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Article

# Temporal Dynamics of Plasma Catecholamines, Metabolic and Immune Markers, and Corticosterone:DHEA Ratio in Farmed Crocodiles before and after an Acute Stressor

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Simple Summary: Crocodilians have survived for millions of years and, like humans, experience stress and its subsequent consequences. Stress can cause significant economic and animal losses on crocodile farms if not monitored and managed appropriately. This study aims to identify immediate and delayed stress indicators in the Nile crocodile and to observe any release patterns after being subjected to acute restraint stress. We will consider known as well as novel stress indicators, such as dehydroepiandrosterone, whilst observing how these novel indicators correlate with that of the known stress biomarkers. Acute restraint stress-induced noticeable changes in especially rapid response indicators like adrenaline and noradrenaline. These monoamines, along with the stress hormone corticosterone, had a lasting impact, increasing the amount of glucose and certain immune cells in the blood. Connections were found between DHEA and lactate after the acute stress reaction, whereas stress affected glucose and HLR over time. Also, sudden stress led to changes in the CORT:DHEA ratio, showing its quick reaction to stress and confirming its relevance when studying the stress response in crocodilians. This research sheds light on how Nile crocodiles respond to an acute adverse event, which could improve stress management practices in crocodilians, thereby benefiting captive and wild populations.

Abstract: Commercial crocodilian farms face significant economic and livestock losses attributed to stress, which may be linked to their adopted husbandry practices. The development of appropriate and modernized husbandry guidelines, particularly those focused on stress mitigation, is impeded by the limited understanding of the crocodilian stress response. Fifteen grower Nile crocodiles were subjected to simulated acute transport stress, with blood samples collected at various intervals post-stress. Plasma levels of corticosterone, dehydroepiandrosterone (DHEA), adrenaline, and noradrenaline were determined using high-performance liquid chromatography. Glucose and lactate were measured using portable meters, and the heterophil-tolymphocyte ratio (HLR) was determined via differential leucocyte counts. Significant differences were elicited after the stressor, with acute fluctuations observed in the fast-acting catecholamines (adrenaline and noradrenaline) when compared to baseline. Downstream effects of these catecholamines and corticosterone appear to be associated with a persistent increase in plasma glucose and HLR. Lactate also showed acute fluctuations over time but returned to baseline by the final measurement. DHEA used as a ratio with corticosterone, showed fluctuations over time with an inverted release pattern to the catecholamines. The study highlights the temporal dynamics of physiological markers under acute stress, contributing to understanding crocodilian stress and potentially informing improved farming practices for conservation and sustainable management.

**Keywords:** farmed crocodiles; stress response; monoamines; heterophil-to-lymphocyte ratio (HLR); corticosterone-to-dehydroepiandrosterone (CORT:DHEA) ratio

### 1. Introduction

Stress is an inherent facet of life, and the responses it elicits can have both beneficial and detrimental effects on an organism's survival. To mitigate the detrimental effects of stress, it is essential to understand the stress response at both the behavioral and biological levels, especially its regulatory processes. Unlike in humans and various vertebrates, stress in crocodilians has not been thoroughly investigated from a neurological, behavioral, and psychological perspective [1].

Crocodilians are the world's largest extant reptiles with the Nile crocodile, *Crocodylus niloticus*, being the second largest member of the Crocodylidae family [2]. Nile crocodiles in Africa faced near extinction by the mid-1970s due to their highly valued hides [3]. Their populations have however made a strong recovery, in part due to trade restrictions legislation [4], and the significant contribution of commercial crocodilian farms (CCFs; [3,5,6]). CCFs have reduced the need for wild harvesting of crocodilia by supplying the textile industry and traditional markets with hides and other crocodilian products in a sustainable manner [4,6].

Crocodilians, especially farmed crocodiles, are highly stress-sensitive which exerts detrimental effects on their welfare and the commercial value of their hides [7,8]. It is therefore crucial for CCFs to remain free of diseases, promote optimal husbandry conditions, and reduce environmental stress [2]. In fact, unexpected deaths on a CCF are often directly related to sub-optimal husbandry conditions [9]. Therefore, for CCFs to remain economically viable and to sustain their positive impact on the conservation of these animals, sound husbandry techniques and management practices are required [2]. Unfortunately, the development of appropriate and modernized husbandry guidelines, particularly those focused on stress mitigation, is impeded by the limited understanding of the biological and behavioral stress axis of crocodilians.

As in mammals that present with highly complex stress response mechanisms, reptiles such as crocodilians attempt to mitigate a stressor through inherent allostatic responses. Allostasis is a dynamic homeostatic process that draws on physiological and behavioral changes aimed at learned adaptation and survival [10,11]. An allostatic response differs between acute and chronic stressors. The stress response in crocodiles (see Figure 1) is essentially regulated via the sympathetic adrenomedullary (SAM) axis and the hypothalamic-pituitary-adrenal (HPA) axis [10,12], or more specifically in crocodiles, fish, and amphibians, the hypothalamic-pituitary-interrenal (HPI) axis. The acute response is mediated by the SAM axis and is dedicated to the animal's immediate survival requirements while neglecting certain needs, such as energy storage or reproduction [10,13]. On the other hand, extended responses involve the HPA/HPI axis and elevation of glucocorticoids. The latter results in prolonged reproductive and immune suppression, as well as muscle atrophy and maladaptive behaviors when chronically elevated or when released in an unregulated fashion. If these effects persist without intervention, they collectively contribute to allostatic load [11,14], which is counterproductive to survival. Importantly, corticosterone feeds back negatively on the HPI-axis at the level of the hypothalamus and pituitary to prevent excessive release of corticosterone [15,16].

