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Posted Date: 18 August 2023

doi: 10.20944/preprints202308.1385.v1

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## Article

# Exoplanet Atmosphere Characterization via Transit Spectra Classification

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**Abstract:** This study focused on demonstrating the potential of classification algorithm in the chemical composition characterization of transiting exoplanets. The Python-based module PLATON 5.3 for forward modelling of transiting planet spectra was used to simulate a set of transmission spectra of an exoplanet with size  $R_p = 1.40 \cdot R_{\text{jupiter}}$ , and mass  $M_p = 0.73 \cdot M_{\text{jupiter}}$  orbiting around the host star of size  $M_s = 1.16 \cdot M_{\text{sun}}$  and surface temperature of 1200 Kelvin. The gas composition of the exoplanet atmosphere was varied at low and high levels of 3-gas mix of  $\text{CO}_2$ ,  $\text{O}_2$ ,  $\text{N}_2$  and  $\text{CH}_4$  resulting to eight classes of spectra. The transit spectra were then used as input data to a forward neural network classifier with the eight gas composition classes as target outputs. The trained classifier achieved at most 97.9% overall accuracy.

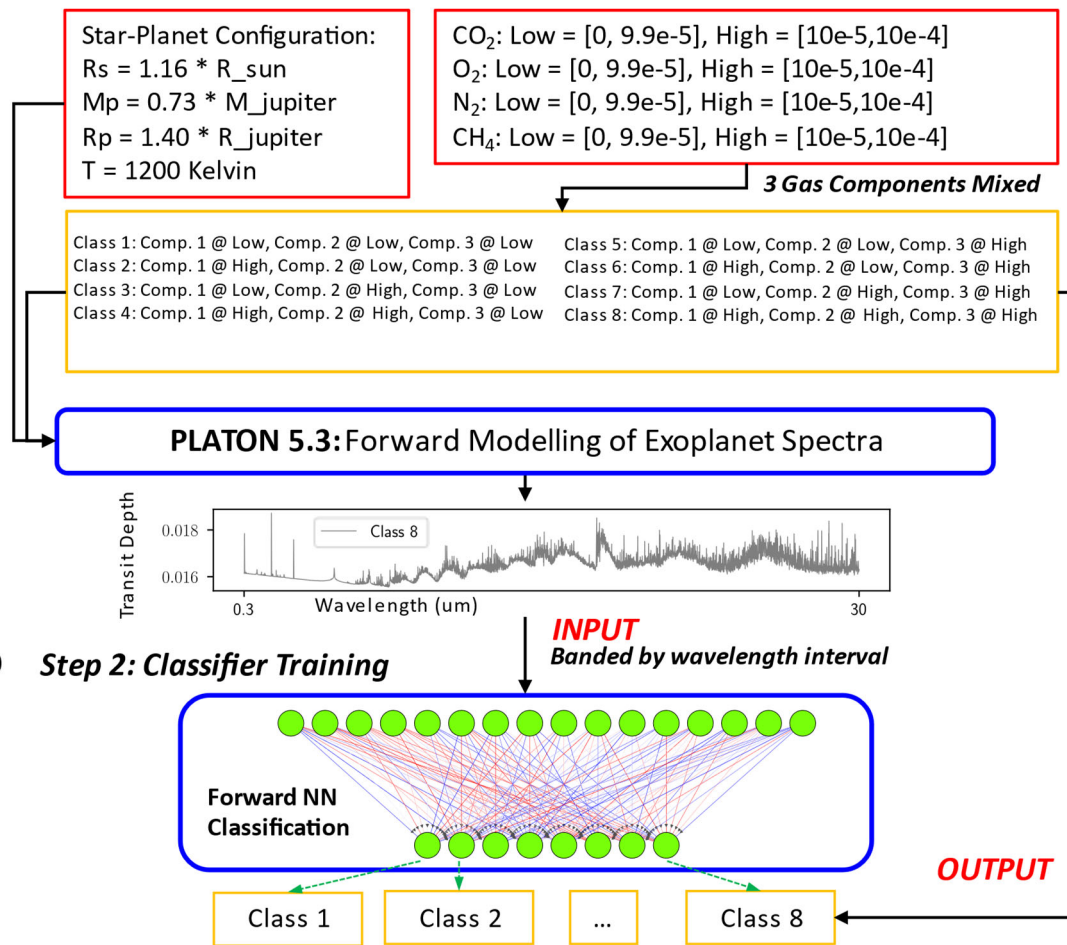
**Keywords:** classification; transit spectra; exoplanet atmosphere

