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Article

Evaluation of Muscle Synergy Flexibility Induced by a Muscle Nerve Block in Chronic Stroke Patients

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Abstract: Background : Drug treatments for gait disorders in post-stroke patients aim to reduce muscular hyperactivity. Analysis of muscle activity is essential to help clinicians understand these disorders. The aim of this study was to quantify changes in muscle synergies before (PRE) and after (POST) rectus femoris nerve block. Methods : Gait analysis of 8 post-stroke patients before and immediately after nerve block. Muscle synergies were quantified from electromyographic signals. We have selected: the account for variance indicating the robustness of the synergies, the recruitment selectivity index indicating the degree of multiplexing of the synergies, and the recruitment consistency index indicating the variability of the synergies. Results : A decrease in VAF is observed, suggesting a reduction in gait pattern robustness. We also note that spatial and temporal primitives are slightly different (IRC, IRS) in PRE vs. POST, and that their activation is more nested in POST than in PRE. Conclusions : The motor nerve block has not created new muscle synergies of the paretic limb during gait, but indicates that there is flexibility in motor organization. This method of quantification may enable clinicians to assess the motor adaptation potential of their post-stroke patient.

Keywords: gait disorders; stroke; muscle synergies; EMG; drug treatment

1. Introduction

Muscle synergy analysis is becoming increasingly used to study gait patterns [1,2]. In accordance with Safavynia et al muscle synergies represent a library of motor subtasks, that the central nervous system can flexibly combine to produce complex and natural movements [3]. In fine, it is a group of muscles contracting together as part of a functional unit [4]. This method involves extracting muscle synergies from electromyography (EMG) signals and takes into account the muscle activity of the whole limb that underlies gait patterns and simplifies the analysis. Muscle synergies have been shown to be modified by different treatments (e.g. rehabilitation interventions, botulinum toxin type-A injections and selective dorsal rhizotomy) in a range of pathologies (e.g. cerebral palsy, spinal cord injury, Parkinson's disease) [4–7]. Nonetheless, some studies found mixed results. Indeed, Routson et al. found that 12 weeks of treadmill training with body weight support modified both the composition and timing of lower limbs muscles synergies [2]. In contrast, Ambrosini et al. noted only minor changes in muscle synergies after 3 weeks of cycle training with functional electrical stimulation for the stroke participants [8].

The analysis of muscle synergy flexibility has been more rarely studied in stroke patients. Moreover the impact of an intervention that may modify muscles synergies immediately after it, remains largely unexplored. Gait disorders are a common sequel of stroke [9,10]. Although various gait deviations may occur, one gait pattern commonly observed after stroke is Stiff Knee Gait (SKG) which is defined by a reduction of peak knee flexion during swing phase. This reduction of knee flexion has some consequences among them decreased toe clearance which compromises the stability of gait and increases the risk of falling [11]. Several mechanisms have been proposed as causes of SKG. For example, an increase in forces generated by the vastii [12], a decrease of hip flexion moment [13], a decrease in ankle plantar flexion moment or an over-activity of the rectus femoris muscle

during the swing phase of gait [14,15]. Although SKG is often multifactorial, over-activity of the rectus femoris is frequently the predominant mechanism in stroke patients [16]. To help in the diagnostic of main cause of stiff knee gait, anaesthetic motor nerve block of the rectus femoris nerve, to temporarily stop nerve conduction and thus muscle activity, is commonly used to determine the role of this muscle in peak knee flexion alteration. The nerve block involves injecting an anesthetic around the rectus femoris nerve, reducing both sensory and motor activity for 30 to 60 minutes [17]. It has been shown that the anesthetic motor nerve block of the nerve branch of the rectus femoris improved peak knee flexion during swing phase of the gait cycle when patients exhibited rectus femoris over-activity during swing phase of the gait cycle [18]. However, the adaptability of the gait pattern of the other muscles involved during walking after such nerve block is poorly studied. Indeed, the extent to which the muscles synergies involved in gait pattern of the paretic limb are modified by the decrease of rectus femoris muscle overactivity has to our knowledge never been studied.

