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Keywords: dendritic cell; CD1a; S100; lymph node; chemoradiotherapy



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Article

# Chemoradiotherapy and Lymph Node Metastasis Affect Dendritic Cell Infiltration and Maturation in Regional Lymph Nodes of Laryngeal Cancer

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**Abstract:** Dendritic cells (DCs) are the most specialized antigen-presenting cells and lymph nodes (LNs) play an important role in the DC-mediated T-cell response. We evaluated the infiltration of CD1a-positive DCs (CD1a-DCs) i.e. immature DCs, and S100-positive dendritic cells (S100-DCs), a mixture of immature- and mature DCs, in 73 cases of laryngeal cancer and its regional LNs. Among them, 31 patients underwent radiotherapy (RT) or chemoradiotherapy (CRT) prior to surgery. No significant difference was found for CD1a-DC infiltration in the primary tumor, metastatic LNs and non-metastatic LNs, while S100-DCs were significantly fewer in number in the primary tumor and metastatic LNs compared to non-metastatic LNs. Cases which showed a high infiltration of S100-DCs in metastatic LNs appeared to show a favorable prognosis although statistical significance was not reached. In the RT/CRT group, infiltration of CD1a-DCs and S100-DCs were fewer in the primary tumor and metastatic LNs compared to the treatment naïve group. Conversely, the RT/CRT group showed higher CD1a-DCs and S100-DCs numbers in non-metastatic LNs compared to the treatment naïve group. Thus, DC maturation in metastatic LNs plays an important role in tumor immunity in laryngeal cancer, and infiltration of DCs into the primary tumor and metastatic LNs is impaired by RT/CRT.

**Keywords:** dendritic cell; CD1a; S100; lymph node; chemoradiotherapy

## 1. Introduction

Laryngeal cancer is a common malignancy of the head and neck. Although the prognosis of early laryngeal cancer is favorable, that for advanced laryngeal cancer is poor, despite much progress being made with regard to multidisciplinary therapy, such as the combined use of chemoradiotherapy and surgery [1].

The treatment strategy for laryngeal cancer is different according to the tumor stage. Local resection or radiation therapy is the first choice for patients with early-stage cancer [2] but for advanced cancer, larynx-preserving surgery or total laryngectomy is performed. Cervical LN dissection may be carried out depending on the presence of LN metastases. Chemoradiotherapy is usually required when the postoperative pathology shows positive margins or LN metastasis with extracapsular extension [3]. If surgery is not an option, chemoradiotherapy is the first treatment of choice, but if residual tumor is observed after treatment, salvage surgery is performed [4]. In cases with T4 or LN metastases, induction chemotherapy should be given first, followed by chemoradiotherapy if a response is elicited. If there is no response, surgery is considered [5]. Thus, there is a wide range of treatment options for laryngeal cancer, which may be modified by the

patient's desire to preserve their larynx or surgical risk due to an underlying condition. Currently, there is no unified treatment strategy for laryngeal cancer, and it is often difficult to decide between surgery and chemoradiotherapy. In recent years, immune checkpoint inhibitors have been administered to patients with recurrent or unresectable tumors, contributing to improved prognosis. The importance of tumor immunity research and immunotherapy is expected to increase. However, there are many unknowns about the immune microenvironment of laryngeal cancer, and elucidating the immune environment may lead to the development of effective immunotherapy and improved treatment outcomes for laryngeal cancer.

DCs play important roles in cancer immune responses. First, they phagocytose necrotic cancer cell antigens. Subsequent T-cell responses require signals, such as inflammatory cytokines released by tumor cells to prevent immune tolerance to the tumor antigen in the periphery. DCs then present the antigen captured on major histocompatibility complex (MHC) I or MHC II molecules to T-cells. The T-cell response to the cancer-specific antigen is then primed and activated. The ratio of effector T-cells to regulatory T-cells is determined and influences the outcome. Activated effector T-cells migrate to and infiltrate tumor sites, where they interact with antigens bound to T-cell receptors and MHC I molecules which then specifically recognize and bind to cancer cells destroying them [6]. T-cells recognize lipid antigens in a complex with CD1 antigen-presenting molecules. Humans have five CD1 genes encoding five proteins, CD1a, b, c, d, and e. The CD1 isoforms overlap but have distinct lipid-binding specificities, which affect the repertoire of lipid antigens that stimulate T-cells. CD1a expression declines as DCs mature and acquire the ability to present antigen [7, 8].

Several studies on various types of carcinomas focused on infiltration of DCs into tumor tissue [9-16], but no unanimous opinion was reached. In a previous study conducted in our laboratory, CD1a-DCs were found to be associated with unfavorable clinical outcomes in patients with advanced laryngeal cancer who had undergone total laryngectomy as the initial treatment [17]. However, only a small number of studies have focused on DC infiltration into laryngeal cancer tissue but the results differed [18-23], so that the role of DC infiltration in laryngeal cancer remains unclear.

LNs play a very important role in the DC-mediated T-cell response. After antigen phagocytosis, DCs are activated and express C-chemokine receptor 7 (CCR7), a specific chemokine receptor that promotes their migration to LNs, and they are directed by chemokines to the draining lymph vessels and to T-cell areas of LNs, where they initiate T-cell responses [24, 25]. To the best of our knowledge, there has been no specific study that focused on DCs infiltration into the LNs of cancer patients.

In the present study, the aim was to elucidate the trend of DCs in tumor immunity in laryngeal cancer by analyzing DC infiltration into the regional LNs and tumor tissue. Furthermore, we also evaluated the status of DC infiltration after radiotherapy and/or chemoradiotherapy.

