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Article

# Thermo-Mechanical Uniaxial Compression of 4D Printed PLA in Wide Range of Strain Rates and Temperatures below Glass Transition Temperature $T_g$

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**Abstract:** In this paper thermo-mechanical behavior of 4D printed Polylactic Acid (PLA) was investigated, focusing on its response to varying strain rates and temperatures below the glass transition temperature. Dynamic mechanical analysis and uniaxial tensile tests confirmed PLA's dependency on strain rate, showcasing its sensitivity to external stimuli. Stress-strain curves exhibited typical thermoplastic behavior, with yield stresses varying with strain rates, underscoring PLA's responsiveness to different deformation speeds. Clear strain rate dependence was observed, particularly at quasi-static rates, with temperature and strain rate fluctuations significantly influencing PLA's mechanical properties, including yield stress and deformation behavior. Isothermal compression tests demonstrated predictable stress-strain curves with distinct yield points, while adiabatic tests reveal additional complexities such as heat accumulation leading to further softening. Thermal imaging revealed temperature increase during deformation, highlighting the material's thermo-sensitive nature. These findings provide the basis for future research with the focus on advanced modeling techniques and mitigation strategies for self-heating effects, aiming to enhance PLA-based product reliability and performance in applications with deformations at higher strain rates, while also developing models to simulate shape recovery in 4D printed PLA structures at both cold and hot programming temperatures.

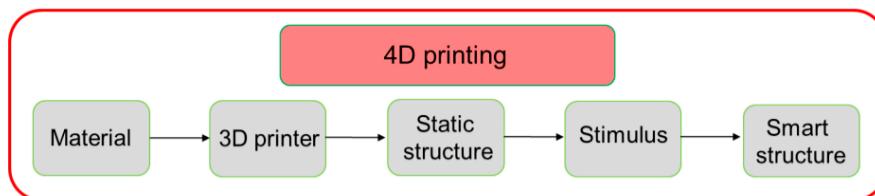
**Keywords:** smart materials; shape memory polymer; 4D printing; thermo-mechanical cycle

## 1. Introduction

Smart materials are prominent class of materials that have revolutionized both research and engineering. In general, materials with shape memory, usually named shape memory materials (SMM), are characterized by the shape memory effect (SME). SMMs are divided into several groups: Shape Memory Polymers (SMP), Shape Memory Alloys (SMA), Shape Memory Hydrogels (SMH) and Shape Memory Ceramics (SMC). SMPs can respond to a various external stimulus, and recover their deformed shape and return to their permanent shape from a programmed (temporary) shape under the influence of light [1,2], heat [3], magnetic [4], electricity [5], moisture [6], water [7,8]. SMAs for long have been the most prevalent, especially in human medicine [9], aerospace [10] and robotics [11]. Yet today, SMP and SMH are slowly taking the lead among other SMMs due to their broad applicability and relatively low cost of the raw material and manufacturing. The advantages of SMP and SMH over, primarily SMA, are that the stiffness can be adjusted in a wide glass transition temperature range  $T_g$  (55-100 °C) [12]. Besides that, SMPs are characterized by low density ( $\approx 1.2$  g/cm<sup>3</sup>), large deformations, biodegradability, biocompatibility, as well as low thermal conductivity

[13,14]. SMPs can also restore shape after exposing to very large plastic deformations  $\approx 500\%$ , while at SMA it is  $\approx 6-7\%$ . In addition to mechanical, technological factors such as cost, fabrication, toxicity or recycling potential significantly affect the predominance of SMPs over SMAs [15] in the era of green technologies and green polymers [16].

The emergence of 4D printing represents an innovative fusion of smart materials and additive manufacturing techniques, propelling scientific exploration into material responsiveness to external stimuli and the development of intelligent structures for various applications. Smart materials in 4D printing adapt their properties or shapes in response to external stimuli. These materials can also harness energy, typically thermal, to perform mechanical tasks [17]. 4D printing technologies have facilitated scientific exploration into material research, stimulus responsiveness, mathematical modeling, and the subsequent development of intelligent structures. 4D printing has garnered increased interest lately, notably through the pioneering work of Professor Tibbits' research group at the Massachusetts Institute of Technology (MIT) [18]. Like most rapidly growing technologies, 4D printing relies on the rapid development of smart materials, 3D printers, mathematical modeling and design [19]. The Figure 1 shows that in contrast to 3D printing, the output product of 4D printing is an active or dynamic structure, which can be activated with appropriate external stimulus or energy input. The development of the new 4D printing industry is directly dependent on Material Science and the development of new materials. Besides materials growing and advancing in technologies such as Fused Deposition Modeling (FDM) [20] or Fused Filament Fabrication (FFF), Digital Light Processing (DLP) [21], Stereolithography (SLA) [22], Selective Laser Melting (SLM) and Inkjet [23,24] it is also a condition for further progress in this field. Various materials such as PVC [25,26], PETG [27], photopolymers [28] are used in 4D printing even a blends [29] and multimaterials for 3D printed auxetic structures [30] are used in 4D printing. This variety of materials, printing technologies and even creating composites opens completely new perspectives and possibilities for the use of 4D printing in various fields.



**Figure 1.** 4D printing concept with PLA material.

PLA is a material that has many applications, both in medicine and non-medical fields. One of its key features is its biocompatibility, which makes it safe to use in medical treatments. As it is a product of the human body and obtained from natural sources, it is also biodegradable. This is especially important for medical applications, where the device needs to be absorbed by the body after it has served its function.

