

Review

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Ytterbium (III) Fluoride in Dental Materials

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Review

Ytterbium (III) Fluoride in Dental Materials

John W. Nicholson

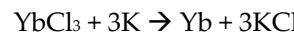
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Abstract: (1) Background: The compound ytterbium trifluoride is used as a component of several dental materials, and this is reviewed in the current article. (2) Methods: Published articles on this substance were identified from PubMed, and showed that it has been included in a variety of dental restorative materials, including composite resins, glass polyalkenoate cements and calcium trisilicate cements. (3) Results: Ytterbium trifluoride is reported to be insoluble in water. Despite this, its presence is associated with fluoride release from dental materials. There is evidence that it reacts with the components of calcium trisilicate cements to form small amounts of a variety of compounds, including ytterbium oxide, Yb_2O_3 , and calcium-ytterbium fluoride, CaYbF_5 . In nanoparticulate form, it has been shown to reinforce glass polyalkenoates and it also provides high contrast in X-ray images. (4) Conclusions: Ytterbium trifluoride is a useful component of dental materials, though some of the published findings suggest that there are aspects of its chemistry which are poorly understood.

Keywords: ytterbium trifluoride; structure; properties; solubility; dental cements; restorative materials

1. Introduction

The element ytterbium was first identified in 1878 by the Swiss chemist de Marignac as its oxide [1]. He named it after the Swedish town of Ytterby, near where the ores in which it was found had originated. Later the chemists Urbain and von Welsbach demonstrated independently that what de Marignac had actually discovered was a mixture of oxides of two new elements, the second of which they named lutetium. Ytterbium is actually one of the rarest of the so-called Rare Earths, also known as lanthanides [1]. It was first isolated in the pure state by Klemm and Bonner in 1937 by reduction of the chloride with potassium [2]:



Aspects of the reduction process were improved by Daane *et al.* in 1953 [3], and this allowed sufficient quantities of the metal to be obtained for full characterisation. The currently accepted properties of the element ytterbium are shown in Table 1.

In terms of its more general properties, ytterbium is soft and malleable. It tarnishes in air, undergoing a slow oxidation reaction to form a layer of the oxide Yb_2O_3 on the metal surface [1].

Table 1. The properties of ytterbium.

Property	Value	Reference
Atomic number	70	4
Relative atomic mass	173.04	4
Stable isotopes (relative abundance)	168 (0.13%), 170 (3.02%), 171 (14.2%, 172 (21.8%), 173 (16.1%), 174 (31.9%, 176 (12.9%).	4
Oxidation states	+3, +2	4
Atomic radius	176 pm	5

Electronic configuration	[Xe]4f ¹⁴ 6s ²	4
Ionic radius (8 coordination)	98.5 pm ¹	6, 7
Ionic radius (6 coordination)	86.8 pm ¹	6, 7

¹ Slighter larger values are given on certain websites, but those in the Table appear to be the most reliable values quoted in the peer-reviewed literature.

2. Ytterbium Compounds

Ytterbium forms a number of simple binary compounds [1]. Its most common oxidation state is +3, which it shows in compounds such as Yb₂O₃, YbF₃ and YbCl₃. It also forms reasonably stable compounds in the +2 oxidation state, for example the difluoride YbF₂, a feature which is unusual for a lanthanide element.

The compound ytterbium (III) fluoride is the particular subject of this review article. Its properties are listed in Table 2. Typically for compounds of Yb³⁺, the trifluoride is colorless, i.e., white in appearance [1,4,5]. It has a single unpaired electron and any possible electronic transitions lie outside the region of the visible spectrum, hence the absence of color.

Table 2. The properties of ytterbium (III) fluoride.

Property	Value
Appearance	White crystalline solid
Relative molecular mass	230.04
Melting point	1157 °C
Boiling point	2380 °C
Atomic radius	176 pm
Solubility in water	Insoluble
Density	8.17 g cm ⁻³

Ytterbium trifluoride has the crystal structure shown in Figure 1 [8]. In this structure, the Yb³⁺ ions are in 8 coordination with the fluoride ions, which form a bicapped trigonal prism [4]. Because ytterbium is a heavy metal (atomic mass 173.04), it is able to absorb X-rays strongly [9]. This means that the presence of an ytterbium compound, typically the trifluoride, in a dental restorative material enhances the contrast in X-ray images. This is the reason that the substance has been used increasingly in a variety of such materials.