## 2. Results

### 2.1. Clinicopathological Features of 73 Patients with Laryngeal Cancer

The clinical and pathological findings of the cohort of 73 laryngeal cancer patients (70 males (95.9%), 3 females (4.1%)) are summarized in Table 1. The median age at initial diagnosis was 68.9 years. The primary tumor sites were glottic in 36 (49.3%) patients, supraglottic in 36 (49.3%) and subglottic in 1 (1.4%). The T-stages at initial diagnosis were T1 in 8 (11.0%), T2 in 21 (28.8%), T3 in 21 (28.8%) and T4 in 23 (31.5%) patients, respectively. Forty-six (37.0%) patients had metastatic LNs and 1 patient had no non-metastatic LN specimens. The Stages at initial diagnosis were: Stage I, 5 patients (6.8%); Stage II, 11 patients (15.1%); Stage III, 16 patients (21.9%); and Stage IV, 41 patients (56.2%). The histology of the patient tumors were squamous cell carcinoma (SCC) except for 1 case of carcinosarcoma containing a SCC component.

Forty-two (57.5%) patients underwent surgical resection as their initial treatment. Two (2.7%) patients underwent preoperative radiotherapy (RT) and then underwent surgery, and 29 (39.7%) were given chemoradiotherapy (CRT) before surgery. The primary tumor was not detectable after CRT in 8 cases. In these cases, biopsy specimens obtained prior to CRT were defined as treatment

naïve primary tumor tissue. Thus, 50 cases of primary tumor tissue were finally evaluated as treatment naïve.

**Table 1.** Clinicopathological features of 73 patients with laryngeal cancer.

Age, years (mean $\pm$ SD)	68.9 $\pm$ 9.4
Sex	
Male / Female	70 (95.9%) / 3 (4.1%)
Smoking habit	
Never / Ex / Current	8 (11.0%) / 15 (20.5%) / 50 (68.5%)
Alcohol abuse	
(-) / (+)	22 (30.1%) / 51 (69.9%)
Subsite	
Glottis / Supraglottis / Subglottis	36 (49.3%) / 36 (49.3%) / 1 (1.4%)
Histology and differentiation	
Well / Mode / Poor / Carcinosarcoma	33 (45.2%) / 35 (47.9%) / 4 (5.5%) / 1 (1.4%)
Primary T stage	
T1 / T2 / T3 / T4	8 (11.0%) / 21 (28.8%) / 21 (28.8%) / 23 (31.5%)
N	
(-) / (+)	27 (63.0%) / 46 (37.0%)
Primary M stage	
M0 / M1	71 (97.3%) / 2 (2.7%)
Stage	
I / II / III / IV	5 (6.8%) / 11 (15.1%) / 16 (21.9%) / 41 (56.2%)
Treatment background	
Surgery without RT / CRT	42 (57.5%)
Surgery after RT	2 (2.7%)
Surgery after CRT	29 (39.7%)

Abbreviations: SD, standard deviation; RT, Radiotherapy; CRT, Chemoradiotherapy.

## 2.2. Assessment of DCs in Primary Tumor and Regional LNs

The results of CD1a-DCs and S100-DCs, which were evaluated for each primary tumor, metastatic LNs and non-metastatic LNs, are shown in Figure 1. The average number  $\pm$  standard deviation (SD) of CD1a-DCs, which are considered to be immature DCs, in primary tumor was 35.1  $\pm$  38.9 (median: 21). The average number of CD1a-DCs in metastatic LNs and non-metastatic-LNs were 44.9  $\pm$  47.7 and 34.3  $\pm$  49.0, respectively. There were no significant statistical differences in the numbers of CD1a-DCs found in primary tumor, metastatic LNs and non-metastatic LNs.

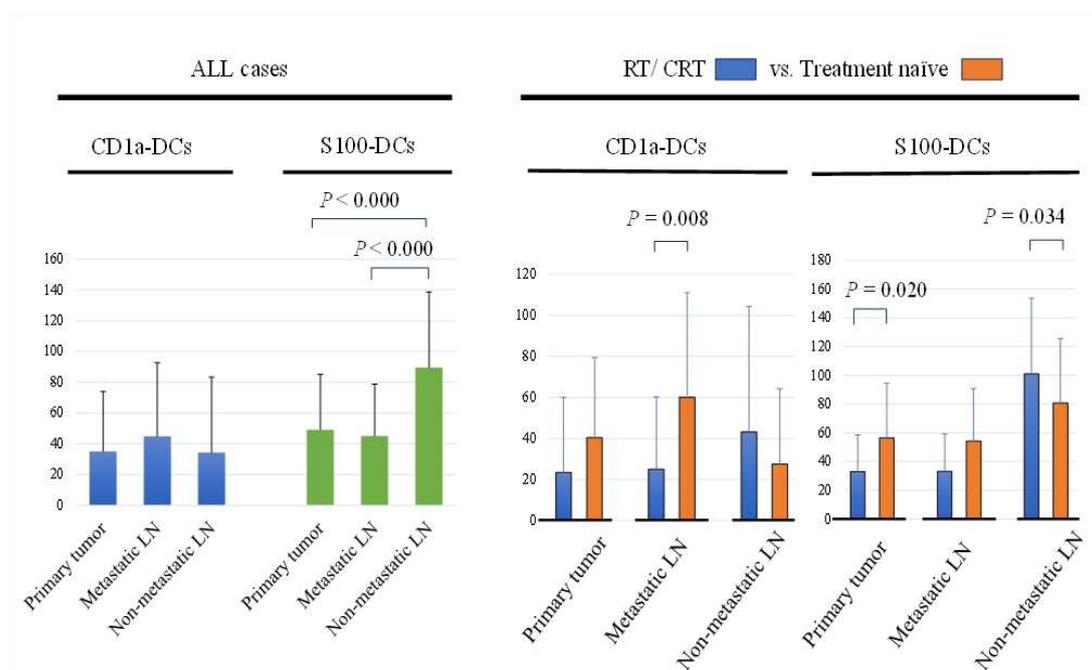
The average numbers  $\pm$  SD of S100-DCs, which are considered to be a mixture of immature-DCs and mature DCs, in primary tumor was 49.2  $\pm$  36.0 (median: 43). The average numbers of S100-DCs in metastatic LNs and non-metastatic LNs were 45.1  $\pm$  33.7 and 89.5  $\pm$  49.1, respectively. The numbers of S100-DCs in non-metastatic LNs were significantly greater than in metastatic LNs ( $P < 0.000$ ) or primary tumor ( $P < 0.000$ ).