The main aim of this study was to investigate whether an intervention consisting of a sudden decrease in muscle overactivity of a paretic lower limb in a stroke patient induces flexibility of muscle synergy immediately thereafter. In another words, we wished to determine whether motor nerve block of rectus femoris in stroke patient who exhibited a SKG due to an overactivity of the rectus femoris during swing phase of the gait cycle changes the robustness of muscle synergies for the paretic lower limb in stroke patients. We define robust synergy as representative of motor organization, and therefore linked to extraction parameters such as imposed or unconstrained Variance Account For (VAF). We will define these aspects of signal processing in the methodology section. The second objective was to explore the possible variability of muscle synergies in the paretic leg and their modification induced by nerve block. To this end, muscle synergies were extracted before and after nerve block and compared. We hypothesize that the robustness of muscle synergies decreases after nerve block in parallel with changes in muscle gait pattern.

2. Materials and Methods

Participants

We recruited a convenience sample of eight adult patients with stroke who were attending a routine clinical consultation at the Raymond Poincaré University Hospital gait laboratory. Inclusion criteria were: a diagnosis of stroke more than 12 months previously (ischaemic or haemorrhagic), the ability to walk 10m barefoot with no walking aids, quadriceps spasticity of ≥ 1 on the modified Ashworth scale [19], and a stiff knee gait, defined as a peak of knee flexion during swing of less than 45° [20]. Here we analyze the results of the gait analyses enabling the inclusion of participants in the study of gait disorders due to post-stroke muscle overactivity (NCT01973023).

Intervention

Nerve block was performed according to the technique described by Sung et al. [17]. The effectiveness of the nerve block was tested by comparing rectus femoris EMG signals recorded before and after the intervention during maximal voluntary isometric hip flexion. A reduction of at least 50% of activity calculated using the root mean square was considered effective [18]. For both gait analyses, participants were asked to walk at spontaneous gait speed.

Motion analysis

3D gait analysis was performed in two conditions: before (PRE) and after (POST) nerve block, using a Motion Analysis system with 8 cameras (100 Hz, Motion Analysis Corporation, Santa Rosa, CA, USA). The trajectories of 24 reflective markers placed on anatomical landmarks [21] were recorded. Surface electromyographic (EMG) signals (1000Hz) (MA311, Motion Lab Systems, LA, USA) were recorded from five muscles on the paretic leg: rectus femoris, vastus lateralis, semitendinosus soleus and tibialis anterior. Participants were asked to walk at their own comfortable pace, barefoot, along a 10m walkway. 8 trials were recorded before and after rectus femoris motor nerve block. The following gait cycle phases were manually identified by a single operator: initial double contact, single support phase, final double contact and swing phase.

Kinematic variables

The marker displacement was filtered at 6 Hz using a 3rd order Butterworth filter [22] and following kinematic variables were calculated using Orthotrak 6.2.8 (Motion Analysis Corporation, USA): maximum peak knee flexion in swing and gait speed. The mean of all the trials was calculated for each variable and used in the analysis.

EMG variables

All EMG signals were analysed using custom written Matlab routine (version R2012b, The MathWorks, Inc., MA, USA). Before processing, EMG signals were visually checked to detect artifacts. EMG signals were band-pass filtered from 5 Hz to 500 Hz, centred, full wave rectified, and low-pass filtered at 10 Hz. The amplitude of the EMG signals was normalised to the maximum activation recorded for each participant by condition [23,24]. To avoid time shifts induced by different gait speeds, time normalisation was performed with respect to gait phase duration [25]: initial double contact = 20 time points, single support phase = 80 time points, final double contact = 20 time points, and swing phase = 80 time points. Thus each gait cycle was time-normalised on 200 points. The number of points per phase was chosen in accordance with the literature and the relative duration of each cycle [26]. We applied these percentages in accordance with what is commonly described in the spatiotemporal parameters of the gait cycle: 10% of the duration of a gait cycle corresponded to the initial double contact, 40% to the single support phase, 10% to the final double contact and 40% to the oscillation phase. In the continuation of the manuscript we will call treated EMG the EMGs having undergone the whole of the stages described above

Muscle Synergy Extraction

To extract the muscle synergies, we applied the space-by-time decomposition algorithm developed by Delis and colleagues [27] adapted from the non-negative matrix factorization algorithm [28]. This algorithm assumes that EMG envelopes from each muscle can be described as a linear combination of invariant temporal and spatial modules called primitives that are activated by scalar coefficients called combinators (Figure 1).