## 1. Introduction

As of February 2023, around 5040 exoplanets have been identified [1] but the characterization of exoplanet atmosphere is still a challenging task amid significant progress in improvement of telescopes and data analytics [2]. Only a handful of observed exoplanets have been characterized in terms of atmosphere composition [3], which is vital for various purposes including the search of habitable planets.

Among the various methods of exoplanet observation for atmosphere characterization, transmission spectroscopy is a common method used [4] specially when the orbit of the exoplanet aligns between the host star and the observing telescope (on Earth or around Earth). Typically, the data analysis task to be done given that an actual measurement has been made is by using calibration spectra to estimate from a measured transmission (absorption) spectra the amounts of the atmospheric chemicals. The data analytics approach study presented in this paper reformulates the data analysis problem by looking at it as a classification problem (Figure 1).

### a Step 1: Data Preparation– Spectra Simulation



**Figure 1.** Schematic overview of the data analytics workflow implemented in this study. a) simulation of transit spectra using the PLATON 5.3 module, b) training a forward NN classifier.

Posing the data analytics task as a classification problem requires two data components when training a classifier: (1) spectral data as input, and (2) label of the spectral data as output. This dataset structure can be created using known models about exoplanet atmosphere composition, and one platform that has been developed for simulation of transmission spectra of transiting exoplanet is the PLATON 5.3 [5], which is a Python-based module. With this data analytics workflow of simulating transmission spectra from randomized levels of gases ( $CO_2$ ,  $O_2$ ,  $N_2$  and  $CH_4$ ) via the PLATON 5.3 platform followed by the training of a forward neural network (NN) classifier (Figure 1), we show in this paper the potential of classification algorithm in characterizing the atmosphere composition of transiting exoplanet by using transit depth-versus-wavelength datasets.

