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Article

Microencapsulation of Grape Pomace Extracts with Alginate-Based Coatings by Freeze-Drying: Release Kinetics and In Vitro Bioaccessibility Assessment of Phenolic Compounds

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Abstract: The phenols from grape pomace have remarkable beneficial effects on health prevention due to their biological activity, but these are often limited by their bioaccessibility in the gastrointestinal tract. Encapsulation could protect the phenolics during digestion and influence the controlled release in such an intestine where their potential absorption occurs. The influence of freeze-drying encapsulation with sodium alginate (SA) and its combination with gum Arabic (SA-GA) and gelatin (SA-GEL) on the encapsulation efficiency (*EE*) of phenol-rich grape pomace extract and the bioaccessibility index (*BI*) of phenolics during simulated digestion in vitro was investigated. The addition of a second coating to SA improved the *EE*, and the highest *EE* was obtained with SA-GEL (97.02 – 98.30 %). The release of phenolics followed Fick's law of diffusion and the Korsmeyer-Papas model best fitted the experimental data. The highest *BI* was found for the total phenolics (66.2 – 123.2 %) and the individual phenolics (epicatechin gallate: 958.9 %, gallic acid 987.3 %) using the SA-GEL coating was used. This study shows that freeze-dried encapsulated extracts have the potential to be used for the preparation of various formulations containing natural phenolic compounds with the aim of increasing their bioaccessibility compared to formulations containing non-encapsulated extracts.

Keywords: grape pomace extracts; encapsulation; freeze-drying; bioaccessibility; phenolic compounds

1. Introduction

Grape pomace, a by-product of the wine industry, is a significant source of phenolic compounds with potential health benefits [1]. These compounds, which are contained in the skins, seeds, and stems after pressing the grapes, include phenolic acids, flavonols, flavanols, stilbenes and anthocyanins [2]. Interest in grape pomace phenols is growing due to their antioxidant, anti-inflammatory and cardioprotective properties, which are crucial for the prevention of chronic diseases that are constantly on the rise, such as cancer and cardiovascular diseases [3]. However, their practical application is limited by problems such as poor solubility, stability under environmental conditions and low bioavailability in the human gastrointestinal tract [4]. Encapsulation techniques including freeze-drying, have proven to be a promising approach to mitigate these problems.

Freeze-drying is a preferred encapsulation method because it preserves the integrity and bioactivity of phenolic compounds by removing water at low temperature and pressure, thereby maintaining the structural stability of the encapsulated product [5]. To improve the effectiveness of this process, various biopolymers such as sodium alginate (SA), gum Arabic (GA) and gelatin (GEL) are used as coating materials. These coatings are selected for their ability to form a gel and their

compatibility with the freeze-drying process and are therefore suitable for creating a protective matrix with phenolic compounds. The selection and combination of these coatings are critical as they influence the encapsulation efficiency, protect the active ingredients from oxidative damage and control the release behavior during digestion [6]. An important aspect of the use of encapsulated phenolic compounds is their bioaccessibility and release profile in a simulated gastrointestinal environment, which are usually evaluated by *in vitro* studies. The release behavior of encapsulated compounds can be mathematically modeled to predict their release and functional efficacy [7,8]. Such modeling is crucial for optimizing encapsulation and release strategies and ensures that the phenolic compounds are available in the right place and at the right time in the digestive tract to maximize their health benefits.

The aim of this study is to analyze the phenolic profiles of different samples of phenol-rich grape pomace extracts and to investigate the effects of different coatings of natural origin used in encapsulation by freeze-drying on the encapsulation efficiency of the phenolic compounds, their release behavior and their bioaccessibility during simulated digestion *in vitro*. This comprehensive study will contribute to the knowledge of better utilization of phenolic compounds from winery waste streams and to the understanding of the release mechanisms and bioaccessibility of encapsulated phenolic compounds in the human gastrointestinal system.

2. Results and Discussion

2.1. The Phenolic Compounds Composition of Grape Pomace Extracts

The total phenolic content (TPC), total flavonoids (TFC) and total extractable proanthocyanidins (TPA) of three different grape pomaces: Cabernet Sauvignon (CSE), Cabernet Franc (CFE) and Merlot (ME), were determined spectrophotometrically, and the results are shown in **Figure 1**.

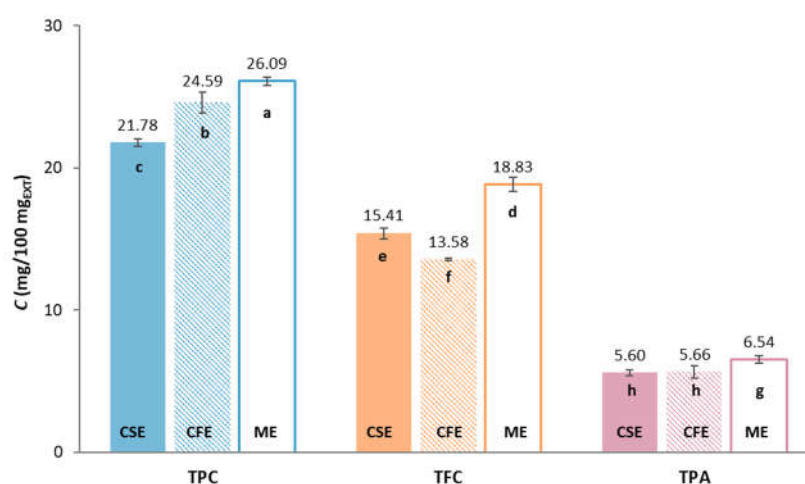


Figure 1. Content of total phenolic compounds (TPC), total flavonoids (TFC) and total extractable proanthocyanidins (TPA) of Cabernet Sauvignon (CSE); Cabernet Franc (CFE) and Merlot (ME) grape pomace extracts (EXT). Different letters stand for statistically significant differences within the individual result groups (TPC, TFC, TPA) (ANOVA, post-hoc Duncan's test at $p < 0.05$).

Among the groups of compounds tested, TPC is predominant in all tested extracts, ranging from 21.78 to 26.09 mg_{GAE}/100 mg_{EXT}, followed by TFC (13.58 – 18.83 mg_{CE}/100 mg_{EXT}) while TPA has the lowest content, ranging from 5.60 to 6.54 mg/100 mg_{EXT}. The highest content of certain phenolic compounds was found in sample ME. It can be seen that the sample has a statistically significant influence on the content of TPC and TFA. For TPA, there was no statistically significant difference in the content of samples CSE and CFE, while the TPA content of ME was statistically significantly different from them. Comparing the results obtained with the available literature data, there is considerable variability due to many factors, including variety, grape pomace composition (including pulp, seeds, grape skins and sometimes stalks and other solid residues after pressing), climatic

conditions, agrotechnical conditions, geographical location, winemaking process, extraction methods and many other factors [1]. For example, Rockenbach et al. [9] analyzed the TPC of CSE and ME obtained from the Videira winery (Brazil, vintage 2008) and found lower contents of TPC compared with this study, namely 7.48 mg_{GAE}/100 mg_{EXT} for CSE and 4.62 mg_{GAE}/100 mg_{EXT} for ME. Similarly, the studies of Iora et al. [10] included the analysis of CSE and ME varieties grown in the Toledo region (Brazil, vintage 2012), where they recorded a higher content of TPC and TFC in CSE (TPC = 5.10 mg_{GAE}/100 mg_{EXT}; TFC = 2.98 mg_{CE}/100 mg_{EXT}) than in ME (TPC = 3.76 mg_{GAE}/100 mg_{EXT}; TFC = 2.12 mg_{CE}/100 mg_{EXT}). In addition, Xu et al. [11] investigated the composition of the CFE originated from Orange County (Orange, VA, USA) and determined the content of TPC 15.38 mg_{GAE}/ 100 mg_{EXT} and TFC 9.17 mg_{CE}/100 mg_{EXT}, while Jin et al. [12] determined 36.1 mg_{GAE}/g_{db} for TPC, 16.3 mg_{CE}/g_{db} for TFC and 21.2 mg/g_{db} for TPA for CFE from the Crozet region (Crozet, VA, USA).

The profile of the individual phenolic compounds of all grape pomace extracts determined by ultra-high performance liquid chromatography (UHPLC) is shown in **Table 1**.

Table 1. Content of individual phenolic compounds of phenol-rich grape pomace extracts (CSE – Cabernet Sauvignon; CFE – Cabernet Franc; ME – Merlot) determined by UHPLC analysis.

	CSE	CFE	ME
<i>Phenolic acids (mg/100 mg_{EXT})</i>			
Gallic acid	161.99 ± 31.68	130.00 ± 10.98	207.79 ± 10.15
3,4-Dihydroxybenzoic acid	62.02 ± 11.60	24.09 ± 1.97	75.63 ± 5.51
<i>p</i> -Hydroxybenzoic acid	2.97 ± 0.54	1.00 ± 0.04	2.10 ± 0.09
Syringic acid	88.88 ± 20.39	114.36 ± 3.02	51.89 ± 9.07
Vanillic acid	10.45 ± 0.80	14.83 ± 0.74	12.34 ± 0.25
Ellagic acid	94.72 ± 10.39	81.33 ± 1.23	16.32 ± 0.12
Caffeic acid	1.40 ± 0.04	1.04 ± 0.02	0.75 ± 0.01
Ferulic acid	0.97 ± 0.01	2.52 ± 0.25	0.97 ± 0.08
<i>o</i> -Coumaric acid	7.46 ± 1.39	19.48 ± 0.58	9.60 ± 0.60
<i>p</i> - Coumaric acid	3.43 ± 0.71	1.44 ± 0.04	3.31 ± 0.20
<i>Flavanols (mg/100 mg_{EXT})</i>			
Epicatechin	100.71 ± 11.65	547.27 ± 25.23	248.31 ± 9.17
Catechin	240.87 ± 13.61	527.59 ± 28.62	272.26 ± 0.42
Epicatechin gallate	5.78 ± 2.74	32.46 ± 1.65	8.29 ± 0.04
Gallocatechin gallate	72.53 ± 21.84	127.23 ± 9.54	76.11 ± 4.85
Procyanidin B1	118.52 ± 25.63	317.42 ± 2.59	197.93 ± 16.51
Procyanidin B2	46.57 ± 21.88	126.51 ± 23.32	62.19 ± 2.76
<i>Flavonols (mg/100 mg_{EXT})</i>			
Quercetin	214.33 ± 24.44	146.04 ± 3.93	120.95 ± 1.84
Kaempferol	13.22 ± 1.34	10.40 ± 1.00	5.19 ± 0.06
Rutin	13.14 ± 3.60	65.08 ± 5.10	24.13 ± 0.95
<i>Stilbenes (mg/100 mg_{EXT})</i>			
Resveratrol	7.91 ± 0.45	8.82 ± 0.40	14.16 ± 0.37
ϵ -Viniferin	22.75 ± 0.58	13.72 ± 0.06	8.53 ± 0.31
<i>Anthocyanins (mg/100 mg_{EXT})</i>			
Oenin chloride	91.28 ± 0.72	794.37 ± 21.84	32.83 ± 0.36
Myrtillin chloride	12.09 ± 0.04	35.15 ± 0.00	2.81 ± 0.01

Kuromanin chloride	2.29 ± 0.00	4.88 ± 0.03	1.11 ± 0.15
Petunidin chloride	1.89 ± 0.08	7.77 ± 0.01	0.67 ± 0.03
Callistephin chloride	nd	2.27 ± 0.03	nd
Peonidin-3-O-glucoside chloride	9.76 ± 0.02	77.75 ± 7.28	7.17 ± 0.09

nd – compound not identified, EXT – extract. The content of the individual phenolic compounds is given as mean value of replicate (mg/100 mg_{EXT}) ± SD.

