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Article

Power Loss Modelling and Performance Comparison of Three-Level GaN-Based Inverters Used for Electric Traction

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Abstract: The main aim of this work is to present a step-by-step procedure to model and analyze the power loss distribution of three-level Gallium Nitride (GaN) inverters. It has been applied to three distinct three-phase three-levels voltage source inverters utilized in electric traction drives: Active Neutral Point Clamped, Neutral Point Clamped and T-Type Neutral Point Clamped. The proposed analytical power loss modelling, combined to an equivalent representation of the electrical machine demonstrated to be a viable solution to achieve a time-saving and low computational burden simulation platform, leading to satisfying accuracy results. This has been confirmed by comparing the results carried out from the simulations of a 110kW permanent magnet synchronous motor drive and those determined by considering a simplified circuit representation based on the proposed analytical power loss modelling.

Keywords: wide-band gap (WBG) devices; Gallium Nitride (GaN); multilevel power inverter; analytical loss calculation; high efficiency drives

1. Introduction

Nowadays, the significance of electric traction is widely acknowledged as fundamental for the advancement of society. Projections indicate substantial growth in the global electric vehicle (EV) market in the upcoming years, largely due to policy objectives aimed at reducing greenhouse gas emissions in transportation across numerous countries worldwide [1–3].

Currently, light-duty electric vehicles typically feature powertrains with rated power varying between 60kW and 200kW. These powertrains are mostly powered by lithium-ion battery packs with capacities ranging from 30kWh to 110kWh, resulting in estimated ranges mostly below 400km. This limited range stands as a significant barrier hindering the widespread adoption of EVs. Another substantial limitation is the lengthy recharging duration compared to equivalent internal combustion vehicles. Despite significant developments in recharging infrastructure, most charging points still operate at a peak power of 100kW [4]. Consequently, charging times for reaching 80% battery capacity using 100kW - 480V DC fast charging typically range from 30 minutes to 1 hour. Reducing charging times would alleviate the inconvenience posed by limited driving range. Therefore, higher charging power can considerably diminish battery refueling duration. For instance, increasing fast-charging power levels from 100 kW to 350 kW results in a significant reduction in charging time. However, maintaining the charging voltage at the typical value of 400V would necessitate increased current ratings for charging cables, leading to amplified system power losses. Some automotive manufacturers are exploring solutions involving an 800V DC bus to achieve faster battery charge times, [5–9]. However, this approach presents technical challenges related to current electric powertrain technologies, especially semiconductor technologies utilized in electric traction inverters.

Additionally, considering alternative power converter topologies could potentially enhance efficiency, reliability, and power density, while simultaneously reducing the cost and weight of power conversion processes.

Because of their notable efficiency and cost-effectiveness, the prevailing choice for most production EVs involves the utilization of Two-Level (2L) three-phase voltage source inverters (VSIs). These inverters typically rely on insulated gate bipolar transistors (IGBTs), with only a few cases incorporating silicon-carbide based (SiC) power modules. IGBTs power devices still remain the primary choice for realizing common traction inverters over other available power device technologies. This preference stems from the technology's maturity, widespread availability, cost-effectiveness, and sufficient power-handling capabilities. IGBTs with blocking voltages ranging from 650V to 1200V are well-suited to manage the variable DC-link voltages of today's battery packs, which typically range from 300V to 450V. The VSI topology can be realized by compact power modules, each comprising multiple dies arranged in parallel to evenly distribute the high current load across the switches, to reach the appropriate power level. In commercial vehicle applications, most IGBT-based traction inverters demonstrate efficiencies below 94%. These systems operate at switching frequencies intentionally kept below 30kHz to limit power losses. Additionally, due to technological limitations inherent in power switches, the dead-time between complementary switches is generally set to at least 1 μ s. This practice is adopted to mitigate output current distortion and voltage error, which become more pronounced at higher rotational speeds, consequently impacting on the control performance [10–13]. A DC-link capacitor, denoted as C_{dc} , is commonly employed in the standard 2L topology to mitigate current and voltage fluctuations generated by Pulse Width Modulated (PWM) power devices. This capacitor serves to smoothen these fluctuations, curbing the adverse effects associated with high-frequency current harmonics. Figure 1 shows an example of a traditional IGBT-based traction inverter.

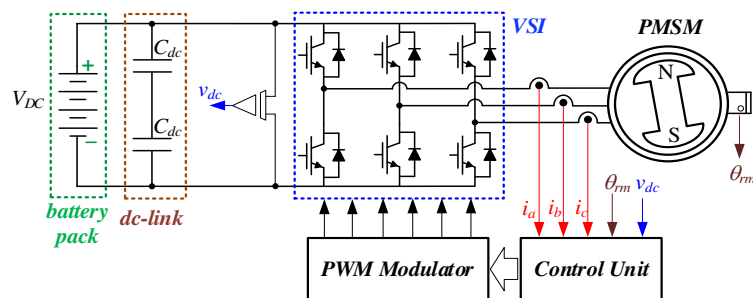


Figure 1. Standard IGBT-based 2L VSI topology used in the automotive industry.

In the last ten years, Wide Band Gap (WBG) semiconductors like SiC and GaN have gained prominence in various power conversion applications, replacing silicon (Si) devices due to their superior physical attributes, as detailed in Table 1 [14]. Leveraging these superior properties allows for the creation of smaller-sized switching devices that possess higher breakdown voltages, lower on-resistance, and increased switching frequency capabilities [15]. The reduced dimensions of these devices facilitate the design of inverters significantly smaller in size, yet with equivalent ratings compared to traditional Si-based inverters. Furthermore, it aids in the integration of motor drives within the inverter design, particularly advantageous for GaN-based inverters, fostering the development of integrated modular motor drives, [16,17]. SiC-based power modules offer advantages like reduced switching and conduction losses, increased power density, and the ability to operate at higher temperatures. Some SiC inverters have demonstrated efficiency gains of up to +2% compared to their Si counterparts. However, the cost implications still constrain their widespread adoption in automotive traction.

Table 1. Material Properties of Si, GaN and SiC.

Parameter	Unit	Si	GaN	SiC
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Band Gap (E_g)	eV	1.12	3.39	3.26
Critical Field (E_{crit})	MV/cm	0.23	3.3	2.2
Electron Mobility (μ_n)	cm ² /(V·s)	1400	1500	950
Permittivity (ϵ_r)		11.8	9	9.7
Thermal Conductivity (λ)	W/(cm·K)	1.5	1.3	3.8

Presently, 2L SiC-based inverters in traction systems operate at frequencies below 30kHz, with dv/dt values comparable to those of silicon IGBTs. This is to mitigate the adverse effects of PWM on the cable-motor system. Noteworthy issues encompass over-voltages at motor terminals, common mode currents, additional losses, and subsequent reduction in motor efficiency.

Although currently not extensively utilized in motor drives, GaN power switches hold promising potential for enhancing electric drives in traction systems, especially when paired with high-speed electrical machines and operating within GaN-based inverter topologies at elevated switching frequencies. In fact, GaN devices exhibit advantages such as reduced switching and conduction losses, heightened power density, and the capability for operation at higher temperatures, surpassing the performance of Si power switches. Furthermore, GaN devices claim fewer parasitic components, making them more suitable, particularly in hard-switched applications. Consequently, this technology presents an opportunity to develop traction electric drives characterized by compactness, high efficiency, robustness, and reduced weight—factors contributing to expanding a vehicle's range. The primary limitation of this technology lies in the rated blocking voltage, typically limited to 650V for most of the available products. Consequently, to harness the full potential of this technology in the upcoming generation of electric traction drives for electric vehicles, the implementation of a multilevel inverter configuration becomes imperative. This setup allows the GaN-based power inverter to effectively operate with DC bus voltages ranging between 800V and 1kV.

Multilevel inverters offer several advantages over the conventional two-level topologies commonly employed in today's vehicles [18]. These benefits encompass improved efficiency, superior thermal characteristics, optimized distribution of switching and conduction power losses, and reduced size of passive components. In low-voltage applications (<1.5kV), multilevel inverters leverage the advantageous traits of lower-voltage semiconductor switches, leading to decreased conduction and switching power losses. This results in the more efficient distribution of overall losses among multiple switches, effectively reducing junction temperatures compared to the conventional two-switch arrangement in each phase of a three-phase two-level power inverter.

