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Review

# Bacteriocins, Underestimated Antimicrobials

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**Abstract:** Bacteriocins is a term given to products of the secondary metabolism of many bacterial genera described today with antimicrobial activity. Although there are several biomolecules with such activity, even today it has not been possible to reach a consensus on the method of classification for these biomolecules. In addition, many of them are not authorized for therapeutic use against multi-drug resistant microorganisms due to possible toxic effects. However, recent research has achieved significant progress in their understanding, classification, and elucidation of the mechanisms of action against microorganisms of medical and biotechnological interest. Therefore, in more recent times, protocols are already being carried out for their optimal use and applications to use them on the hopes of solving multiple health and food conservation problems.

**Keywords:** bacteriocins; *Lantibiotics*; *Colicins*; *Microcins*; antimicrobial resistance; Antimicrobial Coating; *Nisin*

## 1. Introduction:

The term bacteriocins refers to proteins or peptides of ribosomal production that must display inhibitory or lytic activity against bacteria cells, whether they are of the same genus of the producing bacteria, close genera or even covering a wide spectrum of microorganisms in some cases [17]. These products might have post-translation modifications or perform their function with their original structure [18].

These biomolecules correspond to products of the secondary metabolism of several bacterial genera and take part in the elimination of competing microorganisms present in the same ecological niche. However, the production of bacteriocins is an energy and nutrient demanding process, so not all strains carry out this process continuously, in fact the production of these metabolites responds to genetic self-regulation systems known as *quorum sensing* mechanisms (QS) [20].

The fact that these metabolites have antimicrobial activity against either pathogenic microorganisms or microorganisms known as deteriorators, gives them high importance for the pharmaceutical and/or biotechnology industry. The first report of a characterized bacteriocin dates to 1925, being named *colicin*, a name given based on the microorganism from which it was isolated: *Escherichia coli* [17,18].

## 2. Bacteriocin Classification

Telling on the theme of the classification of bacteriocins, this has been fluctuating and evolving in parallel with the increase in knowledge of these biomolecules. Initial classifications dating back to 1993 and 1995 were based solely on physicochemical properties such as thermostability and molecular weight. Later were classified by their sensitivity to enzymes, their post-translation modifications (if present) or the presence of specific functional groups [21]. This classification system, although no longer recognized, is still used as a basis for the current system, which was developed by various investigations during 2012 to 2018. From this development, a branching criterion was related to the producing microorganism, for which we have: Gram-positive bacteriocins and gram-negative bacteriocins [21].

2.1. Gram-Positive Bacteriocins: as the name implies, they are those whose production comes from gram-positive bacterial genera such as *Lactobacillus* and *Staphylococcus*. Gram-positive bacteriocins are subclassified into 3 groups based on differences detailed below and Figure 1 [18,21]:

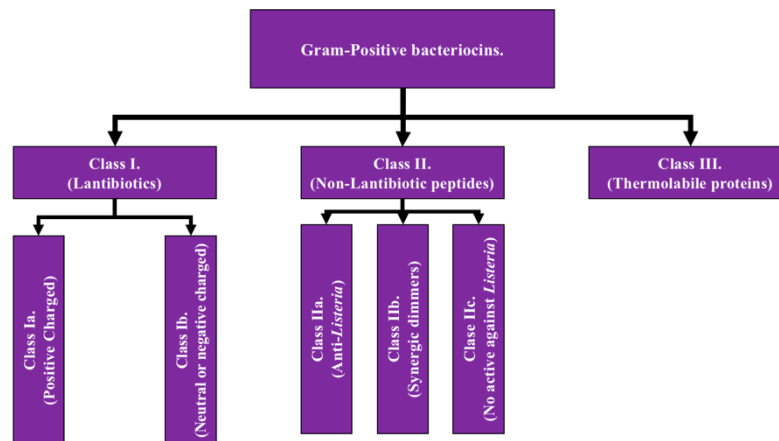
*Class I:* Also known as *lantibiotics*, they are molecules with a molecular weight less than 5 kDa, thermostable and presenting a high degree of post-translation modifications. Within its structure there is a high proportion of amino acids such as lanthionine and methyl-lanthionine (amino acids that give its name to this class) as well as unsaturated amino acids. This class has two additional subdivisions:

- *Class Ia:* grouping those structures that are polar having a positive net charge [18].
- *Class Ib:* which includes those that lack a net charge or have a negative net charge [18].

