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## Article

# Sterilized Polyhexanide-Releasing Chitosan Membranes for Antimicrobial Wound Dressings

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**Abstract:** Wound infection is a common complication of chronic wounds. It can impair healing, which may not occur without external help. Antimicrobial dressings (AMDs) are a type of external help to infected chronic wounds. In this study, highly porous membranes made of only chitosan and containing the antiseptic polyhexanide (poly(hexamethylene biguanide); PHMB) were prepared by cryogelation, aiming to be used in AMDs. These membranes exhibited high water swelling capacity, fast water absorption and high water vapor transmission. The best drug loading method involved simultaneous loading by soaking in a PHMB solution and sterilization by autoclaving, resulting in sterilized, drug-loaded membranes. The drug release kinetics was comparable to that of a commercial PHMB-releasing AMD assayed under the same conditions. These membranes exhibited high antibacterial activity against *Escherichia coli*, *Staphylococcus aureus* and *Pseudomonas aeruginosa*, which are bacterial species commonly found in infected wounds, and blood clotting activity. The obtained results suggest that these membranes may be suitable for use in the development of AMDs.

**Keywords:** polyhexanide; poly(hexamethylene biguanide); polyhexamethylene biguanide; PHMB; chitosan; membrane; controlled drug release; drug delivery; wound dressing; antimicrobial

## 1. Introduction

Wounds are a disruption of the normal anatomical structure and function [1], due to physical, chemical or thermal injury. In open wounds, the disruption of skin continuity provides a surface with moisture, temperature and nutritional conditions suitable for microbial proliferation and colonization, often resulting in infection. The occurrence of infection compromises the natural wound healing process, a sequence of events through which the skin recovers its anatomical and physiological integrity [2,3]. When it fails to achieve a persistent structural and functional restoration within a 5 to 10 days timeframe (although it can take as long as 30 days), the wound is termed chronic [1,4]. Chronic, non-healing wounds have a huge impact on the health and quality of life of patients and their families. Wound care poses a substantial financial burden to the healthcare system and the increase in population with an advanced age, together with the increase in the incidence of diabetes and obesity worldwide, are contributing to the rising costs of wound care [5].

Chronic wound healing is unlikely to be successful without external aid. This failure may be due to repeated injury, existence of pathological conditions (such as poor primary treatment, malignancies, diabetes and other pathologies) or to other factors, such as persistent infection, hypoxia, necrosis and excessive levels of inflammatory cytokines and of exudate, the liquid medium present in the wound. If left untreated, it can result in serious complications, such as limb amputation, sepsis and even death [5]. Currently, procedures that lead to rapid and effective healing of wounds are still lacking. The most common external aid to the wound healing process is the use of wound dressings (WDs). In their simplest form (passive WDs), they are matrices made of one or more natural, modified natural or synthetic polymers, most commonly in the form of a sheet or pad. They have the role of a selective barrier, preventing the entrance of microorganisms and other foreign agents present in the outside environment, while allowing the transport of water vapor, oxygen and

carbon dioxide to or from the outside environment, as well as absorb excess exudate. Bioactive or medicated WDs are another type of WD. They actively help the wound healing process by combating infection and/or promoting wound healing. As infection occurs often in chronic wounds, increasing the risk of life-threatening complications, a variety of WDs that contain antimicrobial agents (antimicrobial dressings or AMDs) have been developed and are commercially available. Yet, despite the wide variety of commercially available WDs, the number of AMDs that have reached the market is still limited, due to high production costs, poor drug stability, challenging storage conditions and difficulties in creating drug loaded WDs that preserve the full therapeutic effect of the drug [6,7]. In addition, wound care professionals consider that none of the commercially available AMDs shows optimal and broad antimicrobial power [8]. Thus, more research in AMDs is needed.

Commercial WDs are made from a variety of polymers [9]. Chitosan (CS) is a biopolymer that has attracted great attention for use in WDs [10] and in controlled drug delivery [11]. In fact, research has already produced a number of commercially available CS-based WDs [10,12]. CS is commonly obtained by alkaline deacetylation of chitin, which is the second most abundant polysaccharide on Earth [13]. It is a sustainable biopolymer, since chitin is obtained mainly from crustacean shells, an abundant waste of the food industry [14]. CS has antimicrobial and blood clotting activity, stimulates wound healing and is nontoxic and biocompatible [15–18]. These properties make it suitable for use in WDs. Being soluble in acidic aqueous solutions, but not soluble in alkaline solutions, in ethanol and other organic solvents, preparation of CS membranes is possible by a wide variety of methods [10]. In this study, porous CS cryogels in the form of membranes were prepared by cryogelation [19], a method that allows the production of porous, membrane-type cryogels made of neat CS. These membranes were loaded with an antimicrobial agent by soaking in the antimicrobial agent solution, aiming to be used in AMDs. As WDs have to be sterile, the antiseptic-loaded membranes were also sterilized.

The antimicrobial agent selected for this study was polyhexanide – poly(hexamethylene biguanide), abbreviated to PHMB –, a synthetic polydisperse mixture of positively charged oligomers. It has been widely employed as an antiseptic since the 1950s [20]. In addition to its use in wound antisepsis, where it has been considered the first choice for burns and for critically colonized and infected chronic wounds, as well as one of two first choices for the treatment of contaminated acute and chronic wounds [21], PHMB has found a wide range of other applications. It has been used as an antiseptic in a variety of both medical and non-medical settings [22–24] and in other applications as diverse as gene delivery [25], improvement of cotton fabric dyeability [26] or CO capture and sensing [27]. As an antimicrobial agent, PHMB is a potent antiseptic, with minimum inhibitory concentrations (MIC) and minimum biocidal concentrations (MBC) in the low  $\mu\text{g/mL}$  range [28]. It is effective against a wide range of both Gram-positive and Gram-negative bacteria (including difficult to control bacterial strains) [29], yeasts and other fungi [30], amoeboids [31], and some enveloped [32,33] and non-enveloped viruses [34]. When applied to wounds, PHMB is well tolerated, has low cytotoxicity [30] and stimulates wound healing [35]. Its mechanism of action relies on (i) binding to the negatively charged cell membranes and walls, resulting in cell lysis due to disruption of membrane integrity [20,36], (ii) binding to negatively charged phospholipids in the cell membrane, causing impairment of ion pumps, receptors and cell membrane enzymes [20,36], and (iii) binding and condensing bacterial chromosomes, arresting cell division. PHMB shows specificity for bacterial cells since eukaryotic cells have a relatively neutral surface. Although PHMB can enter eukaryotic cells, it does not damage the cell membrane and does not enter the nucleus, being trapped within endosomes, which are absent in bacteria [37,38]. Furthermore, its non-specific mechanism of action makes it unlikely that bacteria will develop resistance to PHMB. In fact, there have been no reports of resistance to PHMB in its normal use, even though it has been in use for eight decades [39]. Given these characteristics, together with its good thermal and hydrolytic stability [40,41], as well as its solubility in water [22], PHMB is well-suited to be included in formulations to develop AMDs. In fact, it is already present in several commercial AMDs [42]. Nevertheless, since it is an oligomer, its molecular dimensions are larger than those of typical drug molecules. Additionally, in water, it may form polymeric micelles of the core/shell type, in which its hydrophobic segments point towards the

center of a sphere (core), while its hydrophilic groups point outwards (shell) [43]. At concentrations normally employed in wound antisepsis and in commercial PHMB-releasing AMDs (0.01% – 0.05% [21]), micelle formation is not expected, as PHMB's critical micellar concentration (CMC) is considerably higher (0.02 – 0.05 M in water [43], equivalent to *ca.* 50 – 130 mg/mL or 5 – 13%). On the other hand, in water and at concentrations below its CMC, PHMB may form large aggregates with particle dimensions in the micrometer range [43]. This may make it more challenging to load PHMB into polymeric matrices by soaking, as well as its release, in comparison to the typical drugs used. Although a few CS-based hydrogels that release PHMB aimed to be used in AMDs have been reported in the literature [44–52], PHMB-releasing, CS-based AMDs are not yet commercially available [42].

In this study, a sterilized PHMB-releasing CS cryogel in membrane form was produced by cryogelation. Some physicochemical characteristics of the resulting membranes, such as morphology, swelling capacity, wettability, water penetration and moisture vapor transmission and the PHMB release kinetics, were evaluated, as well as their antimicrobial activity and *in vitro* blood clotting activity.

## 2. Materials and Methods

### 2.1. Preparation of CS membranes

CS membranes were prepared employing a cryogelation method inspired by the method reported by Hsieh *et al.* [19]. It consisted of the following steps: (i) preparation of an aqueous 3 wt% CS solution (CS deacetylation percentage:  $\geq$  75%; Acros Organics, Belgium) in 0.2 M acetic acid ( $\geq$  99.8%; Thermo Fisher Scientific, U.S.A.); (ii) transfer of 3 g of this CS solution to a mold consisting of a glass Petri dish with an internal diameter of 5 cm; (iii) freezing this CS solution in the mold at  $-20^{\circ}\text{C}$  for 8 h; and (iii) coagulation of the frozen CS solution at  $-20^{\circ}\text{C}$  by immersion of the mold in a 1 M NaOH ( $\geq$  99%; Carl Roth GmbH & Co, Germany) solution in 25:75 ethanol/water (ethanol: 96%; JMG, Portugal), also at  $-20^{\circ}\text{C}$ , for 16 h. After removal from the coagulation solution and thawing, the formed membranes were lifted off the mold with the aid of a spatula and washed in a controlled manner. The washing process involved suspending the membranes in distilled water and in PBS (Phosphate Buffered Saline, 0.14 M NaCl, 2.7 mM KCl, 10 mM phosphate, pH 7.4) under magnetic stirring, with periodic renewal of the washing solutions until the ultraviolet (UV) spectrum of the washing solution between 200 and 300 nm, and especially at 236 nm (wavelength at which PHMB absorbs to the maximum) was residual. Discs with the required diameter were then cut with a cork borer in zones of the membrane away from the edge and stored at  $4^{\circ}\text{C}$  in sealed plastic pouches, to prevent dehydration.

