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Posted Date: 4 December 2023

doi: 10.20944/preprints202312.0111.v1

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## Article

# New Polymorph of $\beta$ -Cyclodextrin with a Higher Bioavailability

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**Abstract:** A new polymorph of anhydrous  $\beta$ -cyclodextrin (polymorph III) was obtained and characterized for the first time using powder X-ray diffraction, infrared spectroscopy and thermal analysis. Solution enthalpy and time of dissolution in water were determined by solution calorimetry for this polymorph and compared with those of dried commercial form of  $\beta$ -cyclodextrin (polymorph I), its amorphous form and 2-hydroxypropyl- $\beta$ -cyclodextrin. Specific heat capacity of polymorphs I and III was determined by differential scanning calorimetry in the wide range of temperatures, which gives enthalpy and Gibbs energy of polymorphic transition at 298 K. Affinity of polymorph III and 2-hydroxypropyl- $\beta$ -cyclodextrin for water was characterized by determination of their hydration isotherms, which gives the values of hydration Gibbs energy. Being energy-rich, the found new polymorph of  $\beta$ -cyclodextrin has a significantly higher dissolution rate and an increased affinity for water compared with the dried commercial form of  $\beta$ -cyclodextrin. Such properties make the new polymorph promising in industrial applications for guest inclusion in aqueous solutions and pastes and may be a desirable alternative for water-soluble  $\beta$ -cyclodextrin derivatives.

**Keywords:** beta-cyclodextrin; polymorphism; bioavailability; polymorphic transition; dissolution rate

## 1. Introduction

The solubility of natural cyclodextrins is a key issue when they used to reduce the negative effects of substances dissolved in water. Natural cyclodextrins are widely applied in pharmaceuticals, food industry, textiles and cosmetics [1–4] because of their ability to encapsulate various guests into molecular cavity. The most widely used cyclodextrin is  $\beta$ -cyclodextrin ( $\beta$ CD), which accounts for about half of the pharmaceutical applications.[5] The important property of cyclodextrins is their ability to solubilize poorly soluble drugs,[6,7] which requires a cyclodextrin form with a high dissolution rate. This is a problem for  $\beta$ CD that has a relatively poor solubility and slow rate of dissolution in water.[8] The increased dissolution kinetics can be achieved using the metastable polymorphic form of the substance.[9,10] So, a search of high-energy polymorphic forms of  $\beta$ CD may be of practical importance.[11]

Polymorphism of guest-free  $\beta$ CD is significantly less studied than crystalline patterns of its inclusion compounds which show a wide variety of packing types.[12–14] Relatively stable  $\beta$ CD form of low energy and solubility was prepared from its aqueous solution heated by laser beam.[15] Channel-type (columnar) polymorph (named in this work as Polymorph II) of anhydrous  $\beta$ CD was formed by precipitation from the acetone-water solution [16] and by treatment of polymer inclusion complexes with organic solvents.[17] Some data on  $\beta$ CD without guest and water can be found in CCDC database (e.g. No. 762697) but it presents host packing in an inclusion compound where disordered molecules of guest and solvent were removed with software methods. [18] Generally, anhydrous  $\beta$ CD cannot be studied using single-crystal X-ray diffraction (SCXRD) because its crystals tend to crack during the drying. [19, 20]

The phase transition in anhydrous  $\beta$ CD has been observed previously in DSC experiment at 483–513 K [21] but the nature of this transition has not been investigated. In the present work, the product of dry  $\beta$ CD heating to 508 K was first characterized as a new polymorph in the present work by its thermal stability, heat capacity, thermokinetics of corresponding polymorphic transition,

powder XRD pattern, hydration isotherm, the rate and enthalpy of dissolution in water. Besides, the dissolution properties of 2-hydroxypropyl-beta-cyclodextrin (HP $\beta$ CD), which is widely used for solubilization and guest inclusion in solutions,[6,22,23] and amorphous  $\beta$ CD were studied for comparison to reveal the advantages of the new  $\beta$ CD polymorph.

