

Hypothesis

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Posted Date: 31 October 2023

doi: 10.20944/preprints202310.2100.v1

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*Hypothesis*

# The Role of Furin on the Pathogenesis of COVID-19 Associated Neurological Disorders

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**Abstract:** Neurological disorders have been reported in a large number of coronavirus disease 2019 (COVID-19) patients, suggesting that this disease may have long-term adverse neurological consequences. COVID-19 occurs from the infection by a positive-sense single-stranded RNA virus called severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2). The membrane fusion protein of SARS-CoV-2, the spike protein, binds to its human host receptor, angiotensin-converting enzyme 2 (ACE2), to initiate membrane fusion between the virus and host cell. The spike protein of SARS-CoV-2 contains the furin protease recognition site and its cleavage enhances the infectivity of this virus. The binding of SARS-CoV-2 to the ACE2 receptor has been shown to downregulate ACE2, thereby increasing the levels of pathogenic angiotensin II (Ang II). The furin protease cleaves between S1 subunit of the spike protein with the binding domain toward ACE2 and S2 subunit with transmembrane domain that anchors to the viral membrane, and this activity releases the S1 subunit into the blood circulation. The released S1 subunit of the spike protein also binds to and downregulate ACE2, in turn, increasing the level of Ang II. Considering that a viral particle contains a number of the spike protein molecules, furin-dependent cleavage would release a large number of free S1 protein molecules each of which can downregulate ACE2, while the infection with a viral particle only affects one ACE2 molecule. Therefore, the furin-dependent release of S1 protein would dramatically amplify the ability to downregulate ACE2 and produce Ang II. We hypothesize that this amplification mechanism that the virus possesses, but not the infection per se, is the major driving force behind COVID-19 associated neurological disorders.

**Keywords:** Alzheimer's disease; Angiotensin II; angiotensin-converting enzyme 2; COVID-19; brain; dementia; furin; neurological disorders; SARS-CoV-2; spike protein

## 1. Introduction

Coronavirus disease 2019 (COVID-19) was caused by a positive-sense single-stranded RNA virus of the Coronaviridae family, the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), and had a devastating impact worldwide. As of August 2023, there have been over 760 million recorded cases and approximately 6.9 million deaths globally, although the actual numbers are believed to be higher. This pandemic has been one of the deadliest in history, resulting in more than 6 million deaths worldwide, making it a significant cause of mortality [1]. While the pandemic has officially been declared to be over, many still suffer from medical issues related to this virus such as long-COVID or post-acute sequelae of COVID-19.

Emerging evidence suggests that although SARS-CoV-2 was initially thought to be primarily a respiratory illness, it has the ability to infiltrate the central nervous system, where it can cause a variety of impairments – from non-specific symptoms, such as confusion, anosmia, and anxiety [2–4], to serious long-term neurological complications, including cognitive impairments, cerebrovascular diseases, demyelinating pathologies, encephalopathy, stroke, etc. [5,6]. Problems affecting the central nervous system have been reported in more than ~35.6% of total COVID-19 cases [7]. Additionally, hippocampal shrinkage, reduction in brain size and neurodegeneration have been reported following SARS-CoV-2 infection [8,9]. Therefore, it is crucial to shed light on the SARS-CoV-2 invasion and its impact on the central nervous system.

According to *in vitro* studies, SARS-CoV-2 replicates in neuronal U251 cells, supporting the idea that the virus may play a part in the development of neurological lesions [10]. SARS-CoV-2 viral RNAs and proteins were shown to be found in anatomically diverse brain areas and cerebrospinal fluid, providing indications of SARS-CoV-2 neuroinvasion and neurotropism [11,12].

However, despite these facts, it is unknown whether neurological impairments linked to SARS-CoV-2 are the result of direct viral and / or its spike protein action or are instead a result of hypoxia, a surge of pro-inflammatory cytokines driven by infection, vascular and blood-brain barrier abnormalities [13–16]. There are also reports showing that the SARS-CoV-2 proteome can be assembled into neurotoxic amyloids, causing neurological symptoms that appear after infection [17].

