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Article

# Control of Contamination in Fresh Vegetables Using Optical Inactivation of Microorganisms: A Mathematically Analyzed Experiment

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**Abstract:** Cross-contamination poses a pervasive challenge within the realm of food production. The propagation of infections is recognized to occur in an uncontrolled manner. Given the essential nature of food for human sustenance, the domain necessitates stringent control over contamination and cross-contamination due to the perilous potential for severe intoxication. In the context of managing biological contamination in fresh food items, commencing from production and extending to the final consumable, the utilization of chemicals must be judiciously limited to avert adverse effects. This biochemical approach, however, engenders environmental and health quandaries attributable to the incessant employment and discharge of such materials. The present research is centered upon demonstrating, at a laboratory scale, a process involving the leaching of plants, accompanied by the application of UVC irradiation during this procedure. This obviates the necessity for chemical solutions. The empirical findings showcased that subjecting the solution utilized for vegetable washing to UV irradiation rendered it 99 % microorganism-free in vegetables and 99.999% in the water component. This attests to efficacious decontamination, resource conservation, and mitigation of discharge-associated predicaments. The mathematical model precisely characterizes the behaviors manifested in the empirical data, thereby establishing a close correspondence with the kinetics law equation. Grasping the fundamental mechanisms and articulating them enables the enhancement of optimization and seamless integration of this approach to combat cross-contamination with heightened efficacy. This approach to addressing cross-contamination holds significant promise, underscoring its pivotal role in elevating food safety measures and ameliorating environmental repercussions. Envisioning the implementation of these practices at an industrial scale carries the potential to yield substantial dividends in terms of public health and sustainable practices

Keywords: decontamination; food; UVC; threshold; irradiation

## 1. Introduction

The global discourse concerning population growth rate (population dynamics) is rapidly intensifying, accompanied by a significant quandary: the capacity for production and equitable dissemination of food resources. These factors exert considerable pressure on enterprises to elevate the output of processed food items, necessitating more streamlined and proficient procedures that prioritize human well-being and consumer security. The issue of food contamination stands as a formidable challenge for the manufacturing sector, mandating stringent oversight of processes encompassing the procurement of raw materials to the final packaging stage. [1–3].

This is the rationale behind the concerted efforts of directors, engineers, biologists, and scientists who have devised an array of protocols, documentation, and procedures dedicated to scrutinizing contamination throughout the food production cycle. Standardizing these protocols serves a twofold purpose: elucidating and preempting instances of cross-contamination across diverse facets including

packaging, equipment, instruments, attire, hands, and personnel, ultimately safeguarding the welfare of consumers.

This constitutes a pivotal stride in ensuring the untainted nature of the process, rendering it amenable to evaluation by regulatory bodies such as ANVISA (Brazil's National Health Surveillance Agency) or the FDA (Food and Drug Administration in the USA). Such assessments of quality and safety are indispensable for diverse food items, spanning the likes of broccoli, milk, meat, and coffee. [1,4].

This standardization establishes a foundational framework, compelling the entire industry to adhere to uniform parameters across every stage of production. This coherence not only fosters an elevation in quality but also, inextricably, safeguards human health and well-being. One pivotal facet demanding meticulous oversight within a company's operations pertains to microbiological control.

Controlling contamination by bacteria, viruses, and fungi during the manufacturing process is achievable through the strategic management of several facets, facilitated by the implementation of the clean-in-place (CIP) protocol. This protocol entails the utilization of sanitizing agents such as hypochlorite, peracetic acid, and sodium hydroxide. These solutions serve the dual purpose of cleansing the equipment and governing the proliferation patterns of microorganisms across all operational junctures. [5].

Presently, within vegetable production facilities, a chemical solution with a concentration of around 40-80 ppm is administered on an hourly basis throughout the manufacturing procedure. This solution is systematically applied to all components that interact with food items. Subsequently, to eliminate any residual chemical content, the equipment undergoes thorough rinsing with clean water. [6].

These processes, owing to their sterilizing and hygienic attributes, significantly bolster manufacturing safety. Nonetheless, to guarantee the maintenance of quality standards and avert contamination, it is imperative that the water employed undergoes daily replacement. Regrettably, this engenders an environmental challenge, as the wastewater becomes laden with organic constituents, thereby yielding an elevated biochemical oxygen demand (BOD). Consequently, the water becomes unsuitable for reuse without undergoing appropriate treatment measures. [6,7].

