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## Article

# The Dose Response Effects of Partially Hydrolyzed Guar Gum on Gut Microbiome of Healthy Adults

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**Abstract:** Background: Partially hydrolyzed guar gum (PHGG) is a water-soluble, prebiotic fiber that is used in foods and supplements. The effects of PHGG and its role in gut health are still being studied. The purpose of this study was to evaluate changes in the gut microbiome composition of healthy individuals in response to low-dose PHGG supplementation compared with a low fiber diet. Methods: A randomized, double-blind, placebo-controlled crossover study was performed on 33 healthy subjects (17 male, 16 female). Each subject completed three 14-day treatment periods with a 2-week washout between each period. Treatments included supplementation with 3 g PHGG, 6 g PHGG, or placebo. During all periods, the participants followed a low fiber diet ( $\leq 14$  g/day). Stools were collected on days 0 and 14 of each period. Gut microbiome profiling was performed using 16S rRNA sequencing. Results: Supplementation of 3 g and 6 g PHGG significantly increased *Verrucomicrobia* on day 14 when compared to the placebo ( $p=0.0066$  and  $p=0.0068$ , respectively). On the genus level, *Akkermansia* was significantly increased on day 14 with both the 3 g and 6 g PHGG doses ( $p=0.0081$  and  $p=0.0083$ ). *Faecalibacterium* was significantly decreased on day 14 with 3 g PHGG ( $p=0.0054$ ). Conclusion: Supplementing with low doses of PHGG has the potential to cause shifts in gut microbiome composition. By increasing beneficial microbes, PHGG can improve the microbiome composition of healthy individuals and may play a role in the treatment of inflammatory gastrointestinal diseases.

**Keywords:** dietary fiber; PHGG; gut microbiome; soluble dietary fiber

## 1. Introduction

As changes in the gut microbiome and their relationship to human health are continuously studied, many different types of pre- and probiotics have emerged as potential modulating agents. It is well known that soluble fiber has the ability to fuel changes to the composition of the gut microbiome, and a variety of such fibers have been explored in research to determine the extent of change they may be able to produce and the dose that may be required to effect that change. Partially hydrolyzed guar gum (PHGG) is a water-soluble, prebiotic fiber that has been of interest over the years due to its potential to cause shifts in bacterial taxa that may be beneficial to human health [1].

Guar gum is derived from the seeds of the guar plant (*Cyamopsis tetragonolobus*), and for many years, it has been safely used as a food additive for thickening and stabilization [1]. Beyond its use in food products for these purposes, guar gum has also been found to carry properties beneficial to human health, such as blood lipid-lowering effects and potential glycemic control for individuals with type 2 diabetes mellitus [2, 3]. However, because guar gum itself is highly viscous and gel-forming, it tends to be less appealing than other fibers when added to certain foods or when taken as a supplement.

Partial hydrolysis of guar gum creates a much less viscous fiber, PHGG, that is preferable to traditional guar gum for use as a dietary supplement for a number of reasons. Because PHGG is less viscous and non-gelling, it is more palatable than guar gum when added to foods [1, 4]. PHGG also ferments to a lesser degree than other types of soluble fiber, making it less likely to cause the undesirable GI symptoms traditionally associated with use of fiber supplements, such as gas and bloating [4].



Several studies have analyzed the effects of PHGG on individuals with GI disorders, including irritable bowel syndrome (IBS) [5, 6, 7]. Because PHGG stimulates the production of Bifidobacteria and short chain fatty acids (SCFAs), such as butyrate, it may be used to improve the gut bacterial environment of those with dysbiosis [8, 9, 10, 11, 12].

PHGG has also been studied for its ability to relieve constipation [5, 13, 14, 15, 16]. Constipation remains a prevalent issue in both children and adults, and a key factor that many attribute to this high prevalence of constipation is the historically low fiber consumption in the majority of the US population [17]. These studies found that PHGG alleviated constipation in subjects and conferred additional benefits to subjects, such as increasing the frequency of bowel movements [15], improving stool consistency [15, 16], and relieving abdominal pain [16].

