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## Article

# Ultrasound Assisted Alkaline Pretreatment of Biomass to Enhance the Extraction Yield of Valuable Chemicals

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**Abstract:** Lignocellulosic biomass, an abundant and renewable resource, serves as a crucial raw material for the production of biofuels, biochemicals, and various value-added products. This paper aims to develop and optimize a mild alkaline treatment of sawdust assisted by ultrasound, along with enzymatic hydrolysis of the pretreated material. The sonochemical pretreatment with alkali emerged as the optimal approach to enhance the susceptibility of cellulose to subsequent enzymatic hydrolysis, thereby increasing the yield of reducing sugars. A comparative study was performed using various ultrasonic applicators (horn and bath) and conventional assisted alkaline pretreatment. The ultrasonic assisted pretreatment revealed a higher delignification as 68% (horn) and 57% (bath) comparing with conventional pretreatment. Processes were optimized using a statistical analysis based on a  $2^3$  factorial design. The ratio between sawdust and alkaline solution ( $R_{SL} = 0.5\text{--}1.5$  g/100 mL), US amplitude ( $A = 20\text{--}60\%$ ), and working temperature ( $t = 30\text{--}50$  °C) were selected as process factors. The optimal operating conditions to maximize the reducing sugar yield (138.15 mg GE /g<sub>substrate</sub>) were found as following: solid/liquid ratio  $R_{SL,opt} = 1.25$  g/100 mL, US amplitude  $A_{opt} = 60\%$ , and pretreatment temperature  $t_{opt} = 50$  °C. The overall outcomes clearly confirmed the intensification of delignification by ultrasound assisted alkaline pretreatment.

**Keywords:** ultrasound; lignin; wood residue; enzymatic hydrolysis

## 1. Introduction

The depletion of fossil fuels, coupled with climate change necessitates the shift to bio-based chemical sources and biofuels. Also, environmental safety and economic sustainability may be threatened by rising fossil fuel consumption. Biomass is one of the most abundant and sustainable sources of carbon on the world, for the synthesis of compounds with added value and biofuels. Thus, the need for chemical supplies and alternative energy arises simultaneously [1]. Lignocellulosic biomass is the most abundant bioresource to take into account as feedstock for biorefineries to produce fuels, chemicals, and other valuable products. Lignocellulosic biomass contains cellulose (50%), hemicellulose (woods 10–30%, or herbaceous biomass 20–40%) and lignin (woods 20–40% or herbaceous biomass 10–40%) [2]. However, proportions of cellulose, hemicellulose, and lignin inside a single plant will change depending on the age, time of harvesting, and culture circumstances [3]. The aim of lignocellulosic biorefinery is to use the main components – lignin, hemicellulose, and cellulose as a building block platform [4].

Lignocellulose sources include agricultural and forestry waste which includes corn stover, bagasse, wood, grass, forest-industrial residues, agro-industrial residues, municipal waste and energy crops and other materials [5]. Forestry wastes are a type of abundant materials with high

content of hemicellulose and cellulose. According to statistics, more than a third of a wooden trunk passes into wastes in furniture factories [6].