*Class II:* The second class of bacteriocins of gram-positive bacteria covers equally small molecules, however, with a broader range of activity, this being <10 kDa subdivided into four subclasses. All subclasses share the characteristic of having minimal, or even null, post-translation modifications [21,31].

- *Subclass IIa:* covers those peptides that have activity against *Listeria* (pathogenic bacterial genus that causes food born disease) [18].
- *Subclass IIb:* corresponds to peptides that act in a dimeric conformation, in which two unaltered peptides act synergistically to achieve the antimicrobial effect [18,21].
- *Subclass IIc:* include peptides with a circular structure [21].
- *Subclass IId:* includes those linear peptides that do not have activity against *Listeria* [21].

*Class III:* Encompasses proteinaceous bacteriocins with relatively high molecular weight (>30kDa), which have the characteristic of being thermolabile. This group has the particularity of encompassing some bacteriocins that are also produced by gram-negative bacteria under some circumstances, such as the *klebacin* [18].



**Figure 1.** Scheme of the classification of bacteriocins of gram-positive bacteria. Adapted from Ref [18,21].

2.2. Gram-Negative Bacteriocins: Regarding the bacteriocins produced by gram-negative microorganisms, the classification is limited to two groups, due to the little information available to date on this class of biomolecules. Gram-negative bacteriocins are, in general, mostly isolated from producing strains of *E. coli* or from other enterobacteria. The two types of bacteriocins that make up this group are: Colicins (class to which the colicin bacteriocin described above belongs) and microcins, their differentiation is based on their molecular weight [18,21]. However, there is a third type not yet fully characterized, which will be addressed as a pseudo-third type (Figure 2).

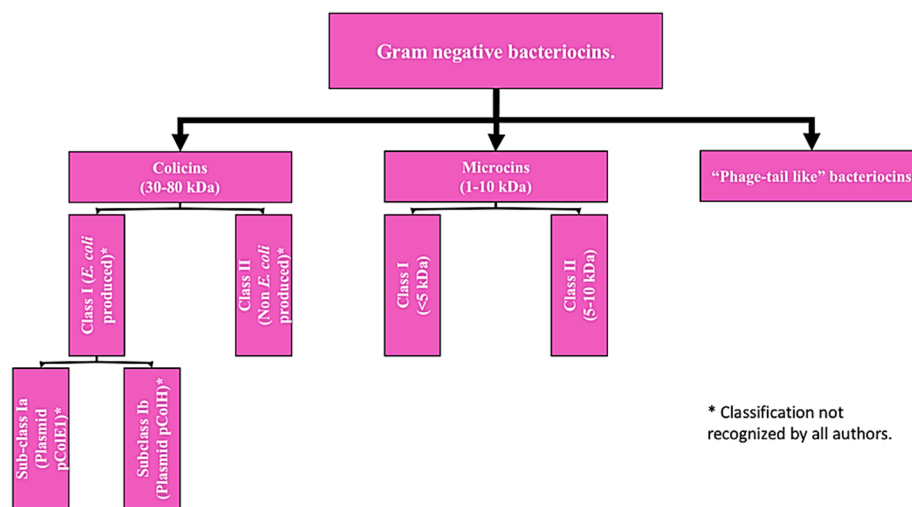
- *Colicins:* They are biomolecules with a molecular weight of 30-80 kDa, they are generally produced by strains of *E. coli* that harbor a plasmid called colicinogenic, some authors propose the subdivision of this group into two classes: *Colicins* produced by *E. coli* specifically, which is

additionally subdivided according to the type of plasmid from which they originate, and in another group that includes those *colicins* produced by other member of Enterobacteriaceae, however, this classification is not yet adopted by all authors [18,23].

- *Microcins*: They include low molecular weight bacteriocins, being peptides of 1 to 10 kDa with a highly stable molecular structure, active at a wide pH range, little sensitive to the activity of proteases (a highly desirable characteristic in microbiomes such as the human digestive system) and resistant to temperature changes [23].

These bacteriocins are encoded in the bacterial genomic DNA, unlike *colicins* [18,23]. Like previous type, this classification also has a sub-classification that have not yet been fully adopted and is based on their molecular weight: Class I (<5 kDa) and class II (5 to 10 kDa) [18].

- *Phage Tail-Like Bacteriocins*: They correspond to the third hypothetical type of gram-negative bacteriocin, they are molecules that hypothetically have antimicrobial activity based on their structure, but there is still not much information about them [23].



