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Article

Path Planning of Unmanned Surface Vehicle Based on Improved Sparrow Search Algorithm

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Abstract: In order to solve the problem of many constraints and complex navigation environment in the path planning of unmanned surface vehicle(USV), an improved Sparrow search algorithm combining Cubic chaotic map and Gaussian random walk strategy was proposed to plan it. Firstly, in the population initialization stage, Cubic chaotic map was used to replace the random generation method of the traditional sparrow search algorithm to optimize the uneven initial distribution of the population and improve the global search ability of the population. Secondly, in the late iteration of the algorithm, the standard deviation of fitness is introduced to determine whether the population is trapped in the local optimum. If true, the Gaussian random walk strategy is used to perturb the optimal individual and assist the algorithm to escape the local optimum. Thirdly, the chosen water environment is modeled, and the navigation information of the original inland electronic navigation chart(ENC) is preprocessed, gridized, and the obstacle swelling is processed. Finally, the path planning experiments of USV are carried out in inland ENC grid environment. The experimental results show that, compared with the traditional sparrow search algorithm, the average fitness value of the path planned by improved sparrow search algorithm is reduced by 14.8%, and the variance is reduced by 49.9%. The path planned by the algorithm is of good quality and high stability, and combined with ENC, it can provide a reliable path for USV.

Keywords: improved sparrow search algorithm; unmanned surface vehicle (USV); path planning; chaotic map; inland ENC processing

1. Introduction

The application of unmanned ships in water transportation, environmental monitoring, life-saving, cluster investigation, and other fields is gaining popularity [1]. The key to research in unmanned ship technology lies in the three dimensions of navigation, control, and perception. Navigation is the technology used to approach or avoid obstacle targets[2], and the path planning link in the navigation section is especially crucial. Unmanned ship path planning refers to the planning of a path for an unmanned ship to reach a target point in accordance with a provided map and target points [3].

Existing algorithms for the study of the unmanned ship path planning problem include the A* algorithm, artificial potential field method, reinforcement learning method, and bionic class algorithm [4]. Each of these algorithms has its own advantages for solving the unmanned ship path planning problem, and by improving the traditional algorithms, researchers have achieved good application results under specific conditions [5] expanded the A* algorithm node search from 8 neighborhoods to 24 and 48 neighborhoods to obtain the global optimal solution in a larger optimization space and make the path smoother[6]proposed a new artificial potential field function and added the escape force factor based on Krogh's research, which solved the problems of local minima and unreachability of the target in the traditional artificial potential field method[7]solved the problem of local minima and unreachability of the target by improving the gain parameter of the potential field of Q-Learning reinforcement learning algorithm, improving the problem of high

sensitivity of the parameter to the algorithm and enhancing the algorithm path planning performance[8] based on the traditional ant colony algorithm considered the uneven distribution of initial pheromone, introduced the weight factor, improved the ant colony information update rule and other methods to solve the problem that the algorithm is easy to fall into the deadlocked path. The previously mentioned ant colony algorithm is a type of bionic algorithm, and scholars have proposed a number of similar group intelligence algorithms by analysing the behaviour of biological communities in nature, including the grey wolf algorithm, the ant colony algorithm, the whale optimisation algorithm[9-11], and the sparrow search algorithm.

The sparrow search algorithm is a new population intelligence algorithm proposed by [12] in 2020. It was discovered that the algorithm outperformed the grey wolf algorithm and its equivalent type of algorithms in terms of finding accuracy, robustness, and convergence speed, and the standard test function finding results demonstrated the algorithm's superior performance[13]. Currently, the algorithm has been effectively applied to the 3D UAV trajectory optimisation problem[14], but no research has been conducted on the unmanned ship path planning problem, so a method based on the improved sparrow search algorithm is proposed: First, the Cubic chaotic mapping is used to initialise the population instead of the traditional algorithm's random generation method; then, the fitness standard deviation is introduced in the final iteration of the algorithm to determine if the population is stable. Then, in the final iteration of the algorithm, the standard deviation of fitness is introduced to determine whether the population is trapped in the local optimum, and a Gaussian random wandering strategy is used to perturb the optimal individuals to aid the algorithm in escaping the local optimum; then the selected water environment is modelled, and the original electronic route map is pre-processed, gridized, and obstacle expansion processed; finally, the enhanced algo is implemented.