There is a growing trend, of replacing devices made of metal or alloys with polymers to allow gradual healing of the diseased tissue through the mechanical weakening of the polymer devices. Additionally, as biodegradation occurs over time, there is no need for additional procedures to remove the device [31]. Due to the ability to customize the chemical structure and mechanical characteristics to the biochemical environment, PLA is widely used in biomedicine. It is used in various applications, including stents [32], orthopedic screws [33], supports for growing various cells, muscle tissue, bone and cartilage regeneration, planting osteogenic stem cells and implantation into bone defects [34] and drug delivery and delivery devices [35]. The use of PLA in additive manufacturing enables the production of complex biomedical devices based on computer-aided design and construction (CAD), in particular, with the use of patient-specific anatomical data, the creation of one-of-a-kind implants [36] and prosthesis socket [37]. A new challenge in the field of additive technologies is the application of 3D printing in the production of PLA composites, with or without reinforcement [38], scaffolds [39], biodegradable stents [40] and lately in auxetic energy

absorption structures [41–47]. PLA can also be blended with other materials such as TPU in order to show that by changing the composition and programming temperature, the desired properties for different applications can be achieved so that the highest fixity, recovery, and stress recovery were obtained in hot, cold, and warm-programmed samples by manipulating the input energy and temperature [48]. Beside other thermoplastics used in FFF, PLA also shows potential for blending with natural materials such as wood [49].

In the last decade, the number of papers with mechanical tests of FFF samples has increased. In their paper [50], the authors compare the mechanical characteristics of the unidirectional, 3D-printed material with that of homogeneous injection-molded PLA, showing that manufacturing by 3D-printing and annealing improves toughness of samples. One of the latest research deals with the influence of strain rate and temperature on the mechanical behavior of PLA printed structure in tension [51]. Study aimed to analyze the effect of the infill line distance of 3D printed circular samples on their compressive elastic behavior during cyclic compressive loading [52]. In the paper presented in [53], uniaxial tensile responses of 3D-printed polylactic acid (PLA) samples following standard ASTM-D412 have been studied to characterize the mechanical properties at three temperatures: 30 °C, 40 °C, and 50 °C. Also, this study includes, quasi-static compressive experiments are performed on polymeric tubes with different temperatures. In this study [54] authors did experimental testing to determine compression performance and deformation behavior of 3D printed PLA lattice structures. In order to determine the influence of anisotropy and infill on the SME effect in printed materials, the authors in [55] examined the samples using uniaxial tensile tests and compressive tests are performed to study the effect of infill patterns on mechanical properties. Paper [56] presents experimental study of the compression uniaxial properties of PLA material manufactured with FFF in accordance with the requirements and conditions established in the ISO 604 standard characterizes the compression stiffness, the compression yield stress, the field of displacements, and stress along its elastic area until reaching the compression yield stress and the ultimate yield stress data showing that PLA material is promising for the manufacture of low volume industrial components that are subject to compression. Authors in [57] introduce a novel honeycomb structure that can enhance the compression property and energy absorption 4D printing with PLA materials showing that the novel honeycomb had a high compression property and had high energy absorption capacity. In this work [58], the influence of several factors such as printing temperature, bed temperature, printing speed, fan speed, and flow was studied showing that hat the parameters of extrusion-based 3D-printing influence the transformability of PLA-based materials. In this study [59], PLA is used in the 4D printing process for the manufacturing of complex geometry absorber components produced FFF varying printing parameters (temperature at the nozzle, the deposition speed, the layer thickness) and activation temperature. Experiments shown that components have good shape memory properties mostly influenced by activation temperature. Experimental tensile and compression tests are conducted in [60] on FFF PLA parts to evaluate the difference of main mechanical properties in tensile and compressive state. In this paper [61] monotonic, fatigue and creep behaviour of PLA under compression is studied, using cylindrical specimens tested according to ASTM D695 was conducted to identify and quantify the effects of printing parameters on the compression behavior of these specimens and failure mechanisms finding that compressive strength is linearly dependent with the density of the samples. In their paper [62] they examine PLA and PLA-Cu samples under both static and dynamic loading were studied using a universal testing machine and a split Hopkinson pressure bar apparatus showing that addition of copper powder increases the yield strength of the composite material significantly as compared to pure PLA, with both materials being strain rate sensitive. Also study [63] conducted the strain rate sensitivity of five thermoplastic materials (PLA, ABS, PC, CPE+ and nylon) under various tensile test speeds to study strain rate influence on mechanical characteristics of FFF 3D-printed materials. The influence of strain rate on tensile strength and yield strength in dynamic conditions is examined. Compression behavior of 4D printed metamaterials with various Poisson's ratios in [64] show the cellular metamaterials with zero Poisson's ratio possess superior vibration isolation capability compared to negative or positive Poisson's ratio cellular metamaterials at different deformation stages by a comprehensive analysis. A

very detailed study presented in [65] describes the influence of printing parameters on the mechanical response of poly-lactic-acid (PLA), high-impact-polystyrene (HIPS), and acrylonitrile-butadiene-styrene (ABS) with special reference to shape memory in a 4D print while stretching at different speeds and at different temperatures. In order to examine the tensile strain rate performance of 3D printed PLA with various printing orientation in paper [66] conducted study using different deformation rates from the slowest to medium speed. The study, like most of the previous ones, shows different responses when the rate of deformation increases with an additional analysis of elongation and bending.

