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Article

# Non-linear Relationship between MiRNA Regulatory Activity and Binding Site Counts on Target mRNAs

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Abstract: MicroRNAs (miRNA) exert regulatory actions via base-pairing with their binding sites on target mRNAs. Cooperative-binding, i.e., synergism, among binding sites on a mRNA is biochemically well-characterized. We studied whether this synergism is reflected in global relationship between miRNA-mediated regulatory activity and miRNA-binding-site count on the target mRNAs, i.e., leading to a non-linear relationship between the two. Recently, using our own and public datasets, we have enquired into miRNA regulatory actions: first, we analyzed the powerlaw distribution pattern of miRNA binding sites; second, strikingly, mRNAs for core miRNA regulatory apparatus proteins have extra-ordinarily high binding site counts, forming selffeedback-control loops; third, we revealed that tumor suppressor mRNAs generally have more sites than oncogene mRNAs; and fourth, we characterized enrichment of miRNA target mRNAs in translationally less-active polysomes relative to more-active polysomes. In these four studies, obvious correlation was observed qualitatively between the extent to which a mRNA is miRNAregulated and its binding sites count. This paper summarizes the used datasets. We also re-analyzed the correlation by comparative linear and non-linear regression analyses. The results support a nonlinear, instead of linear, relationship between the two parameters, conceivably a transcriptome-level reflection of cooperative-binding/synergism among miRNA binding sites on a target mRNA.

**Keywords:** miRNA (microRNA); miRNA binding site; cooperative binding; synergism; linear regression; non-linear regression; polynomial regression

#### 1. Introduction

MicroRNAs (miRNAs) are short, single-stranded noncoding RNAs typically comprising 22 nucleotides, with lengths ranging between 21 to 25 nucleotides, existing in almost all metazoans including flies, plants and mammals [1]. In the canonical miRNA biogenesis pathway, primary miRNA (pri-miRNA) is transcribed within the nucleus by RNA polymerase II (Pol II), begins with a 7-methylguanosine cap (m7Gppp) and ends with a 3' poly(A) tail [2]. Pri-miRNA possesses a stemloop structure, subject to cleavage by the endonuclease Drosha in concert with its partner DGCR8 [3]. The resultant precursor miRNA (pre-miRNA) is subsequently exported from the nucleus via exportin 5 and undergoes further cleavage by the endonuclease Dicer, accompanied by its partner TRBP, leading to the liberation of a miRNA guide strand-miRNA passenger strand duplex. This duplex is then assembled into an Argonaute (AGO) protein complex alongside chaperones, forming a double-stranded RNA (dsRNA) configuration [4]. Through subsequent maturation steps, the miRNA passenger strand is expelled, resulting in the formation of a mature single-stranded RNA-induced silencing complex (RISC) [5].

Some miRNA genes are located within the introns of other genes, thereby biosynthesizing the miRNA through non-canonical pathways [6]. In such instances, Pre-miRNAs, termed branched Mirtron, are spliced directly out of introns of the mRNA encoding host genes [7]. Branched Mirtrons undergo lariat debranching, bypass Drosha cleavage, and are exported by Exportin 5 [8]. Following

export, they are processed by Dicer and ultimately loaded into RISC. In the specific case of vertebrate miR-451, its unusually short pre-miRNA hairpin can escape Dicer processing after nuclear export and is instead directly loaded into the AGO protein, which triggers its maturation into a single-stranded miRNA RISC [9–12].

RISC serves as a guide for miRNAs to recognize complementary sequences located in the 3' untranslated regions (3'-UTR) of target mRNAs. Upon this binding, RISC inhibits the mRNA through two primary modes: decay and translational repression [13].

The miRNA regulatory actions in plants and animals are different. In plants, most miRNAs are loaded into AGO1, a member of the Ago family that has endonuclease activity, and the RISCs target mRNAs containing perfect or near-perfect complementarity sites, leading to direct cleavage of the target mRNA [14,15]. Conversely, in animals, miRNAs loaded into AGO2 protein are typically base pair with imperfect complementarity, particularly via a 2-8 nucleotide sequence situated at their 5' end to the 3'-UTR (untranslated region) of their target, known as the seed region [16,17]. Animal RISCs induce target mRNA decay not through endonucleolytic cleavage by AGO2 but by guiding the mRNA to the general mRNA degradation machinery [18]. This process entails initiating deadenylation, recruiting the decapping complex, and subsequently degrading the mRNA via exonucleases, unless the miRNA exhibits full complementarity to its target [19,20]. On the other hand, the miRNA-AGO2 complex can repress target mRNA translation activity through various mechanisms, including inhibiting translation initiation, repressing 60S subunit joining, blocking peptide chain elongation, promoting ribosomal drop-off, or facilitating nascent protein proteolysis [13,21,22]. However, the specific mechanism in the miRNA regulated translation repression currently remains a subject of controversy.