In transformation of lignocellulosic biomass to valuable products, different limiting factors must be overcome. The first problem is the recalcitrance of lignocellulose [7] and the reticular structure of lignin [8]. Consequently, its degradation requires harsh conditions and specific solvents, which makes the process complicated and unsustainable from ecological and economic point of view. Another issue is due to the various content of components and the approximate chemical constituents in the raw biomass [9]. The most important challenge is the heterogeneity of biomass that lead to a low thermal conductivity that generates a barrier for heat and mass transfer. Conversion and selectivity in biomass transformation tend to be weak due to deficient catalyst-reactant interaction [10]. One of the most challenging aspects involves the extraction of lignin from lignocellulosic biomass, given its complex nature as a phenolic polymer. Despite its complexity, delignification is necessary as lignin impedes the conversion of biomass into valuable chemicals. Directly subjecting biomass to the saccharification process typically leads to lower sugar yields, whereas incorporating a delignification step enhances the accessibility of cellulose to the medium, resulting in increased sugar production [11]. Another limiting factor appears at the cellulose hydrolysis due to its crystallinity, polymerization degree and available surface area. Conversion of lignocellulosic biomass in biorefineries involves three steps: (a) pretreatment of lignocellulosic material to remove the lignin, (b) hydrolysis of cellulose and hemicellulose in fermentable sugars, and (c) transformation of sugars into chemicals and biofuels. In order to reduce the limiting factors prior to biomass conversion the pretreatment steps present the most important challenge [4]. In order to efficiently transform lignocellulosic materials by enzymatic hydrolysis, pretreatment is essential. There are different pretreatment available approaches: biological, chemical, physical or physicochemical [12]. Typically, the current pretreatment methods have significant limitations in achieving cost-effective, large-scale, environmentally sustainable production. Recently, progresses in applied chemistry research have led to the emergence of innovative food processing techniques commercially accessible today. These methods often involve nonconventional and rigorous conditions. Remarkably, in the context of a commercial-scale biorefinery, certain technologies exhibit potential as environmentally friendly approaches for pretreating lignocellulosic biomass, with potential benefits of lower costs and increased productivity [3]. In contrast to other methods, alkali treatment offers several advantages, including reduced operating time and conditions that significantly enhance enzyme hydrolysis [13]. However, alkali pretreatment comes with certain drawbacks, such as prolonged treatment durations and excessive alkali usage, which can be addressed by adopting intensified techniques like ultrasound-assisted methods. Similar to other sound waves, ultrasound travels through a material by inducing a sequence of compression and rarefaction waves in the molecules. When the power level is high enough, the rarefaction cycle could exceed the forces that attract the molecules in the liquid, causing cavitation bubbles to emerge. Small volumes of vapor (or gas) from the medium enter the bubble during its expansion phase and are not completely evacuated after compression. Energy is produced by the collapse of these bubbles during subsequent compression cycles. They induce acoustic cavitation, leading to localized changes in temperature and pressure, resulting in the generation of highly reactive species such as  $\text{OH}^\cdot$ ,  $\text{H}^+$ , and  $\text{H}_2\text{O}_2$ , which in turn accelerate chemical reactions [14]. Cavitation, along with heat and mechanical actions associated with ultrasound, causes cell wall disruption, particle size reduction, degradation of the plant matrix, and enhances local mass transfer. Ultrasound application can also enhance the efficiency of delignification in thermal and chemical reactions when combined with suitable solvents. Additionally, ultrasound improves the thermal stability of cellulose, with higher breakdown temperatures observed in cellulose extracted using ultrasound-mediated solvent pretreatment compared to techniques without ultrasound [15–17].

The process of converting pretreated lignocellulose into fermentable sugars through enzymatic hydrolysis has long been researched as a means of depolymerizing biomass in order to produce biofuels and biochemicals [18]. The goal of enzymatic hydrolysis is to liberate simple sugars from hemicellulose and crystalline cellulose. After that, the monosugars are fermented. Therefore, the effective conversion of biomass to the desired valuable products depends on the efficiency of

enzymatic hydrolysis. The enzymatic hydrolysis of lignocellulosic material is more efficient and economical as a result of the pretreatment step [19].

The aim of this paper is the development and optimization of an ultrasound assisted mild alkaline treatment of lignocellulosic biomass (weak alkaline solutions, low temperatures and shorter treatment times) and testing the pretreated material in the enzymatic hydrolysis reaction. The raw material is sawdust waste from a furniture factory that contains equal proportions of hardwood (hardwood - beech) and softwood (fir). In this research, the influence of the alkaline pretreatment on lignin separation from extracted lignocellulosic material using different ultrasound equipments (Vibracell VCX probe, Hilscher probe, and ultrasonic bath) was studied. For the optimization of significant parameters a 2<sup>3</sup> factorial design was used in order to achieve more fermentable sugars with less energy consumption and reduced cost. To the best of our knowledge, the optimization of ultrasound assisted alkaline pretreatment of sawdust to maximize the reducing sugars yield during enzymatic hydrolysis was used for the first time, in this study.