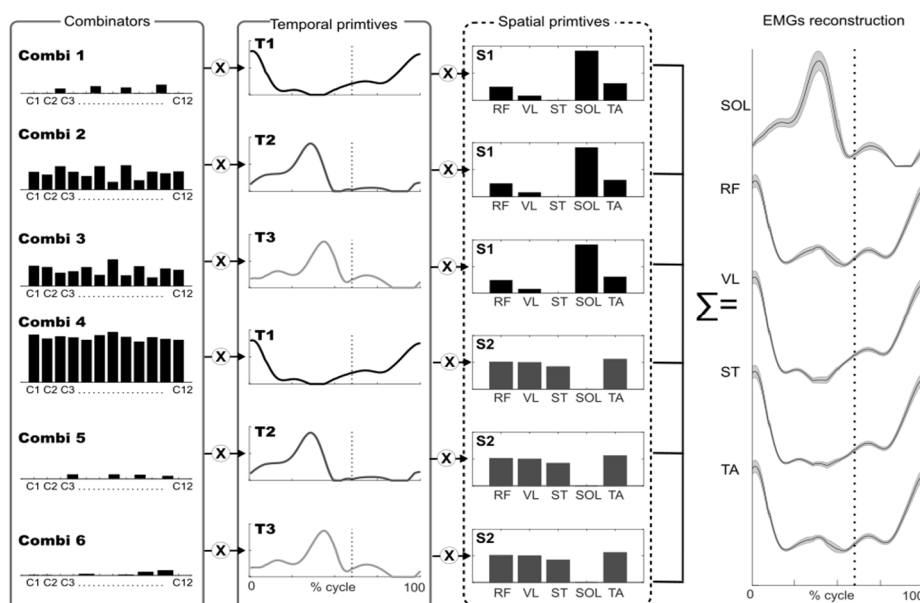


Figure 1. Model of muscle synergies extracted using space-by-time-decomposition. The figure illustrates how muscle activity (EMG) can be reconstructed with a multiplexed approach, that is, linear combinations of all pairs of spatial ($N = 2$) and temporal ($P = 3$) primitives by scalar combinators. The interactions between the three spatial primitives and the two temporal primitives are modulated by combinators (black bars). Thus, each gait cycle is associated with a specific combinator (denoted by $c_1, c_2 \dots$). The dotted line indicates toe off. Combi = combinators, T = temporal primitive, S = spatial

primitive, EMG from 5 muscles across 12 gait cycles was used: RF = rectus femoris; VL = vastus lateralis; ST = semitendinosus; SOL= soleus; TA = tibialis anterior.

In this model, called space-by-time decomposition, each (non-negative) muscle pattern, corresponding to the gait cycle s , is $\mathbf{m}^s(t) \in R_+^{T \times M}$ is expressed as:

$$\mathbf{m}^s(t) = \sum_{i=1}^P \sum_{j=1}^N w_i(t) a_{ij}^s \mathbf{w}_j + residual \quad (1)$$

where $w_i(t) \in R_+^{T \times 1}$ and $\mathbf{w}_j \in R_+^{1 \times M}$ are the temporal and spatial primitives respectively (and are invariant across gait cycles), $a_{ij}^s \in R_+$ is a scalar combinator that can vary across gait cycles, T corresponds to the number of time-points ($T = 200$ in our study), and M corresponds to the number of muscles ($M = 5$ in our study). The parameters P and N correspond to the number of temporal and spatial primitives, respectively. The index s identifies a single gait cycle. For each gait cycle s , invariant spatial and temporal primitives are thus activated by a set of specific combinator. The aim of this algorithm is to iteratively minimize the total reconstruction error expressed as follows:

$$E^2 = \sum_s \|\mathbf{m}^s(t) - \sum_{i=1}^P \sum_{j=1}^N w_i(t) a_{ij}^s \mathbf{w}_j\|^2 \quad (2)$$

