mildly negative pressure conditions.

Keywords: homogeneous bubble nucleation; cavitation; classical nucleation theory (CNT); nucleation free energy; critical nucleus; molecular dynamics (MD) simulation; Jarzynski equality; stochastic thermodynamics; surface tension

1. Introduction

In this research we focus on the energetics of homogeneous bubble nucleation in stretched metastable liquid. Inception of bubble nucleation is a fundamental process in the first order liquid-vapor phase transition in general, and has been investigated long in various fields, such as cavitation [1, 2], nucleate boiling [3,4], and metastability limit of liquids [5–8], but the understanding of microscopic details are still required.

The mechanism of nucleation has been studied based mainly on the so-called classical nucleation theory (CNT) [5,6,9–11]. In a simplest form of CNT, the free energy change ΔF of the system during the growth of a spherical bubble is given by the following equation,

$$\Delta F(r) = -\frac{4}{3}\pi r^3 \Delta f_V + 4\pi r^2 \gamma \quad (1)$$

where r is the bubble radius, Δf_V the difference in free energy density between liquid and vapor phases, and γ the surface tension. Although the physics for Eq. (1) is clear as a base of energetics of homogeneous bubble nucleation, discrepancy in the nucleation rate between CNT models and experiments is often extremely large [12], and various improvements in theoretical models have been proposed [6,11,13–15].

In this study, we revisit the free energy change in CNT of homogeneous bubble nucleation by utilizing molecular dynamics (MD) simulations combined with stochastic thermodynamics. Several MD simulations on homogeneous bubble nucleation were reported [16–20]. Due to the spatial and temporal scale limits of the simulation method, however, the conditions used in these MD simulations are highly non-equilibrium, closer to the spinodal lines, under which spontaneous bubble generation occurs within the simulation time. Furthermore, kinetics (or the nucleation rate) was the main target in these simulations while energetics is still hard to investigate because the system is in highly non-equilibrium state during this kind of simulations.

Here we want to investigate the free energy during the bubble nucleation. For that purpose, we adopt a basic formula of stochastic thermodynamics, with which we are able to evaluate the free energy from a special type of ensemble average of works to create and expand a bubble.

2. Stochastic Thermodynamics: Theoretical Background

Among several variations of free energy evaluation scheme proposed in the field of stochastic thermodynamics [21], we here adopt the simplest form, the Jarzynski equality. Assuming any path \mathcal{L} in the configurational space from a starting point to a goal, this equality describes a relationship between the free energy $F(s)$ on a point $s \in \mathcal{L}$ ($s = 0$ is the starting point) and the work $W(s)$ required along the path. If we can assume that the process along the path is always quasistatic, the conventional thermodynamics tells us

$$F(s) - F(0) = W(s) \quad (2)$$

but in general

$$F(s) - F(0) \leq W(s) \quad (3)$$

which is a representation of the second law of thermodynamics. The difference is of course dissipated, as heat Q in most cases,

$$F(s) - F(0) = W(s) - Q(s) \quad (4)$$

In microscale processes where fluctuations are not negligible, W also contains fluctuations, and Eq. (3) should be expressed as

$$F(s) - F(0) \leq \langle W(s) \rangle \quad (5)$$

where $\langle \rangle$ represents the ensemble average.

In 1997 [22], Jarzynski showed that the following equality holds for “any” processes

$$\exp\left(-\frac{F(s) - F(0)}{k_B T}\right) = \left\langle \exp\left(\frac{W(s)}{k_B T}\right) \right\rangle \quad (6)$$

where T is the temperature of thermal reservoir, and k_B is the Boltzmann constant. The point in Eq. (6) is that we can evaluate the state quantity $F(s)$ without the conventional assumption of quasistatic process. This gives a great merit for us to evaluate free energy with MD simulations because a typical time scale of MD simulations is so short that, in many cases, we cannot assume thermal equilibrium however slow the system change is.

In this work, we targeted simple liquid and conducted a series of MD simulations to observe a “bubble” generation by applying an external force. Then, by adopting Eq. (6), the free energy change during the bubble growth was evaluated. Since Eq. (6) was proposed, several extensions and generalizations have been proposed [21,23,24], such as the Crooks fluctuation theorem [25], which relates the probability distributions of the forward process and the backward one. These extended methods may provide a better tool to investigate the bubble nucleation, which is left for future study.

3. System and Method

We carried out a series of MD simulations to investigate the free energy for a tiny bubble in model liquid. All MD simulations were executed with LAMMPS [26,27]. OVITO [28] was utilized to visualize the atomic configurations.