## 2. Materials and Methods

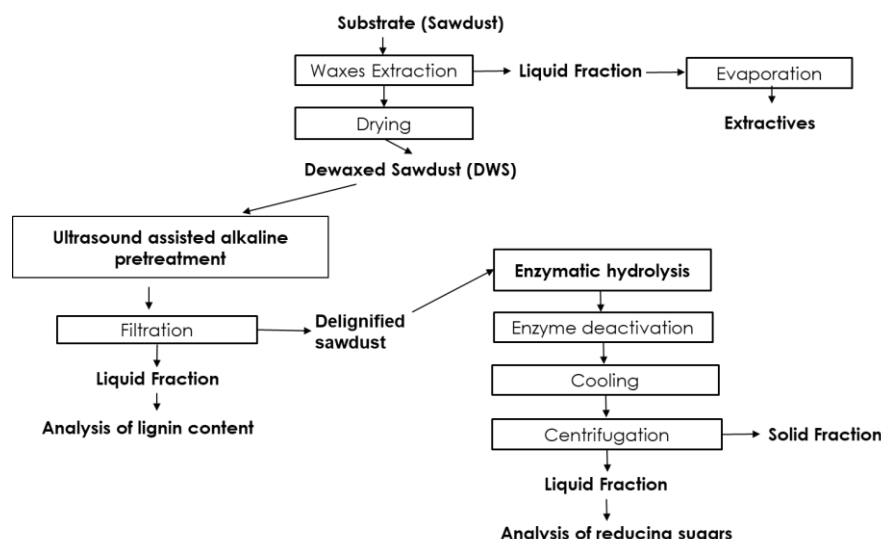
### 2.1. Materials

Wood chips residue (sawdust) from a furniture factory (Bucharest, Romania), which contains equal proportions of hardwood (beech) and softwood (fir) were used for the experiments. The wood residue was ground and screened to a particle size between 0.315 and 0.5 mm. Before the alkaline pretreatment combined with ultrasounds, the lignocellulosic material was successively extracted with a mixture of toluene : ethanol = 1 : 2 (for 6 h) and then with water (for 6 h) to remove waxes and extractives.

The standards used for lignin and sugars determination were Kraft lignin with low sulfur content and glucose, respectively, and were purchased from Sigma-Aldrich Co, Romania. The cellulase enzyme used for the enzymatic hydrolysis was the commercial Celluclast 1.5L (enzyme activity of 700 endoglucanase units per gram), was purchased from Novozyme, Denmark.

### 2.2. Procedure of Ultrasound Assisted Alkaline Pretreatment

The alkaline pretreatment of the extracted sawdust was carried out in a reactor equipped with a special thermostated jacketed glass reactor using either an ultrasound bath (ES375H Bench Top Ultrasonic Tank, Hilsonic Ultrasonic Cleaners, Birkenhead, UK) with a volume of 3 L, a power of 120 W, and a frequency of 40 kHz, either two ultrasonic processors: the Vibracell VCX750 with a titanium probe –  $\Phi=12\text{mm}$  (Sonics & Materials, Inc., Newtown, CT, USA) and the Hielscher UP 200St with a glass probe -  $\Phi=25\text{mm}$  (Hielscher Ultrasonics GmbH, Teltow, Germany). The reactor was loaded with extracted sawdust and sodium hydroxide solution with a concentration of 40 g/L in ratios of 1:50 - 1:150 (w/w), temperatures of 30-50 °C. The pretreatment time was 5 hours and samples were taken at 1 h intervals. The determination of the lignin content in the resulting supernatant was performed after centrifuging the samples for 10 minutes at 3500 rpm. The pretreated sawdust was filtered and washed with water until the pH of the water was neutral and dried at 50 °C to constant weight. The dry pretreated sawdust was stored for further use in enzymatic hydrolysis. To compare the effect of ultrasound in the alkaline pretreatment process, sawdust was also subjected to alkaline pretreatment by conventional method, without the use of ultrasound using the same pretreatment conditions. The detailed diagram of the general stages of conversion of sawdust to reducing sugars is shown in **Figure 1**. All experiments were performed in triplicate.



**Figure 1.** Schematic diagram of the general stages of conversion of sawdust to reducing sugars.

### 2.3. Analysis of the Soluble Lignin Content

The soluble lignin content was evaluated according to the Technical Report NREL/TP-510-42618 with minor modifications [20]. The soluble lignin concentration was expressed as milligrams of lignin per 1 gram of dry substrate ( $\text{mg lignin/g}_{\text{substrate}}$ ) using a standard curve corresponding to 7–200 mg/L of Kraft lignin with low sulfur content solution. The absorbance of the diluted extracts was measured at 320 nm using a Shimadzu UV mini-1240 UV/Visible Scanning Spectrophotometer, 115 VAC (Shimadzu Deutschland GmbH; Duisburg, Germany).