**Figure 2.** Scheme of the classification of bacteriocins of gram-negative bacteria. Adapted from Ref [23].

However, it is important to highlight that the classification of bacteriocins is still a fluctuating topic, with authors recommending different ways of classifying these biomolecules [24].

### 3. Bacteriocins Mechanism of Action

On this context, it is important to highlight that there is no certainty about the mechanism of action of 100% of those described today. An example can be observed in bacteriocins such as *PLNC8*, which has inhibitory capacity against *Helicobacter pylori*, but its mechanism of action is unknown [22]. On the other side, there are groups whose mechanism of action is fully described. An example of these groups would correspond to the bacteriocins produced by bacterial genera of lactic acid bacteria, such as *Lactobacillus*, also known as LAB-bacteriocins [21].

3.1. LAB-Bacteriocins: They group together gram-positive bacteriocins, among which the most common and at the same time the best known correspond to lantibiotics (class I) [21,30].

*Lantibiotics* have demonstrated two different mechanisms to exert their bacterial lysis function: The first corresponds to the disruption of cell wall synthesis, the second corresponds to the formation of pores.

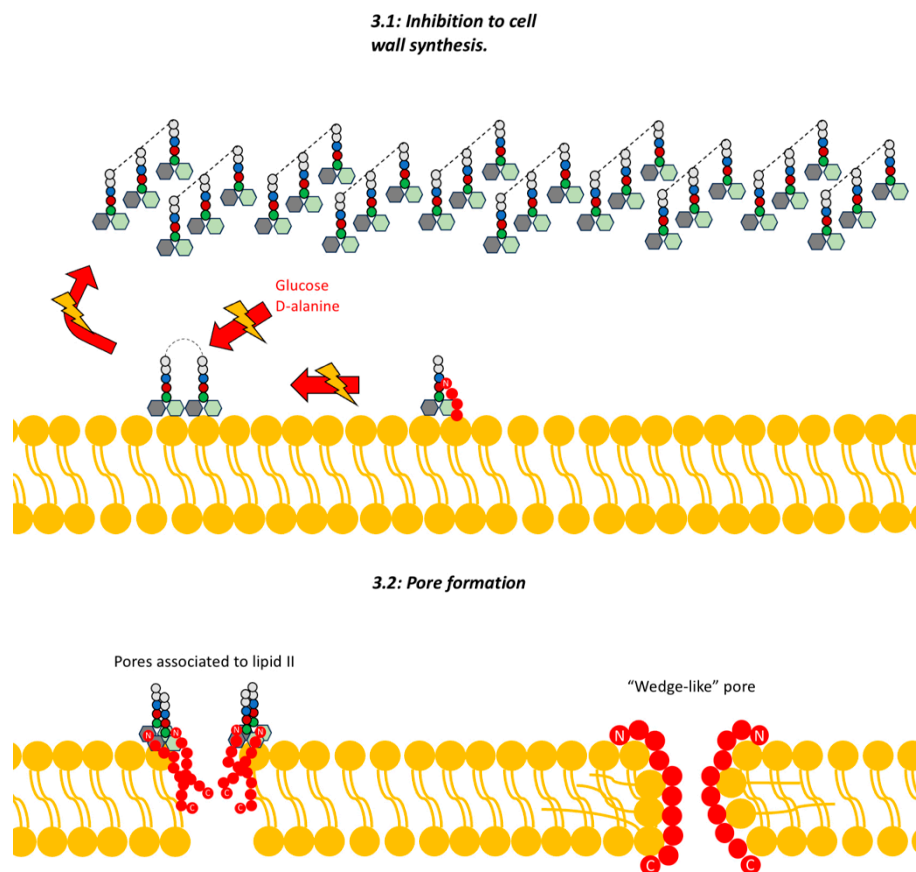
- *Disruption of Cell Wall Synthesis*: In this area, various *lantibiotics* show antibiotic activity through two mechanisms of inhibition of cell wall synthesis, the first is the binding to lipid II (an important intermediate in the trans-glycosylation reaction), an example of a bacteriocin that uses this mechanism is *gallidermin*, a type of *lantibiotic* [21,32]. The second mechanism of inhibition of cell wall synthesis corresponds to the blocking of the incorporation of glucose and D-alanine on the precursors of cell wall molecules, thus inhibiting the synthesis of peptidoglycan, however, it

was demonstrated in studies by various authors that this mechanism is also dependent on the availability of lipid II (Figure 3.1) [21].