**Figure 1.** Illustration of the crocodylian HPI and SAM axes. The pathway on the left illustrates the fast-acting response of the SAM axes to a perceived stressor, wherein noradrenaline and adrenaline are released from the steroidogenic tissues in the adrenal gland. The pathway illustrated on the right illustrates the HPI axis, with CRH and ACTH regulating the release of corticosterone from the chromaffin cells in the adrenal glands. Negative feedback mechanisms of both axes are shown with the dotted lines. ACTH: Adrenocorticotropic hormone; CRH: Corticotropin-releasing hormone; HPI: Hypothalamic-pituitary-interrenal; SAM: Sympathetic adrenomedullary.

In crocodilians, the SAM axis involves the release of adrenaline and noradrenaline from the steroidogenic tissues in the adrenal glands [10,16], which induce hyperglycemia, increase blood flow to essential limbs and organs, and increase heart rate and respiration [17,18]. The HPI axis is activated by physiological, environmental, or physical stressors [13,19] that trigger the sequential release of corticotropin-releasing hormone (CRH) from the hypothalamus, adrenocorticotropic hormone (ACTH) from the anterior pituitary [4,19], and corticosterone from the adrenal glands (see **Figure 1**). Corticosterone is the principal stress hormone in crocodilians [18-20]. It induces glycolysis, gluconeogenesis, glycogenolysis, and proteolysis, to mobilize energy substrates [21,22], leading to increased blood glucose and lactate, its metabolic by-product. Lactate, and lactic acidosis, is produced during strenuous exercise and is potentially harmful [23]. Dehydroepiandrosterone (DHEA) is a metabolic intermediate in gonadal steroidogenesis [19,20,24] that exerts anti-stress effects such as improved immunological functioning, reduced anxiety, and improved mood [20,24]. Corticosterone decreases inflammatory mediators, and decreases specific immune cells [25], e.g., lymphocytes, basophils, eosinophils, monocytes, and macrophages while increasing heterophils (analogous to mammalian neutrophils) [26]. The heterophil-to-lymphocyte ratio (HLR) highlights the effects of

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stress on the immune system, specifically the time-dependent downstream effects of corticosterone [10,27].

Allostatic loads in stressed crocodiles present with failure to reproduce and to thrive, anxiety or agitation, and increased susceptibility to infection [28]. While the immediate effects of SAM axis activation are evident, the enduring effects of HPI axis activation ensure long-term homeostasis and recovery, but at the same time can be severely disabling to the animal if left unchecked. Lance and Elsey [7] first studied the crocodilian stress response using multiple biomarkers at multiple time points. They reported the acute reaction of catecholamines in American alligators (Alligator mississippiensis) and their association with corticosterone, glucose, and white blood cells. Similarly, Franklin, et al. [29] and Pfitzer [18] studied the effects of handling techniques, i.e., physical restraint versus electrical immobilization, on corticosterone, glucose, lactate, and various hematological markers in estuarine crocodiles (Crocodylus porosus) and Nile crocodiles, respectively, with Pfitzer [18] also studying alanine aminotransferase, alkaline phosphatase, and creatinine kinase. More recently, Duncan, et al. [30] compared corticosterone, glucose, lactate, and calcium levels in Melanosuchus niger and Caiman crocodilus, identifying differences in lactate clearance rates as an important aspect of crocodilian post-capture recovery [23]. Finger [31], in turn, studied the immune aspects of the stress response in estuarine crocodiles, while Lance and Elsey [32] studied the interactions between the reproductive system and stress in American alligators.

Given the above-described biological changes that happen during acute and chronic stress, these biological agent provocateurs are linked to an array of behavioral manifestations that at first are adaptive and gradually become maladaptive [8,33]. In mammals and humans, the interaction between glucocorticoids, monoamines, and other stress-related neuropeptides is linked to various neuropsychiatric and anxiety manifestations [33,34]. Crocodilians housed in captivity encounter numerous environmental, anthropogenic, and biological stressors that can result in diminished welfare and substantial economic losses to farmers [35,36]. This phenomenon has not been investigated in crocodilians, although are most often seen by farmers and animal caretakers following exposure to both acute and chronic stressors, presenting as excessive lithophagy (ingestion of stones), anorexia, hydrophobia, runting, and piling [8,37].

Considering the limited and outdated literature and the intricacies of the crocodilian stress response, further understanding is necessary by applying an array of stress biomarkers and assessing how their response changes over time post-stress. By examining standard acute and chronic stress biomarkers, such as monoamines, metabolic and immunological markers, and glucocorticoids in conjunction with a novel biomarker, i.e., DHEA, we will attempt to elucidate new connections within the stress response and thus provide a deeper understanding of this physiological process and how it informs on the presence of adaptive versus maladaptive behavior. This will enable future researchers, conservationists, farmers, and animal welfare specialists to identify novel and effective ways to mitigate the damaging effects of uncontrolled stress in this species.

## 2. Materials and Methods

### 2.1. Study Animals, Husbandry and Study Layout

Fifteen "grower"/juvenile male Nile crocodiles of farmed origin and with an average total length (TL) of 1464 mm were bred, hatched, and raised on Inyoni Estate, Crocodile Farm (Silkaatsnek, Brits, South Africa) where this investigation took place. The study subjects were separated from a larger group of 500 individuals, using gender and TL as screening parameters. All crocodilian pen specifications, as well as husbandry and welfare monitoring procedures, were based on the South African National Standards guidelines on crocodiles in captivity (SANS 631:2009), with additional/improved procedures adopted from the Natural Resource Management Ministerial Council [38].

On Day 1, the crocodiles were allowed to habituate to their new pen with adjusted stocking densities for four weeks before the initial blood collection (baseline or BL). Following the acclimation period, the individuals were electrically immobilized/stunned via a custom-built electric immobilizer

(±170 volts), microchipped (Destron LifeChip®), and their TL and weight noted, whereafter blood samples were collected. The animals were then returned to their respective pens.

