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Keywords: gastric cancer; gastroesophageal junction cancer; IL-6; FLOT regimen; predictive biomarker



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Article

# Interleukin-6 as a Predictive Factor of Pathological Response to FLOT Regimen Systemic Treatment in Locally Advanced Gastroesophageal Junction (GEJ) or Gastric Cancer (GC) Patients

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**Simple Summary:** The elevated level of IL-6 prior to treatment and cycle 2 of FLOT regimen might be a predictor of pathologic response to NAC in locally advanced gastric cancer (GC) or gastroesophageal junction (GEJ) cancer.

**Abstract: Background:** Perioperative treatment is a gold standard in locally advanced gastric cancer or GEJ cancer in western population. Unfortunately, the response rate after neoadjuvant chemotherapy (NAC) remains limited. Moreover, there are currently no biomarkers enabling an individual prediction of therapeutic efficacy. The aim of this study was identification of serum biomarkers of early response to NAC. **Methods:** We conducted this prospective study in the MSCNRI, in Warsaw, Poland. A total of 71 patients and 15 healthy volunteers signed informed consent. Complete blood count, blood chemical tests, carcinoembryonic antigen (CEA) carcinoma antigen 125 (CA125), carcinoma antigen 19.9 (CA19.9), d-dimer, fibrinogen (F) were measured at baseline and before every cycle. Circulating tumour cells (CTCs) and interleukin-1 $\beta$  (IL-1 $\beta$ ), interleukin-6 (IL-6), interleukin-8 (IL-8), interleukin-10 (IL-10) were measured in a pilot group of 40 patients at baseline and before cycle two (C2) and cycle three (C3). **Results:** Of all the measured parameters, only IL-6 serum level was statistically significant. The IL-6 level before C2 of chemotherapy was significantly decreased in complete pathological response (pCR) vs non-pCR group (3.71 pg/mL vs 7.63 pg/mL, p=0.004). In all patients with IL-6 levels below 5.0 pg/mL in C2, tumour regression TRG1a/1b according to Becker classification and ypN0 were detected in postoperative histopathological specimens. The IL-6 level before C1 of chemotherapy was significantly elevated in ypN+ vs ypN0 (7.69 pg/mL vs 2.89 pg/mL, p=0.022). **Conclusions:** The trial showed that elevated level of IL-6 prior to treatment and C2 might be a predictor of pathologic response to NAC.

**Keywords:** gastric cancer; gastroesophageal junction cancer; IL-6; FLOT regimen; predictive biomarker

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## 1. Introduction

Gastric cancer is a major problem influencing life expectancy due to its aggressive nature [1]. It is associated with poor prognosis dependent on tumour stage at presentation [2]. According to GLOBOCAN 2020, gastric cancer is the fifth most common cancer and the fourth leading cause of mortality worldwide [3]. The outcome of GC and GEJ adenocarcinoma following curative resection alone is predominantly dismal, which points to the necessity of application of perioperative chemotherapy [4,5]. This approach in western patients with locally advanced, primary resectable GC and GEJ cancer has been gold standard since both the MAGIC trial and the French FNCLCC/FFCD 97033 study [4,5]. The most effective type of chemotherapy, as reported by Al-Batran S. et al in Lancet 2019, is the FLOT regimen, which induces more tumour responses than other regimens and improves the margin free resection rate. FLOT is superior to ECF/ECX with respect to complete pathological response (15% vs 6%) and median overall survival (50 months vs 35 months) [6]. As approximately 10%-15% of patients fail to respond to this treatment, it is vital to conduct studies on the application of biomarkers in GC and GEJ cancer [6]. There are currently no biomarkers enabling prediction of therapeutic efficacy, real-time tumour dynamic or identifying patients at increased risk of a poor pathological response. In non-responding patients to neoadjuvant chemotherapy such markers could allow clinicians to apply a more individualized approach e.g. avoiding exposition to the potential toxicity of unnecessary chemotherapy, thus improving the quality of life and making it possible to perform earlier surgery as well as reduce the cost of treatment.

Scientific research over the last decade has explained the fundamental molecular mechanisms and provided conclusive evidence that inflammation is now established as a hallmark of cancer. The tumour microenvironment, which includes inflammatory cells or inflammatory mediators such as cytokines, chemokines, growth factors, prostaglandins and stromal activation, plays a decisive role in various stages of tumour development, including initiation, malignant transformation, promotion, invasion as well as formation of metastases [7–9]. Pro-inflammatory cytokines (IL-1, IL-6, IL-8, TNF- $\alpha$ ) are responsible for the promotion of metastasis and cachexia, while anti-inflammatory immunosuppressive cytokines such as IL-10 are reported to be a marker of higher stage of the disease [10,11]. Interleukin-6 (IL-6) and interleukin-8 (IL-8) are important pro-angiogenic factors in gastric cancer through induction of vascular endothelial growth factor (VEGF) [12,13]. Both IL-1 and IL-6 are involved in the growth of neoplastic cells in gastric cancer and the metastasis formation [14,15]. In addition to pro-inflammatory cytokines, activation of the coagulation and angiogenesis systems are believed to be factors associated with the development of cancer [16]. Activated platelets are the source of VEGF, which is responsible for the promotion of neoangiogenesis. Lymphocytes participate in both humoral and cellular anti-tumour immune response [17].

