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## Brief Report

# B Cell Subsets and Immune Checkpoint Expression in Patients With Chronic Lymphocytic Leukaemia

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**Abstract:** Chronic lymphocytic leukemia (CLL) is characterized by dysfunctional B cells. Immune checkpoint molecules such as cytotoxic T-lymphocyte-associated protein 4 (CTLA-4), and programmed death-1 (PD-1) are upregulated in patients with CLL and may correlate with prognostic markers such as beta-2 microglobulin (B2M). The aim of this study was to evaluate the levels of immune checkpoints on B-cell subsets and to further correlate them with B2M levels in patients with CLL. We recruited 21 patients with CLL and 12 controls. B cell subsets and the levels of immune checkpoint expression were determined using conventional multi-color flowcytometry. Basal levels of B2M in patients with CLL were measured using an Enzyme-linked immunosorbent assay. Patients with CLL had increased levels of activated B cells when compared to the control group,  $p < 0.001$ . The expression of PD-1 and CTLA-4 were increased on activated B cells and memory B cells,  $p < 0.05$ . There were no associations between B2M levels and the measured immune checkpoints on B cell subsets, after adjusting for sex and age. African patients with CLL express elevated levels of PD-1 and CTLA-4 immune checkpoints on activated and memory B cell subsets. However, there is no correlation between these immune checkpoint expressions and B2M levels.

**Keywords:** chronic lymphocytic leukaemia; immune checkpoints; B cell subsets; beta-2 microglobulin; programmed death protein 1; cytotoxic T-lymphocyte associated protein 4

## 1. Introduction

Chronic lymphocytic leukemia (CLL) is the most common type of leukemia among adults, with a global prevalence of about 3.5 cases per 100,000 people (1). In high-income countries, CLL accounts for more than a third of all leukemia cases (1). Notably, low-to-middle income countries have a five to ten-fold lower age-adjusted incidence rate of CLL as compared to high-income countries (2). CLL is a lymphoproliferative disorder that is characterized by functionally incompetent B cells with a distinct immunophenotype (3). However, there are divergent findings regarding the predictive significance of B cell subsets in leukaemia (4).

Regulatory B cells (Breg) modulate T cell-driven anti-tumor immunity, promoting the expression of forkhead box protein 3 (FoxP3+) in regulatory T cells (T-regs), which dampens the innate and adaptive antitumor immune response (4, 5). These immunosuppressive mechanisms involve Bregs that acquire inhibitory ligands and signal transducer and activator of transcription 3 (STAT3) phosphorylation, and the induction of interleukin 10 (IL-10) and transforming growth factor- $\beta$  (TGF- $\beta$ ) (4, 5). The expression of inhibitory molecules such as programmed death-1 (PD-1) or programmed death-ligand 1 (PD-L1) inhibit the anti-tumor function of Bregs (4). In patients with CLL, immune checkpoints such as T-cell immunoglobulin-3 (TIM-3), cytotoxic T-lymphocyte-associated protein 4 (CTLA-4), and programmed death-1 (PD-1) are upregulated (6, 7). The use of immune checkpoint inhibitors (ICI) such as PD-1 antagonists, has fundamentally altered therapeutic

approaches in patients with CLL (8). Targeting PD-1 has shown to improve the overall survival of patients with CLL while also reducing adverse effects (8). In patients with relapsed CLL, zanubrutinib, a Bruton's tyrosine kinase inhibitor is associated with fewer cardiac adverse events and improved progression-free survival (9).

There has been a significant transformation in the management of patients with CLL. Advances in prognostic markers, enhanced predictive capabilities for clinical outcomes have collectively made vital impact in the management of CLL. The important adverse prognostic markers which include immunoglobulin heavy-chain variable region gene (IGHV) mutation status, zeta-chain-associated protein kinase-70 (ZAP-70) and CD38 expression, and beta 2 microglobulin (B2M) levels (10). The levels of B2M are considered as an independent marker for poor prognosis in patients with CLL (11). The serum levels of B2M are elevated in patients with CLL who at an advanced stage of the disease, and these levels decrease post-treatment (12). The primary aim of this study was to determine the levels of immune checkpoint expression on peripheral B-cell subsets in patients with CLL and to further correlate these immune checkpoints with B2M levels in patients with CLL.

