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Article

The Maternal/Fetal, Newborn Exposure to Certain Antibiotics, Might Promote the ASD-Related Dysbiosis in European Countries; Supporting Experimental Observations

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Abstract: Autism spectrum disorders (ASDs) are complex, lifelong, neurodevelopmental conditions of largely unknown cause. The global prevalence of autism has increased twentyfold to thirtyfold since the earliest epidemiologic studies were conducted in the late 1960s and early 1970s. Recent reports agree on the association of ASD with the alteration of the microbiome (dysbiosis), which raises the possible role of external factors. Our study aimed at identifying antibiotic classes that might be associated with the development of ASD-related dysbiosis either promoting, or inhibiting the process. Statistical comparison was made between the average yearly consumption of different antibiotic classes (1997-2020) and the number of individuals living with ASD estimated for 2023/100000 population in 30 European countries and the results were statistically analyzed. Tetracycline (J01A) showed significant positive (promoting) association with the prevalence of ASD (Pearson *r*: 0.373, *p*: 0.043. OR: 1.312, CI95%: 0.995-1.791, *p*: 0.065) and narrow-spectrum, beta-lactamase resistant penicillin (J01CF) (Pearson *r*: 0.524, *p*: 0.003, OR: 3.240, CI95%: 1.710-8.853, *p*: 0.004, Kruskal-Wallis *p*: 0.032). Mild, negative (inhibitory) association was observed with broad-spectrum, beta-lactamase sensitive penicillin (J01CA) (Pearson *r*: -0.278, *p*: 0.157, OR: 0.808, CI95%: 0649-0957, *p*: 0.028) and narrow-spectrum, beta-lactamase-sensitive penicillin (J01CE) (Pearson *p*: -0.032, *r*: 0.865, OR: 0.725, CI95%: 0.543-0.885, *p*: 0,009). Our findings strongly support the animal experiments when penicillin exposed newborn mice developed "autism-like" behavior.

Keywords: Autism spectrum disorder (ASD); microbiome; antibiotics; penicillin; tetracycline; gutbrain axis (GBA)

1. Introduction

Autism spectrum disorder (ASD), which was first described by Karner (1942) is a neurodevelopmental disease, which is characterized by deficits in social communication and the presence of restricted interests and repetitive behaviors [1]. Its recent, pandemic-like spread is alarming and it became a public health issue. According to the Centers for Disease Control and Prevention (CDC) report in 2018, the ASD prevalence among children by 8 years has been estimated as 16.8/1000 (1:56) with more boys (26.6/1000 boys) than girls (6.6/1000 girls), which means a 150% increase from 2000 to 2014 [2]. The World's prevalence of ASD has increased several folds since the first epidemiological observations in the past ~50 years and has reached the level of 1-2% of all children by 2000. The reasons for the increase could not be clearly stated, but researchers agree that better diagnostic criteria and some external factors might play a role [2].

People did not know much about autism before the excellent film of Rain man, but the pandemic of autism is rapidly spreading and by now, 1 in 56 children have a chance to develop autism and we

are still not capable to show up any appropriate reason for this ailment, despite the fact, that several associations of different conditions were suspected as a causative agent [3,4]. Genetic mechanisms account for approximately 10–20% of ASD cases [5,6]. It was observed, that vancomycin treatment ameliorated the symptoms of ASD, suspecting the role of some clostridial bacteria [7–9]. The abundance of Clostridium bolte in autism prompted speculation about the use of developing a vaccine against this pathogen [10]. Desulfivibrio was suspected as an etiological agent in autism also [11]. Several publications support the role of intestinal bacteria [12,13]. The role of transvaginal ultrasonography [14], and conjugate vaccines [15] accumulation of insulin-like growth factors [16]. Other reasons leading to autism were also discussed in the literature [17]. A few of the latest proposal includes the role of electromagnetic frequency and radiofrequency radiation exposures (EMF/RFR) [18]. Tylenol/Augmentin and pesticide combined are suspected also [19]. Moreover, new evidence suggests that the peripheral immune system plays an important role in normal neuronal function [20–22].

ASD comprises a group of developmental disorders, starting in early childhood and characterized by pervasive behavioral deficits and social interaction [23]. The International Classification of Diseases and the Diagnostic Statistical Manual that the ASD group includes childhood autism, atypical autism, Asperger's syndrome, disintegrative disorders, and Rett syndrome. ASD is considered genetically and phenotypically as a heterogenous group of symptoms with various severity, symptomatology, and outcome [24]. All manifestations are considered serious, devastating manifestations regarding the outcome, and co-morbidity, which bears down the affected families, society, and the health care systems alike. The overlap between autism and childhood obesity indicates that autistic patients are obese as well. Recent publications in increasing numbers indicate the role of microbiome alteration (dysbiosis) in autism. Experiments proved that fecal samples from autistic children when injected into germ-free mice, produce similar symptoms [25,26].