Findings demonstrate that the malfunctioning of the brain's Renin Angiotensin System components contributes to the neurological symptoms of COVID-19. Multiple sclerosis, amyotrophic lateral sclerosis, Parkinson's disease, Alzheimer's disease, and other neurodegenerative illnesses have all been linked to the dysfunction of the brain's Renin Angiotensin System [18,19].

SARS-CoV-2 infects host cells through the interactions between the membrane fusion protein of the virus, the spike protein, and its human host receptor, angiotensin-converting enzyme 2 (ACE2) [20]. ACE2 physiologically functions to convert angiotensin II (Ang II) into angiotensin 1–7 (Ang 1–7) [21], thereby degrading Ang II. Ang II, a decapeptide that is produced from Ang I in the renin-angiotensin-aldosterone system, is the major vasoconstrictor and plays a crucial role in the development of many diseases including neurological disorders.

The binding of the SARS-CoV-2 spike protein to ACE2 has been shown to activate multiple biologic mechanisms that result in the reduction of the expression of ACE2 in the plasma membrane, thereby decreasing the peptidase activity of ACE2 to convert Ang II to Ang 1–7, in turn increasing the levels of pathogenic Ang II [22]. An increase in the level of Ang II provokes the activation of the Ang II / Ang II receptor type 1 (AT1R) pathway, thereby accelerating pathogenic mechanisms including neurological complications and neurodegeneration [23]. Increased Ang II levels are thought to be the primary factor in the brain's production of reactive oxygen species and inflammatory mediators [24].

It has been shown that the angiotensin-converting enzyme (ACE) / Ang II / AT1R axis is upregulated in neurodegenerative diseases like Alzheimer's disease, and it is thought to be the cause of harmful outcomes, such as higher levels of oxidative stress, increased permeability of blood-brain barrier, neuroinflammation, neurovascular dysfunction [25], and a reduction in cerebral blood flow [26]. Additionally, via raising corticotropin-releasing hormone, ACE2 downregulation in the hypothalamus causes stress and anxiety conditions.

In the brain, the deleterious effects of ACE / Ang II / AT1R pathway are counterbalanced by its alternative axes, which are ACE / Ang II / Ang II receptor type 2 (AT2R) and ACE2 / Ang 1–7 / Mas receptor, both of which have positive effects on cognition and memory. Counteracting and protective effects of the ACE2 / Ang 1–7 / AT2R and MAS receptor pathways are associated with the lowering of oxidative stress and inflammatory reactions, as well as vasodilation through the creation of nitric oxide and prostaglandins [27,28], in addition to antithrombotic effects and neuroprotection [29–31]. Accordingly, medications that inhibit the Renin Angiotensin System reduce the risk of developing Alzheimer's disease [26].

Neurological consequences seen in COVID-19 have also been linked to impaired neurotransmission in the central nervous system caused by SARS-CoV-2. Identifying the pathways of how SARS-CoV-2 affect the central nervous system should help develop effective treatment strategies and prevent its

negative effects on neurocognition. We herein propose a novel mechanism in which spike protein-mediated effects may be amplified and contribute to COVID-19 associated neurological disorders.

2. The Hypothesis

Our hypothesis is that the furin protease-dependent cleavage of the SARS-CoV-2 spike protein and release of the circulating S1 subunit protein amplify the ACE2 downregulation and the subsequent Ang II production, thereby promoting neurological disorders seen in COVID-19 patients.

Panel a in Figure 1 depicts the binding of the spike protein to ACE2 to downregulate the ACE2 protein. The downregulation of ACE2 results in the reduced overall peptidase activity of this enzyme to degrade Ang II, thereby increasing the levels of Ang II, a major pathogenic mediator. Panel b of Figure 1 describes that it may be expected that ratio of roughly one spike protein molecule of one SARS-CoV-2 viral particle interacts with one ACE2 molecule, resulting in downregulating one ACE2 molecule per viral particle. If many spike protein molecules of a given viral particle are cut by the furin protease and come off the virus, multiple (perhaps 50 – 100) free spike protein molecules are produced and can result in the binding of multiple (50 – 100) ACE2 molecules per viral particle. This could result in 50 – 100 ACE2 molecules becoming downregulated. Panel c in Figure 1 describes that the amplification of the ACE2 downregulation by furin-dependent cleavage of the spike protein forming free S1 protein that would then dramatically increase the level of Ang II. Higher levels of Ang II are expected to produce more pathological conditions including neurological damage. We propose that this furin-dependent amplification process contributes to the mechanism of COVID-19-associated neurological disorders.