## 2. Methods and Materials

### 2.1. Patient Recruitment

Patients and healthy control participants were recruited between July 2019 to May 2022 from King Edward VIII Hospital, a tertiary healthcare facility located in Durban, KwaZulu-Natal, South Africa. The study was conducted in accordance with the Declaration of Helsinki, and ethical approval was obtained from the University of KwaZulu-Natal Biomedical Research Ethics Committee (BE456/18), South Africa. Written informed consent was obtained from all study participants. In this study we excluded patients with CLL who were on treatment and only untreated patients were included along with age-matched healthy controls with no clinical signs of infection. The ethnicity of the participants was self-reported.

### 2.2. Sample Collection

Peripheral blood was collected from consenting participants by venepuncture into 6mL ethylenediaminetetraacetic acid (EDTA) tubes (BD Bioscience, USA). Samples were transported at room temperature from the hospital to the laboratory.

### 2.3. Haematological Analysis

Haematological parameters including the white blood cell count, haemoglobin and platelet count were measured using an automated Coulter AcT Diff haematology analyser (Beckman Coulter Inc., California, United States) within 1–2 hours of peripheral blood collection.

### 2.4. Isolation of Peripheral Blood Mononuclear Cells (PBMCs)

The peripheral blood mononuclear cells (PBMCs) were isolated from whole blood samples by density-gradient centrifugation method, using the Ficoll-Paque PLUS (Amersham, Biosciences, Uppsala, Sweden), as previously described (13). Briefly, 3 mL of Ficoll-Paque PLUS was aliquoted into a 15 mL centrifuge tube (Sigma-Aldrich, Germany) and 4 mL of whole blood was carefully layered on the Ficoll-Paque PLUS gradient and the samples were centrifuged at  $400\times g$  for 40 minutes at 20 °C. The PBMC layer was then collected and stored at -80°C.

### 2.5. T Cell Depletion and B Cell Isolation from Peripheral Blood Mononuclear Cells

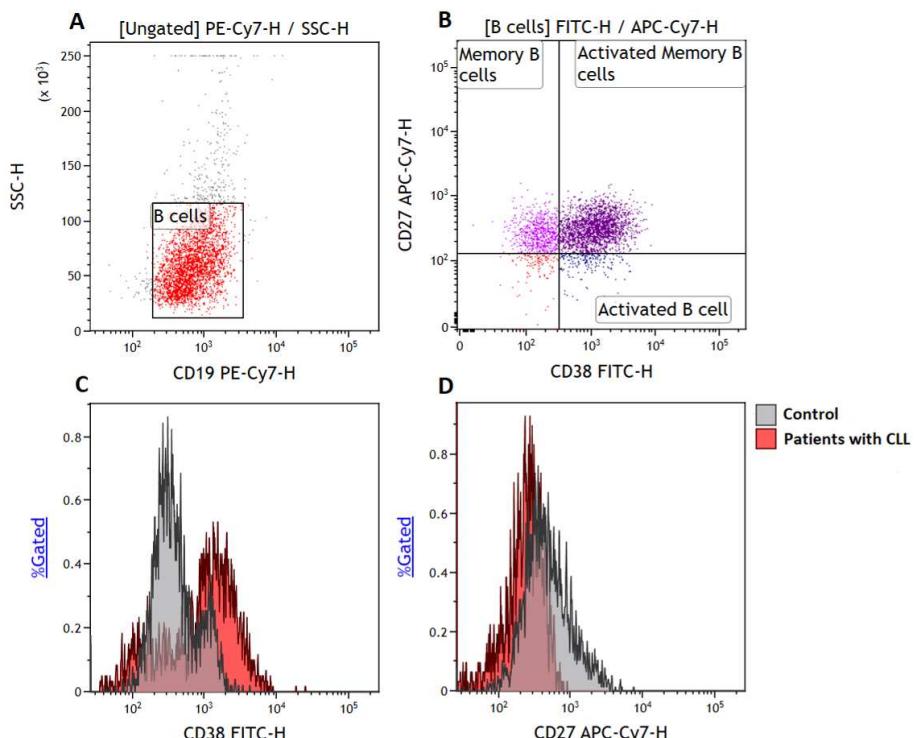
To enrich the B cell population from the collected PBMCs, we performed T cell depletion and positively selected B cells using the BD IMag isolation system (BD Bioscience, USA). Briefly, 50  $\mu$ L PBMCs were incubated with 5  $\mu$ L of biotinylated human T lymphocyte enrichment cocktail (BD Biosciences, San Jose, CA, USA) for 15 minutes at room temperature. After the incubation, 50  $\mu$ L of streptavidin particles were added to the T cell depleted PBMC samples and incubated for 30 minutes, at room temperature. The samples were then reconstituted into 1 mL of 3.2% sodium citrated buffer and samples were placed on the BD IMag for 8 minutes. Isolated B cells were reconstituted into 100  $\mu$ L PBS and stored  $-80^{\circ}\text{C}$ .