2. Sparrow search algorithm

The sparrow search algorithm is an intelligent algorithm based on the foraging and antipredation characteristics of the sparrow population, which classifies sparrows into three categories: discoverers, followers, and observers. The explorers seek sustenance for the populace and direct the foraging efforts of the followers. The following is the iterative formula for the discoverers:

$$X_{i,j}^{t+1} = \begin{cases} X_{i,j}^{t} \cdot \exp\left(\frac{-i}{\alpha \cdot iter_{\max}}\right), & R_{2} < s_{f} \\ X_{i,j}^{t} \cdot R \times \lambda, & R_{2} \ge s_{f} \end{cases}$$
(1)

Where, t is the current iteration number, $X_{i,j}$ is the position information of the first sparrow population in the first dimension, $\alpha \in rand(0, 1)$, $iter_{max}$ is the maximum number of iterations, R is a random number obeying a normal distribution, λ is a $1 \times d$ matrix with all elements equal to 1, d is the dimension of the unmanned boat path planning problem, $R_2 \in (0, 1)$, is the warning value of the sparrow population position, and $s_f \in (0.5, 1)$ is the safety value of the sparrow population position.

When $R_2 < s_f$, it indicates that there is no danger in the current foraging environment; when $R_2 \ge s_f$, it indicates that there is danger in the current foraging environment that needs to be signalled, all sparrows must leave their current position and fly to a secure area. During the foraging process, the followers act in accordance with the seeker, and when the finder discovers a superior food source, the followers compete with it; if successful, they receive the finder's food. Followers update their position based on the following equation:

$$X_{i,j}^{t+1} = \begin{cases} R \cdot \exp\left(\frac{X_{\text{worst}}^t - X_{i,j}^t}{i^2}\right), & i > n/2\\ X_p^{t+1} + \left|X_{i,j}^t - X_p^{t+1}\right| \cdot \mathbf{A}^+ \cdot \lambda, \text{ otherwise} \end{cases}$$
(2)

where X_p is the optimal population position, X_{worst} is the worst population position, A is $1 \times d$ matrix, each matrix cell is random-1 or 1, and $A^+=A^T(AA^T)^{-1}$. When i > n/2, the i-th follower cannot find sustenance and must seek for it elsewhere by flying. The function of scouts in sparrow populations is to be aware of danger and lead the population to a secure area, accounting for 10-20% of the total population. The iterative formula for generating the random locations of spies within the population

$$X_{i,j}^{t+1} = \begin{cases} X_{\text{best}}^{t} + \beta \cdot \left| X_{i,j}^{t} - X_{\text{best}}^{t} \right|, & f_{i} > f_{g} \\ X_{i,j}^{t} + k \cdot \left(\frac{\left| X_{i,j}^{t} - X_{\text{worst}}^{t} \right|}{\left(f_{i} - f_{w} \right) + \xi} \right), f_{i} \leq f_{g} \end{cases}$$

$$(3)$$

Where X_{best} is the current global optimal position, β is a step control parameter that follows the standard normal distribution, $k \in [-1,1]$ indicates the direction of individual movement, f_i is the current sparrow fitness value, f_g is the global optimal fitness value, f_w is the global worst fitness value, and ξ is a constant to prevent the denominator from being zero. $f_i > f_g$ demonstrates that the sparrow is at the edge of the population and vulnerable to external attack; $f_i \leq f_g$ demonstrates that the scout is aware of the threat and must abandon the current location.

2. Improved sparrow search algorithm

is shown in the following equation.