## 2.3. Comparison of DCs in Primary Tumor and Regional LNs between the RT/CRT and Treatment Naïve Groups

In the analysis of the RT/CRT and treatment naïve groups, the average number  $\pm$  SD of CD1a-DCs in primary tumor, metastatic LNs and non-metastatic LNs of the RT/CRT group were 23.4  $\pm$  36.7, 25.1  $\pm$  35.2 and 43.2  $\pm$  61.2, and in the treatment naïve group 40.4  $\pm$  39.0, 60.1  $\pm$  51.0 and 27.6  $\pm$  36.7, respectively. The numbers of CD1a-DCs in the primary tumor and metastatic LNs in the RT/CRT group were fewer than in the treatment naïve group. A statistically significant difference was found for metastatic LNs ( $P = 0.008$ ) but not for the primary tumor ( $P = 0.128$ ). Conversely, the number of

CD1a-DCs in non-metastatic LNs in the RT/CRT group appeared to be greater than in the treatment naïve group, but statistical significance was not reached ( $P = 0.140$ ).

The average number  $\pm$  SD of S100-DCs in primary tumor, metastatic LNs and non-metastatic LNs of the RT/CRT group were  $33.0 \pm 25.5$ ,  $33.2 \pm 26.0$  and  $101.0 \pm 52.8$ , and for the treatment naïve group  $56.6 \pm 37.9$ ,  $54.3 \pm 36.4$  and  $80.8 \pm 44.7$ , respectively. The numbers of S100-DCs detected in primary tumor and metastatic LNs in the RT/CRT group were fewer than in the treatment naïve group. Statistical significance was found after analysis of the primary tumor ( $P = 0.020$ ) but not for metastatic LNs ( $P = 0.077$ ). Conversely, the numbers of S100-DCs in non-metastatic LNs in the RT/CRT group was significantly greater than in the treatment naïve group ( $P = 0.034$ ).



**Figure 1.** The average number of infiltrating DCs in primary tumor, metastatic LNs and non-metastatic LNs, respectively. The left figure shows the evaluation for all patients ( $n = 73$ ), the right figure shows the evaluation for patients after RT/CRT ( $n = 31$ ) vs. treatment naïve patients ( $n = 42$ ).

#### 2.4. Clinicopathological Features per CD1a-DCs Infiltration in Primary Tumor, Metastatic LNs and Non-Metastatic LNs

In primary tumor, the patient cohort was divided into a CD1a-low group ( $n = 37$ ) and a CD1a-high group ( $n = 36$ ) with regard to infiltration of CD1a-DCs. No significant differences were found in age, gender and the TNM stage between the CD1a-low and CD1a-high groups. Patients who received RT/CRT had significantly fewer CD1a-DCs ( $P = 0.043$ ).

For the analysis of metastatic LNs, the patient cohort was divided into a CD1a-low group ( $n = 23$ ) and a CD1a-high group ( $n = 23$ ). No significant differences were found with regard to age, gender and the TNM stage. Patients who received RT/CRT had significantly fewer CD1a-DCs ( $P = 0.036$ ).

For the analysis of non-metastatic LNs, the patient cohort was divided into a CD1a-low group ( $n = 40$ ) and a CD1a-high group ( $n = 32$ ) according to the cut-off. The non-metastatic LNs of older patients tended to have fewer CD1a-DCs ( $P = 0.074$ ). However, no significant differences were observed in age, gender, the TNM stage or RT/CRT (Table 2).

