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## Article

# Potential of a New Bacteriophage to Control *Klebsiella pneumoniae*: Preliminary Studies to Control Urinary Tract Infections

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**Abstract:** Urinary tract infections (UTIs) caused by *Klebsiella pneumoniae* can lead to severe clinical complications and even death. An alternative treatment option for infected patients is using bacteriophages (phages). In the present study, we isolated phage KP-1 from sewage water using *K. pneumoniae* as a host. Whole genome analysis indicated that the genome was a double-stranded linear 176,096-bp long DNA molecule with 41.8% GC content and did not contain virulence or antibiotic resistance genes. The inactivation potential of phage KP-1 was assessed in broth at an MOI of 1 and 10, and a maximum inactivation of 4.9 and 5.4 log CFU/mL was observed after 9 h, respectively. The MOI of 10 efficacy was also assessed in urine to evaluate the phage's performance in an acidic environment. A maximum inactivation of 3.8 log CFU/mL was observed after 9 h. The results indicate that phage KP-1 could be used to control UTIs caused by *K. pneumoniae*; however, further studies using phage cocktails to broaden the phage's spectrum of activity and phages in micro- or nanocarriers to avoid the negative effect of the low pH of the urine on phage viability, are necessary.

**Keywords:** Bacteriophage; phage therapy; *Klebsiella pneumoniae*; urinary tract infections

## 1. Introduction

The World Health Organization has classified ESKAPEE, a group of seven highly virulent bacteria (*Enterococcus faecium*, *Staphylococcus aureus*, *K. pneumoniae*, *Acinetobacter baumannii*, *Pseudomonas aeruginosa*, *Enterobacter* spp. and *Escherichia coli*), as number one priority for the development of new antimicrobial drugs [1]. Among these, the Gram-negative bacterium *K. pneumoniae* poses a significant global health threat due to the widespread dissemination of carbapenemase genes [2]. In clinical settings, *K. pneumoniae* is a common cause of bloodstream, respiratory and urinary tract infections (UTIs) [3]. Notably, in intensive care units, most healthcare-associated infections are associated with endotracheal tubes (cases of pneumonia), vascular catheters (bloodstream infections) or urinary catheters (UTIs) [4]. According to the 2019 ECDC report, 94% of UTIs were associated with the presence of urinary catheters [4]. The adhesive fimbriae of *K. pneumoniae* enables the formation of robust biofilms on medical devices [5]. These biofilms confer additional resistance to the patient's immune response and antibiotics, complicating clinical outcomes and limiting treatment options [6]. In the most recent antimicrobial resistance surveillance report, *K. pneumoniae* isolates presented widespread resistance to third-generation cephalosporins and increasing resistance to carbapenems in a worsening scenario across Europe [7]. The resistance to these antibiotics can also be transferred to other bacteria during the biofilm matrix formation, affecting the treatment options available for other bacteria [6]. Complications arise in patients with

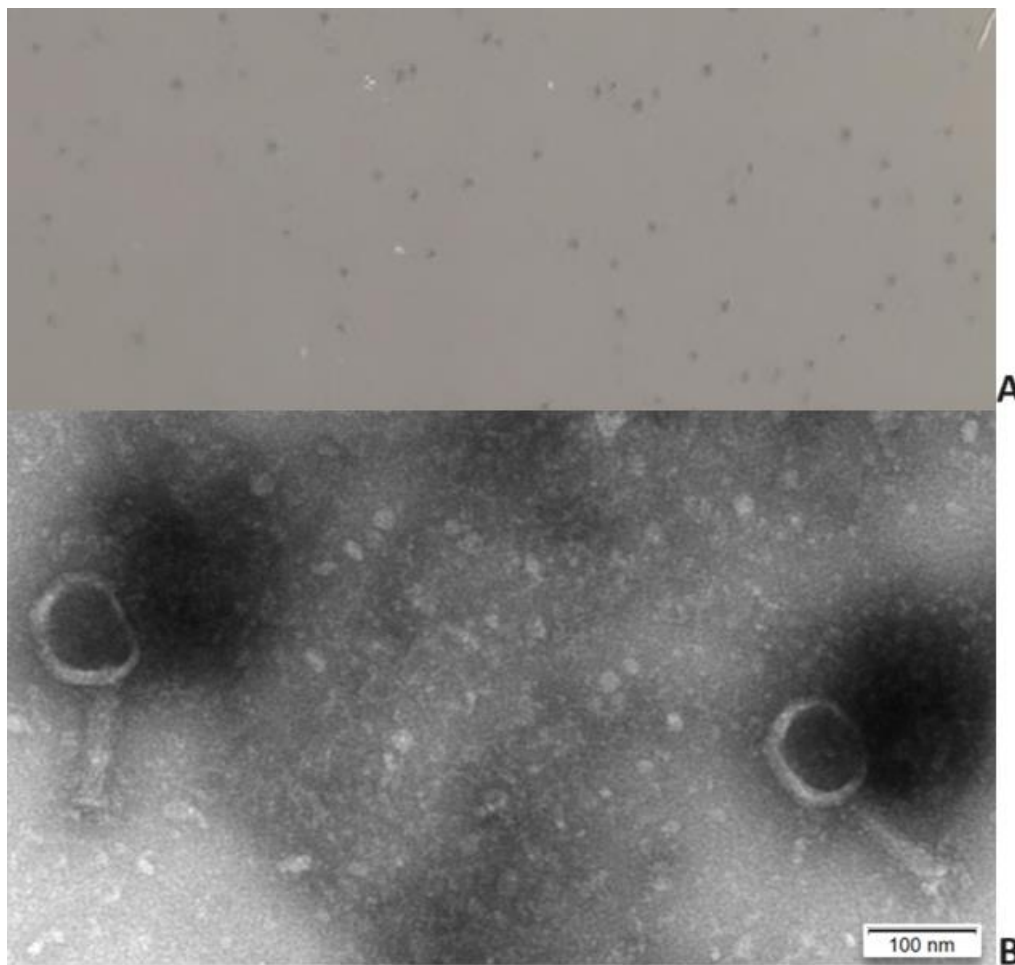
UTIs where antimicrobial-resistant bacteria are detected, leading to prolonged hospitalizations, higher rates of treatment failure and even death [8]. As the antimicrobial treatment landscape worsens, there is an urgent need for novel treatment options in clinical settings.