3.1. Simulation System and Procedure

We adopted a simple monatomic liquid, in which the Lennard-Jones (LJ) 12-6 potential was assumed as the particle-particle interaction,

$$\phi(r) = 4\epsilon \left[\left(\frac{\sigma}{r}\right)^{12} - \left(\frac{\sigma}{r}\right)^6 \right], \quad (7)$$

where r is the particle-particle distance. ε and σ are the parameters for energy and length, respectively. The interaction is truncated at $r = r_c = 3.5\sigma$. In the following descriptions, all quantities are expressed with the conventional reduced units, such as ε :energy, σ :length, and m :particle mass. Just for reference, typical values for argon [29] are $\varepsilon \simeq 1.66 \times 10^{-21}$ J, $\sigma \simeq 0.34 \times 10^{-9}$ m, and the time unit $\tau \equiv \sigma\sqrt{m/\varepsilon} \simeq 2.15 \times 10^{-12}$ s. All MD simulations were executed with time step 0.002τ .

With conventional NPT ensemble conditions, where the temperature is controlled with the Nosé-Hoover thermostat [30] and the pressure with the Martyna barostat [31], we performed a series of MD simulations for cavitation under “external force” and evaluated the free energy change based on the Jarzynski formula, eq. (6). Each simulation consists of three steps:

1. Liquid in equilibrium at given temperature T_0 and pressure p_0 is prepared using a standard procedure of MD simulation.
2. A spherical force field ϕ_B at the center of the system box is applied for all LJ particles, and the system is equilibrated again at (T_0, p_0) . We assume an LJ-type field as

$$\phi_B(r_o, t = 0) = 4\varepsilon \left[\left(\frac{\sigma}{r_o} \right)^{12} - \left(\frac{\sigma}{r_o} \right)^6 \right], \quad (8)$$

where r_o is the distance of each particle from the box center. The field is also truncated at $r_o = r_c = 3.5\sigma$.

3. For the main simulation, the radius of the force field is increased and the resulting “bubble” growth is monitored.

$$\phi_B(r_o, t) = 4 \left[\left(\frac{\sigma}{r_o - R(t)} \right)^{12} - \left(\frac{\sigma}{r_o - R(t)} \right)^6 \right], \quad (9)$$

where $R(t)$ is a time-dependent variable corresponding to the bubble radius, where the bubble is the region of excluding LJ particles. In this paper we adopted ϕ_B with a size linearly increasing with time,

$$R(t) = v_0 t \quad (10)$$

where v_0 is a positive constant.

During the MD calculation, atomic data (position \vec{r}_i , velocity \vec{v}_i , and the exerted external force $\vec{f}_i \equiv -\partial\phi_B/\partial\vec{r}_i$) for each LJ particle i are stored every k steps for later analysis.

To apply the Jarzynski equality to our system for the free energy evaluation, a large number of samplings are required; for that purpose, we took ensemble average over 100 different atomic configurations at equilibrium as the initial conditions.

The simulation conditions are summarized in Table 1. We chose a relatively low temperature ($T = 0.8$) since we have to assume vacuum in the “bubble” for this free energy evaluation with applying an external force field. Four different environmental pressure conditions, $-0.30 \leq p_0 \leq -0.15$, are investigated to see how p_0 affects the nucleation behavior. In view of the standard phase diagram and the equation of states for LJ fluid [32–34], these pressure conditions are extremely mild, i.e., much closer to the binodal line than to the spinodal one, as shown in Figure 1. This is the region difficult for conventional MD simulations to access [16–18,35] due to the large activation energy. Since a large size of critical nucleus was expected for the largest pressure condition (Case 4), we prepared a larger system with 108,000 particles to mitigate the artifact of the finite system size. The growth speed $v_0 = 0.01$ corresponds to 1.6 m/s in argon units, being still very fast; the speed dependence of results is shortly discussed later. For the larger system, Case 4, we adopted an even larger speed $v_0 = 0.02$ to save the computation time.

Table 1. Conditions for the main simulation.

	Number of particles N [-]	Temperature T_0 [ϵ]	Pressure p_0 [ϵ/σ^3]	Equilibrium Density ρ [σ^{-3}]	Growth speed v_0 [σ/τ]	Number of samplings [-]
Case 1	32,000	0.80	-0.20	0.752	0.01	100
Case 2	32,000	0.80	-0.25	0.745	0.01	100
Case 3	32,000	0.80	-0.30	0.737	0.01	100
Case 4	108,000	0.80	-0.15	0.759	0.02	100