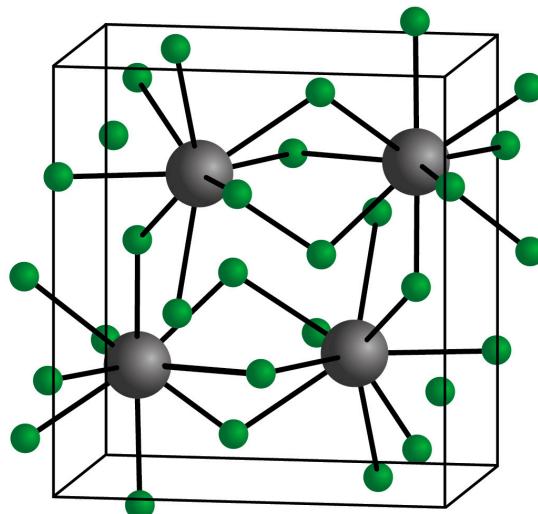


Figure 1. Crystal structure of YbF₃ (Yb³⁺ in grey; F⁻ in green).

The question of the toxicity of YbF_3 is important in the context of its use in dental materials. As a lanthanide, ytterbium has no role in the biochemistry of either animals or plants [10]. The suggestion has been made that lanthanide elements may show some degree of toxicity through competing with calcium in calcium-mediated biological reactions. However, any reported toxicity appears to be low. For example, a recent study found no obvious toxicity over 14 days following the exposure of rats to nanoparticles doped with Yb^{3+} ions [11]. Also, safety data sheets provided by companies typically claim that ytterbium fluoride lacks any significant toxicity [12,13]. In particular, this substance is reported not to be persistent, bio-accumulative or toxic [12].

Those heavy metals that are unquestionably toxic are typically Main Group elements, such as mercury, lead and thallium, rather than lanthanides [14]. They are also Lewis acids which are *soft* in Pearson's Hard and Soft Acids and Bases (HSAB) scheme [15]. By contrast, lanthanides in general and ytterbium in particular, are also Lewis acids but *hard*. This scheme, which is based on the polarisability of the central metal ion in compounds, suggests that the most stable complexes of hard acids are those formed with hard bases, typically those based on oxygen donor atoms in the Lewis base [15]. Although there is some correlation between softness in the HSAB scheme and acute toxicity, possible because of the ease with which soft acids can bind to sulfur-containing bases, such as enzymes, hardness alone is not a sufficient property to determine whether an element or ion is non-toxic or not.

In fact, in the cells of living systems, much use is made of the ion Ca^{2+} in key biological processes. The calcium ion is hard in the HSAB scheme [15]. This means that lanthanides, including ytterbium, may displace these ions in certain cellular functions, and compete with them for active binding sites in enzymes and other important bio-molecules [16]. Hence, lanthanides may impair the biological function of these substances, interfering with metabolism and showing toxicity [17], at least to an extent.

These results suggest that a degree of caution may be prudent in the handling of lanthanide compounds. On the other hand, there is also evidence that they are not particularly toxic. For example, one recent study showed that the presence of lanthanide elements in urban street dust caused no increase in cancer in populations exposed to these dusts [18], and no adverse effects have been reported in connection with the use of YbF_3 in dental materials. Hence, YbF_3 seems to be acceptable for its application in dentistry from the toxicological point of view [12,13].

3. Ytterbium Fluoride in Dental Materials

The major reason for incorporating ytterbium trifluoride into dental materials is to make them radiopaque. This means that the contrast between them and the natural tissues is enhanced in X-ray images. This allows the material to be readily distinguished from the surrounding oral structures, ensuring that the clinician can detect voids in repairs such as root fillings or direct restorations [19] and also marginal gaps and other defects at the interface with the tooth [20–22].

X-ray beams are absorbed by atoms of high atomic number. In dentistry, the most widely used such elements have been barium and strontium, typically added in the form a powdered speciality glass based on oxides of these elements [23]. Other heavy elements that have been used include bismuth, zirconium and titanium [24,25]. However, use is increasingly made of ytterbium, in the form of YbF_3 [20,26]. Particulate fillers based on any of these elements have the advantage that they can also improve the mechanical properties of the material when set [20,27,28]. Many of the studies involving such radiopaque fillers study not only their effect on X-ray attenuation, but also in mechanical properties. In the case of YbF_3 , there has also been interest in the extent to which the materials can release fluoride ions to the surroundings [29,30].