The incapacity to recycle water accentuates operational expenses for the company during the product's marketing phase. Moreover, this form of manufacturing procedure and framework does not provide an assured shield against the propagation of diseases, including but not limited to hepatitis A, botulism, bacterial and viral infections, diarrhea, and toxoplasmosis. To illustrate, within the Brazilian context, the regulatory agency ANVISA has intercepted chicken breast samples containing traces of the bacterium Listeria monocytogenes, recognized as a causative agent of meningitis. [8]. Furthermore, it is an established fact that microorganisms can develop resistance over extended periods of exposure to chemical solutions. Consequently, it becomes imperative to progressively elevate the chemical concentration to ensure the sustenance of quality standards and to curtail the prevalence of biological entities in food products.

Apprehensions concerning this facet remain persistent and are mounting, a trend underscored by a noteworthy surge in the quantity of patents issued within these domains over the past half-decade, registering an approximate escalation of 500%. [7].

This assertion gains further substantiation from the stance adopted by the FDA, particularly evident in its deliberation over the permissible threshold for the presence of chemical residues in sanitation agents employed within the realm of the food industry. Deviation from these stipulated benchmarks not only renders production unviable but also erects a formidable biological impediment within the industrial landscape.

Hence, the principal objective of this study is to introduce a novel technique capable of facilitating the expansion of the manufacturing process, concurrently with stringent control over plant contamination. This endeavor encompasses a thorough evaluation of fundamental factors including cost implications and heightened operational efficiency. [9–11].

### 2. Materials and Methods

The used procedure was divided in construction of the pilot reactor and evaluation of measurement decreasing microorganism control.

# 2.1. Prototype of Circulation to Broccoli Decontamination

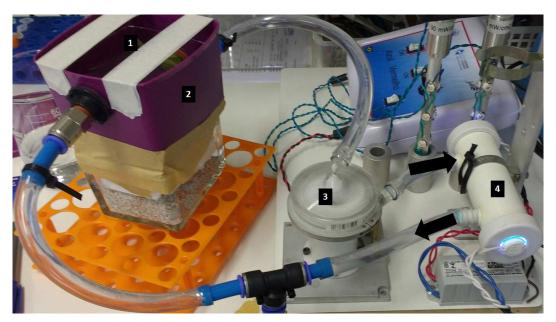
For this pilot study, it was developed in laboratory scale containing a flow, during which water is decontaminated in a constructed UV reactor. The following basic characteristics are present in Table 1.

Table 1. Characteristic of the system flow.

| Characteristic                       | Parameters |
|--------------------------------------|------------|
| 1. UV-C Lamp                         | 4 W        |
| 2. Velocity of the pump              | 8 l/min    |
| 3. Volume of used water              | 800 ml     |
| 4. Mass of contamination of broccoli | 5 g        |

The flow is contained in a closed-loop system, consisting of a tank (1) where contaminated broccoli is inserted. In this tank, leaching takes place, removing the microorganisms through the water flow. The fluid circulation is facilitated by passing through the UV light reactor, the details of which will be provided later.

During circulation the irradiation is delivered to the water to inactivate the leaching microorganisms. This occurs for the entire content of the fluid as it passes through several passages within the reactor. The system operates with 10 circulations per minute, as shown in Figure 1.



**Figure 1.** The circulating system to analyze the decontamination of the broccoli has an annular reactor of the UV light; 1-) Tank to lixiviation of vegetable and samples to decontaminated; 2-) Drainage to replace water; 3-) Pump to recirculating water; 4-) UV with in-out illumination

# 2.2. Reactors Geometry and total UVC delivery

For the characterization of the annular reactor light distribution, an optical fiber connected to the spectrometer (Ocean Optics USB 2000+UV-Vis) was used. The fiber is placed on the region of interested. From the measured intensity the delivered energy dose was calculated [12].

# 2.3. Microbiological Method Analysis

To contaminate the vegetable broccoli, pieces of approximately 9.95 g were used and immersed in a solution with  $10^8$  CFU/mL of E. Cole. After this step, the vegetable contaminated with microorganism were inserted into the circulation system. During the experiment, samples of the both the vegetable and water were taken, and the pour plate method was applied to analyze the presence of the microorganism.