In numerous studies on patients with various types of cancer, the lymphocyte to monocyte ratio (LMR), neutrophil to lymphocyte ratio (NLR), and platelet to lymphocyte ratio (PLR) were assessed as prognostic markers for overall survival (OS), disease-free survival (DFS) and progression-free survival (PFS). The study by Lian L. et al. conducted on patients with primary operable gastric cancer showed that preoperative low levels of PLR and NLR were correlated with better clinicopathological features, including a lower depth of tumour invasion, fewer lymph node metastases and an early stage cancer based on the TNM classification according to the AJCC [18].

Additionally, low level of leukocytes and lymphocytes prior to systemic adjuvant therapy, was a predictor of poor outcome in response to this treatment [19]. Patients with primary metastatic gastric cancer undergoing palliative systemic treatment who had a low NLR level before treatment had statistically significantly better disease control rate (DCR), longer progression-free survival (PFS), and longer overall survival compared to patients with initially high NLR level [19,20]. Arigami T. et al developed a new scoring system (F-NLR) based on fibrinogen concentration (F) and NLR ratio as

a predictive and prognostic factor to chemotherapy or chemoradiotherapy in patients with advanced gastric cancer. Higher F-NLR values were significantly more frequent in the subgroup of patients with disease progression during treatment [21].

In 1869, during the autopsy of a patient with metastatic cancer, Thomas Ashworth first observed that cells similar to those of the primary tumour were present in peripheral blood. These cells are circulating tumour cells (CTCs): rare cancer cells released from the tumour into the bloodstream, which are thought to play a key role in cancer metastasis. Many studies have shown the identification of CTCs in patients with various types of cancer and their usefulness as a marker of response to systemic treatment [22].

In view of the above considerations, we selected the parameters as potential predictive factors of neoadjuvant chemotherapy in patients with locally advanced GC and GEJ cancer.

## 2. Materials and Methods

We conducted this prospective study in the Maria Skłodowska-Curie National Research Institute of Oncology, in Warsaw, Poland in order to identify serum biomarkers of early response to NAC from collected biomaterial. The trial was performed in accordance with the principles of the Declaration of Helsinki and the protocol was approved by the Local Bioethics Committee at the Maria Skłodowska-Curie National Research Institute of Oncology (MSCNRO) in Warsaw (approval number 51/2016/2017). A total of 71 patients and 15 healthy volunteers signed informed consent.

### 2.1. Inclusion and Exclusion Criteria

The main eligibility criteria included: written informed consent for participation in the trial, patients with histopathologically confirmed GC or GEJ adenocarcinoma of a clinical stage cT2-T4/cN0- any or cT-any/cN+, ECOG (Eastern Cooperative Oncology Group) performance status 2, adequate liver, kidney and hematologic function, age 18 years old. The main exclusion criteria were the following: evidence of distant metastasis, history of other primary malignancies, prior chemotherapy or radiotherapy, active or documented prior autoimmune or inflammatory disorder, current or prior use of immunosuppressive medication or corticosteroids exceeding 10 mg/day of prednisone or its equivalent, allergy to iodine contrast agent, concomitant disease (coronary heart disease, arrhythmia, stroke) preventing administration of chemotherapy according to protocol, pregnancy, breastfeeding.

### 2.2. Patient Treatment and Procedure

Clinical stage at baseline was evaluated by oesophagogastroduodenoscopy (OGD), computed tomography (CT) or magnetic resonance imaging (MRI) scan of the chest, abdomen and pelvis and physical examination. Diagnostic laparoscopy was not performed in any patient as the Polish standards of care state, that it is recommended, but not mandatory. FLOT administration consisted of four preoperative and four postoperative cycles; during each 2-week cycle we administered docetaxel 50 mg/m<sup>2</sup> on day 1, oxaliplatin 85 mg/m<sup>2</sup> on day 1, leucovorin 200 mg/m<sup>2</sup> on day 1, and 5-FU 2600 mg/m<sup>2</sup> as 24-h infusion on day 1. Patients were assessed according to their medical history, physical examination, weight, ECOG performance status, complete blood count, blood chemical tests, CEA, CA125, CA19.9, d-dimer, fibrinogen at baseline and before the start of every cycle. CTCs and IL-1 $\beta$ , IL-6, IL-8, IL-10 were measured in a pilot group of 40 patients at baseline and before the start of C2 and C3. We graded adverse events according to CTCAE 4.03 (Common Terminology Criteria for Adverse Events v4.03) before each cycle and we used granulocyte colony-stimulating factor (G-CSF) for primary prophylaxis of febrile neutropenia. Chemotherapy was continued according to protocol unless written informed consent was withdrawn, unacceptable toxicity occurred or progression of the disease was observed. In order to confirm the absence of progression of disease or occurrence of metastases, CT or MRI scan of the chest, abdomen and pelvis was performed between 2 and 4 weeks following the completion of the last cycle of preoperative chemotherapy. Tumour response was determined according to the Response Evaluation Criteria in Solid Tumours 1.1 (RECIST v1.1). Surgery was scheduled for 4-6 weeks following the completion of the last cycle of