Additional advantages associated with multilevel topologies include:

- Reduced dv/dt stress on the electric load;
- Reduced common mode voltages;
- Enhanced freedom to control the output waveform;
- Mitigation of Total Harmonic Distortions;
- Lower stress on the power switches;
- Improved fault tolerance capability.

Hence, GaN-based multilevel inverters emerge as an appealing solution for designing compact and highly efficient traction inverters, circumventing the breakdown voltage limits (650V) inherent in existing GaN technologies. Additionally, due to reduced output voltage steps, the utilization of multilevel converters proves effective in curbing voltage stress and subsequently reducing electrical aging in traction machines.

In literature several methods have been presented to compute the power losses of motor drive fed by inverter, including both the main electric motor (copper, iron and friction) and the power converter losses (conduction and switching) [19–25]. In [19–21] an IGBT-based 2L-VSI power converter is considered, and the related power losses are analytically evaluated, where the conduction ones are determined by computing the load RMS current, duty ratio and power factor. These models can be improved by taking into consideration the manufacturer's curve fitting coefficients [20]; the switching losses are computed starting from the curve fitting of the energy

curves provided in the datasheet in [19,20], whereas in [21] the energy switching losses are analytically evaluated taking into account physical aspects of the IGBT, such as transconductance, breakdown voltage and permittivity of the semiconductor. Furthermore, in [19,20] an analytical dynamic model of the induction motor (IM) drive is considered realized through an equivalent circuit model [19] and state space vector equivalent circuit [20], whereas in [21] per phase steady-state IM equivalent circuit model is used. In [22,23] the electric motor and relative power losses are developed by finite element analysis (FEA), IM and PMSM are both analyzed in [22] and in [23] only IM is considered. Despite similar approach in electric motor modelling, in [22] IGBT power losses are computed as in [19,21], while in [23] a look-up table-based approach is implemented. Differently from [19,21], in [24,25] the IGBT power losses are computed including the junction temperature function; about the electric motor model, [24] uses a similar qd-axis equivalent circuit model of [19], whereas in [25] a inductor load replaces the electric motor.

This paper introduces a systematic procedure for estimating power loss distributions in three distinct three-phase three-level voltage source GaN-based inverters employed within a PMSM-based traction drive. The power loss analysis spans a broad operational range of the drive, incorporating energy-saving control techniques like maximum torque per ampere (MTPA) and flux weakening (FW). The suggested approach combines analytical power loss modeling of the considered 3LIs with a simplified equivalent representation of the electrical machine. This integration offers a viable solution, enabling the creation of a simulation platform that saves time, reduces computational load, and yields accurate results.

The remaining part of the paper is organized as follows. Section II illustrates the traction drive unit under test, the inverter topologies that have been considered in this study and their corresponding modulation strategies. Section III details the static and dynamic characterizations of the GaN HEMT intended for use in the power inverters. Section IV describes the realization of the entire traction drive in PSIM (speed and current controls have been implemented in MATLAB/Simulink environment) including accurate loss modelling of power devices. Section V details the step-by-step procedure utilized to develop the analytical power loss models for the three level inverters (3LIs) examined in this study. Additionally, it necessitates determining an equivalent model of the PMSM across various operating conditions. In Section VI, the power loss distributions of the three examined 3LIs will be carried out by using both the proposed analytical approach and a detailed “inverter + electrical machine”-based circuit simulation modelling. Differences between these methodologies will be discussed. Finally, Section VII will provide concluding remarks.

2. Electric Traction Drive Description

The traction drive under examination in this study comprises a DC battery pack, represented as a constant voltage source V_{DC} , which is linked to one of the considered 3LIs. These inverters are responsible for powering the PMSM, employing a rotor flux field-oriented control strategy, enabling an independent control of torque and flux by appropriately managing the two qd-axes stator current components: i_q and i_d . This is achieved through a closed current loop, as depicted in Figure 2. Field orientation is possible thanks to suitable reference frame transformations abc/qd and qd/abc indicated in the same figure and in the modelling of the electrical machine. An external speed loop has been used to map the overall torque-speed operating range of the traction drive. Concerning the i_d current, its selection aims to minimize motor drive losses by employing established current vector profiles associated with the conditions of MTPA and FW.

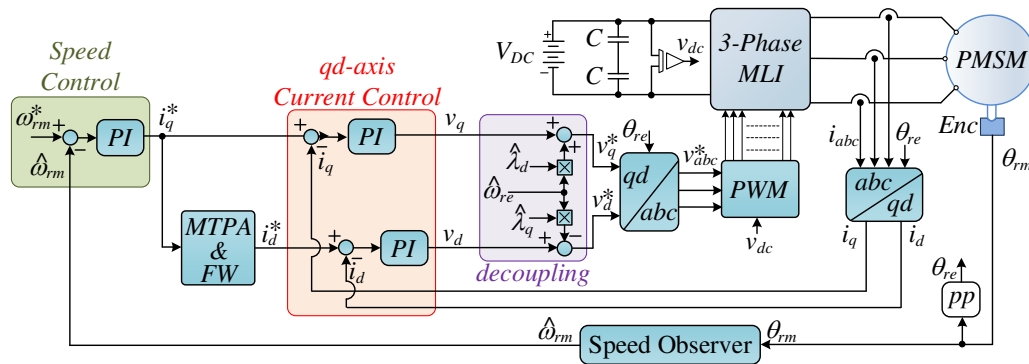


Figure 2. Closed loop implementation of traction drive.

Specifications of the interior permanent magnet synchronous motor (IPM) used for the considered traction drive are listed in Table 2, while motor parameters are given in Table 3, [26].

Table 2. IPM traction drive.

Parameter	Value
Maximum Power	110kW
DC Bus Voltage	800V
Maximum torque @ rated speed	450Nm
Rated Speed (ω_{rmR})	250rad/s
Maximum Speed (ω_{rmax})	350rad/s

To thoroughly validate the proposed analytical modeling, the power conversion and motor control depicted in Figure 2 have been replicated in detail within a circuit simulation environment. This setup includes comprehensive models of power switches and the electrical machine. The PMSM has been mathematically modelled in a qd-axes synchronous reference frame aligned with the rotor flux position θ_{re} [27,28], including iron losses, Figure 3. The iron loss resistance R_c is determined by accounting for hysteresis and eddy current losses, further expressed in relation to the rotational frequency ω_{re} [29].

Table 3. IPM Motor Parameters.

Parameter	Symbol	Value
Stator Resistance	R_s	18.3mΩ
q-axis Inductance	L_q	745μH
d-axis Inductance	L_d	361.4μH
Permanent Magnet Flux	λ_{pm}	0.45Wb
Iron Loss Resistance @ ω_{rmR}	R_c	50Ω

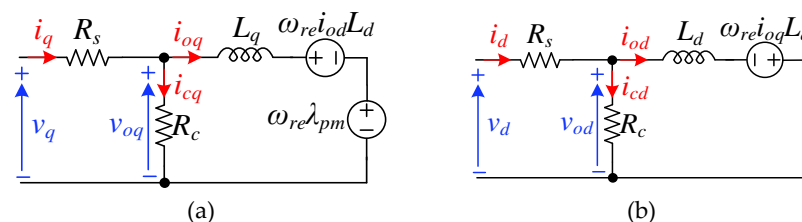


Figure 3. Dynamic Equivalent circuit of the IPM machine: (a) q-axis and (b) d-axis.