The aim of this paper is to extensively experimentally investigate the dependence of FFF printed PLA in a wide range of strain rates and temperatures in compression with large deformations. As due to high strain rates, test conditions can occur that are almost adiabatic, determining the existence of self heating in FFF PLA and the consequent additional softening, is a special challenge. All tests are carried out in coupled thermo-mechanical conditions so that the research results contribute to the expansion of knowledge in the field and provide new insight into the behavior of 4D printed PLA. There are several motivations for this study. The main motive is to determine all parameters related to macro mechanical characteristics of material, which will serve for the development of a coupled thermo-mechanical constitutive model for accurate modeling the behavior of the material using the Finite Element Method (FEM). Additionally, such an extensive study of coupled properties is not available to the authors' knowledge. The last and perhaps the most important motive is the possibility of expanding knowledge and further research in the field of auxetic structures, whose main mode of use and exploitation is radial and uniaxial compression at various strain rates. The study set up in this way could be the basis for successful and precise modeling of auxetics and metastructures in both cold and hot programming in consecutive research. The lower temperatures used in this research should serve to further focus on cold programming auxetics and research related to shape recovery by heating. Although the printing speeds and printing directions can also affect 4D printing properties, the focus of the study is on fully thermo-mechanically coupled characterization of PLA for the purposes of characterization and determination of characteristics for further development of the constitutive model.

In the Materials and Methods section, we present the rigorous materials and methods we used, such as filament used for 3D printing of samples, sample annealing procedure and uniaxial isothermal tensile filament and compression of cylindrical printed samples and DMTA. In the Results section we provide results obtained by uniaxial tensioning and uniaxial compression of samples obtained by 3D printing PLA as defined in previous section. Additionally, the results for DMTA analysis are provided. In Discussion section all results are discussed in the context of the paper main aims. We close in section Conclusion with most important findings and future suggestions.

## 2. Materials and Methods

### 2.1. Printing Samples

PLA samples were obtained by 3D printing from PLA filament with a diameter of 1.75 mm manufactured by Ultimaker. According to the manufacturer, the PLA material has a density of  $1.24 \frac{g}{cm^3}$ , a melting rate during printing (MFR) of  $6.09 \frac{g}{10min}$  and a melting temperature of  $145 - 160 \text{ }^{\circ}\text{C}$ . Sample used in this study were made using FFF 3D printer UM2+ equipped with nozzle 0.4 mm, infill 100%, nozzle temperature  $210 \text{ }^{\circ}\text{C}$ , working plate temperature  $60 \text{ }^{\circ}\text{C}$ , layer deposition height of 0.1 mm and printing speed  $40 \frac{mm}{s}$ . Cura (Ultimaker) slicer software was used for modelling, orientation and defining model supports. The model's orientation was selected to avoid the occurrence of barreling and buckling of the samples observed in previous studies, where it shown that these phenomena occur at a ration of height and diameter exceeding 2 [67–69] and according to standard for compression tests ASTM D695. All test were performed to obtain stress-strain curves at maximum safe strain value, e.g., before crack or fracture initiate in sample. It should be noted that although one of the main advantages of additive manufacturing is the printing speed, when considering smart materials in additive technologies, especially within 4D printing, the printing speed must be

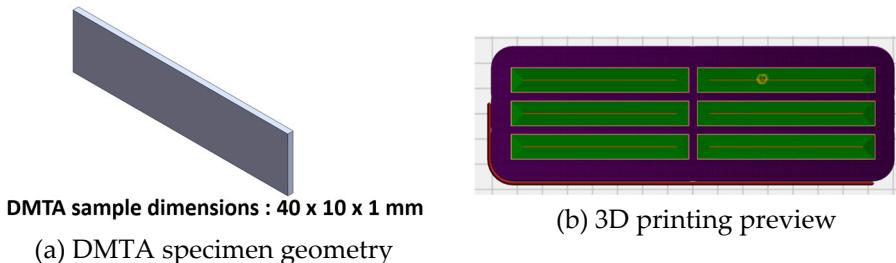
significantly reduced. As shown in [70] the production of samples in 4D printing at high printing speeds causes extremely high anisotropy due to residual thermal deformations. The parameters used in the production of PLA samples are shown in Table 1.

**Table 1.** Printing parameters of testing samples.

Parameter	Value
Nozzle diameter	0.4 mm
Layer height	0.1 mm
Infill	100 %
Printing speed	40 mm/s
Printing bed temperature	60 °C
Production time	35 min

## 2.2. Dynamic Mechanical Thermal Analysis (DMTA) Measuring

Dynamic mechanical thermal analysis (DMTA) was conducted on a solid clamping tool for measurements according to DIN/ ISO 6721-1 with the use of Thermo Scientific™ HAAKE™ MARS™ Rheometers in combination with controlled test chamber (CTC). DMTA was performed in torsion with a rotational rheometer. The material hereby exposed to oscillatory shear while the temperature is changing continuously. Standard test prismatic PLA samples, dimensions 40x10x1 mm, were fabricated for DMTA, using same printing parameters that are given in Table 1. Geometry of DMTA test samples and 3D printing preview are shown in Figure 1. The experiment was performed in a temperature range of 40-80 °C, with strain amplitude 0.01 % and deformation frequency 1 Hz. The heating rate was set to 2 °C /min and samples were preheated from room temperature to 40 °C and then kept for 5 minutes at that temperature.