It is worth noting that the majority of the elements employed in dental materials are relatively light. This includes carbon, hydrogen and oxygen in the polymer phase and silicon and oxygen in the filler [31]. As such, their inherent radiopacity is low. The inclusion of fillers based on heavy elements overcomes this problem [32], and is therefore beneficial clinically.

The use of ytterbium fluoride in dental materials seems to have originated in the mid 1980s, when a patent was granted to what was then the Ivoclar company in Liechtenstein (now Ivoclar

Vivadent) [33]. This concerned the use of such compounds in dental composite resins. The use was clearly aimed at improving the radiopacity of the composite resin, and YbF_3 was only one of several lanthanide fluorides named in the patent. The others were the trifluorides of lanthanum, cerium, samarium, gadolinium, dysprosium and erbium [33]. In fact, although this implies a wide range of possibilities, only four examples were described, two of which were based on ytterbium trifluoride. There was also some data provided to indicate that YbF_3 gave the best radiopacity of all the compounds tested [33].

Ivoclar Vivadent remains a major international manufacturer of dental materials, and their current brands of composite resin such as Tetric EvoCeram and Tetric EvoFlow still employ ytterbium trifluoride as the contrast agent for radiopacity [34,35]. Interestingly, these materials have been shown to release fluoride in small amounts [36–40], even though the only source of fluoride in the material is the YbF_3 radiopacifying agent [34,35]. This suggests that the substance is not completely insoluble, despite what is claimed elsewhere in the literature.

The fluoride-release experiments were carried out as follows [36]: Samples were suspended with a fluoride-free dental floss in 4 ml deionized water in a plastic tube at 37 °C. The whole assembly was covered to prevent water loss and stored for 24 h, after which the sample was washed with a 1 ml volume of deionised water that was combined with the original volume to give a total of 5 ml.

The sample was then stored again in deionised water that was changed each day. After periods of 1 week and 4 weeks, the samples were again washed with a 1 ml volume of deionised water which was added to the final 4 ml storage volume, and the resulting solution was analysed.

Analysis for fluoride analysis was carried out with a calibrated ion-selective electrode. Prior to analysis, all storage solutions were diluted with TISAB (total ionic strength acid buffer) at a ratio of 10:1. This was to decomplex all of the fluoride and to ensure that it was all present as free F⁻ ions.

Similar experimental procedures have been used in other studies [37–40], and this means that results can be compared. Some representative values of fluoride release from composite resins in this type of experiment are shown in Table 3. In all cases YbF_3 was the only source of fluoride within the material.

Table 3. Fluoride release at 1 day from two composite resins containing YbF_3 (standard deviations in parentheses).

Material	Fluoride release/ppm	Reference
Tetric N-flow	0.60 (0.07)	36
Tetric N-Ceram	0.41 (0.08)	40

Composite resins containing ytterbium trifluoride have also been shown to be capable of taking up fluoride when stored in aqueous solutions of an appropriate metal fluoride, such as sodium or potassium fluoride, or when exposed to a fluoridated gel [30,36–40]. There is no evidence that this is due to a complete reversal of the fluoride release reaction, and it may be that a small amount of fluoride ion simply becomes entrained within the surface layers of the composite resin. However, it is notable that the Tetric materials within each study have both released and taken up the lowest amounts of fluoride of all the materials studied [30,39], suggesting that there is a connection between the fluoride release and fluoride recharge effects.

Some composite resin materials have been formulated as fluoride-releasing materials by including speciality fluoride-containing glasses in the formulation alongside ytterbium trifluoride [41,42]. This means that the source of the fluoride is not clear. However, given the high levels released compared with materials that contain ytterbium trifluoride alone, it seems likely that most of the fluoride comes from the glass filler.

Another material that releases fluoride and contains two possible sources of this ion is the so-called “alkasite” material Cention N, also manufactured by Ivoclar Vivadent [43]. Its setting is based on a complicated blend of chemistry, though it is claimed to be mainly of the composite resin type [44]. It contains ytterbium trifluoride, but again, the principal role of this substance appears to be to confer radiopacity rather than to provide fluoride for release. The major fluoride-releasing

component seems more likely to be the calcium-barium-aluminum fluorosilicate glass which is also present as a major component of the filler phase in this material [43].