2.1. Cubic chaotic map

In comparison to other swarm intelligence algorithms, the sparrow search algorithm has superior performance. However, when solving complex optimisation problems such as unmanned ship paths, there are still issues such as slow convergence speed, reduced population diversity in the late iteration period, and a tendency to converge to local optimal solutions[15]. Taking into account the stochastic and ergodic characteristics of chaos, when the sparrow population is initialised, the majority of scholars use Logistic mapping to ensure the population distribution is uniform[16]. This paper proposes replacing the stochastic generation of the sparrow search algorithm with Cubic chaotic mapping to improve the algorithm's global search performance. Avoid premature convergence. The formula for Cubic chaotic mapping is as follows:

$$c_{n+1} = \rho c_n (1 - c_n^2) \tag{4}$$

where -1< c_n <1, $c_n \neq 0$, n=0,1,2,...,n; ρ is control parameter. To analyse the effect of the value of ρ taking on the chaotic value c_n , the simulation is conducted, and the empirical initial value c_0 =0.315 with a step size of 0.01 is used to acquire the chaotic results shown in Figure 1.

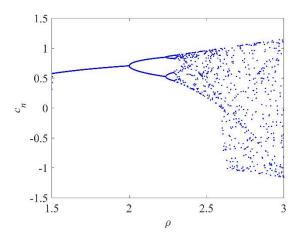


Figure 1. Chaos fork.

Based on Figure 1, when $2.595 \le \rho \le 3$, the chaotic value c_n has a reasonable random distribution effect, taking the extreme value ρ =2.595, $0 < c_n < 1$, and the number of iterations is 2000, Figure 2 displays the results of the sequence distribution of Cubic chaotic mapping. Figure 2 demonstrates that the Cubic chaotic mapping possesses excellent uniform distribution characteristics.

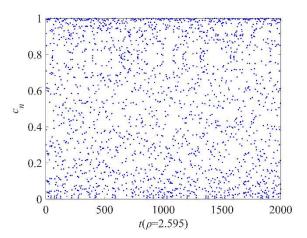


Figure 2. Result of cubic chaotic map.

The Cubic chaotic mapping establishes the sparrow population in the manner described below. The sparrow population consists of N d-dimensional sparrows and generates N d-dimensional vectors C_d before applying equation (5) to map C_d to individual sparrows:

$$X_d^{\text{new}} = \min_d + \left(\max_d - \min_d\right) \cdot C_d \tag{5}$$

Where, \max_d and \min_d are the maximum and minimum values of dth dimensional variable X_d^{new} , The result X_d^{new} obtained from Cubic chaotic mapping are used as the initial population sequence of the sparrow search algorithm, which improves the initial global search capability of the algorithm.

2.2. Gaussian random wandering strategy

The sparrow search algorithm will exist in the late iteration of the local optimum; for this problem, this paper proposes the use of a Gaussian random wandering strategy. First, the concept of fitness standard deviation is introduced to determine if the sparrow population falls into the local optimum.

$$\sigma = \sqrt{\frac{\sum_{i=1}^{N} (f_i - f_a)^2}{f}} \tag{6}$$

where fa is the mean of the overall fitness of the sparrow population, f is the control parameter of the standard deviation σ , and the value of f $\,$ is as follows

$$f = \begin{cases} \max\left\{\left|f_{i} - f_{a}\right|\right\}, & \max\left\{\left|f_{i} - f_{a}\right|\right\} > 1 \\ 1, & \max\left\{\left|f_{i} - f_{a}\right|\right\} \le 1 \end{cases}$$
 (7)

If the difference between the standard deviation of fitness σ before and after is less than the specified value of 10-3, the population is considered to have fallen into a local optimum during the iterative process. The Gaussian random walk strategy is then used to perturb the best individual of fitness fi in the sparrow population in order to help the algorithm jump out of the local optimum. The equation for the generation of new sparrow individuals is shown in the following equation:

4

$$X_{i}^{t+1} = Gauss\left(X_{i}^{t}, \left(-\left(\frac{t}{iter_{\max}}\right)^{2} + 1\right) \cdot \left(X_{i}^{t} - X_{r}^{\text{new}}\left(t\right)\right)\right)$$
(8)

where, X_r^{new} is the random individuals in the new sparrow population, $iter_{\text{max}}$ is the maximum number of iterations, and uses the property that the convex function decreases in the first quadrant: as the number of iterations t increases, the perturbation is gradually reduced. The coarse search and fine search capabilities of the algorithm are balanced.