- *Pore Formation*: The second way in which gram-positive bacteriocins carry out their bacteriocidal activity corresponds to their ability to attack the integrity of the cell membrane (Figure 3.2).

Within this mechanism there are two models currently proposed, these being the “barrel-stave”; in which the bacteriocin binds in parallel to the bacterial membrane, which through its difference in charges causes the loss of membrane potential and the formation of accumulations of water and pores, all this leads to the leakage of solutes and biomolecules from the cytoplasm to the external medium [21,32].

The second model corresponds to the “wedge” in which the interaction of the bacteriocin occurs in a trans-membrane manner, via the interaction of the charged components of the bacteriocin with the polar head of the lipid bilayer and the interaction of the peptide chain with the non-polar tail of the hydrocarbon. This insertion of the bacteriocin generates deformations in the membrane and fissures [21]. It has been noted that pore formation can be mediated by binding to lipid II as well [21,31].



**Figure 3.** Scheme of the mechanism of action of lantibiotics: 3.1 Inhibition of cell wall synthesis. 3.2 Pore formation. Adapted from Ref [21].

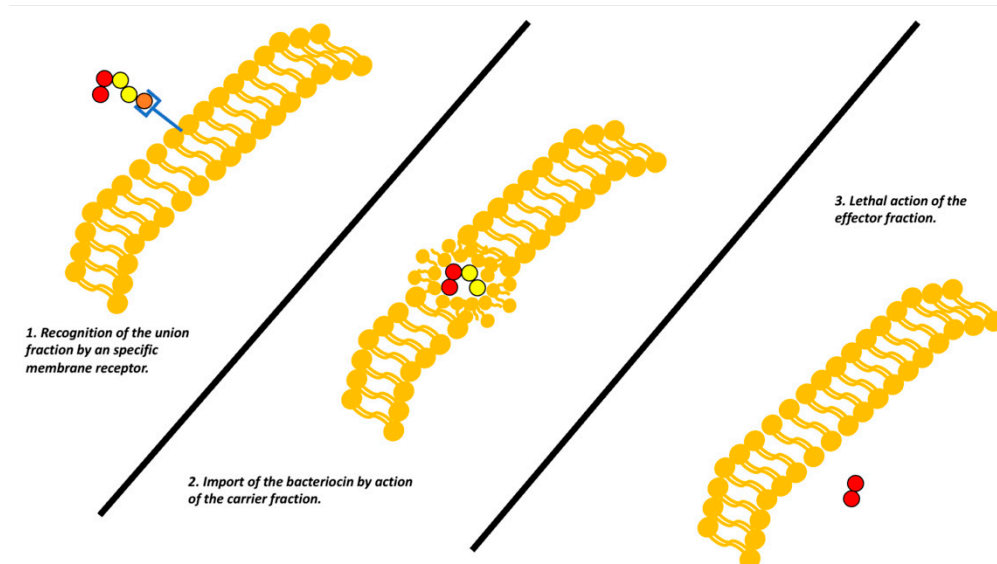
3.2. Colicins: On the other hand, a group of bacteriocins that has also been adequately characterized are the colicins; biomolecules produced by *E. coli* and other enterobacteria, which are specialized in the elimination of other gram-negative bacteria [24,33].

The mechanism of function of these bacteriocins is based on their structure. These colicins, generally present three domains that each have a function, the first will be an antigen-like recognition for anchoring, a mechanism similar for antibodies, a second domain is responsible for the introduction of the bacteriocin to the target bacterial cell, finally the last domain contains the toxic function. Currently there are three mechanisms described for them [24,33]:



- Formation of voltage-dependent pores in the inner membrane.
- Nuclease activity against bacterial genetic material.
- Inhibition of peptidoglycan synthesis [24].

However, it is key to clarify that the accuracy of how colicins exert these mechanisms may vary (Figure 4) [24].



**Figure 4.** Scheme of the mechanism of action of colicins. Adapted from Ref [21].

#### 4. Bacteria Genera Producing Bacteriocins

As already mentioned, the production of bacteriocins corresponds to a natural process of various bacterial genera in response to competitors in the microenvironment. This opens the door to assuming that this metabolic activity is common in most bacterial genera discovered today, but this has not been confirmed [17]. However, research has managed to find bacterial species and/or groups that are certain to produce at least one bacteriocin of any type, thus being a fundamental factor in the formation of the micro-environments where these microorganisms grow [17].