## 2. Methodology

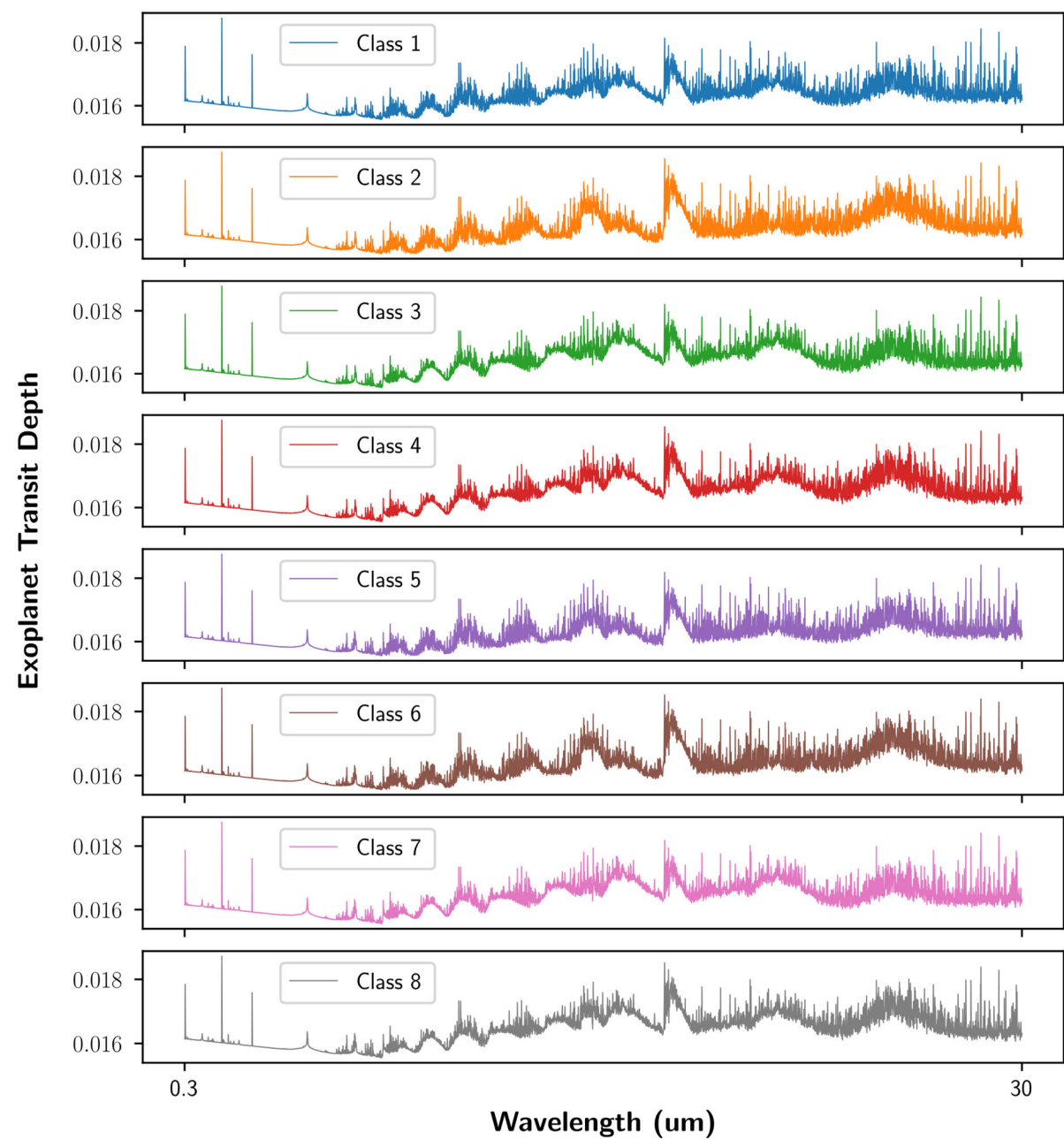
A schematic overview of the data analysis workflow is shown in Figure 1. This data analytics workflow leverages on the capability of transit-depth-versus-wavelength spectral data to capture the characteristic atmosphere fingerprint of the transiting exoplanet [4]. The datasets and Python codes in Jupyter Notebook files used in this study are provided online via the GitHub repository of the work [6].

The transit spectra datasets were simulated using the Python-based module PLATON 5.3 [5] (Figure 1a). The 3-gas mix combinations used in the simulation of spectra are summarized in Table 1. For the atmosphere composition simulations, the following star-planet parameters were used: an exoplanet with size  $R_p = 1.40 * R_{jupiter}$ , and mass  $M_p = 0.73 * M_{jupiter}$  orbiting around the host star of size

$M_s = 1.16 \cdot M_{sun}$  and surface temperature of 1200 Kelvin. These parameter levels were the default settings in the PLATON 5.3 modules example simulation codes [5] and were kept the same in the work. The number of random simulations (n) in each class was varied at  $n = 10$  and  $n = 100$ . A sample graphical rendering of the transit depth-versus-wavelength for the spectra Set I is shown in Figure 2.