While existing studies largely support the hypothesis that PHGG can positively influence the gut microbiome composition of individuals with GI disorders and help to alleviate the symptoms associated with those disorders, questions remain about the impact PHGG may have on the gut microbiome of healthy individuals. Some studies have sought to answer this question however, current research is limited [8, 10, 11, 18]. This lack of research leaves open the door to further explore microbial changes in the gut as a result of PHGG intake and any possible associated physiological benefits. Therefore, this study aimed to explore the effects of 2 weeks supplementation of low-dose PHGG on the gut microbiome of healthy individuals in comparison with a low-fiber diet.

## 2. Materials and Methods

### 2.1. Participants

This study was a randomized, placebo-controlled, double-blind dietary intervention study conducted at the University of Minnesota, registered at clinicaltrials.gov as NCT03722862. The trial was conducted between April and September of 2019. 47 subjects were initially screened with, 33 healthy adult volunteers (17 male, 16 female) between the ages of 20 and 49 meeting eligibility requirements and choosing to participate in the study. Subjects were recruited by phone to determine their eligibility. Those who met eligibility requirements were invited to participate in the study. Inclusion criteria included a BMI of  $\geq 18.5$  and  $\leq 30 \text{ kg/m}^2$ , weight stable for the last 6 months, no pre-existing health conditions that would prevent the subject from fulfilling the study, and a low fiber consumer ( $\leq 14 \text{ g/day}$ ). In addition, the subject had to be willing to stick to their normal habitual diet, excluding the consumption of any energy-rich or fat-rich meals or prolonged fasting throughout the duration of the study. They were expected to maintain habitual physical activity patterns during the study period and be willing to follow study procedures and dietary restrictions. Participants completed a food frequency questionnaire of common high-fiber foods, administered by research staff, to determine subject baseline fiber intake. Subjects were excluded from the study if they met any of the following exclusion criteria:

- History of a gastrointestinal disorder
- Lactose intolerant
- High fiber consumer ( $\geq 15 \text{ g per day}$ )
- Use of pre-and probiotics in the past 90 days
- High protein consumer (i.e. vegetarians or those who follow diets high in protein such as paleo)
- History of psychological illness or conditions that may interfere with subjects ability to understand study directions
- Use of antibiotics or signs of active systemic infection in the last 6 months. Subjects who are on hypo/hypercaloric diet aiming for weight loss or weight gain
- History or presence of cancer in the prior 2 years (except for non-melanoma skin cancer).
- Currently pregnant, lactating or planning to be pregnant during the study period
- Regular use of dietary supplements (ex: fish oil, riboflavin, etc.), 90 days prior to study inclusion

- Exposure to any non-registered drug product within the last 30 days prior to screening visit
- History of or strong potential for alcohol or substance abuse (within 12 months of screening visit). Alcohol abuse is defined as >60g (men)/40g (women) pure alcohol per day (1.5 L/ 1 l beer resp. 0.75l/0.5l wine).
- Current smoker or use of tobacco products in the past 90 days
- Concurrent or recent participation (30 days) in a dietary intervention trial

All research was conducted following the ethical principles for medical research involving human subject set forth in the Helsinki Declaration. Written consent was obtained from all participating study subjects, and all study protocols were submitted to and approved by the University of Minnesota Institutional Review Board (Study CON000000074233).

## 2.2. Material and Characteristics

A commercial formulation of PHGG (Sunfiber) was used as the treatment in this study. Previous studies have used doses between 5 and 10 g PHGG and found positive effects on the gut microbiome and on IBS symptoms [8, 11, 13, 18, 19]. Dosages of 3 g PHGG and 6 g PHGG were chosen for this study with the intention of discovering if low doses of PHGG are capable of producing similar effects in healthy individuals. The placebo was maltodextrin. Both PHGG treatments and the placebo were in powdered form to be mixed with water or any non-alcoholic beverage for consumption. Subjects were not instructed on any specific liquid to mix the treatment, but were advised that that carbonated beverages were more difficult to mix with.

