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Simple Summary: A compositional data vector is a special type of multivariate observation in which the elements of the vector are non-negative and sum to a constant, usually taken to be unity. A compositional data does not have zero and only retains relative information. Furthermore, comparisons can only be made between compositional data of the same component. The relevant sample space is the standard simplex. A simplex space is a space that is a generalized form of a triangle. For compositional data, many of the operations defined in Euclidean space are meaningless. Microbiome analyzes have become popular in recent years. Operational Taxonomic Units (OTU) and Amplicon Sequence Variants (ASVs) used in microbiome analysis are one type of compositional data. The microbiome data are counts of different species within a sample and it is compositional.

Abstract: Firmicutes and Bacteroidetes, the major phyla in the colon, have been observed in humans worldwide. Gut microbiome analyses often use the Firmicutes/Bacteroidetes ratio and principal coordinates analysis (PCoA). Alpha and beta diversities are used as indicators of bacterial flora diversity. However, misinformation is pervasive in the human microbiome literature and analysis. There is a lot of misunderstanding regarding compositional data and its analysis. An attempt was made to demonstrate how to analyze using the "National Institutes of Biomedical Innovation, Health and Nutrition (NIBIOHN JMD) (Public Data)." The results showed that PCoA did not work, and principal component analysis (PCA) was useful for analyzing the gut microbiome relative diversity.

Keywords: gut microbiome; compositional data; principal component analysis; machine learning; diversity

1. Introduction

Basis is a non-constrained data (counting data) [1–5]. Composition corresponds to the constrained data [1–5]. Compositional data is relatively normalized data. When considering the ratios of compositions, the coefficient of variation is affected by moments up to the fourth order of basis. The coefficient of variation of ratios is subject to change when the unchanging component is switched between the denominator and numerator [1]. Compositional data cannot be used to calculate sums, differences, products, or quotients [1–5]. When the constituent elements are not the same, the appearance patterns will be different, and the differences in each component cannot be compared using compositional data [1–5]. The correlation and rank correlation coefficients do not mean the correlation on compositional data [2], [Supplementary Materials]. The comparisons of the compositional components varied depending on the denominator size [1–5]. In other words, while the proportion is one for the whole, it is common to extract a portion and renormalize the whole to one with the data from that portion, resulting in an apparent change. Changes in percentages

represent apparent changes in relative abundance [3–5]. A simple example of calculating percentages is that if one mixes 10% saline and 20% saline, the resulting concentration will be between 10% and 20%, depending on the amount added to each concentration. To determine whether a 10% saline solution or a 20% saline solution contains more salt, we need to know the total amount of saline solution. However, this argument cannot be made if the total quantity is unknown. This indicates that the 20% saline solution had a higher percentage of salt.

Principal component analysis (PCA) and multidimensional scaling (MDS or principal coordinates analysis/PCoA) are often used for gut microbiome analyses [6–23]. PCA and PCoA are types of machine learning. Use of these analyzes requires algebraic knowledge. A simplex is a generalization of a triangle or a tetrahedron [3–5]. PCA corresponds to the task of finding a specific Cartesian coordinate axis in a p-dimensional subspace within a n-dimensional vector space and synthesizing principal component vectors on that Cartesian coordinate axis. The ordinary PCA uses n subjects that are independent of each other as the coordinate axes of a n-dimensional vector space, and p types of observed items that are correlated with each other as the coordinate axes of a p-dimensional subspace. In the case of compositional data, it is a (p-1)-dimensional subspace [1]. PCA is a commonly used analysis to show relative relationships. In contrast, the multidimensional scaling method uses p observation items that are independent of each other as the coordinate axes of a p-dimensional vector space, and on subjects that are treated as correlated in terms of their similarity or distance to each other as the coordinate axes of a n-dimensional subspace. Considering these points, it is contradictory to apply PCA and multidimensional scaling to the same data at the same time. When constructing a positional relationship in Euclidean space from a distance matrix representing dissimilarity in multidimensional scaling, even the same compositional data are often plotted at different positions in Euclidean space. Furthermore, the distances commonly used in multidimensional scaling methods are the Bray-Curtis distance and the Jaccard distance, but these are only used in Euclidean space and cannot be used for compositional data in simplex space. For ordinary medical data involving intestinal flora, it is more reasonable to apply PCA rather than the multidimensional scaling construction method [24].