**Table 1.** Gas mix simulated in the three spectra sets used in the study.

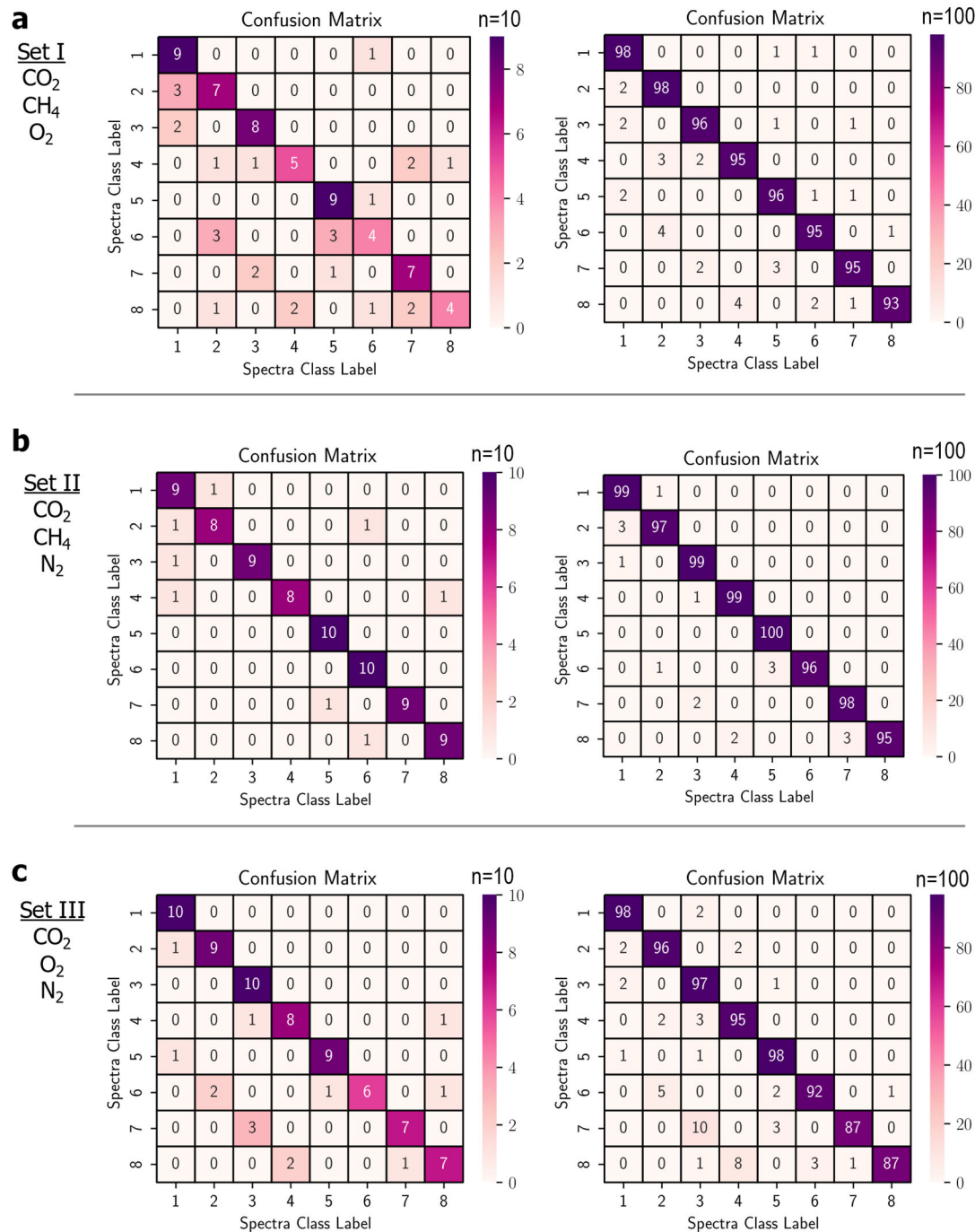
Spectra Set	Gas Component 1	Gas Component 2	Gas Component 3
I	CO <sub>2</sub>	CH <sub>4</sub>	O <sub>2</sub>
II	CO <sub>2</sub>	CH <sub>4</sub>	N <sub>2</sub>
III	CO <sub>2</sub>	O <sub>2</sub>	N <sub>2</sub>



**Figure 2.** Graphical rendering of sample transit spectra for the Set I of experiments. One spectra sample was taken from each class.