## 2. Materials and Methods

### 2.1. Materials

$\beta$ -Cyclodextrin ( $\beta$ CD), Sigma Aldrich, Cat. No. C4767, and (2-hydroxypropyl)- $\beta$ -cyclodextrin (HP $\beta$ CD,  $M_w$  ~1540, substitution degree of 1.0), Sigma Aldrich, Cat. No. 389145, were dried before experiments for 8 h in vacuum of 100 Pa at 393 K. For  $\beta$ CD, this gives the initial anhydrous form (polymorph I). In dried CDs, the hydration level was less than 1% wt. according to thermogravimetry data. To prepare the high-temperature polymorph (polymorph III), the initial form (polymorph I) was heated to 508 K in a thermal analyzer (see below) in an argon flow of 75 mL min<sup>-1</sup> at a rate of 10 K min<sup>-1</sup> and then cooled immediately to 303 K at the same rate. For PXRD measurement with the indexing of obtained pattern, a larger amount of polymorph III was prepared by heating polymorph I at 505 K for 5 min in ventilated oven and then cooling in air to room temperature in the presence of desiccant ().

The amorphous  $\beta$ CD was prepared by ball milling of the initial dried  $\beta$ CD using vibration ball mill Narva DDR GM 9458 (30 W, 50 Hz) and drying for 8 h in vacuum of 100 Pa at 393 K.

To determine hydration isotherms by CDs and X-ray powder diffractograms of  $\beta$ CD hydrates, the samples of anhydrous CDs were equilibrated for 120 h at 298 K with the vapors of saturated aqueous solutions of different inorganic compounds having a known water activity (humidity) or with saturated water vapor, as described previously.[24] Change of mass due to hydration of CD samples was determined gravimetrically. For recrystallization in water vapor, anhydrous  $\beta$ CD sample was equilibrated with the saturated vapor of distilled water for 24 h. To recrystallize from aqueous solution, 30 mg of dry  $\beta$ CD polymorph was dissolved in 2 mL of distilled water at room temperature. The solutions in both cases were kept in air at room temperature till the powders of  $\beta$ CD hydrate were formed.

### 2.2. Thermal Analysis and Thermal Kinetics

For thermal analysis, a combined method of thermogravimetry and differential scanning calorimetry (TG/DSC) was applied using thermal analyzer Netzsch STA 449 C Jupiter. Samples were heated at a rate of 10 K min<sup>-1</sup> in an argon flow of 75 mL min<sup>-1</sup>. In full cycle TG/DSC experiment the sample was also cooled at 10 K min<sup>-1</sup> in the same argon flow. To obtain the kinetic parameters, heating rates of 4, 10, and 30 K min<sup>-1</sup> were used under the same conditions. From the data obtained in this experiment, the kinetic parameters of polymorphic transition were calculated using the Netzsch Thermokinetics 3.1 software.

The heat capacity of  $\beta$ CD polymorphs was measured using Netzsch DSC204 F1 Phoenix calorimeter with a sapphire disk as a standard, as described elsewhere.[25]

### 2.3. Powder X-Ray Diffraction (PXRD)

Powder diffractograms were obtained using Rigaku MiniFlex 600 diffractometer with a D/teX Ultra detector. In this experiment, Cu K $\alpha$  radiation (30 kV, 10 mA) was used, K $\beta$  radiation was attenuated with Ni filter. The experiments were made without sample rotation at room temperature in the reflection mode, at rate of 10 ° min<sup>-1</sup> for anhydrous CD samples and at 5 ° min<sup>-1</sup> for hydrates. The diffractograms were determined also with an additive of standard silicon powder SRM 640d, and corresponding corrections were applied to the patterns obtained. The samples of anhydrous  $\beta$ CD were studied in a standard glass holder immediately after being taken out to air with humidity of 20-40%.

For indexing of polymorph III pattern, the PXRD experiment was held also at the increased X-ray tube power (30 kV, 20 mA) with scanning rate of 0.5 ° min<sup>-1</sup> and sample rotation (80 rpm). To

avoid hydration of  $\beta$ CD sample in a course of this experiment, the desiccant (freshly dried molecular sieves) was placed in the diffractometer camera. The indexing of pattern was performed using EXPO2014 software with further Rietveld refinement. [26,27]

#### 2.4. Solution Calorimetry

The dissolution enthalpies and kinetics were measured at  $298.15 \pm 0.01$  K using the semiadiabatic calorimeter described earlier.[28] In this experiment, sample of 30 mg was dissolved in 100 mL of distilled water. For each CD form, the dissolution experiment was carried out three times, and average parameters of calorimetric curves were calculated.