2.3. Improved sparrow search algorithm implementation process

The flow of the improved sparrow search algorithm is shown in Figure 3.

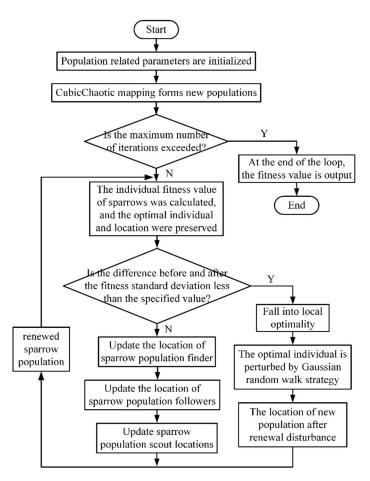


Figure 3. Flow chart of improved algorithm implementation.

According to the implementation flow chart of the improved algorithm, the psedo-code implementation is as follows:

```
int main(void) {

%cubic chaotic map initializes N sparrows and their related parameters;

N,iter<sub>max</sub>,d,X<sub>i. j</sub>,s<sub>f</sub>,A,\beta, \xi, f<sub>w</sub>,...

do

(set the basic parameters to be determined)

While(when the maximum number of iterations is not exceeded iter<sub>max</sub>)
```

```
calculate formula (8),(1),(2),(3);
% Calculate and sort fitness values to identify the current best and worst individuals
If(calculate formula (6),(7), the standard deviation is less than the specified value 10<sup>-3</sup>?)
% decide to fall into local optima
use gaussian walk strategy to perturb the optimal individual;
calculate formula (8) to obtain the perturbed new sparrow population X_i^{t+1};
}
else
{
calculate formula (1) to obtain the location of the sparrow-finder population;
calculate formula (2) to obtain the location of the sparrow-follower population;
calculate formula (3) to obtain the location of the sparrow-scouter population;
while(when the maximum number of iterations is exceeded itermax)
output the optimal individual X_{best}, the optimal fitness f_g;
return 0;
}
```

3. Environment Modeling

Unmanned ships need to carry out environment modeling before path planning, with the purpose of representing the unmanned ship information, navigation information, and obstacle information in the navigation environment. Accurate modeling of map environment is a prerequisite for autonomous path planning of unmanned ships. An important factor to be considered in establishing an environmental model is the structure of the environmental model. If the structure is relatively simple, less data will be occupied and important environmental information may be lost. If the complexity of the modeling structure is high, the modeling time is long, and it is difficult to reflect the real-time change information of the environment. Therefore, it is particularly important to determine the model method suitable for the path planning of unmanned ships. At present, domestic and foreign research on unmanned ship environment modeling mainly includes grid method, voronoi diagram method, topological diagram method, etc [17]. Considering that the subsequent environment modeling will be based on electronic route map, in order to fit the electronic route map environment, this paper adopts grid method modeling.

3.1. Pre-processing of navigational information

The electronic route map is a digital map that provides necessary geographic information and route information for ships during navigation. The environmental information of the section from Zhenjiang Port to Yangzhou Port is selected for study. The electronic channel map of Zhenjiang Port-Yangzhou Port section is shown in Figure 4.

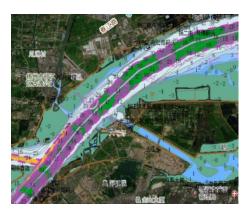


Figure 4. ENC chart of Zhenjiang port - Yangzhou port.

