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Posted Date: 6 February 2024

doi: 10.20944/preprints202402.0388.v1

Keywords: emerald ash borer; *Fraxinus* spp.; attractive forest stands; MaxEnt.



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## Article

# Forest Site and Stand Structure Affecting the Distribution of Emerald Ash Borer, *Agrilus planipennis* Fairmaire, 1888 (Coleoptera: Buprestidae) in Eastern Ukraine

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**Abstract:** The Emerald Ash Borer (EAB), *Agrilus planipennis*, an invasive phloem-boring beetle, was first detected in the Luhansk Region of Ukraine in 2019. Subsequently, it rapidly expanded its presence to encompass a significant portion of the Kharkiv region and the parks of Kyiv. Previous research has established that the climatic conditions in Luhansk and its neighboring regions are conducive to EAB, and the absence of a host plant (*Fraxinus* sp.) does not act as a deterrent to the pest's expansion in Ukraine. Recognizing the urgency of identifying infested trees in a timely manner, our current research endeavors to pinpoint the most attractive EAB forest subcompartments based on forest site conditions and stand structure. Utilizing the MaxEnt model, we achieved a high level of accuracy in predicting the potential distribution of EAB (AUC = 0.842). The five most significant variables, contributing to 82.9% of the model, include "Age of trees, years", "Area of forest subcompartment, ha", "Mean height of trees, m", "Proportion of *Fraxinus excelsior* in the stand composition, %" and "Hygrotope index (humidity level), point". The model indicates a high probability of EAB expansion in the Luhansk region (>90%) across 20% of the forest area containing *Fraxinus* sp. in the stand composition. Moreover, a likelihood of EAB spread exceeding 30% is projected for 75% of such areas. To enhance the accuracy of our forecasting, it may be imperative to consider data on road localization, along which the pest can spread passively, as well as dominant wind speed.

**Keywords:** exotic pest; host range; European ash; *Fraxinus pennsylvanica*; attractive forest stands.

## 1. Introduction

The Emerald ash borer (EAB), *Agrilus planipennis* Fairmaire, 1888 (Coleoptera: Buprestidae) is a phloem-boring beetle, originating from the temperate regions of Northeast Asia [1], inadvertently found its way into North America and European Russia in the 1990s, likely introduced through infested ash material. The full extent of the catastrophe only became apparent in the early 2000s

following the initial widespread outbreaks [2]. On its native range, EAB colonized *Fraxinus mandshurica* Rupr. and *F. chinensis* Roxb.) without significant damage [3]. EAB displays a broader host range in secondary ranges, infesting all *Fraxinus* species and even non-ash host, cultivated olive (*Olea europaea* L.) [4,5]. Over the past two decades, the range of EAB has expanded and continues to increase. Numerous kinds of research were devoted to predicting the ecological, economic, and social impacts of EAB, and management efforts based on studies of its host preference, cold tolerance, and many other features summarized in well-known reviews [3,6]. Despite the diligent efforts of scientists and practitioners, EAB relentless spread is evident, currently documented in 36 US states, five Canadian provinces, and 20 regions of Russia [6].

In 2019, EAB was first identified in Ukraine, specifically in the Luhansk Region [7,8]. However, the various larval instars and exit holes suggest that the pest likely infiltrated the region in 2017, or potentially even earlier, potentially originating from the neighboring Voronezh region of Russia.

Within two years, EAB had expanded its reach over 300 km westward from the initial detection site, colonizing hundreds of trees both *F. excelsior* and *F. pennsylvanica* [9]. By the end of 2021, the pest was detected in most of the Luhansk region and the neighboring part of the Kharkiv region [9]. In 2022, EAB was identified in the parks of Kyiv [10], and by 2023, it had spread to a significant part of the Kharkiv region [11].

However, field research in 2022–2023 was hindered due to the region's involvement in active hostilities.

Consequently, during these years, investigations focused on the seasonal development of EAB and climate variables influencing its successful survival. The wide range of bioclimatic variables in EAB regions indicates this pest's high ecological adaptability [9]. The westward spread of EAB from the Luhansk region to the west was predicted using the MaxEnt model, and a comparative analysis of the most significant bioclimatic indicators was conducted between its natural and invasive ranges. Climate favorableness for EAB in Luhansk and neighboring regions further underscores the urgency of addressing this invasive threat [9].

A sufficient number of host trees is the second important condition (besides climate) for EAB spread [12]. In Ukraine, ash forests encompass approximately 150,000 hectares, or 2.4 % of the total forested area [13]. Among them, *F. excelsior* stands are dominant, covering nearly 87% or 130,000 hectares, while the remainder is predominantly *F. pennsylvanica*. The majority of ash stands (93.6% or 121,700 hectares) are interspersed with other broadleaved tree species with different proportions of ash. Both ash species are also presented in roadside shelter belts and urban stands in Ukraine [14], although comprehensive data regarding the total area of these plantings are currently unavailable. Consequently, ash as a host tree for EAB is widespread throughout Ukraine.