The PMSM model is described by equations (1)-(4), encompassing both electrical and mechanical equations that govern the behavior of the electromechanical system, [30]. Specifically, the qd-axes air-gap current components i_{oq} and i_{od} are determined starting from the voltages and currents

relationships (1), where R_s is the stator resistance, R_c is the iron losses equivalent resistance, L_q and L_d are the qd-axes inductances, λ_{pm} is the permanent magnet flux, p denotes time derivative d/dt , v_q and v_d are the qd-axes voltage components, and v_{oq} , v_{od} are the qd-axes air-gap voltage components, defined in (2); while i_{cq} and i_{cd} are the qd-axes iron losses current components, i_q and i_d are the qd-axes input current components, both defined in (3). The electromagnetic torque T_e and the mechanical equation of motion for the PMSM are given by (4). Here, J represents the mechanical inertia, ω_{rm} stands for the mechanical rotor speed, T_L is mechanical torque load and B denotes the viscous friction coefficient. The electrical rotor speed ω_{re} is obtained as $\omega_{re} = pp\omega_{rm}$, where pp are the pole pairs. The rotor position θ_{rm} is determined by integrating the rotor speed ω_{rm} , as illustrated in equation (4).

$$\begin{bmatrix} v_q \\ v_d \end{bmatrix} = R_s \begin{bmatrix} i_{oq} \\ i_{od} \end{bmatrix} + \frac{R_c + R_s}{R_c} \begin{bmatrix} v_{oq} \\ v_{od} \end{bmatrix} \quad \begin{bmatrix} v_{oq} \\ v_{od} \end{bmatrix} = \begin{bmatrix} pL_q & \omega_{re}L_d \\ -\omega_{re}L_q & pL_d \end{bmatrix} \begin{bmatrix} i_{oq} \\ i_{od} \end{bmatrix} + \begin{bmatrix} \omega_{re}\lambda_{pm} \\ 0 \end{bmatrix} \quad (1)$$

$$v_{oq} = \frac{R_c}{R_c + R_s} (v_q - R_s i_{oq}) \quad v_{od} = \frac{R_c}{R_c + R_s} (v_d - R_s i_{od}) \quad (2)$$

$$i_{cq} = \frac{v_{oq}}{R_c} \quad i_{cd} = \frac{v_{od}}{R_c} \quad i_q = i_{cq} + i_{oq} \quad i_d = i_{cd} + i_{od} \quad (3)$$

$$T_e = \frac{3}{2} pp [\lambda_{pm} i_{oq} + (L_d - L_q) i_{od} i_{oq}] \quad T_e = T_L + J \frac{d\omega_{rm}}{dt} + B\omega_{rm} \quad \omega_{rm} = \frac{d\theta_{rm}}{dt} \quad \omega_{re} = pp\omega_{rm} \quad \theta_{re} = pp\theta_{rm} \quad (4)$$

The PMSM is powered by one of three 3LI configurations: Active Neutral Point Clamped (ANPC), Neutral Point Clamped (NPC), and T-type Neutral Point Clamped (TNPC). To supply the electric drive's rated voltage at the rated speed, a DC bus voltage ranging 800V is necessary. The NPC topology consists of 4 switches and 2 diodes per phase [31], Figure 4a. Conversely, the ANPC topology substitutes the 2 diodes with identical switches [32], Figure 4b. As indicated by their names, both topologies clamp the midpoint of the capacitor to the neutral using diodes or switching devices. However, the NPC topology's primary drawback involves the need for a balancing circuit to maintain voltage equilibrium across the capacitors. On the other hand, the ANPC topology does not require this additional circuitry but necessitates extra components to control the switches clamping the neutral [33]. The conventional T-type inverter [34] consists of 2 switching devices in the main leg and 2 in the parallel leg. However, the voltage stress on the devices in the main leg is V_{DC} , while in the parallel leg, it is $V_{DC}/2$. Consequently, for the considered application the topology is modified by including 4 devices in the parallel leg, as depicted in Figure 4.c. This modification in the analyzed TNPC implements the serialization of power switches [35,36], posing challenges related to the simultaneous operation of devices functioning as a single switch. This challenge arises because individual switching devices might have differing turn-on and turn-off characteristics, necessitating an appropriate switching strategy for the efficient operation of both devices.

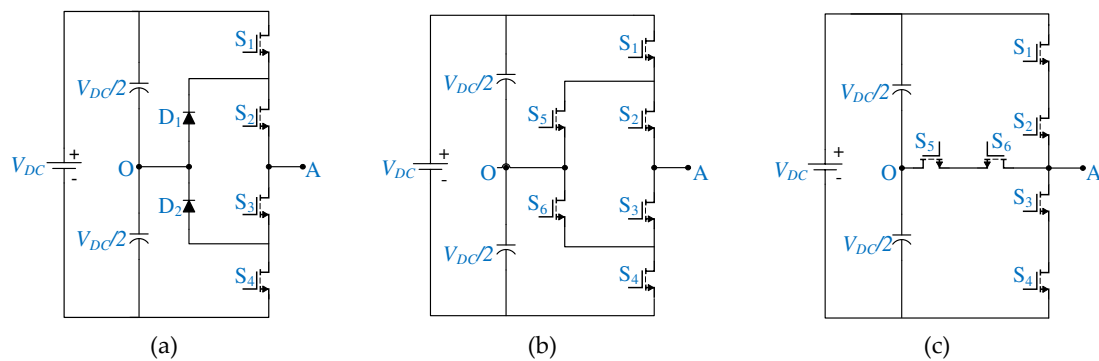


Figure 4. MLI topologies considered (a) NPC (b) ANPC (c) TNPC.

One significant advantage of employing 3LI topologies is the array of modulating strategies available for implementation. In this study, the level shifted PWM modulation technique is utilized across all three topologies [37,38]. Throughout each commutation cycle, the output voltage alternates between $+V_{DC}/2$, 0, and $-V_{DC}/2$. The voltage across the terminals of each GaN power MOSFET in these topologies is $V_{DC}/2$, offering a significant advantage as the selected switching devices can be rated at half of the DC Bus Voltage. The modulation techniques employed for each topology are illustrated in Figure 5.

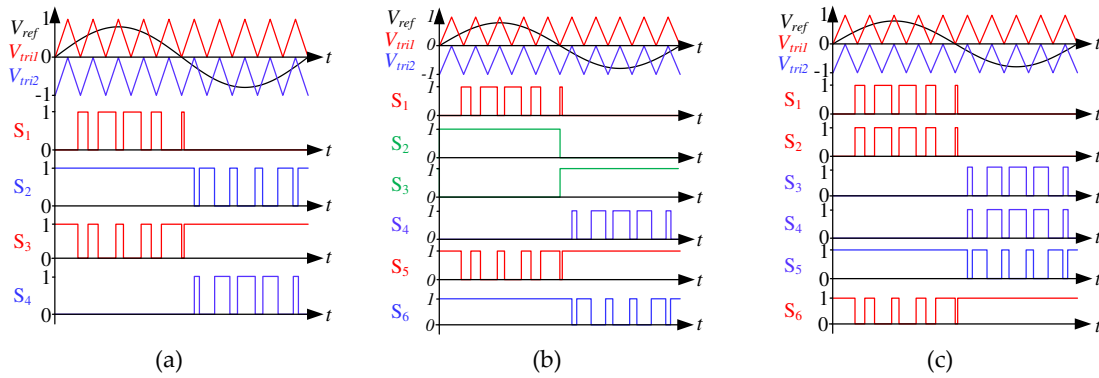


Figure 5. Modulation schemes and corresponding switching pattern for (a)NPC and (b)ANPC (c)TNPC.

The realization of three-phase topologies involves adding two additional legs for the remaining two phases. The modulation strategies encompass two reference voltages phase-shifted by $2\pi/3$ and $4\pi/3$ to synthesize a symmetrical voltage set [33,36].

The modeling described above has been implemented within the PSIM simulation platform, specifically tailored for simulating power conversion systems and control algorithms. However, a major limitation of this approach arises due to substantial differences between the extremely rapid switching transients of GaN power MOSFETs and the dynamic response of the electrical machine. Consequently, if the objective is to assess the power loss distribution of the inverter's power devices, simulating the entire drive will demand a considerable computational load and result in prolonged times to achieve the steady-state condition in the simulated drive.

The aforementioned consideration has prompted the authors to devise an alternative methodology aimed at modeling the entire traction drive. In this approach, the power converters are implemented using ideal switches, while their conduction and switching power losses are determined through appropriate analytical models. Additionally, as the primary objective is to identify the power loss distribution among the GaN devices, the electrical machine is emulated with an equivalent three-phase RL load for each operating condition of the traction drive. By combining these two approaches, there is a substantial reduction in the computational load required for simulation, while maintaining a satisfactory level of accuracy in estimating 3LI power loss distribution. The efficacy of this approach is supported by the results outlined in the subsequent sections, which delve into the analysis of a 110kW traction drive as a case study.

3. Methodology for the Estimation of Power Loss Distribution in GaN-based 3LI

The authors executed the proposed procedure to identify power losses of each power switch in the inverter. They applied this methodology to a prototype with early-stage GaN technology power devices, considering the challenges of identifying parameters for power switches in a developmental stage.

3.1. Characterization of GaN MOSFETs

To accurately assess inverter performance, power devices parameters evaluation is essential. The SGT120R65AES, a prototype manufactured by STMicroelectronics, is the GaN power device being

evaluated in this study. This transistor uses a PowerFLAT 5x6 package, shown in Figure 6, with separate Kelvin source and source terminals to isolate the driving loop from the power loop, improving packaging parasitics. Table 5 presents the primary specifications of the analyzed GaN MOSFET.