For the studied route planning problem, the channel information provided by the electronic channel chart is too comprehensive (e.g., virtual beacons, passing ships, meteorology, isobaths, etc.) to be used directly as a map for route planning; therefore, it is necessary to extract navigational feature information from the electronic channel chart, analyse the navigational information, and save it. In this paper, the fuzzy C-mean clustering algorithm is used to process the navigational information: first, the navigational information of the electronic route map is characterised, and the information applicable to unmanned vessel path planning is recorded, such as navigable water, land, etc.; segmentation is performed based on the characteristics, and the segmented fields are stored in the node graphic container; and finally, the segmented fields are stored in the node graphic container. Figure 5 is a flowchart of the extraction and analysis of electronic route information, and Figure 6 depicts the electronic route map after the aforementioned procedure has been applied.

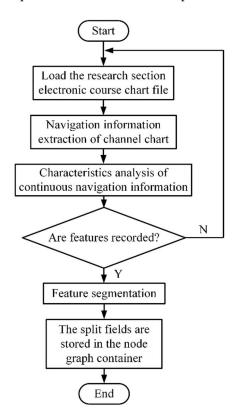


Figure 5. Flow chart of electronic channel information analysis.



Figure 6. Pre-processed ENC.

3.2. Grid method and obstacle swelling treatment

After the pre-processed electronic channel map is obtained, the next step is to calibrate the location information of the map environment. In this paper, the grid method is used to process the map. The raster map has the advantages of easy construction, clear description of environment, unique location, etc. After the raster map data is processed, the map information is transformed from geoinformation system to information that can be solved by programming.

The environment modeling based on the grid method first needs to determine the coordinates of the map in the grid environment to facilitate the positioning. The grid coordinates are specified to increase from left to right and from bottom to top, set the grid number of the m×n grid as i and the grid area as 1. The coordinates of the grid center point can be obtained from the following equation

$$\begin{cases} x = \text{mod}(i, m) - 1/2 \\ y = \text{int}(i, n) + 1/2 \end{cases}$$
(9)

where x and y represent the centre point's coordinates in the grid environment , Figure 7(a) depicts the information of each point in the 1010 grid environment. Due to the small size of the unmanned ship in comparison to the surface navigation environment, the process of the unmanned ship performing the autonomous navigation task in the grid environment can be compared to the motion of a mass point in a two-dimensional plane for the sake of algorithm study. However, the grid size setting has a direct influence on the construction of the environment model and the affect of path planning during the actual grid processing procedure. When the determined grid is small, the grid map can clearly represent the navigation environment characteristics of the unmanned ship, but it also occupies a large amount of storage space, affecting the real-time algorithm solution, resulting in a decrease in the speed of the unmanned ship path planning, thereby reducing the manoeuvrability and flexibility of the unmanned ship; when the determined grid is large, the navigation environment characteristics of the unmanned ship are less clear. Consequently, it is essential to determine an appropriate grid size. The side length of a single gridLd is determined by 1.5 times the ship's length using this method.

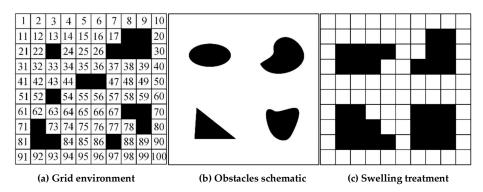


Figure 7. Grid method and Obstacle swelling treatment.

(

To ensure that the unmanned ship does not collide with obstacles while sailing, this paper adopts expansion processing for obstacles, stipulating that in the modelling process, when the unnavigable area occupies less than one cell grid, the default expansion is one cell, stipulating that the unmanned ship is prohibited from sailing along the edge of the obstacles, and the reserved safety distance is 0.5 grid; when there is no collision between the path and the obstacle, the individual fitness value of the sparrow is equal to the sum of the length of the path and the turning energy consumption, the consumption of right-angle turning is 0.4, and the consumption of obtuse corner turning is 0.2. Figure 7(b) simulates the situation of irregular obstacles, and the raster map environment after expansion treatment is shown in Figure 7(c).