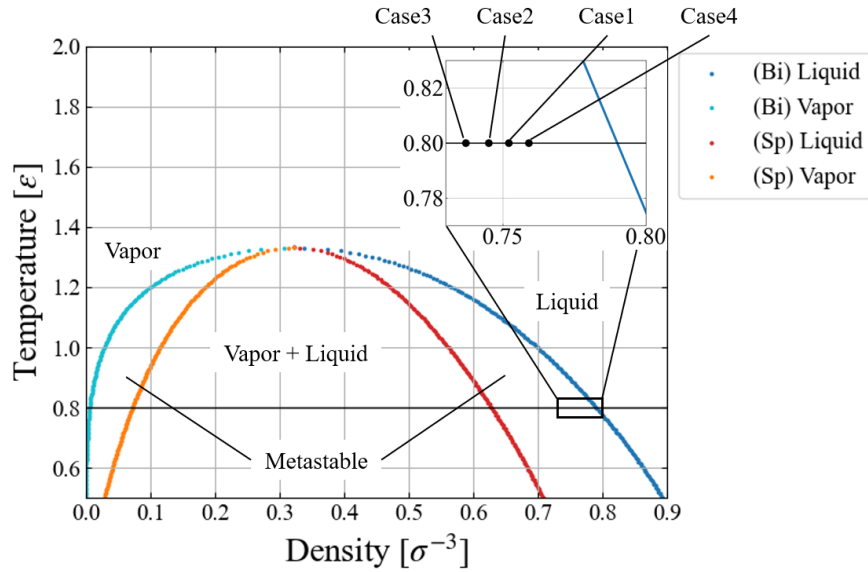


Figure 1. Simulation conditions plotted in a liquid-vapor phase diagram of LJ fluid based on an empirical equation of states[34]. Blue and cyan symbols: binodal lines, red and orange: spinodal (stability limit) lines.

3.2. Free Energy Evaluation

On completing the MD simulations for each Case, we adopted the Jarzynski equality, Eq. (6), to evaluate the free energy change during the “bubble” growth with the following steps:

Step. 1: The work during a short period from time t and $t + \Delta t$ is evaluated as

$$w(t) = \sum_{i=1} \vec{f}_i(t) \cdot \vec{v}_i(t) \Delta t \quad (11)$$

In this simulation we chose $\Delta t = 5$ MD steps = 0.01 [τ].

Step. 2: The accumulated work, which is the sum of the instantaneous work up to time $t = n\Delta t$, is determined.

$$W(t) = \sum_{n'=0}^n w(n'\Delta t) \quad (12)$$

Step. 3: We perform simulations multiple times, with calculating $W(t)$ for each case. The free energy change $\Delta F(t)$ from the initial state is evaluated by taking the ensemble average as the Jarzynski equality, Eq. (6), as a function of t .

Step. 4: Since the bubble “radius” is directly related to time t with Eq. (10), we finally obtain the free energy as a function of bubble radius.

4. Results

4.1. Thermodynamic Properties

We carried out NPT ensemble MD simulations for the main sampling, during which the system volume V changes due to the time-varying external field $\phi_B(t)$. An example is shown in Figure 2 for Case 1, indicating that the barostat to control the system pressure fails after time $t \sim 800 [\tau]$. This is caused by a spontaneous bubble growth, as discussed below.

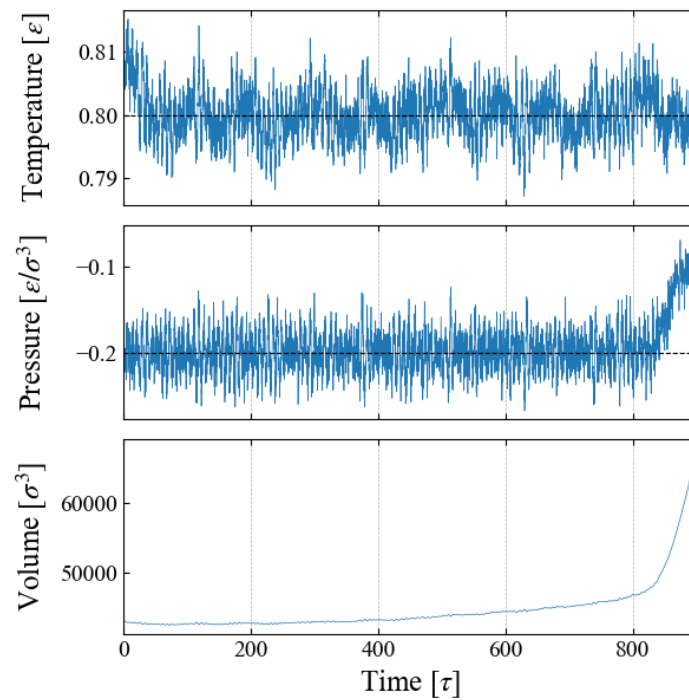


Figure 2. Property change during a simulation; example for Case 1 ($p_0 = -0.20$).

4.2. Bubble Growth

Figure 3 indicates a series of snapshots (cross-sectional views) for Case 1. The “bubble” generated by the external field ϕ_B gradually grows up to $t \simeq 700$; it seems to become unstable at $t \sim 800$, where its shape is distorted and the growth is accelerated, and finally the thin liquid film between the neighboring bubbles due to the periodic boundary conditions is broken.