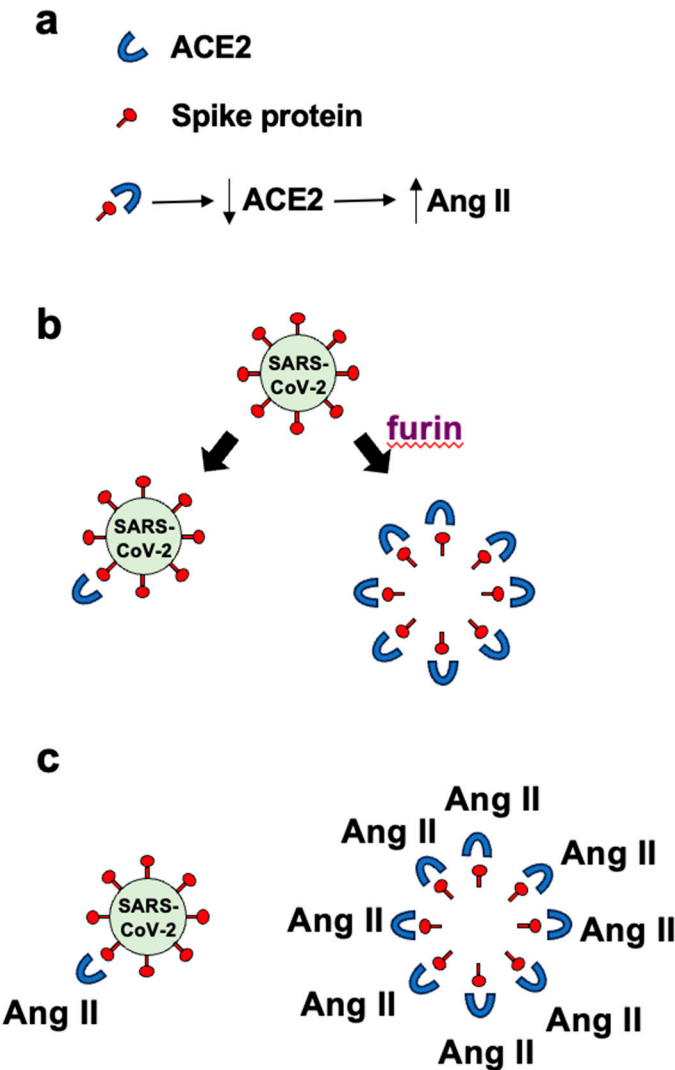


Figure 1. Schemes depicting the main hypothesis of this paper.

3. Evaluation of the Hypothesis

This hypothesis follows up the previously described viral protein fragment theory of COVID-19 pathogenesis [32] that illustrated that, as humans are infected with SARS-CoV-2, the virus releases fragments of the spike protein that can target host cells without the rest of the viral component.

SARS-CoV-2 is a single-stranded RNA virus that attaches to the host cells through the interactions between the spike protein (membrane fusion protein of this virus) and the host cell receptor ACE2, leading to the fusion of the viral and host cell membranes that allows the entry and subsequent replication of the virus. Although SARS-CoV-2 is a respiratory virus, other organs such as the brain are often affected, which raises questions about whether merely the infection and replication of the virus in the host cells alone are responsible for the pathologies associated with COVID-19.