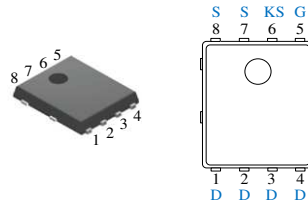


Figure 6. SGT120R65AES GaN MOSFET.

Table 5. Main Specifications of SGT120R65AES.

Parameter	Value
Drain-source blocking voltage ($V_{(BL)DSS}$)	650V
Continuous drain current (I_{DS})	15A @ $T_{case}=25^{\circ}\text{C}$ 12A @ $T_{case}=100^{\circ}\text{C}$
Operating junction temperature (T_j)	-50°C to $+150^{\circ}\text{C}$

The GaN device's performance is evaluated based on switching and conduction losses. Hard-switching transients cause energy loss due to parasitic capacitances charging and discharging currents, while conduction losses depend on $R_{DS(on)}$. Proper evaluation requires static and dynamic characterization tests or can be derived from power MOSFET datasheets. In the case of utilizing datasheets for GaN devices, particularly in the early stages of technology development, the information provided might be rather generic, lacking in detailed characterization. This becomes a critical concern, especially when integrating these GaN devices across multiple dies connected in parallel to form power modules. The absence of comprehensive characterization data can pose significant challenges in the power loss estimation.

3.1.1. Static Characterization

Two curve tracers are used for static power device characterization: the Tektronix 370B for threshold voltage $V_{GS(th)}$ and the Tektronix 371A for static $R_{DS(on)}$ and output characteristics. The 370B provides high-resolution measurements and capability to set very low current value (about 10-100 μA) necessary for $V_{GS(th)}$ tests, while the 371A enables high-voltage and high-current sourcing. To measure $V_{GS(th)}$ using the 370B, the drain and gate terminals are shorted to ensure identical current flow ($I_{DS} = I_{GS}$), as shown in Figure 7a.

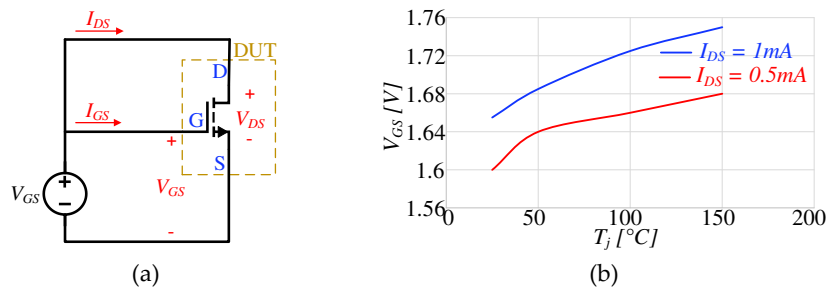


Figure 7. (a) Estimation of $V_{GS(th)}$ using the curve Tracer and (b) $V_{GS(th)}$ at different temperatures.

The GaN transistor conducts when a 2DEG is created between the AlGaIn and GaN layers by the piezoelectric effect [39]. The voltage at which device conduction begins is determined by varying the I_{DS} current at 500 μA and 1mA across temperatures from 25°C to 150°C , as shown in Figure 7b.

Assuming the more stable threshold voltage indication at $I_{DS}=1\text{mA}$, it increases with temperature from 1.65V to 1.75V.

The 371A Curve Tracer was used to establish the output characteristics of the GaN MOSFETs. This involves setting a specified V_{GS} and incrementally increasing V_{DS} to obtain the v - i characteristics for that V_{GS} . The output characteristics are also evaluated while varying the junction temperature (T_j), as shown in Figure 8.

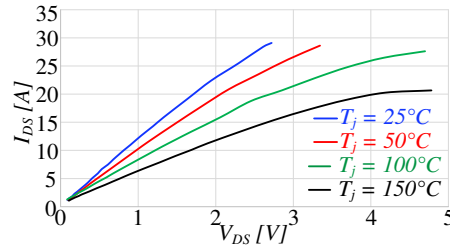


Figure 8. Output v - i characteristics at different junction temperature T_j and with $V_{GS} = 6\text{V}$.

The static assessment of the $R_{DS(on)}$ of the analyzed GaN HEMTs is conducted using the Tektronix 371A Curve Tracer. $R_{DS(on)}$ can be determined from the curve tracer as a ratio of $V_{DS(on)}$ to I_{DS} at specific value of V_{GS} . Figure 9 shows the evaluation of the $R_{DS(on)}$ as function of the junction temperature from 25°C to 150°C, for three different values of I_{DS} at $V_{GS}=6\text{V}$.

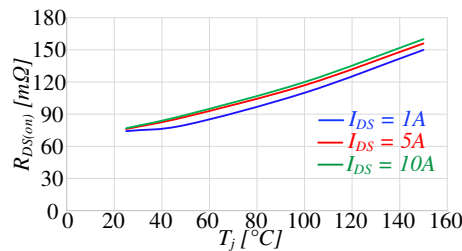


Figure 9. Static $R_{DS(on)}$ at different junction temperatures T_j and I_{DS} .

Threshold voltage, output characteristics and $R_{DS(on)}$, profiles are the main static parameters necessary but not sufficient to implement the proposed model.

3.1.2. Dynamic Characterization

Dynamic characterization aims to assess “dynamic” parameters of DUTs, which in this case are mainly dynamic on-resistance and switching losses (E_{on} and E_{off}) using a specialized board shown in Figure 10 and the Tektronix TDS 5054 Oscilloscope with TPP0500B probes for data acquisition. The board minimizes parasitic inductance paths, while the higher probe bandwidth of 500MHz allows to correctly capture all dynamic signal response without impacting on its slew rate.

Dynamic $R_{DS(on)}$ issues is a well know research topic for GaN device and more information can be found in literature [40,41], while in this section it is provided a more detailed description of its experimental evaluation for its inclusion in the proposed model.

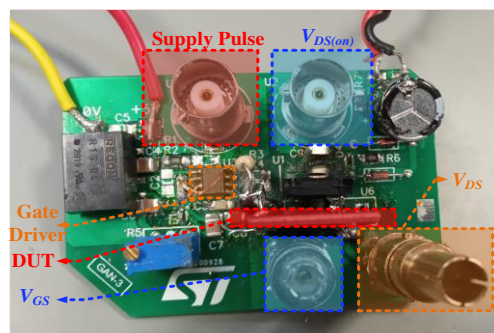


Figure 10. Characterization board used for the dynamic characterization of GaN HEMTs power devices.

The assessment of dynamic $R_{DS(on)}$ relies on the ratio of measured $V_{DS(on)}$ and I_{DS} . However, a standard voltage probe lacks the sensitivity required to measure $V_{DS(on)}$, which falls within the millivolt range during the GaN HEMT's turn-on phase starting the transient from V_{DD} , that for the device under tests has typical value of hundred volt, primarily due to the equivalent input RC network of the probe. Consequently, a voltage clamping circuit has been implemented to clamp the voltage $V_{DS(on)}$ when the DUT is off, enabling the measurement of the actual $V_{DS(on)}$ during the device's turn-on phase [40]. By concurrently measuring the current flowing through the device using a current probe and $V_{DS(on)}$, it becomes feasible to determine the $R_{DS(on)}$. Figure 11 illustrates the electric scheme of the test bench and typical waveforms of a measurement of $V_{DS(on)}$ (blue trace) and I_{DS} (green trace) for the determination of the dynamic $R_{DS(on)}$.

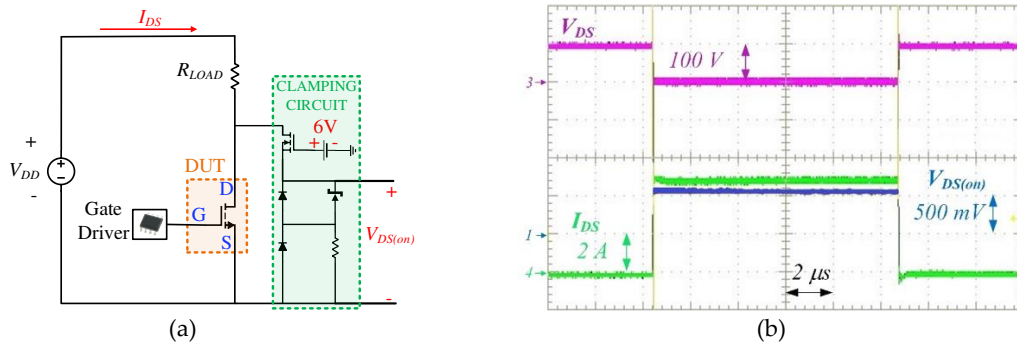


Figure 11. (a) Clamping circuit used to measure the $V_{DS(on)}$ (b) Evaluation of $V_{DS(on)}$ at $V_{DD}=100V$ and $I_{DS}=5A$.