## 2.3. Supplementation and dosages

Subjects completed all three treatments throughout the duration of the study, including daily dietary supplementation of 3 g PHGG, 6 g PHGG, and a placebo. All treatments were provided in plain packaging, labeled only with "A," "B," or "C" to indicate which study arm the treatment belong to. The 3 g PHGG treatment was combined with 3 g maltodextrin, and the placebo contained 6 g maltodextrin, so the weights of the packages were equivalent for all treatments. Subject were instructed to consume one package daily, mixed with water or another beverage. Subjects were instructed to consume their daily treatment at a consistent time throughout each treatment period. During the study period, subjects were asked to continue with their usual diet and to avoid prolonged fasting or unusual consumption of any high-energy, high-fat, or high-fiber meals. To ensure participants did not change their regular food intake pattern, food diaries were collected with 24-hour food records from the subjects on days 1, 7, and 14 of each treatment.

## 2.4. Study Design and Protocol

The study performed was a randomized, double-blind, placebo-controlled, crossover study. Three treatment periods of 14 days each were separated by two 14-day washout periods. Participants were randomly allocated to study arms where each arm consists of a sequence of three treatments given consecutively. Randomization was stratified by gender. For each of the three treatment arms, subjects collected stool samples at Baseline (Day 0) and on the final two days of treatment (Day 13, Day 14), with subjects being asked to submit the final stool sample they were able to collect from either day for analysis.

Treatment Period #1	Washout	Treatment Period #2	Washout	Treatment Period #3
14 days	14 days	14 days	14 days	14 days
Visit 1	Visit 2	Visit 3	Visit 4	Visit 5

**Figure 1.** Timeline of PHGG treatment delivery and washout periods.

### 2.5. 16S rRNA Sequencing

16S ribosomal RNA sequencing was performed for analysis of gut microbiome composition. This method serves as an efficient and cost-effective means to visualize changes in gut bacteria [20]. Current technologies for 16S rRNA sequencing constitute the most widely accepted and functional method for evaluating gut microbial diversity [21]. 16S analysis was completed using the following steps:

**Sample Processing:** Samples were boiled to lyze bacteria, and ethanol was added to the preservative binding buffer to a final concentration of 30%. The total volume was placed on a silica column to bind DNA, and washed 2x. Final elutions of DNA were carried out using 40uL of water.

**16S Sequencing:** The libraries were prepared using illumina 16S Metagenomic Sequencing kit (Illumina, Inc., San Diego, CA, USA) according to the manufacturer's protocol. The V3-V4 region of the bacterial 16S rRNA gene sequences were amplified using the primer pair containing the gene-specific sequences and Illumina adapter overhang nucleotide sequences. Amplicon PCR was performed to amplify the template out of input DNA samples. Briefly, each 25  $\mu$ L of polymerase chain reaction (PCR) reaction contains 12.5 ng of sample DNA as input, 12.5  $\mu$ L 2x KAPA HiFi HotStart ReadyMix (Kapa Biosystems, Wilmington, MA) and 5  $\mu$ L of 1  $\mu$ M of each primer. PCR reactions were carried out using the following protocol: an initial denaturation step performed at 95°C for 3min followed by 25 cycles of denaturation (95°C, 30 s), annealing (55°C, 30 s) and extension (72°C, 30 sec), and a final elongation of 5 min at 72°C. PCR product was then cleaned up from the reaction mix with Mag-Bind RxnPure Plus magnetic beads (Omega Bio-tek, Norcross, GA). A second index PCR amplification, used to incorporate barcodes and sequencing adapters into the final PCR product, was performed in 25  $\mu$ L reactions, using the same master mix conditions as described above. Cycling conditions were as follows: 95°C for 3 minutes, followed by 8 cycles of 95°C for 30", 55°C for 30" and 72°C for 30". A final, 5 minutes' elongation step was performed at 72°C. The libraries were normalized with Mag-Bind® EquiPure Library Normalization Kit (Omega Bio-tek, Norcross, GA) then pooled. The pooled library ~600 bases in size was checked using an Agilent 2200 TapeStation and sequenced (2 x 300 bp paired-end read setting) on the MiSeq (Illumina, San Diego, CA).

**Metagenomics Analysis:** The Metagenomics workflow on BaseSpace (Illumina) was used for analysis of 16S ribosomal RNA. In MiSeq Reporter, a naïve Bayesian classifier has been implemented that has been optimized for Illumina paired-end reads [22]. Analysis used the reference database from the May 2011 release of the Greengenes 16S rRNA database. The main output of the workflow is a classification of reads at several taxonomic levels (kingdom, phylum, class, order, family).