**Figure 1.** Geometries of DMTA samples and 3D printing preview of slicing patterns.

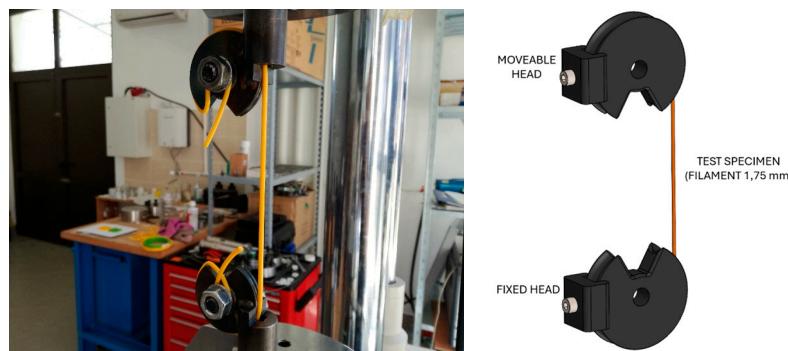
## 2.3. Annealing Printed Specimen

After production, the samples were annealed in an oven at a temperature  $\approx 20$  °C higher than  $T_g$  and kept them at that temperature for a period of two hours. After that, the samples were slowly cooled in the oven, to room temperature, over a period of several hours. The procedure of annealing of samples after fabrication, provides a number of improvements including reducing of imperfections in the samples, reduced porosity, better adhesion of material layers and improvement of the quality of surface layers. All samples used in the experiments were left in the oven environment (room temperature and humidity of 50%) in order to eliminate possible external influences and ageing of the material before testing.

## 2.4. Uniaxial tests

In order to determine the large deformation behaviour of 4D printed PLA polymer at various strain rates and temperatures lower than  $T_g$ , Shimadzu EHF-EV101K3-070-0A (Japan) universal testing machine equipped with a 100 kN calibrated load cell and thermal chamber is used. Displacement control during the test is performed via the Controller RS485, while the temperature in the temperature chamber is controlled via the EUROTERM 2408 controller and the iTOOLS

software package. As the diameter of the filament 1.75 mm is not adapted to the tension grips, the adaptive tool shown in Figure 3 is used during testing. Tensile tests were performed at room temperature for three strain rates 0.1, 0.01 and 0.001 s<sup>-1</sup>.



**Figure 3.** Uniaxial tensile testing of filament procedure (left) equipment for uniaxial filament testing and (right) sketch of equipment.

To measure the thermo-mechanical properties of the samples in uniaxial compression at various temperatures, Shimadzu mun EHF-EV101K3-070-0A universal testing machine equipped with 100 kN calibrated load cell and thermal chamber is used. Thermocouples were placed close to the surface and at the height of the sample as the temperature of the chamber in the sample zone was maintained. As already mentioned, cylindrical samples with a height and diameter of 10 mm were used, the ratio of height and diameter was chosen in order to avoid the occurrence of barreling and buckling of the samples observed in previous studies, where it is shown that these phenomena occur at a ratio of height and diameter exceeding 2. To reduce the friction Teflon strips were placed between the sample and the surface of the compression platens. The procedure of isothermal tests was defined as follows: the specimen was placed on a previously applied Teflon (PTFE) strip on the bottom compression platen, then the chamber was heated to the desired test temperature. In order to achieve temperature equilibrium, the specimen was kept in the heated chamber  $\approx$ 30 minutes before starting the uniaxial compression test. For compression tests, the upper moving platen moves freely for given displacement at constant velocity. All successful experiments were repeated three times for each strain rate and temperature (36 specimen in total) to ensure repeatability of results and exclude potential mistakes. Uniaxial compression experiments were conducted at four different strain rates 0.1 s<sup>-1</sup>, 0.01 s<sup>-1</sup>, 0.001 s<sup>-1</sup> and 0.0001 s<sup>-1</sup> and three temperatures 25 °C, 37 °C and 50 °C.

## 2.5. Measurement of Temperature Change at High Strain Rates

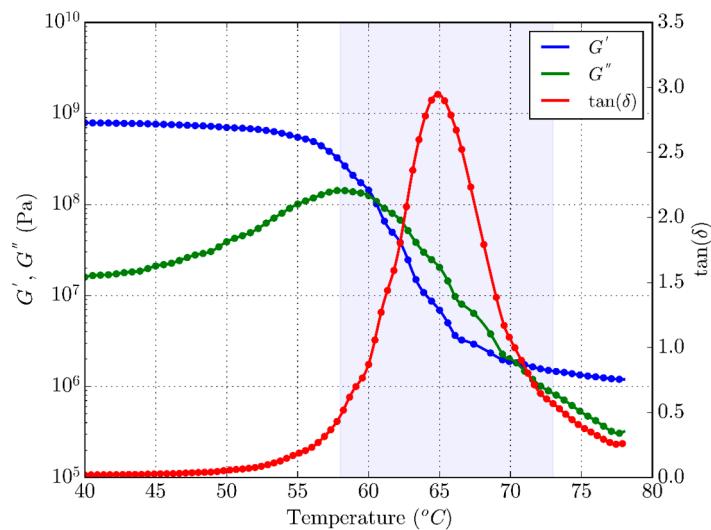
The subject of the test is PLA, which, in addition to the shape memory effect during 4D printing, is also characterized by an increase in temperature during testing at high deformation rates. The measurement of temperature increase in the samples was performed at room temperature and deformation rates of 0.01 s<sup>-1</sup> and 0.1 s<sup>-1</sup>. Even before the initial tests, these strain rates were identified as those for which an almost adiabatic scenario is established for thermoplastics [71–74]. Flir I7 infrared camera was used for the measurement of temperature evolution during compression tests.