In ASD cases the balance between the pro-inflammatory bacteria (clostridia and desulfivibrio) and the anti-inflammatory bacteria (bifidobacteria) destabilized even before the symptoms of ASD occurred. This imbalance results in the so-called "leaky gut" syndrome and through the more porous epithelial membrane, toxins, produced by the microbes, are easily can enter the circulation, which may affect the brain and the development of ASD [27,28]. The alteration of the intestinal microbial taxa in ASD cases is repeatedly reported as the reduction of Firmicutes and an increase in the number of Bacteroidetes [29].

Other investigators observed the relative abundance of other bacteria, like Clostridia, Caloramator, Alistipes, Sarcina, Akkermansia, Lactobacillus, Enterobacteriaceae, and Sutterellaceae [30–34], and the reduction in the abundance of Bifidobacteria, Desulfovibrio, Coprococcus, Veillonellaceae and Prevotellaare species [8]. The pathogenetic process of ASD might be associated with the overgrowth or the reduction of different species, like Clostridium, which develops particularly with the use of antibiotics [11,35–39].

The presence of an altered microbiome (dysbiosis) in ASD is well documented and its possible role in the development of ASD has been reported also (see above references). It was hypothesized that antibiotic exposure to the microbiome might produce "ASD-promoting" dysbiosis in the mother, or the early modification of the gut microbiome in infancy might facilitate the development of ASD through the action of different mediator molecules involving the gut-brain axis (GBA) [40].

In mice, in utero exposure to the anticonvulsant valproic acid (VPA), leads to developmental and behavioral deficits in offspring that are similar to ASD [41].

Recent studies indicated two specific microbiota-derived host metabolites, p-cresol sulfate, and 4-ethyl phenyl sulfate, have been associated with ASD in both patients and animal models. These metabolites originate from bacterially produced p-cresol and 4-ethylphenol, respectively, which are produced through aromatic amino acid fermentation by a range of commensal bacteria, most notable bacteria from the Clostridioides genus, which are among the dysregulated bacteria frequently detected in ASD patients [42].

Epidemiological studies have revealed that early-life antibiotic exposure can increase the risk of neurodevelopmental disorders later in life [43,44].

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Hypothesis

It is of consideration that different classes of antibiotics might induce different dysbiosis; hence, different molecular products of the altered microbiome leaking in the circulation might augment or inhibit the development of ASD. We have aimed to identify antibiotic classes, which might promote or inhibit the development of ASD-related dysbiosis and hence the prevalence of ASD.

2. Materials and methods

To evaluate the above hypothesis, antibiotic consumption databases were compared to the autism (ASD) prevalence in countries of people living with autism/ 100000 population in 30 European countries estimated for 2023 (https://wisevoter.com/country-rankings/autism-rates-by-country/).

Average, yearly antibiotic consumption had been calculated from the publicly available antibiotic databases of 30 European countries (ECDC yearly reports) for 1997-2020 https://www.ecdc.europa.eu/en/antimicrobial-consumption/database/quality-indicators. Based on the Anatomical Therapeutic Chemical classification system (ATC), the average yearly consumption of the total systemic antibiotics (J01) has been calculated and expressed in Defined Daily Dose/1000 Inhabitants/ Day (DID). The consumption of major antibiotic classes covering the 94% antibiotic use in the community at ATC levels two and three were calculated as a relative share of the total amount of systemic antibiotics (J01) and expressed in percentage (%). Antibiotic classes included: tetracycline (J01A), penicillin (J01C), broad-spectrum, beta-lactamase sensitive penicillin (J01CE), narrow spectrum, beta-lactamase resistant penicillin (J01CF), broad-spectrum, beta-lactamase resistant combination penicillin (J01CR), cephalosporin (J01D), macrolide and lincosamides, streptogramins (J01F), and quinolone (J01M) expressed in percentage of the total amount.