chemotherapy. Pathological tumour regression (TRG) of the primary tumour to NAC was evaluated according to Becker classification, which classifies pathologic response as follows: TGR1a: no residual tumour/tumour bed, TGR1b: < 10% residual tumour/tumour bed, TGR2: 10-50% residual tumour/tumour bed, TGR3: > 50% residual tumour/tumour bed.

### 2.3. Biochemical Analysis

Venous blood collection VACUETTE® was performed using the VACUETTE® system. The Sysmex XN-550 haematology analyser was used for analysis of differential white blood cell count following the manufacturer's protocol. The lymphocyte-to-monocyte ratio (LMR) was calculated by dividing an absolute count of lymphocytes (10<sup>9</sup>/l) by an absolute count of monocytes (10<sup>9</sup>/l). The platelet-to-lymphocyte ratio (PLR) was calculated by dividing an absolute count of platelets (10<sup>9</sup>/l) by an absolute count of lymphocytes (10<sup>9</sup>/l). The neutrophil-to-lymphocyte ratio (NLR) was calculated by dividing an absolute count of neutrophils (10<sup>9</sup>/l) by an absolute count of lymphocytes (10<sup>9</sup>/l). Plasma fibrinogen (F) was determined from blood plasma collected on sodium edetate (EDTA) using the Clauss method with Fibrinogen-C XL reagent in the ACL TOP 500 (WERFEN) coagulation analyser according to the manufacturer's recommendations. F-NLR score was based on plasma fibrinogen (F) and NLR. Patients with hyperfibrinogenemia (> 400 mg/dl) and high NLR (> 3.0) received 2 points. Patients with only one of the above-mentioned abnormalities in biochemical parameters received 1 point, while those with fibrinogen concentration < 400 mg/dl and low NLR (< 3.0) received 0 points. D-dimer was determined by enzyme-linked immunosorbent assay (ELISA) from citrated plasma using VIDAS D-Dimer Exclusion reagents by VIDAS system according manufacturer's recommendations. The tumour marker levels (CEA, CA125, CA19.9) were determined by electrochemiluminescence with Roche kits in the Cobas E601 system. The cut-off point for the markers were set according to the manufacturer's recommendations. The serum concentration of IL-1 $\beta$ , IL-6, IL-8 and IL-10 were determined by using an enzyme-linked immunosorbent assay (ELISA) with R&D Systems (Minneapolis, MN, USA) according to manufacturer's recommendations.

### 2.4. Molecular Detection of Circulating Tumour Cells (CTCs)

Molecular detection of CTCs was performed by assessing the mRNA expression of tumour-associated markers (CEA, CK19, survivin). The VACUETTE® system was used for venous blood collection. A 2.5 ml sample of peripheral venous blood from all of the patients and healthy volunteers was collected into PAXgene Blood RNA tubes (Qiagen, Hilden, Germany). Micro-centrifuge was used for purification and isolation of peripheral blood mononuclear cells (PBMCs). The RNA isolation was performed using the PAXgene Blood RNA Kit (Qiagen, Hilden, Germany) according to the manufacturer's instructions and the QIAcube automatic nucleic acid isolation apparatus (Qiagen, Hilden, Germany). The amount of RNA was measured using a Quantus Fluorometer (Promega, Madison, Wisconsin, USA). The measurement was made by using a fluorescent RNA-specific dye - QuantiFluor RNA System (Promega Madison, Wisconsin, USA). The amount of RNA was expressed in ng/ $\mu$ l. A spectrophotometric test was performed in order to check the purity of the isolated RNA, with absorbance measured at 260 and 280 nm. The NanoDrop ND2000 device was used for the measurement (Thermo Fisher Scientific, Waltham, Massachusetts, USA). Then on the basis of ratio of A260 and A280, the instrument determined the degree of RNA purity. RNA was considered sufficiently purified material for further analysis if this ratio was approximately 2. Reverse transcription reactions were performed with the SuperScript IV VILO kit Master Mix with ezDNase enzyme (Thermo Fisher Sci- entific, Waltham, Massachusetts, USA). Measurement of the expression of reference genes (TBP, HPRT, SDHA, YWHAZ, HPRT, GAPDH, ZNF410) and marker genes (CK19, CEA, survivin) was performed using the real-time polymerase chain reaction method (Real-Time PCR, qPCR), it is presented in Appendix A (Table A1). Quantitative PCR reaction was performed using the ABI PRISM 7500 Applied Biosystems 7500 Fast Real-Time PCR System instrument (Applied Biosystems, Carlsbad, USA). The reaction mixture consisted of TaqMan® Gene Expression Master Mix 38 (Thermo Fisher Scientific, Waltham, Massachusetts, USA), TaqMan® probes specific for selected genes (Thermo Fisher Scientific, Waltham, Massachusetts, USA) and cDNA matrix. Based on