Of the 33 phenolic compounds tested in grape pomace extracts, 26 phenolic compounds were identified and quantified in CSE and ME and 27 phenolic compounds in CFE prior to simulated digestion in vitro. These phenolic compounds are divided into five groups: phenolic acids (hydroxybenzoic acid and hydroxycinnamic acid), flavanols, flavonols, stilbenes and anthocyanins.

All extracts contain significant amounts of hydroxybenzoic phenolic acids: ellagic acid (16.32 – 94.72 mg/100 mg_{EXT}), 3,4-dihydroxybenzoic acid (24.09 – 75.63 mg/100 mg_{EXT}), syringic acid (51.89 – 114.36 mg/100 mg_{EXT}), and gallic acid (130.00 – 207.79 mg/100 mg_{EXT}). Gallic acid, which is abundant in various fruits and vegetables, has various biological functions, such as anti-cancer, antimicrobial and antioxidant effects. However, problems such as poor solubility, stability and low bioavailability hinder its therapeutic potential [13]. Syringic acid, known for its antioxidant properties and benefits such as liver protection [14], anti-inflammation [15], antidiabetic [16] and neuroprotection [17], encounters similar limitations in therapeutic efficacy due to its low bioavailability [18]. Similarly, ellagic acid, although offering the same health benefits as gallic acid and syringic acid, encounters limitations due to its solubility and bioavailability, necessitating research into controlled-release formulations in the gastrointestinal tract [19]. 3,4-dihydroxybenzoic acid, on the other hand, shows promising properties, including anti-inflammatory, neuroprotective, antidiabetic and antioxidant effects, suggesting potential therapeutic applications [20]. Although not found in high concentrations in grape pomace, hydroxycinnamic acids – caffeic acid, ferulic acid and coumaric acids are extremely important due to their numerous beneficial biological effects. They possess antioxidant and antitumor properties and contribute to the prevention of cardiovascular diseases and hypertension [21–23], and a diet enriched with hydroxycinnamic acids reduces the risk of Alzheimer's disease and atherosclerosis [24,25]. Of the hydroxycinnamic acids examined, *o*-coumaric acid was quantified in the highest concentrations in all grape pomace extracts (7.46 – 19.48 mg/100 mg_{EXT}).

The flavanols present in grape skins are mainly in the form of catechins and account for a significant proportion (13 – 30 %) of the total phenolic content in red grapes, while their content is higher in white grape varieties (46 – 56 %) [26]. **Table 1** shows that epicatechin (100.71 – 547.27 mg/100 mg_{EXT}) and catechin (240.87 – 527.59 mg/100 mg_{EXT}) together with procyanidin B1 (118.52 – 317.42 mg/100 mg_{EXT}) were among the most abundant phenolic compounds in the analyzed extracts. The flavonol quercetin was another extremely abundant single phenolic compound in the extracts (120.95 – 214.33 mg/100 mg_{EXT}). Flavanols are extremely interesting compounds as their positive influence on cardiovascular health has been demonstrated [27]. Proanthocyanidins show strong anticancer, antimicrobial and chemoprotective activity as well as a strong antioxidant effect [28–31], and the positive effect of flavonols against osteoporosis has been demonstrated in both in vitro and in vivo studies [32].

Like all other phenolic compounds, stilbenes have an antioxidant effect, but they also contribute to the prevention of cancer and cardiovascular disease and have neuroprotective and anti-inflammatory properties [33]. Resveratrol and its dimer, ϵ -viniferin, were observed in the extracts studied, with higher levels of ϵ -viniferin found in CSE (22.75 mg/100 mg_{EXT}) and CFE (13.72 mg/100 mg_{EXT}); and higher levels of resveratrol in ME (14.16 mg/100 mg_{EXT}) (**Table 1**).

The last group of phenolic compounds investigated were anthocyanins, which are commonly associated with the color of grapes and can be used as natural pigments. However, they also contribute to health through their cardioprotective, antithrombotic, antiatherosclerotic, vasoprotective and anti-inflammatory properties [34]. **Table 1** shows large differences in the content of anthocyanins, but also large differences between the contents of the same anthocyanins between

the studied extracts. It can be seen that callistephin chloride was identified exclusively in CFE. However, all extracts have in common that the highest concentrations of peonidin-3-O-glucoside chloride (7.17 – 77.75 mg/100 mg_{EXT}) and oenin chloride (32.83 – 794.37 mg/100 mg_{EXT}) were quantified (Table 1). Anthocyanins are attributed similar properties to many flavanols and flavones, such as antioxidant, antiviral and anticancer properties [35–37].

When considering all extracts, the highest content of the individual compounds was observed in CFE (Table 1), although the highest levels of TPC, TFC and TPA were previously determined in ME (Figure 1).

2.2. Encapsulation Efficiency of Total Phenolic Compounds from Grape Pomace Extracts

Encapsulation of phenol-rich grape pomace extracts (CSE, CFE, ME) in different alginate-based coatings was performed by freeze-drying and the encapsulation efficiency was evaluated.

The results show that the addition of a second coating to SA increases the encapsulation efficiency (EE) of phenolic compounds, which underlines the crucial role of the choice of coating or combination of coatings in improving EE (Figure 2). Thus, the EE for all samples ranged from 79.79 to 84.29 % when SA was used alone, and when SA was used in combination with gum Arabic (SA-GA) or gelatin (SA-GEL), the EE increased and ranged from 90.74 to 93.40 % and from 97.02 to 98.30 %, respectively.

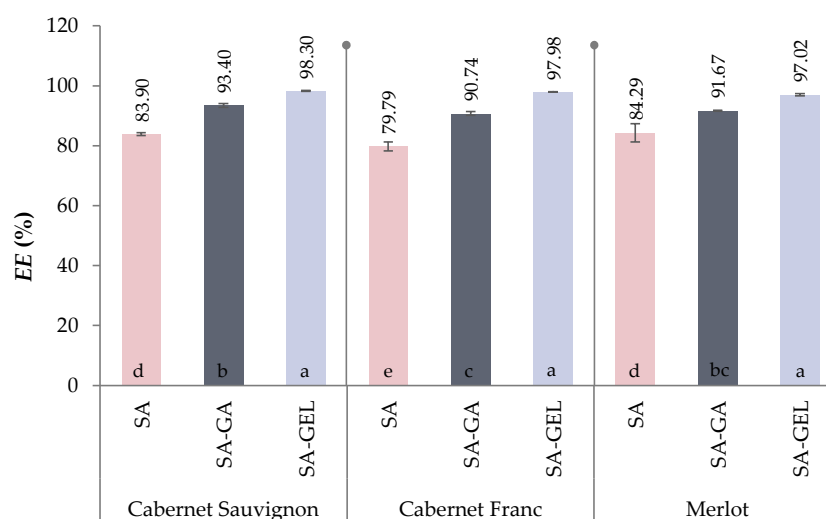


Figure 2. Encapsulation efficiency (EE, %) of phenol-rich grape pomace extracts using various coatings (SA – sodium alginate, and combinations of sodium alginate with gum Arabica – SA-GA and with gelatin – SA-GEL). Different letters represent statistically significant differences between results (ANOVA, post-hoc Duncan's test at $p < 0.05$).

For all encapsulated extracts (CSE, CFE, ME) no statistically significant difference in EE was observed when SA-GEL was used as coating and for samples CSE and ME when SA and SA-GA coating was used. The EE of sample CFE was statistically different from the other two encapsulated extracts when SA was used as a coating, while there was no difference in EE compared to ME when SA-GA was used as a coating. The inclusion of GA or GEL in the encapsulation matrix significantly increases the EE due to their protein content, which promotes forming both hydrophobic and hydrogen bonds with the phenolic compounds contained in the grape pomace extracts. These interactions are further enhanced by the ability of the protein to bond with the free carboxyl groups of the polymers, as shown by Li et al. [38] and Jyothi et al. [39].

Results of this study are consistent with existing literature highlighting the influence of coating(s) on the encapsulation outcome and suggest that the synergistic effects of combining SA with GA or GEL can enhance the protective matrix around the phenolic compounds, leading to higher EE regardless to encapsulation methods. Martinović et al. [40] also found that using the ionic

gelation method and these two coating combinations significantly improved *EE*, which was 52.62 % and 69.27 % for the SA-GA and SA-GEL, respectively. The emulsifying and gelling properties of GA [41] and the ability of GEL to improve mechanical strength and barrier properties [42] likely contribute to this improvement by ensuring a more efficient encapsulation process and reducing the loss of phenolic compounds during freeze-drying. These advances in encapsulation technology not only pave the way for improving the stability and bioavailability of bioactive compounds from extracts, but also have significant implications for their application in food and dietary supplements, where optimal *EE* is critical for achieving the desired health benefits and shelf life of the product.

2.3. Physicochemical Characterization of Microencapsulated Powder

The product of encapsulation of phenol-rich grape pomace with various alginate- based coatings by freeze-drying was a microencapsulated powder that was subjected to physicochemical characterization, including morphology studies, X-Ray Powder Diffraction (XRPD) and Differential Scanning Calorimetry (DSC) Analysis.

2.3.1. Morphology of Microencapsulated Powder

The influence of the coatings used on the morphology of the microencapsulated powder of various grape pomace extracts produced by freeze-drying was investigated using a scanning electron microscope (SEM), and the images obtained are shown in **Figure 3**. The microencapsulated powder obtained exhibited a distinct surface morphology characterized by a fissured, plate-like appearance and a texture reminiscent of sawdust (**Figure 3**). This morphology is consistent with observations made in several studies in this field [43,44] and highlights a consistent pattern in freeze-dried microencapsulated powder.

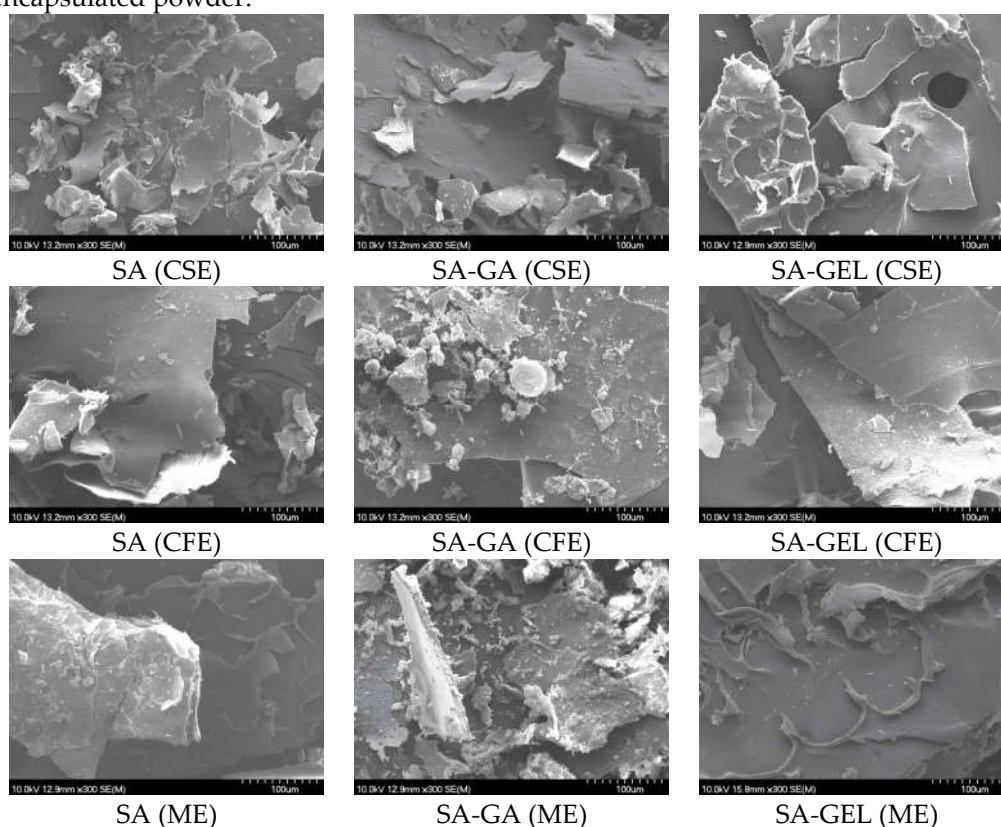


Figure 3. SEM image of microencapsulated powder containing grape pomace extracts (Cabernet Sauvignon (CSE), Cabernet Franc (CFE) and Merlot (ME)) prepared with sodium alginate (SA); SA with gum Arabic (SA-GA) and SA with gelatin (SA-GEL) by freeze-drying and their outer layer at the scale of 100 µm.