### 2.4. Enzymatic Hydrolysis Procedure

The treated lignocellulosic material obtained after the alkaline treatment was washed with distilled water until neutral pH and subjected to enzymatic hydrolysis at a pH value of 5 for 48 h [21]. Enzymatic hydrolysis was performed at 50°C, in a reciprocating shaking water bath (120 rpm). Hydrolysis processes were carried out in 100 mL reactors containing a mixture of buffer solution pH = 5 (citric acid/  $\text{Na}_2\text{HPO}_4$ ) and treated sawdust in a ratio of 1:25 (w/v solid/liquid). Enzyme - Celluclast 1.5L (0.6 mL per gram of substrate) is added to this mixture (the enzyme amount was selected based on preliminary tests that were part of a research project by [22]). During the reaction, samples were taken at 24 and 48 hours, which were placed in of hot water (to deactivate the enzyme), cooled and centrifuged at 3500 rpm for 10 minutes to separate the solid residue, and the supernatants were further analyzed to determine the reducing sugar concentrations.

### 2.5. Analysis of Reducing Sugars Content

The concentration of reducing sugars resulted after the enzymatic hydrolysis of lignocellulosic materials was determined following a modified 3,5-dinitrosalicylic acid assay [23,24]. The absorbance was measured at 575 nm using a Jasco V-550 UV/Vis Spectrophotometer. The reducing sugars of the samples were quantified as milligrams of glucose equivalents per 1 gram of dry substrate ( $\text{mg GE/g}_{\text{substrate}}$ ) using a standard curve corresponding to 0.24–2 g/L of glucose solution.

### 2.6. Statistical Analysis

Data were reported as mean value  $\pm$  SD (standard deviation) for triplicate measurements ( $n = 3$ ). Univariate analysis of variance (ANOVA) was employed to assess the dissimilarities of the obtained data. The differences were considered statistically significant at a  $p$ -value less than 0.05. XLSTAT Version 2019.1(Addinsoft, New York, NY, USA) was used for statistical analysis Statistical analysis

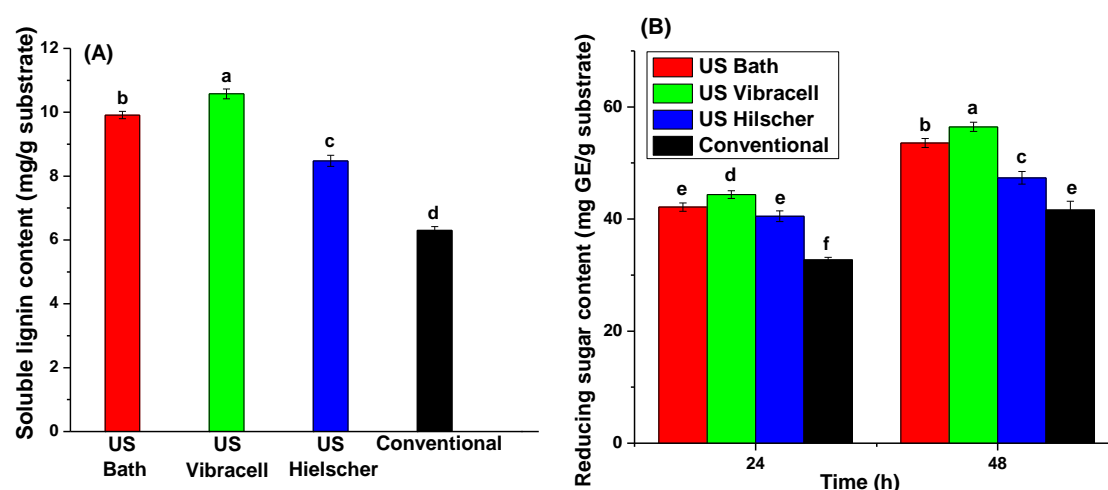


and process factor optimization were performed using STATISTICA version 10.0 (StatSoft Inc., Tulsa, OK, USA).

### 3. Results and Discussions

#### 3.1. Influence of Ultrasound Equipment on the Assisted Alkaline Pretreatment

In the first stage, the alkaline pretreatment was performed using three different types of ultrasonic applicators: an ultrasound bath (ES375H Bench Top Ultrasonic Tank, Hilsonic Ultrasonic Cleaners, Birkenhead, UK) and two ultrasonic processors (Vibracell VCX-750, Sonics & Materials, Inc., Newtown, CT, USA and Hielscher UP 200St, Hielscher Ultrasonics GmbH, Teltow, Germany). It can be noticed (**Figure 2A**) that the ultrasound pre-treatment of the sawdust leads to significantly higher soluble lignin content compared with the experiments with conventional pre-treatment ( $p < 0.05$ ). The highest content of the soluble lignin was obtained for Vibracell applicator (approximately 68% higher than conventional pretreatment), followed by ultrasonic bath and Hielscher horn (approximately 57% and 35% higher respectively). This may be due to the cavitation phenomenon, which can accelerate the rate of mass transfer by causing cell walls disruption. The distribution of ultrasound energy in the bath is uneven, and its intensity is low. However, the horn exhibits a high localized intensity of cavitation and, implicitly, a more efficient sonication process [25]. Therefore, the significantly higher soluble lignin content achieved using the Vibracell equipment (an increase of approximately 7% than ultrasonic bath and 25% than Hielscher horn,  $p < 0.05$ ) could be due to these differences between ultrasonic bath and horns. Therefore, the following ultrasound assisted alkaline pretreatments of sawdust were performed using the Vibracell ultrasonic processor.