The SARS-CoV-2 spike protein is composed of two subunits: S1 and S2 (Figure 2). The S2 subunit contains the transmembrane domain (TM) and is anchored to the viral membrane. The S1 subunit of the spike protein sticks out of the viral particle and contains the Receptor Binding Domain (RBD) that interacts with the major host cell receptor of SARS-CoV-2, ACE2 [33,34]. During the virus entry to host cells, the spike protein is cleaved into S1 and S2 subunits mainly by transmembrane serine protease 2 (TMPRSS2) at the cell surface of lung epithelial cells. Proteolysis into S1 and S2 subunits by more ubiquitous enzymes such as the furin proprotein convertase also occurs and has been shown to enhance the infectivity of the SARS-CoV-2 virus [35].



**Figure 2.** The structure of SARS-CoV-2 spike protein. The spike protein consists of S1 and S2 subunits. The S1 subunit contains RBD that binds to ACE2 and the S2 subunit contains the transmembrane (TM) domain that anchors to the viral membrane. Between the S1 and S2 subunits is an RRAR amino acid sequence that is a consensus sequence where furin protease cleaves.

In addition to ACE2 binding to the spike protein RBD of the intact virus to facilitate the viral entry, the S1 subunit of the spike protein can be cleaved off from the virus and can be released in the blood circulation by proteases such as furin. In fact, the circulating S1 protein has been detected in COVID-19 patients. Ogata et al. [36] used ultra-sensitive serial profiling Single Molecule Array (Simoa) assays to quantitatively detect SARS-CoV-2 spike, S1 subunit and nucleocapsid antigens in the plasma of COVID-19 patients. Authors detected SARS-CoV-2 S1 and nucleocapsid antigens in 41 out of 64 COVID-19 positive patients. In a retrospective study of plasma samples collected from 63 patients in Boston, SARS-CoV-2 proteins including S1 spike protein were detected in the plasma of the majority of COVID-19 patients with long COVID conditions and were persistently detected at various time periods up to 12 months after diagnosis [37].

Further, widely used mRNA COVID-19 vaccines that encode for the full-length spike protein (S1 + S2) have also been shown to produce the circulating S1 protein [38-40]. Ogata et al. [38] again used the Single Molecule Array (Simoa) assays to detect SARS-CoV-2 spike, S1 subunit and nucleocapsid proteins in plasma of 13 mRNA-1273 vaccine recipients. Eleven of 13 participants exhibited detectable levels of S1 subunit protein as early as 1 day after the first vaccine administration. The release of S1 subunit of the spike protein from the COVID-19 vaccine could be due to furin-dependent cleavage of S1 + S2 spike protein molecules that are expressed on the plasma membrane with the S1 side facing extracellularly after the administration of mRNA vaccines.

Taking into consideration the experimental results showing that an intravenously injected S1 protein can easily cross the murine blood-brain barrier and enter the parenchymal tissue and interstitial fluid spaces of the brain [41] as well as its persistence in the circulation long after the infection, we can suggest that the circulating S1 is more likely to cause neurological complications rather than the virus itself.

The furin subtilisin-like eukaryotic endoprotease cleaves proteins at the consensus amino acid sequence Lys / Arg – X<sub>n</sub> – Lys / Arg [42]. It is a protein with a calculated molecular weight of 87 kDa and was named furin because it was in the upstream region of an oncogene FES, which thus becoming known as FUR (FES Upstream Region). Since it cleaves basic amino acid motifs, it is also known as paired basic amino acid cleaving enzyme (PACE). It is ubiquitously expressed with high levels found in the salivary glands, liver and bone marrow. Physiologically, it functions to exert proteolytic activation of various hormones, growth factors, receptors, adhesion molecules and enzymes. Its proteolytic substrates also include proteins of various bacterial toxins and viruses including human immunodeficiency virus (HIV) and dengue virus. In the mammalian cells, furin accumulates in the Golgi, and it can traffic to the plasma membrane and also the C terminal proteolytic cleavage separates the transmembrane domain from a catalytically active domain that could occur in the extracellular space [42]. Using the fluorogenic substrate boc-Arg-Val-Arg-Arg-MCA, Vidricaire et al. [43] detected endoproteolytic activity of secreted furin in the media of BSC40 cells overexpressing furin. As furin can occur in the extracellular space, the circulating S1 may be produced from SARS-CoV-2 as well as from COVID-19 vaccines by this enzyme.