Feeding and general husbandry practices continued for approximately four weeks until "Day 32" when the stressors were introduced (standard housing period). The stressors included typical capture practices, viz. electric immobilization, taping of the jaws, handling, and a simulated transport scenario where the crocodiles were individually confined in hessian jute bags for 7 hours. Blood collection took place at varying intervals, having occurred immediately after the initial stressors (T0H), and again 2 hours (T2H) and 7 hours (T7H) later. The crocodiles were returned to their respective jute bags after each collection. After the last blood collection (T7H) the crocodiles were returned to their experimental holding pens.

The three groups were then closely monitored, including the welfare-based recording of feeding habits, for 15 days or until their feeding behavior normalized post-stressor. An additional 23 days (Day 47 to Day 70), documented as a "seasonal grace period", was incorporated to lessen the stress and its effects when the animals were relocated to their original communal pen.

### 2.2. Plasma Collection and Analysis

Blood samples were collected from each crocodile by a registered veterinarian as previously described [39]. In short, blood was collected by inserting a 38 mm, 20-gauge, short bevel, hypodermic needle into the post-occipital spinal venous sinus, where approximately 2 mL blood was withdrawn using a 2 mL syringe. The blood was then transferred into 4 mL K2-EDTA-containing blood collection tubes. A few drops of blood were left in the syringe to measure blood glucose and lactate concentrations using portable samplers, as well as to make the blood smears required for the differential leukocyte counts. The blood collection tubes were then sealed and centrifuged at  $\pm 1500$  RCF ( $\pm 3500$  RPM) for 10 minutes to separate the blood plasma from the rest of the blood components. After centrifugation, the plasma was transferred to 2 mL cryovials where they were placed on ice and subsequently frozen in a -80 °C freezer. All the samples were kept at -80 °C until the day of analysis.

The plasma sample preparation for the analysis of monoamines was adapted from Yang and Gouaux [40], whereas a high-performance liquid chromatography (HPLC) bioanalysis method was adapted from Viljoen, *et al.* [41]. For monoamine determination, 10 mL of Solution A was prepared, comprising 7 M perchloric acid made up to volume with 100 % methanol, together with 1 μg/ml 5-hydroxy-Nω-methyltryptamine (5-HMT) added as internal standard, followed by vortex-mixing. The samples were analyzed on an HPLC system connected to an electrochemical detector (HPLC-ECD). To a 1.5 mL microcentrifuge tube, 200 μL plasma and 5.5 μL of Solution A were added, followed by vortex-mixing for 1 minute. Thereafter they were centrifuged at 20 817 RCF (14 000 RPM) for 30 minutes at 4 °C. After centrifugation, each sample supernatant was separately transferred to autosampler amber vials to be injected onto the HPLC column. The analytical instrumentation utilized for the bioanalysis of monoamines includes a Dionex<sup>TM</sup> Ultimate 3000 HPLC system, having an isocratic pump and autosampler, coupled to an RS Electrochemical detector with an Ultra 6011RS Analytical coulometric flow cell (Thermo Fisher Scientific). The bioanalysis was carried out using a Venusil ASB C8 HPLC column (4.6 x 250 mm, particle size 5 μm, pore size pf 150Å and surface area of 200 m<sup>2</sup>/σ)

The bioanalysis of the plasma corticosterone and DHEA was performed on an HPLC system connected to a charged aerosol detector (HPLC-CAD). Solution B was prepared prior to the preparation of the plasma samples for HPLC-CAD analysis. Solution B consisted of 2.5  $\mu$ g/ml dexamethasone internal standard solution in distilled water containing 2 % formic acid. Initially, 650  $\mu$ L plasma, 100  $\mu$ L of Solution B, and 3500  $\mu$ L of 100 % methyl tert-butyl ether (MTBE) were added to a 15 mL plastic centrifuge tube, whereafter the centrifuge tube was sealed and vortex-mixed for 2.5 minutes. The samples were then attached to a rotating mixer and mixed for 30 minutes. The samples were vortex-mixed for another minute before being centrifuged for 15 minutes at 4 °C and 1300 RCF (3500 RPM). After centrifugation, the clear supernatant was transferred to a 15 mL glass centrifuge tube, and the liquid line marked as a reference for later. The supernatant was then evaporated under a steady stream of nitrogen gas until the inside glass tube was dry. The residue

was then reconstituted with 150  $\mu$ L of 20 % methanol, followed by vortex-mixing for 1 minute whilst ensuring the liquid reached the demarcated liquid line. The glass centrifuge tube, with the reconstituted plasma sample, was centrifuged for 10 minutes at 4 °C and 1300 RCF (3500 RPM). Finally, the clear supernatant from each sample was transferred into glass inserts of amber autosampler vials to be injected onto the HPLC column. The HPLC instrumentation assembly included a Thermo Scientific<sup>TM</sup> Vanquish<sup>TM</sup> HPLC equipped with a Vanquish Charged Aerosol Detector F with Concentric Flow Nebulizer (Thermo Fisher Scientific), with a Venusil MP C18 HPLC column n (2.1 x 150 mm, particle size 3  $\mu$ m) connected thereto.

The software management system operated for the HPLC analysis was the  $Dionex^{TM}$  Chromeleon<sup>TM</sup> 7.2 SR5 (monoamines) and 7.3 (hormones) Chromatography Data Systems from Thermo Fisher Scientific.

Blood glucose and lactate concentrations were measured via portable analytical meters as described in Pfitzer [18]. The measurements of both biomarkers were then recorded together with the time at which the blood was drawn. Blood glucose concentrations were measured using two Contour® TS blood glucose meters and their appropriate Contour® TS test strips. On the other hand, blood lactate concentrations were measured using lactate strips in the Accutrend® Plus System (Cobas®, Roche Diagnostics).

All blood smears for microscopical examination were prepared in duplicate using the wedge technique, according to Carr [42]. After preparation of the blood slide, it was left to dry under ambient temperatures, where it was stored for staining and examination later. The slides were stained with a standard Hemacolor® Rapid staining kit (Merck®, Johannesburg, South Africa) and differential leukocyte counts were performed according to Koepke [42], whereby 100 identifiable leukocytes were counted per slide, using a trinocular light microscope at 60 x magnification, with each smear being counted twice.