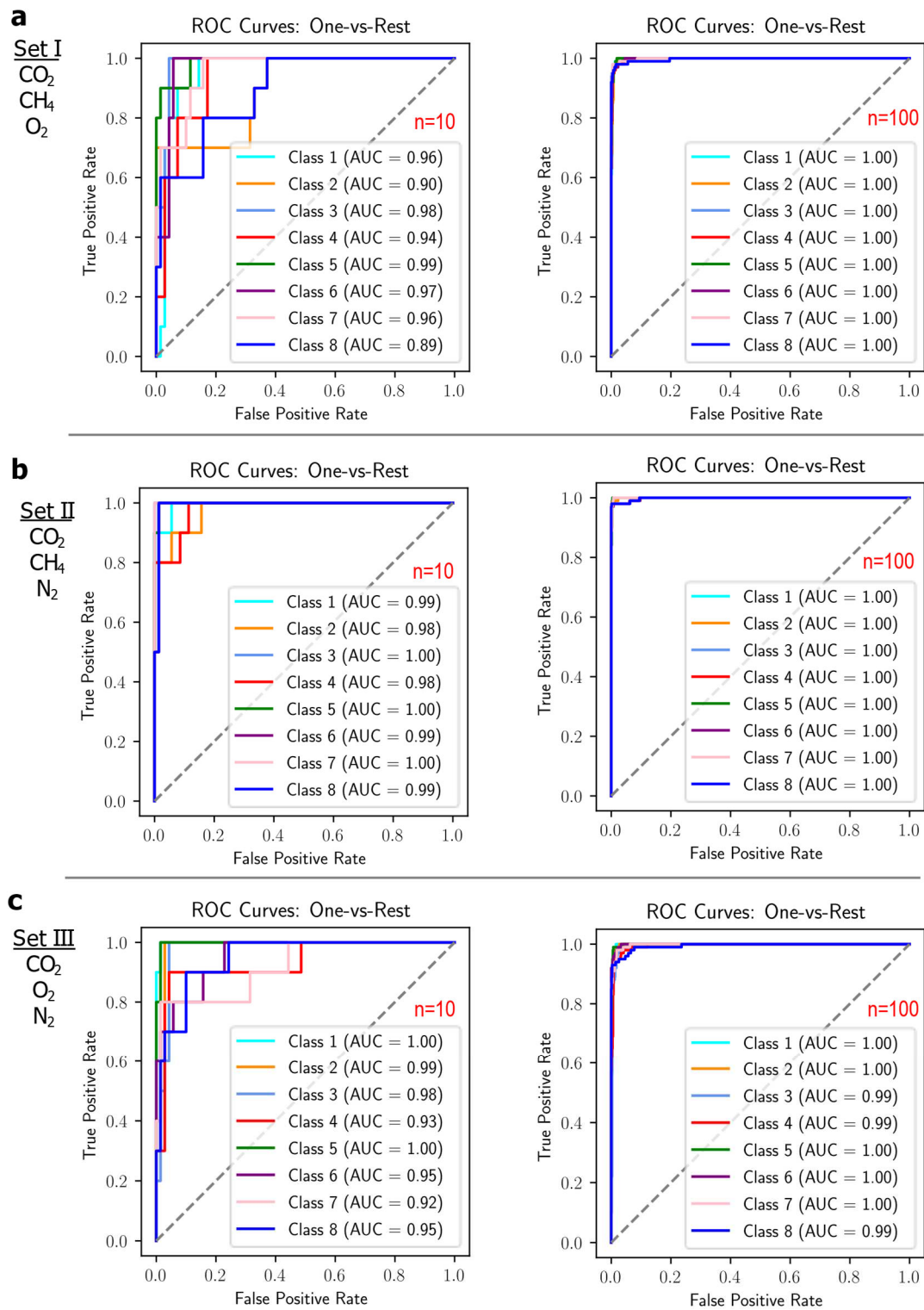
3. Results

The performance of the trained forward neural network classifiers are shown as follows: Figure 3 for the confusion matrix, Figure 4 for the receiver operating characteristic (ROC) curve, and Table 2 for the summary of precision, recall, and F1-score for each class.



**Figure 3.** Multi-class confusion matrix for all the classifier models for varied gas-mix experiments at varying number of random samples in each class n=10 and n=100. a) Set I for CO<sub>2</sub>/CH<sub>4</sub>/O<sub>2</sub> mix, b) Set II for CO<sub>2</sub>/CH<sub>4</sub>/N<sub>2</sub> mix, and c) Set III for CO<sub>2</sub>/O<sub>2</sub>/N<sub>2</sub> mix.





**Figure 4.** One-versus-rest ROC curves for all the classifier models for varied gas-mix experiments at varying number of random samples in each class n=10 and n=100. a) Set I for CO<sub>2</sub>/CH<sub>4</sub>/O<sub>2</sub> mix, b) Set II for CO<sub>2</sub>/CH<sub>4</sub>/N<sub>2</sub> mix, and c) Set III for CO<sub>2</sub>/O<sub>2</sub>/N<sub>2</sub> mix. The highest possible area under the curve (AUC) value is 1.0 indicating good discrimination performance of the classifier favoring very high true positive rate and very low false positive rate.