Phages, viruses specific to bacteria, offer several advantages over antibiotics, including low environmental impact, preservation of the microbiota, ease and cost-effectiveness of isolation, and resistance to cross-resistance [9]. Furthermore, phages can infect antibiotic-resistant bacteria and, in some cases, enhance bacterial susceptibility to antibiotics where resistance has been observed [10]. Therefore, researchers worldwide are exploring their potential applications in various fields [11]. Given that patients in intensive care units are prone to UTIs [12,13], the use of phages to treat these infections has received considerable attention [14]. Commercially available phage preparations from the ELIAVA Institute have been used against isolated *E. coli* and *K. pneumoniae* strains obtained from UTI patients [15]. These phages were even directly administered to treat UTIs in patients who underwent transurethral resection of the prostate [16]. In a different approach, Le and his coworkers (2023) successfully treated a recurrent UTI caused by *K. pneumoniae* through intravenous administration of phages without antibiotics. Other researchers have isolated and characterized phages specific to *K. pneumoniae*, testing their efficacy against biofilm formation and in animal models [18,19]. However, some isolated and characterized phages exhibit a very narrow host range and cannot infect different bacterial strains of the same species [9]. Implementing phage libraries may address this limitation, allowing researchers to select the most effective phage preparations for a specific strain [20]. As the levels of *K. pneumoniae* resistance to carbapenems continue to increase in Europe and specifically in Portugal [7], the isolation and characterization of new phages capable of infecting this bacterium become crucial for providing new treatment options for patients. Therefore, this study focuses on isolating and characterizing a new phage capable of infecting an environmentally isolated carbapenemase strain-producing *K. pneumoniae*. In addition, *in vitro* tests in liquid medium and human urine were conducted to assess the phage's potential for use in urinary tract infections, providing insight into its efficacy in the acidic environment of the urinary tract.

## 2. Results

### 2.1. Phage isolation and virion morphology

Phage KP-1 formed very small (diameter <0.1 mm) clear plaques on the host strain (Figure 1. A). High titre suspensions [ $10^9$  plaque-forming units (PFU/mL)] were produced. Transmission electron microscopy analysis revealed virions with an icosahedral head of approximately 76 nm and a contractile tail of  $110 \pm 7.9$  nm. Phage KP-1 presents a myovirus morphotype and has been classified as a Caudoviricetes.

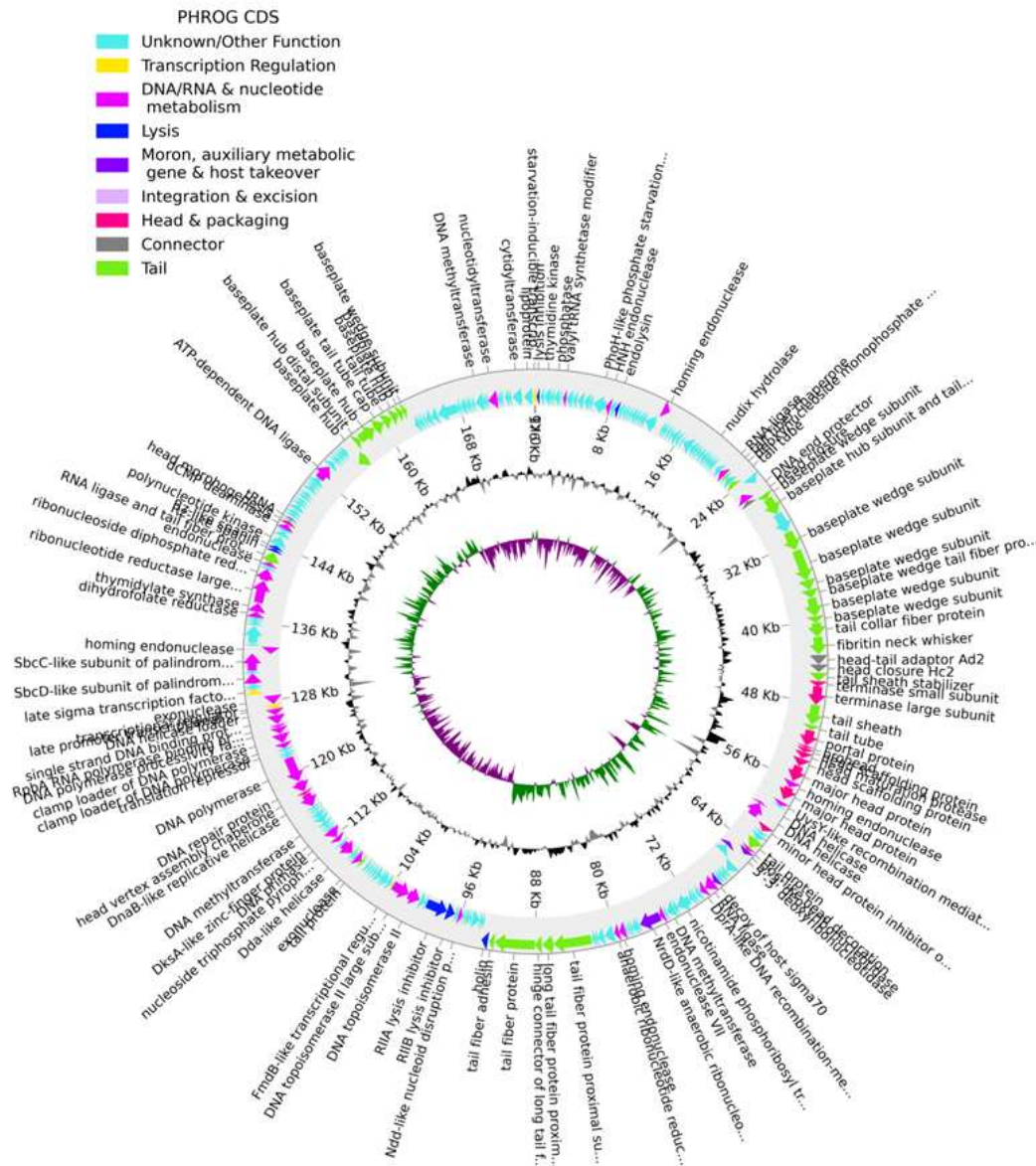


**Figure 1.** Phage plaque morphology (A) and electron micrograph photography (B).

## 2.2. Genome analysis

The genome of phage KP-1 (GenBank: PP002985) is a double-stranded DNA with a size of 176,096 bp, and the GC content is 41.8 %. The CheckV results showed 98.8 % of completeness and no host contamination. A total of 268 CDSs were predicted in the phage KP-1 genome, with 148 CDSs predicted as hypothetical proteins and 120 CDSs as functional proteins (Figure 2, Table S1). Our analysis could not detect any genes encoding antibiotic resistance or virulence determinants in the phage KP-1 genome. The absence of the phage integrase gene indicates that phage KP-1 could potentially be exploited for biocontrol applications. We further detected the presence of two tRNA genes in the KP-1 genome suggesting that the phage may rely on its own tRNA for translation after infecting the host cell (Figure 2, Table S1). Overall we detected putative genes encoding for phage proteins involved in DNA replication and modification, phage structural and packaging and host lysis (e.g., endolysin and holin) (Figure 2, Table S1). The genome sequence of phage KP-1 was classified under the Slopekvirus genus and had a similarity of 98.87 % with Klebsiella phage phiKp\_26 (GenBank: LC768496) as well with a set of Klebsiella phages isolated from sewage.