As well as in composite resin restorative materials, ytterbium trifluoride has been used in several polymer-based materials for use in endodontics for root-end sealing. There have been a number of studies published that demonstrate that YbF_3 is an effective radiopacifier for these polymer-based materials. Because of where they are used, these materials need to have an appropriate level of radiopacity and, in 2001 the relevant ISO standard established 3 mm of aluminum to be the minimum radiopacity value for them. This is still the value stated in the current standard [45]. The American Dental Association further specified that endodontic sealers should be at least 2 mm of aluminium more radiopaque than either dentin or bone [46].

To determine this, most researchers employ the technique of evaluating the radiopacity of endodontic materials that was developed by Tagger and Katz [47]. In this technique, radiographic images of the material are recorded together with those of an aluminium step-wedge (penetrometer). The resulting images are digitized, and their grey-scale values detected by specific software [47].

An early study, carried out over 30 years ago, evaluated the effect of including ytterbium trifluoride in the root-end cover material Retroplast. This material, which is no longer available, was an adhesive composite resin. When first manufactured, it used silver to impart radiopacity, but in 1990, it was reformulated and the silver was replaced with ytterbium trifluoride [48]. In this case, the evaluation was done clinically, and these studies showed that the substitution led to an improved material which not only gave good radiopacity but also gave better levels of complete healing than with silver [48,49].

Ytterbium trifluoride has been studied for use as a radiopacifier in an experimental epoxy system suitable for use as a root canal sealer for endodontics [50]. The study involved three different radiopaque additives with, as well as YbF_3 , calcium tungstate and barium sulfate. All three additives were found to affect the mechanical and chemical properties of the sealer, and had acceptable radiopacity (Table 4). There was some indication that YbF_3 was superior in terms of radiopacity, but the effect was slight [50]. For all aspects of the chemical behavior (setting time, water sorption, solubility), both ytterbium trifluoride and barium sulfate were very similar. This is the ideal situation, as it is desirable that radiopacifying additives should not alter the chemical properties of the material. Calcium tungstate, but contrast, had a variety of adverse effects, lengthening the setting time and substantially increasing both the water sorption and solubility of the final material. Only in terms of its radiopacity was its performance equivalent to that of ytterbium trifluoride and barium sulfate. Overall, the key finding from this work, from the point of the view of the present review, is that ytterbium trifluoride can be used in epoxy systems to confer radiopacity, just as they have been with composite resin systems based in either bisGMA [16] or bisEMA [51].

Table 4. Properties of experimental bisEMA root canal sealers with various radiopacifying agents.

Property	CaWO_4	YbF_3	BaSO_4
Setting time/min	501	388	385
pH	6.84	6.25	6.45
Radiopacity/mm Al	1.96	2.07	1.62
Water sorption/ $\mu\text{g/mm}^3$	183.2	67.8	51.3
Solubility/ $\mu\text{g/mm}^3$	200.1	10.7	13.2

As well as polymer-based materials, ytterbium fluoride has been used in cements to impart radiopacity. This includes both glass polyalkenoate cements (also known as glass-ionomers) and calcium trisilicate cements. These will now be considered in turn.

Glass polyalkenoate cements (GPC) are acid-base cements that set by a neutralization process [52]. They consist of a powder comprising a complex basic glass based on calcium and sodium alumino-silicates with added phosphate and fluoride compounds. These powders are reacted with an aqueous solution of polymeric acid, such as poly(acrylic acid) or acrylic-maleic acid copolymer [52]. Setting is fairly rapid and the final material is a hard, slightly translucent material. Setting can

be augmented by the addition of a polymerizable system, typically 2-hydroxyethyl methacrylate monomer together with an appropriate initiator. The latter materials are known as resin-modified glass polyalkenoates [52].

Ytterbium trifluoride in the form of nanoparticles has been studied as a possible radiopacifier in glass polyalkenoate cements [26]. This study compared the effect of nanoparticulate barium sulfate, and also examined the effect of both substances on the setting and working times, compressive strength (at 24 hours) and hardness (also at 24 hours). The cement into which they were incorporated was a commercial conventional glass polyalkenoate called Riva SC (SDI Ltd., Bayswater, Australia). Nanoparticles were added at levels of 1, 2, 5, 10, 15 and 25% (w/w), being mixed with the glass powder. The modified mixtures were placed in capsules, closed, and mixed with poly(acrylic acid solution) on a vibratory mixer [26].