We computed the Variance Accounted For (VAF) to measure the accuracy of the muscle synergy decomposition for a fixed number of primitives. VAF was computed using the following formula [27], where \bar{m} is the grand mean value of the processed EMG data:

$$VAF = 1 - E^2 / \sum_s \|\mathbf{m}^s(t) - \bar{m}\|^2 \quad (3)$$

In addition, we used the VAF to select the number of primitives. We performed muscle synergy extraction on the matrix that grouped the EMG signals in PRE (Figure 2 matrix B1), until the VAF was greater than 75% [29,30].

We used the same number of gait cycles for each extraction to avoid an unbalanced number of gait cycles between conditions. To test the muscle synergy robustness between PRE and POST and muscle synergy selectivity/variability, we performed two separate muscle synergy extraction techniques. The first technique involved extracting synergies for each participant from a matrix that combined twice the EMG signals in PRE (A1 in Figure 2). Then, we applied a matrix that pooled the EMG signals from PRE with the EMG signals from POST (A2 in Figure 2). Since we extract from a single matrix, temporal and spatial primitives are forced to be the same in PRE and POST. The EMG signals of matrix A1 has been doubled to have the same number of gait cycles between the two matrices and allow fair comparisons for the different metrics.

The second technique involved extracting muscle synergies for each participant from a matrix that pooled the EMG signals from PRE (B1 in Figure 2) as well as from a matrix which pooled the EMG signals from POST (name B2 in Figure 2). Here, the temporal and spatial primitives can be different in the PRE and POST conditions.