### 2.2. PHMB loading

Discs with a diameter of 1.9 cm were placed in 15 mL Falcon tubes containing 5 mL of PHMB solutions (PHMB hydrochloride,  $\geq$  94%; Biosynth Carbosynth, U.K.) with concentrations of 0.1 mg/mL, 1 mg/mL, 2 mg/mL and 5 mg/mL in PBS. These tubes were incubated for 24 h or 72 h at  $34^{\circ}\text{C}$  in a shaking incubator (shaking speed: 100 rpm). The drug loading was carried out in triplicate (1 disc per tube). A sample of the PHMB loading solution employed in this loading step was also incubated under the same conditions, so that it would be possible to account for any incubation effects in the PHMB solution.

In a second drug loading method, the discs were loaded with PHMB during sterilization by autoclaving. Discs with a diameter of 1.9 cm placed in 15 mL Falcon tubes (1 per tube, in triplicate) containing 5 mL of a 5 mg/mL PHMB solution in PBS were autoclaved in a Prestige Medical autoclave, model Omega Media (Prestige Medical, U.K.). Samples of the PHMB solution used for drug loading were also autoclaved in parallel, so that it would be possible to account for any incubation effects in the PHMB solution. The autoclaving cycle was carried out at  $121^{\circ}\text{C}$  and 1.4 atm, for 22 min. Care was taken so that the time spent inside the autoclave after the end of the autoclaving cycle was always the same whenever samples were autoclaved. In a variation of this drug

loading/sterilization method, the discs remained in the PHMB solution for 24 h at 34 °C after autoclaving.

### 2.3. PHMB quantification

The concentration of PHMB in solution was determined by UV absorption spectroscopy, through measurement of the absorbance at 236 nm in a VWR UV-6300PC spectrophotometer (VWR, USA). A calibration curve was obtained employing PHMB solutions of known concentration in the range of 1 to 43 µg/mL of PBS, in triplicate.

The quantification of the PHMB loaded onto the membranes was calculated by mass balance, measuring the absorbance at 236 nm of the drug loading solution after the loading step (in triplicate) and that of a sample of the PHMB solution used for loading that was incubated under the same conditions of the discs, but in the absence of discs (in triplicate). The absorbance was converted to concentration employing the mentioned calibration curve. The amount of PHMB loaded was expressed as mass of PHMB loaded per mass of dry membrane (µg PHMB/mg of dry membrane).

## 2.4. Physicochemical characterization of the prepared membranes

### 2.4.1. Morphology of cross-sections

Samples that were dried at 40 °C were immersed in liquid nitrogen, fractured manually and mounted on the microscope stubs so that the fracture surface was visible. They were then coated by magnetron sputtering with a 10–15 nm thick layer of gold, using an Edwards EDX Sputter Coater (Edwards Vacuum, U.K.). Finally, the samples were observed with a Zeiss Merlin Compact VP FE-SEM electron microscope (Carl Zeiss AG, Germany), using an accelerating voltage of 2 kV.

### 2.4.2. Membrane thickness and swelling capacity

The thickness of the dry and hydrated membranes was measured employing a caliper with a resolution of 0.02 mm. It was measured in 3 different positions of 3 membranes.

To determine the swelling capacity, discs with a diameter of 1.9 cm were placed in an oven at 40 °C until a constant dry mass was obtained. Subsequently, the dry discs were immersed in PBS at room temperature until a constant hydrated mass was obtained. The swelling capacity was expressed as the percent water absorbed in relation to the mass of the dry disc. This assay was carried out in triplicate.

### 2.4.3. Contact angle goniometry and drop penetration rate

The measurement of contact angles was performed by the sessile drop method, employing a KSV-CAM 101 goniometer (KSV Instruments Ltd., Finland). A droplet of Milli-Q water with a volume of approximately 4 µL was deposited on the surface, using the goniometer's microsyringe. Images of the drop's profile were captured by the goniometer's video camera and, with the help of the goniometer's software (Attension Theta, v. 4.1.0), the contact angle of each image was automatically determined by fitting of the Young-Laplace equation to the drop's profile. The capture of the drop's images was carried out automatically after a fixed drop residence time on the surface of 1 s. Images were then captured with an interval of 0.10 s, for 60 s and a plot of contact angle versus time was obtained. The contact angle value of each drop was the average of the contact angles on the left and right sides of the drop in the region of the plot where the contact angle remained constant. Measurements were carried out in 3 replicate membranes, in 3 different locations on their surface. Both dry samples and samples hydrated in PBS were studied. In the latter case, after reaching swelling equilibrium in PBS, the surface of the samples was blotted with absorbent paper immediately before the determination. To avoid membrane dehydration, this procedure was repeated before each individual contact angle measurement.

The penetration rate of a water droplet, as well as the penetration time, were determined by using the video camera of the contact angle goniometer to track the movement of a water droplet as it penetrated the sample. For this, a 4 µL droplet was placed on the sample surface and the time it took to fully penetrate the sample surface was determined from the video captured. The rate of

penetration was determined from a plot of the height of the drop above the membrane surface versus time. For this, a linear regression was carried out with the data points in the steady-state phase of drop penetration (the linear portion of the curve), taking the absolute value of the slope of this straight line as the drop penetration rate. Both dry and membranes pre-hydrated in PBS and thoroughly blotted with absorbing tissue paper were evaluated. For each type of sample, measurements were performed in 3 different locations, and between 4 and 5 replicate samples were studied.

#### 2.4.4. Moisture vapor transmission rate

The moisture vapor transmission rate (MVTR) was determined according to the EN 13726-2:2002 standard [53], employing the two different methods mentioned in this standard: (i) assay with sample in contact with water vapor and (ii) assay with sample in contact with water. Each of these assays was performed with 6 membranes and was repeated. Before the assays, sample discs of 0.8 cm in diameter were conditioned inside a desiccator at a relative humidity (RH) of *ca.* 75% and at room temperature, until reaching a constant mass.

The sample in contact with water vapor assay was carried according to the indications of section 3.2 of the EN 13726-2:2009 standard, albeit employing (i) a different cell type, (ii) a sample with a different area and (iii) a different water volume. This assay is a gravimetric assay in which permeability cells (Paddington cups) with an opening with an area of 10 cm<sup>2</sup>, where the sample is mounted, are employed. In this study, the Paddington cups were replaced by cylindrical glass flasks whose opening was fitted with the samples, fixed in place with lids that possessed a circular opening in the center with an area of 0.26 cm<sup>2</sup>. The flasks contained 1.75 mL of Milli-Q water, a volume that allowed for the 5 mm spacing between the surface of the water and the sample required by the standard. The flasks with water and the samples were weighed ( $m_1$ ) and placed upright in a desiccator whose interior was at 37 °C and at a RH of less than 20% (achieved using silica gel), which also contained a small fan. After 24 h, the flasks with the samples were weighed again ( $m_2$ ) and the MVTR was calculated from the mass of water lost as vapor ( $m_1 - m_2$ ) per square meter per 24 h.

For the sample in contact with water assay, section 3.3 of the EN 13726-2:2009 standard was followed, with the same deviations already mentioned for the water vapor in contact assay. The procedure of both assays was similar, with the exception that, in the water-in-contact assay, the flasks containing water and fitted with the samples were inverted and placed in a holder that ensured that there was a gap under the membrane to allow airflow. Care was taken to ensure that the flasks were not leaking.

#### 2.4.5. Thermogravimetric analysis

The samples to be analyzed by Thermogravimetric Analysis (TGA) were dried in an oven at 40 °C and kept in a desiccator with silica gel until they were analyzed. The TGA thermogram was obtained employing a TA Instruments TGA Q500 analyzer (Thermal Analysis Instruments, USA), with a heating program running from 25 °C to 600 °C, at a constant heating rate of 10 °C/min, in a nitrogen atmosphere. In addition to the thermogram, the Differential Thermogravimetry (DTG) curve was obtained from the first derivative of the TGA thermogram.

#### 2.4.6. Fourier transform infrared spectroscopy

Fourier transform infrared (FTIR) spectra were obtained in a Jasco FTIR 4200 spectrometer (Jasco, Japan), equipped with an ATR Golden Gate MKII accessory (Specac, U.K.), which had a diamond crystal with an angle of incidence of 45°. The samples to be analyzed were dried in an oven at 40 °C and kept in a desiccator with silica gel until they were analyzed. The analysis was carried out with a spectral resolution of 2 cm<sup>-1</sup> and an accumulation of 64 spectra. The baseline obtained was corrected and the spectra were normalized by dividing each absorbance by the maximum value of absorbance observed in each spectrum.