Ytterbium trifluoride had a greater effect on the working and setting time of the glass polyalkenoate than the barium sulfate. Representative data to demonstrate this are shown in Table 5. Compressive strengths were also reduced, but to a lesser extent with yttrium fluoride than with barium sulfate. For example, from an initial value of 160 MPa, compressive strength at 24 hours was reduced to about 132 MPa with 15% YbF_3 , but to about 95 MPa for 15% BaSO_4 . Hardness showed a more complex pattern, with a slight increase for low levels of either type of nanoparticle, but a substantial decrease at higher levels. There was little or no difference between the two additives for most levels of addition. The exception was at 25% loading, where cements containing BaSO_4 showed such poor hydrolytic stability that the surface hardness could not be measured [26].

Table 5. Working and setting times for conventional glass polyalkenoate (GPA) cement with and without radiopacifying agents.

Cement	Working time/s	Setting time/s
GPA only (no additive)	155.4	442.6
GPA + 5% YbF_3	64.6	213.0
GPA + 5% BaSO_4	132.4	376.0
GPA + 15% YbF_3	73.0	163.0
GPA + 15% BaSO_4	169.8	351.0

These results show that both of these additives interfere with the setting reaction of glass polyalkenoates. In the case of YbF_3 , it consistently shortened the working and setting times, showing that it accelerated the setting reaction. Exactly how it does so is not clear, but these results suggest that there was a distinct chemical reaction involving YbF_3 and the other components of the cement, and that these lead to additional hardening processes within the cement.

Lastly, ytterbium trifluoride has also been employed in experimental calcium trisilicate cements to make them radiopaque [53]. Calcium trisilicates are used in endodontics as root-end sealers [54,55]. The main material is known as MTA, and is similar to Portland cement [53]. Two main brands are currently available, namely ProRoot MTA, grey and white (Tulsa Dental Products, Tulsa, USA) and MTA-Angelus (Angelus, Londrina, Brazil). There also related products based on refined calcium trisilicate, where the detailed compositions vary from those of MTA, but the essential setting chemistry is the same. The main brand of this type of material is Biodentine (Septodont, St Maur des Fosses, France). All of these calcium trisilicate materials have good sealing ability and, through their high pH, stimulate natural tissue repair at the root apex. They also show good biocompatibility in this application [54,57].

Calcium trisilicate sets by a hydration reaction [58]. Two phases are involved, namely alite (Ca_2SiO_5) and belite ($\beta\text{-Ca}_2\text{SiO}_4$), and the initial product of reaction is a poorly crystalline phase comprising calcium hydroxide in calcium silicate hydrate. The latter substance corresponds approximately to $\text{Ca}_3\text{Si}_2\text{O}_7$ [59]. It undergoes further condensation reactions that form short silicate chains and these are responsible for improving the strength of the set cement [60].

The presence of $\text{Ca}(\text{OH})_2$ in the cement causes it to be alkaline [61,62], a feature which stimulates cell growth *in vivo*. It also provides a component capable of reacting with ytterbium trifluoride.

It is worth considering the behavior of ytterbium trifluoride in calcium trisilicate cements in some detail. Typical cement formulations were those used in the study of the inclusion of YbF_3 at 30% previously mentioned [53] and details of these compositions are shown in Table 6.

Table 6. Experimental calcium trisilicate cements with added YbF_3 .

Material	Composition
Cement 1	Calcium silicate 30%, calcium carbonate 40%, YbF_3 30%
Cement 2	Calcium silicate 17.5%, nano-HA 35%, gypsum 17.5%, YbF_3 30%
Cement 3	70% Portland cement, 30% YbF_3

The properties of the various modified cements are shown in Table 7 [53]. These cements all had pH values that were well on the alkaline side and they also had adequate radiopacity. As results in the Table show, all three cements released reasonable amounts of fluoride and the modified Portland cement gave quite a considerable fluoride release. Cements also released small amounts of ytterbium, presumably as Yb^{3+} ions [53]. In reporting this, the authors raise some concern about its toxicity, describing it as “potentially cytotoxic”.

Table 7. Properties of experimental calcium trisilicate cements modified by adding 30% YbF_3 .