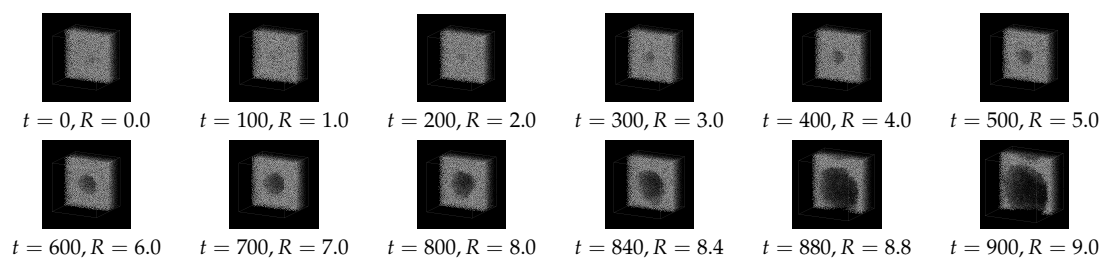


Figure 3. Examples of cross-sectional view for Case 1 ($p_0 = -0.20$).

A quantity relevant to the nucleation analysis is the bubble radius at time t , and we evaluate it in two ways here. One is based on the density profile of LJ particles. An example for Case 1 ($p_0 = -0.2$) is shown in Figure 4 (a), where the number density is plotted as a function of the distance r from the box center, i.e., the center of the external field ϕ_B . The first peak indicates the particles situated on the “bubble surface”, from which we define the bubble radius r_{Bd} . As the size R of ϕ_B increases with time, Eq. (10), the position of the first peak shifts to larger r accordingly. We expect that r_{Bd} is close

to the position of ϕ_B minimum, $R_{\min}(t) \equiv R(t) + 2^{1/6}\sigma$; comparison is made in Figure 4 (b), which shows they are almost identical. In the density profile, it is still possible to see the first peak after the bubble becomes unstable at $t \geq 800 [\tau]$, but the peak is very small and the density in its vicinity is much less than the bulk liquid one, which suggests that the first peak at this stage is brought by weak “adsorption” on, or entrapment by, the external field.

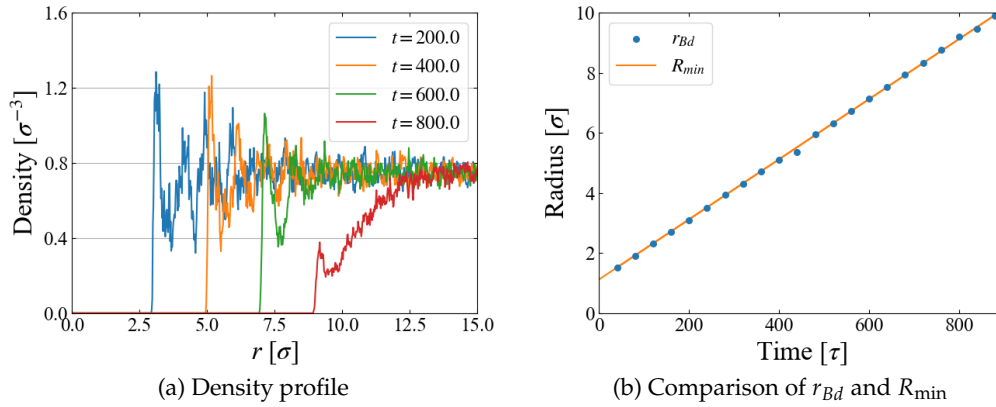


Figure 4. (Left) Density profile, the first peak of which defines the bubble radius r_{Bd} , (Right) change of r_{Bd} compared with the position of external field minimum R_{\min} . Example data for Case 1.

The change of the total volume of the system during the simulation $V(t)$, an example of which is shown in Figure 2, provides another way to define the bubble radius. We assume that the density of “bulk” liquid is constant, which is apparent in Figure 4 (a). Then the change of $V(t)$ is brought only by the bubble growth, and we define and evaluate the bubble radius r_{Bv} with spherical bubble assumption.

The obtained r_{Bv} is compared with r_{Bd} in Figure 5. The fluctuations in box size bring the large fluctuations in r_{Bv} especially at the initial stage of $t \leq 300 [\tau]$, but the agreement of r_{Bd} and r_{Bv} is reasonable for $t \leq 700 [\tau]$, as seen in Figure 5 (b). Based on these data, we adopt a rather arbitrarily determined criterion in the following data analyses that the spontaneous bubble growth starts when the difference $r_{Bv} - r_{Bd}$ exceeds 1σ ; in this time region, the application of the Jarzynski’s scheme fails because the “work” may not be properly evaluated with Eq. (11).