#### 2.5. Infrared Spectroscopy

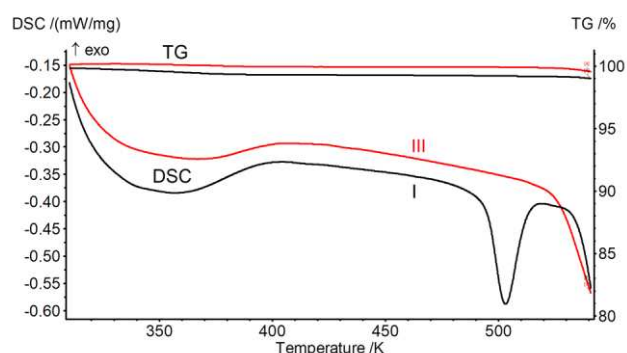
Infrared (IR) spectra were collected using Bruker Vertex 70 Fourier-transform infrared spectrometer, which was purged by dry air before the experiment to remove the atmospheric humidity. The interferograms were recorded with 64 scans and a resolution of  $2 \text{ cm}^{-1}$ . Spectra of solid samples were recorded using attenuated total reflection MIRacle accessory with germanium crystal (PIKE Tech.).

### 3. Results

#### 3.1. Preparation of Polymorph III

The high-temperature polymorph (polymorph III) was prepared by heating the initial anhydrous  $\beta$ CD (polymorph I) to 508 K in argon flow in TG/DSC experiment. Corresponding TG/DSC curves are given in Figure 1. During the first heating of polymorph I, an endothermic effect is observed with onset point at 495 K (Figure 1). The enthalpy of such effect is  $\Delta H_{tr} = 16.5 \text{ kJ mol}^{-1}$ . This effect is absent in the second heating run for the product of polymorph I heating to 508 K and cooling (Figure 1) and no peaks of phase transition can be seen in the corresponding DSC cooling curve in a separate TG/DSC experiment (Figures A7, A8), what indicates irreversibility of the observed transition.

According to TG data,  $\beta$ CD has no mass loss in the range of 473–513 K (Figure 1). Thus, the endothermic process at these temperatures corresponds to a polymorphic transition leading to the formation of the new polymorph III. For this high-temperature polymorph III, the onset point of thermal destruction is 526 K, which is by 8 K lower than that of the initial form. The lower thermal stability of polymorph III can be explained by its higher energy than that of the initial  $\beta$ CD form.



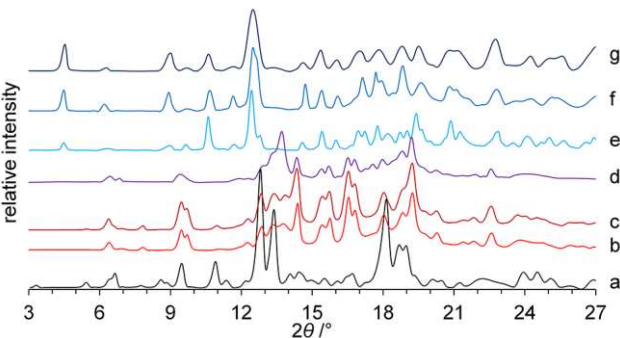
**Figure 1.** Curves of TG/DSC analysis of polymorphs I and III with the heating rate of  $10 \text{ K min}^{-1}$ .

To differentiate the endothermic polymorphic transition at 495 K from the thermal degradation of  $\beta$ CD, the dependence of the observed transition on heating rate was determined in DSC experiment and corresponding activation energy  $E_a$  was calculated using model-free and optimal model methods.[29,30] The experimental data and description of calculation details are given in Appendix A. For this transition, model-free Ozawa-Flynn-Wall and Friedman methods give the

activation energy  $E_a$  of 430 and 350 kJ mol<sup>-1</sup>, respectively, in the conversion range of 0.1–0.7. The optimal thermokinetic model is the Prout-Tompkins equation with  $E_a$  = 430 kJ mol<sup>-1</sup> and process order of  $n$  = 1.92. These  $E_a$  values are in 3-4 times higher than the activation energy  $E_a$  = 105 kJ mol<sup>-1</sup> of the  $\beta$ CD thermal degradation reaction,[31] which confirms a different nature of the observed endothermic effect.

The structural changes upon polymorphic transition were studied using the powder X-ray diffraction method. For this, diffraction patterns were determined for polymorph I, polymorph III and products of its saturation with water vapor having relative humidity of 33% (water activity  $a_w$  = 0.33), its recrystallization in water vapors at  $a_w$  = 1.0 (both at 298 K) and from aqueous solution (Figure 2). Polymorph III for this experiment was prepared by two methods: by heating polymorph I to 508 K and cooling in argon flow and, in a larger scale, by heating to 505 K and cooling in air. Both methods give the samples with nearly the same PXRD pattern (Figure 2b,c, A9).