In order to save the map information into the computer as boolean form, it is necessary to obtain the black and white map before the grid method is used to model the electronic channel chart. OSTU image segmentation method is used to process Figure 6 [18]. OSTU image segmentation method has the advantages of easy implementation, small size and good stability, and has become the most widely used segmentation technology in image segmentation, especially in the range of images with different gray levels of the target and background. The principle of OSTU image segmentation is: first determine whether the feature attributes of each pixel in the map meet the pre-set threshold requirements, then determine whether the pixel in the map belongs to the target region or the background region, and finally convert the series of color images into a binary map. The segmentation threshold set by this method is 100, and the obtained binary electronic channel diagram is shown in Figure 8.



Figure 8. ENC after OSTU segmentation.

Then, the above grid method and obstacle expansion treatment are applied to Figure 8, and the binarized map is saved in the form of grid array. The grid environment model of the electronic channel chart of Zhenjiang Port and Yangzhou Port is finally obtained, as shown in Figure 9. After the grid environment model suitable for path planning of unmanned ship is obtained, the relative position information of unmanned ship during sailing (location information of individual sparrows in the population) and the horizontal and vertical coordinates of the grid map are mapped, so as to prepare for the subsequent simulation test of unmanned ship path planning.



4. Experimental simulation and evaluation

To verify the feasibility and efficacy of the constructed electronic route map grid environment model and the improved sparrow search algorithm, simulation experiments are performed on the MATLAB R2019b platform for the traditional sparrow search algorithm and the improved sparrow search algorithm. In order to demonstrate the superiority of the enhanced sparrow search algorithm over other heuristic intelligent search algorithms, the simulation portion of this paper introduces the particle swarm search algorithm as a comparison algorithm.

In order to reflect the distinctions between the traditional sparrow search algorithm and the improved sparrow search algorithm in this paper, the sparrow search algorithm's fundamental parameter settings are kept the same, as shown in Table 1.

Parameters	Value
Number of populations N	100
Number of iterations itermax	500
Warning value R2	0.8
Percentage of discoverers	0.3
Percentage of followers	0.2
Proportion of scouts	0.15

Solution space dimension

Table 1. Basic parameter settings for sparrow search algorithm.

The particle swarm search algorithm is an evolutionary computational method whose fundamental concept is to discover the optimal solution through collaboration and information sharing among a population's individuals. Each particle in the swarm possesses two types of characteristic information: velocity characteristic information and position characteristic information. Each particle autonomously investigates the local optimal solution in the search space and stores it as the extreme value of the current individual. and positional details. In this investigation, the control variable concept is utilised, and Table 2 displays the parameter settings of the particle swarm algorithm.

20

Parameters	Value
Number of populations N	100
Number of iterations itermax	500
Learning factor C_1	2.5
Learning factor C_2	2.5
Inertia weights	1

Table 2. Parameter settings for particle swarm optimization.

In order to compare the results of the improved sparrow search algorithm, the traditional sparrow search algorithm, and the particle swarm search algorithm, 50 simulation experiments are conducted using the 2020 grid environment model for the traditional sparrow search algorithm, the improved sparrow search algorithm, and the particle swarm search algorithm, with the same

experimental starting point, end point, path evaluation method, etc. The starting point of the path is (1, 1) and the ending point is (20, 20). The path adaptation value equals the path length plus right angle turns minus 0.4 and obtuse angle turns minus 0.2. One of the simulation outcomes is depicted in Figure 3.12 and 3.13, where the optimal traditional sparrow search algorithm adaptation value is 33.17, the enhanced sparrow search algorithm adaptation value is 29.81, and the particle swarm optimisation algorithm adaptation value is 0.00. Table 3 displays a comparison of the comprehensive experimental data.