The primary challenge of effective EAB management is early detection, but this becomes intricate. In young trees, EAB colonizes trunks and branches with a bark thickness ranging from 1.5 to 5 mm [15]. This bark provides sufficient protection for larvae and pupae from desiccation, extreme temperatures, predators, and parasitoids, typically characterizing stems and branches with a diameter of 5–10 cm [15].

Conversely, in larger-diameter trees, EAB first inhabits individual branches of high trees, and the crowns of inhabited trees do not differ from uninhabited ones [17,18]. To reveal such trees from the ground (without tree felling) becomes possible only after the woodpeckers begin to peck the galleries [11].

Assessing EAB in the early stages of tree colonization on cut sample branches is labour-intensive [17], prompting the need to identify trees or stands most attractive to the pest, where EAB abundance and outbreak area may rapidly increase [12].

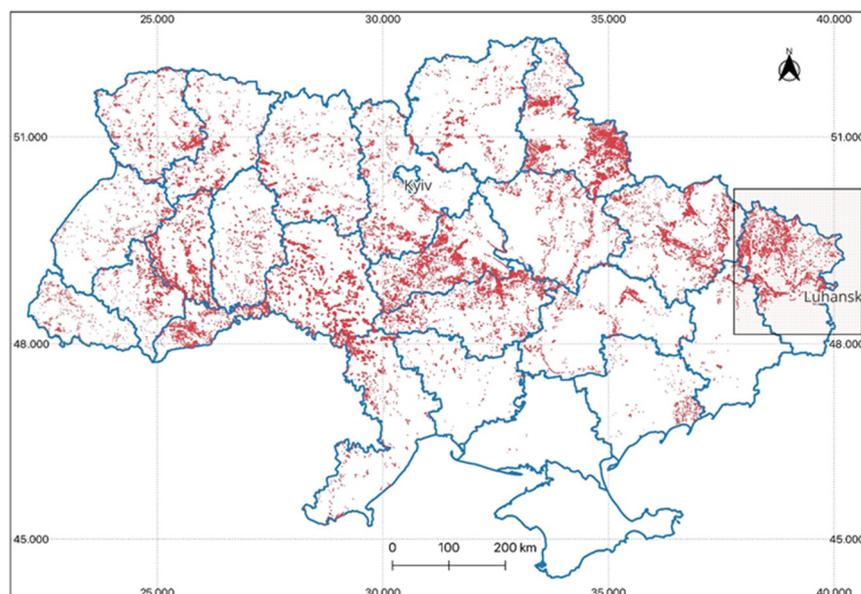
Existing publications suggest that EAB exhibits a preference for trees with open or thinly populated canopies for mating and egg-laying [18,19]. The density of the population, the speed of development, and the overall survival of individuals are greater exposed to higher levels of light [15], especially those situated at the edges of forests [1,20].

Therefore, our current research aimed to identify the most attractive forest subcompartments for EAB, considering forest site conditions and forest structure.

## 2. Materials and Methods

### 2.1. Study region

The entry point of the Emerald Ash Borer (EAB) into Ukraine was documented in the Luhansk region (Figure 1).



**Figure 1.** Forest subcompartments containing European ash within the territory of Ukraine (specific emphasis on the Luhansk region— the location where the EAB first entered and underwent field survey).

This region is situated within the steppe Atlantic-continental climatic region, characterized by the highest continentality and aridity. Geobotanically, the area is classified as diverse feather-grass, fescue-feather-grass, and worm-wood steppes. Based on forest typological zoning, it falls within the Donetsk Bayrak forest region, and according to comprehensive forestry zoning, it belongs to the Forest-Steppe, Left-Bank Dnieper Forest-Steppe District, and Northern (Bayrak) Steppe [21,22]. The region experiences scorching and arid summers marked by drought and hot, dry winds, as well as cold and low-snow winters featuring regular thaws, fog, and ice-covered ground, uneven distribution of precipitation in the year, and the growing season, dust storms and snowstorms. The maximum air temperature in July reaches +39 °C (+42 °C on August 12, 2010), the minimum in January drops to -38 °C (-41.9 °C on January 8, 1935). Frequent thaws occur in winter, with temperatures rising to +15 °C. The annual precipitation is 445 mm, and droughts are recorded on average once every three years. During the growing season, easterly winds prevail [23], a factor contributing to EAB spread westward.

Forests cover 11.5% of the territory of the Luhansk region, primarily in small areas along rivers, on ravine slopes, and in gullies. Over 70 tree species grow in the forests of the Luhansk region. The largest areas are occupied by Common oak (*Quercus robur* L.) at 38.1%, Scots pine (*Pinus sylvestris* L.) at 30.9%, Black locust (*Robinia pseudoacacia* L.) at 8.9%, European ash (*F. excelsior*) at 5.4%, and American green ash (*F. pennsylvanica*) at 3.0% [24]. The shelterbelts cover 30.3 thousand hectares with species composition including Black locust – 39.8%, Common oak – 19.8%, American green ash – 14.7%, and European ash – 8.0%. Over half of the shelterbelts were planted in the 1940s-1950s [25].