**Table 2.** Clinicopathological features per CD1a-DC infiltration.

	Primary tumor (n = 73)			Metastatic LN (n = 46)			Non-metastatic LN (n = 72*)		
	CD1a- low (n = 37)	CD1a- high (n = 36)	P	CD1a- low (n = 23)	CD1a- high (n = 23)	P	CD1a-low (n = 40)	CD1a- high (n = 32)	P
Age, years (mean ± SD)	67.1 ± 8.9	70.5 ± 9.7	0.124	68.3 ± 9.5	67.5 ± 9.8	0.772	70.6 ± 8.4	66.6 ± 10.3	0.074
<b>Sex</b>									
Male	36 (97.3%)	34 (94.4%)	0.615	23 (100.0%)	20 (87.0%)	0.233	39 (97.5%)	30 (93.8%)	0.582
Female	1 (2.7%)	2 (5.6%)		0 (0.0%)	3 (13.0%)		1 (2.5%)	2 (6.3%)	
<b>Primary T stage</b>									
T1/2	17 (46.0%)	12 (33.3%)	0.341	12 (52.2%)	10 (43.5%)	0.768	19 (47.5%)	10 (31.3%)	0.227
T3/4	20 (54.1%)	24 (66.7%)		11 (47.8%)	13 (56.5%)		21 (52.5%)	22 (68.8%)	
	<b>N</b>								
N (-)	12 (32.4%)	15 (41.7%)	0.473	0 (0.0%)	0 (0.0%)	n/a	14 (35.0%)	13 (40.6%)	0.634
N (+)	25 (67.6%)	21 (58.3%)		23 (100%)	23 (100%)		26 (65.0%)	19 (59.4%)	
<b>Primary M stage</b>									
M0	36 (97.3%)	35 (97.2%)	1.000	22 (95.7%)	22 (95.7%)	1.000	40 (100.0%)	30 (93.8%)	0.194
M1	1 (2.7%)	1 (2.8%)		1 (4.4%)	1 (4.4%)		0 (0.0%)	2 (6.3%)	
<b>Timing of the resected samples</b>									
After RT or CRT	16 (43.2%)	7 (19.4%)	0.043	14 (60.9%)	6 (26.1%)	0.036	18 (45.0%)	12 (37.5%)	0.632
Treatment naïve**	21 (56.8%)	29 (80.6%)		9 (39.1%)	17 (73.9%)		22 (55.0%)	20 (62.5%)	

\* One patient had no non-metastatic LNs. \*\* Eight cases are biopsy specimens obtained prior to CRT. Abbreviations: DC, dendritic cell; SD, standard deviation; n/a, not available; RT, radiotherapy; CRT, chemoradiotherapy.

### 2.5. Clinicopathological Features per S-100 DC Infiltration in Primary Tumor, Metastatic LNs and Non-Metastatic LNs

According to the cutoff value for the primary tumor, the patient cohort was divided in a S100-low group (n = 37) and a S100-high group (n = 36). No significant differences were found with regard to age and the TNM stage in the S100-low and S100-high groups. Patients who received RT/CRT had significantly fewer S100-DCs ( $P = 0.011$ ).

In the analysis of metastatic LNs, the patient cohort was divided into a S100-low group (n = 25) and a S100-high group (n = 21) according to the cut-off value (median of S100-DC numbers). No significant difference was found with regard to age, gender or the TNM stage. Patients who received RT/CRT had significantly fewer S100-DCs ( $P = 0.019$ ).

For the analysis of non-metastatic LNs, the patient cohort was divided into a S100-low group (n = 14) and a S100-high group (n = 58) according to the cut-off. In non-metastatic LNs, patients who had distant metastasis had significantly fewer S100-DCs ( $P = 0.036$ ). No significant difference were found for age, gender, the TNM stage or RT/CRT (Table 3).

**Table 3.** Clinicopathological features per S100-DC infiltration.

	Primary tumor (n = 73)			Metastatic LN (n = 46)			Non-metastatic LN (n = 72*)		
	S100-low (n = 37)	S100-high (n = 36)	P	S100-low (n = 25)	S100- high (n = 21)	P	S100- low (n = 14)	S100- high (n = 58)	P
Age, years (mean ± SD)	68.0 ± 9.3	69.7 ± 9.6	0.445	68.5 ± 10.5	67.2 ± 8.3	0.654	72.1 ± 9.3	68.0 ± 9.4	0.150
<b>Sex</b>									
Male	36 (97.3%)	34 (94.4%)	0.615	24 (96.0%)	19 (90.5%)	0.585	13 (92.9%)	56 (96.6%)	0.483
Female	1 (2.7%)	2 (5.6%)		1 (4.0%)	2 (9.5%)		1 (7.1%)	2 (3.5%)	