As miRNA is vital for a broad range of fundamental processes, such as development, immune and neuronal function, and metabolic homoeostasis, defective miRNA biogenesis and/or function underlies multiple human diseases [23–26], underscoring significance of miRNA research – a prominent biological and biomedical topic ever since its initial discovery. Yet, key gaps and challenges remain, *e.g.*, the short (6-8 bases) and mismatch-tolerant miRNA binding sites that enable a miRNA to target a huge number of mRNAs and a mRNA be targeted by many miRNAs. This complexity in miRNA-target relationship imposes challenges, even controversies, in experimental result interpretation [27]. And, cooperation/synergism among binding sites, though biochemically well-characterized [28], remains to be studied at whole transcrptome level.

Using both our own and public datasets, we recently enquired into miRNA regulatory actions. We analyzed the power-law distribution pattern of miRNA binding sites [29]. Strikingly, mRNAs for core miRNA regulatory apparatus proteins have extra-ordinarily high binding site counts, forming self-feedback-control loops [30]. Tumor suppressor mRNAs generally have more sites than oncogene mRNAs [31]. And, we characterized enrichment of miRNA target mRNAs in translationally less-active polysomes relative to more-active polysomes [32]. This paper summarizes the datasets used in these four studies.

We also observed correlation between how much a mRNA is miRNA-regulated and its binding sites count. Our re-analyses of the correlation in this study support a non-linear, instead of a linear, relationship between the two, conceivably a reflection of cooperation/synergism among miRNA binding sites.

### 2. Materials and Methods

# 2.1. RNA-Seq Datasets of Wildtype and miRNA-Biogenesis-Deficient Cells

As discussed in the Introduction, in canonical miRNA biogenesis pathways, Drosha functions as the RNase responsible for cleaving pri-miRNAs into pre-miRNAs, while Dicer1 RNase is pivotal in processing pre-miRNAs into 22-nucleotide miRNA duplexes. Mutations of key miRNA biogenesis enzymes result in deficiency in miRNA biogenesis deficiency. To investigate the effects of this deficiency on the transcriptome, we obtained the RNA-seq dataset published by Kim et al. [33,34], from the NCBI GEO dataset, under accession number GSE80258. Total RNA was isolated from both wild-type and DROSHA knockout HCT116 cells, followed by sequencing on a HiSeq 2500 (Illumina)

platform. Nucleotides displaying low-quality values were filtered out. Subsequently, we aligned the sequencing reads to the human reference genome (hg38) using STAR alignment software and determined gene expression levels with HTSeq-count software. The resulting counts were normalized to reads per kilobase of transcript per million mapped reads (RPKM). Our analysis focused solely on identified protein-coding genes for expression profiling in this study.

Zheng et al. (2014)[35] conducted a comparative RNA-seq analysis of transcriptome variations in wild type and Dicer1 deficient mouse embryonic stem cells (mESCs), which can also be applied to study the role of miRNA in regulating transcriptome. The RNA-seq dataset associated with this study is public at the NCBI GEO database under accession number GSE55338. The experimental procedure involved isolating total polyadenylated RNA from both WT (two biological replicates) and Dicer1-KO (three biological replicates) mESCs, followed by sequencing on the Hi-Seq 2000 Illumina platforms. DESeq29 was applied to normalize reads between samples. Upon data retrieval, mRNAs with a minimum of two replicates showing >1 normalized reads were selected, and the log2 fold change between WT and Dicer1-KO cells was calculated. Gene identifiers were converted to gene symbols and annotated with biotype information by accessing the Ensembl database (release 104) through the R package BiomaRt (version 2.46.3) [36]. Only protein-coding genes were included for expression analysis in this investigation.