Measurements of dynamic $R_{DS(on)}$ have been conducted considering a switching commutation on resistive load applying a fixed number of pulses, typically 100, as function of V_{DD} from 100V to 400V and case temperature from 25°C and 150°C. Due to the relation between the time and application of the “stressing” voltage, it is necessary to evaluate the dynamic $R_{DS(on)}$ at different time instant, and example of results for 400V, 5A at 25°C are reported in Table 6.

Table 6. Dynamic $R_{DS(on)}$ at $V_{DS} = 400V$ and $I_{DS} = 5A$ at $T_j = 25^\circ C$.

t [μs]	2.5	5	7.5	10
$R_{DS(on)}$ [mΩ]	121.3	117.8	115.5	115.5

From Table 6, it apparent that $R_{DS(on)}$ decreases and stabilizes at a constant value over time. The relationship between $R_{DS(on)}$ variations concerning changes in temperature T_j and V_{DS} is depicted in the results of Figure 12, confirming an increase in $R_{DS(on)}$ corresponding to the blocking time of the bus voltage [41].

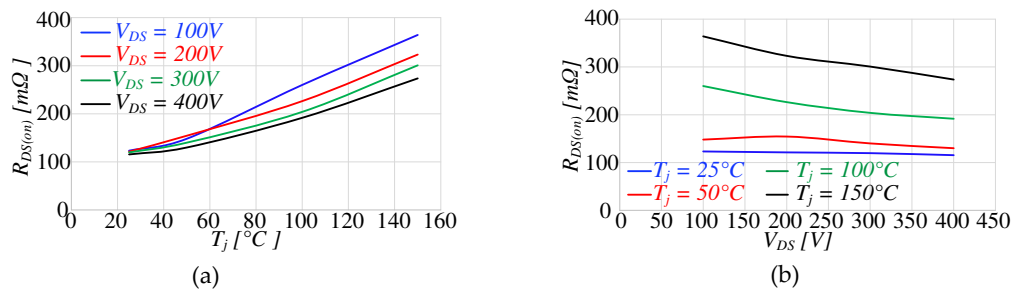


Figure 12. Measurement of $R_{DS(on)}$ at different V_{DS} and T_j .

Another significant source of losses occurring into the power devices is attributed to the switching losses. These can be identified by implementing classical double pulse tests. The circuit and the related switching energy losses estimation are given in Figure 13.

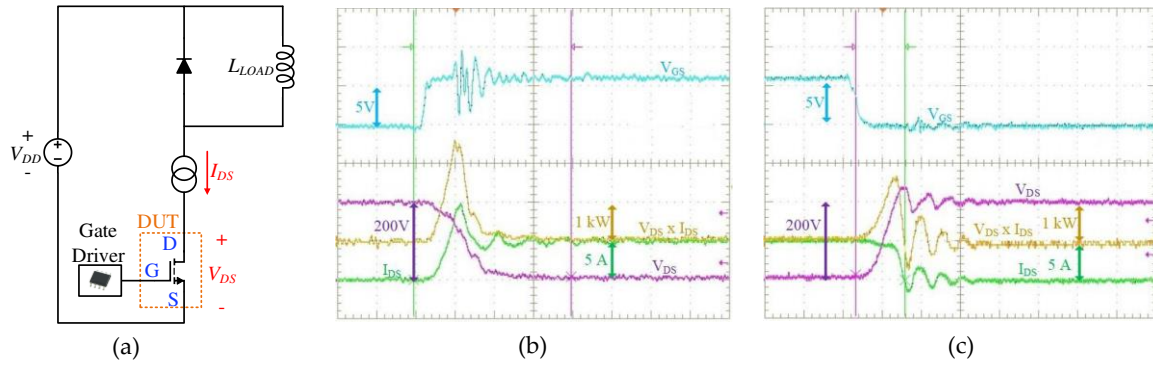


Figure 13. Double pulse tests: (a) electric scheme, (b) E_{on} estimation and (c) E_{off} estimation.

The estimation of E_{on} and E_{off} is conducted at the rated voltage $V_{DD} = 400V$ while varying the current from 5A to 10A. The assessment involves varying parameters: R_g is modified while maintaining a constant case temperature T_{case} , Figure 14, and case temperature is modified while keeping the gate resistance R_g constant, Figure 15.

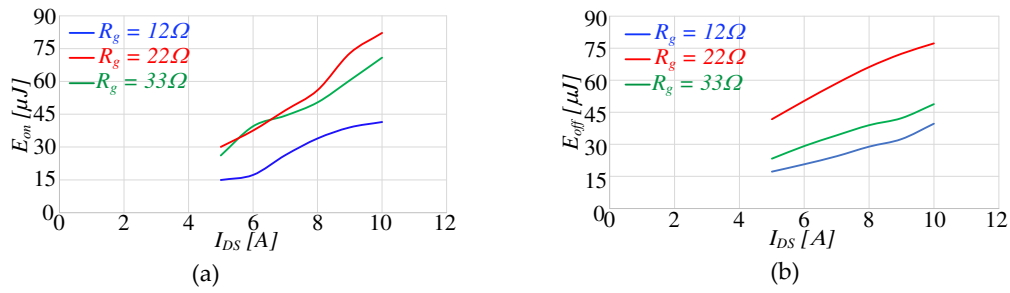


Figure 14. Switching energy losses evaluated at different I_{DS} and R_g .

The switching losses are recorded also with respect to the change in temperature from 25°C to 150°C. An increase in trend of the losses is observed due to the higher rise time of voltage and current at higher junction temperatures.

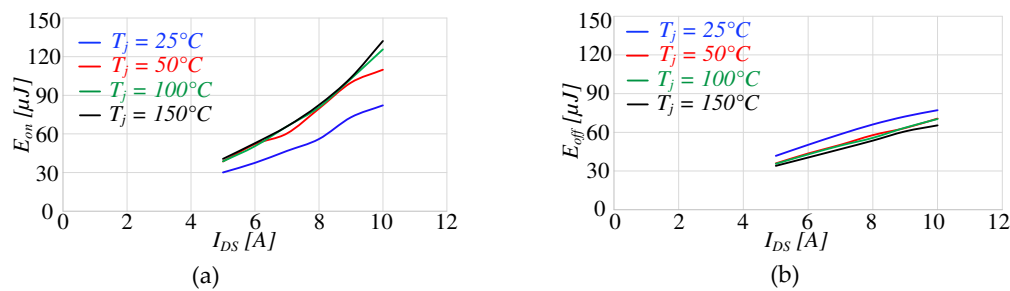


Figure 15. Switching energy losses with respect to change in the junction temperature.

The GaN HEMTs have been modelled in PSIM by using a MOSFET model including all data relative to static and dynamic characterization: the variations of $R_{DS(on)}$ in forward and reverse conduction mode and energy losses E_{on} and E_{off} . Moreover, $R_{DS(on)}$ dependance from junction temperature T_j , is considered by the following relationship:

$$R_{DS(on)} = R_{DS(on)B} (1 + K_T(T_j - T_{jB})) \quad (5)$$

where $R_{DS(on)B}$ is the on-resistance at the base junction temperature T_{jB} (typically 25°C), while K_T is the temperature coefficient.

Drain-source voltage and current flowing through the MOSFET model are obtained from the simulation for each instant. These last values are then used for the losses calculation. However, the value of $R_{DS(on)}$ is updated at each time step of the simulation, according to (5).

4. GaN-based Traction Drive Modelling in PSIM

To verify the effectiveness of the proposed mathematical based power loss analysis of power converters, the traction drive has been entirely realized in PSIM, Figure 16, including accurate loss modelling of power devices, Figure 17, determined according to the above-mentioned measurements and electrical machine. To achieve the proper current capability is supposed to connect 20 dies in parallel. As experienced in the technical literature [42,43], in a power system which contains power electronic devices, the time scale spans nanoseconds of the switching devices to several seconds or even minutes of the electrical machines.