**Figure 2.** The genome map of phage KP-1. The outer circle with arrow-headed bands represents the coding DNA sequences (CDS) color coded according to the functional category of the predicted gene in the direction of the transcription. The innermost ring represents the genome GC skew (green/pink) followed by GC content (black/grey). The labels show the predicted functions of the functional CDSs, color-coded by the PHROGs category.

### 2.3. Phage host range and efficiency of plating

The spot test analysis revealed that phage KP-1 presented a very narrow host range infecting only *E. coli* ATCC 13706 of the 52 strains tested, with an efficiency of plating of  $32.98 \pm 2.85\%$  (Table 1).

**Table 1.** Phage host range and efficiency of plating on 52 different bacterial strains.

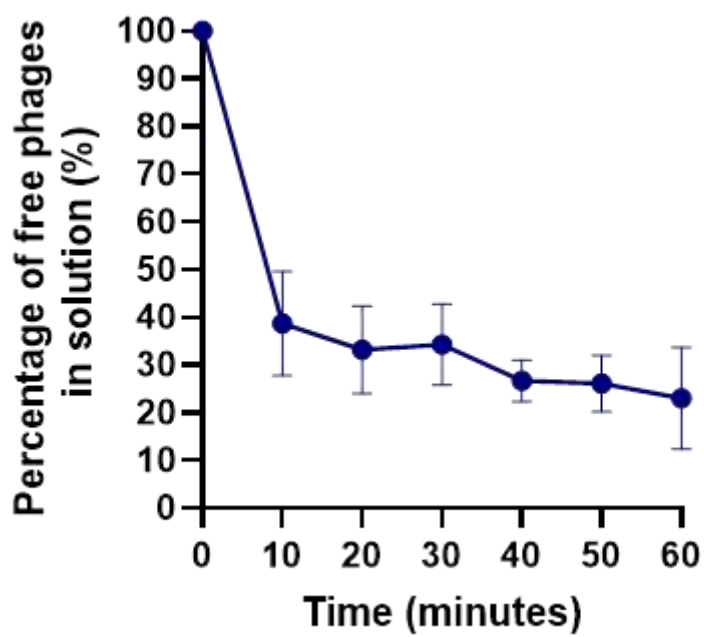
Bacterial strains	Phage KP-1	
	Spot test	EOP (%)
<i>Klebsiella pneumoniae</i> Scc 24	+	100
<i>Klebsiella pneumoniae</i> Scc 1	-	0

<i>Klebsiella pneumoniae</i> Scc 5	-	0
<i>Klebsiella pneumoniae</i> Scc 11	-	0
<i>Klebsiella pneumoniae</i> Scc 15	-	0
<i>Klebsiella pneumoniae</i> Scc 17	-	0
<i>Escherichia coli</i> ATCC 13706	+	32.98 ± 2.85
<i>Escherichia coli</i> ATCC 25922	-	0
<i>Escherichia coli</i> Scc 9	-	0
<i>Escherichia coli</i> Scc 33	-	0
<i>Escherichia coli</i> Scc 34	-	0
<i>Escherichia coli</i> Scc 35	-	0
<i>Escherichia coli</i> Scc 36	-	0
<i>Escherichia coli</i> Scc 37	-	0
<i>Escherichia coli</i> Scc 39	-	0
<i>Escherichia coli</i> Scc 40	-	0
<i>Escherichia coli</i> Scc 41	-	0
<i>Escherichia coli</i> Scc 43	-	0
<i>Escherichia coli</i> Scc 45	-	0
<i>Escherichia coli</i> Scc 47	-	0
<i>Escherichia coli</i> Scc 48	-	0
<i>Escherichia coli</i> Scc 49	-	0
<i>Escherichia coli</i> Scc 51	-	0
<i>Escherichia coli</i> Scc 52	-	0
<i>Escherichia coli</i> Scc 53	-	0
<i>Escherichia coli</i> Scc 55	-	0
<i>Escherichia coli</i> Scc 56	-	0
<i>Escherichia coli</i> Scc 58	-	0
<i>Escherichia coli</i> Scc 69	-	0
<i>Escherichia coli</i> Scc 77	-	0
<i>Escherichia coli</i> Scc 78	-	0
<i>Escherichia coli</i> Scc 91	-	0
<i>Escherichia coli</i> Scc 98	-	0
<i>Escherichia coli</i> BC30	-	0
<i>Escherichia coli</i> AE11	-	0
<i>Escherichia coli</i> AD6	-	0
<i>Escherichia coli</i> AF15	-	0
<i>Escherichia coli</i> AN19	-	0
<i>Escherichia coli</i> AC5	-	0
<i>Escherichia coli</i> AJ23	-	0
<i>Escherichia coli</i> BN65	-	0
<i>Escherichia coli</i> BM62	-	0

<i>Citrobacter freundii</i> 6F	-	0
<i>Enterobacter cloacae</i>	-	0
<i>Providencia</i> sp.	-	0
<i>Salmonella enterica</i> serovar Enteriditis CVA	-	0
<i>Salmonella enterica</i> serovar Enteriditis CVB	-	0
<i>Salmonella enterica</i> serovar Enteriditis CVC	-	0
<i>Salmonella enterica</i> serovar Enteriditis CVD	-	0
<i>Salmonella enterica</i> serovar Enteriditis CVE	-	0
<i>Salmonella enterica</i> serovar Typhimurium ATCC 14028	-	0
<i>Salmonella enterica</i> serovar Typhimurium ATCC 13311	-	0
<i>Shigella flexneri</i> DSM 4782	-	0

2.4. Adsorption curve

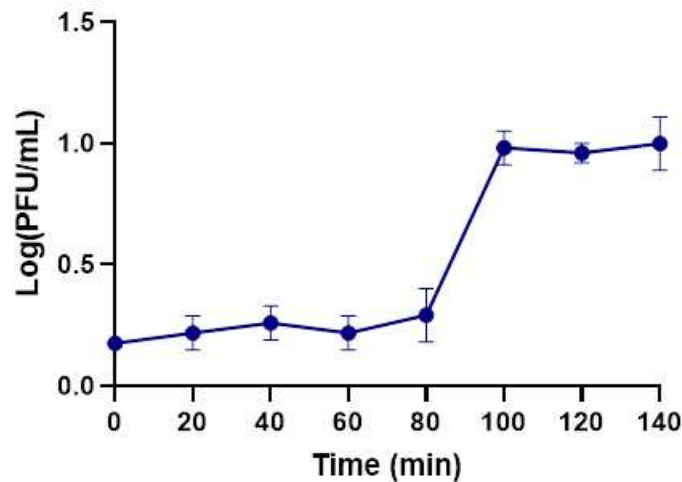
Phages KP-1 adsorption assays showed that approximately 60% and 75% of the phage particles adsorb to *K. pneumoniae* Scc 24 cells after 10 and 60 min, respectively (Figure 7).