Firmicutes and Bacteroidetes, the major phyla in the colon, have been observed in humans worldwide. The five major colonic phyla in Japanese individuals were Firmicutes, Bacteroidetes, Actinobacteria, Proteobacteria, and Fusobacteria. Gut microbiome analysis is a field of information engineering. Moreover, in recent years, bacteriological studies have sometimes been conducted using the results from the gut microbiome analysis [6–23]. However, misinformation is pervasive in the human microbiome literature [6]. Operational taxonomic units (OTU) and Amplicon Sequence Variants (ASVs) are the results of clustering the 16S rRNA gene sequences at a certain cutoff value [7]. Many recent microbiome analyses have compared the proportions of compositional data. Many studies apply mathematical incorrect methods (multidimensional scaling, correlation coefficient and arithmetic means) for analyzing the gut microbiome [8–23]. Misinformation on microbiota analysis can cause great harm to bacteriology professionals.

Gut microbiome analyses often use the Firmicutes/Bacteroidetes (F/B) ratio [11–15]. Many studies have explored the relationship between the F/B ratio and the evaluation index[11–15]. The ratio is the same for both basis and composition. The F/B ratio is always the same when the results are given in OTU or in any subcomposition. Using the ratio of Bacteroidetes, the relative abundance of other bacteria was compared to that of Bacteroidetes in previous research [11–15]. However, most studies did not provide reasons for using the ratio of Bacteroidetes [11–15]. Moreover, many microbiota analyses have been performed assuming the same number of bacteria among individuals, with many standardized 10,000 OTU reads [8–23]. However, the assumption that the number of bacteria is the same among individuals is a severe condition that is not necessarily met. The denominator can be chosen arbitrarily for a ratio, as long as it's not zero. If the denominator with the smallest difference between individuals is selected, the ratio only requires consideration of the numerator's effect. A ratio analysis was not done since the same constituent components of the OTU data were little in this study. Therefore, the purpose of this study was to clarify the misconceptions

regarding diversity of the Japanese gut microbiome by showing the difference between PCoA and PCA.

2. Materials and Methods

Datasets

The artificial compositional data shown in Table 1 was used. Row names are numbered to distinguish each data item. A, B, C and D are column names and are used to distinguish columns.

The OTU dataset was extracted from the "NIBIOHN JMD (Public Data)." This study evaluated the fecal samples and metadata of community-dwelling Japanese volunteers from a cohort of the health- and nutrition-based cohort study conducted by the NIBIOHN, hereafter referred to as the NIBIOHN cohort [23]. In the NIBIOHN cohort, 1518 healthy Japanese adult volunteers were analyzed from October 2015 to October 2020 (age range: 19.5–80 years; males: 693; females: 825; 6 regions: Kanagawa, Atsugi; Niigata, Minamiuonuma; Osaka, Osaka; Tokyo, Shinjuku; Yamaguchi, Shunan; Yamanashi, Chuo) [23].

Table 1. The artificial compositional data.

ID	A	B	C	D
1	0.1	0.1	0.4	0.4
2	0.1	0.2	0.35	0.35
3	0.1	0.3	0.3	0.3
4	0.1	0.4	0.25	0.25
5	0.1	0.5	0.2	0.2
6	0.1	0.6	0.15	0.15
7	0.1	0.7	0.1	0.1
8	0.1	0.8	0.05	0.05
9	0.1	0.1	0.4	0.4
10	0.1	0.2	0.35	0.35
11	0.1	0.3	0.3	0.3
12	0.1	0.4	0.25	0.25
13	0.1	0.5	0.2	0.2
14	0.1	0.6	0.15	0.15
15	0.1	0.7	0.1	0.1
16	0.1	0.8	0.05	0.05
17	0.1	0.1	0.4	0.4
18	0.1	0.2	0.35	0.35
19	0.1	0.3	0.3	0.3
20	0.1	0.4	0.25	0.25
21	0.1	0.5	0.2	0.2
22	0.1	0.6	0.15	0.15
23	0.1	0.7	0.1	0.1
24	0.1	0.8	0.05	0.05
25	0.1	0.1	0.4	0.4
26	0.1	0.2	0.35	0.35
27	0.1	0.3	0.3	0.3

28	0.1	0.4	0.25	0.25
29	0.1	0.5	0.2	0.2
30	0.1	0.6	0.15	0.15

Procedure

All data was analyzed using R version 4.3.1 (2023-06-16 ucrt) or MANTA (Microbiota And pheNoType correlation Analysis platform) [23]. Vegan 2.6-4 package was used. A multidimensional scaling method (principal coordinate analysis/PCoA) was performed using the artificial compositional data (Table 1). Subsequently, PCoA and PCA were performed on the OTU Dataset from the "NIBIOHN JMD (Public Data)." Since compositional data is already normalized data, PCA was performed using sample variance without standardizing the data. Two PCoA charts were shown as nonmeaning figures of an index of the diversity. Scatter plots of principal component scores were shown as an index of relative diversity.