### 2.2. Modeling the spread of the emerald ash borer

The spread of *A. planipennis* was projected using a niche model generated in MaxEnt software, version 3.4.4 [26,27]. This software was chosen due to its capability to handle presence-only data and

accommodate a limited number of presence points [28]. Information regarding the presence of EAB in the Luhansk region was obtained during forest inspection in 2020-2021 (the first years of the pest's invasion into the Lugansk region) covering latitudes from 49°49'03.0"N to 49°14'03.6"N, and longitudes from 38°51'43.7"E to 39°21'57.2"E.

Variables representing forest site conditions and stand characteristics (Table 1) were obtained from the Database of Production Association "Ukrderzhlisproekt".

Variables	Limits
Hygrotope index (humidity level)	0, 1, 2, 3, 4, 5
Trophotope index (A,B,C,D)	1, 2, 3, 4
The proportion of <i>Fraxinus excelsior</i> in the stand composition	10–100%
The presence of any <i>Fraxinus</i> species in the stand composition	1/0
Age of trees, years	30–110
Mean height of trees, m	6–25 m
Mean diameter of trees, cm (DBH)	4–36 cm
Relative density of stocking	0.5–0.9
Site index class	1–5
Area of forest subcompartment	0.5–59.5 ha
Number of non-forested lands neighbouring subcompartment	0–8

Combination of soil richness levels (trophotope – nutritional richness for trees) – (A, B, C, D), and humidity levels (hygrotope) – 0, 1, 2, 3, 4, 5 characterize each forest subcompartment, aligning with the Ukrainian forest typology [22]. Group A ("bor") is characterized by sandy soils as well as oligotrophic (nutrient-poor) vegetation (*Pinus* spp., *Betula* spp.). At the same time, group B ("subor") features sandy clay soils and mesotrophic (moderately fertile) vegetation (*Quercus* spp., *Populus* spp., *Picea* spp.). Clay loam soils together with megatrophic flora (*Acer* spp., *Tilia* spp., *Alnus* sp., and *Fraxinus* spp.) define group C ("sugrud"), and clay soils, conducive to the optimal development of mesotrophic and megatrophic (highly fertile) vegetation and the lack of oligotrophic are characteristic for group D ("grud"). Six soil moisture regimes (potential hygrotope) are identified: 0 – very xeric, 1 – xeric, 2 – submesic, 3 – mesic, 4 – subhygric, and 5 – hygric (wetland) [22].

In preparation for MaxEnt, all parameters were used as real. Trophotope classes A, B, C, and D were converted to 1, 2, 3, and 4, respectively. Several consecutive operations were executed using QGIS 3.3.2:

- attributive data (variables for each subcompartment as XLSS file) and subcompartment geometry (Esri shp format) were joined in one vector layer for the whole Luhansk region;
- subcompartments with *Fraxinus* sp. presence were selected;
- vector layer projection was converted to EPSG:3856 (Pseudo-Mercator);
- values of each variable (columns, or fields) in the attribute table (see Table 1) were converted to raster images in GeoTIFF format;
- all raster images obtained were converted to ASC files for further use in MaxEnt 3.4.4.

For forest plots inspected with unknown coordinates, we used the centroids of respective compartments and then exported them in a CSV file for further use in MaxEnt 3.4.4.

The variables listed in Table 1 served as predictors, and the algorithm identified the most important variables based on the preset regularization parameters. The default setting of "cross-validation" was selected for replicates of the Species Distribution Models (SDMs), as it effectively utilized all available data and maximized the utility of the limited dataset [31]. The forest layer for the map (ESA WorldCover 10m v200) was sourced from the dataset Google Earth Engine [29]. QGIS 3.3.2 was utilized for map creation [30].

To assess model performance, the area under the curve (AUC) parameter of the ROC (receiver-operating characteristic) was employed [31]. AUC reflects the probability of accurately predicting the existence of emerald ash borer in forest subcompartments. If the AUC value approached 0.5 for the experimental data, the model demonstrated performance equivalent to a random model. Conversely,

if the AUC reached 1.0, the model exhibited a flawless fit, indicating the absence of false-negative results [27,31]. The impact of variables on the obtained model was assessed through both contribution and permutation coefficients. For verification, we employed the jack-knife test, generating three various models for each variable. The first model is without any variable, while the second and third ones comprise one and all the variables respectively.