## 2.5. PHMB release

### 2.5.1. PHMB release from a single face

Calibrated Franz diffusion cells [54] (Soham Scientific, UK) with a capacity of 14.55 mL and an opening with an area of 1.77 cm<sup>2</sup> were employed to study the PHMB release kinetics from a single face of the membranes. PHMB-loaded discs of 1.9 cm in diameter were blotted with absorbent paper tissue and placed between the two cell chambers. The donor chamber was empty, while the receptor chamber contained PBS (pH 7.4) at 34 °C and was magnetically stirred at *ca.* 330 rpm. A transparent, impermeable PVC disc was placed in contact with the upper face of the membranes to be studied to prevent loss of PBS through the membrane. At different time intervals, 400 µL aliquots were collected from the receptor chamber and immediately replaced with an equal volume of PBS. After diluting the aliquots with PBS, their absorbance at 236 nm was measured and converted to PHMB concentration (section 2.3). The drug release curve was obtained by plotting the cumulative mass of drug released per unit mass of dry membrane as a function of time. The conditions of this assay provided infinite sink conditions throughout the assay (confirmed by determining the PHMB concentration in the solution at each time). To take into account the absorbance of some CS or AA that could be released by the membranes and absorb at the same wavelength employed in PHMB quantification, release assays were also performed with CS membranes not loaded with PHMB but submitted to the same loading conditions as the membranes loaded with PHMB, however in a PBS solution that did not contain PHMB (blanks). These assays were carried out in triplicate, employing three Franz diffusion cells simultaneously.

### 2.5.2. PHMB release in batch

Squares with sides of 1.5 cm were cut from a commercial AMD that releases PHMB (Telfa<sup>®</sup> AMD<sup>TM</sup>; Cardinal Health, USA), with the resulting open edges sealed employing a thermal sealer (Impulse Sealer Type F-250; Vimi, China) so that no drug would be released through the open edges. These samples as well as discs of 1.9 cm in diameter of PHMB-loaded membranes were assayed in batch in a low volume, *i.e.*, with the samples fully immersed in 2.0 mL of PBS (pH 7.4) at 34 °C, under magnetic stirring at approximately 500 rpm. Aliquots of 400 µL were periodically collected and immediately replaced by an equal volume of PBS. The assay was carried out in triplicate and the drug release curve was obtained as in section 2.5.1.

## 2.6. Biological characterization

### 2.6.1. Antibacterial activity

The antibacterial activity of the PHMB solution was evaluated through the determination of its MIC against 3 bacterial strains, employing the broth dilution method. The strains employed were *Escherichia coli* (ATCC 25922), *Staphylococcus aureus* (ATCC 25923) and *Pseudomonas aeruginosa* (DSM 1117), belonging to the University of Coimbra Bacteria Culture Collection (UCBCC). Luria-Bertani (LB) liquid medium was prepared by dissolving 10 g of tryptone, 5 g of yeast extract and 5 g of sodium chloride in 1 L of deionized water. Stock solutions of 5 mg/mL PHMB were prepared in PBS (pH = 7.4) and sterilized by two different methods: (i) membrane filtration through a 0.2 µm pore size membrane or (ii) autoclaving for 20 min at 121 °C and 1.4 atm. The assays were performed employing serial 2-fold dilutions of PHMB solutions prepared in LB growth medium using 96-well microliter plates. The range of PHMB concentrations in the wells was 0.07 – 100 µg/mL for *E. coli* and *S. aureus* strains and 0.14 – 200 µg/mL for *P. aeruginosa*. Each well containing 150 µL of PHMB solutions was inoculated with 50 µL of bacterial suspensions prepared in LB medium (10<sup>6</sup> CFU mL<sup>-1</sup>) and further incubated at 37 °C for 24 h. Subsequently, 1 mL of a bacterial suspension with a cell density equivalent to the 0.5 McFarland standard (1.5 × 10<sup>8</sup> CFU/mL) was added to each well. Positive controls (medium without PHMB) were performed for each bacterial strain. Additionally, negative controls (LB medium containing PHMB but no added bacterial suspension) were also prepared to check the natural turbidity of the tested PHMB solutions. After incubation, bacterial growth was evaluated by

measuring the optical density at 600 nm ( $OD_{600}$ ) with the aid of a microplate reader (Tecan Infinite 200 PRO, Tecan, Switzerland). The MIC was taken as the lowest concentration of PHMB that completely inhibited bacterial growth. Each assay was carried out in duplicate for each bacterial suspension.

The antibacterial activity of the membrane samples was determined in two different ways: (i) in bacterial suspensions and (ii) in bacterial cultures in agar plates. In the first method, bacterial suspensions of a Gram-positive (*S. aureus*) and of a Gram-negative (*P. aeruginosa*) bacteria, having an optical density at 600 nm equal to 0.06 (measured in a Thermo Scientific Evolution 201/220 UV-Visible Spectrophotometer, Thermo Fisher Scientific, U.S.A.), were prepared by dilution of the starting suspension with LB medium. A volume of 1.5 mL of each bacterial suspension was placed in the wells of a 24-multiwell plate containing the disc to be assayed. This assay was carried out in duplicate for discs loaded/autoclaved in a 5 mg/mL PHMB solution in PBS and for control discs (discs autoclaved in PBS without PHMB). The multiwell plate was incubated in a shaker at 37 °C, under agitation at 130 rpm. Periodically, 100 µL aliquots were collected and their  $OD_{600}$  was measured employing a Tecan Infinite 200 PRO absorbance microplate reader to obtain the bacterial growth curve.

In the second method, a sample of 100 µL of the tested bacterial suspensions, with a cell density equivalent to the 0.5 McFarland standard, was spread on Mueller-Hinton (MH) agar plates. Then, sample discs loaded/autoclaved in a 5 mg/mL PHMB solution in PBS with a diameter of 1 cm were blotted with sterile absorbent paper and placed directly on the inoculated plates that were then incubated for 24 h at 37 °C, at conditions of high humidity to prevent dryness. After incubation, the plates were observed and the diameters of the inhibition halos were measured. Control discs autoclaved in PBS without PHMB were also tested. Each assay was performed in duplicate for each bacterial suspension.

## 2.6.2. Blood clotting activity

To assess whether the drug loaded CS membranes can cause blood to clot, the thrombosis degree induced by the membranes was determined employing a colorimetric variation of Imai and Nose's *in vitro* gravimetric assessment of the thrombogenicity of materials [55]. In this variation [56], the thrombosis degree was obtained from the hemolysis degree of blood that contacted the sample, through a measurement of the absorbance at 545 nm. Strips of 2.1 × 0.5 cm were cut from the CS membranes and loaded/autoclaved in a 5 mg/mL PHMB solution, under the same conditions mentioned in section 2.2, employing the same (sample area)/(PHMB solution volume) ratio. The samples (in quadruplicate, assayed individually), were completely immersed in 1 mL of pooled fresh rabbit blood anticoagulated with ACD-A (Acid Citrate Dextrose Solution A), supplied by Probiológica (Portugal) contained in plastic 1.5 mL microcentrifuge tubes and incubated at 37 °C. The assay was started by recalcification with 150 µL of a 25 mM  $CaCl_2$  aqueous solution (Carl Roth GmbH & Co, Germany). After 45 min, 1 mL of Milli-Q water was added to each tube to cause hemolysis of the blood cells not trapped in the blood clot, incubated at 37 °C for 5 min and centrifuged for 5 min at 3000 g (Eppendorf Minispin centrifuge, Eppendorf SE, Germany). Subsequently, a sample of the supernatant was collected and diluted with PBS in order to obtain an absorbance below 1 at 545 nm, employing a Thermo Scientific Genesys 20 Visible Spectrophotometer (Thermo Fisher Scientific, Massachusetts, U.S.A.). The following controls, also in quadruplicate, were assayed in parallel with the samples: (i) a tube containing the same volumes of blood and  $CaCl_2$  but containing PBS instead of water and not containing a sample strip, that will allow to account for any hemoglobin already present in the plasma at the start of the assay; (ii) a tube containing the same volumes of blood,  $CaCl_2$  solution and water, but not containing a sample strip, in which full hemolysis of blood will occur. The thrombosis degree was obtained employing equations 1 and 2, where  $A_{545}(\text{sample})$  is the absorbance at 545 nm obtained with the samples,  $A_{545}(\text{plasmaHB})$  is the absorbance at 545 nm obtained with the starting non-hemolyzed blood and  $A_{545}(\text{totalHb})$  is the absorbance at 545 nm obtained with the fully hemolyzed blood.

$$\text{Thrombosis degree (\%)} = 100\% - \text{Hemolysis degree (\%)} \quad (1)$$

$$\text{Hemolysis degree (\%)} = 100\% \times [\text{A}_{545}(\text{sample}) - \text{A}_{545}(\text{plasmaHb})]/[\text{A}_{545}(\text{totalHb}) - \text{A}_{545}(\text{plasmaHb})] \quad (2)$$

## 2.7. Statistical analysis

Comparisons between the means of two groups employed a two-tailed, unpaired Student's *t* test. For comparisons between more than two groups, one-way ANOVA was employed, followed by Tukey's HSD test. All statistical analyses were conducted at a 95% confidence level. The standard deviation (SD) was used as a measure of spread in the data.