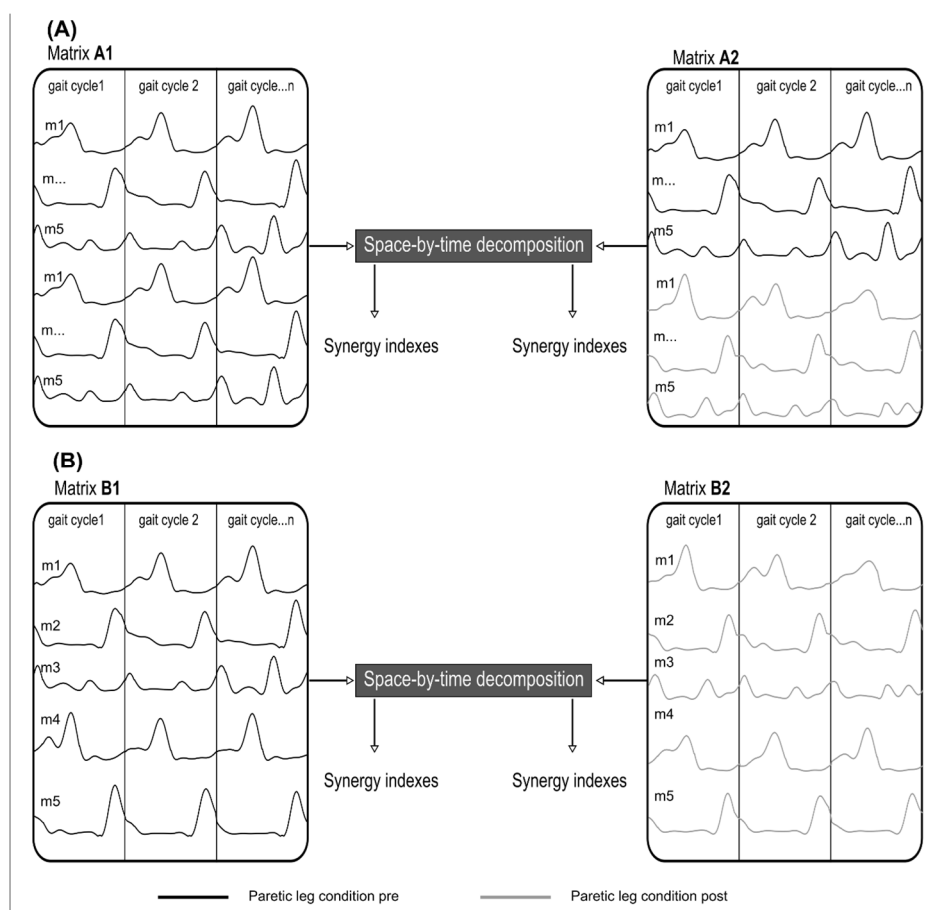


Figure 2. Schematic illustration of two methods of muscle synergy extraction. **(A)** Illustration of the *Similarity extraction*. Matrix **A1** groups twice the EMGs of the paretic leg (black line) in PRE. In Matrix **A2**, the EMGs of the paretic leg (black line) in PRE is grouped with the EMG of the paretic leg in POST (grey line). The same number of gait cycles was used in each matrix. **(B)** *Recruitment extraction*. Matrix **B1**, concatenate all EMGs of the paretic leg (black line) for all cycles in PRE where concatenated. Matrix **B2** concatenates all EMGs of the paretic leg (grey line) for all cycle in POST. m = muscle.

Muscle Synergy Indexes

The main outcome was the VAF from the first muscle synergy extraction, that provided an estimation of muscle synergy robustness in PRE and POST [7].

The secondary outcome was an Index of Recruitment Selectivity (IRS) which reflects the degree of multiplexing of muscle synergies [31], and an Index of Recruitment Consistency (IRC) which reflects muscle synergy variability. The IRS and IRC were calculated from two separate muscle synergy extractions.

The IRS index evaluates the actual (in-use) dimensionality of muscle synergy space for the task under investigation. Basically, it evaluates how many pairs of spatial/temporal primitives are really used for the task with respect to the total number of available pairs in the decomposition. The formula is based on the sparseness measure proposed by Hoyer [32], applied to the combinatory values concatenated in a single vector of dimension $N \times P$:

$$IRS = \frac{\sqrt{NP} - \sum_{ij} |a_{ij}^s| / \sqrt{|a_{ij}^s|^2}}{\sqrt{NP} - 1} \quad (4)$$

A large IRS value (i.e. large sparseness) would mean that only a few muscle synergies (much less than all $N \times P$ activable muscle synergies) are recruited to reconstruct the original muscle patterns.

The IRC quantifies the variability of the combinatorics for each muscle synergy from one gait cycle to another. This can be compared between the PRE and POST conditions. The IRC is defined as the

sum of the variances of the activation coefficients across gait cycles, normalized by the total number of activation coefficients:

$$IRC = \frac{\sum_{ij} var(a_{ij}^{s=1:8})}{NP} \quad (5)$$

A low IRC value would mean that the combinatorics are relatively invariant and consistent across gait cycles.

Statistical analyses

All statistical tests were performed with Matlab (version R2012b, The MathWorks, Inc., MA, USA). All data are reported as means \pm standard deviations. A non parametric Wilcoxon signed rank test was used to compare kinematic variables (the values of maximum peak knee flexion in swing and gait speed), and muscle synergy indexes (VAF, IRC, IRS) PRE and POST nerve block.

3. Results

The mean age of the 8 participants was 39 ± 10 years, mean height 174 ± 10 cm, mean weight 74 ± 06 kg. Seven were men, and the average time since stroke was at least 12 months. Spasticity of the rectus femoris ranged from 1 to 3 on the modified Ashworth scale in PRE and decreased of at least one point on the modified Ashworth scale in POST.