The diffractograms obtained indicate an essentially different packing of high-temperature polymorph III (Figure 2b,c) than that of the initial polymorph I (Figure 2a). Both these patterns do not coincide with diffractogram of channel-type polymorph II [16] by their characteristic peaks, Table 1. The indexing of high-temperature polymorph III diffractogram (Figure A5) for the sample prepared by heating polymorph I to 505 K and cooling in air gives a triclinic cell with parameters of  $a$  = 17.09 Å,  $b$  = 15.12 Å,  $c$  = 11.76 Å,  $\alpha$  = 109.5°,  $\beta$  = 95.8°,  $\gamma$  = 70.6°, Table 1, with  $R_{wp}$  = 5.466. The cell volume of this high-temperature polymorph is  $V$  = 2704 Å<sup>3</sup>, which is more compact than the initial dried  $\beta$ CD form having  $V$  = 2894 Å<sup>3</sup> [24]. For comparison, polymorph II has a hexagonal cell [17] with volume  $V$  = 3069 Å<sup>3</sup>, Table 1.



**Figure 2.** PXRD patterns at room temperatures of (a) polymorph I; (b) polymorph III prepared by heating polymorph I to 508 K and cooling in argon flow; (c) polymorph III prepared by heating polymorph I to 505 K and cooling in air; the products of polymorph III (d) hydration at water activity  $a_w$  = 0.33, (e) recrystallization in water vapors at  $a_w$  = 1.0 and (f) recrystallization from aqueous solution at 298 K; (g) diffractogram of the saturated hydrate  $\beta$ CD·11.2H<sub>2</sub>O.

**Table 1.** Structural parameters and properties of anhydrous  $\beta$ CD polymorphs.

Polymorph	I	II	III
Preparation method	Drying of $\beta$ CD hydrate (Form I)	Precipitation from the acetone-water solution [16]; treatment of polymer inclusion complexes with organic solvents [17]	Heating of polymorph I to 505-508 K
Characteristic PXRD peaks ( $2\theta$ ), °	5.5, 6.5, 9.6, 11.0, 12.9, 13.5, 14.1, 16.9, 18.2, 22.3 [32]	6.7, 11.8, 17.8 [16]	9.5, 13.4, 14.4, 15.7, 16.8, 19.2, 22.6
Crystal system	monoclinic	hexagonal	triclinic
Cell parameters	$a$ = 13.703 Å	$a$ = 15.34 Å	$a$ = 17.09 Å



	$b = 16.065 \text{ \AA}$ $c = 13.163 \text{ \AA}$ $\beta = 92.69^\circ$ [24]	$c = 15.06 \text{ \AA}$ [17]	$b = 15.12 \text{ \AA}$ $c = 11.76 \text{ \AA}$ , $\alpha = 109.5^\circ$ $\beta = 95.8^\circ$ $\gamma = 70.6^\circ$
Cell volume, $\text{\AA}^3$	2894	3069	2704
Onset point of thermal degradation, K	534	478-483 [17]	526

Hydration of polymorph III at water activity  $a_w = 0.33$  and 298 K gives minor changes in its diffraction pattern (Figure 2d) without change of its main packing type. In saturated water vapors at  $a_w = 1.0$ , this polymorph forms a mixture of aqueous  $\beta$ CD solution and its solid hydrate, unlike the initial polymorph I, which remains in a solid state under these conditions.[32,33] The products of such recrystallization in water vapors (Figure 2e) and recrystallization of the same high-temperature polymorph III from aqueous solution (Figure 2f) without drying have practically the same packing as the saturated hydrate  $\beta$ CD $\cdot$ 11.2H $_2$ O prepared by saturation of the polymorph I at  $a_w = 1.0$  (Figure 2g). So, no chemical reactions occur in anhydrous  $\beta$ CD upon heating to 508 K, which is 5 K above the peak point of polymorphic I $\rightarrow$ III transition. The absence of chemical changes is also confirmed by the identity of the IR spectra of both polymorphs (Appendix A).