The S1 subunit of the spike proteins of both SARS-CoV-2 that caused COVID-19 and SARS-CoV that caused severe acute respiratory syndrome (SARS) contains the RBD that binds to ACE2. Since the 2002 SARS, research has shown that the spike protein binding to its host cell receptor ACE2 results in the downregulation of ACE2, in turn increasing the major pathogenic mediator Ang II. In mice, Kuba et al. [44] reported in 2005 that SARS-CoV infection as well as the injection with the recombinant SARS-CoV spike protein reduces the ACE2 expression. Importantly, authors showed that worsening of acute lung failure in this infection is primarily caused by SARS-CoV spike protein-mediated ACE2 downregulation. In these mice, the spike protein increased Ang II, and the angiotensin receptor inhibitor losartan attenuated the spike protein-induced enhancement of lung injury. In HEK293 cells, SARS-CoV spike protein RBD was found to be internalized together with ACE2 [45].

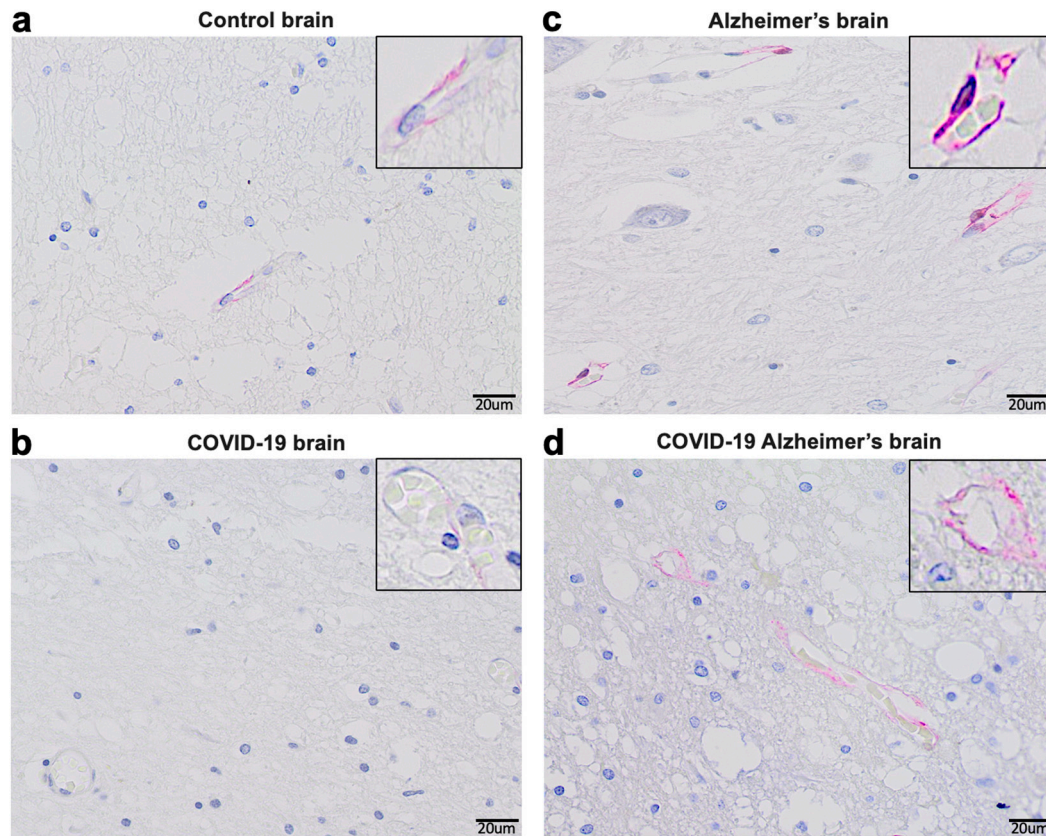
After the COVID-19 pandemic started, Bayati et al. [46] found that SARS-CoV-2 undergoes clathrin-mediated endocytosis in HEK293T cells. The SARS-CoV-2 infection down-regulates ACE2 in Syrian golden hamsters, and in cultured HEK293A cells transfected with ACE2, by inducing clathrin-dependent endocytosis and degradation in the lysosome [47]. Expression of GFP-tagged ACE2 in HEK293T cells also demonstrated the internalization of ACE2 in response to the recombinant RBD protein treatment [48]. Using structured illumination microscopy, endocytosis of SARS-CoV-2 spike protein RBD-ACE2 complex was visualized in living cells [49]. These results provide evidence that the binding of the spike protein to ACE2 results in endocytosis-mediated internalization of the spike protein-ACE2 complex into the cells and the ultimate degradation of ACE2.