Finally, the relationship between green tea intake and bacterial flora of genus level was investigated using principal component regression analysis. The information on green tea intake was adopted because it had fewer 0s than the others.

3. Results

The PCoA results were shown in Figure 1 for the artificial dataset shown in Table 1. Different analysis results were obtained for the same data. PCoA should not be performed since an example where the result changed every time it was calculated through its algorithm. Therefore, the results of PCoA do not make any mathematical sense and therefore have no scientific basis.
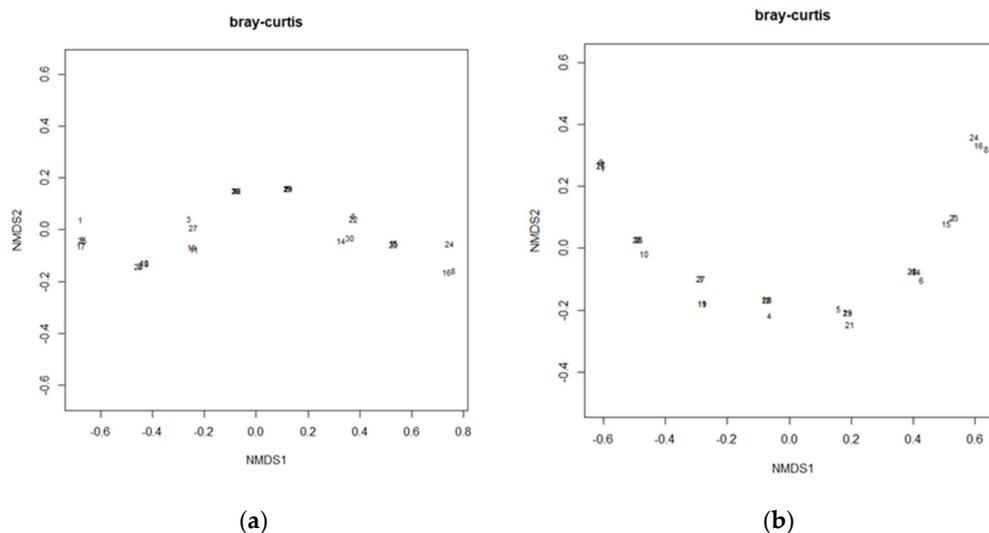

(a)	(b)

Figure 1. The results of principal coordinates analysis of the same data. The first and second calculations based on the Bray-Curtis distance were represented by (a) and (b), respectively. The plots did not match. An apparent change occurred.

The PCoA results of OTU were shown in Figure 2. The contribution rate of principal coordinates 1 and 2 was less than 22% and 10%, respectively. This indicates that dimensionality reduction failed. Moreover, these results had no scientific meaning for the reasons mentioned above [1–5].
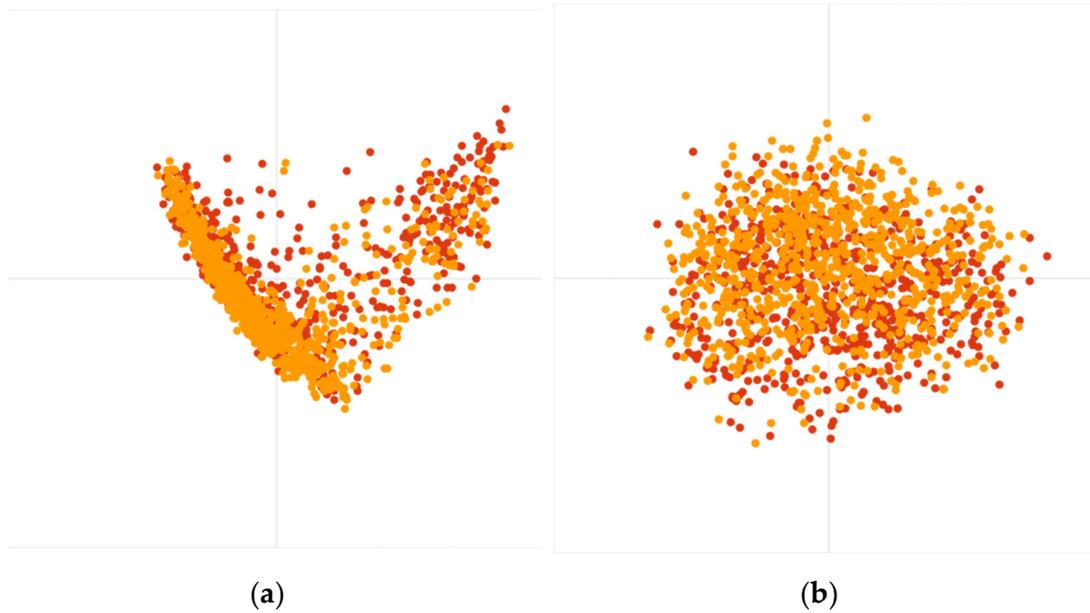
Figure 2. PCoA results were shown. The calculations based on the Bray-Curtis distance and Jaccard distance were represented by (a) and (b), respectively. All plots were in Euclidean space.