**Figure 2.** Adsorption curves of phages KP-1 in the presence of *K. pneumoniae* as the host. Values represent the mean of three independent experiments, and error bars represent the standard deviation.

2.5. One-step growth curve

The growth curve for phage KP-1 was determined in Tryptic Soy Broth (TSB, Liofilchem, Roseto degli Abruzzi, Italy) at a temperature of 37 °C (Figure 3). From the observed results, phage KP-1 presented an eclipse time of 80 min, a latent period of 100 min and a burst size of 7.9 ± 0.3 PFU/host cell.



**Figure 3.** One-step growth curve of phage KP-1 in the presence of the host, *K. pneumoniae*. Values represent the mean of three independent experiments, and error bars represent the standard deviation.

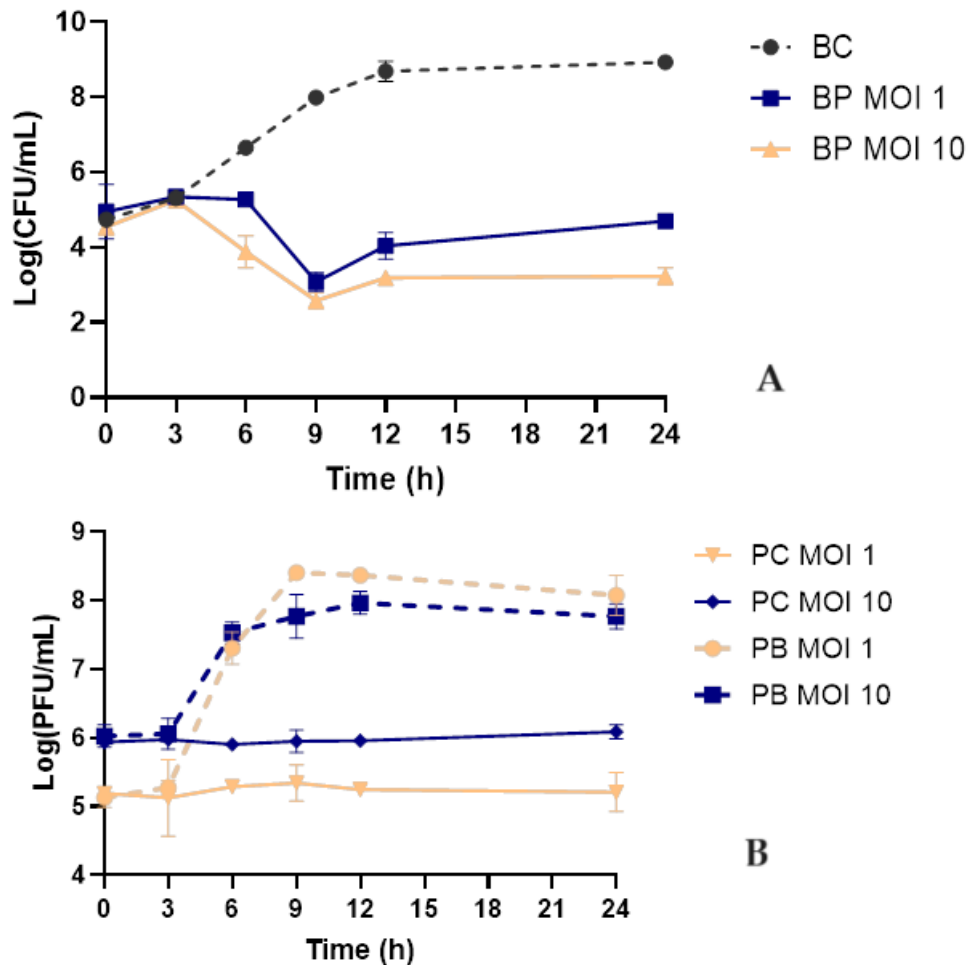
## 2.6. Bacterial kill curve

Bacterial kill curves were performed in TSB at 37°C using two different MOIs to determine whether increasing the phage dose would promote higher bacterial inactivation. In both assays, the bacterial control increased from  $4.7 \pm 0.16 \times 10^5$  CFU/mL to  $8.9 \pm 0.14 \times 10^8$  CFU/mL (ANOVA,  $p < 0.05$ , Figure 4A). When the bacterium was challenged with the phage at MOIs of 1 and 10, a maximum bacterial decrease of 4.9 and 5.4 log CFU/mL was observed after 9 h of incubation, respectively.

When higher doses of phage were used, it was observed that bacterial densities started to decrease earlier (after 6 h) than when a MOI of 1 was used (ANOVA,  $p < 0.05$ , Figure 4A). However, the maximum inactivation, observed after 9 hours, did not present statistically significant differences (ANOVA,  $p > 0.05$ , figure 4A). Nonetheless, when a MOI of 10 was used, the bacterial numbers remained constant for a more prolonged period (12-24 h), with statistically significant differences when compared with the MOI of 1 (ANOVA,  $p < 0.05$ , Figure 3A). Therefore, an MOI of 10 was selected for further studies.

Phage KP-1 remained stable during this assay with no variation in phage titre during the 24 h in the control group of both MOIs studied (Figure 3B). When phages were incubated in the presence of the host bacteria, a significant increase in phage titre of 2.9 and 1.7 log PFU/mL was observed for both test groups (ANOVA,  $p < 0.05$ , Figure 3B).