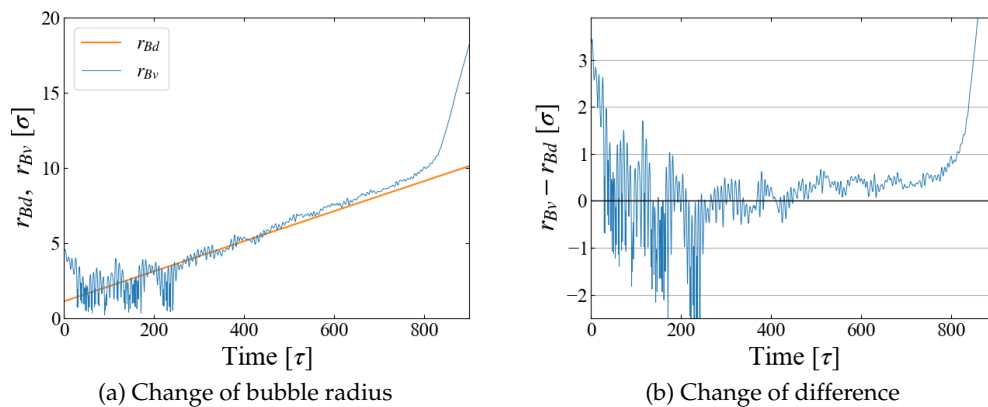


Figure 5. Comparison of bubble radius r_{Bd} and r_{Bv} ; example data for Case 1.

4.3. Work and Free Energy

Example data of stepwise work $w(t)$ and cumulative one $W(t)$ are shown in Figure 6; although fluctuations are large in $w(t)$, their running sum $W(t)$ seems to have a reasonable shape as expected in typical nucleation processes. By utilizing the relation between r_{Bd} and t as in Figure 4 (b), the cumulative work W is plotted as a function of bubble radius r_{Bd} in Figure 7, which illustrates the work

required to generate a bubble of size r_{Bd} . As expected in CNT, $W(r_{Bd})$ increases up to some “critical size”; bubbles larger than this size spontaneously grows, leading to negative W .

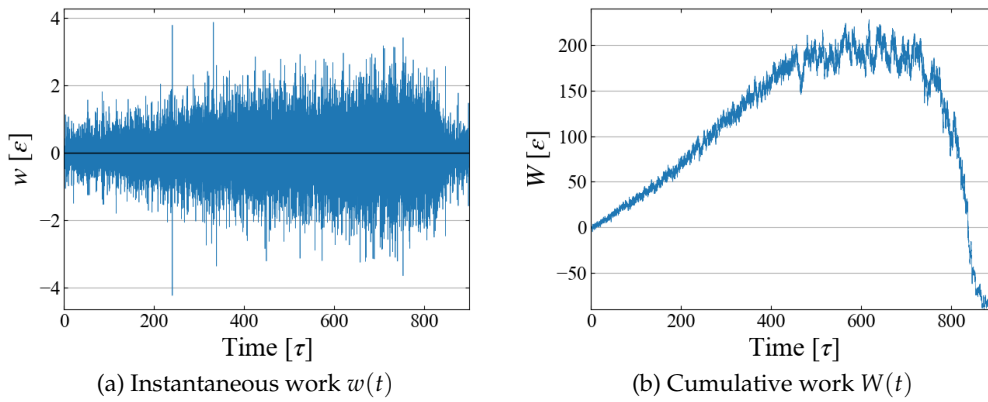


Figure 6. Obtained work as a function of time; example data for Case 1.

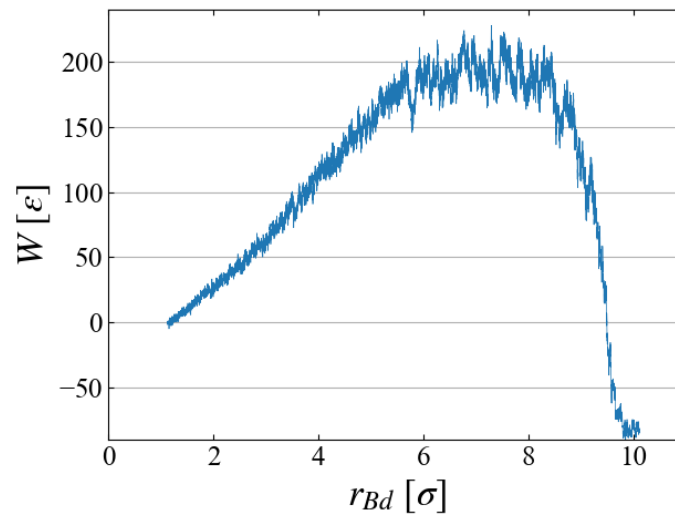


Figure 7. Cumulative work as a function of bubble radius; example for Case 1.