### 2.3. Study Animals, Husbandry and Study Layout

For statistical analysis, RStudio Version 0.353 served as the primary tool for conducting the entire statistical analysis in this study. Using the lme4 package, restricted maximum likelihood linear mixed-effect models were employed to analyze changes over time in the measured biomarkers and ratios [43]. Time was considered a fixed factor, while subjects were treated as a random effect in these models. After model fitting, post-hoc tests were conducted using the Tukey adjustment for all pairwise comparisons. Next, Cohen's d values were computed with a 95 % confidence interval (95CI), drawing from the methodologies presented by Bakdash and Marusich [44] and Lenth, et al. [45]. Notably, only effect sizes classified as large  $(d \ge 0.8)$  were considered significant and reported, aligning with the criteria established by Percie du Sert, et al. [46]. Following the initial analyses, repeated measures correlation analyses (with type III analysis of variance; ANOVA) were performed to explore the correlations among various biomarkers. The rmcorr package, as outlined by Bakdash and Marusich [44], was employed for this purpose. No outliers were excluded from the datasets due to the limited sample size (n = 15). The criterion for statistical significance was set at p < 0.05. When the concentrations of the analytes were below the limit of detection/quantification, the lowest value detected (for that specific analyte) divided by two, was used as described in Beal [47] and Giskeødegård and Lydersen [48]. All graphs were generated and refined utilizing Graphpad Prism® (version 8).

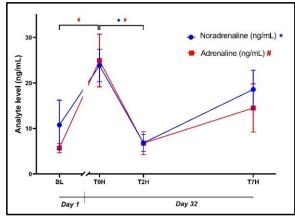
### 3. Results

### 3.1. Noradrenaline and Adrenaline

The initial ANOVA revealed that there was a statistically significant effect of time for plasma noradrenaline (**Figure 2**Error! Reference source not found.;  $F_{3,42} = 3.77$ , p = 0.018) and adrenaline (**Figure 2**Error! Reference source not found.;  $F_{3,56} = 4.56$ , p = 0.006) concentrations. Although BL and T0H concentrations of noradrenaline were comparable ( $10.77 \pm 21.15$  ng/mL  $vs. 23.82 \pm 13.85$  ng/mL, p = 0.10, d = 0.86 [0.11; 1.61]), concentrations at T2H ( $6.83 \pm 7.27$  ng/mL) was significantly decreased,

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compared to that at T0H (p = 0.02, d = 1.11 [0.35; 1.88]). As for plasma adrenaline, a statistically significant difference was identified between BL and T0H (5.70  $\pm$  4.01 ng/mL vs. 24.93  $\pm$  22.49 ng/mL, p = 0.01, 1.19 [0.43; 1.96]), whereafter it decreased towards T2H (6.76  $\pm$  9.71 ng/mL, p = 0.02, d = 1.13 [0.37; 1.89]).



**Figure 2.** Graphical representation of the change in noradrenaline and adrenaline levels post stress, over the time periods, as indicated. Plasma concentrations (ng/mL) of noradrenaline (blue dots; \*p < 0.05) and adrenaline (red squares; #p < 0.05) over time. Baseline blood withdrawal (BL; Day 1); Day 32 blood withdrawals with 7-hour transport simulation stress: blood withdrawal immediately post-capture (T0H); blood withdrawal two hours post-capture (T2H); blood withdrawal seven hours post-capture (T7H). Error bars indicate the standard error of the mean (SEM). Sample size (n) = 15.

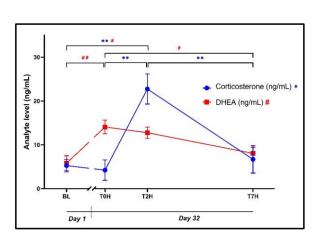
# $3.2.\ Corticosterone\ and\ Dehydroepiandrosterone\ (DHEA)$

The initial ANOVA on plasma data revealed statistical significance for the effect of time on plasma corticosterone (**Figure 3**:  $F_{3.56}$  = 10.52, p < 0.0001) and DHEA (**Figure 3**:  $F_{3.56}$  = 6.78, p = 0.0006) concentrations. Although BL corticosterone concentrations were comparable to T0H (5.25 ± 5.56 ng/mL vs. 4.23 ± 8.99 ng/mL, p = 0.99, d = 0.10 [-0.63; 0.83]), DHEA concentrations were significantly increased between BL and T0H (5.81 ± 6.55 ng/mL vs. 14.08 ± 6.04 ng/mL, p = 0.002, d = 1.43 [0.65; 2.21]).

Plasma corticosterone concentrations at T2H (22.73  $\pm$  13.26 ng/mL) were also significantly higher than levels at BL (5.25  $\pm$  5.56 ng/mL, p = 0.0002, d = 1.68 [0.88; 2.48]), T0H (4.23  $\pm$  8.99 ng/mL, p = 0.0001, d = 1.77 [0.97; 2.58]) and T7H (6.68  $\pm$  12.13 ng/mL, p = 0.0007, d = 1.54 [0.75; 2.33]).

Plasma DHEA concentrations were significantly increased between BL versus T2H (5.81  $\pm$  6.55 ng/mL vs. 12.76  $\pm$  4.95 ng/mL, p = 0.01, d = 1.20 [0.43; 1.97]), and significantly decreased between T0H and T7H (14.08  $\pm$  6.04 ng/mL vs. 8.05  $\pm$  5.50 ng/mL, p = 0.002, d = 1.43 [0.65; 2.21]).