In addition to these features, the microencapsulated powders also exhibited areas of smooth surfaces, contributing to a diverse morphological profile. Such physical characteristics of microencapsulated powders are indicative of a high degree of brittleness, a property that allows them to be easily broken into finer particles [45]. This brittleness is a crucial factor for the handling, storage, and application possibilities of the powders, as it may affect the solubility, bioavailability, and release mechanisms of the encapsulated compounds.

2.3.2. X-Ray Powder Diffraction and Differential Scanning Calorimetry Analysis

XRPD and DSC analyses were used to examine the crystalline and amorphous states of the phenol-rich grape pomace extracts, coatings used and produced microencapsulated powders. XRPD analysis revealed that the coatings were amorphous in structure, characterized by the absence of sharp diffraction peaks (**Figure 4 A**). In contrast, the phenol-rich grape pomace extracts showed distinct sharp peaks in the XRPD spectra, indicating a highly crystalline structure (**Figures 4 B – D**). It is noteworthy that freeze-drying appeared to cause a change in the crystalline structure of the extracts, leading primarily to amorphization. This change to an amorphous state during freeze-drying has been confirmed in numerous studies [46,47] and underlines its importance in improving the solubility and bioaccessibility of the encapsulated compounds.

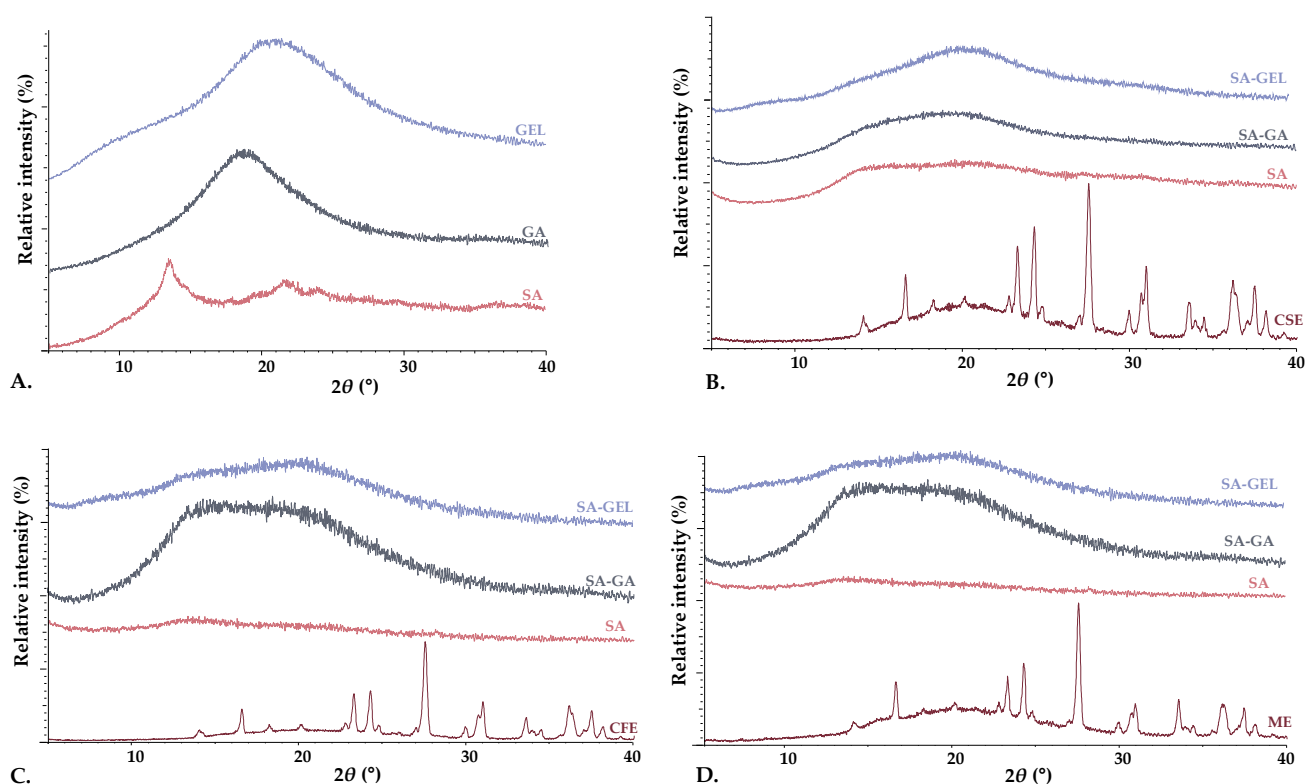


Figure 4. X-ray powder diffractograms of (A) pure coatings (sodium alginate – SA, gum Arabic – GA, gelatin – GEL), and microencapsulated powders containing grape pomace phenol-rich extracts of different varieties: (B) Cabernet Sauvignon – CSE, (C) Cabernet Franc – CFE, (D) Merlot – ME, prepared using various coatings (SA, combinations SA-GA and SA-GEL).

XRPD results were further substantiated by a DSC analysis. The DSC thermograms showed broad peaks in both the pure coatings and the microencapsulated powders, indicating a loss of water during thermal analysis (**Figure 5**). This is a characteristic feature of materials with amorphous or semi-crystalline structures, where the broad peak is typically associated with the evaporation of free water in the sample [48,49]. On the other hand, the grape pomace extracts exhibited distinct endothermic peaks within a narrow temperature range of 268.83 to 269.67 °C (**Figure 5 B – D**). These sharp transitions are characteristic of the melting point of crystalline extracts.

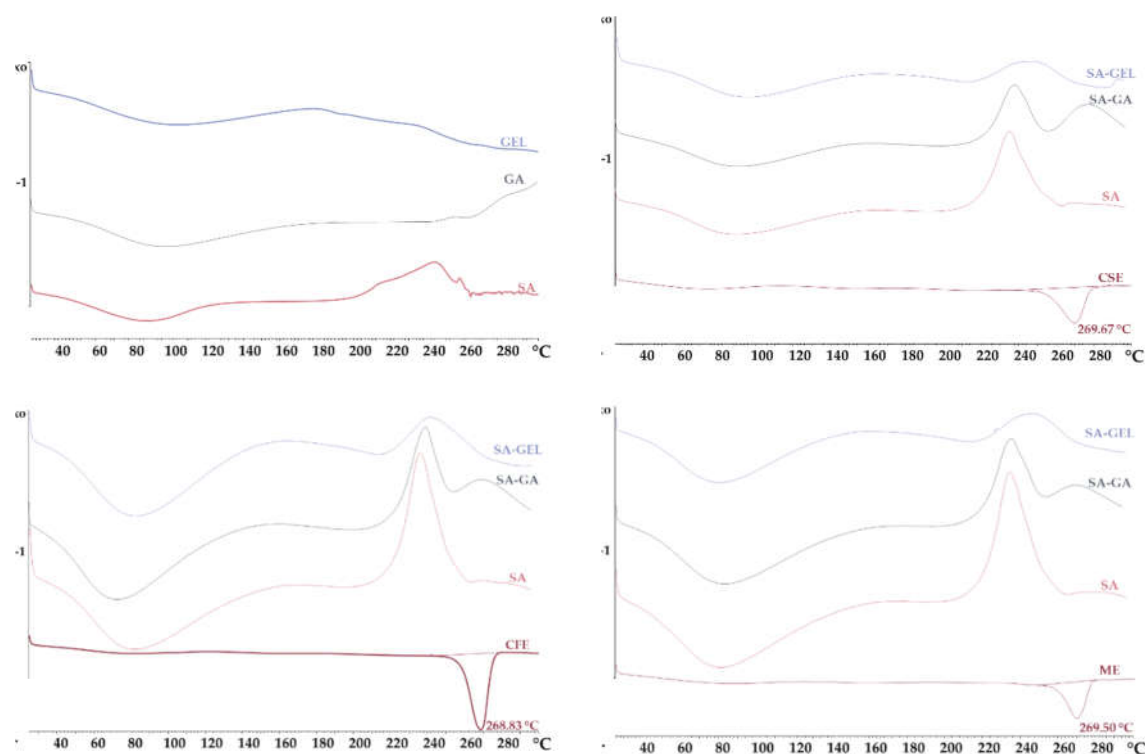


Figure 5. Differential scanning calorimetry thermograms of (A) pure coatings (sodium alginate – SA, gum Arabic – GA, gelatin – GEL), and freeze-dried microencapsulated powders containing grape pomace phenol-rich extracts of different varieties: (B) Cabernet Sauvignon – CSE, (C) Cabernet Franc – CFE, (D) Merlot – ME, prepared using various coatings (SA, combinations SA-GA and SA-GEL).

2.4. In Vitro Release of Total Phenolic Compounds from Microencapsulated Powders

The release of TPC from microencapsulated powders containing grape pomace extracts was performed in three phases: oral (OP), gastric (GP), and intestinal phase (IP), using electrolyte solutions without enzymes simulating conditions in the upper human digestive tract (**Figures S1** and **6**). Afterwards, mathematical models: the first-order model, the Higuchi model, the Korsmeyer-Peppas model and the Hixson-Crowell model, were used to describe the kinetics of TPC release from microencapsulated powders (**Figure S2**, **Table 2**).

The cumulative TPC release profile of CSE-SA microencapsulated powders shows a gradual increase from the beginning of OP (27.41 mg_{GAE}/g_P) to the end of GP (39.08 mg_{GAE}/g_P), with a smaller subsequent increase at the end of IP (40.64 mg_{GAE}/g_P) (**Figure S1 A**). Nevertheless, fluctuations in cumulative TPC release were observed during GP and IP. In contrast to CSE-SA, CFE-SA microencapsulated powders showed a more pronounced cumulative release of TPC in GP, peaking at 50.60 mg_{GAE}/g_P at 23rd min of in vitro release, followed by a decrease and a slight increase in the cumulative release of TPC in IP at the 163rd min (50.93 mg_{GAE}/g_P) (**Figure S1 B**). ME-SA microencapsulated powders show a similar trend to CSE-SA powders, with a gradual increase until the end of GP (50.25 mg_{GAE}/g_P), followed by a sharp increase in cumulative release of TPC in IP and then a relatively stable release until the end of IP (**Figure S1 C**).

The **Figure 6** show the percentage of cumulative TPC released in each phase relative to the total percentage of TPC released during 243-min digestion. Overall, SA as a coating provided a stable cumulative release of TPC in OP (54.53 – 68.80 %) and GP (28.72 – 39.79 %), with a limited release in IP (3.83 – 5.68 %) (**Figure 6**), indicating that SA may protect TPC in the early stages of digestion, but is not an ideal coating for its release in intestines as the preferred site of absorption when freeze-drying was used for encapsulation. Negative values of cumulative release in IP are visible for CFE-SA powders (-0.77 %) (**Figure 6**), which is a consequence of the release of higher concentrations of TPC at the end of GP than in IP, as shown in **Figure S1 B**.