**Table 2.** Summary of classification performance of the forward NN classifier for sets at n=100.

Set I: CO <sub>2</sub> /CH <sub>4</sub> /O <sub>2</sub> ; n =100				
Spectra Class	Precision	Recall	F1-score	Support
1	0.942308	0.98	0.960784	100
2	0.933333	0.98	0.956098	100
3	0.96	0.96	0.96	100
4	0.959596	0.95	0.954774	100
5	0.950495	0.96	0.955224	100
6	0.959596	0.95	0.954774	100
7	0.969388	0.95	0.959596	100
8	0.989362	0.93	0.958763	100
Overall Accuracy = 0.9575				
Set II: CO <sub>2</sub> /CH <sub>4</sub> /N <sub>2</sub> ; n =100				
Spectra Class	Precision	Recall	F1-score	Support
1	0.961165	0.99	0.975369	100
2	0.979798	0.97	0.974874	100
3	0.970588	0.99	0.980198	100
4	0.980198	0.99	0.985075	100
5	0.970874	1	0.985222	100
6	1	0.96	0.979592	100
7	0.970297	0.98	0.975124	100
8	1	0.95	0.974359	100
Overall Accuracy = 0.97875				
Set III: CO <sub>2</sub> /O <sub>2</sub> /N <sub>2</sub> ; n =100				
Spectra Class	Precision	Recall	F1-score	Support
1	0.951456	0.98	0.965517	100
2	0.932039	0.96	0.945813	100
3	0.850877	0.97	0.906542	100
4	0.904762	0.95	0.926829	100
5	0.942308	0.98	0.960784	100
6	0.968421	0.92	0.94359	100
7	0.988636	0.87	0.925532	100
8	0.988636	0.87	0.925532	100
Overall Accuracy = 0.9375				

#### 4. Discussion

Overall, the trained classifiers can achieve very good classification performance reaching 97.9% overall accuracy. The higher number of spectral data, which is n = 100 in this study, favors higher prediction accuracy. The levels of precision, recall, and F1-score of the trained classifiers also indicate low misclassification rates. Based on these results of training forward NN classifiers on the transit spectra generated via PLATON 5.3, we conclude that a classification algorithm can be a potential method of characterizing the atmosphere of transiting exoplanets.

**Author Contributions:** Conceptualization, D.L.B.F., A.T., A.M., and W.S.; methodology, D.L.B.F. and A.T.; software, D.L.B.F. and A.T.; formal analysis, D.L.B.F., A.T., A.M. and W.S.; writing, D.L.B.F., A.T., A.M. and W.S.; funding acquisition, D.L.B.F. and A.T. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by the Louisiana Space Grant Consortium (LaSPACE), Louisiana USA under their LURA program with sub-award number PO-0000206339 under the primary NASA grant 80NSSC20M0110. A.T. was the funded student and D.L.B.F. was the principal investigator.

**Data Availability Statement:** The datasets and Python codes as Jupyter notebook files are provided online via the GitHub repository of the project: [https://github.com/dhanfort/TransitExoplanet\\_Spectra\\_Classif.git](https://github.com/dhanfort/TransitExoplanet_Spectra_Classif.git) [6].

**Acknowledgments:** We appreciate the continued support of LaSPACE to undergraduate students who want to pursue research in STEM and space-related projects.

**Conflicts of Interest:** The authors declare no conflict of interest. The funders had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript; or in the decision to publish the results.

## Appendix A

In the following equations, these notations are used: TP = True positive; FP = False positive; FN = False negative. F1-score is a measure of accuracy at each class.

### A.1 Equation of Precision

$$Precision = \frac{TP}{TP + FP}$$

### A.2 Equation of Recall

$$Recall = \frac{TP}{TP + FN}$$

### A.3 Equation of F1-Score

$$F1\ Score = \frac{2 \times TP}{2 \times TP + FP + FN}$$

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