Lei et al. [50] reported that Syrian hamsters infected with spike protein-expressing pseudovirus had reduced ACE2 protein expression in the lungs. Their experiments suggested a mechanism in which the spike protein increases redox stress, leading to AMPK deactivation, MDM2 upregulation, and ACE2 destabilization.

In addition to the ACE2 protein downregulation mechanisms via spike protein-mediated internalization and degradation, Sui et al. [51] reported that SARS-CoV-2 spike protein reduces the mRNA expression of ACE2 in primary cells of lung bronchoalveolar lavage from naïve rhesus macaques. An interesting study by Gao et al. [52] similarly suggested that the internalized SARS-CoV-2 spike protein activates intracellular signals to degrade ACE2 mRNA.

Consistently with these experimental findings, our immunohistochemical evaluations of human patients who died of COVID-19 showed reduced ACE2 protein expression by COVID-19 in both with and without Alzheimer's disease (Figure 3). In the brains of patients without known neurological diseases, ACE2 protein expression was predominantly detected in capillary endothelium as shown in the pink stain (Panel a). Patients who died of COVID-19 had downregulated ACE2 protein expression at a very low level (Panel b). Consistent with previously reported Western blotting and RT-PCR results obtained by our laboratory as well as others [53–56], ACE2 expression levels are higher in the brain of Alzheimer's disease patients compared to controls

as monitored by immunohistochemistry (Panel c). Even in Alzheimer's brains, COVID-19 decreased the protein expression of ACE2, but only to a level that is higher than in the brains of COVID-19 patients without Alzheimer's disease (Panel d). Thus, it can be speculated that COVID-19 decreases ACE2 expression in the brain via the actions of the spike protein, highlighting the importance of our hypothesis that furin would amplify the actions of the spike protein to downregulate ACE2.



**Figure 3.** Immunohistochemical evaluations of ACE2 protein expression in human brains.

### Materials and Methods (Figure 3)

De-identified postmortem formalin-fixed paraffin-embedded human brain tissues obtained in Kyiv, Ukraine were cut into 5 µm thick sections. Slides were subjected to immunohistochemistry using the anti-ACE2 antibody (Rabbit Angiotensin Converting Enzyme 2 Monoclonal Antibody purchased from MyBioSource, USA and the Master Polymer Plus Detection System (Phosphatase and AP Chromogen) purchased from Vitro Master Diagnostica, Spain. Specimens were examined using a Leica BX 51 microscope, a Leica MC 190 digital camera, and the Leica LAS software at a magnification of x400.

### Results (Figure 3)

Immunohistochemistry analysis using the ACE2 antibody showed that control brains from individuals without neurological diseases exhibit ACE2 protein expression in the capillary endothelium as shown in pink staining (Figure 3a). Patients who died of COVID-19 have dramatically downregulated ACE2 (Figure 3b). Alzheimer's disease patients have upregulated ACE2 protein expression in the brain (Figure 3c). COVID-19 also downregulated ACE2 protein expression in brains of patients with Alzheimer's disease (Figure 3d).