CSE-SA-GA and CFE-SA-GA microencapsulated powders show a relatively similar pattern of cumulative TPC release. After OP, a uniform release without large differences in TPC content is seen in GP, and at the end of GP there is an increase in TPC release (32.30 – 41.08 mg_{GAE}/gp). The transition to IP leads to a further increase in cumulative release, but also to a rapid decrease in both samples (**Figures S1 A, S1 B**). From the ME-SA-GA microencapsulated powders, a higher content of TPC (32.65 mg_{GAE}/gp) is already released in the OP than in the two other SA-GA microencapsulated powders, but also compared to the ME-SA and ME-SA-GEL powders (**Figure S1**). During the GP, fluctuations in the release of TPC are visible, and in the IP, there are no significant changes in the cumulative release (**Figure S1 C**). In **Figure 6**, it can be observed that the all SA-GA microencapsulated powders show a similar trend in cumulative TPC release as the SA microencapsulated powders, that is: OP < GP < IP with 67.88 – 71.20 % of TPC released in OP, then 18.86 – 31.43 % in GP and 0.70 to 9.95 % in IP.

CSE-SA-GEL microencapsulated powders show minimal release during OP, followed by a slight increase in GP and then a decrease in cumulative TPC release. However, upon transition to IP, a significant increase in release and a stable trend during this phase was observed (**Figure S1 A**). A similar trend was observed for CFE-SA-GEL and ME-SA-GEL microencapsulated powders, with the exception in the case of CFE-SA-GEL powders after OP when the cumulative release of TPC showed a decrease during GP (**Figures S1 B, S1 C**). All microencapsulated powders prepared with the SA-GEL coating combination showed negative values of percentage of cumulative TPC release in GP ranging from -13.39 to 39.98 %, followed by excellent release in IP (30.51 – 56.46 %) (**Figure 6**). This is indicative of the results previously seen in **Figures S1 A – C**, where lower concentrations of TPC are released in GP than in IP, and at the same time the fact that the SA-GEL combination provides the most desirable release profile, i.e. the best protection during the gastric phase and a greater and gradual release of TPC in the intestinal phase, which could potentially allow better absorption of TPC in the intestinal phase. A similar release profile was also observed after ionic gelation of grape pomace extract using SA-GEL [40] related to the fact that the microbeads swell better at intestinal pH, which allows lower diffusion of TPC in GP and higher in IP [50].

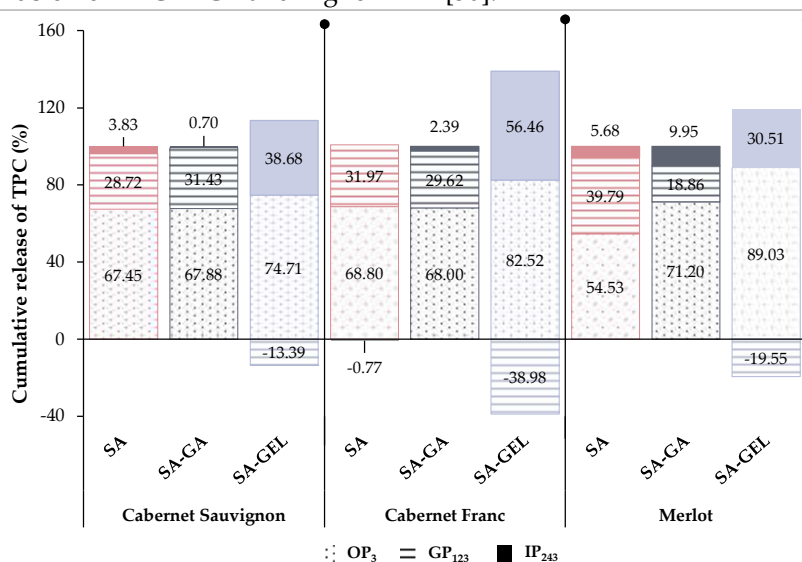


Figure 6. The percentage of cumulative release of total phenolic compounds (TPC) for endpoints of each gastrointestinal phase: OP – oral phase (OP₃), GP – gastric phase (GP₁₂₃), IP – intestinal phase (IP₂₄₃) from microencapsulated powders containing Cabernet Sauvignon, Cabernet Franc and Merlot grape pomace extracts prepared with sodium alginate (SA), sodium alginate with gum Arabic (SA-GA) and sodium alginate with gelatin (SA-GEL).

The release kinetics of encapsulated TPC are described by mathematical models shown in **Supplementary Materials (Figure S2)**. The model parameters and statistical criteria used to evaluate the success of the approximation of the experimental data are listed in **Table 2**. The tested models – the first-order model, the Higuchi model, and the Hixson-Crowell model – showed negative values

of the adjusted coefficient of determination (R^2_{adj}) for most of the tested microencapsulated powders, indicating a poor approximation by these models to the experimental data (Table 2).

Table 2. Estimated parameters of the applied mathematical models for describing the release kinetics of total phenolic compounds (k_1 , k_H , k_{HC} , k_{KP} – release constants for the corresponding model; n – diffusion exponent) from microencapsulated powders containing extracts of Cabernet Sauvignon, Cabernet Franc and Merlot grape pomace prepared with different coatings, and statistical criteria for model approximation success (R^2_{adj} – adjusted coefficient of determination, AIC – Akaike information criterion, MSC – model selection criterion).

Release rate constants and statistical criteria of model approximation success										
Matemathical models		Cabernet Sauvignon			Cabernet Franc			Merlot		
		SA	SA-GA	SA-GEL	SA	SA-GA	SA-GEL	SA	SA-GA	SA-GEL
First order model	R^2_{adj}	-0.464	-0.073	-0.641	-0.558	0.021	-0.178	-0.364	-0.079	-1.479
	AIC	134.3	131.7	138.1	136.2	130.4	133.8	134.7	130.3	147.2
		82	64	36	99	75	87	48	62	22
	MS	-2.332	-1.580	-1.568	-2.248				-1.656	
	C					-1.492	-0.784	-1.684		-2.129
	k_1	0.040	0.048	0.031	0.041	0.049	0.013	0.026	0.043	0.024
Higuchi model	R^2_{adj}	-1.004	-0.501	-0.538	-1.118	-0.477	0.121	-0.498	-0.463	-1.226
	AIC	138.8	136.5	137.2	140.8	136.2	129.7	136.0	134.6	145.7
		96	50	23	26	75	85	79	24	14
	MS	-2.655	-1.922	-1.503	-2.571				-1.961	
	C					-1.906	-0.491	-1.779		-2.021
	k_H	8.639	8.851	7.996	8.811	8.839	6.725	8.473	8.474	9.054
HixsonCrowell model	R^2_{adj}	-1.273	-0.790	-0.913	-1.380	-0.826	-0.224	-0.635	-0.800	-1.668
	AIC	140.6	139.0	140.2	142.4	139.2	134.4	137.3	137.5	148.2
		64	10	82	49	23	28	08	28	51
	MS	-2.781	-2.098	-1.722	-2.687				-2.168	
	C					-2.116	-0.823	-1.867		-2.202
	k_{HC}	0.007	0.007	0.006	0.007	0.007	0.003	0.006	0.007	0.006
Korsmeyer-Peppas model	R^2_{adj}	0.963	0.873	0.611	0.910	0.922	0.462	0.889	0.951	-
	AIC	83.52	102.7	118.7	97.38	95.74	123.8	100.5	87.61	-
		5	75	61	1	2	25	23	3	-
	MS	1.300	0.490	-0.195	0.532				1.397	
	C					0.989	-0.065	0.761		-
		73.69	72.20	75.25	77.04	67.02	48.96	61.46	64.74	-
	k_{KP}	8	7	7	7	3	2	8	2	
	n	0.056	0.050	0.014	0.049	0.078	0.093	0.084	0.077	-

SA – sodium alginate; SA-GA – combinations of sodium alginate with gum Arabic; SA-GEL – combinations of sodium alginate and gelatin; “-” – model parameters could not be determined. The statistical criteria of the model that best describe the release of total phenolic compounds from freeze-dried microencapsulated powders with different coatings are marked in bold.

In contrast, the Korsmeyer-Peppas model showed high R^2_{adj} values for all three microencapsulated powders prepared with SA (0.889 – 0.963) and SA-GA (0.873 – 0.951) coatings, suggesting that this model well represents the experimentally obtained data for the release kinetics of TPC under the conditions tested. For the SA-GEL microencapsulated powders, the Korsmeyer-Peppas model showed slightly lower R^2_{adj} values for samples CSE and CFE (0.462 – 0.611), while it is significant that the parameters of this model could not be approximated for ME-SA-GEL microencapsulated powders (**Table 2**).

Values of the parameter n for SA (0.049 – 0.084), SA-GA (0.050 – 0.078) and SA-GEL (0.014 – 0.093) microencapsulated powders of all samples, which are below 0.45 (**Table 2**), confirm that the release of TPC from these powders obtained by freeze-drying is primarily governed by Fick's law of diffusion. The large difference in the range of the parameter n in the case of SA-GEL powders indicates more pronounced diffusion, where the movement of phenolic compounds through the encapsulated matrix is largely dependent on the concentration gradient [51]. The presence of gelatin, which is known for its gel-forming properties, could result in the encapsulated network being more uniform or dense, thereby affecting the diffusion of the phenolic compounds [37,52].

The values of the k_{KP} parameter for microencapsulated powders prepared with SA were 61.468 – 77.047; for SA-GA 64.742 – 72.207 and 48.962 – 75.257 for SA-GEL powders (**Table 2**). These values indicate that the addition of gum Arabic or gelatin influences the properties of the produced microencapsulated powders and thus modulates the release rate of the phenolic compounds. Microencapsulated powders containing gelatin exhibit the widest range of k_{KP} values, indicating a potentially greater influence of gelatin on modifying the release rate, possibly due to its interaction with the phenolic compounds or its effect on the structure of the encapsulation matrix [52,53].

2.5. In Vitro Simulated Digestion and Bioaccessibility Index of Phenolic Compounds

Phenol-rich grape pomace extracts (CSE; CFE; ME) and microencapsulated powders containing these grape pomace extracts prepared with different coatings (SA; SA-GA; SA-GEL) were subjected to simulated in vitro digestion of the upper digestive tract using digestive enzymes – pepsin, pancreatin and bile extract [54]. The simulated digestion was performed in the OP, GP, and IP phases in order to assess bioaccessibility of phenolic compounds. Bioaccessibility is the proportion of the active ingredient that is released from food into the digestive tract and can potentially be absorbed or is bioavailable and it is the key factor on the bioactivity of phenolic compounds [55,56]. During the execution of the simulated digestion at the end of each phase (OP₃, GP₁₂₃ and IP₂₄₃; the number in the index indicates the duration of digestion, calculated from the beginning of digestion), samples were taken in which the concentrations of released TPC, TFC and TPA as well as individual phenolic compounds (phenolic acids, stilbenes, flavanol, flavonol and anthocyanins) were measured for the purpose of testing the influence of SA, SA-GA and SA-GEL coatings on the release profile and bioaccessibility index (*BI*) of the phenolic compounds. The results for TPC, TFC and TPA are presented as mass fractions of cumulatively released phenolic compounds (mg phenolic compound per 100 mg of extract) in each observed digestion phase and compared with the data obtained for phenol-rich grape pomace extracts (**Figures 7 – 9**), while data for individual phenolic acid are presented as µg/100 mg of extract (**Tables S1 – S3**).