## 3. Results and Discussion

This study aimed to produce CS membranes that release the antiseptic PHMB, for use in AMDs. Given the large molecular size of PHMB and the possible formation of molecular aggregates [43], and as PHMB will be loaded into the membranes by soaking the membranes in PHMB solutions, a membrane preparation method that could produce highly porous membranes was selected. In the selected method, a polymer solution was frozen and subsequently coagulated in a non-solvent at a temperature below the melting point of the frozen polymer solution [19]. During the freezing step, phase separation occurs, forming a polymer-rich phase and an ice-rich phase; upon melting, the ice-rich phase will become the porous phase. The CS-rich phase was coagulated by immersing the frozen CS solution in a non-solvent solution, an alkaline solution containing ethanol. To prevent the frozen polymer solution from melting and losing its porous structure, the coagulating solution was at the same temperature at which the polymer solution was frozen. In this step, the non-covalent intermolecular and intramolecular interactions between the CS polymeric chains increase, resulting in a more compact and insoluble porous CS network. The pore size and density can be altered by adjusting process variables that determine the characteristics of the ice phase, such as the freezing temperature and the freezing rate, among others [19]. This method allows for the preparation of highly porous membranes made of only CS, which eliminates the need for crosslinkers or plasticizers. This has the advantage of excluding the risk of biocompatibility complications or PHMB quantification errors caused by leaching of additional reagents. To ensure sterility, the PHMB-loaded membranes were sterilized by autoclaving.

### 3.1. Physicochemical characterization

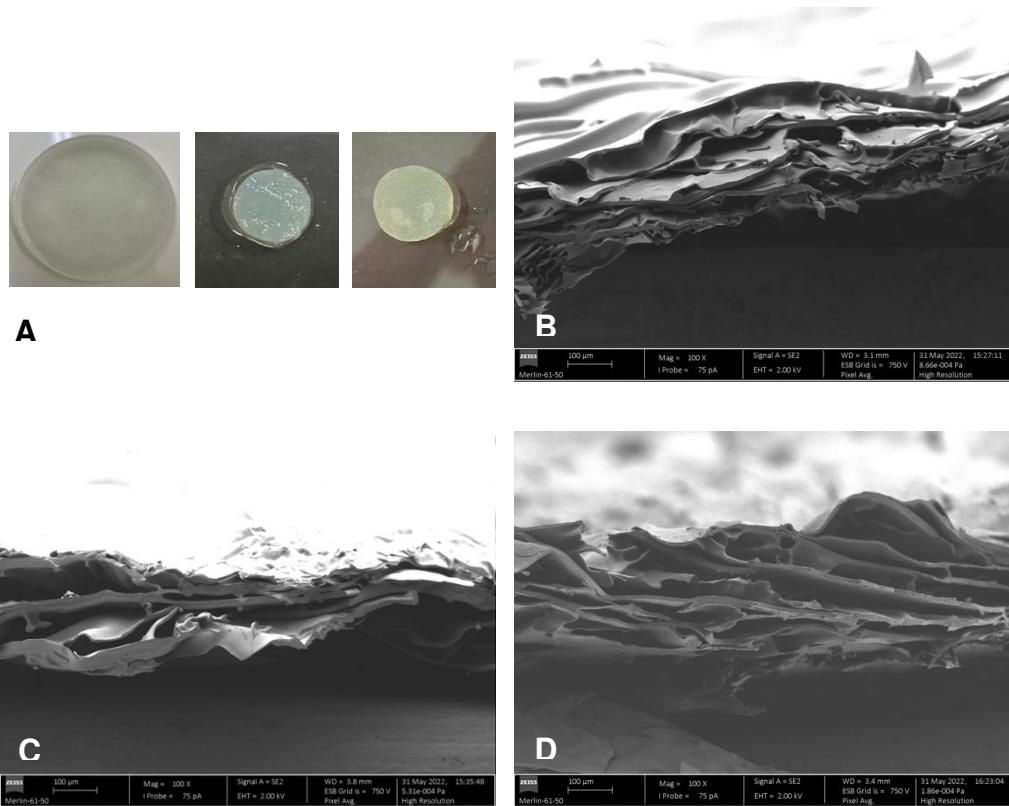
#### 3.1.1. Morphology

The obtained membranes were pale white, with a uniform and smooth appearance (Figure 1A). They did not dissolve in PBS. When hydrated, they were flexible, could be bent without fracturing and exhibited some resistance to pulling by hand. Their thickness was *ca.* 220  $\mu\text{m}$  when dry and *ca.* 1200  $\mu\text{m}$  when hydrated (Table 1) and their swelling capacity (773%; Table 1) was within the range of the swelling capacity of a set of commercial WDs reported in the literature [57].

**Table 1.** Thickness, swelling capacity, water contact angle, drop penetration time and rate, and MVTR of the CS membranes. Results are expressed as mean  $\pm$  SD.

Property	Dry membrane	Hydrated membrane
Thickness ( $\mu\text{m}$ , $n = 3$ )	220 $\pm$ 24	1200 $\pm$ 235
After autoclaving	—*	770 $\pm$ 100
Swelling capacity (%; $n = 3$ )	748 $\pm$ 23	—*
Water contact angle ( $^\circ$ ; $n = 3$ )	97 $\pm$ 2	82 $\pm$ 6
Drop penetration time (s; $n = 3$ )	11 $\pm$ 4	4.1 $\pm$ 0.6
Drop penetration rate(s) (mm/s; $n = 4\text{--}5$ )	0.02 $\pm$ 0.01; 0.10 $\pm$ 0.02	0.24 $\pm$ 0.05
MVTR (g $\text{H}_2\text{O}/\text{m}^2/24\text{ h}$ )		
Contact with water vapor ( $n = 6$ )	7470 $\pm$ 393	—*
Contact with water ( $n = 6$ )	34400 $\pm$ 5400	—*

\* Not applicable.



**Figure 1.** Photographs and micrographs of the CS membranes. A – Photographs of a CS membrane after being removed from the mold (left) and of wet, swollen discs cut from the CS membrane after washing (center) and after autoclaving (right); B, C, and D – SEM micrographs (magnification: 100 $\times$ ) of cross-sections of CS membranes without PHMB (B), loaded with PHMB by soaking for 24 h at 34 °C (C) and loaded with PHMB by simultaneous soaking and sterilization by autoclaving (D).

The internal morphology of the CS membranes was analyzed employing Scanning Electron Microscopy (SEM) to observe cross-sections of the samples. The cross-section of the membranes had a stratified porous appearance (Figure 1B), with large, elongated pores in the micrometer range, confirming that the method selected produced porous membranes. Although PHMB can form micrometer-size aggregates in water [43], no such aggregates were observed on the membranes loaded with PHMB (Figure 1C), even at higher magnification. It is likely that these aggregates that can form in water did not form in the PBS solution employed in drug loading. In effect, molecular dynamics simulation studies have suggested that, in aqueous solutions that contain monovalent counterions, PHMB can self-assemble into compact, ordered hairpin-like structures [58] of much lower dimensions than the mentioned aggregates, with radii of gyration of *ca.* 1 – 2 nm [58,59]. They are formed in a process not mediated by ions or water molecules but by the counterintuitive interaction of like-charge biguanidinium ions [58].

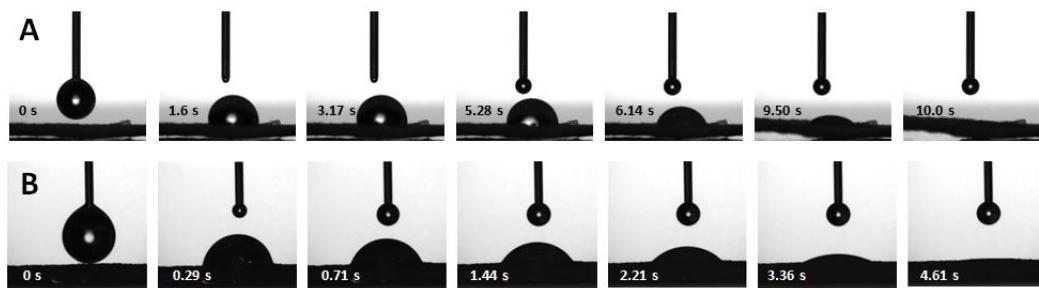
### 3.1.2. Wettability

The wettability of the membranes was evaluated using contact angle goniometry. The water contact angle for dry and for hydrated CS membranes was  $97 \pm 2^\circ$  and  $82 \pm 6^\circ$ , respectively (Table 1). According to the Berg limit, which sets the dividing line between hydrophilic and hydrophobic surfaces at  $65^\circ$  [60], both the dry and hydrated surfaces can be classified as hydrophobic but, when using the traditional dividing line value of  $90^\circ$ , the surface of the dry membranes is classified as hydrophobic, while the hydrated surface is classified as hydrophilic, albeit close to this hydrophilic/hydrophobic dividing line. The water contact angles of the dry CS membranes were comparable to those reported in the literature for membranes made of only CS, although prepared by different methods (*e.g.*,  $96^\circ$ , [61]). However, our results could not be compared to results obtained

with commercial WDs, as no contact angle values for commercial WDs made only of CS were found in the literature.