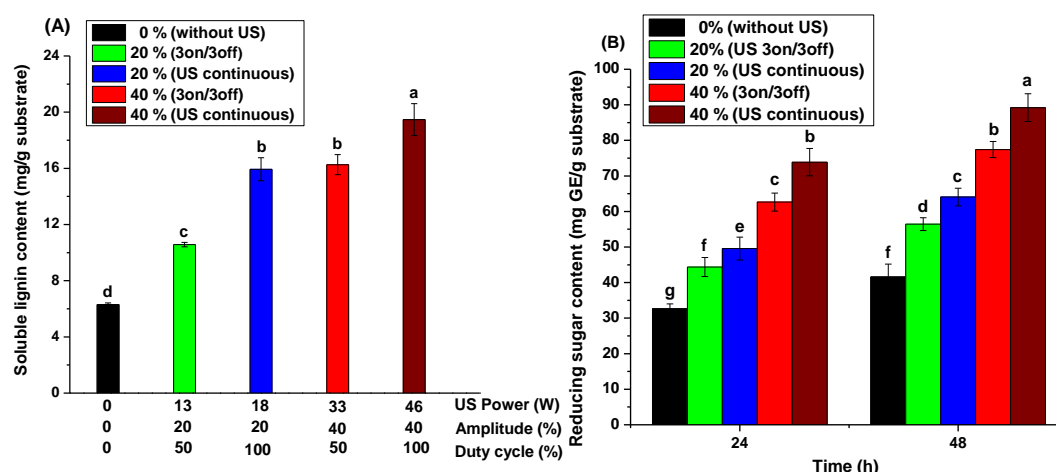


**Figure 2.** Effect of ultrasound applicators on the lignin and sugars content. (A) Ultrasound assisted alkaline pretreatment (temperature 30 °C, hydrolysis time 1 h, sawdust/solvent ratio = 1/100(w/v)) (B) Enzymatic hydrolysis of pretreated sawdust (temperature 50 °C, hydrolysis time 48 h, pretreated sawdust/solvent ratio = 1/25(w/v), enzyme: Celluclast 1.5L, 0.5 mL/gsubstrate). The significant difference between groups ( $p < 0.05$ , ANOVA) are highlighted by different letters (a–f).

The ultrasound assisted pretreatment efficiency was also highlighted by the enzymatic hydrolysis of pretreated sawdust in order to determine the producing of reducing sugars (**Figure 2B**). It can be noticed that ultrasonically pretreated sawdust used as the substrate for enzymatic hydrolysis lead to a significant increase in sugars yield ( $p < 0.05$ ). The highest content of reducing sugar was reached for ultrasonic pretreated sawdust using Vibracell horn  $56.45 \pm 0.81$  mg GE/gsubstrate after 48 h enzymatic hydrolysis. This result justified the conclusion that ultrasound assisted alkaline pretreatment could enhance the enzymatic hydrolysis of sawdust by removing more lignin and increasing its accessibility to hydrolytic enzymes.

### 3.2. The Influence of Ultrasonic Power on the Lignin Extraction and Sugars Yield

Ultrasound intensity and duty cycle are important factors for the efficiency of the sonochemical processes. In order to estimate the influence of ultrasound amplitude in the ultrasound assisted alkaline pretreatment, two ultrasound amplitudes 20 to 40% were chosen. The effect of duty cycle was investigated by varying the on-off time of ultrasonic irradiation. To determine the influence of the pulse frequency on the ultrasound assisted alkaline pretreatment, the experiments were performed by varying the sonication time (pulses 3s on/ 3s off - 50% and continuous – 100%). The ultrasonic power corresponding to each duty cycle was read on the Vibracell device. Figure 3 presents the effect of ultrasound amplitude and duty cycle on the soluble lignin content (**Figure 3A**) and their effect on enzymatic hydrolysis (**Figure 3B**).