### 3.2. Dissolution rate

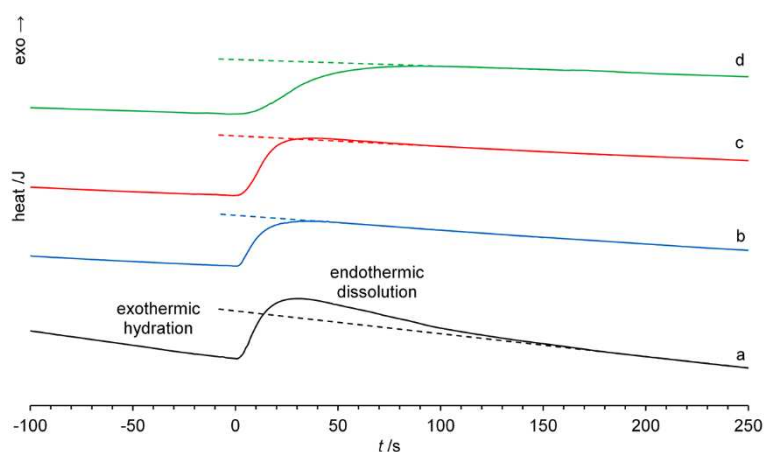
Being the high-temperature form produced by endothermic transition, polymorph III is expected to have a higher dissolution rate. To study the dissolution rate in water for this polymorph and the other studied anhydrous forms of  $\beta$ CD and dried HP $\beta$ CD for comparison, the method of solution calorimetry was chosen, which can estimate the time of fast dissolution processes.[34]

Calorimetric curves were determined, Figure 3, for dissolution of polymorphs I and III, and amorphous forms of dried ball-milled  $\beta$ CD and dried HP $\beta$ CD. The corresponding values of dissolution times  $t_{\text{soln}}$  and enthalpies  $\Delta H_{\text{soln}}$  are given in Table 2.

**Table 2.** Dissolution properties of anhydrous forms of  $\beta$ CD and HP $\beta$ CD at 298 K.

	Dissolution time $t_{\text{soln}} / \text{s}$	Solution enthalpy $\Delta H_{\text{soln}} / \text{kJ mol}^{-1}$
Polymorph I	190 $\pm$ 15	-86 $\pm$ 1
Polymorph III	43 $\pm$ 4	-94 $\pm$ 1
amorphous $\beta$ CD <sup>a</sup>	43 $\pm$ 4 (90 $\pm$ 10) <sup>b</sup>	-111 $\pm$ 1
HP $\beta$ CD <sup>a</sup>	100 $\pm$ 10	-144 $\pm$ 1

<sup>a</sup> PXRD patterns are given in Appendix A; <sup>b</sup> the total dissolution time with prolonged endothermic effect having negligibly small enthalpy value.



**Figure 3.** Calorimetric dissolution curves at 298 K of (a) polymorph I, (b) polymorph III, (c) anhydrous amorphous  $\beta$ CD and (d) anhydrous HP $\beta$ CD. The start of dissolution is at  $t = 0$  s. The dotted lines are the extrapolation of baselines after the end of dissolution effects.

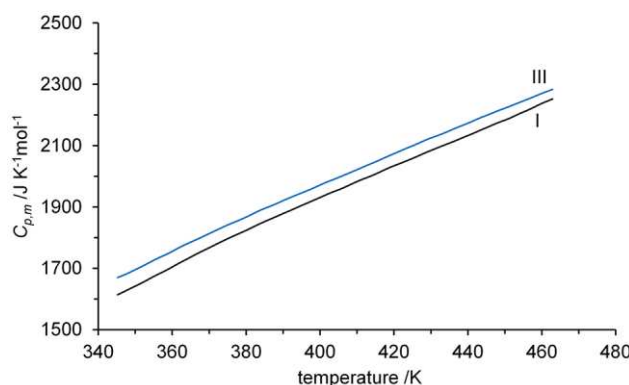
The dissolution of polymorph I includes two processes: exothermic hydration curve of anhydrous  $\beta$ CD and endothermic dissolution of  $\beta$ CD hydrate. These processes have been separately characterized elsewhere [35] and can be seen in a complex shape of polymorph I dissolution curve (Figure 3a). This calorimetric curve demonstrates rather fast exothermic effect in the first 35 s after the start of dissolution with the next prolonged endothermic event for 155 s. The ratio of these thermal effects 3.5:1 corresponds to the values of the determined polymorph I solution enthalpy  $\Delta H_{soln} = -86 \pm 1$  kJ mol<sup>-1</sup> and literature data [35] of  $\Delta H_{soln} = -91$  kJ mol<sup>-1</sup> for the same anhydrous  $\beta$ CD form and  $\Delta H_{soln} = +35$  kJ mol<sup>-1</sup> for saturated hydrate  $\beta$ CD·12H<sub>2</sub>O, which gives the ratio 3.6:1 of  $\beta$ CD hydration and  $\beta$ CD·12H<sub>2</sub>O hydrate dissolution enthalpies. The composition of saturated  $\beta$ CD hydrate is from the single crystal XRD.[36] This means that hydration and hydrate dissolution events occur not simultaneously in any significant extent but one after another.