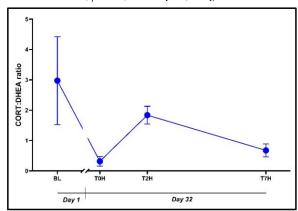
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**Figure 3.** Change in corticosterone and DHEA levels post stress, over the time periods as indicated. Plasma concentrations (ng/mL) of corticosterone (blue dots; \*\*p < 0.001) and dehydroepiandrosterone (DHEA; red squares; #p < 0.05; ##p < 0.001) over time. Baseline blood withdrawal (BL; Day 1); Day 32 blood withdrawals with 7-hour transport simulation stress: blood withdrawal immediately post-capture (T0H); blood withdrawal two hours post-capture (T2H); blood withdrawal seven hours post-capture (T7H). Error bars represent the calculated SEM. n = 15.

### 3.3. Corticosterone-to-DHEA (CORT:DHEA) Ratio

There was no influence of time on the CORT:DHEA ratio (**Figure 4**Error! Reference source not found.:  $F_{3,53} = 2.573$ , p = 0.06), as indicated by the initial ANOVA. This is supported by the comparable values between the different time points. Interestingly, T0H values strongly trended to decrease from BL values (0.31  $\pm$  0.61 vs. 2.97  $\pm$  5.62, p = 0.07, d = 0.91 [0.16; 1.67]).



**Figure 4.** Change in the CORT:DHEA ratio post stress over the time periods, as indicated. Base-line blood withdrawal (BL; Day 1); Day 32 blood withdrawals with 7-hour transport simulation stress: blood withdrawal immediately post-capture (T0H); blood withdrawal two hours post-capture (T2H); blood withdrawal seven hours post-capture (T7H). Error bars represent the calculated SEM. n = 15.

### 3.4. Glucose and Lactate

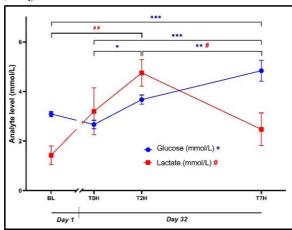
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The ANOVA indicated a statistically significant effect of time for plasma glucose (**Figure 5**: F<sub>3</sub>, 42 = 24.51, p < 0.0001) and lactate (**Figure 5**: F<sub>3</sub>, 42 = 6.31, p = 0.001). However, the glucose concentrations at BL (3.07 ± 0.42 mmol/L) and T0H (2.65 ± 0.67) were comparable (p = 0.40, d = 0.58 [-0.17; 1.33]), as were the lactate concentrations at BL (1.43 ± 1.46 mmol/L) and T0H (3.20 ± 3.67 mmol/L, p = 0.13, d = 0.82 [0.07; 1.58])

Average glucose concentration increased significantly from T0H to T2H (3.65  $\pm$  0.71 mmol/L, p = 0.003, d = 1.35 [0.57; 2.14]). Also, GLUC concentration at T7H (34.83  $\pm$  1.64 mmol/L) was significantly higher than at BL (p < 0.0001, d = 2.37 [1.50; 3.24]), at T0H (p < 0.0001, d = 2.95 [2.00; 3.89]), and at T2H (p = 0.001, d = 1.60 [0.79; 2.40]).

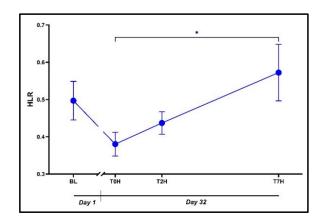
As for lactate, concentrations increased significantly from BL to T2H ( $4.75 \pm 2.08$  mmol/L, p = 0.001, d = 1.54 [0.75; 2.34]), whereafter it returned to baseline levels at T7H ( $2.47 \pm 2.56$  mmol/L, p = 0.03, d = 1.06 [0.29; 1.82]).



**Figure 5**. Change in glucose and lactate levels post stress over the time periods, as indicated. Plasma concentrations (mmol/L) of glucose (blue dots;  $^*p < 0.05$ ;  $^{**}p < 0.001$ ;  $^{***}p < 0.0001$ ) and dehydroepiandrosterone (red squares;  $^*p < 0.05$ ;  $^{**}p < 0.001$ ) over time. Baseline blood withdrawal (BL; Day 1); Day 32 blood withdrawals with 7-hour transport simulation stress: blood withdrawal immediately post-capture (T0H); blood withdrawal two hours post-capture (T2H); blood withdrawal seven hours post-capture (T7H). Error bars represent the calculated SEM. n = 15.

3.5. Heterophil-to-Lymphocyte Ratio (HLR)





**Figure 6.** Change in HLR levels post stress over the time periods, as indicated. Baseline blood withdrawal (BL; Day 1); Day 32 blood withdrawals with 7-hour transport simulation stress: blood withdrawal immediately post-capture (T0H); blood withdrawal two hours post-capture (T2H); blood withdrawal seven hours post-capture (T7H). \*p < 0.05. Error bars represent the calculated SEM. n = 15.

### 3.6. Correlations

Only biomarker correlations that achieved statistical significance are presented in **Table 1** Significance for Spearman's r was set at varying levels of significance. A weak significance being  $0.1 \ge r > 0.3$ , a moderate significance being  $0.3 \ge r > 0.5$ , and a strong significance being  $r \ge 0.5$ . This applies to all correlations with the addition of a 95 % CI that should not include 0.

Table 1. Summary of the correlations found between the various biomarkers and ratios.

Interaction	<i>r</i> -value	Correlation	95 % CI
Adrenaline vs. Noradrenaline	0.666	Strong positive	[0.519; 0.775]
DHEA 1 vs. Corticosterone	0.32	Moderate positive	[0.102; 0.509]
Lactate vs. Corticosterone	0.397	Moderate positive	[0.188; 0.571]
HLR 2 vs. Glucose	0.561	Strong positive	[0.384; 0.698]

<sup>&</sup>lt;sup>1</sup> DHEA: Dehydroepiandrosterone; <sup>2</sup> HLR: Heterophil-to-lymphocyte ratio

### 4. Discussion

The biomarkers analyzed were found to be valid indicators of acute stress, with noradrenaline and adrenaline demonstrating rapid reactivity to a stressor, while noticeable effects on HLR were only seen over time. The corticosterone response displayed a range of downstream effects that translates to a varying response dependent on the biomarker assayed. Thus, we observed correlations between DHEA and lactate following the acute response, whilst stress displayed temporal effects on glucose and HLR. Finally, acute stress was associated with fluctuations in the CORT:DHEA ratio, underscoring its reactivity and swift response to a stressor and validating its inclusion in investigations pertaining to the crocodilian stress response.