### 2.6. Measurements of B Cell Subsets

To quantify B cell subsets, we made use of a six-colour flow cytometry panel consisting of CD38-FITC, CD152-PE, CD273-APC, CD19-PE/Cy7, CD27-APC/Cy7 and CD279-BV421 (BioLegend, San Diego, CA, USA). We acquired at least 5000 B cells (CD19 $^{+}$  events) Figure 1A and defined memory B cells as CD19 $^{+}$ CD27 $^{+}$  events (14, 15) (Figure 1B), activated B cells as CD19 $^{+}$ CD27-CD38 $^{+}$  events and activated memory B cells (15) as CD19 $^{+}$ CD27 $^{+}$ CD38 $^{+}$  events (Figure 1C).

### 2.7. Measurements of Immune Checkpoint Levels on B Cell Subsets

To determine the levels of immune checkpoint expression on B cell subsets we measured the expression of CD279 (PD-1), CD273 (PD-L2) and CD152 (CTLA-4) on B<sub>MEM</sub>, and activated B cells. A total of 5 000 CD19 $^{+}$  events were acquired at a medium flow rate. All the data were acquired using the BD FACSCanto II flow cytometer (BD Biosciences, San Diego, CA, USA) and analysed using Kaluza version 1.2 (Beckman coulter, Inc Brea, CA, USA).



**Figure 1.** Gating strategy. (A) B cells were gated based on side scatter (SSC) and CD19 expression, (B) illustrates the gating of activated B cells defined as CD19 $^{+}$ CD38 $^{+}$ CD27 $^{-}$ , memory B cells defined as CD19 $^{+}$ CD27 $^{+}$  events and activated memory B cells defined as CD19 $^{+}$ CD27 $^{+}$ CD38 $^{+}$  events, respectively. Histograms demonstrate the levels of activated B cells (C) and memory B cells (D) on the control group and patients with CLL.

### 2.8. Measurements of Serum Soluble Beta-2-Microglobulin (B2M) Levels

To measure the plasma levels of B2M, a prognostic marker in patients with CLL (16), we made use of the beta-2-microglobulin Human enzyme-linked immunosorbent assay kit (ThermoFisher Scientific, Waltham, Massachusetts, USA) according to the manufacturer's instruction.

### 2.9. Sample Size Estimation

We calculated the minimum sample size of patients needed to detect a large effect size (d) of 1.14 in the expression of immune checkpoints, namely PD-1 and PD-L1 at 80% power and alpha ( $\alpha$ ) of 0.05 using GPower 3.1.94 software (Universität, Germany). To detect a large effect size between two independent means using an unpaired *t*-test, we required a minimum of twenty-one patients with CLL ( $n = 21$ ) and twelve controls ( $n = 12$ ).