# 2.4. Model of light delivery and photo inactivation

For this experiment the flow was characterized as non-turbulent while in contact with the UVC radiation. In this flow regime, well-behaved fluid movement is expected without the formation of bubbles and micro-bubbles, which could scatter the UVC radiation. Therefore, it was ensured that all the UVC light interacts effectively with the fluid content in Figure 2.

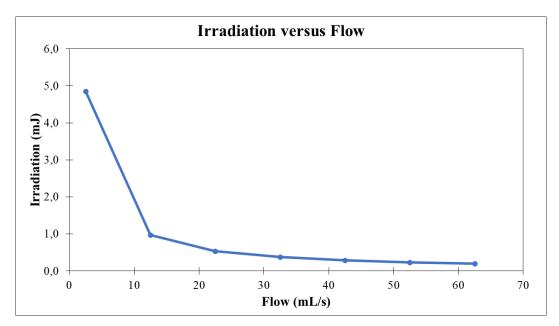


Figure 2. Irradiation delivery in the system with flow variation.

Up to this point, the basic concepts for evaluating the flow profile and the mathematical influence on the radiation delivery in the water during the experimental process have been described [13].

The first part explains the equation of the velocity profile. Subsequently, the Lambert-Beer law in the cylindrical coordinate system is used. [4].

Therefore it will be described the general equation of the Lambert-Beer law for light distribution in the reactor present in this research [14,15].

$$I = \frac{I_0 * R_0}{r} e^{-\alpha(r - R_0)} \tag{1}$$

where I is the UVC radiation intensity traveling into the reactor to radial distance r, the boundary condition  $I = I_0$  at  $r = R_0$ , is the initial intensity radiation and radial distance and  $\alpha$  is absorption coefficient.

To determine the energy delivery in the fluid, it is necessary to use the relation of threshold energy in the solution that is described by [4,16]:

$$D = I * t = \frac{I * L}{77} \tag{2}$$

where t is delivery time, L is the length of radiation section and v is velocity of fluid.

The principal influence on the kinetic equations is the n order of the equations (at first, it is suggested to be a first-order reaction). It is common to start the mathematical analysis by initially describing the following [17,18]:

$$ln[MO]_t = ln[MO]_0 - kt (3)$$

where  $[MO]_0$  and  $[MO]_t$  is a variation of microorganism concentration, that variates with initial time to final, respectively, and k is inactivation constant.

### 3. Results

Initially, emission data was quantified, yielding a value of 2.38 mW/cm², signifying the nominal irradiation within the annular reactor configuration. The water volume employed totaled 800 ml, while the internal capacity of the reactor amounted to 147 ml. These outcomes substantially contribute to the delineation of the flow profile.

Subsequently, the second segment of indispensable information required for assessing the system's capacity to deactivate microorganisms involves establishing the requisite nominal dose for distinct microorganism types. Varying dosages for individual microorganisms are presented in the accompanying Table 2

Table 2. Table with types of microorganisms and the necessary dose to inactivate microorganism

| Microorganism                | Dose (mJ/cm²) |
|------------------------------|---------------|
| 1. E. coli O157:H7 CCUG      | 3.5           |
| 2. S. Aureus ATCC 6538       | 5.6           |
| 3. Other spores typer        | 14.2          |
| 4. M. radiodurans ATCC13939* | 198.6         |

The capacity to illustrate the variance in nominal dosage administered to diverse microorganisms is feasible. Furthermore, an essential requirement involves approximating the direct delivery of irradiation within the flow and evaluating the reactor's ability to render microorganisms inactive, as depicted in the accompanying Figure 3.