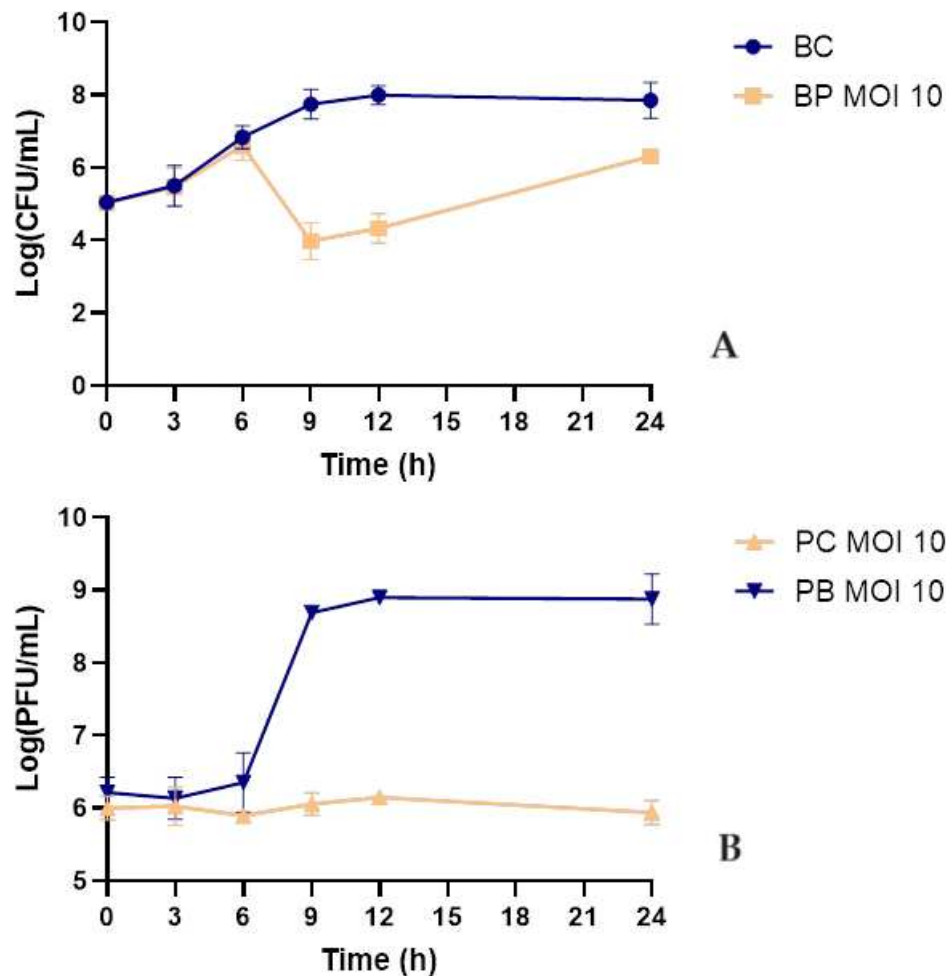




**Figure 4.** Inactivation of *K. pneumoniae* using phage KP-1 at two different MOIs. (A) Bacterial concentrations: BC – Bacterial control; BP MOI 1 – Bacteria plus phage at a MOI of 1; BP MOI 10 – Bacteria plus phage at a MOI of 10. (B) Phage concentration: PC MOI 1 – phage control at a MOI of 1; PC MOI 10: phage control at a MOI of 10; PB MOI 1 - Phage and bacteria at a MOI of 1; PB MOI 10 – Phage and bacteria at a MOI of 10. The experiments were performed in TSB at 37 °C, and values represent the mean of three independent experiments. Error bars represent the standard deviation.

### 2.7. Bacterial kill curve in urine

Fresh, early morning urine was filtered using a 0.22  $\mu$ m syringe filter and inoculated with phage KP-1 and phage to a final MOI of 10. During the 24-hour bacterial challenge, a maximum bacterial inactivation of 3.8 log CFU/mL was observed after 9 h (ANOVA,  $p < 0.05$ , Figure 5A). Despite bacterial regrowth, the differences in bacterial density between the test and control groups remained statistically significant until the end of the experiment (ANOVA,  $p < 0.05$ , Figure 5A). Phage remained stable with no significant differences in the control group (ANOVA,  $p > 0.05$ , Figure 5B). In the presence of the host, the phage titer increased significantly by 2.7 log PFU/mL (ANOVA,  $p < 0.05$ , Figure 5B).



**Figure 5.** Inactivation of *K. pneumoniae* in sterile urine by phage KP-1 using an MOI of 10. (A): bacterial concentrations; Bacteria control - bacteria in the absence of phage; BP MOI 10 - bacteria plus phage at an MOI of 10. (B): Phage concentration; PC MOI 10 – phage control; PB MOI 10 – phage in the presence of bacteria at a final MOI of 10. Values represent the mean of three independent experiments. Error bars represent the standard deviation.

### 2.8. Frequency of emergence of phage-resistant mutants

When *K. pneumoniae* Scc 24 was challenged with phage KP-1, a frequency of emergence of phage-resistant mutants of  $5.55 \times 10^{-3} \pm 0.002$  was observed. After incubation for 24 h at 37 °C, a bacterial density of  $4.51 \times 10^8 \pm 0.61$  CFU/mL was observed for the control group. However, only  $2.52 \times 10^4 \pm 0.93$  CFU/mL was observed after 24 h when the bacteria were treated with phage.

## 3. Discussion

The rise of carbapenem-resistant *K. pneumoniae* poses a global public health threat [21,22]. Phages are increasingly considered an alternative or adjuvant treatment option, especially when antibiotics prove ineffective in treating bacterial infections [23–26]. Phage therapy is explored when patients do not respond to conventional antibiotic treatments [17]. However, it is still considered an experimental approach [27] and requires emergency regulatory approval [27], meaning that more data and research are needed to advance its integration into modern healthcare strategies. In the present study, phage KP-1 was isolated using a strain of carbapenemase-producing *K. pneumoniae*, and its biological properties and *in vitro* efficacy were investigated.

The genome of the *K. pneumoniae* phage KP-1 (size range 19,260–346,602bp) [28] is a lytic phage classified as *Slopekvirus* (with a 176,096 bp linear double-stranded DNA). It does not appear to encode

any known endolysin, toxin, virulence or antibiotic resistance genes and can be considered safe for use in phage therapy.

Although host specificity is considered one of the most advantageous properties of phages, distinguishing them from antibiotics, the narrow host range can be an obstacle to efficient phage therapy [9]. Phage KP-1 showed a narrow host spectrum but can also infect *E. coli* ATCC 13706 besides its host. These results suggest that KP-1 phage can control *K. pneumoniae in vitro* and diseases caused by *E. coli*, two closely related species of the *Enterobacteriaceae* family and the most predominant in UTIs [4]. The efficacy of phage KP-1 was also tested in this study against other strains of *K. pneumoniae* and other bacterial genera of the *Enterobacteriaceae* family. However, the phage infected none of these bacteria. Generally, phages are highly specific, often infecting only one bacterial genus or even specific strains [9,10]. Shah *et al.* (2023) showed that phage RAM-1 infected only 3 of 16 *K. pneumoniae* strains tested [29]. Phage vB\_kpnM\_17-11 also showed a narrow host range, able to lyse only 4 out of 96 strains of *K. pneumoniae* tested [30]. In another study, phages LASTA and SJM3 infected only 5 *K. pneumoniae* of the 140 tested [24]. The narrow host range of phage KP-1 may be due to bacterial resistance to phage adsorption, exopolysaccharide production, and/or capsule formation, which have been described for a range of bacteria [31]. For effective phage KP-1 applications, it will probably be necessary to design highly multi-component cocktails and thus extend their action range.

The success of phage therapy is generally attributed to parameters like adsorption rate and burst size [32]. The adsorption profile of phage KP-1 showed that after 10 and 60 min, approximately 60 and 75%, respectively, of the phage particles were adsorbed to the host cells. Similar results have been obtained with phage K2a [33]. However, in general, the adsorption rate of phage KP-1 is lower than in other studies for *Klebsiella* phages [24,33,34]. Phage LASTA and SJM3 adsorption assays showed that approximately 97 and 94%, respectively, of the phage particles were adsorbed to *K. pneumoniae* after 20 min [24]. In another study, the adsorption rate of phage HS106 was approximately 84.2% after 6 min [34].