#### 2.2. Comparative Polysome Profiling Datasets of Wildtype and miRNA-Biogenesis- Deficient Cells

During mRNA translation, multiple ribosomes simultaneously traverse the coding region of mRNA, forming a polysome. The majority of actively translating ribosomes in cells exist as polysomes, with multiple ribosomes loaded onto a single transcript. Polysome profiling is a technique that uses next generation sequencing (NGS) to analyze polysome-associated, and thus translating, mRNAs. In our analysis, we separated the translationally less-actively light polysomes and the more-active polysomes through sucrose gradient centrifugation [37]. We previously cultured wild type and Dicer1 knockout HCT116 cells, conducted polysome separation, and collected the light polysomes (2- to 9-mers) and heavy polysomes (10-mers or more) fractions, respectively [30]. RNA samples were extracted from these fractions, cDNA libraries were constructed, and RNA-seq was performed using the BGI America DNBseq sequencer. Low-quality reads and multiplexing barcode sequences were filtered out. The resulting dataset was deposited into the NCBI GEO database with the accession number GSE134818. For this study, only transcripts of identified protein-coding genes with minimum expression >0 and maximum expression >1 across all samples were retained.

#### 2.3. Evolutionarily Conserved miRNA Binding Sites Count

The compilation of evolutionarily conserved human and mouse miRNA binding sites was described in the study conducted by Agarwal et al. and retrieved from the TargetScan database 7.1 (June 2016 release) [38]. The methodology was previously outlined in our research [31]. For each mRNA, the number of unique conserved miRNA binding sites on its 3′-UTR was calculated, and used as miRNA binding site count in the current research.

#### 2.4. Statistical Analysis

The R open-source software (version 4.0.2) and the MATLAB software were used for data analysis and plotting. R was used for statistical sample normalization, data processing and LOESS regression. Polynomial and exponential regressions as well as plotting were done with MATLAB. Degree 1 polynomial regression is the same as the simple linear regression. Degree 2 and higher degree polynomial regressions are non-linear.

To compare the linear and non-linear regression, we used the anova function, as the linear (degree 1 polynomial) model is nested inside the non-linear models. The function outputs a F-ratio and a p-value, thus statistically quantifying the improvement from the linear to the non-linear models. We found degree 2 polynomial regression was sufficient for all datasets in this study, as increasing the degree to 3 or higher did not lead to significant improvement.

#### 3. Results

#### 3.1. Description of RNA-Seq and Polysome Profiling Datasets Used in the Previous Studies

The RNA-seq datasets used in our previous studies described above is summarized in Table 1. Zheng et al. (2014) [35] collected two replicates from wildtype mESCs and three replicates from Dicer knockout mESCs and analyzed the expression of lncRNAs and mRNAs. A total of 43,956 transcripts were included in the RNA-seq dataset (GSE55338), with 16,730 transcripts identified as protein-coding genes. Among these protein-coding genes, 15,104 transcripts of identified protein-coding genes with at least two replicates had expression levels >1 were retained for further analysis in the current study. Subsequently, the ORF and miRNA-binding site counts dataset was examined, revealing that 13,611 protein-coding genes had available information for these features. Thus, the final analysis included 13,611 protein-coding genes in the current study.

In the study by Kim et al. [33,34], one replicate each from wildtype HCT116 cells and Drosha knockout HCT116 cells were included, and the poly(A) enriched RNAs from each sample were subjected to RNA-seq analysis. The expression profiles of these genes were extracted from the RNA-seq dataset (GSE80258), while corresponding ORF lengths and miRNA-binding site counts were summarized according to the methodology outlined in the Materials and Methods section. A total of 18,098 transcript reads were computed from each cell line, with 15,112 transcripts identified as protein-coding genes, whose ORF length and miRNA-binding sites count data are also available.

Samples		<b>Total Reads</b>	NCBI SRA ID	NCBI GEO ID
mESCs GSE55338	Dcr KO1	185,933,955	SRR1176702	GSM1334360
	Dcr KO3	207,509,665	SRR1176703	GSM1334361
	Dcr KO4	186,427,767	SRR1176704	GSM1334362
	Dcr WT rep1	89,965,743	SRR1176705	GSM1334363
	Dcr WT rep1	170,831,915	SRR1176706	GSM1334364
HCT116	WT	198,937,194	SRR3380994	GSM2122814
GSE80258	DroKO	196,007,170	SRR3380995	GSM2122815
	WT-Heavy	35,352,261	SRR9829887	GSM3972384
HCT116	WT-Light	35,351,828	SRR9829888	GSM3972385
GSE134818	KO-Heavy	35,272,114	SRR9829889	GSM3972386
	KO-Light	37,403,191	SRR9829890	GSM3972387

Table 1. Summary of sequencing reads and transcriptome statistics.