The PCA results of OTU were shown in Figure 3. Principal component scores were plotted in simplex space. The contribution rate of principal components 1 and 2 at the genus level was 66.3%. The contribution rate of principal components 1 and 2 at the class level was 81.0%. These plots summarize the results of a 100% stacked bar graph, thus indicating relative diversity. The adjusted R-squared of principal component regression analysis for green tea intakes was 0.00338 for PC1 and 0.0006333 for PC2, and no relationship was observed (Figure 4). The adjusted R-squared was small, so the fit of the regression model to the data was poor.
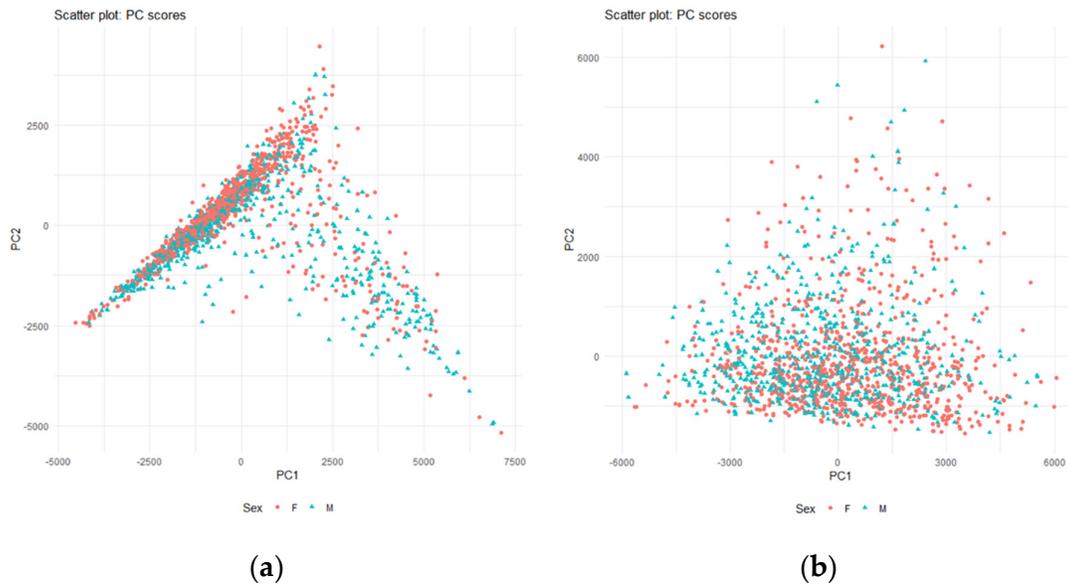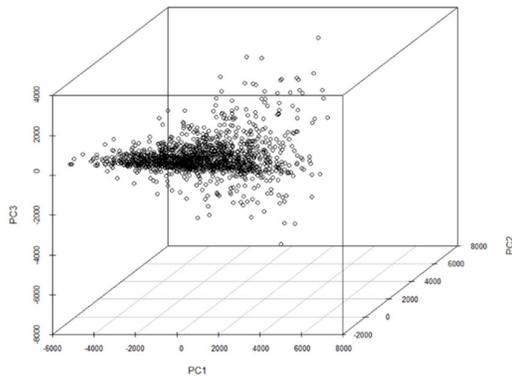
(c)