The first group corresponds to the Enterobacteriaceae family, where we can find species such as *E. coli*, *Enterobacter* spp., *Klebsiella* spp., among others. These groups are recognized by the production of bacteriocins of the colicin type, especially *E. coli*, or *microcins* in the case of the rest of the enterobacteria [24].

On the other hand, a group of bacteria well known for their production of bacteriocins corresponds to lactic acid bacteria, that, in addition to the production of non-protein antimicrobial substances such as lactic acid, are recognized producers of *lantibiotics* [12]. Within this group we find bacteria of the genus *Lactobacillus*, species of this genus are used nowadays as oral probiotics, which are commonly recommended to patients after antibiotic therapies or cases of stomach infections due to pathogenic microorganisms, with the aim of recovering the balance of the microbiome by taking advantage of their ability to secrete bacteriocins that attack colonizing foreign microorganisms [14,16].

Additionally, recent research has discovered the production of bacteriocins by the genus *Bacillus*, *Staphylococcus* and *Streptococcus* (in particular, beta-hemolytic species), whose bacteriocins have recently been isolated and are in the process of developing a possible biotechnological application [4,13,19].

Finally, it should be noted that the procedure for detecting bacteriocins in bacterial isolates can be cumbersome and repetitive, which is why in recent years “machine learning” mechanisms have been developed to assist in the detection of genes that codify for the synthesis of these bioproducts [2].

Regarding the genes involved on the production of bacteriocins, two types of them have been reported, the first being chromosomal gene clusters known as “operons”; an example of them, is the “*thermophilin* 13 operon” that allows certain strains of *Streptococcus thermophilus* to produce the bacteriocin *thermophilin* [25]. The second type of bacteriocin encoding genes are related to the presence of “orphan genes” which are single genes that allow by themselves the production of a certain type of bacteriocin, example of this can be found on certain strains of *Lactobacillus plantarum*, which can carry orphan genes like *PlnJ* and *PlnNC8*, and have been noted to be closely related to other bacteriocin orphan genes from closely related strains, suggesting that the orphan genes probably come from a common ancestor and are transmitted via plasmids or other gene transferring strategy [26].

4.1. Bacteria Source and Selection: Having discussed the bacteria genera that could produce bacteriocins, the next question to address is the source from which said bacteria could be isolated from. Multiple studies have been successful in isolating potential bacteriocin producing strains from natural sources as river water, grass silage and soil [34], additionally producing strains can also be found on prepared food items, as example the fermented meals as Korean traditional Kimchi, dairy items as cheese, milk, and buttermilk [36].

Additionally, another source from which researchers have been able to recover and investigate bacteriocin producing bacteria is samples of healthy microbiomes, like those taken from either the gut or the oral cavity of healthy individuals, from which multiple bacteria species known for producing bacteriocins, generally enterobacteria like *E. coli* or *Enterobacter spp.* [35].

## 5. Isolation and Uses of Bacteriocins

Regarding the isolation and use of bacteriocins, the methodologies for detection, determination of action spectrum, isolation and subsequent characterization have been evolving in parallel with the knowledge about these molecules.

On first instance, the detection of strains that possibly have the capacity to produce a bacteriocin of possible biotechnological interest is carried out by various methods, among which are: point inoculation method, cross-streak method, radial-streak method, agar insert method, disk diffusion method, Oxford cup method and diffusion-well method [3].

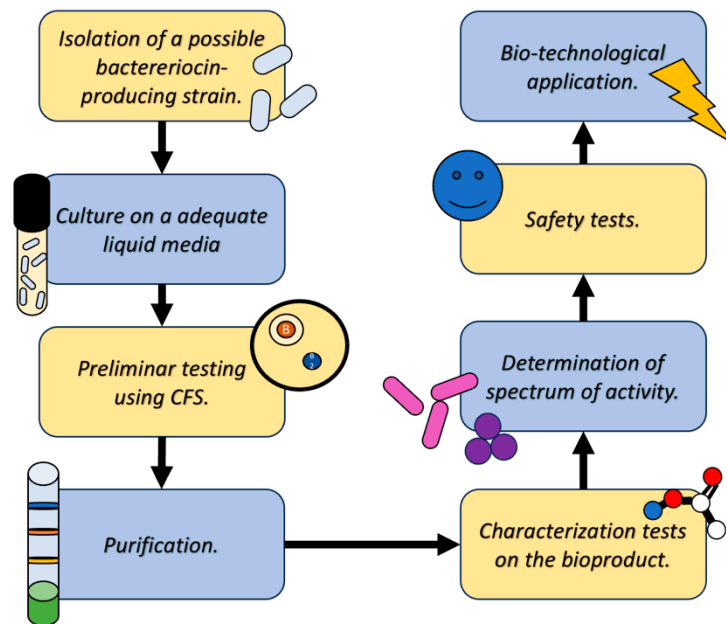
These methods are based on the inhibition of the growth of “indicator” strains caused by the presence of the strain with possible bacteriocin production or using liquid culture supernatants after centrifugation (known as Cell Free Supernatant or CFS), the which can be placed in contact with the indicator strains using various vehicles [3].