Finally, in a previous study, we investigated the mRNA expression profiles of light polysome fractions and heavy polysome fractions between HCT116 wildtype cells and Dicer knockout cells (GSE134818). Our findings revealed that miRNAs tend to target mRNAs with longer open reading frames (ORFs), and miRNA-targeted mRNAs exhibit enrichment in translationally less active light polysomes [30]. The polysome profiling dataset utilized in this study comprised a total of 26,281 transcripts, out of which 11,380 transcripts of identified protein-coding genes with minimum expression >0 and maximum expression >1 across all samples were retained in the study.

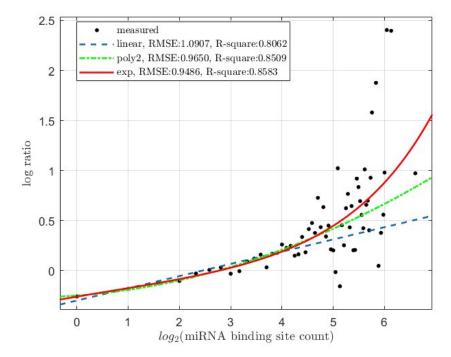
Here, we used the three datasets to re-analyze the previously observed correlation between the degree to which a mRNA is miRNA-regulated and its miRNA binding sites count and, more importantly, examine whether the synergism among the binding sites is reflected in the relationship.

5

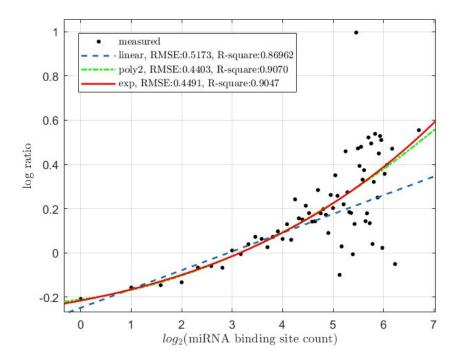
There are two parameters frequently used in assessing miRNA-mediated regulatory activity. The first one is enhanced target-mRNA degradation. The second is inhibition of target-mRNA translation activity. We used both in this study. As in previous studies, we also binned the mRNAs according to the miRNA binding site count, *i.e.*, one bin per binding site count and mRNAs in a bin all have the same count. This binning is necessitated by the binding-site-count power-law distribution pattern, which is followed by many other biological parameters and obscures/hides potential trends without the binning [39].

#### 3.2.1. Target mRNA Degradation

Based on our understanding of miRNA's regulatory role in mRNA degradation and our previous observations, the deficiency in miRNA production due to Dicer1 or Drosha deletion in mutant cells should lead to an increase in the expression levels of miRNA-targeted mRNAs compared to wildtype cells, and the extent of this expression change should correlate with the count of miRNA binding sites. To test this, we calculated the average log-ratio of expression fold change (log<sub>2</sub>(KO/WT)) between Dicer1 knockout and wildtype (Figure 1) as well as Drosha knockout and wildtype (Figure 2) cells in each mRNA bin, and analyzed the log-ratios versus the miRNA binding site counts. Not surprisingly, there is an obvious trend shown in the Figure 1 that the degree of expression change induced by Dicer knockout (as indicated by the log<sub>2</sub>(KO/WT) log-ratio) increases with the number of miRNA binding sites in the mESCs. A similar trend was also observed in the HCT116 cell lines (Figure 2). Thus, miRNAs enhance mRNA degradation, *i.e.*, downregulating target-mRNA abundance in the transcriptome, and the degree of repression correlates well with the number of miRNA binding sites on the target mRNAs.



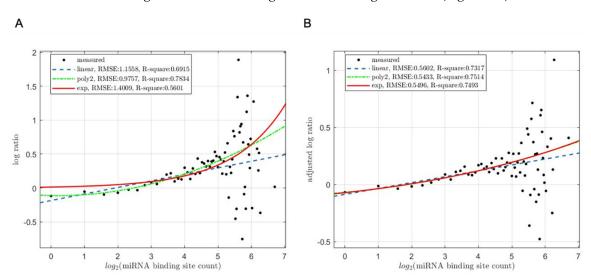
**Figure 1.** The average log-ratio of expression-level fold-of-change between Dicer1-knockout (KO) and wildtype (WT) mESCs (mouse embryonic stem cells) (log<sub>2</sub>(KO/WT)) versus mRNA miRNA binding site counts (Dataset: GSE 55338). Base-2 logrithm of binding site count is used for the x-axis. The regression curves for linear degree 1 polynomial (linear), non-linear degree 2 polynomial (poly2) and exponential (exp) regressions were super-imposed onto the plotted experimentally measured data points (measured). The RMSE (residual mean sqare error) and R-square values of the regressions are also shown.