## 3. Results and Discussion

### 3.1. DMTA Results

Figure 4 and Table 2 show the results of measuring the transition temperature  $T_g$  and the change of the storage modulus  $G'$ , loss modulus  $G''$  and loss tangent  $\tan \delta$  with temperature obtained by DMTA tests. The Figure allows identifying three characteristic zones of shape memory material: glass transition (blue surface in the picture), solid or glassy phase with high values of elastic modulus (left of the glass transition zone) and rubbery or soft phase of low values of elastic modulus (right of the transition zone). The results are in agreement with previous studies [75,76] for 4D printed PLA. The

glass transition temperature of PLA whose position is defined by the peak of loss tangent ( $\tan \delta$ ) is approximately at  $T_g = 65^\circ\text{C}$ , at which a significant drop in the elastic modulus occurs. The values for storage modulus in glassy phase are  $\approx 1045.7$  MPa and storage modulus in rubber phase  $\approx 1.2$  MPa and their high ratio (more than two orders of magnitude) show great shape memory potential of 4D printed PLA [77]. In general, these values show good shape fixity and good mechanical properties of material at lower temperatures and potential for large deformations at higher temperatures. Also, these values indicate that material is very sensitive to temperature which makes 4D printed PLA ideal candidate for further shape recovery research in auxetics.



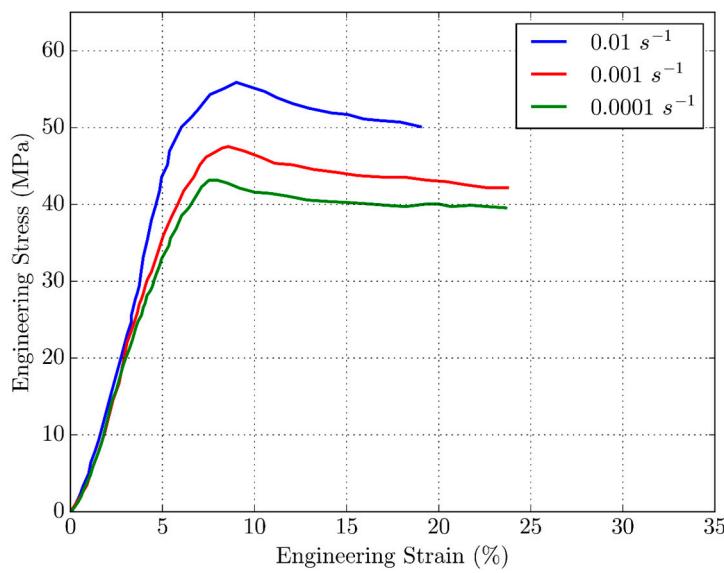
**Figure 4.** DMTA results for 4D printed PLA.

**Table 2.** DMTA results.

$G'$ (MPa)	$G''$ (MPa)	$G'/G''$ (-)	$T_g$ ( $^\circ\text{C}$ )
1045	1.2	>100	65

### 3.2. Uniaxial Tensile Tests of Filament

This section provides confirmation of the dependence of the base material PLA, used for printing the samples, on the deformation rate. As expected, Figure 5 shows a typical distribution of curves for thermoplastic materials that depend on the rate of deformation. At all three deformation rates, the elastic range up to the point of over-yielding is expressed. The non-linear increase in stress follows up to the yield point, which is also the point of the highest stress, after which deformational softening of the material follows. The yield stresses are 55 MPa, 48 MPa and 42 MPa for strain rates of  $0.01\text{ s}^{-1}$ ,  $0.001\text{ s}^{-1}$  and  $0.0001\text{ s}^{-1}$  respectively. Also, all yield points lie in range 6-8 % of deformation.



**Figure 5.** Stress-strain curves for PLA filament at various strain rates.

### 3.3. Uniaxial Compression Testing

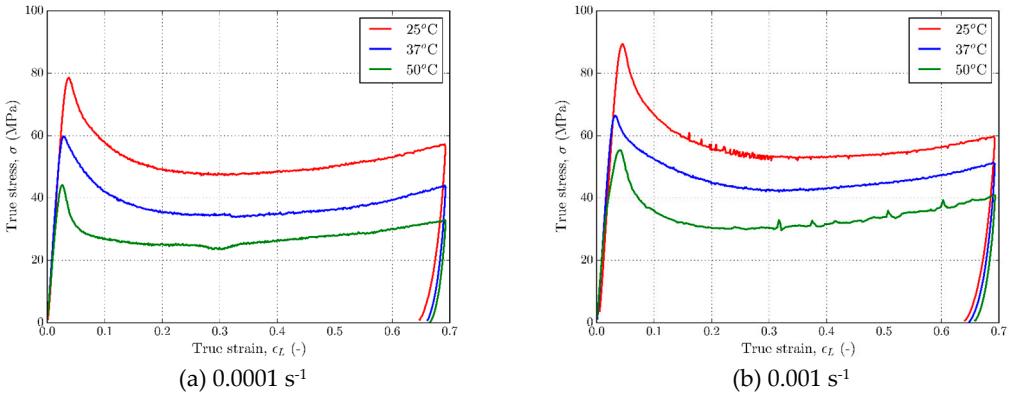
We have conducted a series of uniaxial compression experiments on the 4D printed PLA. All tests were carried for temperatures below determined  $T_g=65$  °C. The uniaxial compression experiments were carried at true-strain rates  $0.0001\text{ s}^{-1}$ ,  $0.001\text{ s}^{-1}$ ,  $0.01\text{ s}^{-1}$  and  $0.1\text{ s}^{-1}$  at  $25\text{ }^\circ\text{C}$ ,  $37\text{ }^\circ\text{C}$  and  $50\text{ }^\circ\text{C}$  up to strain levels of  $\approx 50\text{ \%}$  (0.68 true strain). Since the drop of the mechanical response is observed for the strain rates  $0.01\text{ s}^{-1}$  and  $0.1\text{ s}^{-1}$  at large strains ( $>0.4$  true strain) we separate analysis of results in two groups, isothermal at strain rates  $0.0001\text{ s}^{-1}$  and  $0.001\text{ s}^{-1}$  and adiabatic at strain rates  $0.01\text{ s}^{-1}$  and  $0.1\text{ s}^{-1}$ . Figure 6 shows as printed cylindrical samples and sample after compression to 0.5 of initial height.