## 4. Consequences of the Hypothesis

Since the physiological function of ACE2 is to degrade Ang II [57], the loss of ACE2 results in increased Ang II and associated pathologies. ACE2 is a monocarboxypeptidase that is mainly expressed in vascular endothelial cells, although its expression in human neurons have also been

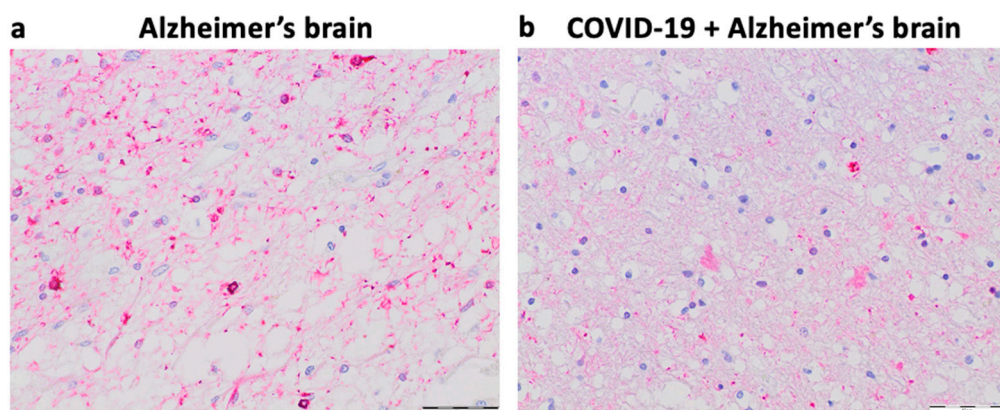
reported. Xu and Lazartigues [58] showed the expression of ACE2 in human pluripotent stem cells-derived neurons by immunohistochemistry. ACE2 substrates have hydrophobic or basic residues at the C-terminal end, preceded by Pro-X-Pro sequence, albeit having one proline residue is sufficient for ACE2 activity. Ang II is an octapeptide with a sequence Asp-Arg-Val-Tyr-Ile-His-Pro-Phe with hydrophobic phenylalanine at the C-terminus, preceded by a proline residue. ACE2 cuts the C-terminal phenylalanine residue from the Asp-Arg-Val-Tyr-Ile-His-Pro that is Ang 1-7.

It has been shown that the Ang II level in the plasma sample from SARS-CoV-2 infected patients in Shenzhen, China was markedly elevated [59]. Also, a study of 82 non-hypertensive patients in Wuhan, China by Wu et al. [60] showed that plasma Ang II level was higher in COVID-19 patients than non-COVID controls. A study of 30 patients hospitalized due to COVID-19 conducted at the Clinics Hospital at the University of Campinas in Brazil by Camargo et al. [61] showed that patients with critical COVID-19 had higher Ang II levels than patients presenting with severe COVID-19. In this study, levels of ACE, ACE2, Ang 1-7, and Ang 1-9 were found to be similar in the two groups. A study at Istanbul University-Cerrahpasa Hospital in Turkey by Ipekci et al. [62] showed that serum samples from COVID-19 patients had significantly lower ACE2 levels than controls and increased Ang II levels.

If the action of the spike protein to downregulate ACE2 only occurs via an intact virus, one may envision that one viral particle may downregulate one ACE2 protein molecule. It is thought that a coronavirus particle may contain about 50–100 trimers of spike proteins [63] based on an electron cryomicroscopy study performed on SARS-CoV by Neuman et al. [64], depending on whether the spike protein or ribonucleoprotein spacing is used to calculate the surface area of a spike unit cell. Thus, 50 – 100 spike protein molecules could be produced from one viral particle by furin proteolytic activity, amplifying the ability to downregulate ACE2 and produce Ang II 50 – 100-fold.

This hypothesis is important because it suggests that this amplification mechanism conferred by furin contributes to the pathogenesis of neurological and other complications seen in COVID-19. As this theory becomes proven, testing of furin inhibitors may benefit patients suffering from neurological and other disorders due to SARS-CoV-2 infection as well as COVID-19 vaccines.