**Figure 3.** Effect of ultrasonic power on the lignin and sugars content (A) Ultrasound assisted alkaline pretreatment (temperature 30 °C, hydrolysis time 1 h, sawdust/solvent ratio = 1/100(w/v)) (B) Enzymatic hydrolysis of sawdust subjected to alkaline pre-treatment combined with ultrasound (temperature 50 °C, hydrolysis time 48 h, pretreated sawdust/solvent ratio = 1/25(w/v), enzyme: Celluclast 1.5L, 0.5 mL/gsubstrate) The significant difference between groups ( $p < 0.05$ , ANOVA) are highlighted by different letters (a–g).

Compared with the use of conventional process all the ultrasonic assisted pretreatments shown better results (**Figure 3A**). It was observed that the delignification increases with increase of ultrasound power and in continuous application mode. As the power increases up to an optimum level, cavitation activity intensifies, leading to a greater extent of delignification. This is attributed to effects such as improved solvent penetration or increased rates of mass transfer [26]. When actual power dissipation exceeds the optimal power, a large amplitude of ultrasound across the solvent medium creates an excessive number of cavities. This cushioned collapse of the cavities results in a decreased intensity of cavitation, which in turn limits the extent of delignification [27]. **Figure 3B** presents the effects of ultrasound pretreatment duty cycle on the reducing sugar yield of pretreated sawdust. The reducing sugar yield significantly increased for the continuous ultrasound pretreated samples, the highest sugar content was achieved for an amplitude of 40% ( $89.2.45 \pm 3.9$  mg GE/g<sub>substrate</sub>). The optimal ultrasound amplitude for alkaline pretreatment, with maximization of sugars yield, will be established by the following statistical model.

### 3.3. Statistical Models

Relevant variables of alkaline pretreatment in terms of solid/liquid ratio ( $R_{SL} = 0.5$ -1.5 g/100 mL), US amplitude ( $A = 20$ -60%), and working temperature ( $t = 30$ -50 °C) were selected as independent variables (factors). The effects of these process factors on dependent variables (responses), *i.e.*, the yields of lignin ( $Y_L$ ) and sugars ( $Y_S$ ), were quantified using statistical models based on  $2^3$  factorial design [28]. According to a  $2^3$  factorial design, 8 experimental runs (1-8 in **Table 1**) were performed at 2 levels (minimum and maximum) of process factors.

**Table 1.** Dimensional and adimensional process factors, experimental and predicted process responses ( $2^3$  factorial design).

Run	$R_{SL}$ (g/100 mL)	$A$ (%)	$t$ (°C)	$x_1$	$x_2$	$x_3$	$Y_L$ (mg/g)	$Y_S$ (mg GE/g)	$Y_{L,pred}$ (mg/g)	$Y_{S,pred}$ (mg GE/g)
1	0.5	20	30	-1	-1	-1	11.55	95.08	13.42	87.04
2	1.5	20	30	1	-1	-1	10.17	97.47	9.27	105.70
3	0.5	60	30	-1	1	-1	22.57	101.79	21.21	107.97
4	1.5	60	30	1	1	-1	17.17	132.58	17.07	126.64
5	0.5	20	50	-1	-1	1	15.47	98.47	16.10	103.22
6	1.5	20	50	1	-1	1	14.04	126.39	11.96	121.88
7	0.5	60	50	-1	1	1	25.53	126.61	23.90	124.15
8	1.5	60	50	1	1	1	17.17	140.17	19.75	142.82
9	1	40	40	0	0	0	15.96	106.31	16.58	114.93
10	1	40	40	0	0	0	16.15	129.30	16.58	114.93
11	1	40	40	0	0	0	16.29	118.66	16.58	114.93
12	1	40	40	0	0	0	16.94	106.31	16.58	114.93
13	1.25	60	50	0.5	1	1	18.73	131.56	20.79	138.15
14	1.25	60	50	0.5	1	1	20.16	136.84	20.79	138.15
15	1.25	60	50	0.5	1	1	22.45	140.18	20.79	138.15

Dimensionless values of process factors ( $x_1$ ,  $x_2$ , and  $x_3$ ) are given by **Equations (1) - (3)**, where  $R_{SL,cp} = 1$  g/100 mL,  $A_{cp} = 40\%$ , and  $t_{cp} = 40$  °C are centre-points. In addition, 4 centre-point experimental runs (9-12 in **Table 1**) were performed.