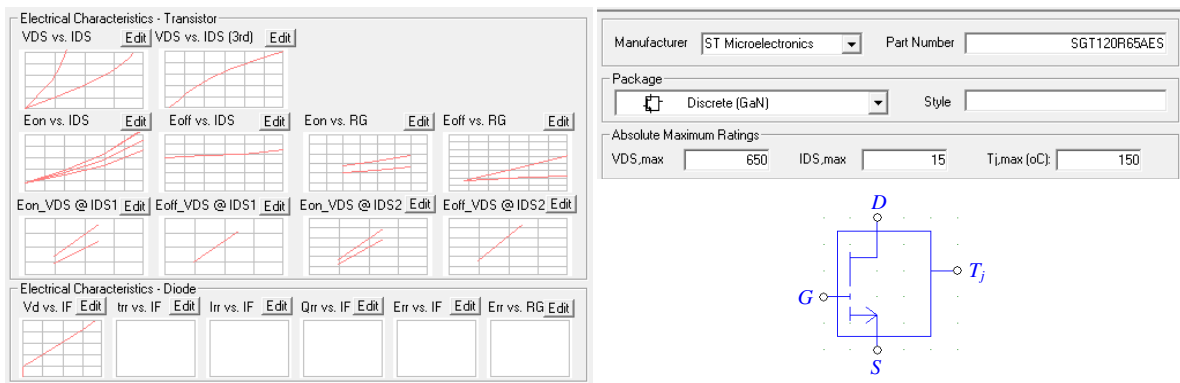


Figure 16. GaN HEMT PSIM MOSFET(E_{on}) model according to SGT120R65AES prototype.

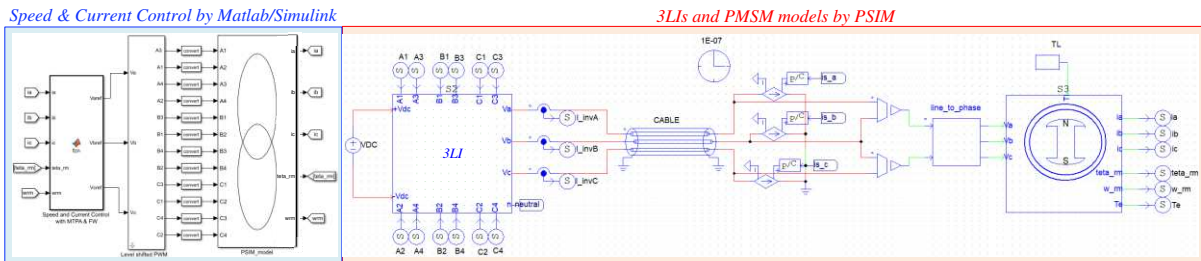


Figure 17. PSIM implementation of IPM motor drive.

5. Analytical Power Loss Modelling of Three-Level Inverters and Electrical Machine

An alternate and effective approach to simulate the performance of the 3LI is the proposed one, which involves utilizing power converters composed of ideal switches and exploiting analytical models to estimate power losses and approximating the electrical machine with an equivalent RL load for various torque-speed operating conditions of the traction drive.

For each GaN power device composing the 3LI the conduction losses P_{cond} are computed according to (6), where the root mean square (RMS) current is given by (6); we are assuming a sinusoidal load current $I(\theta_{re}) = I_p \sin(\theta_{re} - \varphi)$ and the duty cycle $d(\theta_{re})$ of one phase of the PWM voltage waveform is defined as a quantity variable with the modulation index m and power factor $\cos(\varphi)$, [33,44]. The load current is identified by the peak value I_p and phase angle φ , while the angular position θ_{re} is given by $\omega_{re}t$, where ω_{re} is the angular frequency of the fundamental voltage harmonic.

$$P_{cond} = R_{ds(on)} I_{rms}^2 \quad I_{rms} = \sqrt{\frac{1}{2\pi} \int_0^{2\pi} I^2(\theta_{re}) d(\theta_{re}) d\theta_{re}} = \sqrt{\frac{1}{2\pi} \int_0^{2\pi} I_p^2 \sin^2(\theta_{re} - \varphi) d(\theta_{re}) d\theta_{re}} \quad (6)$$

The carrier-based modulation techniques feature a symmetrical behavior: $I_{rms}(S1)=I_{rms}(S4)$, $I_{rms}(S2)=I_{rms}(S3)$, $I_{rms}(S5)=I_{rms}(S6)$, thus the conduction losses computation can be limited to the top half GaN devices of the power converter i.e. S_1 , S_2 and S_5 , whereas in the case of NPC topology D_1 is symmetric to D_2 . The computation of the RMS currents I_{rms} (6) for each device are reported in Table 7. The computation has been carried out by considering the reverse conduction mechanism of GaN HEMT, thus considering the two current parallel paths for each device. The state of the generic j^{th} power switch S_j associated to forward and reverse current conductions is indicated with S_{jF} and S_{jR} , respectively. Same approach can be extended even to other modulation strategies, such as the third harmonic injection PWM techniques.

Table 7. Modulation functions and RMS currents across the devices.

Topology	Device	$d(\theta_{re})$	I_{rms}
NPC	S_{1F}	$m \sin(\theta_{re}) \quad \varphi < \theta_{re} < \pi$	$I_p \sqrt{m (\cos(\varphi)+1)^2/(6\pi)}$
	S_{1R}	$m \sin(\theta_{re}) \quad 0 < \theta_{re} < \varphi$	$I_p \sqrt{m (\cos(\varphi)-1)^2/(6\pi)}$
	S_{2F}	$1 \quad \varphi < \theta_{re} < \pi$ $1 + m \sin(\theta_{re}) \quad \pi < \theta_{re} < \pi + \varphi$	$I_p \sqrt{1/4 - (m \cos(\varphi)-1)^2/(6\pi)}$
	S_{2R}	$m \sin(\theta_{re})$	$I_p \sqrt{m (\cos(\varphi)-1)^2/(6\pi)}$
	D_1	$1 - m \sin(\theta_{re}) \quad \varphi < \theta_{re} < \pi$ $1 + m \sin(\theta_{re}) \quad \pi < \theta_{re} < \pi + \varphi$	$I_p \sqrt{1/4 - 2m (\cos(\varphi)+1)^2/(6\pi)}$
	D_2	$1 - m \sin(\theta_{re}) \quad \varphi < \theta_{re} < \pi$ $1 + m \sin(\theta_{re}) \quad \pi < \theta_{re} < \pi + \varphi$	$I_p \sqrt{1/4 - 2m (\cos(\varphi)+1)^2/(6\pi)}$
ANPC	S_{1F}	$m \sin(\theta_{re}) \quad \varphi < \theta_{re} < \pi$	$I_p \sqrt{m (\cos(\varphi)+1)^2/(6\pi)}$
	S_{1R}	$m \sin(\theta_{re}) \quad 0 < \theta_{re} < \varphi$	$I_p \sqrt{m (\cos(\varphi)-1)^2/(6\pi)}$
	S_{2F}	$1 \quad \varphi < \theta_{re} < \pi$	$I_p \sqrt{1/4 - \varphi/(4\pi) - \sin(2\varphi)/(8\pi)}$
	S_{2R}	$1 \quad 0 < \theta_{re} < \varphi$	$I_p \sqrt{1/4 - \sin(2\varphi)/(8\pi)}$
	S_{5F}	$1 - m \sin(\theta_{re}) \quad 0 < \theta_{re} < \varphi$	$I_p \sqrt{\varphi/(4\pi) - \sin(2\varphi)/(8\pi) - m (\cos(\varphi)-1)^2/(6\pi)}$
	S_{5R}	$1 - m \sin(\theta_{re}) \quad \varphi < \theta_{re} < \pi$	$I_p \sqrt{1/4 - \varphi/(4\pi) - \sin(2\varphi)/(8\pi) - m (\cos(\varphi)-1)^2/(6\pi)}$
TNPC	S_{1F}	$m \sin(\theta_{re}) \quad \varphi < \theta_{re} < \pi$	$I_p \sqrt{m (\cos(\varphi)+1)^2/(6\pi)}$
	S_{1R}	$m \sin(\theta_{re}) \quad 0 < \theta_{re} < \varphi$	$I_p \sqrt{m (\cos(\varphi)-1)^2/(6\pi)}$
	S_{2F}	$m \sin(\theta_{re}) \quad \varphi < \theta_{re} < \pi$	$I_p \sqrt{m (\cos(\varphi)+1)^2/(6\pi)}$
	S_{2R}	$m \sin(\theta_{re}) \quad 0 < \theta_{re} < \varphi$	$I_p \sqrt{m (\cos(\varphi)-1)^2/(6\pi)}$
	S_{5F}	$1 - m \sin(\theta_{re}) \quad \varphi < \theta_{re} < \pi$ $1 + m \sin(\theta_{re}) \quad \pi < \theta_{re} < \pi + \varphi$	$I_p \sqrt{1/4 - 2m (\cos(\varphi)+1)^2/(6\pi)}$
	S_{5R}	$1 - m \sin(\theta_{re}) \quad 0 < \theta_{re} < \varphi$ $1 + m \sin(\theta_{re}) \quad \pi + \varphi < \theta_{re} < 2\pi$	$I_p \sqrt{1/4 - 2m (\cos(\varphi)+1)^2/(6\pi)}$