### 3. Results

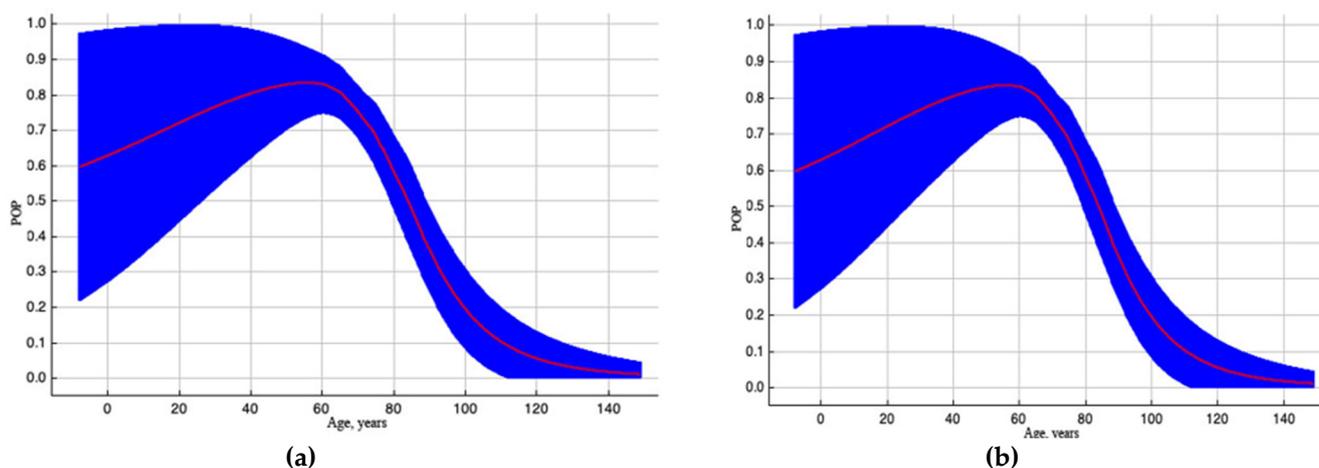
MaxEnt accurately forecasted the potential distribution of the emerald ash borer, yielding a commendable test under the curve (AUC) value of 0.842 accompanied by a standard deviation of 0.018. Additionally, the jack-knife test underscored consistently high AUC values for each variable (Table 2).

**Table 2.** The MaxEnt probability of EAB existence is primarily influenced by variables, which are presented in descending order of significance.

Variables	AUC	Contributi on, %	Permutati on, %	Aggregated contribution, %
Age of trees, years	0.7	38.9	31.4	38.9
Area of forest subcompartment, ha	0.6	13.9	11.2	52.8
Mean height of trees, m	0.7	11.2	17.1	64.0
The proportion of <i>Fraxinus excelsior</i> in the stand composition, %	0.6	10.6	4.3	74.6
Hygrotope index (humidity level), point	0.6	8.3	13.5	82.9
Site index class, point	0.5	5.8	8.3	88.6
Number of non-forested lands neighboring subcompartment, point	0.6	5.3	3.6	93.9
Relative density of stocking, unit fraction	0.6	2.1	2.6	96.0
Mean diameter of trees, cm (DBH)	0.6	1.8	5.5	97.8
Trophotope index (soil richness level), point	0.5	1.4	1.0	99.1
The presence of any <i>Fraxinus</i> species in the stand composition, 1/0	0.6	0.9	1.4	100.0

Note: AUC (Area Under the Curve) is calculated for each variable, serving as a metric to assess the model's performance.

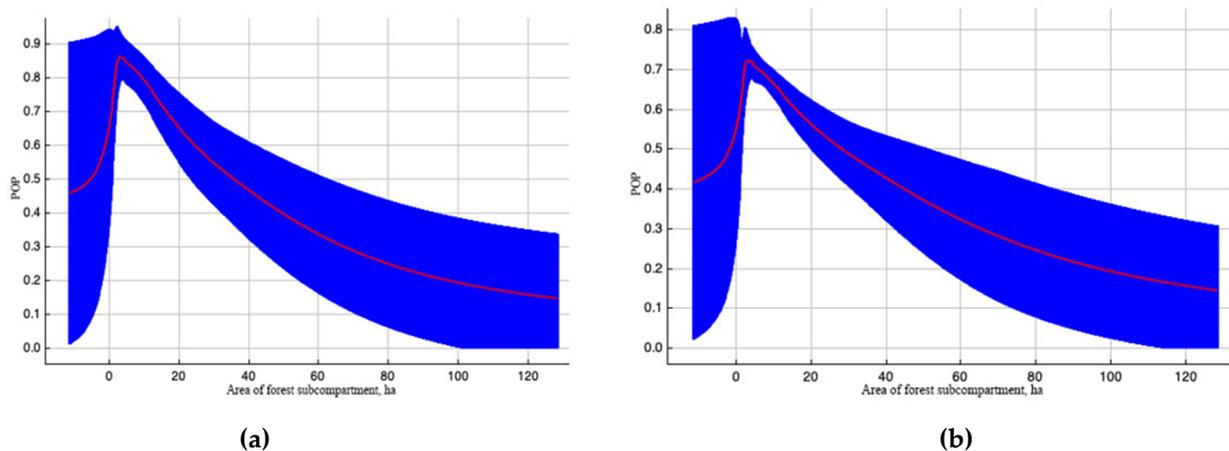
Based on the calculations, the age of trees exhibits the highest significance in constructing the EAB range model, accounting for 38.9% of the model's influence. However, it also displays the highest permutation in young age categories (Figure 2a). The permutation represents a considerably smaller correlation between the selected and other variables (Figure 2b).