Statistics

Pearson calculation was applied to estimate the correlation between antibiotic consumption and the prevalence of ASD. A significant correlation (positive/negative) was considered when p values were ≤ 0.05 . A non-significant correlation was estimated when the p values fall between 0.051-0.09. Positive (supportive) and negative (inhibitor) significant correlations were considered and evaluated. Logistic regression analysis was performed to determine the odds ratio (OR, CI 95%) for each antibiotic class. A significant result was estimated when p values were equal to or less than 0.05 (\leq 0.05). A non-significant positive/negative) correlation has been considered when the p-value falls between 0.05 and 0.09 (p: 0.05 \leq 0.09). The Kruskal–Wallis test was performed to determine statistically significant differences among the groups formed by country ranking. A significant difference was considered when p values were equal to or less than 0.05 (\leq 0.05), and a nonsignificant difference was considered when p values were between 0.051–0.09. Statistical results were recorded and featured in Table 1. Scatter diagrams were plotted to demonstrate the association (positive/negative) between the prevalence of autism and the average consumption of narrow spectrum, beta-lactamase resistant penicillin (J01CF) and tetracycline (J01A), (Figures 1 and 2).

Table 1. Average antibiotic consumption in 30 European countries between 1997-2020 expressed as the relative share (%) of the total consumption of systemic antibiotics in the community (expressed in DID) and the rank order of ASD prevalence/100000 inhabitants. Significant positive correlations marked with yellow filling color and the negative correlation marked with green filling color. Non-significant correlations marked with blue filling color.

Average antibiotic consumption J01 (DID) J01A% 1997-2020	J01C%	J01CA%	J01CE%	J01CF%	J01CR%	J01D%	J01F%	People living with ASD 2023/1000 00 populatio
								populatio

Countries											
UK	15.294	26.259	38.257	21.296	4.878	7.447	4.799	3.570	17.177	3.492	700.070
Sweden	13.370	21.960	47.771	7.831	28.579	9.970	1.361	2.094	5.460	6.171	661.850
Netherlands	9.218	24.995	32.046	13.734	3.873	3.884	10.545	0.966	15.003	9.069	591.540
Ireland	18.256	16.608	45.021	15.425	5.094	6.113	18.482	8.600	18.695	4.700	583.690
Iceland	19.148	25.804	48.146	17.715	12.774	5.907	11.657	2.961	8.147	4.162	543.420
Luxembourg	22.410	9.768	35.582	13.788	0.393	0.826	20.607	18.237	17.840	10.768	541.660
Malta	18.465	7.344	33.929	2.908	0.498	0.336	30.176	21.847	20.422	11.373	537.950
Cyprus	27.338	11.800	34.582	11.405	0.369	0.095	22.803	21.666	11.435	16.911	535.350
Spain	17.697	4.826	51.794	20.405	0.571	1.232	29.011	11.804	14.341	13.330	535.140
Belgium	21.427	11.051	40.720	17.716	0.425	1.195	21.366	11.140	14.958	10.295	526.130
Austria	11.683	8.816	36.386	7.010	8.097	0.068	21.176	13.396	26.329	11.221	526.020
Germany	12.610	20.412	27.113	16.122	8.438	0.111	2.395	15.131	18.184	9.556	525.310
Italy	21.524	2.407	42.241	16.303	0.060	0.070	25.832	13.171	21.957	14.277	516.090
Greece	30.474	8.470	28.152	13.415	1.447	0.013	13.185	23.571	26.337	8.814	515.700
Finland	16.157	24.293	28.217	14.539	9.371	0.297	4.023	12.960	9.123	4.945	514.680
Portugal	18.094	5.610	43.307	11.087	0.155	3.078	29.004	12.756	17.569	13.795	496.610
Norway	15.046	19.567	40.635	12.754	24.465	3.356	0.060	0.957	10.415	3.110	457.900
France	24.478	13.171	46.666	27.796	0.735	1.446	16.615	11.512	16.697	7.856	425.410
Denmark	14.068	9.937	62.425	19.420	32.521	7.840	2.687	0.213	14.437	3.234	403.840
Estonia	10.947	18.928	33.808	22.125	2.412	0.091	9.199	8.148	17.786	7.582	369.490
Lithuania	16.714	9.908	52.315	30.531	13.312	1.191	7.341	7.784	10.452	5.881	369.320
Latvia	10.653	21.862	37.924	25.505	0.901	0.019	11.508	5.107	13.470	9.218	362.530
Slovenia	12.873	4.109	55.543	16.686	15.397	1.150	22.380	4.350	19.125	9.827	351.370
Czech Rep.	14.685	15.887	37.719	9.227	12.782	0.443	15.131	10.555	20.620	7.014	350.850
Slovakia	21.098	8.660	39.241	10.579	13.366	0.081	15.253	17.082	21.955	8.731	349.180
Croatia	17.911	7.839	42.326	13.774	5.622	0.190	22.746	17.710	14.745	8.352	346.790
Poland	18.773	14.228	32.952	19.459	2.243	0.117	11.144	12.332	17.823	6.797	345.130
Hungary	14.711	10.842	35.817	9.972	4.310	0.000	21.508	14.696	20.760	12.528	340.310
Romania	22.897	4.044	46.840	17.745	3.035	2.494	23.925	18.697	12.517	12.901	335.890
Bulgaria	17.828	13.383	36.740	22.655	5.346	0.107	8.750	14.988	14.522	11.168	335.580
Pearson R	0.039	0.373	-0.146	-0.278	-0.032	0.524	-0.078	-0.157	-0.121	-0.089	
Pearson p	0.839	0.043	0.442	0.137	0.865	0.003	0.682	0.408	0.523	0.640	
OR	1.077	1.312	1.131	0.808	0.725	3.240	0.892	1.073	1.063	1.296	
CI95%	0.922 -	0.995 -	0.934 -	0.649 -	0.543 -	1.710 -	0.747 -	0.859 -	0.868 -	0.954 -	
C195 /6	1.259	1.791	1.395	0.957	0.885	8.852	1.029	1.354	1.308	1.811	
p	0.348	0.065	0.221	0.028	0.009	0.004	0.154	0.534	0.554	0.107	
Kruskal-	0.679	0.342	0.606	0.668	0.278	0.032	0.481	0.733	0.447	0.903	
Wallis p	0.079	0.342	0.000	0.000	0.2/0	0.032	0.401	0.733	0.44/	0.703	