The switching losses were analytically calculated starting from the measured E_{on} and E_{off} , determined from devices characterization, which can be defined as the function of V_{DD} , I_{DS} and R_g . Under the assumption that V_{DS} and R_g maintain constant values, the switching energies can be

exclusively expressed as functions of I_{DS} , (7). Their behavior can be depicted using a generic curve fitting mathematical tool. For the considered GaNs, the energy loss profiles are given by:

$$E_{on} = 1.0527 \cdot 10^{-6} \left| I_{DS}^{1.6291} \right| \quad E_{off} = 2.542 \cdot 10^{-6} \left| I_{DS}^{1.1738} \right| \quad (7)$$

The expression of the average switching power losses $P_{SW(on)}$ and $P_{SW(off)}$ over a fundamental period $T_{re} = 2\pi/\omega_{re}$ are provided in (8), where n is the ratio between switching f_{SW} and fundamental $f_{re}=1/T_{re}$ frequencies, equivalent to the number of commutations per fundamental period of the current, while n_1 and n_2 define the starting and final intervals in which each power device is PWM commutated. Starting from (7), the switching losses for each GaN device composing the 3LI can be expressed as a function of the output load current characteristics, in terms of peak value I_p and phase angle φ . Their expression for the considered GaNs are:

$$P_{SW(on)} = \frac{1}{n} \sum_{k=n_1}^{n_2} 1.0527 \cdot 10^{-6} f_{SW} \left| \left[I_p \sin\left(\frac{k\omega_{re}}{f_{SW}} - \varphi\right) \right]^{1.6291} \right|$$

$$P_{SW(off)} = \frac{1}{n} \sum_{k=n_1}^{n_2} 2.542 \cdot 10^{-6} f_{SW} \left| \left[I_p \sin\left(\frac{k\omega_{re}}{f_{SW}} - \varphi\right) \right]^{1.17381} \right| \quad (8)$$

Table 8 provides the values of n_1 and n_2 associated to each device used in the considered topologies.

Table 8. Interval points for different topologies.

Topology	Device	n_1	n_2
NPC	S ₁	0	$n/2$
	S ₂	$n/2$	$n(\pi+\varphi)/(2\pi)$
ANPC	S ₁	0	$n/2$
	S ₂	-	-
	S ₅	0	$n/2$
TNPC	S ₁	0	$n/2$
	S ₂	0	$n/2$
	S ₅	$n/2$	N

Also, in the calculation of these switching losses, the symmetry is considered for the switches as the same case as for computing the RMS currents. According to the modulation strategy used in the ANPC, the switching loss power related to S₂ and S₃ is negligible compared to the other switches composing the 3LI, because of their lower number of commutations in the fundamental period.

To streamline the modeling process, an adaptive RL network is used to represent the electrical equivalent circuit of the AC motor. The network's values are chosen to be representative of the stator current for each operating torque-speed of the PMSM. The determination of both R and L parameter surfaces can be accomplished by conducting a series of simulations. These simulations involve supplying the controlled PMSM with sinusoidal voltages across the entire torque-speed map. This process is notably swift since it neglects the dynamics of the power converters, resulting in a significantly reduced execution time. The surfaces of the RL network for the considered PMSM are displayed in Figures 18 and 19.

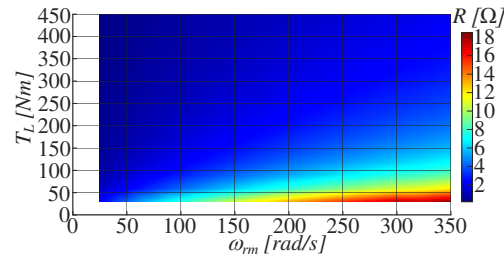


Figure 18. Relationship between R and T_e , ω_m .

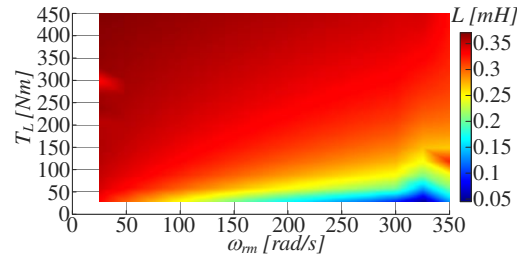
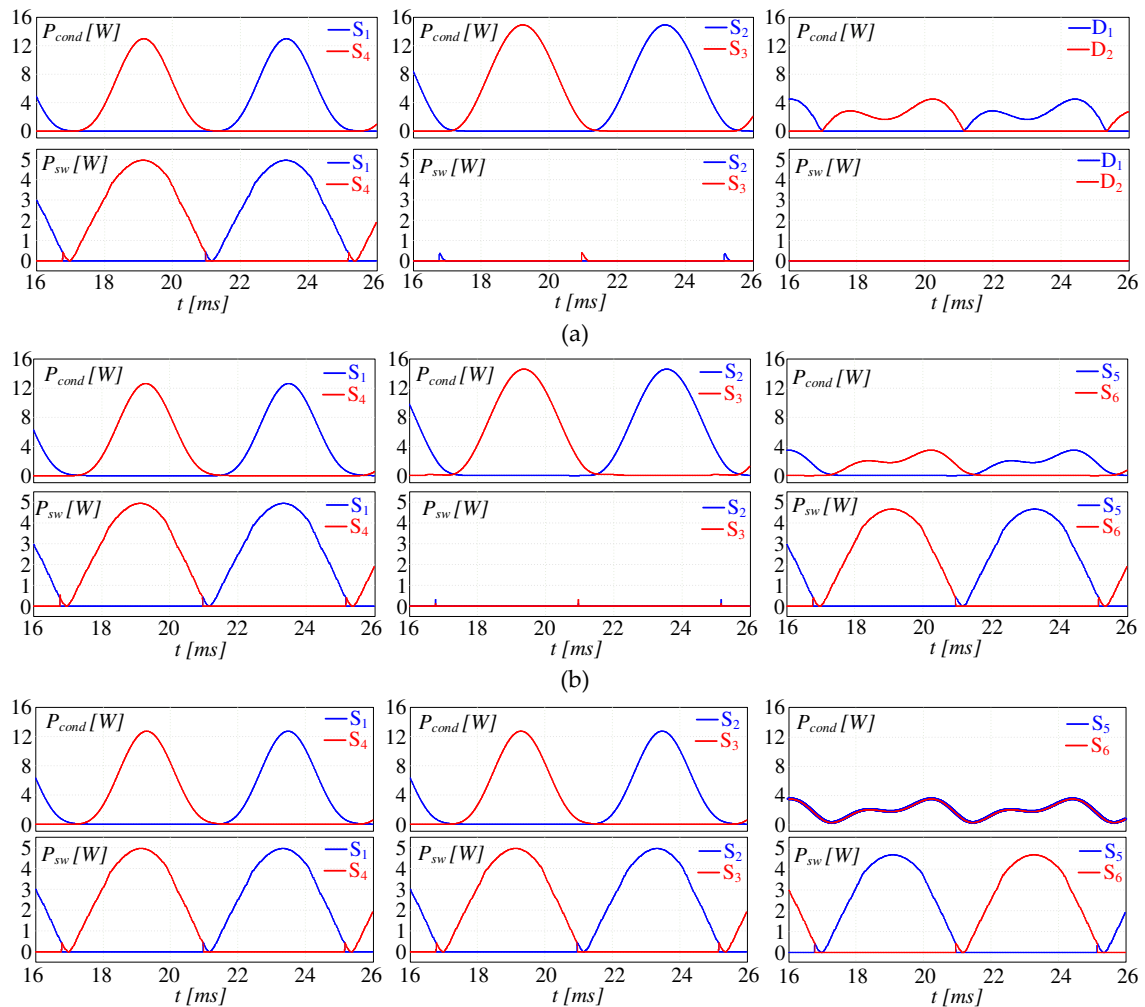


Figure 19. Relationship between L and T_e , ω_m .