The dissolution curve of polymorph III (Figure 3b) performs only the exothermic effect with  $\Delta H_{soln} = -94 \pm 1$  kJ mol<sup>-1</sup> within the first 43 s, Table 2. So, its hydration and dissolution are simultaneous. The difference in  $\Delta H_{soln}$  values of polymorphs I and III at 298 K is  $8 \pm 2$  kJ mol<sup>-1</sup> is lower than the enthalpy of polymorphic transition  $+16.5$  kJ mol<sup>-1</sup> with onset at 495 K, Figure 1, which may be caused by the difference in experimental temperatures. The amorphous  $\beta$ CD with dissolution enthalpy of  $\Delta H_{soln} = -111 \pm 1$  kJ mol<sup>-1</sup> shows the same dissolution time of 43 s as polymorph III in practically one exothermic step followed by very small but prolonged ( $t_{soln} = 90 \pm 10$  s) endothermic effect, which is only ~3% of the total dissolution enthalpy. This may be caused by formation of crystalline  $\beta$ CD hydrate from the minor part of the initial amorphous sample having a higher energy by 17 kJ mol<sup>-1</sup> than polymorph III.

The dissolution curve of anhydrous HP $\beta$ CD with  $\Delta H_{soln} = -144 \pm 1$  kJ mol<sup>-1</sup> has the same shape as observed for polymorph III but with much higher dissolution time of  $t_{soln} = 100$  s (Figure 3d, Table 2). Thus, the dissolution rate of polymorph III in water is close to that of amorphous  $\beta$ CD, being 4.4 and 2.3 times higher than that of the initial polymorph I and HP $\beta$ CD, respectively, despite the latter one is amorphous, and its dissolution is more exothermic. A similar difference in the dissolution rates of these forms was also observed visually.

### 3.3. Thermodynamic properties

The different properties of  $\beta$ CD polymorphs I and III were also observed in DSC experiment where dependences of their molar heat capacity  $C_{p,m}$  on temperature  $T$  in the range of 343–463 K were determined. This range was chosen because it excludes the influence of water traces in dried argon used to purge CD samples. The obtained plots of  $C_{p,m}$  vs.  $T$  are given in Figure 4, and the values of  $C_{p,m}$  at each studied temperature are given in Appendix A. The molar heat capacity of the initial polymorph I can be described by the equation  $C_{p,m} = -1219 + 10.36T - 0.00623T^2$ . This equation extrapolated to the temperature  $T = 298$  K gives  $C_{p,m} = 1316 \pm 13$  J mol<sup>-1</sup> K<sup>-1</sup>, which is slightly lower than  $1342 \pm 10$  J mol<sup>-1</sup> K<sup>-1</sup> measured earlier at the same temperature.[37] The molar heat capacity of polymorph III can be described as  $C_{p,m} = -884 + 9.05T - 0.00478T^2$ . Hence, molar heat capacity of polymorph III is higher, than that of polymorph I in the studied range of temperatures (Figure 4).



**Figure 4.** Dependence of molar heat capacity on temperature for  $\beta$ CD polymorphs I and III.

The enthalpy of I $\rightarrow$ III transition  $\Delta H_{tr}$  at 298 K can be estimated using Kirchhoff's law by the value of  $\Delta H_{tr}(495\text{K}) = 16.5 \text{ kJ mol}^{-1}$  determined in DSC experiment (Figure 1) and the difference of heat capacities  $\Delta C_{p,m}$  of polymorphs I and III:

$$\Delta H_{tr}(298 \text{ K}) = \Delta H_{tr}(495 \text{ K}) + \int_{495}^{298} \Delta C_{p,m}(T) dT = 7.1 \left( \frac{\text{kJ}}{\text{mol}} \right) \quad (1)$$

The calculated value of  $\Delta H_{tr}(298 \text{ K})$  coincides with the difference in enthalpies of polymorphs I and III dissolution in water at 298 K, which is equal to  $8 \pm 2 \text{ kJ mol}^{-1}$ , Table 2.