### 2.10. Statistical Analysis

All statistical analysis was performed using GraphPad Prism version 8 software, (GraphPad Software Inc, San Diego, CA, USA) and (17). All non-parametric data were log-transformed prior to statistical analysis and reported as mean and standard deviation. An unpaired Student's *t*-test was performed to compare parametric data between two groups. A repeated measures one-way ANOVA was used to compare parametric multiple data of the same group with Dunnett's as a post hoc test for multiple comparisons. To correct for multiple comparisons, a Bonferroni-corrected critical *P*-value of  $< 0.0167$  was considered as statistically significant.

### 2.11. Data Availability

The data generated in this study are available upon request from the corresponding author.

## 3. Results

### 3.1. Patients Characteristics and Haematological Parameters

This study comprised of 21 patients with CLL and 12 healthy controls. The mean age of the patients with CLL was  $62.33 \pm 13.31$  years and controls had a mean age of  $56.58 \pm 15.67$ . The included study participants were from different ethnic groups, which comprised of African ( $n = 30$ ), European ( $n = 2$ ), and Indian ( $n = 1$ ). The study included 39.39% females and 60.61% males.

In patients with CLL, the white blood cell count was significantly increased ( $130.4 \times 10^3 \pm 29.71$ ) compared to controls ( $5.26 \times 10^3 \pm 1.38$ ),  $p = 0.0005$ ) (Table 1). Whereas the red blood cell count and haemoglobin were significantly reduced in patients with CLL compared to age-matched control patients ( $p < 0.0001$ ). There were no statistically significant differences in the platelet count in patients with CLL when compared to the control group ( $p = 0.1831$ ).

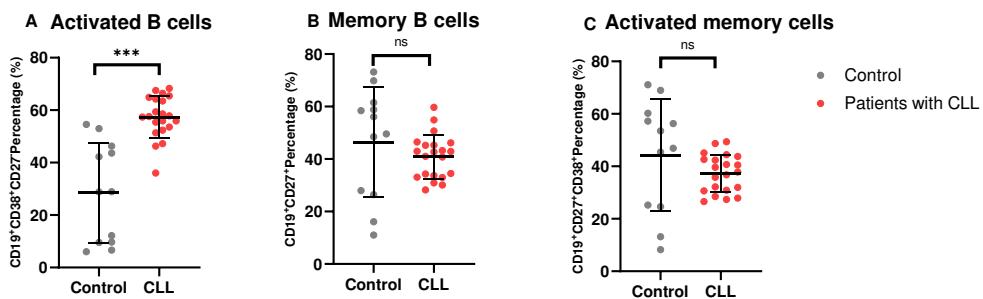
**Table 1.** The baseline characteristics and haematological parameters of the participants.

	Control ( $n = 12$ )	Patients with CLL (21)	<i>p</i> -Value
Age (Years)	$56.58 \pm 15.67$	$62.33 \pm 13.31$	0.2714
Male, <i>n</i> (%)	58.33	61.9	
Female, <i>n</i> (%)	41.67	38.1	
White blood cell count ( $10^3 \mu\text{L}$ )	$5.26 \pm 1.38$	$130.4 \pm 29.71$	0.0005
Red blood cell ( $10^6 \mu\text{L}$ )	$4.74 \pm 0.94$	$2.10 \pm 0.84$	<0.0001
Haemoglobin (g/dL)	$14.13 \pm 3.81$	$8.19 \pm 2.30$	<0.0001
Platelets ( $10^3 \mu\text{L}$ )	$210.4 \pm 73.14$	$157.5 \pm 141.9$	0.1831

### 3.2. Increased Levels of Activated B Cells in Patients with CLL

We evaluated the levels of B cell subsets in patients with CLL (Figure 2). Notably, patients with CLL had significantly increased levels of activated B cells ( $57.39 \pm 8.001$ ) compared to the control group ( $28.47 \pm 19.01$ ;  $p = 0.0002$ ).