6. Analysis of Power Losses in the Case Study

The 110kW traction drive considered in this study has been simulated by using the two-modelling presented in the above sections. The power loss profiles for each GaN device and for the three considered 3LI topologies have been calculated using the proposed analytical approach and are illustrated in Figure 20.



(c)

Figure 20. Profile of power losses distribution across the switches composing the three 3LI legs, averaged in each switching period: (a) NPC, (b) ANPC and (c) TNPC, with the drive operated at $T_L=450\text{Nm}$ and $\omega_{rm}=250\text{rad/s}$.

The averaged conduction and switching power loss over a fundamental period are summarized in the Figures 21 and 22, for three motor drive operating points.

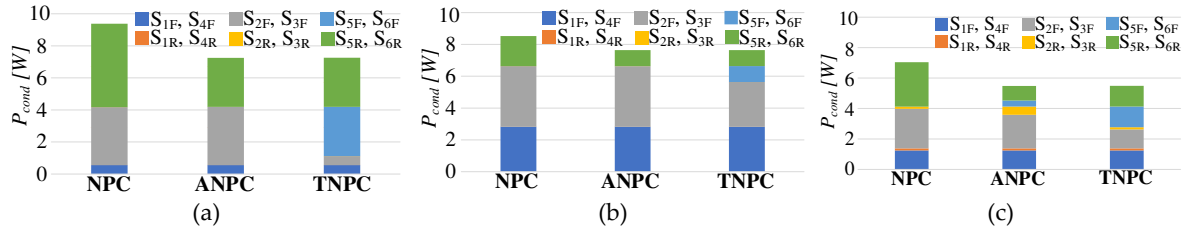


Figure 21. Distribution of conduction losses in the considered 3LI topologies, at the following operating conditions: (a) $T_L=450\text{Nm}$ and $\omega_{rm}=50\text{rad/s}$, (b) $T_L=450\text{Nm}$ and $\omega_{rm}=250\text{rad/s}$ and (c) $T_L=150\text{Nm}$ and $\omega_{rm}=350\text{rad/s}$.

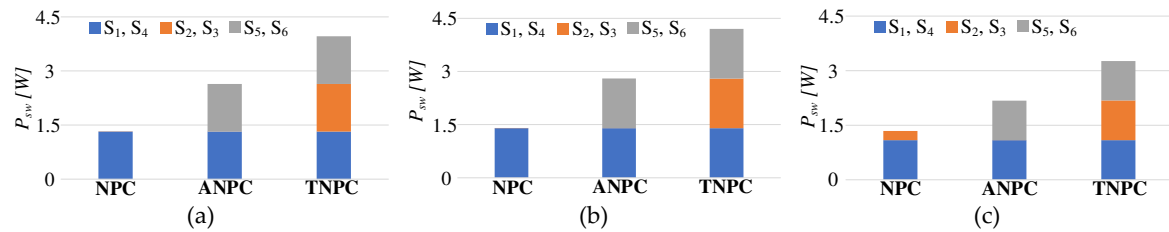


Figure 22. Distribution of switching losses in the considered 3LI topologies, at the following operating conditions: (a) $T_L=450\text{Nm}$ and $\omega_{rm}=50\text{rad/s}$, (b) $T_L=450\text{Nm}$ and $\omega_{rm}=250\text{rad/s}$ and (c) $T_L=150\text{Nm}$ and $\omega_{rm}=350\text{rad/s}$.

Based on the power loss analysis, it is possible to generate efficiency maps for the three considered inverters, the results of which are depicted in Figure 23.

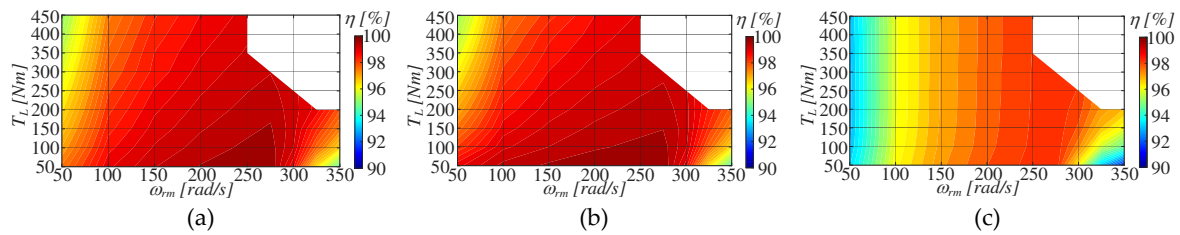


Figure 23. Efficiency maps of the considered 3LIs: (a) NPC, (b) ANPC and (c) TNPC.

According to the above results, the 3LI topologies utilizing GaN technology exhibit high performance owing to their notably low switching losses. However, the efficiency declines at low speeds and high torque levels due to conduction losses. Furthermore, efficiency above the rated speed diminishes due to elevated d-axis current in the flux weakening region. Comparing the ANPC and NPC topologies, their efficiencies are nearly equivalent, with the ANPC offering slightly higher efficiency in the low-speed region. On the contrary, the TNPC demonstrates significantly lower efficiency compared to the other two topologies. This discrepancy is attributed to the series connection of GaN devices in the main leg, leading to increased overall conduction losses.

The power loss evaluation of the 3LIs using the combined mathematical modelling of converter power loss and the RL loads to simulate the electric traction drive offers a time-saving and efficient approach. However, in real-world scenarios, the impact of high-frequency components also needs consideration. Therefore, a comparison of the overall drive, incorporating the inverter along with the

effects of high-frequency components, against the proposed approach have been conducted. This discrepancy is visually presented in Figure 24.

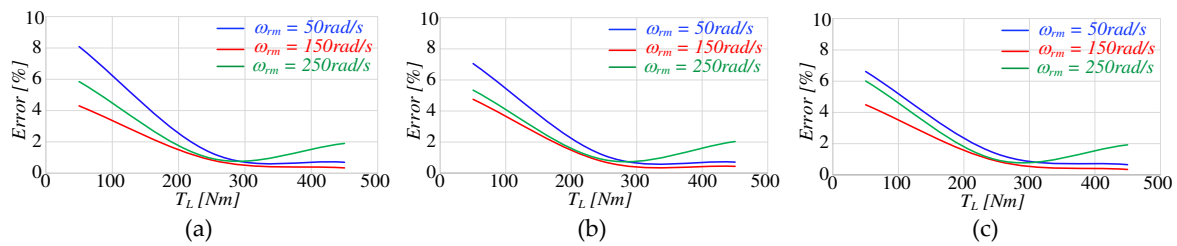


Figure 24. Error percentage between model of traction drive and equivalent load modelled inverter.

The higher error percentage is noticeable primarily at low load torque, specifically at low currents, attributed to the presence of high-frequency components. However, it's noteworthy that the error remains consistently below 2% at higher currents.

A comparison between the computational burdens associated with simulating the entire traction drive—encompassing both inverter and electrical machine dynamics—and the one achieved by the proposed approach has been conducted for various operating conditions. In both cases the simulation stop time has been set at 1s, while the execution time has been set at 0.1ns. In particular, both simulations have been conducted with a reference speed at ω_{rmR} and varying the torque load with a step at 0.5s from no-load to rated torque load conditions. The results are summarized in Table 9, illustrating a notable and substantial reduction in simulation time with the proposed solution, about 68%, independently of the 3LI topology considered.

Table 9. Simulation times of the proposed approach and traction drive model.

Topology	Traction Drive Model	Proposed Analytical Approach + RL circuit	Improvement
NPC, ANPC, TNPC	≈16min	≈5min	≈68%

6. Conclusions

This work has introduced a systematic methodology to model and analyze power losses distribution for three different three-level GaN inverters devoted to traction drives. The proposed analytical power loss modeling, integrated with an equivalent representation of the electrical machine, proved to offer a viable solution capable to significantly reduce simulation times and computational load while delivering accurate results for a wide traction drive operating conditions. The methodology holds potential for extension to encompass various other topologies and modulation strategies, representing a promising area for future activities.

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