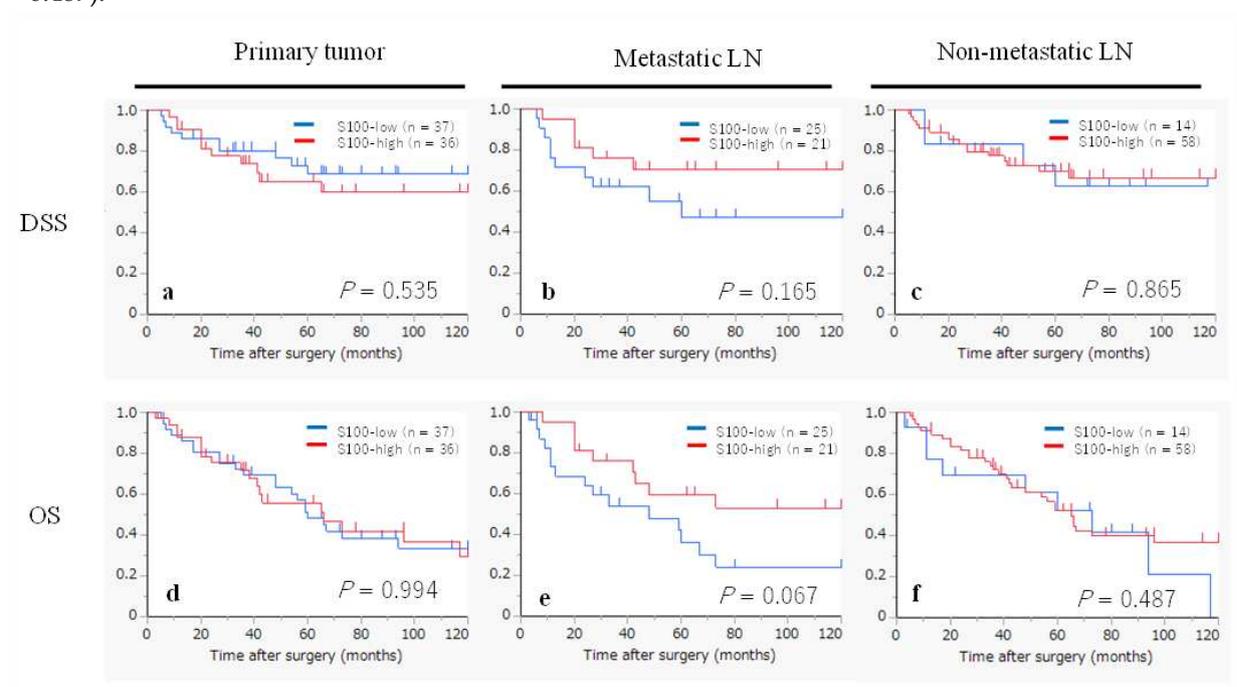
Primary T stage									
T1/2	15 (40.5%)	14 (38.9%)	1.000	7 (28.0%)	15 (71.4%)	0.007	5 (35.7%)	24 (41.4%)	0.770
T3/4	22 (59.5%)	22 (61.1%)		18 (72.0%)	6 (28.6%)		9 (64.3%)	34 (58.6%)	
N									
N (-)	15 (40.5%)	12 (33.3%)	0.630	0 (0.0%)	0 (0.0%)	n/a	5 (35.7%)	22 (37.9%)	1.000
N (+)	22 (59.5%)	24 (66.7%)		25 (100%)	21 (100%)		9 (64.3%)	36 (62.1%)	
Primary M stage									
M0	36 (97.3%)	35 (97.2%)	1.000	23 (92.0%)	21 (100.0%)	0.493	12 (85.7%)	58 (100.0%)	0.036
M1	1 (2.7%)	1 (2.8%)		2 (8.0%)	0 (0.0%)		2 (14.3%)	0 (0.0%)	
Timing of resected samples									
After CRT or RT	17 (45.9%)	6 (16.7%)	0.011	15 (60.0%)	5 (23.8%)	0.019	3 (21.4%)	27 (46.6%)	0.131
Treatment naïve**	20 (54.1%)	30 (83.3%)		10 (40.0%)	16 (76.2%)		11 (78.6%)	31 (53.5%)	

\* One patient had no non-metastatic LNs. \*\*Eight cases are biopsy specimens obtained prior to CRT. Abbreviations: DC, dendritic cell; SD, standard deviation; n/a, not available ; RT, radiotherapy; CRT, chemoradiotherapy.

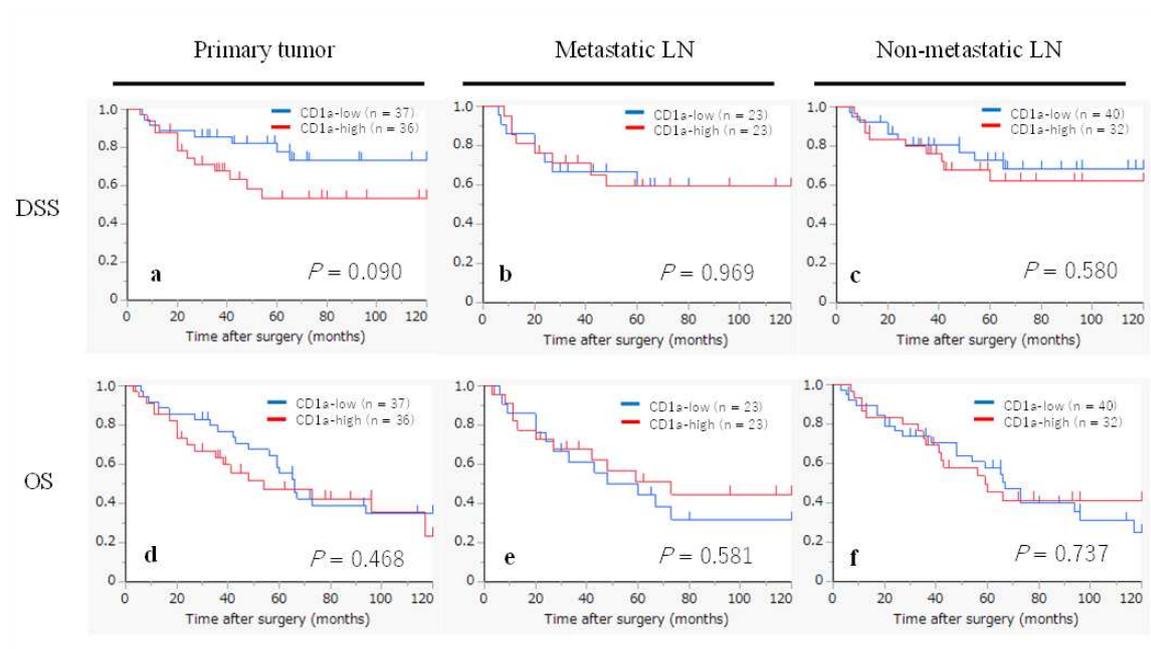
### 2.6. Kaplan-Meier Survival Curves According to the Infiltration of CD1a- and S100- DCs

Kaplan-Meier curves, based on the status of CD1a-DCs infiltration, are shown in Figure 2. In the primary tumor, the CD1a-high group appeared to exhibit a worse prognosis in disease-specific survival (DSS), although statistical significance was not achieved ( $P = 0.090$ ). However, no tendency was observed in the analyses of overall survival (OS) for primary tumor ( $P = 0.468$ ), DSS in metastatic LNs ( $P = 0.969$ ) and non-metastatic LNs ( $P = 0.580$ ), or for each analysis of OS for the primary tumor ( $P = 0.468$ ), metastatic LNs ( $P = 0.581$ ), and non-metastatic LNs ( $P = 0.737$ ).