### 3.1.3. Water penetration

Although the surfaces of the CS membranes were not explicitly hydrophilic, the membranes absorbed water, showing a high water swelling capacity (Table 1). As WDs must be able to quickly absorb the exudate produced by the wound, a study of the penetration of water droplets on the membrane's surfaces was undertaken. Water droplets placed on the membranes quickly penetrated the surface (Figure 2). Water penetration in a hydrophobic porous surface can occur when the droplets are in the Wenzel state, in which they fully wet a rough surface, as opposed to the Cassie-Baxter state, in which they are supported by air trapped in the topography of a rough surface, and do not penetrate it [62–64]. To characterize the water penetration kinetics, the penetration time of droplets of water placed on the membranes' surface was measured and the penetration rate was calculated. In addition to dry membranes, pre-hydrated, non-saturated membranes were also studied, to determine if membranes that had absorbed some water could still quickly absorb more water. In Figure 2, a sequence of images illustrating the penetration of a water droplet in dry and pre-hydrated, non-saturated membranes is presented. After just over 10 s, the drop fully penetrated a dry membrane (Figure 2A), with an average penetration time of  $11 \pm 4$  s (Table 1). When the membranes had already absorbed some water, the water droplets penetrated the surface almost three times faster (Figure 2B). The average penetration time for the pre-hydrated membranes was  $4.1 \pm 0.6$  seconds (Table 1). This indicates that membranes that have already absorbed water can quickly absorb more water. As the penetration of water droplets in dry membranes occurs concomitantly with the expansion of the membrane due to its hydration, this could explain the faster penetration times in pre-hydrated membranes. For dry membranes, drop penetration exhibited two linear phases: a slow first phase, at the beginning of the process, with a penetration rate of  $0.02 \pm 0.01$  mm/s, followed by a second faster phase, with a penetration rate of  $0.10 \pm 0.02$  mm/s (Table 1). As expected, given the drop penetration times obtained, the penetration rate in dry membranes was lower than in pre-hydrated, non-saturated membranes. For the latter, a single linear phase was obtained, with an average penetration rate of  $0.24 \pm 0.05$  mm/s (Table 1). The occurrence of two water penetration phases in the case of dry membranes may be due to the initial absorption of water causing the membrane to expand. Before this expansion, drop penetration is slower but, after some time, the membrane has expanded enough to allow the drop to penetrate faster. Thus, whether dry or after absorbing some water, the CS membranes are capable of quickly absorbing water. It was not possible to make a comparison with results in the literature, as no studies were found in which water penetration times or rates were determined for commercial WDs.



**Figure 2.** Selected sequence of images representing the penetration of water drops into dry (A) and prehydrated, non-saturated (B) membranes.

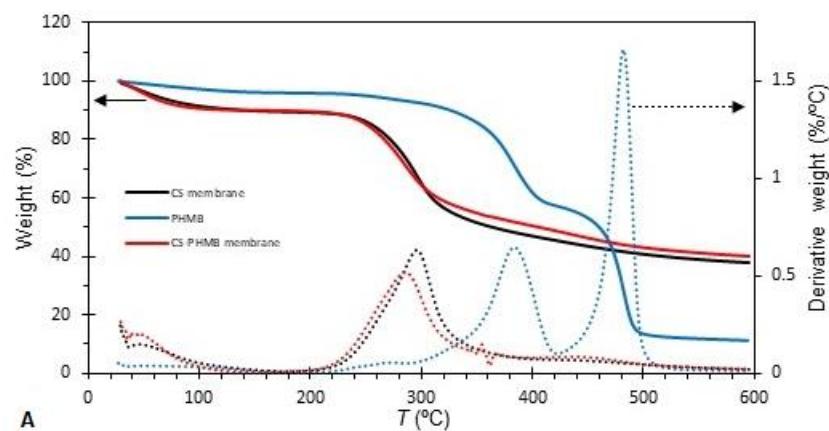
### 3.1.4. MVTR

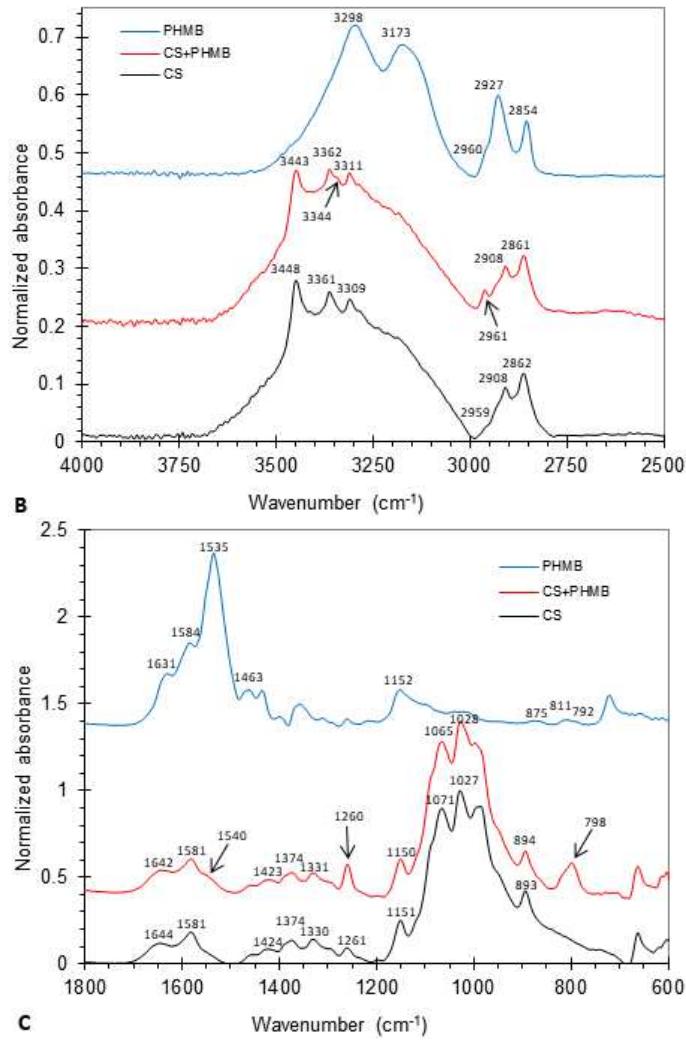
When used as WDs, membranes must absorb exudate and release it in the form of vapor to the outside environment, to prevent saturation with exudate. This ability can be quantified by measuring the MVTR across the membranes. The MVTR was determined in two ways: (i) with samples in contact

with water vapor, and (ii) with samples in contact with water. The latter method is more relevant for WDs, as WDs are in direct contact with exudate. The MVTR was also determined for samples exposed to water vapor, as this is a method that has also been employed with WDs (e.g., [65]). The average values of MVTR obtained were  $34400 \pm 5400$  g H<sub>2</sub>O/m<sup>2</sup>/24 h, for samples in contact with water, and  $7470 \pm 393$  g H<sub>2</sub>O/m<sup>2</sup>/24 h, for samples in contact with water vapor (Table 1). In both cases, the obtained values were higher than values found in the literature for commercial WDs (sample in contact with water: 830 to 12570 g H<sub>2</sub>O/m<sup>2</sup>/24 h; sample in contact with water vapor: 80 to 2838 g H<sub>2</sub>O/m<sup>2</sup>/24; [65,66]). Thus, the prepared CS membranes had a high ability to release water by evaporation, although extremely high evaporation rates could lead to undesirable wound dehydration.

### 3.1.5. TGA

In an attempt to understand whether the addition of PHMB to CS membranes affects the interactions between the polymeric chains of CS, the TGA and DTG thermograms of unloaded and PHMB-loaded CS membranes and of PHMB were obtained (Figure 3A). The values of the extrapolated onset ( $T_o$ ) and endset ( $T_e$ ) temperatures, as well as of the inflection temperature ( $T_i$ ), for both the main transition (transition with the greatest mass loss) and the secondary transition, are presented in Table 2. All curves started with a small mass loss around 100 °C, which is attributed to evaporation of residual water retained in the membranes. The thermogram of CS membranes loaded with PHMB was very similar to that of the unloaded CS membranes, but different from that of PHMB, owing to the fact that CS is the predominant component in the PHMB-loaded membranes. For the unloaded CS membrane, only one transition was observed, with a  $T_i$  of 296 °C. The PHMB-loaded membrane showed two transitions: a first transition (main transition) with a  $T_i$  of 285 °C, where the greatest mass loss occurred, and a second, faint transition, with a  $T_i$  of 449 °C, detected in the DTG thermogram. This second transition, which is only present in membranes loaded with PHMB, was close to one of the transitions of PHMB, suggesting that PHMB is present in the membranes. The unloaded CS membrane exhibits  $T_o$  (263 °C) and  $T_e$  (296 °C) values comparable to those of CS membranes found in the literature [67]. When comparing the thermograms of PHMB-loaded and unloaded membranes, the curve of the PHMB-loaded membrane is slightly displaced to the left, showing  $T_o$ ,  $T_i$  and  $T_e$  values lower than those of the unloaded membrane. This shift to lower temperatures may indicate a decrease in the interactions between the CS polymeric chains caused by the presence of PHMB, suggesting that PHMB may have penetrated the CS network, disturbing the interactions between the CS polymeric chains.





**Figure 3.** TGA/DTG thermograms and FTIR spectra of CS, PHMB and CS membranes loaded with PHMB. A – TGA (full lines) and DTG (dotted lines) thermograms of CS membranes, CS membranes loaded with PHMB and of PHMB. The TGA and DTG thermograms of the same sample have the same color. B – Normalized FTIR spectra of CS (powder), PHMB (powder) and PHMB-loaded CS membranes (CS+PHMB) in the high-frequency region; B – Normalized FTIR spectra of CS (powder), PHMB (powder) and PHMB-loaded CS membranes (CS+PHMB) in the low-frequency region.

**Table 2.** Temperatures of the transitions present in the TGA/DTG thermograms of CS membranes, CS membranes loaded with PHMB and PHMB.  $T_o$  – extrapolated onset temperature;  $T_i$  – extrapolated inflexion temperature;  $T_e$  – extrapolated endset temperature.

Sample	Main transition			Secondary transition		
	$T_o$ (°C)	$T_i$ (°C)	$T_e$ (°C)	$T_o$ (°C)	$T_i$ (°C)	$T_e$ (°C)
Unloaded CS membrane	263	296	333	–*	–*	–*
PHMB	469	482	491	357	383	401
PHMB-loaded CS membrane	250	285	308	420	449	476

\* Secondary transition not present.