**Figure 2.** Outcome curves of variable «Age of trees, years» which holds significant value in constructing the spread model. Here, POP (predicted probability) of pest existence. The blue area

illustrates the range of POP values when the variable changes. Curve (a) depicts how the POP shifted as the variable altered under standard sample conditions. The curve (b) demonstrates the dependence of POP both on the selected variable and on effects resulting from correlations between the selected variable and other elements.

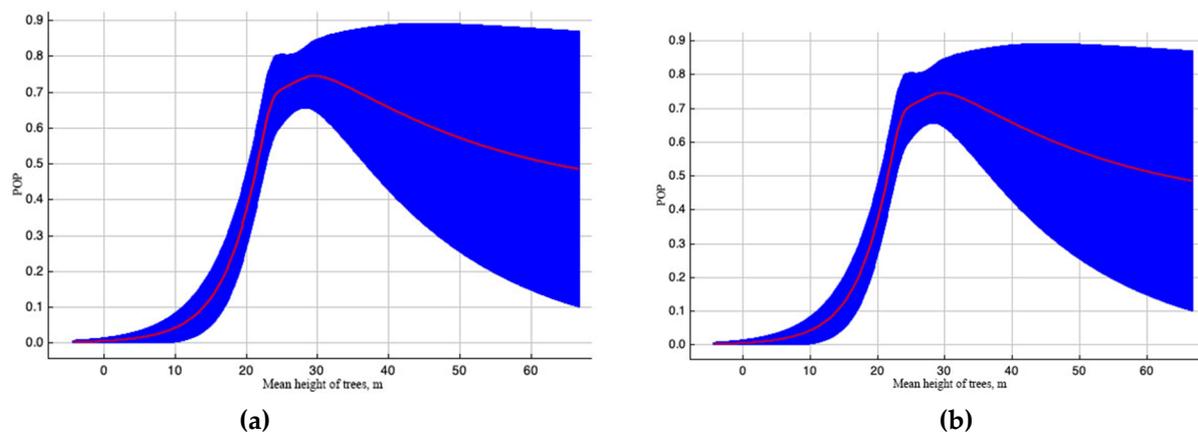
Variable "Area of forest subcompartment" takes the second place in terms of contribution to the model (Table 2). The influence of the subcompartment area on EAB spread (Figure 3) was almost independent of other variables. Pest foci are found more often in the subcompartments, which are characteristic of the sparsely forested Luhansk region.



**Figure 3.** Outcome curves of variable «Area of forest subcompartment, ha» with significant value in forming the EAB scale model. Here, POP (predicted probability) of pest existence. The blue area shows the range of POP values when the variable changes. Curve (a) depicts how the POP shifted as the variable altered under standard sample conditions. The curve (b) demonstrates the dependence of POP both on the selected variable and on effects resulting from correlations between the selected variable and other elements.

Variable "Mean height of trees, m" takes the third place in terms of contribution to the model (Table 2).

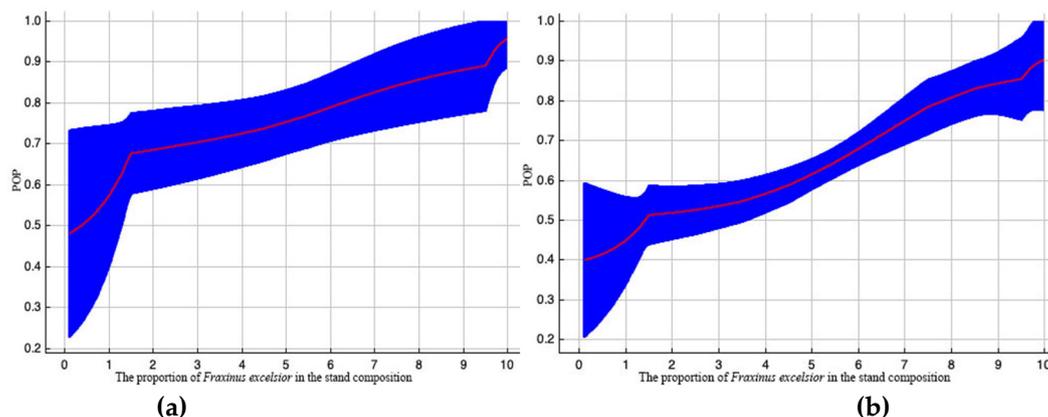
At the same time, in the case of taking into account all variables, a high permutation of the height effect on the probability of EAB colonization was computed (Figure 4a) in the case of an increase in height of more than 30 m. In the case of taking into account the correlation of the variable "Mean height of trees" with other variables, the permutation is much smaller (Figure 4b). In both cases, the maximum probability of colonization by EAB falls on the age of trees of about 25 years.