the qualitative assessment, three reference genes were selected and served as internal controls for further studies. The three selected genes were: TBP, HPRT and ZNF410. These genes were characterized by the highest stability of all the tested genes and showed constant expression in both patients and healthy volunteers. Where possible, quantitative analysis of the expression of CK19 and CEA marker genes and survivin was performed. The expression value was calculated according to the comparative method. The value of the relative expression levels allowed to estimate the changes in the expression of selected marker genes in patients with gastric cancer as compared to healthy volunteers.

### 2.5. Statistical Analysis

Statistical analysis was performed in R software (version 4.1.2). Age was described with median and range, levels of IL-6 were described with mean and standard deviation or median and interquartile range, depending on distribution normality. Categorical variables were presented as absolute frequency and proportion of the group. Distribution normality was verified with Shapiro-Wilk test, accompanied by skewness and kurtosis. Variance homogeneity was assessed with Levene's test. Comparisons between prognosis groups were performed with t-Student test, Mann-Whitney U test, one-way Anova analysis and Kruskal-Wallis test, as appropriate. Post-hoc multiple comparison was conducted Dunn test with Bonferroni adjustment. Receiver operating characteristic (ROC) analysis was prepared in order to identify parameters with high potential to predict prognosis group. Optimal thresholds were calculated with Youden index.

## 3. Results

Between January 2018 and November 2019 a total of 71 patients signed informed consent and started treatment. However, the final data analysis was conducted on 61 patients at the age of 30-77 (median 63 years, 52.5% male and 47.5% female). Two patients did not meet inclusion criteria, as they were not primary resectable. Five patients who had received partial preoperative chemotherapy failed to report to the centre again and there was no further contact with them and three patients did not consent to gastrectomy. Full pre-operative treatment of four cycles of FLOT regimen was administered to 93.4% (57) patients. CTCs and ILs were measured in a pilot group of 40 patients. Baseline characteristics of the patients, surgical and pathology results of treatment are presented in Appendix A (Table A2 and Table A3).

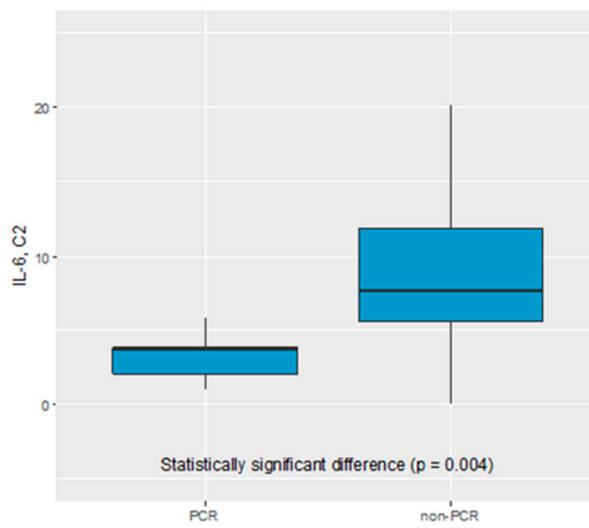
We did not find any statistical significance of CEA, CA19.9, CA125, IL-1 $\beta$ , IL-8, IL-10, F-NLR, LMR, NLR, PLR, CTCs as predictive biomarker of early response to NAC. Only IL-6 serum level was found to be a potential biomarker of pathological response to NAC. The IL-6 serum level before C2 of chemotherapy was significantly elevated in non-pCR vs complete pathological response (pCR) group (7.63 pg/mL vs 3.71 pg/mL, p=0.004), see Figure 1.

Receiver operating characteristic (ROC) curve showed the predictive power of IL-6. The optimal threshold for diagnosing pCR was 5.0 pg/mL (AUC=0.826, 95% CI: 0.698-0.954, p=0.001), see Table 1 and Figure 2.