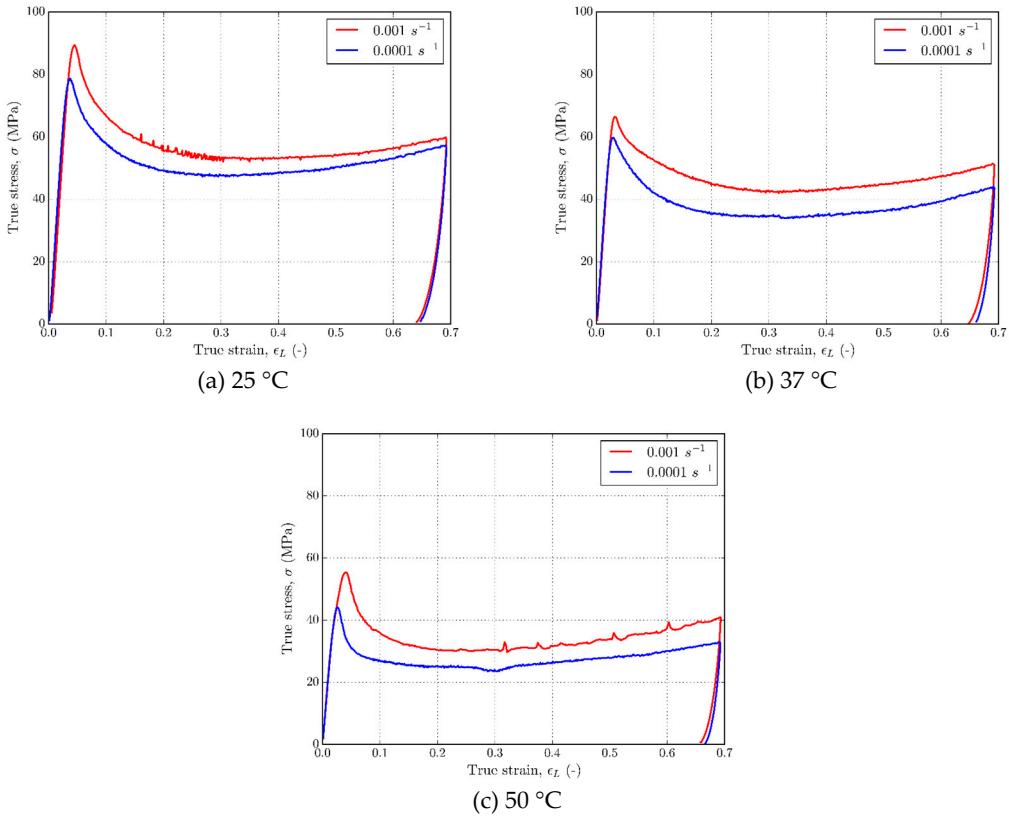
**Figure 6.** PLA 4D printed cylindrical samples – as printed (left) and after compression (right).

At isothermal experimental conditions 4D printed PLA polymer exhibits a strain-rate and temperature-dependent response typical for solid or glassy phase. True strain-stress isothermal uniaxial compression experimental results are given in Figure 2 and Figure 3. The material shows a tendency of glassy polymer behaviour under  $T_g$  with initial elastic region and rate dependent yield point, followed by strain softening. For higher values of strain, in case of both strain rates the curves are parallel and there is no intersection between them, so it can be concluded that there is no additional weakening of the samples during large deformations. The figure clearly shows the characteristics of the solid phase of PLA (temperatures below  $T_g$ ) material response with clearly defined yield point, followed by strain softening, and strain hardening of the material at large deformations. With temperature rise from  $25\text{ }^\circ\text{C}$  to  $50\text{ }^\circ\text{C}$  yield stress decreases from  $\approx 80\text{ MPa}$  to  $\approx 40\text{ MPa}$ . Upon unloading about approximately 4 % strain is reversible with temperature held constant. Increasing the temperature at the same strain rate leads to a drop in the value of the yield stress, as well as the stress during deformation hardening but the amount of hardening at larger strains is

slightly affected for this temperature range. A certain regularity can be observed in the stress distribution, except for minor measurement deviations for temperatures of 37 °C. Even for the very large strains there is no intersection of flow curves which indicates that no additional softening caused by strain rate occurred.