### 2.6. Salivary cortisol

Salivary cortisol was measured as a secondary objective of this study to evaluate potential connections between PHGG and stress. Previous studies have evaluated the effect of prebiotics on depression, anxiety, stress, and sleep quality [23, 24, 25, 26]. While cortisol may be measured in the blood, urine, or saliva, multiple studies have found salivary cortisol to be a noninvasive and effective means of quantifying the stress response [24, 25]. Subjects were provided with simple collection kits that included a sterile phial and funnel to simplify the collection of saliva. Upon entry to the study,

subjects were given instructions on best practices for saliva collection including a recommended saliva amount.

### 2.7. Bristol Stool Form Scale

The Bristol Stool Form Scale (BSFS) visually depicts and describes seven different levels, or types, of stool ranging from hardest (type 1) to softest (type 7). Images with accompanying definitions were used by research staff to match subject stool consistency to one of the descriptions listed [27]. Types 1-2 are more indicative of constipation, types 3-4 indicate normal stool consistency, and levels 5-7 indicate loose stools or diarrhea [28]. BSFS ratings were assigned to each stool by research staff immediately upon receipt of each stool sample.

### 2.8. Statistical Analysis

Descriptive statistics were calculated and presented by treatment. Treatments were compared using mixed-effects models (SAS Proc Mixed). Models included fixed effects of sequence, period, and treatment and a random effect of subject (nested within sequence) to account for the within-subject correlation among repeated measurements. The interaction between treatment and period was tested and was dropped from the model as it was not significant. If the overall F test for the effect of treatment was significant, pairwise comparisons were conducted to examine which treatment is different from which. No adjustment was made for multiple testing. Analyses were performed in SAS version 9.4 (SAS Institute Inc, Cary, NC). P values of less than 0.05 were considered statistically significant.

## 3. Results

### 3.1. Microbiome Composition

For microbiome analysis, both the differences between day 0 and day 14 for each treatment were measured, as well as the differences across treatments on day 14. The concentration of *Verrucomicrobia* was significantly greater with both 3 g and 6 g of PHGG supplementation on day 14 (p=0.0066 and p= 0.0068, respectively), when compared with placebo. *Verrucomicrobia* was also significantly increased from day 0 to day 14 with 6 g PHGG compared to placebo (p= 0.0102). Notable changes on the genus level were those of *Akkermansia*, *Dorea*, and *Sutterella*. *Akkermansia* was significantly increased from day 0 to day 14 with 6 g PHGG (p=0.0116), and the concentration of *Akkermansia* was greater on day 14 with both 3 g (p=0.0081) and 6 g (p=0.0083) PHGG supplementation compared to placebo. Concentrations of *Sutterella* and *Dorea* were significantly less with 3 g and 6 g PHGG than with placebo on day 14. Counts of *Erysipelotrichi* were significantly decreased over the course of 14-day supplementation with 6 g PHGG compared with placebo (p=0.0092). Finally, the concentration of *Faecalibacterium* was decreased with 3 g PHGG, 6 g PHGG, and placebo, but the results were only significant with 3 g PHGG (p=0.0054).

**Table 1.** Significant differences in relative change between day 0 and day 14.

		Mean Change (Day 0-Day 14)			p-value		
		Treatment 1 (6 g PHGG)	Treatment 2 (3 g PHGG)	Treatment 3 (Control)	1 vs • 2	1 vs • 3	2 vs 3
<b>• Phylum Relative</b>							
Verrucomicrobia	•	0.0089 $\pm$ 0.0324 •	0.0015 $\pm$ 0.0258	• -0.0082 $\pm$ 0.0318	• 0.0102		
<b>• Class Relative</b>							
Verrucomicrobiae	•	0.0092 $\pm$ 0.0328 •	0.0011 $\pm$ 0.0256	• -0.0082 $\pm$ 0.0322	• 0.0092		
<b>• Order Relative</b>							
Verrucomicrobiales	•	0.0094 $\pm$ 0.0335 •	0.0012 $\pm$ 0.0262	• -0.0083 $\pm$ 0.0328	• 0.0093		