2.5.1. Total Phenolic Compounds, Total Flavonoid Compounds and Total Extractable Proanthocyanidins

The mass fractions of cumulatively released TPC, TFC and TPA from grape pomace extracts and microencapsulated powders containing grape pomace extracts in the digestive fluids at the end of OP, GP, and IP and *BI* for the mentioned samples after complete simulated digestion are shown in **Figures 7 – 9**.

For all extract samples, the cumulative release of TPC is highest during OP (12.04 – 14.12 mg_{GAE}/100 mg_{EXT}) (**Figure 7 A – C**), which is to be expected due to the initial exposure to the digestive process and the accelerated diffusion occurring at the beginning. The released TPC then decreases significantly in GP (0.5 – 0.6-fold) and further decreases in IP (0.7 – 0.8-fold) compared to GP (**Figure**

7 A – C). This trend suggests that the phenolic compounds are degraded before they reach the intestinal phase, which is not desirable as the goal is greater release in IP [4]. Microencapsulated powders prepared with SA follow the same trend of TPC release, with a significant decrease in cumulative TPC release in GP (0.4 – 0.7-fold) compared to OP and then an increase in IP (1.8 – 2.2-fold) related to GP (Figure 7 A – C). Although these results suggest that SA can provide some protection for TPC when freeze drying was used for encapsulation, it cannot completely prevent the degradation of phenolic compounds before they reach the IP.

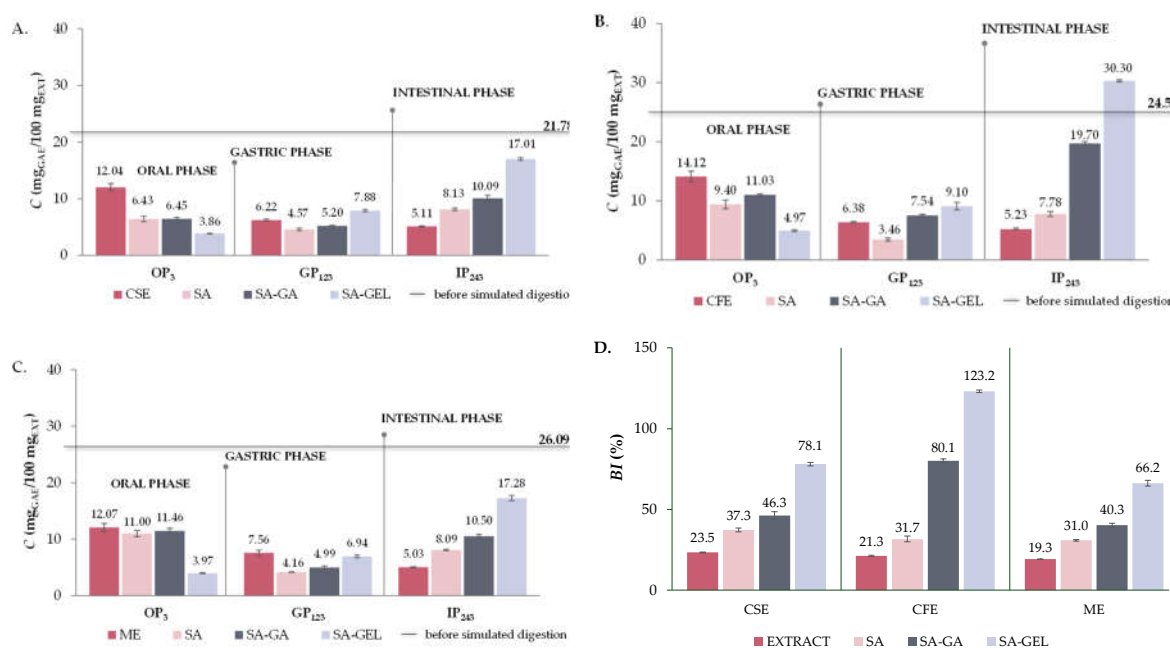


Figure 7. Total phenolic compound content (TPC) before simulated digestion in vitro (–) and at the end of oral (OP₃), gastric (GP₁₂₃) and intestinal phase (IP₂₄₃) of in vitro simulated digestion of grape pomace phenol-rich extracts (Cabernet sauvignon (CSE) – A., Cabernet Franc (CFE) – B. and Merlot (ME) – C.) and microencapsulated powders containing extracts prepared with sodium alginate (SA), combination of SA with gum Arabic (SA-GA) and combination SA with gelatin (SA-GEL); and the bioaccessibility index (BI) of TPC after IP for the tested samples – D.

The TPC release from all SA-GA microencapsulated powders shows a slight decrease from OP to GP (0.4 – 0.8-fold) and then a significant increase in IP compared to GP (1.9 – 2.6-fold), especially for encapsulated CFE (Figure 7A – C). This trend suggests that the combination of SA-GA has a protective effect in the first stages of digestion and allows a more significant release of TPC in the IP, which is favorable for the potential absorption of these compounds in IP. The trend of TPC release from SA-GEL microencapsulated powders follows the same trend for all extracts, i.e. TPC release increases as simulated digestion progresses and it is most pronounced in the IP for all encapsulated extracts (Figure 7 A – C), with the highest values reported for encapsulated CFE (30.30 mg_{GAE}/100 mg_{EXT}). This trend of TPC release indicates an excellent protective effect of the SA-GEL coating combination during OP and GP. In line with the release results, the highest BI values for TPC were also obtained after simulated digestion of the SA-GEL microencapsulated powders and ranged from 66.2 – 123.2 % (Figure 7 D). The lowest values of BI values of TPC were calculated for extracts ranging from 19.3 – 23.5 % while encapsulation with the freeze-drying method using SA and SA-GA resulted in increases in these values, ranging from 31.0 – 37.3 % and 40.3 – 80.1 %, respectively (Figure 7 D).

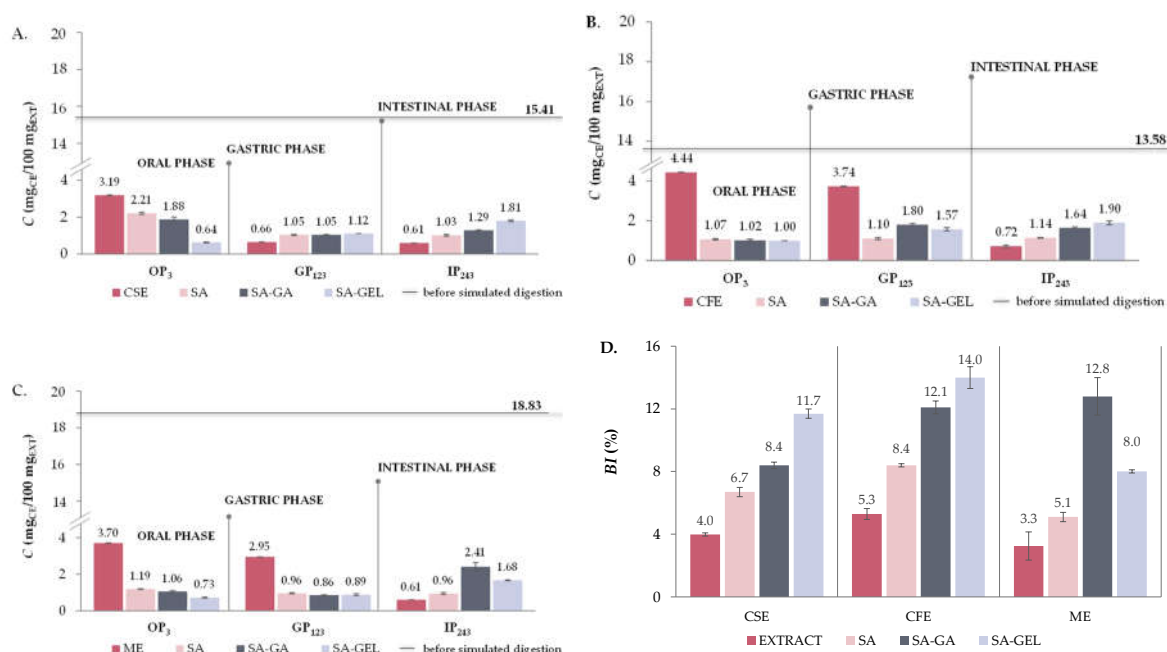


Figure 8. Total flavonoid content (TFC) before simulated digestion in vitro (–) and at the end of oral (OP₃), gastric (GP₁₂₃) and intestinal phase (IP₂₄₃) of in vitro simulated digestion of grape pomace phenol-rich extracts (Cabernet sauvignon (CSE) – A., Cabernet Franc (CFE) – B. and Merlot (ME) – C.) and microencapsulated powders containing extracts prepared with sodium alginate (SA), combination of SA with gum Arabic (SA-GA) and combination SA with gelatin (SA-GEL); and the bioaccessibility index (BI) of TPC after IP for the tested samples – D.

Like TPC, all extracts show higher TFC release during OP (3.19 – 4.44 mg_{CE}/100 mg_{EXT}), whereas TFC release decreases significantly in GP (0.2 – 0.8-fold) and additionally in the IP (0.2 – 0.9-fold) (**Figure 8 A – C**). When SA was used for encapsulation of CSE and ME, a similar trend was observed as for the extracts, however, the decrease in release during simulated digestion from OP to IP was less pronounced, indicating the protective effect of SA (**Figure 8 A, 8 C**). Otherwise, for CFE-SA microencapsulated powders is a visible increase in TFC release 1.0-fold in both GP related to and IP related to GP (**Figure 8 B**). The TFC release from microencapsulated CSE and ME powders with SA-GA shows a decrease from OP to GP (0.6 – 0.8-fold) and then a marked increase in IP compared to GP (1.2 – 2.8-fold) (**Figure 8 A, 8 C**), while the microencapsulated CFE with SA-GA shows a 1.8-fold increase in TFC release in GP and a 0.9-fold decrease in IP (**Figure 8 B**). The TFC release trend observed with microencapsulated CSE and ME suggests that the combination of SA-GA has a protective effect in the initial phases and allows significant release in the IP, which is favorable for the potential absorption of phenolic compounds in IP. The SA-GEL coating combination also shows its effectiveness by achieving the highest release of TFC in the IP (1.68 – 1.90 mg_{CE}/100 mg_{EXT}) (**Figure 8 A – C**). According to the release results, BI values for TFC determined after simulated digestion of grape pomace extracts ranged from 3.3 to 5.3 %, and with encapsulation the BI was improved and amounted to 5.1 – 8.4 % when SA was used, then values of 8.4 – 12.8 % were obtained with SA-GA, and the highest BI of TFC were obtained after simulated digestion of SA-GEL microencapsulated powders with values ranging from 8.0 to 14.0 % (**Figure 8 D**).