Phage KP-1 has a long latent period (100 min) and a small burst size ( $7.9 \pm 0.3$  PFU/host cell). It has been observed that *K. pneumoniae* phages have a wide range of latent periods and burst sizes, ranging from high burst sizes (410 PFU/infected cell) and short latent periods (21 min) [29] to low burst sizes (31.7 PFU/infected cell) and long latent periods (30 min) [30]. Baqer *et al.* (2022) demonstrated that *K. pneumoniae* infecting phages K2a, K2b, K2w5, K2w6, Kp99, K9w5, K9w6, K9coc had burst sizes of 116, 41, 354, 106, 214, 66, 130 and 210 PFU/host cell, respectively, with latency periods of approximately 5, 20, 20, 25, 20, 30, 10 and 10 min, respectively [33]. Phages LASTA and SJM3 presented a higher burst size of  $187 \pm 37$  and  $155 \pm 34$  PFU/host cell, respectively, and a long latent period of 80 min [24]. In another study, phage HS106 presented higher burst size (183 PFU/host cell) and low latent period (10 min) [34]. Although phage KP-1 presents a small burst size ( $7.9 \pm 0.3$  PFU/host cell) and a long latency period (100 min) (Figure 3), phages replicate efficiently in the host, causing a high reduction in *K. pneumoniae* growth, suggesting that other factors regulate the phage–bacteria interaction. A phage's burst size and latent period depend on the phage type, the host's physiological state, the growth medium's composition, the pH and the temperature of the incubation [33]. The smaller burst size can be caused by the phage's large size and the host's small size. The host cell's size is critical as it modulates the availability of receptors and its protein synthesis machinery for phage binding and growth [35]. Larger burst sizes and longer latent periods increase the likelihood of successful dispersal in the environment [36], indicating that the phage is widely available for isolation.

*Klebsiella pneumoniae* was effectively inactivated by KP-1 phage in TSB medium (maximum inactivation of 5.4 log CFU/mL after 9 h). The increase in the MOI from 1 to 10 increased treatment efficiency. The maximum inactivation of phage KP-1 at MOI 1 (maximum inactivation of 4.9 log CFU/mL) and 10 (5.4 log CFU/mL) was statistically similar (Figure 4A). However, when an MOI of 10 was used, bacterial regrowth was delayed, and the bacterial concentration remained constant until the end of the experiment. Chen *et al.* (2023) also observed that lower phage concentrations can induce bacterial regrowth more rapidly than those treated with higher concentrations [34]. The authors observed that bacterial density (OD<sub>600</sub>) increased more rapidly when incubated at a lower

MOI of 1 compared to higher MOIs (10 and 100) [34]. The number of phage particles of KP-1 when incubated with *K. pneumoniae* for 12 h at an MOI of 1 and 10 increased by 2.7 and 1.9 log PFU/mL, respectively. These results demonstrate that high initial phage doses may not be essential due to the self-perpetuating nature revealed by increasing phage titre and bacteria.

The efficiency of phage KP-1 was tested in human urine samples to evaluate the potential application of this phage for the inactivation of UTI caused by *K. pneumoniae*. It was observed that phage KP-1 could successfully inactivate *K. pneumoniae* in urine (maximum inactivation of 3.8 log CFU/mL). The concentration of phage KP-1 remained constant in the absence of the host. Its titer increased significantly in the presence of the bacterium during the experiment. However, its effectiveness in inactivating *K. pneumoniae* in urine (maximum concentration of 3.8 log CFU/mL after 9 h of incubation) was significantly lower compared to experiments in TSB medium (maximum inactivation of 5.4 log CFU/mL after 9 h of incubation). Although the phage concentration remained constant throughout the experiment, and phage KP-1 replication in the presence of *K. pneumoniae* was similar in TSB medium and urine (2.9 and 2.7 log PFU/mL, respectively), the lower bacterial inactivation in urine can be due to lower phage viability. Silva *et al.* (2014) showed that although phages survive at different pH values, their efficiency in inactivating bacteria is affected by low pH values [37]. In general, phage lytic activity decreases at pH values  $10 < \text{pH} < 5$ , with optimum pH conditions around neutrality (pH of 6-8) [38,39]. Similar results were obtained by Pereira *et al.* (2016) [40]. These authors showed that single phage suspensions of phages E-2 and E-4 and the phage cocktail E-2/E-4 reduced approximately 2.0 log CFU/mL of *Enterobacter cloacae* in urine. The efficacy of single phage suspensions and the phage cocktail was lower than that of phosphate-buffered saline (PBS), which reduced 3.4 log CFU/mL [40]. Further studies using the phage KP-1 in micro- and/or nanocarriers should be done to avoid the negative effect of the urine's low pH on the phage viability.

A major concern of bacterial inactivation by phages is the emergence of phage-resistant bacteria [41–44]. The development of resistant mutants, which only occurred in bacteria exposed to the KP-1 phage, was limited ( $5.55 \times 10^{-3}$ ). These results are in close agreement with results obtained by other researchers [44–47]. Phage cocktails in phage therapy can help overcome the problem of bacterial phage resistance [39]. However, their success requires phages that do not have overlapping cross-resistance, *i.e.* bacterial mutants resistant to one phage but sensitive to another and vice versa [48], for example, using phages from different families.

## 4. Materials and Methods

### 4.1. Bacterial strains and growth conditions

The bacterial strains used in this study are listed in Table 1. The bacterial strain *K. pneumoniae* Scc 24 was previously isolated [49] and used as a phage host. *Escherichia coli* (ATCC 13706 and ATCC 25922), *Salmonella* Typhimurium (ATCC 13311 and ATCC 14028), *S. flexneri* DSM 4782 were purchased from the ATCC and DSM collections, respectively. *Enterobacter cloacae* was previously isolated by our group [50]. *Escherichia coli* (Scc: 9, 33, 34, 35, 36, 37, 40, 41, 43, 45, 47, 48, 49, 51, 52, 53, 55, 56, 58, 69, 77, 78 and 91) and *K. pneumoniae* (Scc: 1, 5, 11, 15, 17 and 98) were previously isolated [49]. *Escherichia coli* (BC30, AE11, AD6, AF15, AN19, AC5, AJ23, BN65 and BM62) were isolated in previous works from water samples collected in Ria de Aveiro [51]. *Salmonella* Enteritidis (CVA, CVB, CVC, CVD and CVE) were provided by the Controlvet Laboratory in previous work [50]. Fresh bacterial cultures were kept at 4 °C in Tryptic Soy Agar (TSA, Liofilchem, Roseto degli Abruzzi, Italy). Before each assay, one isolated colony was transferred to 30 mL of TSB and grown overnight at 37 °C with orbital shaking (120 rpm) until an optical density (O.D. 600) of 0.8, corresponding to about  $10^9$  cells/mL.