Since the endothermic process in anhydrous  $\beta$ CD at 495 K is the transition from polymorph I to polymorph III followed by melting of  $\beta$ CD at 774 K,[31] this polymorphic transition is enantiotropic with zero value of transition Gibbs energy  $\Delta G_{tr}(495 \text{ K}) = 0$  according to the heat-of-transition rule of Burger and Ramberger.[38] Hence, the value of polymorphic transition entropy at its onset point is equal to  $\Delta S_{tr}(495 \text{ K}) = 33 \text{ J mol}^{-1} \text{ K}^{-1}$ . So, the entropy of I $\rightarrow$ III transition at 298 K can be calculated:

$$\Delta S_{tr}(298 \text{ K}) = \Delta S_{tr}(495 \text{ K}) + \int_{495}^{298} \frac{\Delta C_{p,m}(T)}{T} dT = 8.2 \left( \frac{\text{J}}{\text{mol} \cdot \text{K}} \right) \quad (2)$$

This gives the positive value of transition Gibbs energy at standard temperature,  $\Delta G_{tr}(298 \text{ K}) = 4.7 \text{ kJ mol}^{-1}$ , which means that polymorph III has a higher Gibbs energy than polymorph I at 298 K. Such relationship can explain the higher dissolution rate of polymorph III and, respectively, its higher bioavailability.

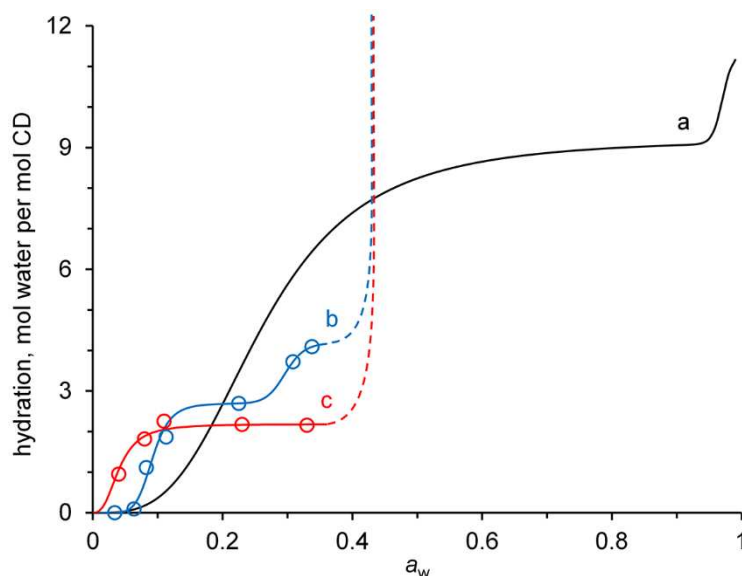
### 3.4. Affinity for water

To compare the affinity of polymorph III for water with that of polymorph I and HP $\beta$ CD, the hydration isotherms were measured for polymorph III and HP $\beta$ CD using their equilibration with water vapors of fixed humidity at 298 K and gravimetric determination of hydrate composition. Hydration isotherm of polymorph I has been determined elsewhere.[33] The isotherms were fitted using Hill equation, as described earlier.[39] The used equation and approximation parameters are given in Appendix A. These are inclusion capacity  $S$ , water activity at 50% saturation extent  $a_{0.55}$ , which is a hydration threshold, and cooperativity parameter for separate inclusion steps.

Hydration of polymorph III occurs in two steps (Figure 5b), corresponding to the inclusion capacity  $S$  of 2.5 and 1.6 mol water per mol  $\beta$ CD with hydration threshold  $a_{0.55}$  at 0.09 and 0.30, respectively. Such steps means that hydration of polymorph III produces a phase transition, which may be induced by inclusion inside  $\beta$ CD cavity because of the uptake of 4 water molecules at  $a_w = 0.33$  does not produce does not show significant changes in polymorph III PXRD pattern (Figure 2d). For comparison, 4.5 and 7 water molecules have such inclusion type in  $\beta$ CD $\cdot$ 9.4H<sub>2</sub>O and  $\beta$ CD $\cdot$ 12.3H<sub>2</sub>O single crystals, respectively.[35] Hydration of polymorph III at  $a_w = 0.43$  gives a mixture of aqueous solution and crystalline  $\beta$ CD hydrate with a total  $\beta$ CD/water molar ratio of 1:20.5, where the liquid phase is observed visually. Such behavior was not observed under the same conditions for polymorph I, which cannot sorb more water than needed to form its hydrates in two steps (Figure



5b), corresponding to the inclusion capacity  $S$  of 9.2 and 2.2 mol per mol  $\beta$ CD with hydration threshold  $a_{0.55}$  at 0.26 and 0.97, respectively.[33]



**Figure 5.** Water sorption isotherms on (a) polymorph I [33] and (b) polymorph III, and on (c) anhydrous HP $\beta$ CD at 298 K.  $T = 298$  K. The dotted line corresponds to the formation of liquid solution.