### 3.1.6. FTIR

In order to further confirm the presence of PHMB in the membranes, FTIR spectra were obtained (Figure 3B,C), with the attributions of the main spectral bands presented in Table 3. In the high-frequency region (Figure 3B), two distinct regions were present: (i) the 3750 – 3000 cm⁻¹ region, corresponding to the stretching vibrations of the hydroxyl (OH) and amine (NH) groups, and (ii) the

3000 – 2800  $\text{cm}^{-1}$  region, corresponding to the C–H stretching vibrations of the methine (CH), methylene (CH<sub>2</sub>) and methyl (CH<sub>3</sub>) groups. In the first region, bands centered between 3448 and 3361  $\text{cm}^{-1}$  were assigned to the stretching of OH groups in CS and those centered between 3344 and 3173  $\text{cm}^{-1}$ , to the stretching of the NH bond in both CS and PHMB. In the 3000 – 2800  $\text{cm}^{-1}$  region, the bands centered between 2961 and 2854  $\text{cm}^{-1}$  were assigned to the CH stretching in CH, CH<sub>2</sub> and CH<sub>3</sub> groups. The presence of methyl groups in the spectra of CS is indicative of the existence of *N*-acetylglucosamine units, as methyl groups do not exist in either PHMB or fully deacetylated CS. This is expected, since the CS employed in this study was not fully deacetylated. A comparison of the FTIR spectra of PHMB-loaded CS membranes and unloaded CS membranes reveals the presence of two new small bands centered at 3344 and 2961  $\text{cm}^{-1}$  in the spectrum of the PHMB-loaded CS membrane. The second band is coincident with a PHMB band, while the first band is coincident with a shoulder of a major PHMB band. Thus, the occurrence of these bands in the PHMB-loaded CS membrane suggests the presence of PHMB in the membrane.

**Table 3.** Assignments of the main bands in the FTIR spectra of CS and PHMB-loaded CS membranes (CS+PHMB) and of PHMB (powder).

Band position ( $\text{cm}^{-1}$ )			Assignment*	References*
CS	CS+PHMB	PHMB		
3448	3443	–	OH stretching	[71,72]
3361	3362	–		
–	3344	–		[73]
3309	3311	–	NH stretching	[72]
–	–	3298		[74,75]
–	–	3173	Symmetric NH stretching	[74]
2960	2961	2959	CH asymmetric stretching in CH <sub>3</sub>	[76]
2908	2908	–		
–	–	2927	CH stretching in CH <sub>3</sub> , CH <sub>2</sub> and CH	[72,77]; [73,78]
2862	2861	2854		
1644	1642	1631	Amide I (CS); NH deformation or C=N stretching (PHMB)	[77,79]; [74]
1581	1581	1584	Amide II (CS); NH <sup>+</sup> bending (PHMB)	[72]; [80]
–	1540	1535	NH bending	[81]
–	–	1463	C=N stretching	[81]
1424	1423	–	CH <sub>2</sub> bending	[72]
1374	1374	–	CH <sub>3</sub> symmetric bending	[79]
1330	1331	–	Amide III and CH <sub>2</sub> wagging	[72]
1261	1260	–	NHCO vibration	[77]
1151	1150	1152	C–O stretching in C–O–C vibrations and C–N stretching	[71,77,79];
1065	1065	–	(CS); C–N stretching and H–N–C bending (PHMB)	[75,80]
1027	1028	–		
893	894	–	CN vibration and vibration of the saccharide structure	[71,77]
–	798	811+792	NH <sub>2</sub> rocking and NH wagging	[74]

\* Assignments and references related to CS are separated from those related to PHMB by a semi-colon.

In the low-frequency region (Figure 3C and Table 3), two bands centered at 1644 and 1581  $\text{cm}^{-1}$  occur in the CS spectrum, assigned to the amide I (mainly due to C=O stretching) and amide II (due to N–H bending and C–N stretching) bands, respectively. These bands arise from *N*-acetylglucosamine units still present in CS. CS also presents bands centered (i) at 1424 and 1374  $\text{cm}^{-1}$ , assigned to CH vibrations of CH<sub>2</sub> and CH<sub>3</sub> groups, (ii) between 1330 and 1261  $\text{cm}^{-1}$ , assigned to amide III vibrations (coupled C–N stretching and NH bending), CH<sub>2</sub> wagging and a NHCO vibration, (iii) between 1151 and 1027  $\text{cm}^{-1}$ , due to skeletal C–O–C and C–N stretching vibrations, and (iv) at 893  $\text{cm}^{-1}$ , attributed to C–N stretching vibrations and vibrations of the saccharide structure. In this

low frequency region, the PHMB spectrum exhibited bands centered at 1631, 1584, 1535, 1463, 1152  $\text{cm}^{-1}$ , and 811 and 792  $\text{cm}^{-1}$ , attributed to NH deformation or C=N stretching; NH<sup>+</sup> bending; NH bending; C=N stretching; C-N stretching and H-N-C bending; and NH<sub>2</sub> rocking and NH wagging, respectively. A comparison of the spectra of PHMB-loaded CS membranes and unloaded membranes showed three new small bands at 1540, 1260 and 798  $\text{cm}^{-1}$ . These bands are coincident or close to bands present in the PHMB spectrum but not in the CS spectrum, confirming the presence of PHMB in the PHMB-loaded membrane.

### 3.1.7. PHMB loading

To select the best PHMB concentration for drug loading in the CS membranes, the membranes were loaded by soaking in PHMB solutions with concentrations of 0.1 mg/mL, 1 mg/mL, 2 mg/mL and 5 mg/mL of PBS, at 34 °C and for 24 h, under shaking at 100 rpm. The selected temperature (34 °C; a temperature within the range of temperatures found in surface wounds [68–70]) was the same employed in the drug release studies, so that the membranes would not expand or contract during the initial phase of the drug release studies. When increasing the concentration of PHMB from 0.1 mg/mL to 1 mg/mL, a slight increase in the amount of PHMB loaded from 5.1 to 8  $\mu\text{g}$  PHMB/mg of dry membrane occurred (Table 4). However, this difference was not statistically significant. When the concentration of PHMB was increased to 2 mg/mL, the amount of loaded PHMB increased to 23.5  $\mu\text{g}$  PHMB/mg of dry membrane and, when further increased to 5 mg/mL, an additional increase was achieved (66  $\mu\text{g}$  PHMB/mg of dry membrane). This increase in drug loading with drug concentration in the soaking solution was expected, as PHMB loading is expected to be mainly governed by diffusion of PHMB from the solution into the membrane interior through a PHMB concentration gradient.

**Table 4.** Comparison of the amount of PHMB loaded by soaking CS membranes in PHMB solutions with a concentration of 0.1, 1, 2 and 5 mg/mL in PBS, under different conditions.

Loading conditions			Drug loading ( $\mu\text{g}$ PHMB/mg dry membrane)
Duration	T (°C)	[PHMB] (mg/mL)	
24 h	34	0.1	5.1 ± 0.1
		1	8 ± 2 *
		2	23 ± 3 *
		5	66 ± 7 *
22 min (autoclave)	121	5	111 ± 15 *
22 min (autoclave) + 24 h soaking	121 + 34		128 ± 18

\*  $p < 0.05$ ; \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$  (ANOVA, followed by Tukey's HSD test, at a confidence level of 95%). Results are expressed as mean ± SD ( $n = 3$ ).

The use of sterilized membranes is critical when considering their use in WDs. However, sterilization can alter the membrane and/or drug, as well as the drug release kinetics. The sterilization method selected in this study was autoclaving, since it is a readily available sterilization method and it has been employed in the sterilization of some commercially available AMDs (e.g., Exilon<sup>TM</sup> AMD, from Cardinal Health, USA). To prevent membrane deformation, the membranes were sterilized while immersed in a liquid medium. As PHMB-loaded membranes autoclaved in water or PBS would lose some of the loaded PHMB, unloaded membranes were autoclaved while immersed in a PHMB solution. This allowed the membranes to be simultaneously loaded with PHMB and sterilized. The sterilized membranes did not dissolve, their hydrated thickness decreased to *ca.* 770  $\mu\text{m}$  (Table 1) and, apart from becoming pale yellow, they did not show any major visual or handling differences in relation to unsterilized membranes (Figure 1A). The yellow tint was likely due to occurrence of the Maillard reaction [82,83]. This reaction occurs between the carbonyl groups at the reducing end of CS and its amino groups, forming imines. Due to subsequent reactions of combination, degradation and

of structural rearrangements, the imines that form originate melanoidins, which have a yellow/brown color. This process also results in covalent crosslinking of the CS chains, that can result in increased mechanical stability. Cross-sections of the membranes loaded with PHMB and sterilized by autoclaving in a PHMB solution observed by SEM (Figure 1D) did not differ significantly from cross-sections of unsterilized membranes (Figure 1C), suggesting that the sterilization process did not significantly affect the internal morphology of the membranes.