Kaplan-Meier curves, based on the status of S100-DCs infiltration, are displayed in Figure 3. In metastatic LNs, the S100-high group appeared to show a favorable prognosis in DSS and OS, although statistical significance was not reached ( $P = 0.165$ ,  $P = 0.067$ , respectively). However, no tendency was observed in the analysis of DSS for the primary tumor ( $P = 0.535$ ) and non-metastatic LNs ( $P = 0.865$ ), or in the analysis of OS for the primary tumor ( $P = 0.994$ ) and non-metastatic LNs ( $P = 0.487$ ).



**Figure 2.** Kaplan-Meier survival curves according to CD1a-DCs infiltration status in primary tumor, metastatic LNs and non-metastatic LNs. (a-c): Kaplan-Meier survival curves by disease-specific survival (DSS). (d-f): Kaplan-Meier survival curves by overall survival (OS).



**Figure 3.** Kaplan-Meier survival curves according to the S100-DC infiltration status in primary tumor, metastatic LNs and non-metastatic LNs. (a-c): Kaplan-Meier survival curves by disease-specific survival (DSS). (d-f): Kaplan-Meier survival curves by overall survival (OS).

### 2.7. Univariate Analyses for DSS and OS in all Patients (n = 73)

The results of the univariate analyses for DSS and OS in all patients are summarized in Table 4. The factor significantly correlated with DSS was only the N stage ( $P = 0.025$ ). The factors significantly correlated with OS were the T stage ( $P = 0.031$ ) and the N stage ( $P = 0.037$ ). The status of both CD1a-DCs and S100-DCs infiltration in each primary tumor, metastatic LNs and non-metastatic LNs showed no significant correlation with both DSS and OS, although tendencies were observed in DSS for CD1a-DCs infiltration in primary tumor ( $P = 0.098$ ), DSS for S100-DCs infiltration in metastatic LNs ( $P = 0.177$ , and OS for S100-DCs infiltration in metastatic LNs ( $P = 0.077$ ).

**Table 4.** Univariate analyses for DSS and OS in all patients (n = 73).

Characteristic	n	DSS		OS	
		HR (95% CI)	P	HR (95% CI)	P
Age			0.683		0.783
≤68years	38	1		1	
>68years	35	0.84 (0.35-1.98)		1.09 (0.59-2.03)	
Sex			0.473		0.470
Female	3	1		1	
Male	70	0.48 (0.06-3.58)		0.59 (0.14-2.47)	
T stage			0.109		0.031
T1/T2	29	1		1	
T3/T4	44	2.18 (0.84-5.63)		2.09 (1.07-4.08)	
N stage			0.025		0.037
N0	27	1		1	
N1-3	46	2.96 (1.14-7.67)		1.97 (1.04-3.72)	
M stage			0.050		0.179
M0	71	1		1	
M1	2	4.32 (1.00-18.69)		2.68 (0.64-11.25)	
CD1a-DCs in primary tumor			0.098		0.472

low	37	1	1	
high	36	2.11 (0.87-5.12)	1.25 (0.67-2.35)	
CD1a-DCs in metastatic LN			0.969	0.586
low	23	1	1	
high	23	0.98 (0.36-2.61)	0.80 (0.36-1.79)	
CD1a-DCs in non-metastatic LN			0.582	0.739
low	40	1	1	
high	32	1.28 (0.53-3.08)	0.90 (0.47-1.70)	
S100-DCs in primary tumor			0.538	0.994
low	37	1	1	
high	36	1.31 (0.55-3.09)	1.00 (0.54-1.87)	
S100-DCs in metastatic LN			0.177	0.077
low	25	1	1	
high	21	0.50 (0.18-1.37)	0.47 (0.21-1.08)	
S100-DCs in non-metastatic LN			0.866	0.490
low	14	1	1	
high	58	0.91 (0.30-2.73)	0.77 (0.36-1.62)	

Abbreviations: DSS, disease specific survival; OS, overall survival; HR, hazard ratio; CI: confidence interval.

### 3. Discussion

DCs are derived from common myeloid progenitors (CMPs) in the bone marrow and are comprised of two subtypes. In inflammatory conditions, they differentiate into monocytes and then into monocyte DCs through expression of the transcription factor Nur77. In the absence of Nur77, CMPs differentiate into dendritic cell progenitors, which differentiate into plasmacytoid DCs (pDCs) or conventional DCs (cDCs). cDCs are immature at first but can differentiate into mature DCs following injury, exposure to pathogen-associated factors or inflammatory cytokines. DCs express CCR7 and migrate to LNs. In LNs, mature DCs activate naive T cells to initiate an immune response [26, 27].