Aside of the conventional methods described before, more recent research has been able to develop methods of detecting possible bacteriocin producing strains using molecular methods that allow the detection of genes or gene clusters that code to produce these biomolecules [2].

Once the identification of a bacterial strain that produces a bacteriocin of interest is achieved, the extraction and purification proceed. The first step corresponds to the cultivation of the producing strain in an appropriate liquid medium, from which, the CFS will be obtained, where a part of several metabolic products produced by the bacteria, the bacteriocins are found [16].

Subsequently, this CFS can be subjected to various purification methods to recover the bacteriocin in question in the purest form possible. Among the methods that are applied today are: Ion exchange chromatography, gel chromatography, HPLC. reverse phase chromatography, solvent fractionation, among other that allow the separation of the component of interest from contaminants and/or impurities, as well as other formed elements of the culture medium, all these methods have been described as effective by diverse research as reported by Ye and collaborators [16].

Finally, after obtaining a purified bioproduct, the characterization methodologies can be applied. These tests are carried out with the purpose of understanding the molecular structure of the bacteriocin (Mass Spectroscopy or IR), knowing its stability (Enzymatic sensitivity tests, stability in pH gradient, thermostability, among other tests). These tests are carried out to outline the conditions under which the product could be used to perform the spectrum activity tests against microorganisms of medical, food preservation and/or biotechnological interest (Figure 5) [11,16].



**Figure 5.** Diagram describing a general process of detecting, isolating and characterization of a novel bacteriocin. Adapted from Ref [28].

## 6. Uses and Potential Uses of Bacteriocins

About the application that these biomolecules can have; it is extremely important to emphasize their biotechnological and/or health potential when it comes to combating microorganisms with relatively low toxicity compared to regular antibiotics. Some of the potential uses are commented below.

6.1. Combat Antimicrobial Resistance: The first use that can be given to bacteriocins and that quickly comes to mind is medicinal use as antibiotic therapies against microorganisms that are not susceptible to current antibiotics [12].

Within this area it is important to mention the global problem of antimicrobial resistance, where microorganisms become resistant to drugs to which they were previously susceptible, due to the selection of clones that have mechanisms and/or mutations, that allows them to survive their effects. The conditions in which this phenomenon occurs are normal, but its appearance is accelerated by the indiscriminate and empirical use of antibiotics for treatments, the misuse of these by patients, their use in other activities such as livestock farming and non-controlled disposal to natural ecosystems [1].

On this regard the WHO (world health organization) determined a “priority” group which has demonstrated an accelerated development of resistance mechanisms, the one known as the ESKAPE group, composed of *Enterococcus faecium*, *Staphylococcus aureus*, *Klebsiella pneumoniae*, *Acinetobacter baumannii*, *Pseudomonas aeruginosa* and *Enterobacter* spp. It is in this area where research on the use of bacteriocins as medicinal therapy becomes important, since they have demonstrated effectiveness in the elimination and/or inhibition of the growth of these microorganisms, which opens the door to their use as part of the efforts to the fight against this global problem [1]. However, an important issue is the safety use of them, turning it the task to solve.

6.2. Use As Preservative Agents: On the side of food biotechnology, recent studies have shown that the presence of non-pathogenic groups of bacteria, such as *Lactobacillus*, plays an important role when it comes to food preservation [7]. Within this, it has been shown that the presence of strains that produce some type of bacteriocin can inhibit the growth of microorganisms harmful to health on the surface of foods such as cheese, beef, ham and prepared food items as cheonggukjang (a traditional Korea dish), additionally the usage of nanometric systems on the food item preparation could also provide extremely good effects on prepared drinks as wines and fruit juices. All the