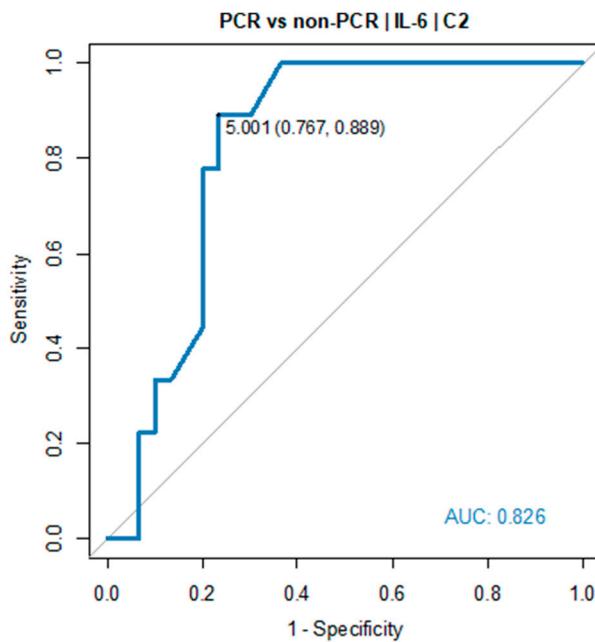
**Table 1.** Optimal thresholds for diagnosing PCR vs non-PCR.<sup>1</sup>

Optimal threshold (95% CI)	AUC	Sensitivity y	Specificity y	Accuracy	PPV	NPV	p
Measurement C2							
IL-6 [pg/mL]	5.00	0.826 (0.698-0.954)	0.89	0.77	0.79	0.53	0.96 <b>0.001</b>

<sup>1</sup> (PCR: n=12, non-PCR: n=49).



**Figure 1.** IL-6 in C2 in prognosis groups: PCR and non-PCR.



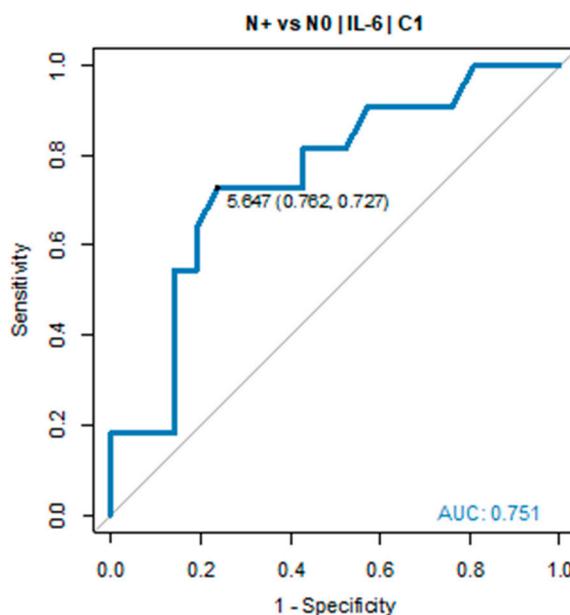
**Figure 2.** ROC curve for IL-6 (C2) as a diagnostic test between PCR and non-PCR.

In all patients with IL-6 serum levels below 5.0 pg/mL in C2, tumour regression TRG1a/1b according to Becker classification was detected in postoperative histopathological specimens. Due to the small sample size, the pCR group was defined as TGR-1a/1b and ypN0. A similar relationship was found in ypN0 vs ypN+ group. The IL-6 serum level before C1 of chemotherapy was significantly elevated in ypN+ vs ypN0 (7.69 pg/mL vs 2.89 pg/mL,  $p=0.022$ ). ROC curve showed the predictive power of IL-6. The optimal threshold for diagnosing ypN0 was 5.0 pg/mL (AUC=0.751, 95% CI: 0.568-0.934,  $p=0.017$ ), see Table 2 and Figure 3.

**Table 2.** Optimal thresholds for diagnosing ypN+ vs ypN0.<sup>2</sup>

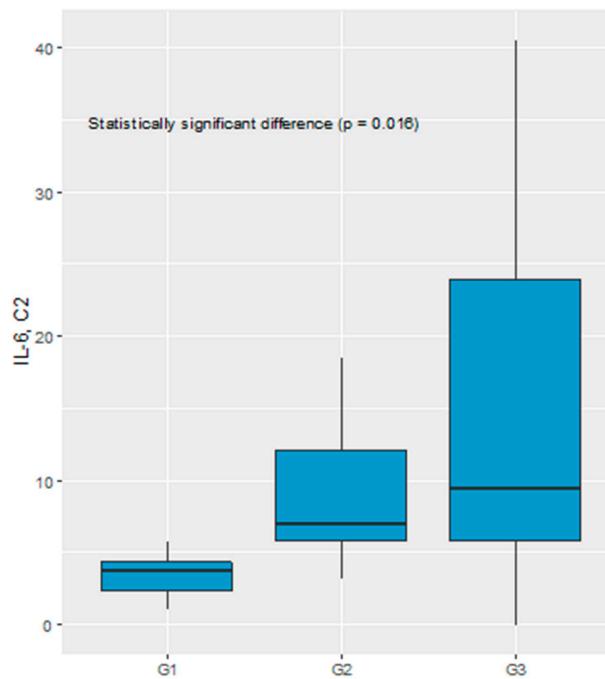
Optimal threshold	AUC (95% CI)	Sensitivity	Specificity	Accuracy	PPV	NPV	p
Measurement C2							
IL-6 [pg/mL]	5.65	0.751 (0.568-0.934)	0.73	0.76	0.75	0.62	0.84 <b>0.017</b>
Measurement: delta C3 vs C1							
IL-6 [pg/mL]	1.09	0.764 (0.569-0.959)	0.82	0.76	0.78	0.64	0.89 <b>0.018</b>

<sup>2</sup> (ypN+: n=18, ypN0: n=34).