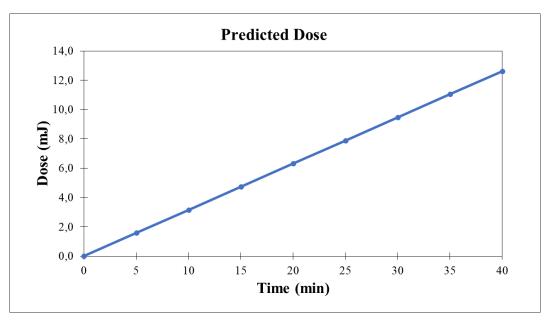
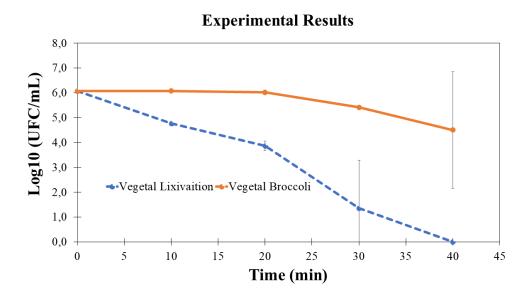


Figure 3. The delivery dose in the experimental setup until 40 min of the circulation process.

The flow rate employed in this study is approximately 62.5 ml/s. The graph illustrates that each data point receives a quantified energy of 0.194 mJ. Nevertheless, the comprehensive fluency is derived through the incorporation of residence time, representing the interval during which the fluid undergoes irradiation across the experimental arrangement—essentially the throughput within the reactor. To accomplish this, it is essential to delineate the control volume (characterized as an infinitesimal unit) and apply equation 2.

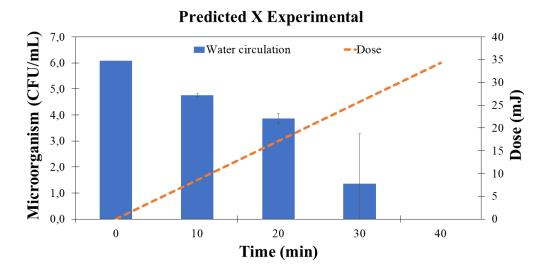
As a result, leveraging this data allowed for the estimation of the complete volume residing within the reactor at 147 ml, while the overall quantity of water employed amounted to 800 ml. The duration necessary for the entirety of the volume to traverse the system at least once is 70 seconds. These figures establish the correlative framework between dose and temporal variation, a dynamic that found application in the experimental depiction presented in the Figure 4.



**Figure 4.** Experimental results and residual contamination in vegetable (continuous line) and water (dash line) in the CFU/ml.

Having elucidated the dose dynamics and garnered endorsement for these mathematical outcomes, the research transitioned into the experimental phase, with a concentrated emphasis on microbiological considerations. The initial stride in this segment encompassed substantiating the viability of leaching vegetable constituents within this configured apparatus, operating under the stipulated physical property parameters.

To achieve this objective, a controlled introduction of clean water was administered into the system, coupled with the incorporation of broccoli, known to harbor E. coli within its foliage. The initial concentration of the microorganism was set at  $10^6$  CFU/ml. The ensuing outcomes are graphically depicted in the accompanying Figure 5.



**Figure 5.** The experimental inactivation in the system versus the calculated dose delivery of the evolution time.

Guided by the theoretical insights, it was ascertained that a duration of 35 to 40 minutes of fluid re-circulation was imperative for the deactivation of microorganisms within the solution. Following this procedural phase, the assessed outcomes pertaining to both the vegetable and the water within the system were graphically represented. This graphical representation juxtaposed the initial concentration of microorganisms against the temporal dimension (Figure 5).

The final phase entailed the determination of kinetics law and the establishment of the mathematical order and dynamics governing microorganism inactivation. Initially, it was inferred that the process adheres to a first-order kinetics. Subsequently, a comparison was conducted between the experimental concentration rate over time and the mathematical kinetics law, with the outcomes succinctly presented in the Figure 6.

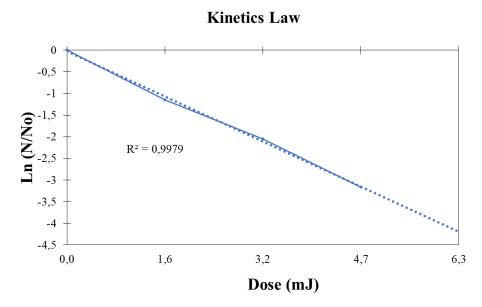


Figure 6. Behavior test to determinate the kinetics order, plot the inactivation ratio versus dose delivery.

### 4. Discussion

The imperative requirement for the advancement of novel technologies to bolster productivity, curtail expenditures, and concurrently alleviate environmental harm is progressively escalating.