To evaluate the free energy change, we carried out 100 MD simulations starting from different initial configurations, each of which gives a different $W(r_{Bd})$ curve. All curves are superimposed in Figure 8, indicating large fluctuations of ~ 50 [ε]. Ensemble average based on Eq. (6) gives a relatively smooth curve of free energy difference

$$\Delta F(r_{Bd}) \equiv F(r_{Bd}(t)) - F(r_{Bd}(t=0)) \quad (13)$$

Note that the applied external field ϕ_B , Eqs. (9) and (10), has a finite size even at $t=0$, thus $\Delta F(r_{Bd})$ does not start from the origin but from $r_{Bd} \simeq 2^{1/6}$ [σ]. Figure 8 clearly indicates the existence of a critical nucleus at $\simeq 6.5$ [σ] with activation energy 190 ± 10 [ε]. It is apparent that the Jarzynski equality gives the ΔF curve very close to the data of minimum W .

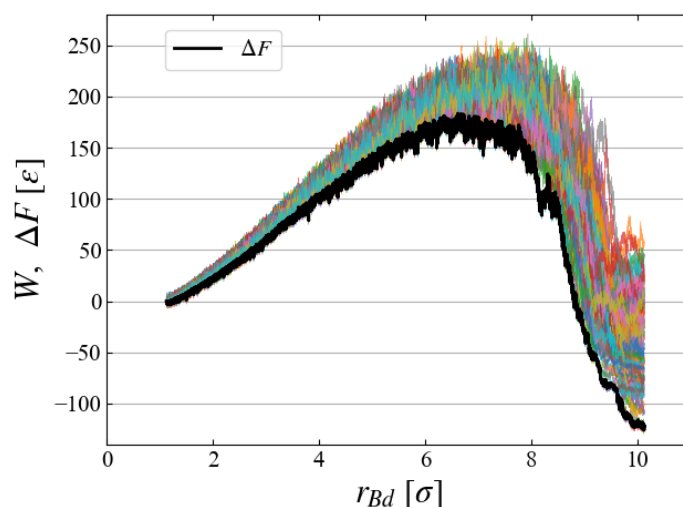


Figure 8. (Color curves) 100 samples of cumulative W , (Black) evaluated free energy difference ΔF ; example for Case 1.

The results of $\Delta F(r_{Bd})$ are summarized for all four cases of different pressure conditions in Figure 9 (a). We successfully obtained a smooth curve for each condition; as expected, the critical bubble size and the energy barrier reduces as p_0 becomes more negative. The critical size and the activation energy are roughly estimated from the data in Fig. 9 (b); the dependence on the surrounding pressure p_0 seems reasonable.

The evaluated activation energy is very large because we investigated systems under mild p_0 conditions. For example, the obtained energy is about 400 [ε] at $p_0 = -0.15$, leading to an extremely small Boltzmann factor $\exp(-400/0.8) \sim 10^{-216}$, which is not accessible with conventional molecular simulation techniques.

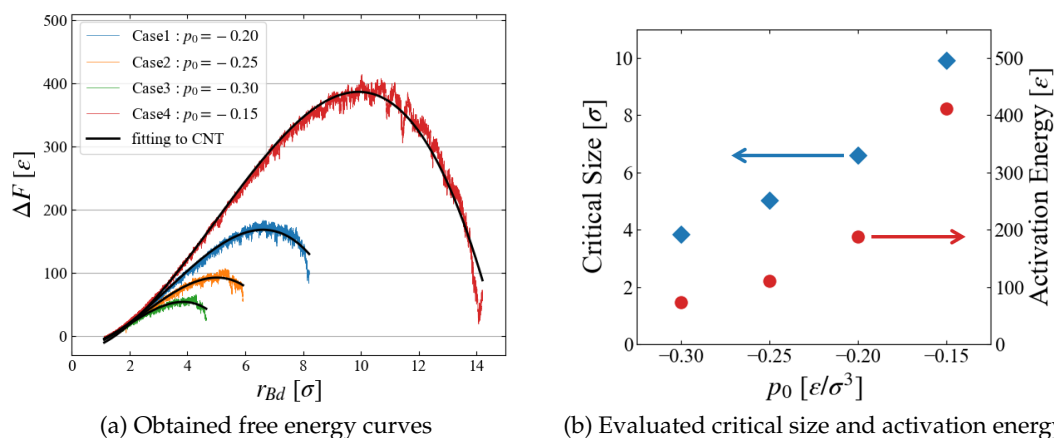


Figure 9. (Left) Obtained free energy ΔF and fitting to a simple CNT as discussed in §5.1. (Right) critical bubble size and activation energy.