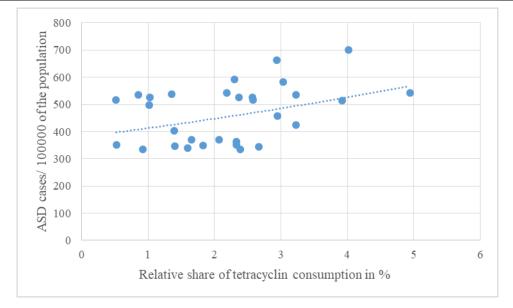


Figure 1. Significant, positive association observed between ASD prevalence and the consumption of tetracycline.

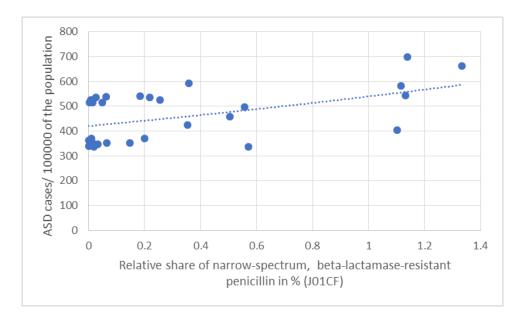


Figure 2. Significant, positive association observed between ASD prevalence and the consumption of narrow-spectrum, beta-lactamase-resistant penicillin (j01CF).

3. Results

Tetracycline (J01A) consumption showed a significant positive (promoting) association with the prevalence of ASD (Pearson r: 0.373, p: 0.043. OR: 1.312, CI95%: 0.995-1.791, p: 0.065) and narrow-spectrum, beta-lactamase resistant penicillin (J01CF) (Pearson r: 0.524, p: 0.003, OR: 3.240, CI95%: 1.710-8.853, p: 0.004, Kruskal-Wallis p: 0.032). Mild, negative (inhibitory) association was observed with broad-spectrum, beta-lactamase sensitive penicillin (J01CA) (Pearson r: -0.278, p: 0.157, OR: 0.808, CI95%: 0649-0957, p: 0.028) and narrow-spectrum, beta-lactamase-sensitive penicillin (J01CE) (Pearson p: -0.032, r: 0.865, OR: 0.725, CI95%: 0.543-0.885, p: 0,009).

4. Discussion

Early life is a critical period for neurodevelopment. In recent decades, there has been a rise in the incidence of childhood neurodevelopmental disorders including ASD, attention-deficit/hyperactivity disorder (ADHD), and learning disabilities worldwide. It has been observed that the incidence of ASD increased significantly over time, especially among toddlers and preschool children but also in older age groups [45]. Recent publications (cited above) agree on the principal role of certain gut bacteria in the development of autism, particularly of clostridia species. This theory was supported by the fact that vancomycin ameliorated the symptoms of autism, but it returned after the cessation of antibiotic treatment, probably indicating that after temporally suppressing the clostridial species, the appropriate balance of the gut flora was not restored [46]. Similarly, the fecal microbiome transfer has greatly ameliorated the symptoms of ASD [47–49]. The initial development and maturation of the neonatal microbiome are largely determined by maternal–offspring exchanges of microbiota, which indicates that dysbiotic maternal microbiome might populate the newborn intestine also [50].