Furthermore, the expression of memory B cells in patients with CLL ( $40.95 \pm 8.353$ ) was found to be statistically comparable to that in the control group ( $46.45 \pm 20.90$ ;  $p = 0.3984$ ), as was the expression of activated memory B cells expressing ( $37.31 \pm 7.191$ ) in patients with CLL compared to the control group ( $44.25 \pm 21.34$ ;  $p = 0.2956$ ).



**Figure 2.** B Cell Subsets in patients with CLL. (A–C) depict the levels of activated B cells, memory B cells and activated memory B cells in patients with CLL compared to healthy controls. The data is presented as the mean  $\pm$  standard deviation (SD).

### 3.3. Elevated Levels of PD-1 Expression on Activated B-Cells and Memory B Cells in Patients with CLL

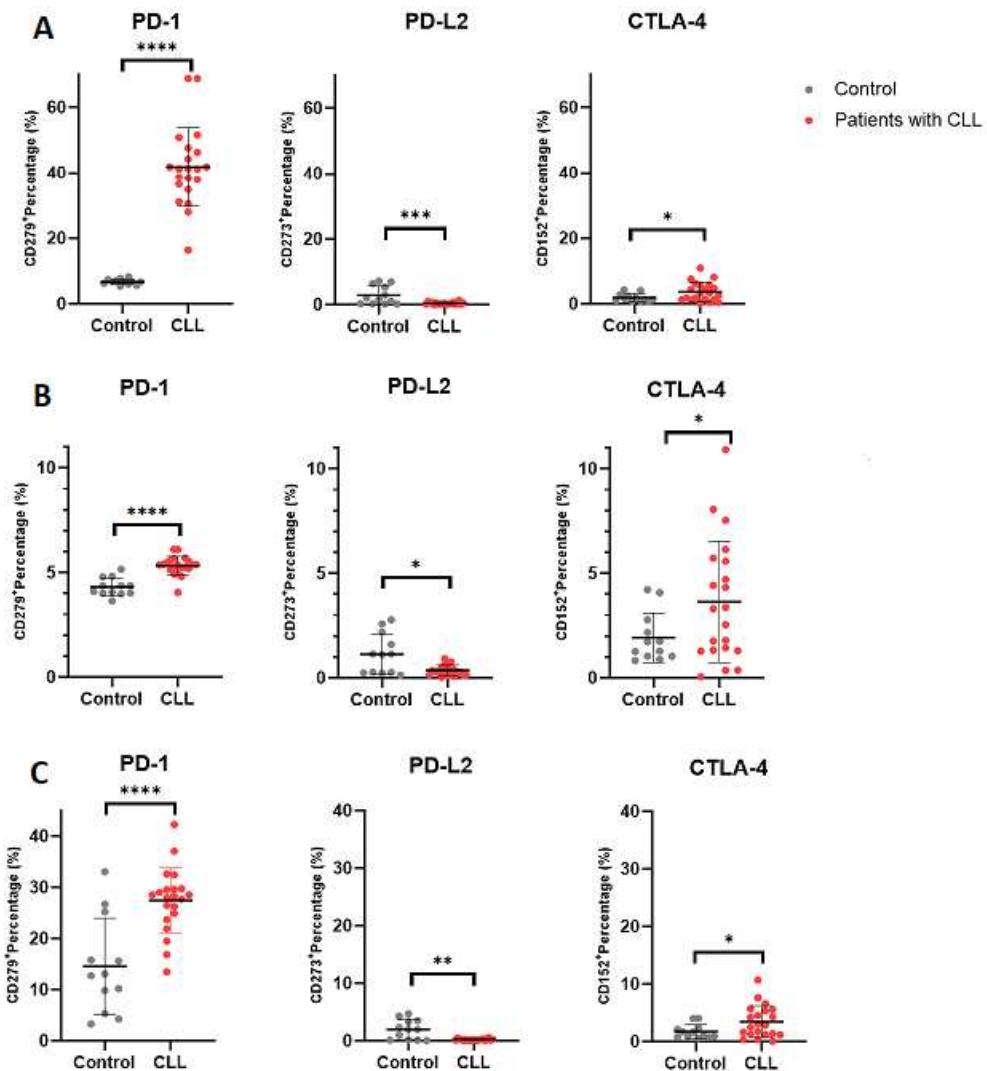
Significantly elevated PD-1 expression was observed on B cells from patients with CLL ( $40.20 \pm 6.601$ ) compared to the control group ( $6.690 \pm 0.8160$ ;  $p < 0.0001$ ). Likewise, PD-1 expression was increased on activated B cells in patients with CLL ( $5.325 \pm 0.4397$ ) relative to the control group ( $4.305 \pm 0.4317$ ;  $p < 0.0001$ ). Moreover, a notable increase in PD-1 expression was noted on memory B cells in patients with CLL ( $27.44 \pm 6.358$ ) compared to the control group ( $14.59 \pm 9.395$ ;  $p < 0.0001$ ).