5. Discussion

5.1. Comparison with CNT

Here we only make comparison with the simplest form of CNT. The obtained $\Delta F(r_{Bd})$ data are fitted to a simple equation by the least squares method,

$$\Delta F(r) = ar^3 + br^2 + c \quad (14)$$

where a , b , and c are the fitting parameters. In a simplest CNT as shown in Eq. (1), a and b are related to the free energy density difference between the bulk liquid and bulk vapor, $\Delta f_V \equiv f_{\text{liq}} - f_{\text{vap}}$, and the surface tension γ , respectively. As Figure 9 (a) indicates, the obtained free energy curves are well approximated by Eq. (14). Plotted in Figure 10 are the p_0 dependence of extracted values,

$$\Delta f_V = \frac{a}{4\pi/3} \quad (15)$$

and

$$\gamma = \frac{b}{4\pi} \quad (16)$$

Since the inside of the generated “bubble” is vacuum in this scheme, Δf_V should correspond to the free energy density of expanded liquid, and the results seem reasonable that f_V of liquid increases for larger metastability, or negatively larger p_0 .

The pressure dependence of surface tension is worthy to be noted. As far as we have noticed, no data for surface tension (interfacial tension between liquid and vapor) in non-equilibrium states are reported, although a number of studies exist for its curvature dependence for nano-bubbles [36–39]. For equilibrium LJ fluid at $T = 0.8$, $\gamma \simeq 0.8 - 0.9 [\epsilon/\sigma^2]$ is often referred [33]. Figure 10 suggests that γ , or the surface excess free energy, is an increasing function of the “degree of non-equilibrium,” which seems reasonable; the evaluation of its accuracy is left for future investigation.

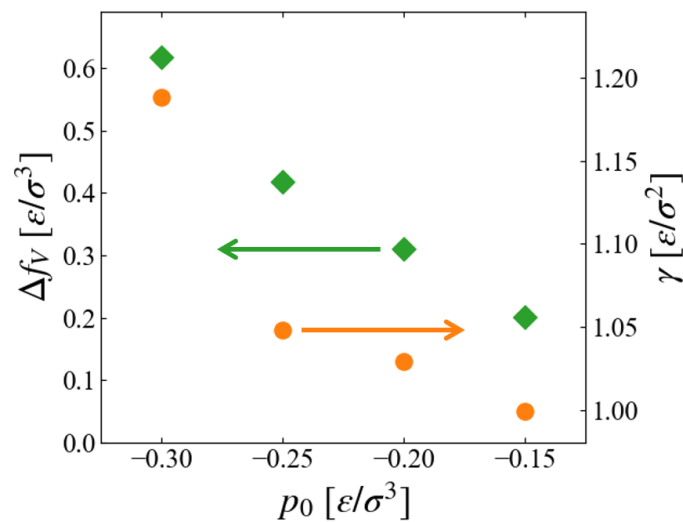


Figure 10. Parameters of CNT depending on the environment pressure p_0 .

5.2. Sampling

As the main part of free energy evaluation, we used 100 samples for each Case. To see if 100 samples are sufficient or not, we compared the evaluated ΔF in Figure 11 for Case 1, where the results using the first 20, the first 50, and all 100 samples are plotted. The difference in the averaged values seems negligibly small, and we may conclude that 100 samples are sufficient. However, closer look at the distribution of work may give a different view.

Plotted in Figure 12 are a histogram of instantaneous work $w(t)$ at different time. Since data with smaller w has larger weight in Jarzynski’s average, Eq. (6), we need sufficient number of samples in the region of smaller w . However we have only four samples at $t = 300$ and two at $t = 600$ which are smaller than the obtained ΔF , suggesting that 100 samples may not be sufficient. Thus, further increase in the number of samples may significantly lower the free energy.

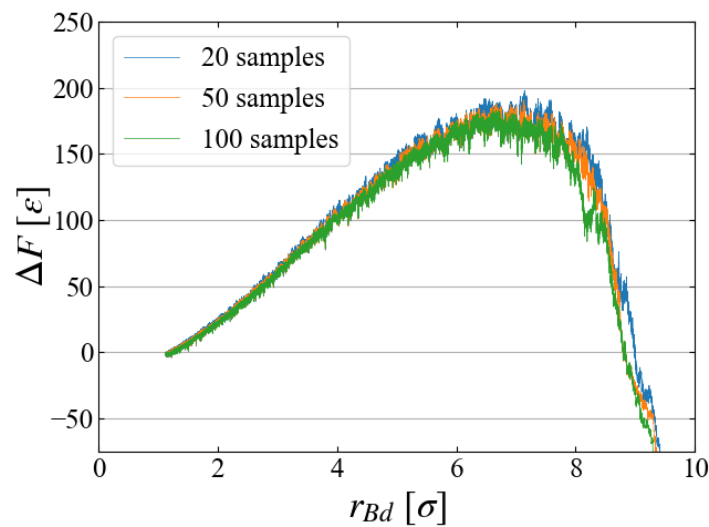


Figure 11. Comparison of ΔF with different number of samples for Case 1.