Captive and wild animals experience different types of stressors but are dependent on the same basic adaptive mechanisms to ensure survival [48]. The initial factors involved are the SAM axis and its effector hormones noradrenaline and adrenaline. Both have very short half-lives, viz, 10 to 100 seconds [49], and manifest change transiently. In fact, their concentrations increase within a few minutes (< 2 min) following an acute environmental stressor [10] and show a rapid decline within 60

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minutes [19]. On the other hand, the HPI axis may take up to 15 minutes post stress to show an increase in corticosterone, with up to an hour before many of its distal effects become manifest [15,16]. This duration of effect is dependent on the duration and severity of the stressor and the integrity of the HPI negative feedback system after the dissipation of the stressor [15,16]. Catecholamines ensure immediate organism survival, while HPI axis hormones ensure long-term adaptation and homeostasis maintenance [10,13]. Previous studies [7,29,30] have focused on the crocodilian stress response, examining plasma monoamines, metabolic markers like glucose and lactate, and glucocorticoids such as corticosterone. Apart from assessing multiple biomarkers, here DHEA was also explored both in its independent capacity and when utilized in conjunction with corticosterone, i.e., CORT: DHEA. Moreover, the study utilized animals as their own control versus that over time, enhancing baseline integrity.

An interesting pattern emerged regarding the catecholamines (see Figure 2). While low concentrations of both noradrenaline and adrenaline were observed on Day 1 (BL pre-stress blood collection), as could be expected, baseline measurement on Day 32 that preceded the stressor (T0H) showed these values to have increased nearly 3-fold. Due to their short plasma half-life [49], these effects are unlikely to represent an adverse response to the previous four weeks of habituation/acclimatization, but rather to an acute pre-emptive increase in catecholamine levels prior to the application of the stressor. Indeed, these findings concur with those of Lance and Elsey [7] who described a similar pre-stress increase in adrenaline. The underlying mechanisms are speculative but may represent some form of pre-cognition during this habituation-pre-stress period. Further study is warranted.

Considering noradrenaline and adrenaline (see Figure 2), both catecholamines followed a similar zig-zag pattern across the blood collection points, with a near significant trend in their absolute concentrations ( $p \le 0.05$ ;  $d_{\text{NA(BL vs. T7H)}} = -0.51$ ;  $d_{\text{Adr(BL vs. T7H)}} = -0.55$ ) at any of the collection points, reaffirming their shared release pattern. Notable differences within each analyte included the difference between T0H and T2H for both noradrenaline (p = 0.02, d = 1.11 [0.35; 1.88]) and adrenaline (p = 0.02, d = 1.13 [0.37; 1.89]), possibly indicating that T0H might have been collected near the peak of their release. There was however a non-significant, yet notable, difference between T2H and T7H ( $d_{\text{NA}} = 0.77$ ;  $d_{\text{Adr}} = -0.48$ ) for both monoamines. The latter being a possible secondary peak stemming from resistance to handling following the dissipation of the effects of electrical immobilization.

Measuring noradrenaline and adrenaline are often maligned as stand-alone biomarkers of the response to stress due to their short biological half-lives and rapid release patterns. Nevertheless, these catecholamines remain essential when investigating the acute stress response of crocodilians. When interpreted together with complementary analytes such as corticosterone, glucose, lactate, and immune-inflammatory markers, e.g., HLR, they provide a clearer picture of the stress response over time. This progression extends from its immediate "fight-or-flight" response to its more complex temporal effects that determine the eventual adaptive or maladaptive capacity of the animal.

By stimulating aerobic glycolysis, gluconeogenesis, and glycogenolysis [17,50], noradrenaline and adrenaline are hyperglycemic hormones. This, together with the delayed but more sustained metabolic influences of corticosterone, provides the muscles and supportive structures with much-needed energy substrates that can be broken down and utilized during the "fight-or-flight" response [10]. The impact of catecholamines on the glycemic levels in the body therefore manifests significantly faster ("acute" effects) than that of corticosterone ("late" effects) [50]. This supports the pattern of plasma glucose levels depicted in Error! Reference source not found. Here we observed a non-significant decrease in plasma glucose between BL and T0H (d=0.58), suggesting the potential absence of a perceived chronic stressor and, consequently, the lack of sustained elevation in corticosterone levels. However, this might also be attributed to naturally low blood glucose levels resulting from the limited feed ingested during the treatment period. Studying the three collection points on Day 32, plasma glucose increased progressively and significantly with each interval/sampling point. As with other animals and humans, we expected to see an initial increase in blood glucose following the acute stressor, especially since noradrenaline and adrenaline tended to be elevated. This, however, was not the case, possibly due to differences related to the slow

metabolism of crocodiles/reptiles compared to mammals [4,8]. While the acute hyperglycemic effects of noradrenaline and adrenaline may have been overlooked due to the timing of blood samples, the "late" or temporal effects of corticosterone, as evidenced by the gradual increase in glucose levels over time (T2H to T7H), could be responsible for this phenomenon and hence associated with the patterns of corticosterone activity, as discussed later. Anticipated hyperglycemia, stemming from the pre-emptive surge in catecholamines presented earlier, was not evident in the initial blood collection (T0H). This absence may be attributed to a delayed onset resulting from multi-system activation and their resulting effects on various metabolic processes, in particular the temporal effects of corticosterone on glucose homeostasis that manifest later [17,50].