### 3.4. Elevated Levels of CTLA-4 on Activated and Memory B Cells in Patients with CLL

A significant increase in CTLA-4 expression was detected on B cells in patients with CLL ( $3.630 \pm 2.897$ ) compared to the control group ( $1.919 \pm 1.184$ ;  $p = 0.0241$ ). Similarly, CTLA-4 expression was elevated on activated B cells in patients with CLL ( $3.631 \pm 2.896$ ) relative to the control group ( $1.919 \pm 1.184$ ;  $p = 0.0240$ ). Notably, CTLA-4 expression on memory B cells in patients with CLL ( $3.439 \pm 2.767$ ) was higher compared to the control group ( $1.755 \pm 1.205$ ;  $p = 0.0221$ ).

### 3.5. Decreased Levels of PD-L2 Expression on Activated and Memory B Cells in Patients with CLL

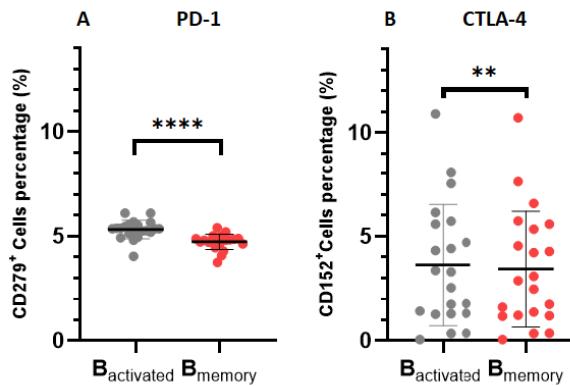
Remarkably, a significant decrease in PD-L2 expression was significantly decreased on B cells in patients with CLL ( $0.3967 \pm 0.3343$ ) compared to the control group ( $2.870 \pm 2.800$ ;  $p = 0.0003$ ). A similar decrease in PD-L2 expression was observed on activated B cells in patients with CLL ( $0.3624 \pm 0.2514$ ) compared to the control group ( $1.137 \pm 0.9655$ ;  $p = 0.0186$ ). Additionally, PD-L2 expression on memory B cells in patients with CLL ( $0.2005 \pm 0.1297$ ) was reduced compared to the control group ( $1.956 \pm 1.706$ ;  $p = 0.0044$ ).



**Figure 3.** Immune checkpoint expression on B Cell subsets. Figure 3 depict the expression levels of PD-1, PD-L2 and CTLA-4 on (A) normal B cells, (B) activated B cells and (C) memory B cells, respectively. The data is presented as the mean  $\pm$  standard deviation (SD).