The isotherm of HP $\beta$ CD hydration (Figure 5c) performs only one step with a lower hydration capacity  $S = 2.2$  mol mol<sup>-1</sup> and hydration threshold of  $a_{0.55}=0.04$ . This isotherm also becomes vertical at  $a_w = 0.43$  with HP $\beta$ CD giving a drop of its liquid aqueous solution. So, this  $\beta$ CD derivative is more water soluble than the earlier studied HP $\beta$ CD with a lower substitution ratio of 0.6, which does not dissolve in water vapors even at  $a_w = 1$ . [40]

The affinity of the studied CDs for water can be characterized by their hydration Gibbs energy  $\Delta G_h$ , which was calculated for each step using equation:

$$\Delta G_h = RT \ln a_{0.55}$$

This equation gives Gibbs energy [39] of water transfer from its pure liquid to stable hydrate with the inclusion capacity  $S$ . The values of  $\Delta G_h$  for the first and second hydration step of polymorph III are  $-5.9$  and  $-3.0$  kJ per 1 mol of water, respectively. HP $\beta$ CD has this value of  $\Delta G_h = -7.9$  kJ mol<sup>-1</sup>. For comparison, two steps of polymorph I hydration correspond to  $\Delta G_h$  values of  $-3.3$  and  $-0.1$  kJ mol<sup>-1</sup>, respectively.[33] So, the affinity for water changes in order: HP $\beta$ CD > polymorph III > polymorph I. While as HP $\beta$ CD is well soluble in water ( $>600$  mg mL<sup>-1</sup>),[41] a similar good solubility could be expected for polymorph III. Still, the equilibrium solubility of polymorph III is restricted by ability of  $\beta$ CD to recrystallize into its saturated hydrate having poor solubility of  $18.4$  mg mL<sup>-1</sup>,[41] which was observed visually for III in water vapors at activity of  $a_w = 0.43$  as mentioned above. Such dissolution-crystallization behavior can be useful for a preparation of inclusion compounds in pastes using small amount of water or for a quick removal of undesirable compounds from aqueous solution by their complexation with  $\beta$ CD using much faster dissolution of its polymorph III. This property makes polymorph III a promising alternative to the more expensive synthetic derivatives of cyclodextrins.

#### 4. Conclusions

The new polymorph III of  $\beta$ -cyclodextrin was prepared through endothermic transition by heating of the dried commercial form of  $\beta$ -cyclodextrin (polymorph I). The absence of chemical changes in  $\beta$ -cyclodextrin by this treatment was proved by its IR spectra and PXRD patterns after its hydration. Polymorph III has a triclinic cell with an essentially different packing than that of

polymorph I. Polymorph III is energy-rich: the I→III polymorphic transition has a positive enthalpy of +8 kJ mol<sup>-1</sup> and Gibbs energy of +4.7 kJ mol<sup>-1</sup> at 298 K. The dissolution of polymorph III in liquid water is 4.3 and 2.3 times faster than dissolution of polymorph I and hydroxypropyl-β-cyclodextrin (HPβCD), respectively, under the same conditions. Polymorph III dissolves in water vapors at the same humidity as the highly water-soluble HPβCD and has a higher affinity for water with a more negative hydration Gibbs energy than polymorph I. Thus, the new polymorph can be used for preparation of inclusion compounds in pastes or for a quick removal of undesirable compounds from water being a cheaper alternative to the chemically modified β-cyclodextrin.

**Supplementary Materials:** The following supporting information (thermokinetic analysis of the polymorphic transition; PXRD data for Polymorph III and amorphous βCD/HPβCD; IR spectra for βCD polymorphs I and III; Molar heat capacity data for βCD polymorphs I and III; Parameters of hydration isotherms) can be downloaded at the website of this paper posted on Preprints.org.

**Author Contributions:** AKG conceptualization, formal analysis, writing—original draft; ISB investigation; SMT investigation; MAZ writing—review and editing; VVG supervision, writing—review and editing.

**Funding:** The work was supported by the RSF grant no. 22-23-00367.

**Institutional Review Board Statement:** Not applicable.

**Informed Consent Statement:** Not applicable.

**Conflicts of Interest:** The authors declare no conflict of interest.

**Data Availability Statement:** The data that support the findings of this study are available from the corresponding author upon reasonable request.

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