**Figure 4.** Outcome curves of variable «Mean height of trees, m» with great value in constructing the EAB range model. Here, POP (predicted probability) of pest existence. The blue area shows the range of POP values when the variable changes. The curve (a) depicts how the POP shifted as the variable altered under standard sample conditions. The curve (b) demonstrates the dependence of

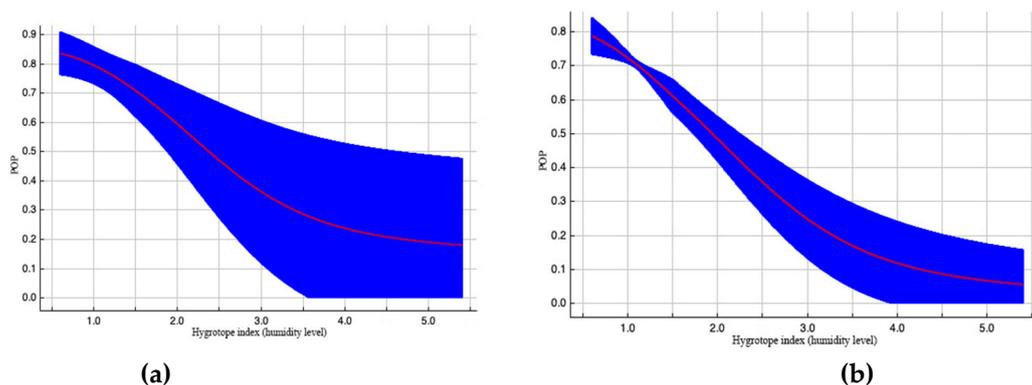
POP both on the selected variable and on effects resulting from correlations between the selected variable and other elements.

The percentage of *Fraxinus excelsior* in the stand composition contributes 10,6 % to EAB prediction model with rather low permutation (see Table 2). With an increase in the proportion of *Fraxinus excelsior* in the stand composition, the likelihood of pest spread rises, both when all other environmental variables are maintained under standard sample conditions (Figure 5a), and when considering correlations amongst the selected and other variables (Figure 5b).



**Figure 5.** Outcome curves of the variable «The proportion of *Fraxinus excelsior* in the stand composition» with significant value in constructing the EAB range model. Here, POP (predicted probability) of pest existence. The blue area demonstrates the distribution of POP values when the variable is altered. Curve (a) depicts how the POP shifted as the variable altered under standard sample conditions. The curve (b) shows the dependence of POP both on the selected variable and on and on effects resulting from correlations between the selected variable and other elements.

Among the remaining variables, “Hygrotope index (humidity level)” contributes 8.3 % to the model of EAB spread, but has a rather high permutation – 13,5 % (see Table 2). In both calculation variants, the probability (POP) of pest distribution increases in drier conditions (Figures 6a, 6b).



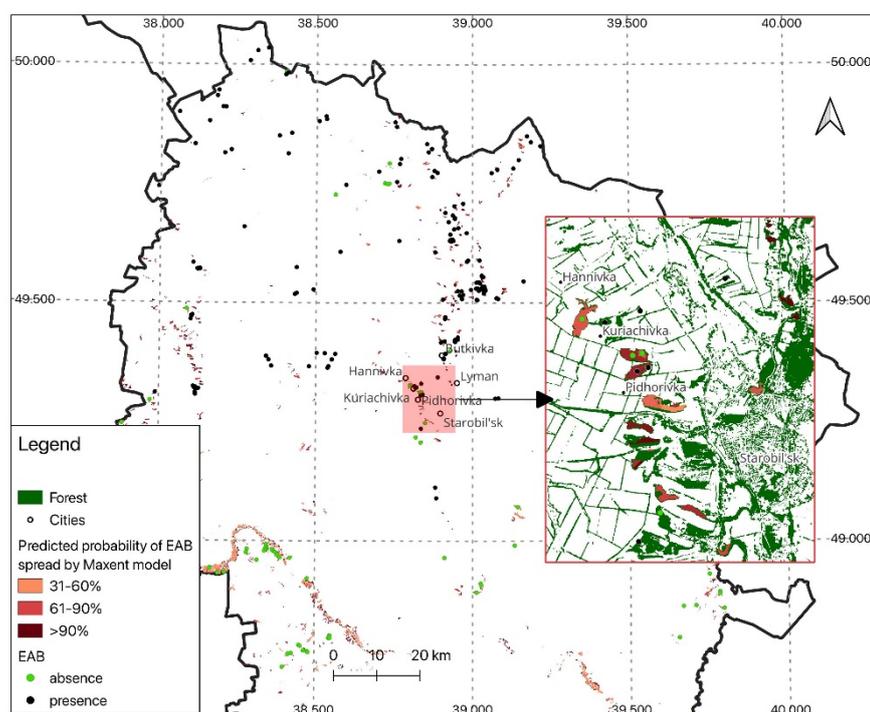
**Figure 6.** Outcome curves of the variable «Hygrotope index (humidity level), point» with great value in constructing the EAB range model. Here, POP (predicted probability) of pest existence. The blue area shows the range of POP values when the variable alters. Curve (a) demonstrates how the POP shifted as the variable altered under standard sample conditions. Curve (b) depicts the dependence of POP both on the selected variable and on and on effects resulting from correlations between the selected variable and other elements.