With an increase in blood glucose forming an essential part of the acute stress response, the importance of monitoring lactate becomes evident. Hyperlactatemia is well known to be accompanied by adrenaline-induced hyperglycemia due to the release of lactate from muscle tissues for use by the liver to produce glucose [30,50]. Together with pyruvate, lactate is a by-product of glucose metabolism produced under conditions of anaerobic metabolism [29,50]. Crocodilians rely significantly on anaerobic metabolism during rigorous muscle utilization such as when captured, or during naturally demanding physical activities such as hunting or territorial disputes with other crocodilians [23,51]. Even though small quantities of lactate are safe, if left unchecked it can result in lactic acidosis, and ultimately result in potentially fatal metabolic acidosis [23,52].

A strong correlation exists between lactate, glucose, and corticosterone [23,53], although this was only partly the case in our investigation (see *Figure 3*, Error! Reference source not found. and **Table 1**Error! Reference source not found.). Despite a non-significant increase in lactate (d = -0.72) between T0H and T2H, this trajectory coincided with a simultaneous significant increase in glucose (Error! Reference source not found.) and corticosterone (**Figure 4**) during the same time interval. This increase also followed the initial increase in catecholamines (**Figure 2**Error! Reference source not found.), very likely due to increased lactate levels resulting from the exhaustion of capture and restraint at T0H. Furthermore, a significant decrease in lactate was observed between T2H and T7H (p = 0.03). This reduction suggests an effective breakdown of lactate, thus contributing to the restoration of homeostasis. Intriguingly, the moderate positive correlation of lactate with corticosterone (r = 0.40; **Table 1**Error! Reference source not found.) reaffirms the extensive interplay between different biomarkers in the stress response. Despite not having a direct relationship, lactate and corticosterone exhibit similar trajectories, possibly due to their connections with shared biomarkers like glucose, noradrenaline, and adrenaline [18].

The immune system and its subsequent inflammatory responses are especially affected by glucocorticoids [13,31]. The effect of corticosterone is mainly immunosuppressive and ultimately damaging if sustained for extended periods [54], expressed by the immunosuppressive biomarker HLR. Increased HLR suggests reduced lymphocytes and an indicator of stress-induced immunosuppression [27,55], attributable to corticosterone decreasing circulating lymphocytes (lymphocytopenia) and increasing heterophils (heterophilia; [13,26]). These actions result in a weakened inflammatory response as well as increased susceptibility to infection [28]. HLR is regarded as a better measure of chronic as opposed to acute stress [18], although here we have observed both acute and chronic changes to the HLRs in acutely stressed crocodiles (see Figure 6).

A slightly decreased HLR between BL and T0H (d = 0.761) could suggest a small improvement in the acclimation status of the animals following the standard housing period (Day 1 to Day 32), although the absence of a statistically significant difference does not fully support this assumption (p = 0.17). A significant exponential increase in HLRs was however seen in the hours immediately after the acute stressor, viz. T0H vs. T7H (p = 0.007; d = 1.25). Importantly, these sequential and opposing findings correspond with the effects of corticosterone and DHEA. Between T0H and T2H we noted a nonsignificant trend towards an increase in HLRs (p = 0.74; d = 0.37). While speculative, this suggests a potential association with the initial immunosuppressive effects of corticosterone. Thereafter, a further increase in HLR was noted between T2H and T7H (p = 0.09; d = 0.88) and, while again presumptive, resembles the temporal immunosuppressive effects of corticosterone. This trend coincided with the waning concentration of DHEA, potentially indicating the dissipation of its

immunostimulatory effects. In support of this work, Lance and Elsey [7] also report an acute increase in the HLR ratio following an acute stressor.

Glucocorticoids, such as corticosterone, are seen as golden standard biomarkers when investigating acute and chronic stress responses [10,30]. Here corticosterone levels (**Figure 3**) increased significantly between blood collection at T0H to that of T2H (p = 0.001), followed by a significant decrease at T2H versus T7H (p = 0.007). The absence of significant differences between BL and T0H (p = 0.993) could indicate the absence of chronically stressed individuals, further highlighting the gradual rise of corticosterone plasma levels over time. Taken together these corticosterone changes not only depict the presence of a rapidly responsive HPI axis to a stressor but also one that is under tight control following the dissipation of the situational stressor, resulting in appropriate negative feedback on the HPI axis and lowering of plasma corticosterone levels. Interestingly, our study design and results emulate that observed in American alligators [7,29], thus providing validity for the interpretation of other co-measured biomarkers.

Importantly, the reduction in plasma corticosterone between T2H and T7H does not necessarily imply a parallel diminishment in the physiological effects of corticosterone despite its reduced plasma levels. Corticosterone binding to its nuclear DNA receptor represents an immediate early gene expression event that sets in motion important metabolic, immune, and other physiological effects that are slow/"late" to begin yet are sustained over time [22,28]. These processes cause temporal/lasting effects even when the plasma concentrations are low, unlike the effects seen from catecholamines [56]. This corresponds with the sustained increases seen in glucose (Error! Reference source not found.) and the HLR (**Figure 6**) as well as the positive correlation (r = 0.476) between these two biomarkers (**Table 1**).

Glucocorticoids, like corticosterone, also have their limitations when viewed in isolation and are thus frequently used in combination with other biomarkers to bolster translational capacity [20]. Investigative problems do however arise with the inability of corticosterone to differentiate between detrimental/prolonged and beneficial/essential releases of glucocorticoids [57] and to tease out dysfunctional states of the HPI axis [54]. This can be addressed by adding other HPA/HPI-derived biomarkers, such as DHEA. The value of DHEA as a stress biomarker in crocodilians remains to be realized [54], despite being implicated in adaptive or species-appropriate behaviors such as aggression and territorial behavior [20]. DHEA is a "glucocorticoid antagonist" and immunostimulant, among others (see Whitham, Bryant and Miller [20] and Dutheil, et al. [58] for review), and is sensitive to the effects of chronic stressors. Since dysregulation of the HPI axis leads to a reduction in DHEA, it may be used to detect and mitigate the possible causes and effects of chronic stress.