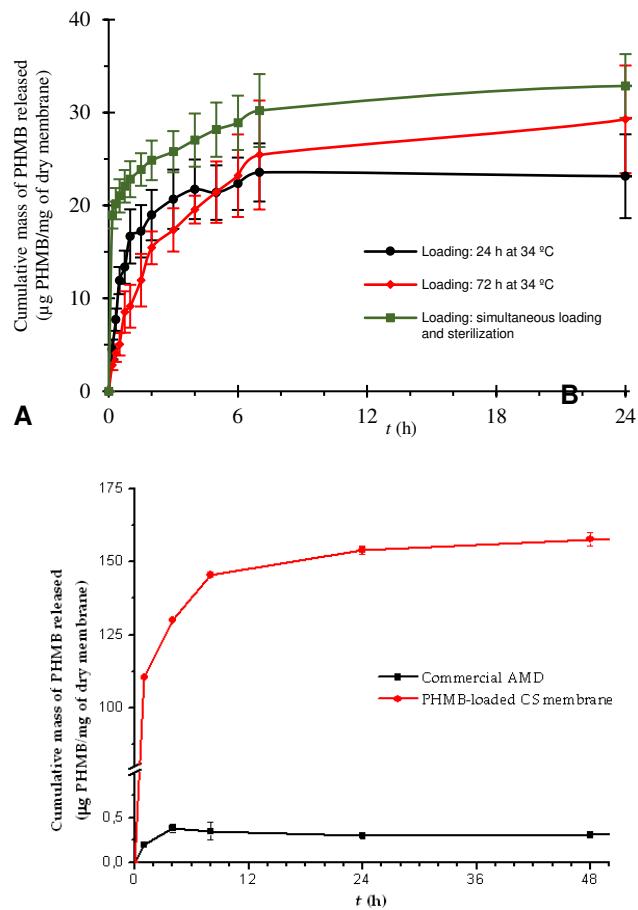
When compared to loading of PHMB for 24 h at 34 °C, loading during autoclaving resulted in a significant increase in the loaded PHMB (from 66 to 111 µg PHMB/mg of dry membrane; Table 4). When drug loading was allowed to occur for a further 24 h at 34 °C after this loading/autoclaving step, no statistically significant difference in drug loading was obtained in relation to the membranes loaded/autoclaved only (Table 4).

### 3.1.8. PHMB release

As only one face of an AMD contacts the wound bed and releases the antibacterial agent, the kinetics of PHMB release from a single face of the membranes was studied. The CS membranes loaded with PHMB were mounted between the two chambers of Franz diffusion cells. The donor chamber was empty, while the receptor chamber contained an exudate model. As such, only the underside of the membrane contacted the exudate model and released PHMB. The exudate model employed was PBS at 34 °C and at a pH of 7.4. PBS is a solution that allows to minimally simulate the biological fluids and has been employed in preliminary drug release studies of membranes to be used as WDs [42]. The pH and temperature employed were within the range found in wounds [68–70,84,85].

In a first study, three membranes in the form of disc were loaded by soaking in a 5 mg/mL solution of PHMB for 24 h, at 34 °C. The release of PHMB lasted approximately 7 h (time elapsed until the start of the plateau of the curve), with most PHMB released in the first few hours (Figure 4A). When equilibrium (plateau) was attained, a drug mass of *ca.* 24 µg of PHMB/mg of dry membrane had been released, which is equivalent to *ca.* 237 µg of PHMB/cm<sup>2</sup> of membrane. An increase in the drug loading duration from 24 to 72 h resulted in a drug release curve close to that of drug loading for 24 h (Figure 4A). Both the PHMB release duration (*ca.* 7 h) and the mass of PHMB released when equilibrium was attained (*ca.* 27 µg of PHMB/mg of dry membrane, equivalent to 345 µg of PHMB/cm<sup>2</sup> of membrane) were also similar. As such, both the release duration and the mass of PHMB released when equilibrium was attained were not significantly altered by a 48 h increase in the drug loading duration. When PHMB was loaded by soaking also in a 5 mg/mL solution but during sterilization by autoclaving, both the drug release duration and the mass of drug released when equilibrium was attained were comparable to the 24 and 72 h loading studies (*ca.* 7 h and *ca.* 30 µg of PHMB/mg of dry membrane, equivalent to 348 µg of PHMB/cm<sup>2</sup> of membrane; Figure 4A). The mass of released PHMB when equilibrium was attained, although slightly superior, was also close to the values obtained in the 72 h loading study and no statistically significant difference was found between them. Thus, sterilization by autoclaving did not have a major effect on the release kinetics of the PHMB loaded in this type of membrane. This can be seen as advantageous, since (i) it allows obtaining drug release kinetics comparable to that obtained with a much longer loading time (72 h at 34 °C) and (ii) two manufacturing steps – drug loading and sterilization – are combined into one. However, it was not clear whether the drug release duration was adequate for the intended application, which would be at least 24 h (considering a typical daily WD change), or whether the amount of drug released is sufficient to cause bacterial growth inhibition or death. This is because, as the wound model employed – Franz diffusion cell containing 14.55 mL of PBS at 34 °C and pH 7.4 – is very far from the *in vivo* wound conditions, both the *in vivo* drug release duration and its amount will be different. In fact, the exudate model employed differs extensively from exudate in terms of, in particular, volume, composition, viscosity and turnover rate [86]. As the release medium volume was much larger than the exudate volume in a wound and as, in a non-erodible drug release system, a drug concentration gradient between the drug-containing matrix and the release medium is the driving force for drug release, the maximum drug release rate will occur under infinite sink

conditions. Infinite sink conditions occur when the released drug accumulating in the medium is considered negligible and will not affect the dissolution of further drug molecules that are released. In pharmaceutical sciences, it is considered that this is attained when the volume of the release medium is, at least, 3 to 10 times the saturation volume for the drug under study [87]. When these conditions are not attained, finite sink conditions (also designated non-sink conditions) will be present, and drug release will be delayed by drug accumulating in the release medium. As infinite sink conditions are unlikely to occur in a wound [88], in particular, when covered with a WD that absorbs exudate, the use of much larger release medium volumes will underestimate the drug release duration. A literature search did not find any reports of drug release assays in which commercial AMDs were evaluated using Franz diffusion cells. The only research study employing Franz diffusion cells and PHMB-loaded membranes intended to be used in AMDs showed a drug release duration of 48 h [49], but a comparison is precluded due to lack of information concerning the release medium volume employed.



**Figure 4.** A – Drug release curves of CS membranes loaded by soaking in a 5 mg/mL PHMB solution under different conditions – at 34 °C for 24 h (black line), at 34 °C for 72 h (red line) and during sterilization by autoclaving (green line) –, assayed in Franz diffusion cells. B – Drug release curves of a commercial AMD and of the CS membranes loaded with PHMB during sterilization by autoclaving, assayed in batch. Results are expressed as means  $\pm$  SD ( $n = 3$ ).

### 3.1.9. Assay of a commercial PHMB-releasing AMD

Since the volume used in the Franz diffusion cell model was much larger than the volume of exudate present in any type of wound, an assessment of the suitability of the drug release kinetics for the intended application was not possible with this model. A preliminary validation of the suitability of the prepared membranes for use in AMDs was then obtained by comparing their drug release curve to that of a PHMB-releasing commercial AMD assayed under the same conditions. The

commercial AMD selected was Telfa® AMD™, a cotton-based AMD that releases PHMB [89]. For that purpose, a sample of Telfa® AMD™ was assayed in Franz diffusion cells under the same conditions of the prepared membranes. However, it was not possible to obtain a drug release curve, as the released PHMB was too diluted in the large volume of PBS present in the acceptor chamber of the cell. As Franz diffusion cells with a much lower volume/opening area ratio were not available, an alternative assay was devised so that a much lower medium volume/sample area ratio could be employed. This drug release assay was carried out (i) in batch (*i.e.*, with the samples fully immersed in the release medium), (ii) in a volume of 2 mL of PBS, a volume much closer to the *in vivo* exudate volume than when employing Franz diffusion cells, and (iii) under a turnover rate of 1.6 mL/day (calculated from the aliquot volumes collected and replaced by PBS per 24 h), equivalent to 5 mL/10 cm<sup>2</sup>/24 h, which is within the range of reported values for exudate turnover in different types of wounds [86,90,91]. The commercial AMD showed a drug release duration of *ca.* 4 h, while the CS membranes loaded with PHMB during sterilization by autoclaving showed a slightly higher drug release duration (*ca.* 6 h; Figure 4B). This result indicates that the PHMB-loaded CS membranes release PHMB at a rate that is comparable to that of a commercial AMD when tested in the same mode. This suggests that they may be suitable for use in AMDs. However, the drug release duration obtained for Telfa® AMD™ in this assay (*ca.* 4 h) is much shorter than its known antimicrobial effect duration (up to 3 days [92]). This indicates that the conditions under which this drug release assay was carried out are not yet representative of the *in vivo* conditions. When equilibrium was attained, the CS membrane released significantly more PHMB (*ca.* 150 µg of PHMB/mg of dry membrane; Figure 4B) than the commercial AMD (*ca.* 3 µg of PHMB/mg of dry membrane), which is favorable for the intended application.

### 3.2. Biological characterization

#### 3.2.1. Antibacterial activity of PHMB in solution

Before evaluating the antibacterial activity of CS membranes loaded with PHMB by soaking in a 5 mg/mL PHMB solution during autoclaving, the MICs of PHMB solutions sterilized by membrane filtration or by autoclaving were compared for representative bacterial strains commonly present in wounds [93]: *E. coli* and *P. aeruginosa*, as Gram-negative bacteria, and *S. aureus*, as Gram-positive. The goal of this determination was to verify whether autoclaving affected the antibacterial activity of PHMB in solution. For both *E. coli* and *S. aureus*, the MIC values obtained were 3.1 µg/mL for the solutions sterilized by either method (Table 5). For *P. aeruginosa*, the MIC values were also equal but a higher value of 12.5 µg/mL was obtained. This higher value was expected, since *P. aeruginosa* is often more resistant to antibacterial agents and antibiotics, due to its intrinsic resistance mechanisms [94]. Thus, sterilization by autoclaving did not affect the antibacterial activity of PHMB. The obtained MIC values were slightly above values published in the literature, although the literature studies employed different bacterial strains [28,95,96].