Gait Variables

The results of the gait variables are presented in Table 1. Maximum peak knee flexion increased significantly from PRE to POST ($p=0.007$) for all participants, ranging from $26.68 \pm 5.16^\circ$ in PRE to $35.60 \pm 7.07^\circ$ in POST. This result confirmed the effect of the nerve block. There was no significant change in gait speed between conditions.

Table 1. Summary of the kinematic and gait variables. All values are expressed as means \pm standard deviations. Max Knee flex = Maximum peak knee flexion in swing phase for the paretic leg. The values of step length correspond to the paretic leg. ** denotes a statistical difference between the PRE and POST conditions ($p < 0.01$).

	Maximum knee flexion (degrees)		Gait speed (m.s ⁻¹)		Step length (cm)	
	PRE	POST	PRE	POST	PRE	POST
P 1	35.09 \pm 2.47	43.13 \pm 3.62	0.54 \pm 0.11	0.66 \pm 0.05	30.5 \pm 2.61	36.23 \pm 2.77
P 2	24.07 \pm 1.35	29.55 \pm 1.59	0.57 \pm 0.07	0.67 \pm 0.04	44.70 \pm 5.27	44.49 \pm 5.52
P 3	18.02 \pm 2.06	23.05 \pm 3.37	0.57 \pm 0.04	0.54 \pm 0.05	45.19 \pm 2.98	45.64 \pm 3.17
P 4	28.83 \pm 3.04	33.90 \pm 4.74	0.47 \pm 0.04	0.58 \pm 0.10	40.87 \pm 3.09	43.81 \pm 7.46
P 5	24.77 \pm 2.98	43.63 \pm 6.91	0.42 \pm 0.05	0.30 \pm 0.02	36.99 \pm 4.40	25.35 \pm 2.25
P 6	24.79 \pm 2.12	38.00 \pm 3.91	0.82 \pm 0.04	0.90 \pm 0.05	56.10 \pm 2.78	55.06 \pm 3.83
P 7	26.47 \pm 3.62	40.20 \pm 5.14	0.50 \pm 0.04	0.64 \pm 0.07	44.99 \pm 3.45	45.21 \pm 4.18
P 8	31.42 \pm 1.87	33.35 \pm 2.90	0.44 \pm 0.03	0.46 \pm 0.06	36.10 \pm 2.61	37.04 \pm 2.99
Mean**	26.68 \pm 5.16	35.60 \pm 7.07	0.54 \pm 0.12	0.59 \pm 0.17	41.93 \pm 7.73	41.61 \pm 8.76

Muscle Synergy Extraction

After extraction from the matrix (B1), the configuration with 4 spatial and temporal primitives was the closest VAF value to 75% (Figure 3. $74.5 \pm 6.9\%$).

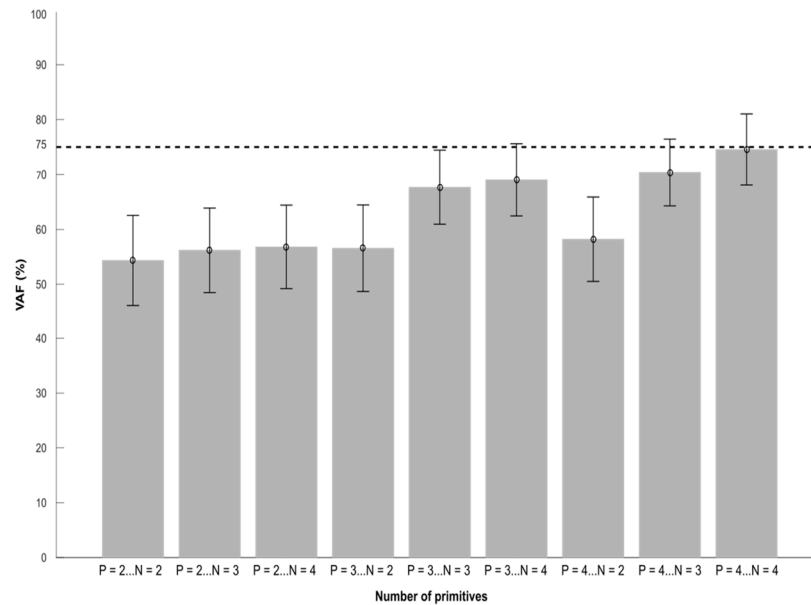


Figure 3. Averaged VAF values across every participant. VAF (Variance Accounted For) in relation to the number of spatial and temporal primitives. The letter N corresponds to the number of spatial primitives, the letter P corresponds to the number of temporal primitives. 4 spatial primitives and 4 temporal primitives are necessary to obtain a VAF close to 75%. Error bars represent standard errors.