Several factors have been implicated in DC differentiation and maturation [28]. Several cytokines affect DCs: IL-6 inhibits DCs differentiation and maturation [29, 30] and IL-10 inhibits DCs differentiation, maturation and certain functions [31, 32]. M-CSF inhibits their differentiation into DCs from CD34-positive CMPs [29]. GM-CSF produced by tumors has an inhibitory effect on immature DCs [33] while VEGF inhibits differentiation of DCs and affects the differentiation of the multiple hematopoietic lineage [34]. These research findings raise the possibility that activation and maturation of DCs are affected by various cytokines and that not all tumor-infiltrating DCs function as antigen-presenting cells. It is possible that the maturation, activation and T-cell response of DCs may be significantly affected by the histological type or by the progression of the tumor.

In the present study, the infiltration of CD1a-DCs was not significantly different in the primary lesion, metastatic LNs or non-metastatic LNs. However, the infiltration of S100-DCs was significantly different: infiltration of S-100DCs in non-metastatic LN was significantly greater than for the primary lesion and metastatic LNs. As S100-DCs are considered to label both immature and mature DCs, these results support the hypothesis that the maturation of DCs was prevented by the presence of cancer cells.

Our previous research indicated that infiltration of CD1a-DCs into the primary lesion was associated with an unfavorable prognosis for patients with advanced laryngeal cancer who had undergone a total laryngectomy as their initial treatment [17]. A similar tendency was observed in the present study for a different cohort of patients. The high-CD1a-DCs infiltrating group in the primary lesion indicated an unfavorable prognosis compared to the low-CD1a-DCs infiltrating group, although statistical significance was not reached. Conversely, while infiltration of S100-DCs into primary lesions and non-metastatic LNs did not affect the prognosis, a higher infiltration of S100-DCs in metastatic LNs was correlated with better outcomes. These findings highlight the importance of maturation of DCs in metastatic LNs for an immune response against laryngeal cancer.

Our study had the following limitations, namely a small sample size and that assessment of mature DCs was only an indirect marker of S100. However, the results produced important hints for understanding the immune response mediated by DCs in laryngeal cancer. It is likely that the cancer cells play some important roles in both infiltration and maturation of DC at the primary sites and in metastatic LNs. Unraveling the mechanism of the induction and maturation of DCs by cancer cells may well lead to the development of new immunotherapies. Therefore, the analysis of various cytokines and chemokines in cancer tissue that are associated with infiltration and maturation of DCs is an important research area, as well as an examination of the characteristics of CD1a-DC regarding their interaction with cancer cells. This research will provide new insights into our understanding of cancer immune responses mediated by DCs.

It has been shown that antigen phagocytosis and maturation of DCs are processes affected by RT and chemotherapy [35-38]. Radiotherapy, in particular, has been reported to have an inhibitory effect on DC functions, suggesting that the presence or absence of radiotherapy may make a difference to DC infiltration and maturation [39, 40].

RT exerts its therapeutic effect through DNA damage. It is now recognized that the nucleic acid species produced from this DNA damage are inflammatory and potentially immunogenic. RT enhances antigenicity through the release of antigens associated with tumor cell death, radiation-induced neoantigens and upregulation of MHC-I molecules. On the other hand, RT initiates immunoregulatory and homeostatic actions that reduce the functions of DCs in the tumor microenvironment. In short, RT promotes antitumor immunity through DCs while simultaneously counteracting their functions [41-44].

The present research also focused on the status of DC-infiltration and maturation in primary tumor and LNs after RT/CRT. Our results indicated that infiltration of CD1a-DCs were fewer in numbers in primary lesions and metastatic LNs in the RT/CRT group compared to the treatment naïve group. Conversely, the RT/CRT group showed a higher CD1a-DC infiltration into non-metastatic LNs than the treatment naïve group. Similar results were also obtained in analyses of S100-DCs. These results support the following working hypothesis: the ability of DCs induction in cancer cells and the surrounding stroma are impaired by necrosis and/or degeneration of the tumor cells and microenvironmental changes due to RT/CRT. On the other hand, the ability of DC induction remains in non-metastatic LNs, which are relatively unaffected by RT/CRT. The infiltration of DC numbers into non-metastatic LNs, both CD1a-DCs and S100-DCs, was higher in RT/CT cases than in treatment naïve cases. This result was also predictable considering the enhanced immune-reaction elicited by RT/CRT.

In conclusion, our study suggested that DCs maturation in metastatic LNs plays an important role in tumor immunity in laryngeal cancer. Additionally, the results confirmed DC induction in tumor tissue impaired by RT/CRT in clinically resected specimens. Understanding these phenomena could open avenues for novel immunotherapies. Further accumulation of clinicopathological and basic research data will be necessary to clarify the mechanisms underlying tumor-immune responses involving DCs.