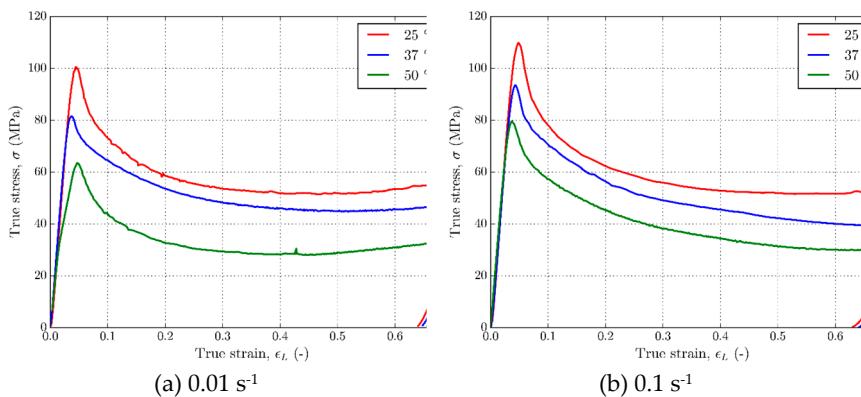
**Figure 2.** Stress – strain curves for PLA at strain rates (a)  $0.0001\text{ s}^{-1}$  and (b)  $0.001\text{ s}^{-1}$ .



**Figure 3.** Stress – strain curves for PLA at temperatures (a)  $25\text{ }^{\circ}\text{C}$ , (b)  $37\text{ }^{\circ}\text{C}$  and (c)  $50\text{ }^{\circ}\text{C}$ .

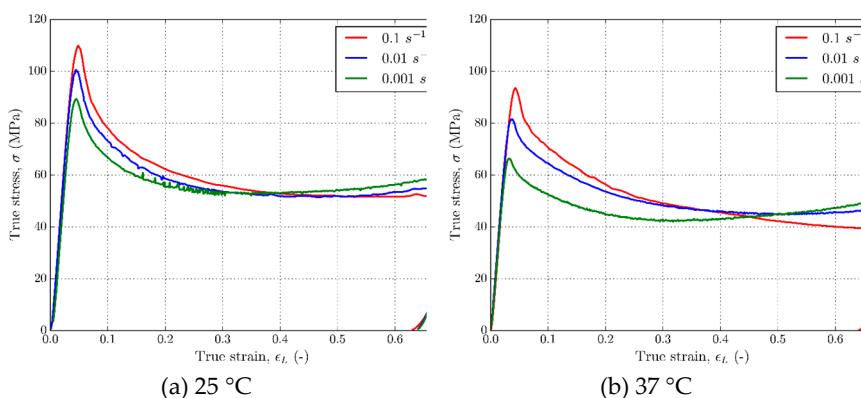
True strain-stress isothermal uniaxial compression experimental results are given in Figure 4 and Figure 5. The material shows a tendency of glassy polymer behaviour, initial elastic region with rate dependent yield stress point, followed by deformation softening. For higher values of strain, in case of both strain rates, heat could not be dissipated to the surrounding, which is clear explanation for crossing of curves at higher strains which is in correlation with tensile [78] and compression [72,73] results of previous studies. It should be noted that a  $0.001\text{ s}^{-1}$  strain rate curve has been added to this diagram in order to show the amount of softening and make a clear distinction between isothermal and adiabatic strain rates. In this case material shows a tendency of glassy polymer behaviour under  $T_g$  with initial elastic region and rate dependent yield point, followed by strain softening. For higher

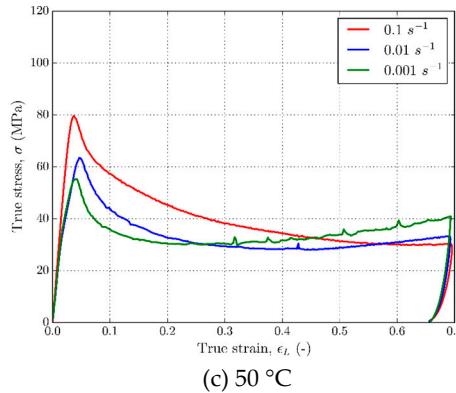
values of strain, in case of both strain rates the curves are parallel and there is no intersection between them, so it can be concluded that there is no additional weakening of the samples during large deformations. The figure clearly shows the characteristics of the solid phase of PLA (temperatures below  $T_g$ ) material response with clearly defined yield point, followed by strain softening, and strain hardening of the material at large deformations. With temperature rise from 25 °C to 50 °C yield stress decreases from ≈110 MPa to ≈50 MPa. Upon unloading about ≈5% strain is reversible with temperature held constant. Increasing the temperature at the same strain rate leads to a drop in the value of the yield stress, as well as the stress during deformation hardening but the amount of hardening at larger strains is slightly affected for this temperature range. A certain regularity can be observed in the stress distribution, except for minor measurement deviations for temperatures of 37 °C. Even for the very large strains there is no intersection of flow curves which indicates that no additional softening caused by strain rate occurred.