The mentioned five variables describe 82.9% of the contribution to the modeling of the probability of EAB presence (see Table 2).

The model revealed a significantly elevated likelihood of EAB spread in the Luhansk region (>90 %) at the 20 % of forest area with *Fraxinus* sp. in the stand composition, particularly probability >30% – at the 75 % of forest area with *Fraxinus* sp. (Table 3; Figure 7).

**Table 3.** Distribution of predicted probability of EAB presence by MaxEnt 3.4.4.

Probability	Area, ha	Proportion of area, %	Cumulative probability	Cumulative proportion, %
0–30	4007	25	0–30	25
31–60	3286	20	31–60	20
61–90	5691	35	31–90	55
>90	3280	20	>30	75
Total	16264	100	–	100



**Figure 7.** The expected spreading area for the Emerald Ash Borer (EAB) was estimated through maximum entropy modeling. The segment with the maximum probability of EAB infestation has been enlarged. Dark dots denote points where the beetle was registered, while light dots indicate points where EAB was absent in 2021. The color of forest fragments corresponds to the predicted likelihood of EAB distribution in each forest subcompartment (see the legend).

Coordinates of settlements in the selected fragment

Name	Latitude	Longitude
Hannivka	38.787006	49.342424
Kuriachivka	38.811778	49.320327
Pidhorivka	38.826286	49.297213
Starobil'sk	38.897531	49.268241
Butkivka	38.902156	49.390202
Lyman	38.950438	49.332158

#### 4. Discussion

The survival success of a non-indigenous species in establishing itself in a new region is contingent upon favorable climatic conditions [32,33], a sufficient number of host trees [34], and a minimum of competitors or entomophagous [35,36]. Therefore, various approaches to predicting the EAB spread have considered climatic conditions favorable for pest survival and propagation [33,37–39], the spread of host plant [34,40], landscape and stand characteristics [41], the possibility of active moth migration along the roads and passive spread with wood chips [42], other trunk fragments with bark and various combinations [6].

It has been demonstrated that EAB is well-adapted to temperature variations [6]. Particularly in Ukraine, using MaxEnt model and 19 Bioclimatic variables [9] resulted in high accuracy (AUC = 0.988) predicting EAB penetrations for 87% (Luhansk), 48% (Kharkiv) and 32% (Donetsk).

Field inspection confirmed, that EAB spread in 2020–2021 in most of the Luhansk region and in the south-east of the Kharkiv [14].

Despite limited access to forests due to military operations, the borer was discovered in the parks of Kyiv in 2022 [10], and in 2023 – in a significant part of the Kharkiv region, including in urban plantings of Kharkiv [11].

An analysis shows that *Fraxinus* sp. is rather abundant in the forest (see Figure 1), as well as in the shelter belts and urban plantings of Ukraine [13,24], suggesting that the lack of a host plant does not hinder the expansion of EAB in Ukraine. At the same time, in the Luhansk region, *Fraxinus* sp. in the forest is represented on 12.3 thousand hectares, in Kharkiv region on 7 thousand hectares, in Kyiv region on 6.1 thousand hectares, and in the Sumy region on 12.8 thousand hectares [13]. However, there are regional variations, such as EAB's absence in the Sumy region [14] but is present in Kyiv [10]. Non-published information about the mass decline of *F. pennsylvanica* Marshall. in the shelter belts along the Kharkiv-Kyiv highway suggests that the pest spreads mainly in this way.

When implementing MaxEnt, 11 variables that characterize the forest stands of the Luhansk region populated with EAB in 2020–2021 were used.

The high reliability of the EAB spread prediction model was statistically confirmed (AUC value of 0.842), and the contribution of individual variables was evaluated (see Table 2). The most significant five variables provided 82.9% to the model, particularly «Age of trees, years», «Area of forest subcompartment, ha», «Mean height of trees, m», «The proportion of *Fraxinus excelsior* in the stand composition, %», and «Hygrotope index (humidity level), point».

The variable «Age of trees, years» (38.9%) has the largest contribution to the model (see Table 2, Figure 2) because EAB colonizes trees of all ages but favors parts of the trunk and branches with thin bark. Hence, colonization in the upper trunks and crowns of trees over 60 years old is often impossible to see from the ground.