Our pilot study in Kyiv, Ukraine suggested that 6 out of 40 (15%) patients over 75 years of age who died of COVID-19 had early signs of Alzheimer's disease. Figure 4 shows representative histology images of a patient who died of Alzheimer's disease with strong Tau expression and pronounced brain atrophy (Panel a) as well as a patient who died of COVID-19 with milder expression of Tau and less pronounced brain atrophy perhaps indicating the early stage of the development of Alzheimer's disease (Panel b). In the context of this hypothesis paper, these findings raise the question of whether the furin-mediated amplification of the spike protein / ACE2 binding results in enhanced downregulation of ACE2 expression and subsequent elevation of Ang II and whether these events may lead to the appearance of early pathogenic events for Alzheimer's disease in some COVID-19 patients.



**Figure 4.** Immunohistochemistry of the brain of a patient who died of COVID-19 showing early signs of Alzheimer's disease.

## Materials and Methods (Figure 4)

De-identified postmortem formalin-fixed paraffin-embedded human brain tissues obtained in Kyiv, Ukraine were cut into 5  $\mu$ m thick sections. Slides were subjected to immunohistochemistry using the Tinto Tau antibody (purchased from Bio SB) and the Master Polymer Plus Detection System. Specimens were examined using a Leica BX 51 microscope, a Leica MC 190 digital camera, and the Leica LAS software at a magnification of x400.

## Results (Figure 4)

Panel **a** of Figure 4 shows an immunohistochemistry image of a patient who died of Alzheimer's disease with pronounced expression of Tau (forming Tau tangles or deposits) and significant brain atrophy. Panel **b** of Figure 4 shows the immunohistochemistry image of a patient who died of COVID-19 with early-stage of Alzheimer's disease with weaker expression of Tau (less amounts of deposits) and less brain atrophy.

## 5. Conclusions

This hypothesis paper presents a novel concept that the cleavage of the spike protein S1 subunit from the intact SARS-CoV-2 virus by proteases such as furin can result in a higher number of S1 protein molecules that can target ACE2 receptor in various tissues. The efficiency of such free S1 proteins to target ACE2 is expected to be 50 – 100 times higher than the intact spike proteins bound to the SARS-CoV-2 viral particles targeting ACE2. Since the spike protein / ACE2 interactions are known to downregulate ACE2 protein through multiple mechanisms [22,65] and we observed that the brains of COVID-19 patients have reduced ACE2 expression (Figure 3), we hypothesize that this amplification mechanism would be an efficient way for the virus to promote and worsen the pathogenesis in various organs, including the brain. This mechanism may affect patients who contracted COVID-19 as well as by patients suffering from adverse neurological effects perhaps caused by vaccines. If this hypothetical mechanism is proven to be correct, then the use of furin inhibitors could be one avenue for reducing pathologies associated with COVID-19.

**Author Contributions:** Conceptualization, G.A. and Y.S.; methodology, S.G. and S.N.; software, G.A., S.G., S.N., N.S. and Y.S.; validation, G.A., S.G., S.N., N.S. and Y.S.; formal analysis, G.A., S.G., S.N., N.S. and Y.S.; investigation, G.A., S.G., S.N., N.S. and Y.S.; resources, G.A., S.G., S.N., N.S. and Y.S.; data curation, G.A., S.G., S.N., N.S. and Y.S.; writing—original draft preparation, G.A. and Y.S.; writing—review and editing, G.A., S.G., S.N., N.S. and Y.S.; visualization, G.A., S.G., S.N., N.S. and Y.S.; supervision, S.G. and Y.S.; project administration, Y.S.; funding acquisition, S.G. and Y.S. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by the National Institutes of Health (NIH), grant numbers R21AG073919 and R03AG071596. The content is solely the responsibility of the authors and does not necessarily represent the official views of the NIH.

**Institutional Review Board Statement:** Ethical review and approval were waived for this study because de-identified postmortem tissues were used. Such research is not viewed to be human subject research.

**Informed Consent Statement:** Not applicable.

**Data Availability Statement:** The data presented in this study are available on request from the corresponding author.

**Conflicts of Interest:** The authors declare no conflict of interest. The funders had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript; or in the decision to publish the results.

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