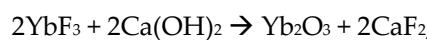
Property	Cement 1	Cement 2	Cement 3
Setting time/min	6	24	20
pH	9.38	9.23	9.43
Radiopacity/mm Al	5.45	4.90	5.75
F ⁻ release/ $\mu\text{g/L}$	8.79	44.87	74.47
Yb release/ $\mu\text{g/cm}^2$	0.073	0.16	1.35

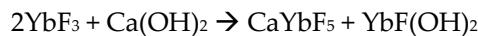
However, no evidence of any cytotoxicity was observed in the biological studies reported [53]. Rather, both osteoblast and osteoclast cells were found to grow in close contact with all three experimental cements, and both types of cell were found to be completely normal in size and shape when they did so. This shows that the small amount of Yb^{3+} released was insufficient to cause any adverse biological effects.

All three cements were examined with both FTIR spectroscopy and X-ray diffraction, and these techniques showed that there were several reaction products of ytterbium fluoride within the cement, notably ytterbium (III) oxide and calcium ytterbium fluoride, CaYbF_5 . Calcium hydroxide and calcium silicate hydrates were also present [53]. There was also evidence of a substance corresponding to $\text{Ca}_{17}\text{Yb}_{10}\text{F}_{64}$ in Cement 1. Formation of this substance was held to be the reason that this cement released the smallest amounts of Yb^{3+} and F⁻ ions [53]. The authors suggest that a similar substance is formed in Cement 2, but with some of the fluoride ions replaced by hydroxide ions. This, they suggest, leaves free fluoride ions which are capable of being released by the cement. The authors do not comment on the possible calcium-ytterbium-fluoride species in Cement 3, but presumably there is a similar effect, which would explain the even greater release of fluoride from this material.

Whatever the details of the chemistry, the feature that is apparent about the ion release is that much more fluoride is released than ytterbium. This suggests that the YbF_3 originally present undergoes some sort of dissociation in order for the two constituent elements to be released separately, an observation that suggests that the compound has a degree of solubility in the aqueous medium found within the setting cement.

The simpler ytterbium compounds reported are able to arise from reactions of the ytterbium trifluoride with calcium hydroxide formed within the cement. These reactions are as follows:





Both reactions imply that YbF_3 is acidic, at least to some extent. However, one paper in describing its inclusion in a dental composite resin claims, incorrectly, that it is alkaline [63]. In fact, ytterbium trifluoride is a Lewis acid, as we have seen and as are other simple compounds of ytterbium (III) [1]. Both ytterbium (III) chloride [64] and ytterbium (III) triflate [65] are known to be Lewis acids, a property that underpins their widespread use as catalysts in organic chemistry. Typically, metal fluorides are stronger Lewis acids than their equivalent metal chlorides [66], which suggests that YbF_3 is likely to have considerable Lewis acidity. This provides part of the driving force for the reactions with calcium hydroxide shown above which occur within the cement.

4. The Chemical Behavior of Ytterbium Fluoride when Used in Dental Materials

The behavior of ytterbium trifluoride in dental cements challenges some of the claims about the properties of this substance, and also highlights where information is lacking on its reactions. When included in either polymer-based materials, such as composite resins, or calcium trisilicate cements, it imparts the ability to release fluoride. This suggests that it has at least some solubility in aqueous systems, otherwise it would not supply free F^- ions. Such fluoride release seems to contradict the statement that the substance is insoluble in water [1].

The ability to undergo reactions within calcium trisilicate cements is consistent with ytterbium trifluoride having a reasonable acidity. YbF_3 seems certain to be a reasonably strong Lewis acid, by comparison with YbCl_3 [59], though this property is surprisingly not mentioned by certain authorities [4]. Indeed, the reactivity that ytterbium trifluoride has within calcium trisilicate cements is not to be expected from the textbook descriptions of its chemistry [1,4].

This suggests that more work is needed to determine its solubility under a range of conditions and also to establish its reactions with simple reagents. It may be that ytterbium trifluoride would find other uses as an additive in implantable materials if its essential chemistry were better understood.

Lastly, the concerns that have been expressed about the toxicity of YbF_3 [10,17] do not seem to be supported by the published evidence on the effect of trace amounts of the substance on humans [11,53]. However, given the use described in this review as an additive to dental materials this lack of clarity about toxicity is concerning, and further studies on this topic are clearly desirable as well.

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Conflicts of Interest: The author declares no conflict of interest.

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The radiopacity of specimens is typically measured on a specimen of size... The radiopacity value is determined using an aluminium step-wedge standard whose thickness ranges from 0.5 to 9.0 mm. The radiographic images are analysed using appropriate software that is able to measure the pixel density of the grey image. This gives values equivalent to thickness of aluminium as the measure of the radiopacity of the sample.