### 4.2. Phage isolation and purification

Phage KP-1 was isolated from the sewage network of Aveiro (station EEIS9 of SIMRIA Multi Sanitation System of Ria de Aveiro). About 50 millilitres of water were filtered through 0.45 µm pore size polycarbonate membranes (Millipore, Bedford, MA, USA) and added to 50 mL of a twice-

concentrated TSB medium. The mixture was inoculated with 1 mL of *K. pneumoniae* Scc 24 in exponential phase and incubated for 24 h at 25 °C and 120 rpm. After incubating, the solution was centrifuged at  $10.000 \times g$  for 10 min at 4 °C and filtered through a polyethersulfone layer with a 0.22  $\mu\text{m}$  pore size (Merck-Millipore, Darmstadt, Germany). The filtrate was stored at 4 °C, and the titer was determined according to [52]. Serial dilutions of the filtrate stock were performed in phosphate buffer saline (PBS) [ $137 \text{ mmol}^{-1}$  NaCl (Sigma, St. Louis, MO, USA),  $8.1 \text{ mmol}^{-1}$   $\text{Na}_2\text{HPO}_4 \cdot 2\text{H}_2\text{O}$  (Sigma, St. Louis MO, USA),  $2.7 \text{ mmol}^{-1}$  KCl (Sigma, St. Louis, MO, USA), and  $1.76 \text{ mmol}^{-1}$   $\text{KH}_2\text{PO}_4$  (Sigma, St. Louis, MO, USA), pH 7.4]. Five hundred microlitres of each dilution, along with 200  $\mu\text{L}$  of fresh bacterial culture, were added to 5 mL of molten TSB 0.6% top agar layer [30 g/L TSB (Liofilchem, Roseto degli Abruzzi, Italy), 6 g/L agar (Liofilchem, Roseto degli Abruzzi, Italy), 0.12 g/L  $\text{MgSO}_4$  (Sigma, St. Louis, MO, USA), and 0.05 g/L  $\text{CaCl}_2$  (Sigma, St. Louis, MO, USA), pH 7.4] and poured over a TSA plate. Plates were incubated at 37 °C and observed for the presence of lytic plaques after 16 to 18 h. One single plaque was selected from the solid medium and added to the TSB medium with a fresh culture of the host. The sample was centrifuged, and the supernatant was used as a phage source for a second isolation procedure. Three successive single-plaque isolation cycles were performed to acquire pure phage stock. All lysates were centrifuged at  $10.000 \times g$  for 10 min at 4 °C to remove bacteria or bacterial debris. The phage suspensions were kept at 4 °C.

#### 4.3. Electron Microscope Examination

Phage particles of a highly concentrated suspension ( $10^9$  PFU/mL) were negatively stained with uranyl acetate (Electron Microscopy Sciences). Briefly, 15  $\mu\text{L}$  of each sample were adsorbed to carbon-coated collodion 400 mesh nickel grids (Gilder) for 2 min and stained with 2% aqueous uranyl acetate (Electron Microscopy Sciences) for 1 min. Grids were visualized in a JEOL JEM-1011 transmission electron microscope (operating at 100 kV). Micrographs were taken with a MEGA VIEW III (SIS) digital camera at various magnifications.

#### 4.4. Phage DNA Extraction

The phage suspension ( $>10^9$  PFU/mL) was centrifuged 3 times at  $12.000 \times g$  for 10 min. DNA The extraction of the virion's nucleic acid was performed in duplicate according to Jakočiūnė & Moodley (2018) [53], with bacterial DNA and RNA removal and digestion of the bacteriophage capsid [54] and DNA purification performed with the DNeasy Blood & Tissue Kit (Qiagen, Hilden, Germany). During DNA purification, twice the volume of phage lysate and equivalent volumes were used to increase the yield. The quantity and quality of the DNA were measured in a NanoDrop One UV-VIS scanning spectral microdroplet spectrophotometer (ThermoScientific, USA), according to the manufacturer's instructions.

#### 4.5. Phage Genome Assembly and Annotation

Phage genome sequencing was performed by Stabvida (Lisboa, Portugal) using Illumina MiSeq using 300bp paired-end. The library construction was done using the Kit Kapa HyperPlus according to manufacturers' protocol.

The quality control of raw sequence reads were performed using FASTQC v0.11.9 (FastQC source: Bioinformatics Group at the Babraham Institute, UK.) before and after trimming low-quality reads with Trimmomatic v.0.39 with the parameters: ILLUMINACLIP:adaptors.fasta:2:30:1, Leading:8, Trailing:8, Slidingwindow:4:15 and Minlen:100 [55]. The trimmed reads were subjected to de novo genome assembly, using SPAdes v.3.13.1 with the `-careful` parameter [56]. The assembly graph was inspected using Bandage v0.8.1 [57]. The reads were then mapped back against the resulting assembly using BBMap v.38.18 to determine the average coverage of each contig [58]. Manual filtering was performed to remove contigs with dubious coverage. The reads were map back to the filtering contig file using Bowtie2 v.2.5.1 [59] and assembly with SPAdes v.3.13.1. Further assembly error correction and polishing were performed using Pilon v1.24 [60]. Termini could not be predicted using PhageTerm [61], however, the genome was found to be circularly permuted using



apc.pl (<https://github.com/jfass/apc>), and the repeated sequence artifacts were removed. The phage genome was manually reordered to match the most closely related phage based on the method reported by Shen and Millard [62]. The full-genome sequence of the KP-1 phage was compared to the phage genome sequences in GenBank using BLASTN (somewhat similar sequences) in the NCBI database, and the most closely related phages were identified. The completeness and contamination of the phage genome sequence was tested using CheckV v1.0.1 [63]. The phage genome was annotated for coding DNA sequences (CDS), tRNA, tmRNA, CRISPRs, virulence factors (VFs), toxins and antimicrobial resistance genes (ARGs) using Pharokka v1.3.2 [64], and the CDS were assigned to functional categories using PHROGs [65].

#### 4.6. Phage host range and efficiency of plating

The phage host range was assessed through spot testing according to [52] for the bacterial strains in Table 1. Briefly, molten top agar 0.6% agar was inoculated with 300  $\mu\text{L}$  of fresh exponential bacteria culture, poured over a TSA plate and allowed to dry. Subsequently, 50 to 100  $\mu\text{L}$  of phage stock was spotted over the bacterial lawn and plates were incubated at 37 °C for 16 to 18 h before observation. A clear lysis zone on the area where the phage had been spotted would indicate sensitivity to the phage. Bacterial sensitivity to phage infection was recorded according to a clear lysis zone (x) or absence of lysis zone (-) (Table 1).