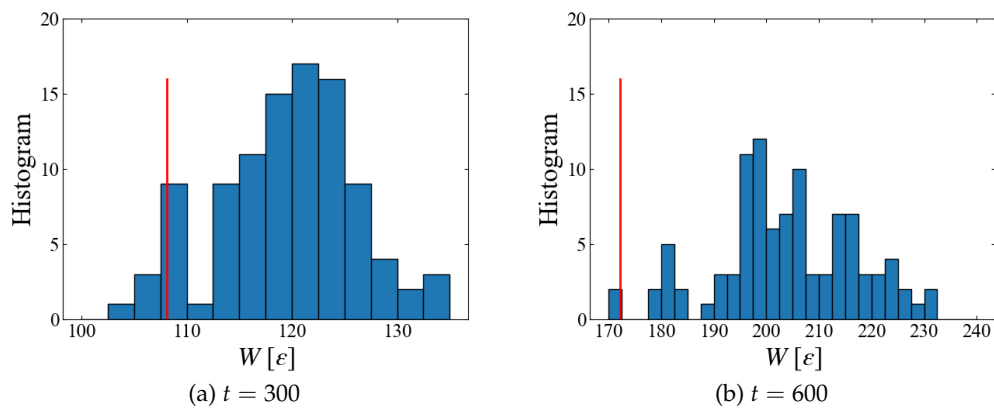


Figure 12. Distribution of accumulated work $W(t)$; red line indicates the free energy estimated with Eq. (6); examples for Case 1.

5.3. Growth Speed

Finally, we briefly discuss how the bubble growth speed v_0 affects the evaluation of ΔF . In preliminary investigations, five cases with different v_0 ($0.005 \leq v_0 \leq 0.04$) are compared, as in Figure 13, where ΔF is evaluated with Eq. (6) with ten samples for each case. The free energy increases for larger expanding speeds probably because the liquid structure is not sufficiently relaxed for faster bubble expansion, but the results for $v_0 = 0.01$ and 0.005 look similar, and thus we have chosen $v_0 = 0.01$ for the main simulations.

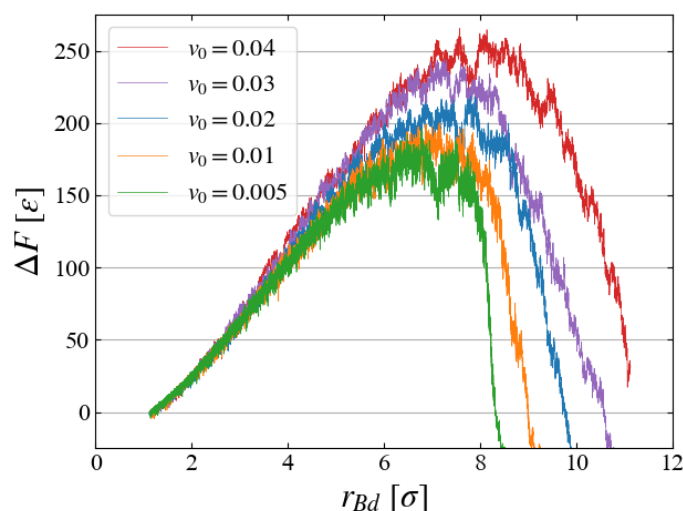


Figure 13. v_0 dependence for systems with $p_0 = -0.2$; average is taken over ten samples as this preliminary evaluation.

6. Conclusions

Using a standard molecular dynamics (MD) simulation technique, we investigated the free energy change during homogeneous bubble nucleation based on a stochastic thermodynamics formulation, namely, the Jarzynski's equality, for a simple Lennard-Jones fluid system with an expanding external force field. It is shown that, when compared with the direct application of conventional MD simulations for various types of nucleation processes, we can evaluate the free energy under much milder (i.e., closer to the binodal lines) conditions. Yet assumption of thermal equilibrium is not required for free energy evaluation, in contrast to the often-adopted molecular simulations for thermal properties.

It should be noted, however, sufficient number of samplings is essential, and may require much computational resources. Also exist technical restrictions for this method, that the inside of the bubble should be vacuum due to the external force field, and the bubble shape should be spherical. Therefore application of this method is currently limited only to bubble nucleation at low temperature conditions.

Author Contributions: Conceptualization, I.S. and M.M.; software development, I.S.; investigation, I.S. and M.M.; data curation, I.S. and M.M.; writing—original draft preparation, I.S.; writing—review and editing; M.M.; supervision, M.M.; funding acquisition, M.M.

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Conflicts of Interest: The authors declare no conflicts of interest.

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