Figure 3. PCA results were shown. The genus level scatterplots were represented by (a), and the class level scatterplots were represented by (b) and (c).All plots were in simplex space.
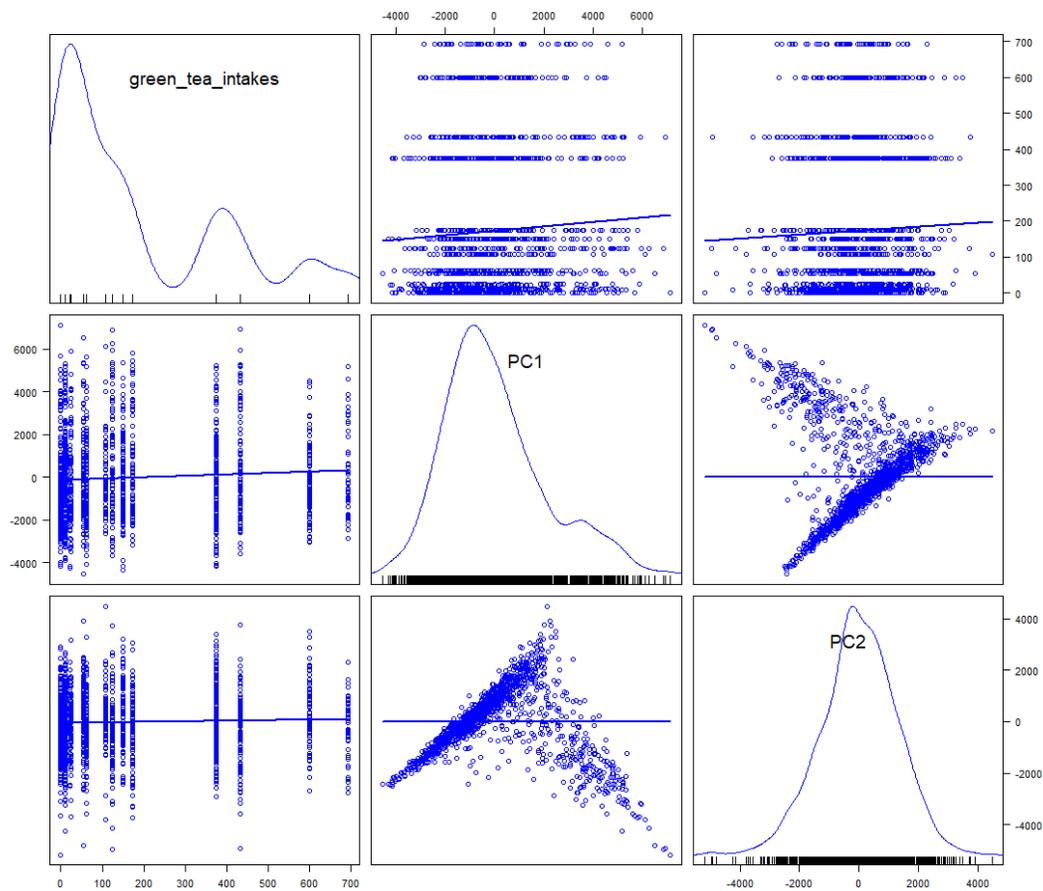

Figure 4. The scatterplots. The regression lines were nearly horizontal.

4. Discussion

An example using artificial data proved that PCoA did not make sense. The analysis of beta and alpha diversity using multidimensional scaling was not good because it assumed no correlation

between bacteria [8–23]. ANOSIM, ADONIS, and other analyses may result in small p-values and small values of R and R-squared values. Sometimes, the number of null distributions generated was small, but this only indicated little consistency between the numerical data values, statistical model, and null hypothesis, probably resulting from ignoring correlations between bacteria. On the other, PCA was better because it considered correlations among bacteria. However, the information possessed by compositional data limited PCA because only one composition had only enough information to add up to 100% of the composition [1–5]. A scatterplot of principal component scores was a summary of the 100% stacked bar graph. These scatterplots showed the relative diversity (Figure 3).

Analysis using principal component scores is a univariate analysis. It is necessary to select the classification level used for PCA depending on the number of subjects. As shown in Figure 4, there was no relative relationship. However, this was of little scientific significance as it explored the relationship to relative change. It is difficult to show a true relationship between bacterial flora and diet. Absolute changes rather than relative changes should be examined, but there are currently few methods for doing so [[1–5], Supplementary Materials]. Ratio analysis is effective in solving this problem, but the components that take the ratio must have the same absolute amount [[1], Supplementary Materials]. It is difficult to identify components whose absolute amounts is same, and there are not necessarily such kinds of components [[1], Supplementary Materials]. Therefore, the absolute relationship cannot be investigated in this study.

A limitation of this study was the research design. This was a study that collected data on bacterial composition between individuals, not from the same person. The components of the compositional data defined by Aitchison were positive values [3–5]. Since it is only possible to compare compositions of the same component, it is desirable to conduct research that examines changes over time within individuals. In other words, a desirable study design is to collect samples from the same person multiple times and compare changes in the microbiome.

5. Conclusions

Many studies apply mathematical incorrect methods (multidimensional scaling, correlation coefficient and arithmetic means) for analyzing the gut microbiome. True compositional data that does not contain zero can use centered log-ratio transformation. PCA is recommended for compressing these dimensions. For analyzing the gut microbiome, it is desirable to study changes over time within an individual, form the same person.

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