$$x_1 = \frac{R_{SL} - \frac{R_{SL,max} + R_{SL,min}}{2}}{\frac{R_{SL,max} - R_{SL,min}}{2}} = \frac{R_{SL} - 1}{0.5} \quad (1)$$

$$x_2 = \frac{A - \frac{A_{max} + A_{min}}{2}}{\frac{A_{max} - A_{min}}{2}} = \frac{A - 40}{20} \quad (2)$$

$$x_3 = \frac{t - \frac{t_{max} + t_{min}}{2}}{\frac{t_{max} - t_{min}}{2}} = \frac{A - 40}{10} \quad (3)$$

Statistical models described by **Equation (4)** link the process dimensionless factors  $x_j$  ( $j = 1,2,3$ ) and their interactions to predicted process responses  $y_{i,pred}$  ( $i = 1,2$ ), i.e.,  $y_{1,pred} = Y_{L,pred}$  and  $y_{2,pred} = Y_{S,pred}$ , where regression coefficients  $\beta_{ki}$  ( $k = 1,2,...,8$ ,  $i = 1,2,3$ ) were identified from experimental data specified in **Table 1**. Statistical analysis highlighted that only  $\beta_{1i}$ ,  $\beta_{2i}$ ,  $\beta_{3i}$ , and  $\beta_{4i}$  ( $i=1,2$ ) in Equation (4) were statistically significant. Consequently, the process responses can be predicted depending on dimensionless factors using **Equations (5) and (6)**, obtained after removing statistically non-significant terms in **Equation (4)**.

$$y_{i,pred} = \beta_{1i} + \beta_{2i}x_1 + \beta_{3i}x_2 + \beta_{4i}x_3 + \beta_{5i}x_1x_2 + \beta_{6i}x_1x_3 + \beta_{7i}x_2x_3 + \beta_{8i}x_1x_2x_3 \quad (4)$$