**Figure 3.** ROC curve for IL-6 (C1) as a diagnostic test between ypN+ and ypN0.

Significant difference in the IL-6 serum level before C2 of chemotherapy was recognized comparing TGR1, TGR2 and TGR3 groups (3.76 pg/mL vs 7.07 pg/mL vs 9.43 pg/mL, respectively,  $p=0.016$ ), see Figure 4.

Pairwise comparisons indicated TGR1 and TGR3 as the groups with significant difference in IL-6 serum level. ROC analysis was performed to verify predictive power of IL-6 for diagnosing TGR groups against each of two other groups. Good diagnostic quality was identified when IL-6 was used to differentiate TGR1 from TGR2 and TGR1 from TGR3. The optimal threshold for diagnosing TGR1 vs TGR2 was 5.16 pg/mL (AUC=0.856, 95% CI: 0.674-1.000,  $p=0.005$ ). The optimal threshold for diagnosing TGR1 vs TGR3 was 6.93 pg/mL (AUC=0.796, 95% CI: 0.596-0.997,  $p=0.004$ ), see Table 3 and Figure 5.



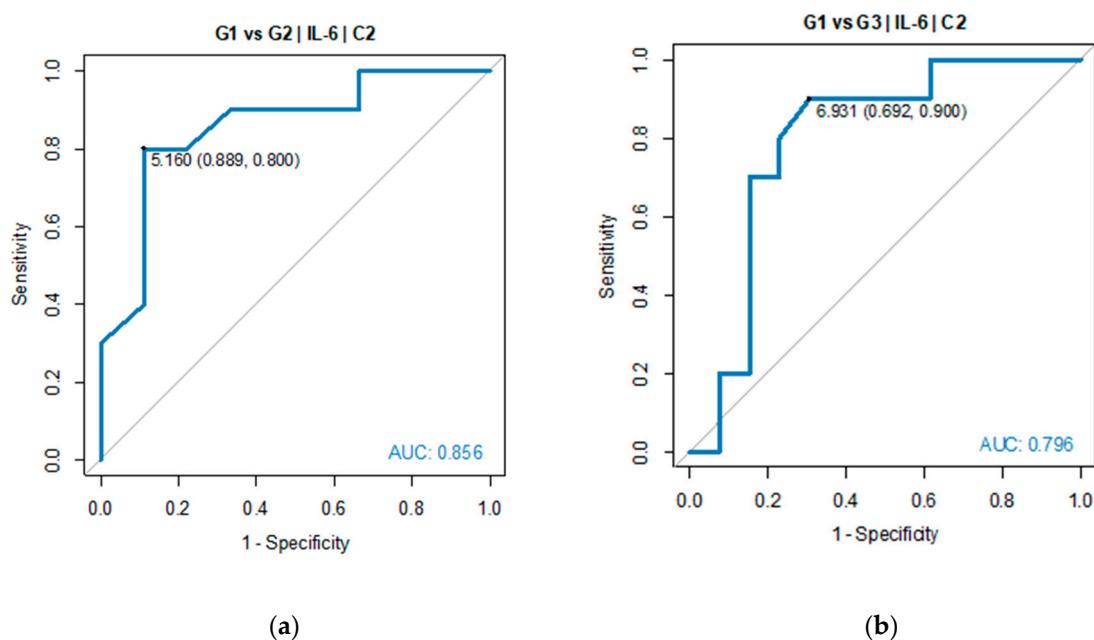
**Figure 4.** IL-6 in C2 within prognosis groups: TRG1, TRG2 and TRG3.

**Table 3.** Parameters diagnosing TRG1 vs TRG2 and TRG1 vs TRG3.<sup>3</sup>

	Optimal threshold	AUC (95% CI)	Sensitivity y	Specificity y	Accuracy	PPV	NPV	p
Measurement: TRG1 vs TRG2								
IL-6 [pg/mL]	5.16	0.856 (0.674-1.000)	0.80	0.89	0.84	0.89	0.80	<b>0.005</b>
Measurement: TRG1 vs TRG3								
IL-6 [pg/mL]	6.93	0.796 (0.596-0.997)	0.90	0.69	0.78	0.69	0.90	<b>0.004</b>

<sup>3</sup> (TRG1: n=13, TRG2: n=14, TRG3: n=26).