**Figure 2.** The average log-ratio of expression-level fold-of-change between Drosha knockout (KO) and wildtype (WT) HCT116 cells (log<sub>2</sub>(KO/WT)) versus mRNA miRNA binding site counts (Dataset: GSE 80258). Base-2 logrithm of binding site count is used for the x-axis. The regression curves for linear degree 1 polynomial (linear), non-linear degree 2 polynomial (poly2) and exponential (exp) regressions were super-imposed onto the plotted experimentally measured data points (measured). The RMSE (residual mean sqare error) and R-square values of the regressions are also shown.

#### 3.2.2. Target mRNA Translation Inhibition

Our comparative light- and heavy-polysome profiling datasets, as previously reported, enable examination of the correlation between miRNA binding site count and target-mRNA translation inhibition (Figure 3). We calculated the light- to heavy-polysome log-ratio (log2(Light/Heavy)). As previously described [32], we adjusted the log-ratio with open reading frame (ORF) length by LOESS regressions, removing the effect of ORF length; with all else the same, a longer ORF accommodates more translating ribosomes and miRNA-target-mRNA ORFs tend to be longer [32]. Thus, in this study, the LOESS regression residuals were used as the log-ratio for both WT and Dicer1 knockouit cells. In WT cells, this log-ratio increases along with the binding site count (Figure 3A).



We also re-adjusted this WT cell log-ratio by subtracting that of the Dicer1 knockout mutant cells (log-ratio(WT) – log-ratio(KO)). This subtraction removes the regulatory effects of non-miRNA regulatory elements in the mRNAs, such as those exerted by TRIM71 via binding to 3'-UTR hairpin motifs [40]. The same trend, though weaker, is observed between this adjusted log-ratio and the binding site count (Figure 3B).

#### 3.3. Reflection of the Synergistic, Instead of Additive, Interactions among miRNA Binding Sites

Whether miRNA binding sites synergistically or additively interact with one another has been an active research topic. Currently, the analysis is mostly based on in vitro biochemical analyses, and the consensus is that the interactions are synergistic [28]. We had an opportunity to address this question based on transcriptome-wide datasets. If the interaction among the binding sites is synergistic, the relationship between miRNA-mediated regulatory activity and the binding site count should be non-linear; otherwise, linear relationships should be expected.

Thus, we performed both linear and non-linear weighted regression analyses with the MATLAB software, using the bin-size (mRNA count in the bin) as the weights. As discussed in Materials and Methods, linear regression was performed as degree 1 polynomial regression. Non-linear regressions were performed with degree 2 polynomial regression as well as exponential regression. The anova function was used to quantify how much the non-linear polynomial regression improves over the linear regression, as the latter is nested inside the former.

#### 3.3.1. miRNA-Mediated Target mRNA Degradation

The results are shown visually in Figures 1 and 2. Non-linear regressions gave much better fit then linear regressions for both mESC cells (Figure 1) and the HCT116 cells (Figure 2). Quantitatively, in both types of cells, degree 2 polynomial and exponential regressions result in lower RMSE (residual mean square error) and higher R-square values than the linear degree 1 polynomial regressions. Additionally, comparing the linear and non-linear polynomial regression with the anova function resulted in statistically significant p-values; the mESC cells gave a p-value of 9.063e-5, and the HCT116 cells 3.625e-6.

Equations of the regression models for mESC cells in Figure 1 are listed below: Linear degree-1 polynomial (linear) fit:

$$f(x) = 0.1221 * x - 0.2989$$

Non-linear degree-2 polynomial (poly2) fit:

$$f(x) = 0.0191 * x^2 + 0.0379 * x - 0.2491$$

Exponential (exp) fit:

$$f(x) = 0.0308 * \exp(0.5714 * x) + (-0.2910) * \exp((-0.2374) * x)$$

For HCT116 cells, the equations of the models in Figure 2 are listed below: Linear degree-1 polynomial (linear) fit:

$$f(x) = 0.0848 * x - 0.2484$$

Non-linear degree-2 polynomial (poly2) fit:

- 7

$$f(x) = 0.0111 * x^2 + 0.0318 * x - 0.2111$$

Exponential (exp) fit:

$$f(x) = (-1681.6) * \exp(0.1152 * x) + (1681.3) * \exp(0.1153 * x)$$

#### 3.3.2. miRNA-Mediated Target mRNA Translation Inhibition

As shown in Figure 3, the same was observed for miRNA-mediated translation inhibition in HCT116 cells. The trend is clear in WT cells (Figure 3A). Quantitatively, degree 2 polynomial regression results in lower RMSE and higher R-square values than the linear degree 1 polynomial regression. Comparing the linear and non-linear polynomial regressions with anova also resulted in a significant p-value (1.23e-06). However, exponential regression does not improve over the linear regression, actually performing worth. These results indicate a non-linear, but clearly non-exponential, relationship. Equations of the regression models for WT HCT116 cell log-ratio in Figure 3A are listed below:

Linear degree-1 polynomial (linear) fit:

$$f(x) = 0.0964 * x - 0.1850$$

Non-linear degree-2 polynomial (poly2) fit:

$$f(x) = 0.0222 * x^2 - 0.0093 * x - 0.1117$$

Exponential (exp) fit:

$$f(x) = 0.0137 * \exp(0.6407 * x)$$

The trend becomes weaker upon subtraction of mutant HCT116 cell log-ratios (Figure 3B). However, improvement from linear to non-linear models is statistically significant (anova p-value 0.025). If we remove the data points with > 45 binding sites, which are more scattered due to lower gene counts and thus less statistical power, the p-value lowers to 0.0095. In contrast to results in Figure 3A, exponential and degree-2 polynomial regressions are almost in-distinguishable in terms of regression curves and the RMSE and R-square values, presumably due to removal of non-miRNA-mediated regulatory effects.

Equations of the regression models for adjusted log-ratio in Figure 3B are listed below:

Linear degree-1 polynomial (linear) fit:

$$f(x) = 0.0515 * x - 0.0864$$

Non-linear degree-2 polynomial (poly2) fit:

$$f(x) = 0.0053 * x^2 + 0.0262 * x - 0.0688$$

Exponential (exp) fit:

$$f(x) = (-591.5956) * \exp(0.0929 * x) + 591.5240 * \exp(0.0929 * x)$$

#### 4. Discussion

In the multi-step process of cellular genetic information flow, mRNAs serve as the carrier of genetic information, while proteins, translated from mRNAs, act as the final effectors to execute cellular functions. Both mRNAs and proteins are dynamically produced and degraded under tight regulation of an intricate regulatory network to maintain the cellular homeostasis. The discrepancy between the abundance of cognate protein and RNA molecules is frequently observed, yet explaining it remains elusive and/or technically challenging [41,42].

MiRNA, one of the key post-transcriptional regulators, might be one critical explanatory factor. It exerts regulation over both the mRNA and protein levels by decreasing target mRNA stability and suppressing their translation activity [43]. There is a paradoxical phenomenon in which miRNAs typically exert a moderate influence on gene expression rather than causing complete silencing of

target genes, and the degree of regulation can vary depending on various factors, including the specific miRNA, target mRNA, cellular context, and experimental conditions [44–46]. Our recent study elucidated a mechanism to explain the moderate impact of miRNAs on target mRNA translation, whereby miRNA retain the target mRNAs associated with translationally less-active light polysomes, thereby inhibiting translation rather than completely silencing it [32]. Additionally, the predominant mechanism by which miRNAs regulate mRNA repression—whether through promoting mRNA degradation or affecting translation activity—remains unresolved. While some studies emphasize mRNA degradation as the primary mode of regulation [47,48], others highlight translational repression preceding mRNA destabilization [49–52].

There is a notion that mRNAs harboring higher numbers of miRNA binding sites are under stronger repression by miRNAs, which had been verified in multiple experimental research regarding individual miRNAs or specific functional gene groups [30,53,54]. Our previous studies on the power-law distribution pattern of miRNA binding sites, cancer-related genes (tumor suppressor versus oncogenes), and enrichment of miRNA-target mRNAs in light polysomes all observed an obvious correlation between the capacity of miRNA-mediated mRNA repression and the miRNA binding site count [29,31]. This current study re-investigates the correlation by comparative linear and non-linear regression analyses. Our results favor non-linear relationships between the two for both miRNA-mediated target-mRNA decay and translation inhibition. Conceivably, the non-linear relationship is a reflection of the cooperation/synergism among miRNA binding sites on a target mRNA.

# 5. Conclusions

This study provides whole-cell transcriptome level evidence for the biochemically well-characterized cooperative-binding/synergism among miRNA binding sites [28]. The significance of our results lies in the ubiquitous phenomenon that multiple miRNA binding sites co-occur on the same target mRNA.

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