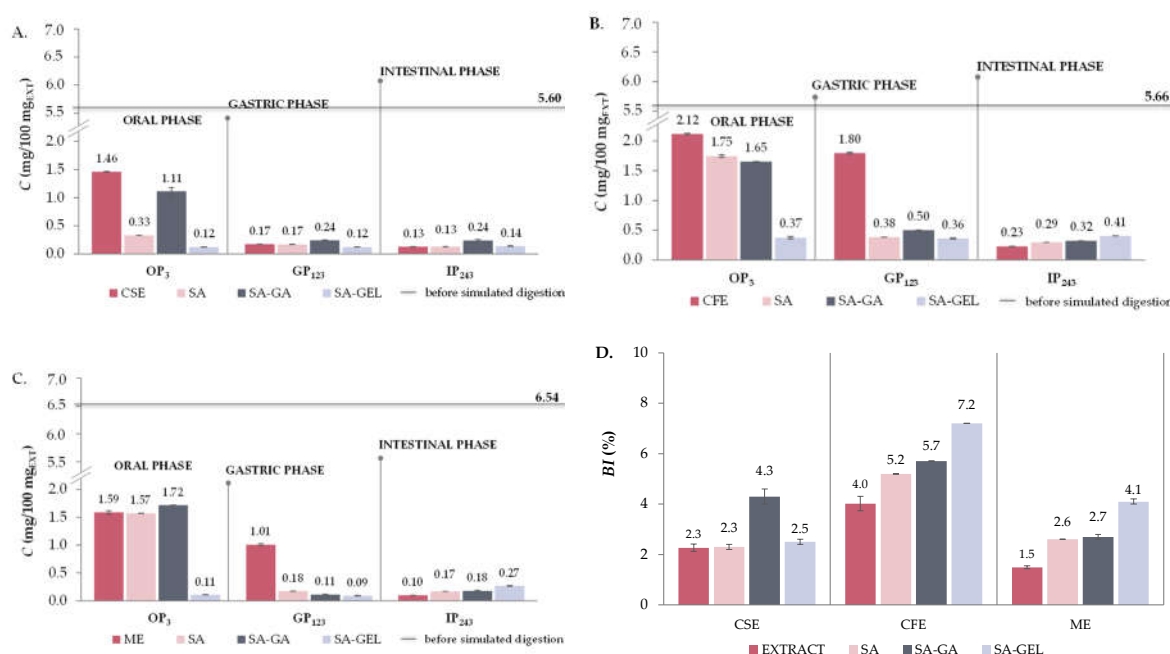


Figure 9. Total extractable proanthocyanidin content (TPA) before simulated digestion in vitro (–) and at the end of oral (OP₃), gastric (GP₁₂₃) and intestinal phase (IP₂₄₃) of in vitro simulated digestion of grape pomace phenol-rich extracts (Cabernet sauvignon (CSE) – A., Cabernet Franc (CFE) – B. and Merlot (ME) – C.) and microencapsulated powders containing extracts prepared with sodium alginate (SA), combination of SA with gum Arabic (SA-GA) and combination SA with gelatin (SA-GEL); and the bioaccessibility index (BI) of TPC after IP for the tested samples – D.

The release of TPA is significantly reduced in grape pomace extracts after OP (0.1 – 0.8-fold), with very low release observed in IP (0.10 – 0.23 mg/100 mg_{EXT}) (**Figure 9 A – C**). This indicates that the proanthocyanidins are significantly degraded or absorbed in the earlier stages of digestion. Encapsulation with SA did not significantly alter the trend in TPA release – there was still a marked decrease in the release of TPA across all phases of digestion (**Figure 9 A – C**). For SA-GA microencapsulated powders, the release of TPA in GP is also reduced compared to OP (0.1 – 0.3-fold) (**Figure 9 A – C**). Furthermore, no change in TPA release in IP compared to GP was observed for CSE-SA-GA microencapsulated powders (**Figure 9 A**), while an increase in TPA release in IP (1.6-fold) was observed for ME-SA-GA powders (**Figure 9 C**). This indicates a certain degree of protective effect of the SA-GA coating combination on TPA. The all SA-GEL microencapsulated powders showed the best retention of TPA during OP and GP and a significant increase in release in IP compared to GP (1.1 – 3.0-fold) (**Figure 9 A – C**). After simulated digestion of PRE, BI of TPA was 1.5 – 4.0 %, and encapsulation with SA increased TPA BI to 2.3 – 5.2 %, with the combination of SA-GA to 2.7 – 5.7 % and with SA-GEL to 2.5 – 7.2 % (**Figure 9 D**).

2.5.2. Individual Phenolic Compounds

Phenolic Acids

During in vitro digestion, phenolic acids show different stability and release patterns. Of the six hydroxybenzoic acids identified in the extracts prior to digestion (**Tables 1, S1**), five were detected in both extracts and the freeze-dried powders through digestion: gallic, 3,4-dihydroxybenzoic, syringic, vanillic and ellagic acid.

Gallic acid showed different release patterns depending on the tested grape pomace extracts and coating used. The same trend of increasing gallic acid content during digestion was observed for CSE and ME, while a slightly different trend was observed for CFE (**Tables S1 – S3**). As seen in **Tables 1, S3**, from ME encapsulated with SA-GEL, gallic acid was released at high levels in the IP, reaching a

BI of 88.5 %. This implies that SA-GEL can effectively protect gallic acid in the gastric phase and enhance its release in the intestinal phase, where absorption is most desirable [4]. 3,4-dihydroxybenzoic acid is not detected during the OP and GP in all extracts and microencapsulated powders, indicating its low stability or low initial concentration. In the IP, however, it becomes detectable in SA-GA and SA-GEL powders showing a marked increase. This suggests that encapsulation, particularly with SA-GA, can improve the release in IP and stability of compounds that are otherwise unstable or present at low concentrations in grape pomace extracts. For example, the release of this phenolic acid during the IP was significantly increased from CFE encapsulated with SA-GA, with *BI* reaching 124.5 % (**Table S2**). This indicates that the SA-GA combination is an effective matrix that protects the 3,4-dihydroxybenzoic acid from early degradation and promotes its release in later stages of digestion. The release of syringic acid was minimal in all samples (extracts and microencapsulated powders) or it was not detected, suggesting that it may be more susceptible to degradation and less effectively protected by freeze-drying. Exception is release of syringic acid from SA-GA powders with CFE where this acid was detected in all phases and *BI* was determined (78.3 %) (**Table S2**). In contrast, the release of vanillic acid and *BI* was improved by encapsulation, in particular by the combination of SA-GEL coatings. For instance, after release from all SA-GEL powders *BI* of vanillic acid reached values of 47.1 – 70.9 % (**Tables S1 – S3**), suggesting that SA-GEL may provide better protection and controlled release properties for vanillic acid compared to other encapsulation coatings. Similar was observed for ellagic acid, where all freeze-dried powders showed improvement in *BI*, but the highest *BI* was observed after the simulated digestion of the SA-GEL powders (23.6 – 80.7 %) (**Tables S1 – S3**).

Of the four hydroxycinnamic phenolic acids found in the extracts before digestion, (**Table 1**) only two were detected during digestion of all grape pomace extracts (*o*- and *p*-coumaric acid). *o*-coumaric acid was detected only in the IP leading to *BI* 48.1 – 78.7 %, while *p*-coumaric acid was observed during the entire in vitro digestion and reached *BI* 65.6 – 272.2 % (**Tables S1 – S3**). The use of coatings and encapsulation has significantly improved the bioaccessibility of *o*-coumaric acid. Notably, the release pattern of this acid showed no discernible trend in SA or SA-GEL powders, but there was a significant increase in *BI*, 110.6 – 209.8 % and 101.4 – 247.4 %, respectively (**Tables S1 – S3**). In the all SA-GA microencapsulated powders, a distinct release pattern of *o*-coumaric acid was observed throughout the simulated digestion process, i.e. it was detected during the IP, where the *BI* reached its peak values between 242.2 % and 424.2 % (**Tables S1 – S3**). If the *BI* value exceeds 100 %, as is the case with certain phenolic acids, this indicates that more complex phenolic compounds have been broken down into simpler compounds during simulated digestion.

Stilbenes

In all samples (**Tables S1 – S3**), stilbenes resveratrol and ϵ -viniferin, were not detected throughout the simulated digestion in vitro. This indicates that either these compounds are degraded during freeze-drying and under the digestive conditions which agrees with literature that indicates that these compounds can be sensitive to factors such as pH, temperature and enzyme activity that prevail during the digestive process [57].

Flavanols

Flavanols, especially epicatechin and catechin, play a crucial role due to their health-promoting properties, including cardiovascular benefits and antioxidant activities [58]. The release profiles of these compounds during simulated digestion provide insight into how well freeze-drying and different coatings can protect and control their release.

When observing digestion of encapsulated CSE there was a remarkable increase (4.5 – 5.2-fold) in epicatechin *BI* compared to grape pomace extracts as well as a higher release rate (**Table S1**). This considerable release rate suggests that coatings used effectively delay the release of epicatechin and allow a sustained release of epicatechin that could be beneficial for ensuring prolonged availability for absorption in the lower gastrointestinal tract. In the case of encapsulated CFE improved release and stability of epicatechin after encapsulation was also observed, with the *BI* reaching 14.8 – 30.6 %

(Table S2). From Table S3 it can be seen that after digestion of encapsulated ME powders *BI* of epicatechin was increased reaching 89.0 – 91.7 % in contrast to 15.6 % which was obtained after digestion of grape pomace extracts. Compared to epicatechin, catechin exhibited lower stability and release during digestion. Compared to epicatechin, catechin exhibited lower stability and release during digestion. A similar trend in the release of catechin was observed in almost all samples, with lower release, i.e. only in OP and GP (Tables S1 – S3). This observation could indicate that catechin is either more susceptible to degradation during digestion or that the freeze-drying and coatings used are less effective to preserve catechin compared to epicatechin. Exception was ME encapsulated powder with SA-GEL coating where catechin was observed in all digestion phases and *BI* of 20.9 % was obtained (Table S3).

In addition to epicatechin and catechin, other important flavanols such as epicatechin gallate, galocatechin gallate, procyanidin B1 and procyanidin B2 also have remarkable properties and health benefits and are therefore important to consider in simulated digestion [58]. Epicatechin gallate showed remarkable stability and release from ME when encapsulated with SA-GEL, achieving *BI* of 713.7 % (Table S3). Similarly, when CSE was encapsulated with SA-GEL, epicatechin gallate showed a remarkably high *BI* of 958.9 % (Table S1). After digestion of encapsulated CFE, highest *BI* was also observed after digestion SA-GEL powders (168.9 %) (Table S2). Similar release dynamic was observed with galocatechin gallate. Highest *BI* of this flavanol were observed after digestion of SA-GEL powders reaching values 473.0 – 1028.4 % (Tables S1 – S3). These high values of *BI* for epicatechin gallate and galocatechin gallate indicate effective encapsulation process – protecting the active ingredient in the earlier stages of digestion and allowing significant release upon reaching the intestine. Procyanidin B1 was only detectable at very low concentrations or not at all in the later stages of digestion in all samples (Tables S1 – S3), indicating its possible sensitivity to digestive conditions or the ineffectiveness of encapsulation to maintain its stability. Similarly, procyanidin B2 was minimally detectable at all stages of digestion only for CFE encapsulated with SA-GA reaching *BI* of 27.6 % (Table S2). The low detection of procyanidins B1 and B2 could indicate their rapid degradation or complexation with other dietary components.

Flavonols

Flavonols quercetin, rutin and kaempferol showed minimal release during simulated digestion in vitro (Tables S1 – S3). Quercetin was released at very low concentrations in OP and GP or not released at all, whether encapsulated or not, and was not detectable in IP (Tables S1 – S3). Similarly, rutin and kaempferol either remained undetected or showed minimal increase during digestion (Tables S1 – S3), suggesting possible degradation in the early stages of digestion or inefficient encapsulation. Rutin was only observed during digestion of CFE reaching *BI* 29.0 % (Table S2).

Anthocyanins

Anthocyanins, a class of water-soluble pigments responsible for the red, purple and blue colors of many fruits and vegetables, have health-promoting properties associated with antioxidant and anti-inflammatory effects [1]. However, their stability and release during digestion can be challenging due to their sensitivity to pH changes and digestive enzymes [59,60].