$$y_{1,pred} = Y_{L,pred} = 16.58 - 2.073x_1 + 3.899x_2 + 1.343x_3 \quad (5)$$

$$y_{2,pred} = Y_{S,pred} = 114.9 + 9.332x_1 + 10.47x_2 + 8.090x_3 \quad (6)$$

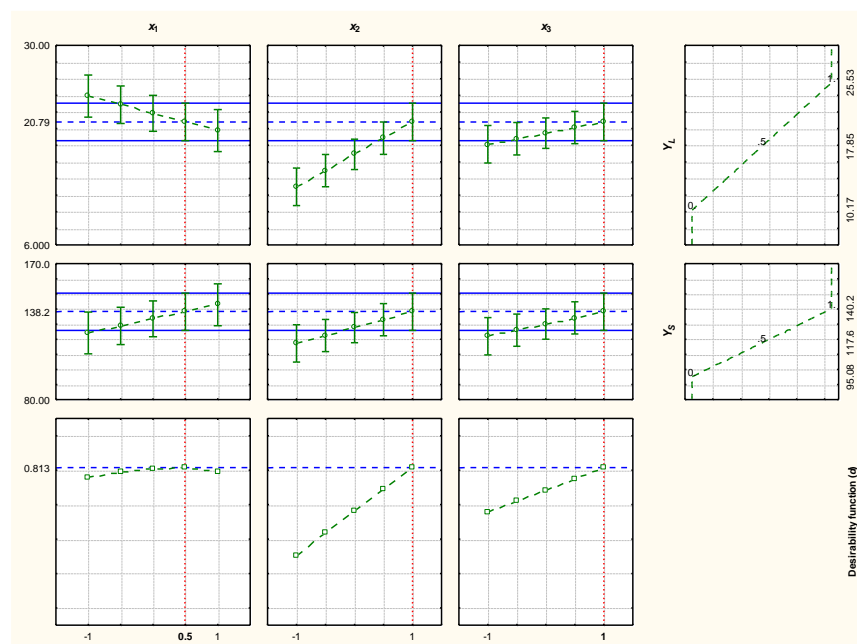


Statistically significant regression coefficients and related standard errors ( $SE_{ki}$ ),  $t$  statistics ( $t_{ki}$ ), and  $p$ -values ( $p_{ki}$ ) are summarized in **Table 2**. **Table 2** contains also the values of multiple determination coefficient ( $R^2$ ), adjusted  $R^2$  ( $R^2_{adj}$ ), standard error of estimate ( $SEE$ ),  $F$  statistic ( $F$ ), and significance  $F$  ( $p$ -value for  $F$ ). Statistical models expressed by Equations (5) and (6) along with corresponding results of multiple regression analysis (Table 2) reveal the following: (i)  $Y_{L,pred}$  increases with a decrease in  $x_1$  and an increase in  $x_2$  and  $x_3$ ; (ii)  $Y_{S,pred}$  increases with an increase in all process factors; (iii) there is a reasonable agreement between experimental and predicted values of process responses and both statistical models are statistically significant ( $R^2 \geq 0.769$ ,  $R^2_{adj} \geq 0.682$ ,  $SEE \leq 8.882$ ,  $F \geq 8.859$ ,  $p \leq 6.4E-03$ ). Accordingly, statistical models expressed by **Equations (5) and (6)** can be applied to estimate the process performance for factor levels within the ranges considered in the experimental study.

**Table 2.** Results of multiple regression analysis for  $y_{1,pred} = Y_{L,pred}$  and  $y_{2,pred} = Y_{S,pred}$  expressed by **Equations (5) and (6)**.

Regressor	$k$	$\beta_{k1}$	$SE_{k1}$	$t_{k1}$	$p_{k1}$	$\beta_{k2}$	$SE_{k2}$	$t_{k2}$	$p_{k2}$
Intercept	1	16.58	0.468	35.46	0.0000	114.9	2.564	44.82	0.0000
$x_1$	2	-2.073	0.573	-3.619	0.0068	9.332	3.140	2.972	0.0178
$x_2$	3	3.899	0.573	6.806	0.0001	10.47	3.140	3.333	0.0103
$x_3$	4	1.343	0.573	2.345	0.0471	8.090	3.140	2.576	0.0328
$R^2$			0.890				0.769		
$R^2_{adj}$			0.849				0.682		
$SEE$			0.044				8.882		
$F$			21.64				8.859		
$p$ (significance $F$ )			3.4E-04				6.4E-03		

Desirability function approach was applied to identify the optimal factor levels to maximize the process responses [29]. Profiles of predicted values of process responses and desirability function ( $d$ ) at different levels of dimensionless factors, which are shown in Figure 4, highlight the following optimal levels of process factors:  $x_{1,opt} = 0.5$  ( $R_{SL,opt} = 1.25$  g/100 mL),  $x_{2,opt} = 1$  ( $A_{opt} = 60\%$ ), and  $x_{3,opt} = 1$  ( $t_{opt} = 50$  °C). Under these optimal conditions, the predicted values of process responses were  $Y_{L,pred,opt} = 20.79$  mg/g and  $Y_{S,pred,opt} = 138.15$  mg GE/g, while the value of desirability function was  $d_{opt} = 0.813$ .



**Figure 4.** Profiles of predicted values of process responses and desirability function.

To validate the statistical models described by **Equations (5) and (6)**, 3 experimental runs (13-15 in **Table 1**) were performed at optimal levels of process factors. According to the results of *t*-test for two samples assuming unequal variances, the mean experimental values of process responses for these replicates ( $Y_{L,m,opt} = 20.45$  mg/g and  $Y_{S,m,opt} = 136.19$  mg GE/g) and related predicted values ( $Y_{L,pred,opt} = 20.79$  mg/g and  $Y_{S,pred,opt} = 138.15$  mg GE/g) were not significantly different ( $p \geq 0.26$ ), which proves the validity of both statistical models.

## 5. Conclusions

This study is focused on improving the enzymatic hydrolysis of wood residue (sawdust) from furniture manufacturing. The influence of the alkaline pretreatment combined with ultrasound on the separation of lignin from the lignocellulosic material (extracted sawdust) was studied. This partial delignification was done to improve the availability of the pretreated material for enzymatic hydrolysis in order to obtain a greater yield of reducing sugars. The ultrasound assisted pretreatment resulted in significant delignification (68%) comparing with conventional pretreatment. Pretreatment with ultrasounds changes the chemical composition of lignocellulose substrates, leading to more effective enzyme accessibility to biomass, improving enzymatic hydrolysis.

A  $2^3$  factorial design was used to optimize the ultrasound assisted alkaline pretreatment conditions in order to maximize the soluble lignin content and the concentration of reducing sugars obtained from enzymatic hydrolysis. The optimal operating conditions were found as following: solid/liquid ratio  $R_{SL,opt} = 1.25$  g/100 mL, US amplitude  $A_{opt} = 60\%$ , and pretreatment temperature  $t_{opt} = 50$  °C. Under these conditions, the maximum reducing sugar yield reached 138.15 mg GE /g<sub>substrate</sub>.

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