The impact of ultraviolet radiation on DNA has been extensively documented in the scientific literature over a prolonged period. Depending on the wavelength of incident UV radiation, two distinct categories of damage manifest within the DNA structure. In the UVA range (320 - 400 nm), the predominant influence is that of indirect effects, owing to the lack of light absorption by nucleic acids within this domain. Nevertheless, this radiation has the potential to engender the production of reactive oxygen species (ROS)[19,20]

ROS emerges from the oxidative processes involving molecular oxygen within mitochondria and other molecules. This oxidative activity leads to the generation of superoxide  $(O_2^-)$ , which subsequently has the potential to evolve into hydrogen peroxide  $(H_2O_2)$  and molecular singlet oxygen  $(1O_2)$ . These ROS possess the capability to induce the oxidation of nitrogenous bases, incite strand fractures, and even precipitate DNA-protein cross-linking[21,22]

Within the scientific literature, the documented minimal nominal dosage needed for the deactivation of E. coli ATCC 8739 is approximately  $8.1 \, mJ/cm^2$ , whereas for E. coli 0157:H7 CCGU 29193, it rests around  $3.5 \, mJ/cm^2$ . In the scope of the present study, the circulation system, operating at a flow rate of  $62.5 \, ml/s$ , demonstrates the potential to administer a dosage of up to 12 mJ. This highlights that the system has the capability to provide fourfold the requisite energy for microorganism inactivation.

These findings signify a 99% and 99.999% diminishment of microorganisms, respectively, in comparison to their initial concentrations at time zero. The ensuing inquiries pertain to the correlation between time and microorganism inactivation, alongside the reactor's efficacy in curtailing biological activity.

The employed technique achieved a reduction of 90% within 20 minutes, 99.9% within 30 minutes, and 99.999% within 40 minutes. This condition underscores the efficacy of microorganism inactivation and facilitated the formulation of a theoretical estimation, approximating that around 35 minutes are requisite for specific outcomes—a projection remarkably close to actuality.

Nevertheless, in the context of the vegetable, the outcome deviates from that observed in the case of water. This divergence can be attributed to the presence of a vortex induced during the leaching procedure of the broccoli within the tank. It becomes imperative to enhance mixing efficiency in this scenario. This objective could be accomplished by augmenting the separation between low-pressure and high-pressure regions, attainable through elevation of the flow rate or introduction of a barrier during the re-circulation phase [23].

Microbiological inactivation by UVC radiation occurs initially through the absorption of radiation by DNA. In this process, two main excited states,  $1\pi\pi^*$  or  $1n\pi^*$ , are formed due to the absorption of photons by pyrimidine molecules present in the nitrogenous bases.[24]

Consequently, the process triggers the formation of pyrimidine dimers, resulting in the generation of three distinct photo-products: 6-4 and 6-4 photoproducts (6-4 PPs), alongside Dewar dimers. Within this spectrum, it is the cyclobutane pyrimidine dimers (CPDs) and 6-4 photoproducts (6-4 PPs) that inflict the most substantial harm to DNA, accounting for 70-80% and 20-30%, respectively [24].

The dosage administered to the microorganism facilitates the generation of these photo-products, subsequently culminating in the inactivation of the bacteria. Consequently, a reduced dosage administered to the microorganism possesses the inherent potential for regeneration, thereby facilitating the recovery of the microorganism.

### 5. Conclusions

In summary, the findings presented here highlight the viable prospect of leaching alongside the simultaneous attainment of efficient microorganism inactivation within the vegetable. Notably, the

experimental investigation centered on broccoli, acknowledged for its intricate leaf structure that imparts intricacies to the leaching process.

What is particularly intriguing is the close concordance observed between mathematical predictions and empirical outcomes. This convergence robustly affirms the prospective success of microorganism inactivation within the circulatory framework, thereby enhancing the reliability of our conclusions.

As a result, this study makes a significant stride in the domain of food engineering, propelling the field of contamination control techniques to new heights. Importantly, it unequivocally underscores the possibility of circumventing reliance on auxiliary chemicals for microorganism inactivation. Instead, it underscores the paramount importance of implementing prudent measures to bolster production while concurrently mitigating environmental impact.

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