Oenin chloride showed different release profiles depending on the grape pomace extracts and coating used, but highest *BI* values were obtained after digestion of extracts (32.7 - 62.6 %) (Tables S1 – S3). After digestion of microencapsulated SA powders *BI* of oenin chloride was 8.7 – 27.4 %, for SA-GA powders 8.2 – 8.7 % and 6.2 – 24.5 % after simulated digestion of SA-GEL powders (Tables S1 – S3). Myrtillin chloride and petunidin chloride showed low release rates or no detection (Tables S1 – S3). This suggests that these anthocyanins are highly susceptible to degradation or are not encapsulated well, highlighting the need for more effective encapsulation strategies that can protect anthocyanins during the digestion. Peonidin-3-*O*-glucoside chloride showed slightly better stability during digestion compared to other anthocyanins. After digestion of extracts, its *BI* values were 24.0 – 42.4 % (Tables S1 – S3). Encapsulation didn't improve stability and therefore *BI* of this anthocyanin. Kuromanin chloride was not detectable at any stage of digestion across all samples as well as

callistephin chloride, only found in CFE samples, which may indicate their sensitivity to pH changes and digestive enzymes.

3. Conclusions

The study successfully demonstrates the potential of freeze-drying as a microencapsulation technique using sodium alginate (SA) alone and with additional polymers of natural origin such as gum Arabic (SA-GA) and gelatin (SA-GEL) to improve the bioaccessibility of phenolic compounds from tested grape pomace extracts (CSE; CFE; ME).

The encapsulation efficiency (*EE*, %) was affected by the coating used regardless of the samples tested and was remarkably higher when a combination of polymers was used as a coating compared to SA, and an increase of 8.76 – 11.33 % and 11.17 – 22.80 % was achieved for SA-GA and SA-GEL powders, respectively. The release kinetics of the encapsulated total phenolic compounds was described by the Korsmeyer-Peppas model for all samples, with the exception of the microencapsulated ME-SA-GEL powders, which did not fit any of the mathematical models tested. The physicochemical characterization showed that encapsulation by freeze-drying converted the crystalline structure of the grape pomace extracts into an amorphous form, which in turn had a positive effect on the release and bioaccessibility index (*BI*) of the phenolic compounds in the intestinal phase of simulated digestion. Bioaccessibility was also influenced by the coatings used and, in general, the highest *BI* of phenolic compounds were obtained from SA-GEL microencapsulated powders, highlighting the effectiveness of the encapsulation method in preserving the functional properties of these compounds during the digestion process.

These results suggest that microencapsulation of phenolic-rich grape pomace extracts not only contributes to the upcycling of grape pomace, one of the waste streams of wineries, but also offers a promising avenue for the development of functional foods and dietary supplements that utilise the health benefits of phenolic compounds.

4. Materials and Methods

4.1. Chemicals and Reagents

For the extraction of phenolic compounds, 96% ethanol (p.a.) was procured from Lab Expert (Shenzhen, Guangdong, China). The coatings used for encapsulation (alginic acid sodium salt (SA) from brown algae (low viscosity), gum Arabic (powder) (GA) and gelatin (GEL) from cold water fish skin) and digestive enzymes pepsin from porcine gastric mucosa (freeze-dried powder, ≥ 2500 units/mg protein), pancreatin from porcine pancreas (8 \times USP), and porcine bile extract, were purchased from Sigma Aldrich (Saint Louis, MO, USA). Salts required for the preparation of electrolyte solutions and buffers were purchased from Acros Organics (Geel, Belgium), Gram Mol (Zagreb, Croatia), and Kemika (Zagreb, Croatia).

Standards used for UHPLC analysis of phenolic compounds, including phenolic acids, flavonols, flavan-3-ols, stilbenes, and anthocyanins, were purchased from Sigma Aldrich (Saint Louis, MO, USA), Extrasynthese (Genay, France), Acros Organics (Geel, Belgium), and Applihem (Darmstadt, Germany). UHPLC-grade reagents, including methanol, glacial acetic acid, and acetonitrile, were procured from J.T. Baker (Arnhem, Netherlands), Macron Fine Chemicals (Gliwice, Poland), and Fisher Chemical (Loughborough, United Kingdom). Reagents required for the spectrophotometric determination of total phenolic compounds, total flavonoids, and total extractable proanthocyanidins were purchased from CPA chem (Bogomilovo, Bulgaria), Alfa Aesar GmbH & Co KG (Kandel, Germany), and Acros Organics (Geel, Belgium).

4.2. Grape Pomace Samples

Grape pomace, the solid residue from wine production, consisting of seeds, pomace and skins, was used. Specifically, the pomace from the Cabernet Sauvignon (CS), Cabernet Franc (CF) and Merlot (M) grape varieties was obtained from the Erdut winery in Erdut, eastern Croatia. After collection, grape pomace samples were air-dried for 48 hours at 25 – 27 °C and stored at room

temperature. Before use in the experiments, the pomace was finely ground using an ultracentrifugal mill (Retsch ZM200, Haan, Germany) to achieve a particle size of ≤ 1 mm.

4.3. Preparation of Grape Pomace Phenol-Rich Extracts

Conventional solid-liquid extraction of phenolic compounds from grape pomace samples was performed according to the protocol described by Šelo et al. [61]. In summary, 1 g of grape pomace was subjected to extraction with 40 mL of a 50 % aqueous ethanol solution. The extraction process lasted 120 minutes at 80 °C and 200 rpm in a shaking water bath (Julabo, SW-23, Seelbach, Germany). After extraction, the samples were centrifuged for 10 minutes at 11,000 $\times g$ (Z 326 K, Hermle Labortechnik GmbH, Germany), and the supernatants were concentrated using a rotary evaporator (Büchi, R-210, Flawil, Switzerland) at 50 °C and 48 mbar to obtain a dry grape pomace phenol-rich extracts which were then used for encapsulation, characterization, and analysis of in vitro behavior during simulated digestion.

4.4. Determination of Total Phenolic Compounds

Total phenolic content (TPC), total flavonoid content (TFC) and total extractable proanthocyanidins (TPA) were determined spectrophotometrically, and phenolic compound content was expressed as mean of replicates \pm standard deviation (SD).

TPC analysis was performed using the Folin-Ciocalteu method modified by Waterhouse [62]. First, 40 μL of the sample was added to 3,160 μL of distilled water, followed by 200 μL of the Folin-Ciocalteu reagent. Eight minutes later, 600 μL of sodium carbonate (20 % w/v) was added. The mixture was then incubated at 40 °C for 30 minutes. The absorbance was measured at 765 nm and compared to a blank prepared with extraction solvent. The results were expressed as gallic acid equivalents per weight of extracts ($\text{mg}_{\text{GAE}}/100 \text{ mg}_{\text{EXT}}$).

TFC was measured using the aluminum chloride method adopted from Marinova et al [63], but with some modifications. First, 500 μL of the sample was mixed with 2 mL of water, followed by the addition of 150 μL of 5 % sodium nitrite (w/v). After 5 minutes, 150 μL of 10 % aluminum chloride (w/v) was added and 6 minutes later, 1 mL of 1 M sodium hydroxide was added. The mixture was then diluted with 1.2 mL distilled water and vortexed. The absorbance was then measured at 510 nm using a water blank instead of the sample. The results were expressed as (+)-catechin equivalents per weight of extracts ($\text{mg}_{\text{CE}}/100 \text{ mg}_{\text{EXT}}$).

TPA was assessed using a modified version of the acid-butanol reaction method described by Škerget et al. [64]. For preparation, a ferrous sulfate heptahydrate solution was mixed in an HCl-butanol solution (2:3, v/v) and 5 mL of this mixture was added to 500 μL of the sample. After thorough mixing, the samples were incubated in a water bath preheated to 95 °C for 15 minutes. After incubation, the samples were cooled in water and their absorbance was recorded at 540 nm using a blank prepared with distilled water. The TPA values were calculated based on the molar weight and extinction coefficient of cyanidin and the results were expressed in mg per 100 mg of extracts.

4.5. Determination of Individual Phenolic Compounds

Ultra-high performance liquid chromatography (UHPLC Nexera XR, Shimadzu, Japan) was used to quantify various phenolic compounds in grape pomace extracts and extracts containing freeze-dried powders. Compounds such as phenolic acids, flavanols, flavonols, stilbenes and anthocyanins were separated using a reversed-phase Kinetex® C18 core-shell column and detected using a photodiode array (PDA). Prior to analysis, samples were prepared in appropriate solvents and filtered through 0.45 μm membranes. The data was processed using LabSolutions 5.87 software.

The quantification of phenolic acids, flavanols, flavonols and stilbenes was based on the method developed by Bucić-Kojić et al. [65]. A linear gradient of two solvent phases was used: Phase A, consisting of 1.0 % acetic acid in water, and Phase B, consisting of methanol:acetonitrile (50:50, v/v). Chromatography was performed at a flow rate of 1 mL/min and a temperature of 30 °C. The gradient scheme started with 5 % to 30 % of phase B over 25 minutes, increased to 40 % over the next 10

minutes, increased to 48 % over 5 minutes, then to 70 % in another 10 minutes, and finally reached 100 % in 5 minutes. The system was held isocratically at 100 % phase B for 5 minutes, followed by a return to baseline conditions over 10 minutes and a 12-minute period for column equilibration. The injection volume for each sample was set to 20 μ L.

According to method described by Bucić-Kojić et al. [66] determination of anthocyanins was performed. Briefly, two mobile phases were used: A. water/formic acid/acetonitrile (87:10:3, v/v/v) and B. water/formic acid/acetonitrile (40:10:50, v/v/v) with gradient program 10 min from 10 to 25 % mobile phase B, 5 min from 25 to 31 % mobile phase B, 5 min from 31 to 40 % mobile phase B, 10 min from 40 to 50 % mobile phase B, 10 min from 50 to 100 % mobile phase B, 10 min from 100 to 10 % mobile phase B. The injection volume of the sample was 20 μ L and a flow rate 0.8 mL/min.

By comparing UV-Vis spectra and retention times with those of authentic standards analyzed under identical conditions, individual phenolic compounds could be detected and quantified. Calibration curves were generated using these external standards. Anthocyanins were specifically measured at wavelengths of 503, 513, 517, 523, 526 and 531 nm, corresponding to callistephin chloride, kuromanin chloride, peonidin-3-O-glucoside chloride, myrtillin chloride, oenin chloride and petunidin chloride, respectively. Hydroxybenzoic acids were determined in the range of 252 – 280 nm, hydroxycinnamic acids at 276 – 277 nm, flavanols at 273 to 277 nm, procyanidins at 278 nm, flavonols between 355 and 372 nm and stilbenes at 305 to 323 nm. All tests were performed in triplicate and results were expressed as the average of replicates \pm standard deviation (SD).

4.6. Encapsulation of Grape Pomace Extracts by Freeze-Drying Method

Grape pomace extracts (CSE, CFE and ME) were prepared for encapsulation by dissolving 1.0 g of each extract in a solution of 30 % aqueous ethanol (20.8 mL) and distilled water (79.2 mL) and mixing continuously on a magnetic stirrer for 3 hours. The mixture was then centrifuged at 15,000 \times g for 5 minutes to remove undissolved extract particles. Subsequently, the clear supernatant (liquid extract) (90 mL) was used for encapsulation to which the different coatings (sodium alginate – SA; combination sodium alginate and gum Arabic – SA-GA; combination sodium alginate and gelatin – SA-GEL) were added. The concentration of SA in liquid extract was 3 % (w/v), while the concentration of GA was 1.6 % (w/v) and od GEL at 5 % (w/v). The mixture of liquid extract and coating was stirred on a magnetic stirrer for 24 hours to ensure complete dissolution of the coating used and to allow sufficient time for the active ingredients and coating to bind. After dissolution of the coating(s), the ethanol contained in the solution mixture of extract and coating(s) was evaporated on a rotary evaporator (Büchi, R-210, Flawil, Switzerland) at 50 °C and 48 mbar for about 15 minutes. The ethanol-free solution of the extract and coating(s) was then transferred to plastic Petri dishes with lids and the samples were frozen overnight at -80 °C (SWUF Ultra Low Temperature Smart Freezer, Witeg, Wertheim, Germany).