• Family Relative							
• Verrucomicrobiaceae	• 0.0099 $\pm$ 0.0357	• 0.0012 $\pm$ 0.0278	•	-0.0087 $\pm$ 0.0347	•	0.0108	
• Genus Relative							
Faecalibacterium	• -0.0166 $\pm$ 0.0529	• -0.0371 $\pm$ 0.0458	•	-0.0014 $\pm$ 0.0541	•	0.0054	
Oscillospira	• -0.0102 $\pm$ 0.0345	• 0.0059 $\pm$ 0.0247	•	-0.0128 $\pm$ 0.0340	• 0.0374	• 0.0156	
Akkermansia	• 0.0094 $\pm$ 0.0342	• 0.0015 $\pm$ 0.0275	•	-0.0083 $\pm$ 0.0333	•	0.0116	

**Table 2.** Significant differences in counts change between day 0 and day 14.

•		Mean Change (Day 0-Day 14)			p-value		
•		Treatment 1 (6 g PHGG)	Treatment 2 (3 g PHGG)	Treatment 3 (Control)	• 1 vs 2	• 1 vs 3	• 2 vs 3
• Class Counts							
Erysipelotrichi		-92.5 $\pm$ 429.2	9.8 $\pm$ 134.0	143.3 $\pm$ 463.1	•	0.0092	
• Order Counts							
Erysipelotrichales		-92.5 $\pm$ 429.2	9.8 $\pm$ 134.0	143.3 $\pm$ 463.1	•	0.0092	
• Genus Counts							
Faecalibacterium	•	-799.2 $\pm$ 1831.6	-1586.5 $\pm$ 2504.4	-37.1 $\pm$ 2864.3	•	0.0090	

### 3.2. Salivary Cortisol

No significant differences were observed between the mean change of salivary cortisol from day 0 to day 14 across treatments. However, salivary cortisol did decrease with 6 g PHGG ( $-85.68 \pm 681.4$ ), while it increased with both 3 g PHGG and placebo. Salivary cortisol was increased to a lesser degree with 3 g PHGG ( $21.52 \pm 345.32$ ) than with placebo ( $68.88 \pm 407.41$ ), although not statistically significant.

### 3.3. Stool Consistency

Participants rated their stool consistency at the end of each 14-day treatment period using the Bristol Stool Form Scale. Results are displayed in Table 5. With the 3 g PHGG treatment, the percentage stools rated as “normal” on the BSFS was similar to subjects they were receiving the placebo. However, when subjects were consuming 6 g PHGG, a greater percentage stools were rated “normal” (50%) compared to both 3 g PHGG (36.4%) and placebo (39.4%).

**Table 3.** Rating of stool consistency for each treatment using the Bristol Stool Form Scale.

	Type 1-2 (Constipation)	Type 3-4 (Normal)	Type 5-7 (Loose Stool)
Placebo	21.2%	39.4%	39.4%
3 g PHGG	21.2%	36.4%	42.4%
6 g PHGG	25%	50%	25%

### 3.4. Safety Aspects

No serious adverse events were reported during this study, suggesting PHGG is safe to consume. This indicates that PHGG taken as a supplement in doses of 3 to 6 g will likely not cause any adverse effects.

## 4. Discussion

Fibers and oligosaccharides are known to alter gut microbiota. While fructooligosaccharides (FOS) and galactooligosaccharides (GOS) are generally the most well-studied, the search for which

fermentable fibers are most successful in changing the gut microbiota continues, as does determining which microbial shifts are most linked to health outcomes [21]. Prebiotics are defined by the fact that they are non-digestible, fermented by intestinal microbes, and that they stimulate changes in the composition of gut bacteria to provide benefits to the host. While all prebiotics are fiber, not all types of fiber fall under the prebiotic category [29]. As PHGG has been shown to fuel positive changes to the human gut microbiome, it functions under the umbrella of a prebiotic fiber, along with FOS and GOS [30]. Furthermore, it may be better tolerated [7].