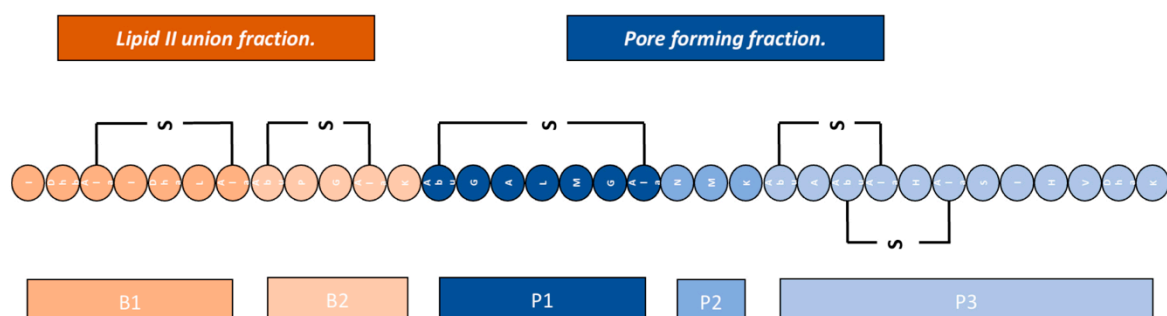
6.3. *Restoration of the Balance of the Microbiota:* A final use to highlight that has been elucidated for these biomolecules is based on their regulatory capacity of the microbiome. The commensal microbiome of the various areas of the human body, such as the digestive tract, plays an important and first-line role in the defense against pathogenic microorganisms [15,17].

Considering this, the development of probiotic formulations based on lactic acid bacteria that produce bacteriocins, as well as the transplantation of a healthy microbiome (commonly through fecal transplants), have gained relevance in the safe and effective treatment of dysbiosis, achieving equal results, or even superior to conventional antibiotic therapy [15].

It should be noted that these described uses do not cover the absolute map of what these biomolecules can do for biotechnological purposes.

Speaking of historical terms, the discovery of the first bacteriocin currently approved by the FDA dates to 1928, the same time in which Alexander Fleming would discover penicillin, in this year the scientists Rogers and Whittier would report the ability of a bacterial strain, at that time known as group N *Streptococcus*, to produce metabolites that inhibit the development of pathogens. This biomolecule ended up being named “Group N *Streptococcus* Inhibitory Substance” which is abbreviated to *nisin* by adding the suffix -in [5].

This bacteriocin being a desirable product for both the food and biotechnology industries, has been studied over the years, achieving the production of modified *nisins* (named with a letter code) (Figure 6) that give them better physicochemical properties, as well as its conjugation to nanometric systems which have allowed extending the spectrum of action to gram-negative microorganisms [5,6].



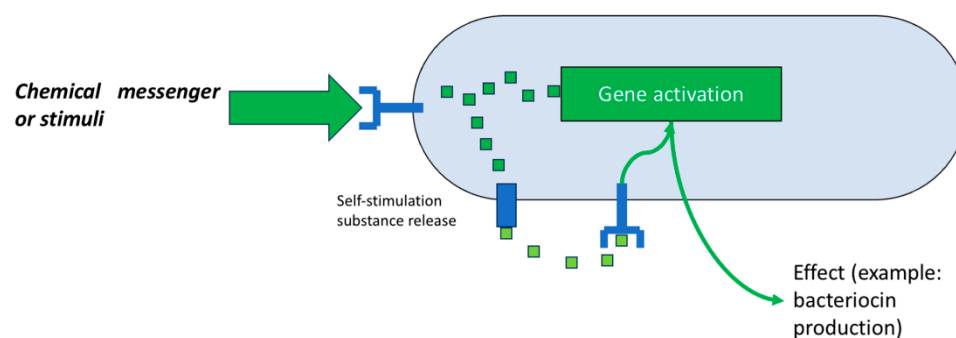
**Figure 6.** Structure of nisin. Adapted from Ref [5].

## 8. New Technological Trends for the Use of Bacteriocins:

Finally, the last topic to be addressed in this review corresponds to the new technological trends that have been developed in the current decade for the use of bacteriocins from a biotechnological level.

The first system used is based on the regulatory aspect of its production by microorganisms, that is, the “*quorum sensing*” system (Figure 7). Various studies have sought ways to generate “optimal” conditions that induce the producing bacteria to synthesize bacteriocins for their subsequent recovery [9].

Research has shown that the main factors that cause a bacteriocin-producing strain to synthesize it and release it into the environment are the presence of competing strains, a shortage of nutrients, and the presence of sufficient clones of the producing microorganism. Therefore, a bioreactor capable of controlling these factors is an attractive objective for study and development [9].