### 3.6. Analysis of Immune Checkpoint (PD-1 and CTLA-4) Secretion among B Cell Subsets in Patients with CLL

In order to elucidate which B cell subsets were associated with heightened immune checkpoint secretion, we conducted a comparative assessment of immune checkpoint expression within various B cell subsets in patients with CLL (Figure 4). PD-1 levels were significantly elevated on activated B cells when compared to memory B cells ( $0.5877 \pm 0.04654$ ,  $p < 0.0001$ ). Similarly, CTLA-4 expression was found to be elevated activated when comparable to memory B cells ( $0.1924 \pm 0.04848$ ,  $p = 0.0039$ ).



**Figure 4.** Immune checkpoint expression on B cell subsets. (A) illustrates the expression of PD-1 on activated (CD19<sup>+</sup>CD38<sup>+</sup> cells) and memory B cells (CD19<sup>+</sup>CD27<sup>+</sup> cells). (B) illustrates the levels of CTLA-4 expression on activated B cells and memory B cells. All data are presented as the mean  $\pm$  standard deviation (SD).

### 3.7. $\beta 2$ Microglobulin Levels Are not Associated with the Expression of PD-1 or CTLA-4 on B Cell Subsets

The levels of B2M are independently associated with disease progression in patients with CLL (16). In our multivariable regression model, no association between B2M levels and PD-1 on B cells ( $\beta = 0.008$ , SE = 0.011,  $p = 0.524$ ), PD-1 on activated B cells ( $\beta = 0.008$ , SE = 0.011,  $p = 0.525$ ), PD-1 on memory B cells ( $\beta = 0.0008$ , SE = 0.004,  $p = 0.905$ ), CTLA-4 on B cells ( $\beta = 0.004$ , SE = 0.002,  $p = 0.426$ ), CTLA-4 on activated B cells ( $\beta = 0.004$ , SE = 0.002,  $p = 0.419$ ), CTLA-4 on memory B cells ( $\beta = 0.004$ , SE = 0.002,  $p = 0.419$ ) in patients with CLL (supplementary 1).

## 4. Discussion

The primary aim of this study was to determine the levels of immune checkpoint expression on the peripheral B-cell subsets in patients with CLL and to further correlate these immune checkpoints with B2M levels, a confirmed independent prognostic marker in patients with CLL (16). In this study, we determined the baseline expression levels of B cell subsets in patients with CLL. Patients with CLL had a higher activated B cell fraction (CD38<sup>+</sup> B cells) which has already been described in patients with CLL (18). In our study, the activated B cell had CD38 phenotype, which is one of the prognostic markers for patients with CLL (19, 20). Notably, the activated B cell profile is not restricted to peripheral circulation (21) and the expression of CD38 is associated with an increased proliferation index (22, 23). The increased levels of CD38<sup>+</sup> activated B cells in patients with CLL may indicate patients with poor survival rate and response to therapy (18-20). Moreover, in our study, patients with CLL expressed higher CTLA-4 and PD-1 immune checkpoint proteins on activated B cell subset. The levels of PD-1 and CTLA-4 were higher on activated B cells when compared to the other B cell subsets.

The relevance of PD-1 expression on CD4<sup>+</sup> T cells has been well described in patients with CLL with an advanced stage (Rai III/IV) (24). To date the exhaustion levels of B cell subsets in patients with CLL has not been investigated. Immune system dysfunction is a hallmark of CLL, which primarily affects humoral immunity and is characterised by increased susceptibility to autoimmune disorders and secondary malignancies (25, 26).

Immune checkpoint proteins such as PD-1, PD-L1, PD-L2 and CTLA-4 are expressed on activated B cells (27, 28). The function of these immune checkpoints on B cells have not been fully evaluated. However, the binding of PD-L1 or PD-L2 to PD-1 receptors on T cells is known to induce phosphorylation of ITIM (Immunoreceptor Tyrosine-Based Inhibitory Motif) and ITSM (Immunoreceptor Tyrosine-Based Switch Motif) motifs within the PD-1 receptor (29). This phosphorylation leads to the recruitment of phosphatases like Src Homology 2 Domain-Containing Protein Tyrosine Phosphatase 2 and Src Homology 2 Domain-Containing Protein Tyrosine Phosphatase 1 (29, 30). Consequently, these phosphatases dephosphorylate key signaling molecules

downstream of the T cell receptor (TCR), such as PI3K (Phosphoinositide 3-Kinase) and AKT (Protein Kinase B) (31-33). The overall effect of PD-1 binding is to inhibit the proliferation of B cells, leading to immune suppression and tumor immune evasion (34).