**Figure 4.** Stress – strain curves for PLA at strain rates (a) 0.01 s<sup>-1</sup> and (b) 0.1 s<sup>-1</sup>.

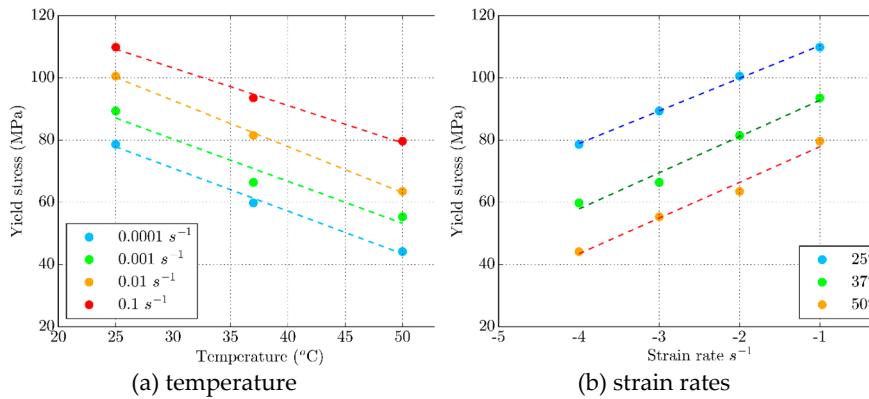
The analysis of the same strain-stress curves grouped according to the temperatures gives a slightly different picture of the phenomenon taking place in the material. Figure 5 shows flow curves for 25 °C, 37 °C, 50 °C and strain rates 0.1 s<sup>-1</sup>, 0.01 s<sup>-1</sup> and 0.001 s<sup>-1</sup>. The characteristics that can be observed on the stress-strain curves are yield curves have a clearly defined yield point like curves grouped by strain rate and after unloading ≈5% of strain is reversible. There is an intersection of the strain-stress curves, due to the stress drop at strain rates of 0.1 s<sup>-1</sup> and 0.01 s<sup>-1</sup>, at deformation ≈0.5 which is in agreement with the results for thermoplastics [74,79,80]. The stress drop is a consequence of the self heating in case of both strain rates, heat could not be dissipated to the surrounding because of speed of the process which consequently leads to further softening of thermo sensitive material.





**Figure 5.** Stress – strain curves for PLA at temperatures (a) 25 °C, (b) 37 °C and (c) 50 °C.

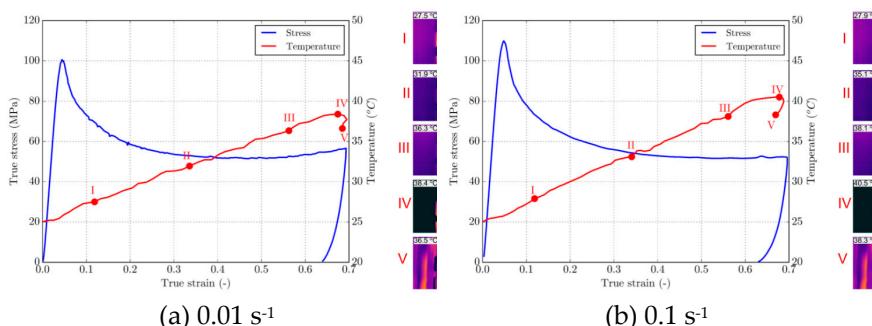
Values of yield stress dependence on strain rate and temperature are summarized in Figure 6.



**Figure 6.** Yield stress values dependence on (a) temperature and (b) strain rate.

### 3.4. Adiabatic Tests with Self Heating and Strain Softening

In this section, we present the results of self-heating in the material at strain rates greater than  $0.01 \text{ s}^{-1}$  and that the mentioned processes can be considered almost adiabatic. A typical thermo-mechanical coupled curve is shown in Figure 11. The temperature was recorded with a thermal imaging camera in 5 points for the PLA sample at a deformation rate of  $0.01 \text{ s}^{-1}$ . The recording shows that the temperature on the surface of the sample increases monotonously, with the temperature rising from 25 °C (room temperature) to  $\approx 40$  °C. Although this temperature is below the determined  $T_g$  for PLA, as shown on the stress-strain curves, there is a drop in mechanical characteristics that can be attributed to the internal heating of the extremely thermo-sensitive material. Maximum temperature was consistently observed in the middle of the sample. It also shown that the increase in temperature is insignificant before the flow in mateiral and that the temperature continues to rise constantly. Immediately after the start of unloading a temperature drop of  $\approx 2$  °C is observed.



**Figure 12.** Temperature evolution of 4D printed PLA at strain rate (a)  $0.01 \text{ s}^{-1}$  and (b)  $0.1 \text{ s}^{-1}$ .

Future research should focus on advanced modeling techniques to predict material behavior and explore mitigation strategies for self-heating effects, enhancing PLA-based product reliability and performance in applications with deformations at higher strain rates. The observed adiabatic processes that take place in the material during deformation at high strain rates require the development of a FEM coupled thermo-mechanical constitutive model in order to simulate self-heating processes with sufficient accuracy. In addition, the ultimate goal of the following research is to expand the FEM model with the ability to simulate shape recovery in 4D printed PLA samples and structures, both at cold (temperatures below  $T_g$ ) and hot programming (temperatures over  $T_g$ ). The aspect of cold programming is particularly important, because most auxetic structures and metamaterials undergo deformation at temperatures lower than  $T_g$ , and the simulation of shape recovery occurs by heating above  $T_g$ .

#### 4. Conclusions

The study confirmed PLA material's dependency on strain rate, with stress-strain curves displaying typical thermoplastic behavior. Yield stresses varied with strain rates, underscoring the material's sensitivity to deformation rates. Samples exhibited clear strain rate dependence, particularly at quasi-static rates, with temperature and strain rate variations significantly impacting mechanical properties, including yield stress and deformation behavior. Isothermal compression tests showed predictable stress-strain curves with distinct yield points, while adiabatic tests revealed additional complexities such as heat accumulation leading to further softening. Observations at higher strain rates indicated self-heating phenomena in PLA, resembling adiabatic conditions. Thermal imaging revealed temperature increases during deformation, with maximum temperatures at the sample center. The drop in mechanical characteristics attributed to internal heating highlighted the material's thermo-sensitive nature. These findings deepen the understanding of PLA behavior and hold significant implications for practical applications, especially in 3D and 4D printing and manufacturing.

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