Mean VAF value decreased significantly from this reference value of $74.5 \pm 6.9\%$ in PRE to $70.3 \pm 8.0\%$ in POST ($p=0.015$) when applying the extraction with matrices A1 and A2 respectively. This indicates that the same set of primitives cannot simultaneously account for the EMGs in PRE and POST as the VAF significantly decreases. This suggests a slight change in muscle synergies after the nerve block (first row, Table 2).

Table 2. The table summarizes the results on the *muscle synergy indexes*. P-values were obtained with a Wilcoxon signed rank test. All values are expressed as means \pm standard deviations, IRC = index of recruitment consistency (from extraction II), IRS = index of recruitment selectivity (from extraction II), VAF = variance accounted for (from extraction I).

	PRE	POST	P-value
VAF	74.50 ± 6.90	70.30 ± 8.00	0.015
IRS	0.48 ± 0.03	0.43 ± 0.07	0.007
IRC	3.93 ± 1.20	4.03 ± 2.00	0.843

Muscle Synergy Indexes

Since the primitives can slightly differ between PRE and POST, we conducted the next analyses with extraction II where the primitives are allowed to vary in PRE and POST. We then focus our analysis on the variability and selectivity of synergy recruitment between PRE and POST. The IRS quantifies selectivity (Table 2). Mean IRS values decreased significantly from 0.48 ± 0.03 in PRE to 0.43 ± 0.07 in POST ($p=0.007$), indicating an increase in interactions between spatial and temporal primitives and demonstrating that the degree of multiplexing of muscle synergies was increased by the nerve block (less sparseness). The IRC quantifies variability in the recruitment of primitives across gait cycles.

Mean IRC values increased from 3.93 ± 1.20 in PRE to 4.03 ± 2.00 in POST, however this difference was not significant ($p=0.843$) suggesting that the combinatorics were relatively invariant and consistent across gait cycles in both PRE and POST. In other words, once a strategy was found, it was quite consistent across gait cycles.

4. Discussion

The results of this study confirmed our hypothesis that the characteristics of the paretic limb's muscle synergies during gait were modified after rectus femoris nerve block. Spatial and temporal primitives are slightly different in PRE vs POST, and their activation is more intertwined in POST compared to PRE.

Gait Variables

After the nerve block, our results showed that the maximum peak knee flexion in swing phase was increased. In addition, there was no statistical change in speed. These findings confirm the efficiency of the nerve block. Moreover, they are in accordance with previous studies which have not observed any changes in spatio-temporal parameters (spontaneous gait speed) after the nerve block [17,18].

Muscle Synergy Indexes

Four spatial and four temporal primitives were needed to obtain VAF values that were close to 75% in PRE. This is consistent with a recent systematic review that found that 4 primitives are common in stroke patients [4]. Furthermore, after stroke the number of primitives in the paretic limb is the same as healthy subjects or reduced during gait [4]. These four primitives have been associated with the biomechanical constraints of walking: body support, forward propulsion and swing initiation [2]. These results suggest that the neural structures required for the activation of synergies were still intact [4]. Nevertheless, it is common for studies to specify a minimum VAF cut-off of 90% to identify the number of synergies. These cut-offs differences can be explained by differences in the choice of the method used to extract muscle synergies. Most published studies extracted muscle synergies from averaged EMG signals [32,33] which was not the case here. If we used averaged EMG signals, the IRC and IRS could not have been calculated but the VAF would be similar to other reports. As a result, we had to use a more general method that allows to account for inter-cycle variability but hardly allows us to reach 90% of VAF.