In humans, DHEA, like noradrenaline and adrenaline, is released from the adrenal glands with levels rising and diminishing rapidly [59] and hence may represent an early anti-stress or stress-coping hormone. As noted, while the role of DHEA in crocodilians is unknown, these characteristics could account for the significantly increased DHEA levels observed between BL and T0H (p = 0.002), suggesting a pre-emptive release as earlier observed with the catecholamines (**Figure 3**). Its gradual decrease between T0H and T7H (p = 0.03) is not only inversely proportional to HLR levels over the same period but also to glucose levels. Both these effects correspond to declining DHEA levels following its initial release, whereby the antagonistic action of DHEA on glucocorticoids weakens [20] leading to a subsequent increase in HLR (**Figure 6**) and glucose (Error! Reference source not found.)

The significant positive correlation between corticosterone and DHEA (r =0.32; **Table 1**) led us to further investigate the concurrent use of these biomarkers in the context of stress, especially the use of the CORT:DHEA ratio (**Figure 4**). By depicting net glucocorticoid activity instead of the levels of each glucocorticoid alone, this ratio demonstrates physiological homeostasis and informs on inherent HPI axis dysfunction [20]. It has therefore been connected to immune function/immunosenescence, treatment-resistant depression, anxiety, and stressful life events in humans [20], hence of potential value in neuropsychiatric conditions. Research conducted in livestock has revealed that DHEA analysis is useful in assessing stress under transportation conditions,

environmental factors, and husbandry [58,60]. As described here, increased CORT:DHEA ratio was associated with an activation of the stress response (i.e., increasing corticosterone), with acutely increased DHEA levels representing the body's attempt to reverse this imbalance, reduce stress, and restore homeostasis.

Although the CORT:DHEA ratio (**Figure 4**) did not show any significant interactions, except for a non-significant decrease (p = 0.07; d = 0.91) between BL and T0H, this response can represent an unabated net glucocorticoid effect in the animal. Again, regardless of the insignificance between the Day 32 collection points, the return of the ratio to near pre-stress levels (T0H) is indicative of a healthy and functional stress response and negative feedback system in these crocodiles. Lance and Elsey [7] described a possible biphasic response to stress via corticosterone with a significantly higher peak emerging after 48 hours post-stressor, whereas Franklin, Davis, Peucker, Stephenson, Mayer, Whittier, Lever and Grigg [29] observed this same pattern to a lesser extent at 24 hours post-stressor. Neither of the latter two studies assessed DHEA and hence the CORT:DHEA ratio. By using the CORT:DHEA ratio, we have attained a deeper understanding of this extended response to stress that could be utilized when monitoring crocodilian welfare and when considering or assessing treatment options.

Some limitations should be noted in this study. Crocodiles are ectothermic poikilotherms and are particularly susceptible to seasonal changes. Since the study was conducted during late summer, it is possible that warmer conditions might yield less variation and a more robust stress response. Here, brumation could have compromised the maximal stress response, so assessing stress-related anorexia would strengthen the findings. Finally, data could have benefitted by increasing the number of sampling times, although at the risk of damaging the blood vessel (post-occipital spinal venous sinus).

### 5. Conclusions

The detrimental effects of stress are a major concern for CCFs, who may suffer major financial and animal losses due to inadequate husbandry practices [2]. An insufficient understanding of the stress response of these animals hampers effective stress management practices. This investigation describes an association between traditional "acute" and "late" stress biomarkers, such as the catecholamines and HPI axis hormones, highlighting their temporal change within the context of stress and survival. The plenitude of downstream effects of corticosterone is evident with simultaneous effects on lactate, glucose and HLR, providing an indication of why its dysregulation could be deleterious to the welfare of the animal. Furthermore, concurrent use of DHEA with corticosterone is a useful addition to the armamentarium of biomarkers that may inform on the overall stress status of the animal.

Author Contributions: Conceptualization, BHH and JGM; Methodology, AAS, FPV, CT, BHH and JGM; Validation, AAS and FPV; Formal Analysis, AAS and FPV; Investigation, AAS and BHH; Resources, BHH and JGM; Data Curation, AAS, FPV, CT, BHH and JGM; Writing – Original Draft Preparation, AAS; Writing – Review & Editing, AAS, FPV, CT, BHH and JGM; Visualization, AAS; Supervision, BHH and JGM; Project Administration, BHH and JGM; Funding Acquisition, BHH. (AAS: Mr. Andre A. Swanepoel; BHH: Prof Brian H. Harvey; CT: Dr Christoff Truter; FPV: Dr Francois P. Viljoen; JGM: Prof Jan G. Myburgh).

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Institutional Review Board Statement: The study was conducted according to the guidelines of the Declaration of Helsinki, the 3 Rs of Ethics when using Animals in Research and the ARRIVE guidelines. Ethical approval for the investigation was provided by the Committee for Research Ethics and Integrity of the University of Pretoria Animal Ethics Committee (UPAEC; approval date: 30/10/2023; approval number: REC124-22), deemed the oversight committee, as well as the North-West University Animal Care, Health and Safety Research Ethics Committee (NWU-AnimCare-REC; approval date: 23/02/2023; approval number: NWU-00786-22-A5). Standardized and ethical practices, as well as adherence to the South African National Standard 631:2009, were

adhered to by all parties involved and were monitored by the above two ethics committees, most notably the oversight committee (UPAEC).

**Data Availability Statement:** The raw data supporting the conclusions of this article will be made available by the authors upon request. Corresponding author: Professor Brian H. Harvey brian.harvey@nwu.ac.za.

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**Conflicts of Interest:** In accordance with professional standards and ethical guidelines, the research team involved in conducting the present study declares no conflicts of interest.

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