**Figure 7.** Scheme of a “quorum sensing” system. Adapted from Ref [27].

Finally, another technological trend that has been widely used to optimize the effect and/or expand the spectrum of activity of bacteriocins corresponds to nanometric conjugate systems [10]. This technological addition is made seeking to emulate natural mechanisms that can be observed in some *Lactobacillus acidophilus* strains, a microorganism that can generate membrane vesicles and use as a “vehicle” for delivering the bacteriocins it produces [8].

## 9. Production Earnings of Actual Bacteriocins:

On the topic of the possible profits that arise from the usage and/or production of bacteriocins, is important to understand that as in 2019, antibiotic industry generated a profit of an estimated 59,000 million dollars worldwide, being forecasted to amass a profit of 20 million dollars by 2027 [43]. The last considering all the possible applications where bacteriocins could pose an cheaper to produce alternative, said applications enroll the applications of antimicrobials on the food industry, where the usage of these molecules for both the enhance of production (on which the applications of antimicrobials either as additives to fertilizers, or as products given to cattle animals as antibiotics or probiotics are highly profitable for farmers) and the preservation of food items (applied or present on different food items, or used as antimicrobials for cooking items) [41,42]. On the last point, it is estimated by the Food and Agriculture Organization of the United Nations that around 1/3 of the food items go to waste, meaning that the successful application of bacteriocins as novel and more effective food preservatives would amount for a huge economic impact regarding the avoidance of loss of food.

With all discussed, the potential application of bacteriocins as antimicrobial, could pose an equal, if not larger income compared to the current antibiotic industry profit, this considering the possibility of cheaper production and the relative safety associated to their use.

## 10. Perspectives:

### 10.1. Synergy Studies among Bacteriocins and Classical Antibiotics or other Bioactive Compounds:

Considering everything described on this review, bacteriocins shown promising characteristics which opens the possibility for their individual use as antimicrobial agents, but recent research has found that the association of newly described bacteriocins with other bio active compounds shows possible positive synergic effects compared to their basal effects, making said combinations an desirable alternative to either reduce the quantity of bacteriocin used to achieve an desirable effect or to enhance the activity of already defined antibiotic/antimicrobial consortia, an example of the first can be seen on the research conducted by Soltani and collaborators which deduced that the use of the bacteriocin *reuterin* combined with other bioactive compounds such as organic acids shown an synergic effect that allowed for the desired antimicrobial effect on pathogens using a lower concentration of *reuterin* [39].

Speaking of the enhancing of the activity of bioactive compounds or mixtures already used today research has shown that the adding of bacteriocins with known antimicrobial effect such as antibiotics, can amount for a synergy which allows for the treatment of microorganisms which previously developed some kind of resistance, an example of this can be the use of bacteriocins produced by *Enterococcus faecium* alongside antibiotics as vancomycin and ciprofloxacin against *Listeria monocytogenes*, which shown an increased effect compared to that of the individual compounds [38]. Moreover, research involving common *Enterococcus* species associated to urinary tract infections has shown that the usage of bacteriocins as AS-48, which provides effect at concentrations below 10 mg/L, alongside 20 common antibiotics used for the treatment of this infections as gentamicin and amoxicillin/clavulanate shows synergic effect that amounts for a 100-fold increase of the antimicrobial minimal inhibitory concentration, result which is highly promising for the clinical field as it can amount for a therapeutic success using less antibiotics for this kinds of infections [37].

Another potential approach is the chemical modification of each bacteriocin that could enhance their activity and use the machine learning or artificial intelligence to improve the action on the bacterial target and wide this activity to current resistant microorganisms and reduce at the same time the toxicity of such compounds.

## 10. Conclusions:

For closure, bacteriocins, although they are not yet a 100% understood topic, do correspond to a group of bacterial metabolites of great interest to the pharmaceutical, food and biotechnology industries. This is due to its ability to disrupt the microbial development of many microorganisms of interest, in addition to their apparent few adverse effects.

It is for this reason that more efforts must be made to be able to take advantage of them optimally, such as the final outline of a classification system for these biomolecules, in addition to the determination of the mechanisms of action that even today are not fully described for some discovered bacteriocins. However, it is important that their future use is carried out responsibly to avoid, as is the case with antibiotics, for them to end up becoming obsolete for the treatment and elimination of the microorganisms against which they have the promise of action.

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