In our study, we further observed a significant increase in PD-1 levels on B cells and memory B cells among patients with CLL. Furthermore, PD-L2 expression was lower across the B cell subsets in patients with CLL. These findings underscore the complex and dynamic interplay between immune checkpoint molecules and distinct B cell populations within the CLL microenvironment. PD-1 function in T cells is widely studied, and is associated with T cell exhaustion in patients with CLL (35). However, its role on B cell is not known. In our study, we showed that PD-1 is expressed strongly on activated B cells. Thibult et al., (34) showed that PD-1 and its ligands (PD-L1 and PD-L2) are key regulatory proteins of B-cell activation and entry into the germinal centre. Moreover, the study demonstrated that PD-1 is the inhibitor of the Toll like receptor (TLR)-ligand-mediated activation of B cells. The increased expression of PD-1 may inhibit B cell differentiation into antibody secreting cells. PD-1 has a higher affinity for PD-L1 than PD-L2 (34, 36), this may explain the significant decrease in PD-L2 expression in patients with CLL.

In this study we observed a significant increase in CTLA-4 levels on B cells and memory B cells among patients with CLL. CTLA-4 suppresses humoral response to T cell-dependent and independent antigen (37). In addition, elevated CTLA-4 expression on B cells is associated with disease progression in patients with CLL (38). Moreover, the elevated expression of this immune checkpoint in peripheral blood inhibit B cell activation and proliferation (39). Consistent to this study, our study found increased levels of CTLA-4 expression in B cell subsets. Therefore, the use of immune checkpoint inhibitors targeting CTLA-4 in B cells may be beneficial in patients with CLL.

In this study, we determined the relationship between immune checkpoints on B cell subsets and B2M. There was no correlation between B2M levels and the expression of immune checkpoint molecules on B cell subsets. The elevated B2M levels are associated with an advanced CLL stage (40). In CLL, B2M levels can be elevated due to increased turnover of CLL cells or other factors. The elevated B2M is associated with advanced CLL stage (40). While immune checkpoints are dysregulated in CLL, B2M levels do not directly correlate with the expression of these checkpoints in B cell subsets. This suggests that the expression of these immune checkpoints in B cell subsets do not directly influence B2M levels.

## 5. Conclusion

African patients with CLL exhibits increased levels of PD-1 and CTLA-4 on B cell subsets in patients with CLL. However, the expression of these immune checkpoint molecules on B cell subsets do not correlate with B2M levels.

**Supplementary Materials:** The following supporting information can be downloaded at the website of this paper posted on Preprints.org, Table S1: Age, sex adjusted multivariable regression analysis of immune checkpoints on B cell subsets with B2M.

**Author Contributions:** Conceptualization, A.N., B.B.N. and P.V.D.; methodology, A.N., B.B.N. and Z.A.M.; formal analysis, A.N. and B.B.N.; investigation, A.N., B.B.N. and P.V.D.; data curation, A.N., B.B.N. and Z.A.M.; writing—original draft preparation, A.N.; writing—review & editing, A.N., B.B.N. and P.V.D.; visualization, A.N., B.B.N. and P.V.D.; supervision, B.B.N. and P.V.D.; project administration, A.N. All authors have read and agreed to the published version of the manuscript.

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**Informed Consent Statement:** Written informed consent was obtained from all study participants.

**Data Availability Statement:** Data are contained within the article and supplementary materials.

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**Conflicts of Interest:** The authors declare no conflict of interest.

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