Freeze-drying (Alpha 2-4 LSCplus freeze-dryer, Christ, Osterode am Harz, Germany) was carried out at 0.250 mbar for 24 – 48 h, depending on the coating(s) used. After completion of the process, the samples were crushed in a mortar with a pestle and the resulting microencapsulated powders were stored in a desiccator.

4.7. Determination of Encapsulation Efficiency

To determine the encapsulation efficiency, the content of phenolic compounds in the core (CPC) and the content of surface phenolic compounds (SPC) of the freeze-dried powders was determined according to Vergara et al. [67] with modifications. For the CPC, 50 mg of powder and 1 mL of ethanol:acetic acid:water solution (50:8:42, v/v/v) was vortex-mixed for 1 min and then centrifuged at 14,000 \times g for 2 min. The supernatant was collected and separated for the determination of TPC according to the method described in the section "Determination of Total Phenolic Compounds". Similarly, for the determination of SPC, 50 mg of the sample was dispersed in 1 mL of ethanol:methanol (1:1, v/v) solution. The mixture was vortexed for 1 min, centrifuged at 14,000 \times g for 2 min and the supernatant was separated for the determination of the phenolic compounds content. The results were expressed as mg gallic acid equivalents per g freeze-dried powder (mg_{GAE}/g_p).

The encapsulation efficiency (*EE*, %) was determined using the following Equation (1):

$$EE (\%) = \frac{CPC - SPC}{CPC} \cdot 100 \quad (1)$$

where *CPC* is the content of phenolic compounds in the core of freeze-dried microencapsulated powder (mg_{GAE}/g_P) and *SPC* is the surface phenolic compounds content (mg_{GAE}/g_P). The obtained results are presented as the mean values of replicates ± SD.

4.8. Physicochemical Characterization of Grape Pomace Extracts, Coatings and Microencapsulated Powder

4.8.1. Scanning Electron Microscopy (SEM)

To analyze the morphology of the freeze-dried powder, scanning electron microscopy was performed using a Hitachi S4700 instrument (Hitachi Scientific Ltd., Tokyo, Japan). Prior to analysis, the samples were coated with a thin layer of gold-palladium in a sputter coater (Bio-Rad SC 502, VG Microtech, Uckfield, UK). The coated samples were then analyzed by SEM at 10 kV.

4.8.2. X-ray Powder Diffraction (XPRD)

The structure of the grape pomace extracts, the coatings and the freeze-dried powders was analyzed using an X-ray powder diffraction system (BRUKER D8 Advance diffractometer, Karlsruhe, Germany). Cu K α radiation ($\lambda = 1.5406 \text{ \AA}$) was used, and the samples were scanned with a VÅNTEC-1 detector at 40 kV and 40 mA in the 3 – 40 2θ interval. Using DIFFRAC plus EVA software (Karlsruhe, Germany), data evaluation including background removal, smoothing, and K α 2 stripping was performed.

4.8.3. Differential Scanning Calorimetry (DSC)

A differential scanning calorimeter (Mettler Toledo 821e DSC; Mettler Inc., Schwerzenbach, Switzerland) was used to evaluate the thermal properties of the extracts, coatings, and freeze-dried powders. The samples (3 – 5 mg) were analyzed in a temperature range of 25 – 300 °C at a heating rate of 10 °C/min and a constant argon flow of 150 mL/min.

4.9. Release Studies of Phenolic Compounds

According to the INFOGEST protocol [54], in vitro enzyme-free release and simulated digestion of extracts (CSE, CFE, ME) and freeze-dried powders was performed, with some modifications described by Martinović et al. [40] and Martinović et al. [68]. The protocol consists of three phases: oral (OP), gastric (GP), and intestinal (IP). Each of these phases consists of an electrolyte solution that mimics the solutions of the human gastrointestinal tract [54].

4.9.1. Enzyme-Free Release Study and Release Kinetics

This study was conducted according to the modified INFOGEST protocol described by Martinović et al. [40]. To summarize, release of phenolic compounds was observed throughout the 243-minute period. The temperature was maintained at 37 °C with constant stirring using a magnetic stirrer. To start OP, 200 mg of freeze-dried powder was mixed with 4 mL of simulated salivary fluid (SSF) and 25 μL of $\text{CaCl}_2(\text{H}_2\text{O})_2$. The pH of the mixture was adjusted to 7, and redistilled water was added until the total volume reached 10 mL. After a 3 min of OP, 2 mL of the mixture was extracted for TPC analysis and a corresponding volume of SSF was added back to the system. At the end of OP, GP began by adding 8 mL of simulated gastric fluid (SGF) and 5 μL of $\text{CaCl}_2(\text{H}_2\text{O})_2$ to the mixture. The pH was then lowered to 3 with 1 M HCl. Further, redistilled water was added to reach a final volume of 20 mL, with this phase extending over 120 minutes. At specific time intervals (6th, 8th, 23rd, 48th, 63rd, 123rd min), 2 mL of the mixture was removed for analysis and the same volume of SGF was added back. The IP then began with the addition of 16 mL of simulated intestinal fluid (SIF) and 40 μL of $\text{CaCl}_2(\text{H}_2\text{O})_2$. The pH was again adjusted to 7, and the total volume was adjusted to 40

mL with distilled water. This phase also lasted 120 minutes. Sampling and replenishment of SIF was performed during the phase as in the previous OP and GP at specific time intervals (126th, 128th, 143rd, 168th, 183rd, 243rd min).

Mathematical modeling is very effective for analyzing the release of bioactive substances from encapsulated systems. In this context, four mathematical models were used to investigate the release of phenolic components from freeze-dried powders during enzyme-free in vitro release study. The models used included the first-order model, the Higuchi model, the Hixson–Crowell model and the Korsmeyer–Peppas model. Data analysis was performed using DDSolver [69]. To determine the most accurate model to describe phenol release, three criteria were considered: the adjusted coefficient of determination (R^2_{adj}), the Akaike information criterion (AIC) and the model selection criterion (MSC).

4.9.2. Simulated Digestion In Vitro and Bioaccessibility Index (BI)

Simulated digestion in vitro was performed according to the modified INFOGEST protocol described by Martinović et al. [68] using test tubes, each of which presented a specific time interval of each phase (OP₃, GP₆₃, GP₁₂₃, IP₁₆₃ and IP₂₄₃). These test tubes were placed on a vertical multi-function rotator (PTR-60, Grant-bio Instruments, UK) in a thermostat (TC 135 S, Lovibond, Dortmund, Germany) heated at 37 °C. Approximately 100 mg of extracts or 200 mg of extracts containing freeze-dried powders were added to each tube, followed by the addition of 4 mL of SSF solution and 25 µL of CaCl₂(H₂O)₂ to initiate the oral phase. The pH was adjusted to 7 and the volume was brought to 10 mL with distilled water. After 3 min, the oral phase test tube (OP₃) was removed and in the remaining tubes the gastric phase was started by adding 8 mL of SGF solution, 5 µL of CaCl₂(H₂O)₂, 500 µL of pepsin and redistilled water to the volume of 20 mL. The gastric phase was continued, and test tubes were removed from rotator at certain time intervals (GP₆₃, GP₁₂₃). The intestinal phase was then initiated by the addition of 8.5 mL of SIF solution, 40 µL of CaCl₂(H₂O)₂, 5 mL of pancreatin solution, 2.5 mL of bile extract solution and the final mixture volume was adjusted to 40 mL with redistilled water. At IP₁₆₃ and IP₂₄₃ samples were withdrawn from rotator to end the simulated digestion. Upon removal of test tube from rotator it was centrifuged (16,000×g at 4 °C for 30 min) and the supernatant was filtered using a 0.45 µm membrane (Syringe filters Spheros Nylon, Agilent Technologies, USA) and purified by solid phase extraction (SPE) to remove impurities (salts, bile extract, enzyme residues) that can interfere with further analysis. SPE was performed using a modified method according to Kamiloglu et al. [70]. From the centrifuged and filtered sample, 4 mL of filtrate was acidified with 80 µL glacial acetic acid to remove enzyme residues and centrifuged (16,000×g, 10 min) to isolate a clear fraction for further purification. Before use, SPE cartridges (Superclean LC-18, 100 mg/1 mL, Sigma Aldrich/Supelco) were conditioned with 6 mL of methanol:glacial acetic acid (1:0.01) and 4 mL of distilled water:glacial acetic acid (1:0.01). The centrifuged samples (3 mL) were then passed through these conditioned cartridges, washed with distilled water (15 mL) and then eluted with methanol (3 mL). In this way, the samples were prepared for the final analysis of the TPC, TFC, TPA and individual phenolic compounds.

The bioaccessibility index (BI, %) was calculated using the following Equation (2):

$$BI (\%) = \frac{C_A}{C_B} \cdot 100 \quad (2)$$

where C_A is the content of phenolic compounds in the sample after complete digestion (IP₂₄₃) and C_B is the content of phenolic compounds in extracts before digestion. The phenolic compound content was expressed per 100 mg of the extract.

4.10. Statistical Analysis

In order to determine the statistical significance of the differences between the arithmetic means of the samples, a one-way analysis of variance (ANOVA) was performed using TIBCO Statistica software. After determining significant differences, a subsequent post-hoc analysis was performed using Duncan's multiple range test to determine the specific sample groups that showed significant

differences ($p < 0.05$) [68]. In the figures samples from identical populations are identified by uniform alphabetical letters.

Supplementary Materials: The following supporting information can be downloaded at the website of this paper posted on Preprints.org, Figure S1: Cumulative release of total phenolic compounds (TPC) from freeze-dried microencapsulated powders containing grape pomace phenol-rich extracts (A. – Cabernet Sauvignon, B. – Cabernet Franc and C. – Merlot) coated with sodium alginate (SA), sodium alginate with gum arabica (SA-GA) and sodium alginate with gelatin (SA-GEL) expressed as mg gallic acid equivalent (GAE) per g of freeze-dried microencapsulated powders (mg_{GAE}/g_p); Figure S2: Kinetics of phenolic compound release from the freeze-dried microencapsulated powders containing grape pomace extracts of Cabernet Sauvignon, Cabernet Franc and Merlot varieties prepared with different coatings (NA – sodium alginate; SA-GA – combination of sodium alginate and gum Arabic; SA-GEL – combination of sodium alginate and gelatin; symbols – experimental data, lines – approximate curves according to different mathematical models); Table S1: Content of individual phenolic compounds of phenol-rich grape pomace extract Cabernet Sauvignon (CSE), sodium alginate microencapsulated powder (SA), sodium alginate with gum Arabic microencapsulated powder (SA-GA), and sodium alginate with gelatin microencapsulated powder (SA-GEL) during three phases of in vitro simulated digestion; Table S2: Content of individual phenolic compounds of phenol-rich grape pomace extract Cabernet Franc (CFE), sodium alginate microencapsulated powder (SA), sodium alginate with gum Arabic microencapsulated powder (SA-GA), and sodium alginate with gelatin microencapsulated powder (SA-GEL) during three phases of in vitro simulated digestion; Table S3: Content of individual phenolic compounds of phenol-rich grape pomace extract Merlot (ME), sodium alginate microencapsulated powder (SA), sodium alginate with gum Arabic microencapsulated powder (SA-GA), and sodium alginate with gelatin microencapsulated powder (SA-GEL) during three phases of in vitro simulated digestion.

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