#### 4.7. Adsorption curve

The phage adsorption curve was determined according to [52,66]. Briefly, phages ( $10^3$  PFU/mL) were added to a mid-exponential bacterial culture (cell density of about  $10^6$  CFU/mL) at 37 °C in TSB. Every 10 min, an aliquot was collected, a few drops of chloroform were added, and the sample was centrifuged at  $10,000 \times g$  for 5 min at 4 °C. The number of unadsorbed phage particles was determined by plating the sample using the double agar layer technique [52]. The percentage of adsorbed phages was calculated by comparing the free phage titre at a given point with the initial phage titre. Three independent assays were performed.

#### 4.8. One-step growth assay

Phage suspension (final concentration of  $10^6$  PFU/mL) was added to 10 mL of fresh bacterial culture of *K. pneumoniae* (with a final concentration of  $10^9$  PFU/mL) to obtain a final MOI of 0.001 and incubated for 5 min at 37 °C without shaking. The suspension was then centrifuged at  $10,000 \times g$  for 5 min, the supernatant was discarded, and the pellet was resuspended in 10 mL of TSB and incubated at 37 °C. A 1 mL sample was collected and immediately titrated by the double-layer agar technique. Plates were incubated at 25 °C and observed after 18 h. Samples were collected every 20 min for 140 min and three independent assays were performed.

#### 4.9. Bacterial kill curve in TSB culture media

To characterize the bacterial kill curves, 30 mL of sterile TSB was inoculated with the strain *K. pneumoniae* Scc 24, which was then challenged with phage Kp-1 at MOIs of 1 and 10 (final concentration  $10^5$  and  $10^6$  PFU/mL, respectively). Two controls were included in this assay: the bacterial control (BC) and the phage control (PC). The bacterial control was inoculated with *K. pneumoniae* but not with phage, and the phage control was inoculated only with the phage. Controls and test samples were kept at the same conditions (37 °C and no agitation). Aliquots were collected from all controls and samples at 0, 3, 6, 9, 12 and 24 h of incubation. Phage titre was determined in duplicate by the double agar layer [52] after an incubation period of 16-18 h at 37 °C. Bacterial concentration was determined in duplicate in the TSA medium after an incubation period of 24 h at 37 °C. Three independent assays were performed for each MOI.

#### 4.10. Bacterial kill curve in urine samples.

##### 4.10.1. Urine sample collection and handling.

According to Pereira and coworkers [40], early urine samples were collected using a post-hygiene, midstream clean-catch technique. After performing daily hygiene, the micturition middle stream was collected directly into sterile containers after discarding the initial portion. The end portions were also discarded. The samples were immediately transported to the laboratory, centrifuged at  $10,000 \times g$  for 10 min and filtered through a  $0.22 \mu\text{m}$  pore size (Merck-Millipore, Darmstadt, Germany) into sterile containers to remove bacteria eventually present in the urine samples. The urine samples were always collected from the same person at the same hours.

##### 4.10.2. Bacterial kill curves in urine

The efficacy of the phage KP-1 to control *K. pneumoniae* was assessed in the sterile urine for an MOI of 10 to evaluate the possible application of this phage during UTI. An Erlenmeyer flask containing 30 mL of sterile urine was inoculated with bacteria (final concentration of  $10^5$  CFU/mL) and phage (final concentration of  $10^6$  PFU/mL). Two other flasks were included as control groups. The phage control group was only inoculated with phage (PC), and the bacteria control group was only inoculated with bacteria without the phage (BC). The flasks were incubated at  $37^\circ\text{C}$  with no agitation, and aliquots were collected after 0, 3, 6, 9, 12 and 24 h. Bacterial concentration was determined, in duplicate, in the TSA plate after an incubation period of 24 h. In duplicate, the double agar layer method [52] determined phage titre after an incubation period of 24 h at  $37^\circ\text{C}$ . Three independent assays were performed.

#### 4.11. Rate of emergence of phage-resistant bacterial mutant

The emergence rate of phage-resistant bacterial mutants was determined according to Filippov et al., 2011. Ten phage-sensitive colonies were isolated from a TSA plate and incubated at  $37^\circ\text{C}$  in test tubes containing 5 mL of TSB medium for 24 h (final concentration of about  $10^9$  CFU/mL). Each bacterial culture was serially diluted, and aliquots of 100  $\mu\text{L}$  from the  $10^0$  to  $10^{-2}$  dilutions were transferred to molten top TSB 0.6% agar, previously inoculated with 100  $\mu\text{L}$  of phage (from a phage stock with a final titre of about  $10^9$  PFU/mL) and poured over a TSA plate. The plates were incubated at  $37^\circ\text{C}$  for 3-5 days since some mutants grow slowly. In parallel, an aliquot of 100  $\mu\text{L}$  of the  $10^{-5}$  to  $10^{-7}$  dilutions was incorporated on TSA plates without the addition of phage and incubated for 24 h at  $37^\circ\text{C}$ . The frequency of spontaneous phage-resistant mutation was calculated by dividing the number of resistant bacteria (colonies counted in the presence of phage) by the total number of sensitive bacteria (CFU counted in the plates without phage) and multiplied by 100. For this assay, three independent experiments were performed.

#### 4.12. Statistical analysis.

The statistical analysis was performed using GraphPad Prism software 8.4.3, San Diego, California, USA. Normal distribution of the data was assessed by the Kolmogorov–Smirnov test, and the homogeneity of variance was confirmed by Levene's test. Two-way ANOVA with repeated measures and Tukey's multiple comparison post-hoc test was used to study if the variations between bacterial and viral concentrations presented any significant differences (sections 2.9 and 2.10.2). Two-way ANOVA was used to infer if the differences between the growth curve of the test groups and the control groups were significant (sections 2.9 and 2.10.2). A *p*-value below 0.05 was considered statistically significant.

## 5. Conclusions

The efficacy of phage KP-1 and its safety paves the way for in-depth studies, particularly *in vivo*, to combat urinary tract infections caused by *K. pneumoniae*. However, due to the urine's low pH, which affects phage viability, phages should be applied in micro- and/or nanocarriers. In the future,

new phages need to be isolated and tested with phage KP-1 to produce a cocktail with a broader spectrum of activity against *K. pneumoniae* and even to control other UTI-causing bacteria.

**Supplementary Materials: Table S1.** Coding sequences identified in KP-1 phage.

**Author Contributions:** J.D, C.M and P.C performed the experiments. J.D, C.M, P.C, J.R, C.P and A.A participated in the conception and design of the experiments, J.D, C.P, V.O, N.C.M.G., J.L.R., C.P and A.A participated in analyzing the results, J.D and C.P wrote the paper, and P.C, V.O and N.C.M.G. also contributed to the writing. J.L.R. and A.A. supervised the work, revised the paper, and contributed with reagents and analysis tools. All authors have read and agreed to the published version of the manuscript.

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