ROC analysis did not show significant outcome of using IL-6 as prognosis parameter for TGR2 vs TGR3 groups ( $p > 0.05$ ), which means IL-6 had good quality to predict that patients belonged to TGR1 group, while its quality to distinguish TGR2 from TGR3 groups was not proved.



**Figure 5.** ROC curve for IL-6 (C2) as a diagnostic test between: (a) TRG1 and TRG2 groups; (b) TRG1 and TRG3 groups.

#### 4. Discussion

Gastric cancer treatment no longer involves surgery alone but over the past decade has become multimodality treatment. Most notably, the MAGIC trial and the French FNCLCC/FFCD 97033 trial demonstrated a significant survival benefit of perioperative treatment, which is currently a gold standard in western population [4–6]. Response to neoadjuvant chemotherapy constitutes a substantial prognostic factor for disease-free survival and overall survival [23–25]. As was demonstrated by the University of Texas MD Anderson Cancer Center, ypStage provides reasonable survival prediction based on TNM grouping, whereas clinical stage is not useful [26]. In patients with tumour downstaging, disease-free survival and overall survival are longer than in patients without response to preoperative chemotherapy, and the best outcome is observed in patients with pathologic complete tumour regression [23–25]. Unfortunately, the response rate after NAC remains limited [4–6]. Moreover, there are currently no biomarkers enabling an individual prediction of therapeutic efficacy, real-time tumour dynamic or identifying patients at increased risk of a poor pathologic response. In non-responding patients to neoadjuvant chemotherapy such markers could allow to determine the optimal balance between the risks and benefits of avoiding NAC in patients with locally advanced GC or GEJ cancer. In our prospective, single-institution trial, we showed that elevated level of IL-6 prior to start of treatment and C2 might be a predictor of pathologic response to neoadjuvant chemotherapy. Patients who were not experiencing pathologic complete response (PCR) had statistically significant higher serum level in C2 than non-PCR. Similarly, node-positive (ypN+) patients had statistically significant higher serum level before start of treatment than node-negative (ypN0) patients. Multivariate analysis by Smyth E. et al demonstrated that the presence of lymph node metastases was the only factor independently predictive of overall survival in patients after NAC [23]. The latest data presented by Athauda A. et al confirmed that lymph node status in the resection specimen is the single most important determiner of survival [25].

Our prospective, pilot study is the first analysis of the utility of IL-6 as predictive biomarker of early response to NAC.

IL-6 is a key immunomodulatory cytokine, which is involved in the orchestration of the innate and acquired immune system and plays an important role in the regulation from various homeostatic to pathological processes such as immune disease and cancers [27]. Studies by Kai H. et al and Ito R.

et al show that IL-6 is involved in the growth of gastric cancer cells and the formation of metastases [14,15]. IL-6 is an important pro-angiogenic factor in gastric cancer through the induction of the VEGF [28]. Significant correlation was observed between the serum concentration of IL-6 and the tumour stage, depth of tumour invasion, lymphatic invasion, venous invasion as well as lymph node metastasis [29,30]. Increasing data suggest that IL-6 plays a crucial role in the modulation of the function and activity of tumour-associated immune cells [31]. IL-6 is a cancer-associated fibroblast (CAFs) specific secretory protein and a contributor to the dynamic crosstalk between tumour cells and microenvironment, which is essential for tumour growth, invasion and metastases. Epithelial-mesenchymal transition (EMT) of gastric cancer cells is induced by CAF-secreted IL-6. CAF-secreted IL-6 activates the Janus kinase (JAK) 1-signal transducer and activator of transcription 3 signal transduction (STAT) pathway in GC cell lines. The aberrantly hyperactivated IL-6/JAK/STAT3 pathway is generally associated with a poor clinical prognosis [32–34]. In vitro and in vivo studies showed that CAF-secreted IL-6 is a very important contributor of chemoresistance in GC. The interaction of CAFs with tumour cells may induce a more aggressive phenotype of cancer cells and confer 5-fluorouracil resistance to gastric cancer cell lines through the inhibition of apoptosis [35]. This is extremely important as 5-fluorouracil is the main cytostatic agent widely used in both perioperative and palliative treatment [4–6].

In light of the above data, our study results are of clinical importance. If the results are confirmed in a larger group of patients, the measurement of IL-6 serum level prior to start of treatment and prior to administration of cycle 2 of neoadjuvant chemotherapy will enable quick identification of ypN+ and non-PCR patients with a poor prognosis. If the effect of IL-6 on inducing resistance to chemotherapy is also taken into consideration, it will be the basis for testing the efficacy of combination of perioperative chemotherapy with IL-6 receptor inhibition [36]. Currently there is ongoing EMPOWER (NCT04333706) clinical trial of the combination of sarilumab (IL-6R inhibitor) plus capecitabine in triple negative breast cancer patients in stage I-III with high-risk residual disease [37].