After the nerve block, the VAF value decreased from 74.50% (6.90) to 70.30% (8.00) ($p=0.015$), suggesting a modification of the muscle synergies in order to adapt to the new biomechanical constraints. Kargo and Nitz showed that during a new motor task, the composition of muscle synergies was modulated until a stable state emerged with a new temporal profile [34]. So the higher the VAF, the more the identified synergies explain the motor organization. Therefore, the formation of new muscle synergies is an adaptive process that is related to the experiences of each subject [38], thus repeated movement practice could lead to the development of new muscle synergies or change the composition of existing ones [35]. In our case, the 4 primitives explain part of the motor organization, but not all of it. The duration of the effect of the nerve block was likely too short for new muscle synergies to be created, thus the changes that occurred were more likely due to the modification of existing muscle synergies. In addition, previous studies have highlighted that during learning new motor task subjects exhibited variability across muscle synergies [35]. This variability could be a 'fine-tuning' produced by the central nervous system in an attempt to balance the opposing demands of achievement of the motor goal and energy efficiency. This fine-tuning is determined by 'feed forward' based on knowledge of prior performance and 'feedback'. Finally, the increase in variability could be increased by the local anaesthetic nerve block which induces disturbances on motor nerves [37]. We hypothesize that in the spastic patient the use of the nerve block almost induces a new locomotor pattern due to its disturbance [VAF from 74.50% to 70.30%].

The nerve block did not affect the IRC values showing an invariance and consistency of the combinatorics across gait cycles, despite the decrease in rectus femoris over-activity. After the nerve block, the central nervous system must adapt the muscle synergies. We hypothesize that it is the same slightly modified muscle synergies which are at the origin of the modification of the paretic gait pattern and not the development of a new muscle synergy. Consequently muscle synergies are less robust which may also explain the decrease in VAF. In fact, if stroke patients had created a new muscle synergies gait pattern then the recruitment variability should have increased. Indeed learning new coordination is characterised by the continued experimentation enabling the development of new muscle synergy strategies, which will introduce some variability in the movement but might favour the optimisation and the transfer of this motor behaviour across other contexts [38]. In other words IRC seems to reflect more a long term adaptation of a subject after an intervention than a short one

Analysis of the IRS values showed a higher level of muscle synergy selectivity after the nerve block (PRE =0.48 ±0.03 POST =0.43 ± 0.07, p=0.007), suggesting that the central nervous system recruited additional combinations of primitives to cope with the new biomechanical constraints. This likely suggests that the IRS reflects mainly short term adaptations. This hypothesis is in accordance with other results. For example, Santuz et al. showed in healthy subjects that during gait on an uneven-surface treadmill, the central nervous system produced a temporal rearrangement of the shape of the motor primitives, but their structure was unaltered [39]. Another study in healthy subjects found that the same muscle synergies used for unperturbed gait were via supraspinal activity in challenging gait conditions [40]. Comparison of synergy behaviour between healthy individuals and those with stroke showed a reduction in gait adaptability after stroke, with simplified movement strategies [41,42]. Muscle synergy in stroke patients were less 'complex' [1,8]. This might indicate a reduction in cortical adaptability and flexible synergy recruitment. We therefore concluded that the central nervous system flexibility controls the modification and activation of muscle synergies in case of peripheral disturbance.

5. Conclusions

The aim of this study was to explore the muscle synergies flexibility before and after a motor nerve block. Results showed that an efficient lower limb motor nerve block in stroke patients induces a decrease of VAF suggesting a decrease of the robustness of the gait pattern. Our results also indicate that the motor nerve block did not involve the creation of new muscle synergies gait pattern. They also suggest that there is a flexibility of muscles synergies after muscle nerve block in stroke patients which seems to be more variable than in healthy subject probably due to the brain lesion.

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Conflicts of Interest: The authors declare no conflict of interest.

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