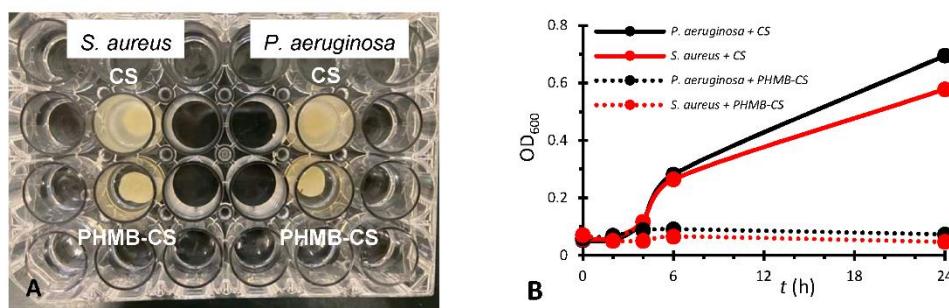
**Table 5.** MICs of PHMB for *E. coli*, *S. aureus* and *P. aeruginosa* stains obtained with PHMB solutions sterilized by membrane filtration or by autoclaving and diameters of the inhibition halos obtained in the diffusion on agar assay of CS membranes loaded with PHMB by soaking during autoclaving (direct contact with bacterial cultures on agar plates). Disc diameter: 1 cm. The assays were performed in duplicate. When different values were obtained in the duplicates, the mean ± SD was represented.

Bacteria	MIC		Inhibition halo diameter (cm)
	PHMB solution sterilized by membrane filtration (µg/mL)	PHMB solution sterilized by autoclaving (µg/mL)	
<i>E. coli</i>	3.1 <sup>a</sup>	3.1 <sup>a</sup>	1.8 ± 0.2
<i>S. aureus</i>	3.1 <sup>a</sup>	3.1 <sup>a</sup>	1.9 ± 0.1
<i>P. aeruginosa</i>	12.5 <sup>a</sup>	12.5 <sup>a</sup>	1.2 <sup>a</sup>

<sup>a</sup> The same value was obtained in the duplicates.

### 3.2.2. Antibacterial activity of the membranes on bacterial suspensions

The CS membranes loaded with PHMB were placed in bacterial suspensions with the aim of verifying whether they were capable of inhibiting bacterial growth in suspension. Unloaded, autoclaved CS membranes were also evaluated. The bacterial cultures selected were a difficult to eradicate, Gram-negative bacterium (*P. aeruginosa*) and a Gram-positive bacterium (*S. aureus*). The occurrence of bacterial growth inhibition could be visually identified in the case of membranes with PHMB, but not in the cases of membranes without PHMB (Figure 5A). The bacterial growth curves showed that the CS membranes not containing PHMB did not cause growth inhibition (Figure 5B). Although CS has antibacterial properties, it would have to diffuse from the membranes to inhibit bacterial growth. This suggests the absence of significant leaching of CS from the membranes.



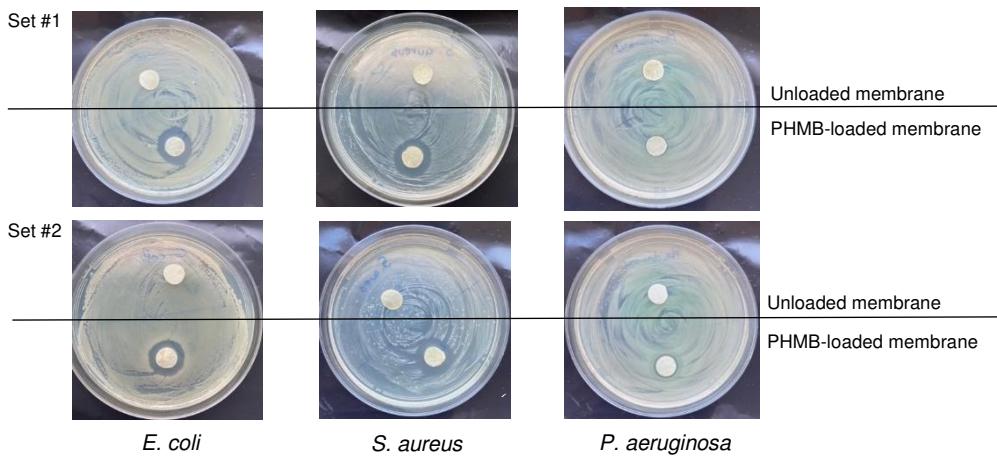
**Figure 5.** Photographs of cell culture plates (A) and growth curves (B) of bacterial suspensions of *S. aureus* and *P. aeruginosa* in contact with autoclaved, unloaded CS membranes (CS) and with CS membranes loaded with PHMB by soaking during autoclaving (PHMB-CS).

A – Cell culture plates 24 h after the start of the assay; B – Growth curves of the bacterial suspensions.

For the CS membranes loaded with PHMB, it would be expected less bacterial growth and an exponential phase occurring later than for membranes without PHMB. However, the results obtained were superior, with an almost complete inhibition of bacterial growth (Figure 5B), which indicates that the PHMB-loaded CS membranes have high antibacterial activity.

### 3.2.4. Antibacterial activity of the membranes on bacterial cultures on agar

The antibacterial activity of the developed membranes was studied through the agar diffusion test, in which CS membranes loaded with PHMB during sterilization by autoclaving were placed in direct contact with bacterial cultures of *E. coli*, *S. aureus* and *P. aeruginosa* on agar plates, *i.e.*, bacterial cultures growing on a surface, as occurs in wounds. For each strain, CS membranes loaded with PHMB by soaking during autoclaving and unloaded membranes autoclaved in PBS were studied. Figure 6 shows photographs of the agar plates containing the membranes and the respective inhibition halos after 24 h of direct contact between the membranes and the bacterial cultures. The unloaded membranes did not produce an inhibition halo. This absence of antibacterial activity in the membranes without PHMB suggests again that significant CS leaching could not have occurred. In the PHMB-loaded membranes, the presence of inhibition halos was observed for all tested bacteria (Figure 6 and Table 5), indicating that PHMB diffusing from the sample caused inhibition of bacterial growth of Gram-negative and Gram-positive bacteria usually present in infected wounds. A smaller inhibition halo was observed for *P. aeruginosa* compared to *E. coli* and *S. aureus* due to its already mentioned higher resistance to antimicrobial agents [94], making growth inhibition more difficult. However, even for this difficult to eradicate species, the membranes showed effective growth inhibition.



**Figure 6.** Photographs of the inhibition halos on agar plates containing bacterial cultures of *E. coli*, *S. aureus* and *P. aeruginosa* after 24 h of direct contact with PHMB-loaded CS membranes by soaking during autoclaving (PHMB-loaded membranes) and with CS membranes autoclaved in PBS (unloaded membranes), in duplicate.

### 3.2.5. Blood clotting activity

WDs that promote blood clotting when applied to wounds are advantageous, since they prevent bleeding. Therefore, the potential of the PHMB-loaded CS membranes in inducing blood clotting was assessed. The assay selected involved the *in vitro* determination of the degree of thrombosis after contact between blood and CS membranes loaded with PHMB during autoclaving or membranes not loaded with PHMB but autoclaved in a PBS solution. Both types of membranes promoted blood clotting (Table 6), although the degree of thrombosis induced by unloaded CS membranes (52%) was higher than that induced by PHMB-loaded CS membranes (28%). Thus, it was confirmed that CS alone is capable of inducing blood clotting. However, when loaded with PHMB, its blood clotting activity decreased, in spite that, at physiological pH, PHMB is a positively charged polymer ( $pK_a$  of *ca.* 13 [59]), a characteristic that also explains the procoagulant activity of CS [16]. The observed decrease in blood clotting capacity of the PHMB-loaded CS membranes may be owed to less availability of the positively charged amine and biguanide groups, due to interchain interactions.

**Table 6.** Thrombosis degree of CS membranes loaded by soaking in a 5 mg/mL PHMB solution in PBS during autoclaving and of unloaded, autoclaved CS membranes (autoclaved in the presence of PBS).

Membrane type	Thrombosis degree (%)
Unloaded	54 ± 14*
Loaded with PHMB by soaking/autoclaving	28 ± 2*

\*  $p < 0.05$  (Student's *t* test, at a confidence level of 95%;  $n = 3-4$ ). Results are expressed as mean ± SD.

## 4. Conclusions

Highly porous membranes made of neat CS could be prepared by cryogelation. They exhibited high water swelling capacity, fast water absorption and high water vapor transmission. The best loading method combined simultaneous drug loading by soaking in a PHMB solution with sterilization by autoclaving, resulting sterile, drug-loaded membranes. The drug release kinetics of these membranes was comparable to those of a commercial AMD that also released PHMB assayed under the same conditions. The membranes showed procoagulant activity and high antibacterial activity against a set of Gram-negative and Gram-positive bacterial species selected among those commonly present in infected wounds, both in contact with bacterial suspensions and in direct contact with the bacterial culture growing on a surface. These results suggest that these membranes may be suitable for use in AMDs.

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