The importance of biochemical changes observed early in the newborn, such as the depressed level of insulin-like growth factor-1 (IGF-1) in the process of the neurodevelopmental period, are considered important by several researchers in the development of ASD. This observation leads to early diagnosis and probable intervention in the prevention of developing dysconnectivity. According to Steinmann [51], the process of developing ASD could be preventable even before irreversible psychosocial changes develop.

It might be concluded that biochemical changes observed in ASD, are probably triggered by the altered microbiome induced by antibiotics. Reports on the association between autism and

antibiotics are controversial. Some observations indicate a certain association between prenatal exposure to antibiotics and autism [52], while others found some beneficial effects of perinatal antibiotic use [53]. Controversy might arise from the fact that different antibiotic classes induce different dysbiosis, which either promotes or inhibits the development of ASD-related dysbiosis.

In animal experiments, when newborn mice were exposed to low-dose penicillin, profound changes in the intestinal microbiota were observed, which might be implicated in the perturbance of neurodevelopmental and neuropsychiatric pathways. Significant effects were observed on different areas of the brain (frontal cortex, amygdala gene, etc.). Linkage was observed between the specific microbial taxa and the early-life expression of particularly affected genes [54].

The results derived from animal models [55] strongly support our observations as we have detected the possible association between the consumption of the narrow-spectrum, beta-lactamase-resistant penicillin (J01CF) and the prevalence of autism, which might induce developmental difficulties in the brain, resulting in ASD. Group B Streptococcus (GBS) remains the most common cause of neonatal early-onset sepsis among term infants and a major cause of late-onset sepsis among both term and preterm infants and penicillin is frequently used for prophylaxis [56].

Our comparative analyses indicated a significant, positive correlation between the consumption of tetracycline/penicillin and the prevalence of ASD. It was observed that the highest rate of ASD and the highest tetracycline (J01A) consumption together with the second highest consumption of narrow-spectrum, beta-lactamase resistant penicillin (J01CF) is reported from the UK. Similarly, Sweden records the highest consumption of narrow-spectrum, beta-lactamase-resistant penicillin (J01CF) and fifth place on the tetracycline consumption rank order and second place on the ASD prevalence list. (Table 1). As far as pregnant women, newborn babies, and infants are not taking any tetracycline compound because it is contraindicated, it could be suspected, that tetracycline might arrive from environmental antibiotic pollution, or the women were exposed to tetracycline before being pregnant, which triggered the ASD-related dysbiosis. As a medication, more than 70 % of tetracycline antibiotics are excreted and released in active form into the environment via urine and feces from humans and animals. Tetracycline has become a serious threat to the environment because of its overuse by humans and veterinarians and its weak ability to degrade. Tetracycline is capable of accumulating along the food chain and influencing microbial communities [57]. It might be concluded that higher consumption could result in higher environmental pollution also.

Clinical and experimental data indicate that the risk of developing ASD is associated with the presence of inflammatory changes during pregnancy and the modification of the microbiome that defined gut commensal bacteria with a propensity to induce Th17 cells may increase the risk for neurodevelopmental disorders in the offspring of pregnant mothers undergoing immune system activation due to infections or auto-inflammatory syndromes [58–62].

Animal models (mice) indicated that applying a low dose of Penicillin V induced a more robust behavioral abnormalities response than the cocktail of broad-spectrum antibiotics [63].

The above findings strongly support our observations regarding the possible role of narrow-spectrum penicillin (J01CF) as a possible putative factor in the development of ASD.

4.1. Limitations of our study

Our results could not be interpreted at the individual level, only statistical correlations and concordance could be established, but a firm, statistical correlation between tetracycline and penicillin consumption and ASD was estimated. This might indicate a possible association between maternal consumption of tetracycline/penicillin (dysbiosis) and autism, shown in the scatter diagrams also.

4.2. Strength of our study

The results of our comparative analysis is in full accord with previous animal experiments indicating the role of even low-dose penicillin applied intrauterine, or newborn mice, in the development of autism-like behavior.

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Conflicts of Interest: The authors declare no conflict of interest.

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