## 5. Conclusions

The above data suggest that IL-6 may be a predictive biomarker of pathologic response to neoadjuvant chemotherapy in patients with GC and GEJ cancer. The results were obtained on a small group of patients and currently cannot be used in everyday clinical practice. Confirmation of the results on a larger group of patients seems to be essential from clinical point of view, bearing in mind that the IL-6 plays a significant role in gastric cancer biology, particularly in metastasis formation and mechanism of chemotherapeutic resistance.

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**Conflicts of Interest:** Katarzyna Marcisz-Grzanka, Beata Kotowicz, Aleksandra Nowak, Mariola Winiarek, Małgorzata Fuksiewicz, Maria Kowalska, Andrzej Tysarowski, Tomasz Olesinski, Jakub Palucki, Urszula Sulkowska, Agnieszka Kolasinska-Cwikla declare no conflict of interest. Lucjan Wyrwicz: consulting fees - MSD, AstraZeneca; payment or honoraria for lectures, presentations, speakers bureaus, manuscript writing or educational events - MSD, AstraZeneca; payment for expert testimony - MSD, AstraZeneca.

## Appendix A

**Table A1.** Reference genes selected for optimization.<sup>A1</sup>

Gene	Name	Protein function
TBP	TATA binding protein	Transcription initiator – binds to a specific DNA sequence – the TATA box
HPRT	Hypoxanthine-guanine phosphoribosyltransferase	An enzyme involved in the metabolism of purines, allowing its recovery from degraded DNA for the re-synthesis of nucleotides
GAPDH	Glyceraldehyde 3-phosphate dehydrogenase	An enzyme involved in glycolysis – converts glucose into carbon molecules and energy
SDHA	Succinate dehydrogenase complex, subunit A	Mitochondrial respiratory chain complex – responsible for the transformation of succinate into fumarate
YWHAZ	Monooxygenase/tryptophan 5-monooxygenase activation protein zeta	It is a regulator of cell apoptotic pathways – it takes part in metabolism and regulates the cell cycle
HMBS	Hydroxymethylbilane synthase	An enzyme involved in the production of heme
ZNF410	Zinc finger protein 410	Transcription factor

<sup>A1</sup> GeneCards, UniProt [38,39].

**Table A2.** Baseline characteristics of the treatment group.<sup>A2</sup>

Factor	Value
Age (years)	
Median	63 (30-77)
< 60	20 (33%)
60-69	30 (49%)
≥ 70	11 (18%)
Sex	

Male	32 (52.5%)
Female	29 (47.5%)
ECOG	
0	11 (18%)
1	50 (82%)
Location of tumour	
GEJ	14 (23%)
Stomach	47 (77%)
cT-stage	
T1	1 (2%)
T2	28 (46%)
T3	27 (44%)
T4	5 (8%)
cN-stage	
N0	30 (49%)
N1	11 (18%)
N2	11 (18%)
N3	9 (15%)
N+	30 (49%)
N-	31 (51%)
TNM according to AJCC – the 8 <sup>th</sup> edition	
IIA	27 (44%)
IIB	18 (30%)
IIIA	5 (8%)
IIIB	7 (11%)
IIIC	4 (7%)
Lauren's type	
Diffuse	17 (28%)
Intestinal	23 (38%)
Mixed	12 (19%)
Not evaluable according to Lauren	9 (15%)
Signed ring cell/poorly cohesive	22 (36%)
Grading according to WHO	
G1	1 (2%)
G2	21 (34%)
G3	28 (46%)
Not evaluable	11 (18%)

<sup>A2</sup> (treatment group: n=61).

**Table A3.** Surgical and pathology results of treatment.<sup>A3</sup>.

Factor	Value
Surgery	
Tumour curative surgery R0 – margin free	52 (85%)
Tumour surgery R1	1 (2%)
Palliative surgery	5 (8%)
No surgery	3 (5%)
Histopathological tumour regression according to Becker classification	
Complete – TRG1a	7 (11%)
Subtotal – TRG1b	6 (10%)
Complete or subtotal – TRG1a/b	13 (21%)

Partial – TRG2	14 (23%)
Minimal or none – TRG3	26 (43%)
Palliative surgery – not evaluated TGR	5 (8%)
Tumour stage (ypT)	
Tx	7 (11%)
T1	11 (18%)
T2	9 (15%)
T3	23 (38%)
T4	3 (5%)
ypT no available	8 (13%)
Nodal status (ypN)	
N0	34 (56%)
N1	5 (8%)
N2	6 (10%)
N3	8 (13%)
ypN no available	8 (13%)
Lymphovascular invasion – LVI	
Yes	20 (33%)
No	32 (52%)
N/A	9 (15%)
Perineural invasion – PNI	
Yes	7 (11%)
No	45 (74%)
N/A	9 (15%)

<sup>A3</sup> (treatment group: n=61).

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