It is for this reason that the contribution of the variable "mean diameter of trees" ranks one of the last among the considered indicators (see Table 2). However, it usually correlates with the age of trees [22].

The more frequent EAB spread in forest subcompartments of smaller areas (see Figure 2) can be explained by the fact that the study region belongs to the steppe zone, and the size of forest subcompartments is often quite small. In such subcompartments, the trees are better lit and heated, which benefits EAB. The presence of EAB in urban plantings is consistent with information from other regions about its preference for more illuminated trees [15], particularly at the forest edges [1,20], and sparse crowns for mating and laying eggs [18,19], as well as with EAB detection in the parks of St. Petersburg [43], Moscow [44], Kyiv [10] and Kharkiv [11].

The significance of the variable "Mean height of trees" (Figure 3) can be explained by the dependency of the available bark surface of the trunk and branches for colonization by EAB on the tree height. The probability of EAB colonizing trees increases in stands with a larger proportion of ash (Figure 4) because *Fraxinus* sp. is the main host tree of this insect.

The higher probability of EAB spread in drier conditions (Figure 5) is consistent with information about the preference of many phytophages for warmer and illuminated environments, as well as with greater weakening of trees and their greater susceptibility to colonization by

phytophages under conditions of moisture scarcity [45]. Thus, it has been found that EAB prefers to colonize trees with sparse crowns, and its larvae develop more slowly in healthy trees [18,19]. In more lightened trees, higher EAB population density, development rates, and survival were noted [15], particularly at the edges [1,20].

The contribution of the remaining six analyzed variables to the model for predicting EAB spread was 17.3 %.

Our modeling using Maxent has revealed a notably high probability of EAB distribution in the Luhansk region (>90 %) is predicted at 20 % of the forest area with *Fraxinus* sp. in the stand composition. Particularly the probability of EAB invading above 30% is predicted for 75 % of forest area with *Fraxinus* sp. in the stand composition (Table 3; Figure 7).

At the same time, significant adjustments to the forecast can be made by data on EAB presence in the forest belts containing *F. pennsylvanica*. Unfortunately, access to many of them in the region is limited due to military operations.

The swift penetration of EAB into Kyiv within such a brief timeframe indicates an increased threat of further spread of the pest to the West. Improving the forecasting accuracy may involve considering data on road localization along where the pest can disperse passively, as well as dominate wind speed. Leveraging pairwise distances among places where EAB is present, as indicated in [46], could prove to be a beneficial method for determining the likelihood of EAB spread.

## 5. Conclusions

The MaxEnt model for predicting the spread of the Emerald Ash Borer (EAB) in the forest stands of the Luhansk region, populated with EAB in 2020–2021, demonstrated high reliability, as statistically confirmed (AUC value of 0.842).

The most impactful five variables provided 82.9% to the model, particularly «Age of trees, years», «Area of forest subcompartment, ha», «Mean height of trees, m», «The proportion of *Fraxinus excelsior* in the stand composition, %», and «Hygrotope index (humidity level), point».

A very high probability of EAB expansion in the Luhansk region (>90 %) is predicted at 20 % of the forest area with *Fraxinus* sp. in the stand composition. The probability of EAB spread above 30% is predicted for 75 % of such area.

To enhance forecasting accuracy, it is recommended to consider data on road localization, which serves as a passive pathway for pest spread, along with an evaluation of dominant wind speed.

**Supplementary Materials:** The following supporting information can be downloaded at: Preprints.org, Table S1: Results of MaxEnt modeling of EAB spread.

**Author Contributions:** conceptualization, V.M.; Data curation, T.K., Y.S.; Formal analysis, V.M., T.K., N.V., Y.S. and J.H.; Investigation, V.M., T.K., N.V., Y.S. and K.D.; Methodology, V.M., O.B., T.K., K.D. and J.H.; Software, O.B.; Supervision, J.H.; Validation, O.B. and N.V.; Visualization, O.B.; Writing – original draft, V.M., N.V. and K.D.; Writing – review & editing, V.M., Y.S., K.D. and J.H.

**Funding:** This research was funded by Funding: Project EVA 4.0 Advanced Research Supporting the Forestry and Wood-Processing Sector's Adaptation to Global Change and the Fourth Industrial Revolution, CZ.02.1.01/0.0/0.0/16\_019/0000803 Czech University of Life Sciences Prague. K.D. was funded by the Swedish Foundation for Strategic Research (SSF) project UKR22-0060, Knut and Alice Wallenberg's foundation project KAW 2022.0211. The research of K.D. was funded in part by the Swedish Research Council Formas (project no. 2023-01065).

**Acknowledgments:** KD, Y.S. and O.B. were supported by the Ministry of Education and Science of Ukraine within joint Ukrainian-Latvian project No M/92-2023

**Conflicts of Interest:** The authors declare no conflicts of interest.

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