In the present study, both *Verrucomicrobia* and *Akkermansia* increased with PHGG supplementation. The mucin-degrading microbe, *Akkermansia muciniphila*, is a bacteria of the phylum *Verrucomicrobia*, and it is found in higher concentrations in healthy individuals [31, 32]. It has been shown that the concentration of *Verrucomicrobia* present in individuals with obesity is lower [33], and decreased concentrations of *Verrucomicrobia* may even serve as an indicator of insulin resistance or Type 2 Diabetes (T2DM) [34]. In individuals with GI diseases, such as IBD, the abundance of *A. muciniphila* was significantly lower, suggesting the decreased concentration of this bacteria may function as an indicator of dysbiosis [35, 36].

Conversely, *Dorea* and *Sutterella* were both decreased in this study with PHGG consumption. *Dorea* belongs to the Lachnospiraceae family, which have been shown to produce some beneficial metabolites [37]. However, increased Lachnospiraceae is also associated with certain diseases, systemic inflammation, and IBS [18]. Some studies have demonstrated how *Dorea* may support the production of IFN $\gamma$ , leading to increased inflammation [38, 39]. Therefore, it is thought that lower concentrations of *Dorea* may be important for better health outcomes [18]. *Sutterella* is another bacteria whose abundance is correlated with IBD and inflammation [40]. Increases in *Erysipelotrichi* were also positively correlated with inflammatory GI disorders and colorectal cancer, though more research needs to be done to establish differences between human and animal models [41, 42]. In this case, the decreased presence of these microbes with PHGG supplementation in our study was likely a beneficial shift.

*Faecalibacterium* was decreased in our study with 3 g PHGG supplementation. Because of its anti-inflammatory properties and ability to produce butyrate, increased *Faecalibacterium* is largely accepted as an indicator of a healthy gut. It also tends to be found in decreased concentrations in individuals with inflammatory GI diseases [43]. Results of a previous study, wherein subjects consumed 5 g PHGG up to three times daily, showed a subsequent increase in the level of *Faecalibacterium* [30]. This may suggest that higher doses of PHGG are needed to increase the concentration of *Faecalibacterium*. Further research needs to be done to explore the impact of low dose PHGG on *Faecalibacterium*.

Secondary measures of this study included stool consistency and salivary cortisol. Neither the placebo or the 3 g treatment of PHGG showed an efficacy in improving stool consistency, but 6 g PHGG supplementation did appear to result in improvement in stool firmness. Salivary cortisol was decreased with 6 g PHGG supplementation, suggesting that PHGG may have a positive effect on the body's stress response. However, this poses an area of research that requires much further exploration. Future studies should focus on specific measures of the gut-brain connection with PHGG as a microbiome modulator. This includes determining the best methods for evaluating the effects of PHGG and other probiotics on stress and mental health.

One major limitation of this study is the variability in subject diets and lifestyle factors beyond the control of the research team. While participants were asked to complete a baseline dietary fiber intake questionnaire and keep a food diary to ensure their diet did not change dramatically throughout the course of the study, it is impossible to control for diet. Beyond differences in fiber consumption, other components of the diet may also influence changes in the gut microbial composition, which may not be fully accounted for [44]. Salivary cortisol was used in this study as a measure of stress response. However, it is possible that urinary cortisol may be a better measure, as it more accurately reflects cortisol levels in the blood. Values for salivary cortisol may also be affected by the time of day the sample is taken, introducing the potential for skewed results [26].

Because PHGG increased the concentration of beneficial microbes in this study, such as *Verrucomicrobia* and *Akkermansia*, it is possible that low doses of this prebiotic fiber may be a potential treatment option in the future for improving the gut microbiome composition of individuals with inflammatory GI diseases. Other types of soluble fiber have been studied in respect to their use as a method for managing GI disorders, such as IBS [45, 46, 47]. Similar to other fibers, PHGG may work to improve dysbiosis, while triggering less undesirable symptoms given its non-viscous, lower-fermenting properties. Dose-response effects of PHGG should be further explored in respect to GI disorders to determine the most effective dose for both beneficial microbiome shifts and management of symptoms.

The present study demonstrated that even small doses of PHGG, as little as 3 g, can influence the composition of the human gut microbiome in healthy subjects, including positive shifts in beneficial microbes. Individual variability in the human gut microbiome poses challenges to determining how selective changes to bacterial taxa may result in health outcomes. Additional research should be focused on how changes in the gut microbiome composition are associated with resulting GI symptoms and other physiological benefits.

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