## 4. Materials and Methods

### 4.1. Patients

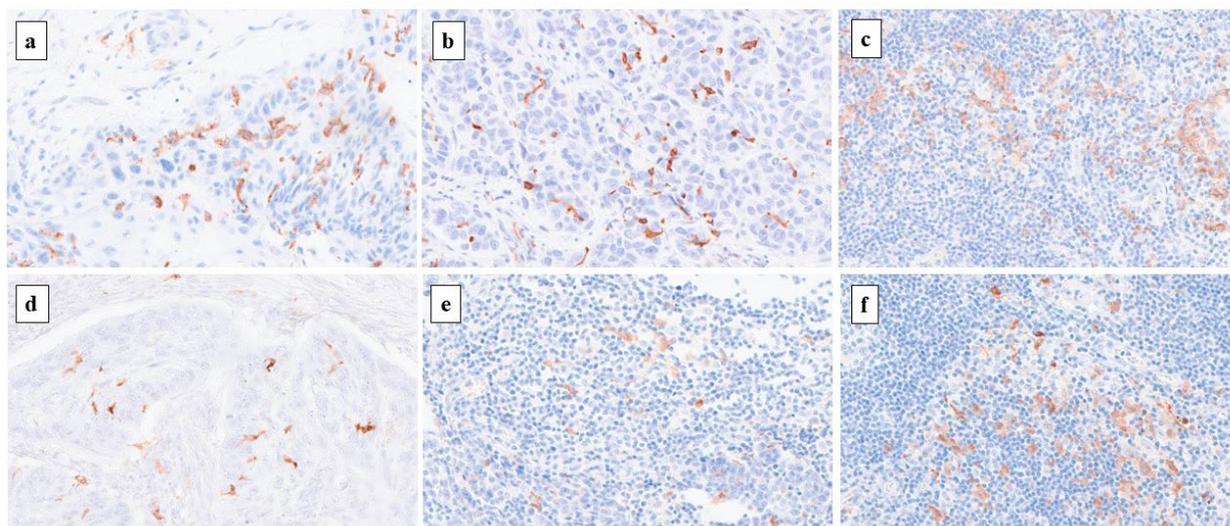
A total of 333 patients with laryngeal cancer treated at Saga University Hospital between 2000 and 2020 were initially enrolled in the study. Among these, cases without lymphadenectomy or lymph node biopsy, and those with histological types other than squamous cell carcinoma were excluded. Finally, 73 patients were enrolled. Comprehensive informed consent for the use of resected tissue for this research was obtained from all patients, and the study protocol was approved by the Ethics Committee of Saga University (2023-02-R-09).

#### 4.2. Immunohistochemistry

Immunohistochemistry (IHC) of CD1a and S-100 was carried out on representative primary tumors and regional LNs. In the cases with nodal metastasis, both representative metastatic and non-metastatic LNs were subjected to IHC. For patients without nodal metastasis, only non-metastatic LNs were analyzed using IHC. As one patient had no non-metastatic LNs, only metastatic nodes were subjected to IHC in that case. The specimens were sectioned into 4  $\mu\text{m}$  slices from Formalin-Fixed Paraffin-Embedded (FFPE) blocks. The primary antibodies used were CD1a (Clone 010, prediluted; Dako, Glostrup, Denmark), and S100 (GA50461-2 J; prediluted; Dako). IHC was performed using an Autostainer plus automatic stainer (Dako). The Envision System (Dako) was employed as the secondary antibody. Specimens on slides were visualized by diaminobenzidine tetrahydrochloride and nuclei were counterstained with hematoxylin.

#### 4.3. Assessment of CD1a- and S100-DCs

The IHC sections were scanned and converted to digital slides by NanoZoomer S360 (Hamamatsu Photonics, Shizuoka, Japan). CD1a-DCs and S100-positive DCs (S100-DCs) were evaluated in 3 random hot spots at a magnification of  $\times 100$  (Figure 4). The median values of CD1a-DCs and S100-DCs in the primary tumor were used as the cut-off values and the patient cohort was divided into a high-group and a low-group. The same cut-off value, determined for the primary tumor, was used in the evaluation of LNs. Patients were also divided into high- and low-groups according to the degree of DC infiltration into LNs.



**Figure 4.** Immunohistochemistry of CD1a (a-c) and S100 (d-f). Original magnification of each photo was  $\times 200$ . a, d: primary tumor, b, e: metastatic LN, c, f: non-metastatic LN.

#### 4.4. Statistical Analysis

All statistical analyses were performed using JMP Pro 13.1.0 software (SAS Institute, Cary, NC, US). Student's *t*-test, Pearson's chi-squared test and a linear regression analysis were used when appropriate for comparisons between two groups. Disease-specific survival (DSS) was defined as the period from surgery to cancer-related death or the last follow-up. Overall survival (OS) was defined as the period from surgery to death or the last follow-up. The maximum follow-up period during the study was 120 months, with a median follow-up time of 45.0 months. The survival curve was calculated by the Kaplan-Meier method, and a log-rank test was conducted.

**Author Contributions:** Conceptualization and design, K.K., A.M. and K.K.; diagnoses and treatment of patients, M.Y. and Y.K.; acquisition of data, K.K., A.M. and K.K.; writing of the original draft of the manuscript, K.K. and K.K.; material preparation and pathological assessments, K.K., S.M., A.M. and K.K.; analysis and interpretation of data, K.K. and K.K.

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**Institutional Review Board Statement:** The study protocols were approved by the Ethics Committee of Saga University Hospital (approval number: 2023-02-R-09).

**Informed Consent Statement:** Written informed consent was obtained from all patients for permission to use their clinical data and tissue samples for research purposes.

**Data Availability Statement:** Data contained within the article will be provided by the authors upon reasonable request.

**Conflicts of Interest:** The authors have no conflicts of interest to declare.

## Abbreviations

CCR7, C-chemokine receptor 7; CMP, common myeloid progenitor; CRT, chemoradiotherapy; DC, dendritic cell; DSS, disease specific survival; FFPE, formalin-fixed paraffin-embedded; GM-CSF, granulocyte macrophage colony stimulating factor; IHC, immunohistochemistry; LN, lymph node; M-CSF, macrophage colony stimulating factor; MHC, major histocompatibility complex; Nur77, nuclear receptor 77; OS, overall survival; RT, radiotherapy; VEGF, vascular endothelial growth factor

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