Particle swarm search Traditional sparrow search Improved sparrow search Path metrics algorithm algorithm algorithm Optimum fitness 33.68 33.17 29.81 value 11 10 3 Number of Turns 35.96 35.34 30.11 Mean value 0.60 0.67 0.94 Mean time Variance 17.03 9.33 18.62

Table 3. Data comparison of 50 simulation experiments.

Figure 10 and Table 3 demonstrate that the improved sparrow search algorithm achieves smaller fitness values than the traditional sparrow search algorithm, as evidenced by the 10.13 percent decrease in the optimal fitness value, the 70 percent decrease in the number of turns, and the 6.3 percent decrease in the average fitness value. Specifically, the enhanced sparrow search algorithm is more stable, as demonstrated by a comparison of variance data.

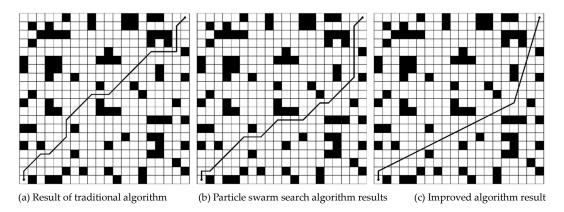


Figure 10. Both algorithms result in a grid environment.

The enhanced sparrow search algorithm obtained smaller fitness values than the particle swarm search algorithm, as evidenced by the 11.49% reduction in the optimal fitness value, 72.7% reduction in the number of cycles, and 7.92% reduction in the average fitness value.

In the initialization phase of the population, the Cubic chaos mapping improves the algorithm's global search capability, while the introduction of the Gaussian random walk strategy reduces the algorithm's likelihood of achieving a local optimum. Moreover, the average time spent is only 0.27 seconds slower than the traditional sparrow search algorithm and 0.34 seconds slower than the particle swarm algorithm, demonstrating that the introduction of Cubic chaos mapping to initialise the population and the Gaussian random walk strategy do not significantly increase the computation time of the improved sparrow search algorithm and meet the real-time requirement for the path planning of unmanned ships.

In order to validate the efficacy of the enhanced sparrow search algorithm in path planning under the electronic route map grid environment, simulation experiments are conducted to validate

the algorithm's practicability. The results of the improved algorithm in the electronic route map grid environment are depicted in Figure 11, where the planned path begins at Zhenjiang port and ends at Yangzhou port, whose corresponding coordinates begin at (2, 2) and end at (50, 50) in Figure 11.



Figure 11. Result of the improved algorithm in ENC grid environment.

Figure 11 depicts the enhanced sparrow search algorithm with an adaptation value of 63.97 and an iteration time of 2.99 seconds. The fact that planning from Zhenjiang port to Yangzhou port requires only three instances of ruddering and the waypoints are reasonably distributed demonstrates the efficacy of the proposed algorithm for unmanned ship path planning in a channel chart grid environment.

Figure depicts an enhanced sparrow search algorithm with an adaptation value of 63.97 and an iteration time of 2.99 seconds. The fact that only three turns are required to plan from Zhenjiang port to Yangzhou port, and that the navigation points are reasonably distributed, demonstrates the efficacy and applicability of the proposed algorithm for unmanned vessel path planning in a channel map grid environment.

5. Conclusion

Cubic chaotic mapping is used instead of the traditional random generation of the sparrow search algorithm to optimise the problem of uneven population distribution at the initialization stage of the population; at the later stage of the iteration algorithm, the standard deviation of fitness is introduced to determine whether the population falls into the local optimum, and the Gaussian random wandering strategy is used to perturb the Since t. The simulation experiments demonstrate that the improved sparrow search algorithm has enhanced global search capability, avoids sliding into local optimum, and can produce stable and reliable navigation paths when combined with electronic route maps.

Engineering must take into account wind and current disturbances in the environment, the unmanned ship's own manoeuvrability, fuel economy, and other factors in order to realise the actual navigation. The research presented in this paper is merely a preliminary discussion in the field of unmanned ship path planning, which we hope will stimulate further research.

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