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Review

# Structural Studies of Monounsaturated and $\omega$ -3 Polyunsaturated Free Fatty Acids in Solution with the Combined Use of NMR and DFT Calculations - Comparison with the Liquid State

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**Abstract:** Molecular structures, in chloroform and DMSO solution, of the monounsaturated free fatty acids (FFAs) caproic acid (dec-9-enoic acid) and oleic acid (octadec-9-enoic acid) and the  $\omega$ -3 FFAs  $\alpha$ -linolenic acid (9Z,12Z,15Z)-octadeca-9,12,15-trienoic acid (ALA), eicosapentaenoic acid (5Z,8Z,11Z,14Z,17Z)-icosa-5,8,11,14,17-pentaenoic acid and docosahexaenoic acid (4Z,7Z,10Z,13Z,16Z,19Z)-docosa-4,7,10,13,16,19-hexaenoic acid, are reported with the combined use of NMR and DFT calculations. Variable temperature and concentration chemical shifts of the COOH protons and transient 1D NOE experiments, in CDCl<sub>3</sub>, demonstrate the major contribution of low molecular weight aggregates of dimerized fatty acids, through intermolecular hydrogen bond interactions of the carboxylic groups, with parallel and antiparallel interdigitated structures, even at the low concentration of 20 mM. For the dimeric DHA, a structural model of an intermolecular hydrogen bond through carboxylic groups and an intermolecular hydrogen bond between the carboxylic group of one molecule and the  $\omega$ -3 double bond of a second molecule, is shown to play a role. In DMSO-d<sub>6</sub> solution the centro-symmetric hydrogen bond interactions are broken and the carboxylic groups form strong intermolecular hydrogen bond interactions with a discrete solvation molecule of DMSO. These solvation species form parallel and antiparallel interdigitated structures of low molecular weight. DFT structural models in CHCl<sub>3</sub> and DMSO, in agreement with the NMR data, are compared with the structures in the liquid state.

**Keywords:** 1D <sup>1</sup>H NOE; <sup>1</sup>H chemical shift; ALA; EPA; DHA; DFT

## 1. Introduction

Free fatty acids are carboxylic acids with long saturated or unsaturated aliphatic chains, with 4 to 28 carbon atoms, which are stored as triacylglycerol in adipose tissue. Saturated, mono- and polyunsaturated free fatty acids, in the form of glycerolipids and phospholipids, are the major lipid components of cell membranes [1–4]. Fatty acids play essential roles in maintaining the correct membrane fluidity and environment for membrane protein function. FFAs have, also, essential roles in the regulation of energy metabolism, inflammation, neurological and cardiovascular diseases [3–9]. Omega-3 FFAs are polyunsaturated fatty acids (PUFAs) which are characterized by the presence of a double bond, three atoms away from the terminal CH<sub>3</sub>- group. Three of the most important  $\omega$ -3 PUFAs for human diet and physiology are  $\alpha$ -linolenic acid ((9Z,12Z,15Z)-octadeca-9,12,15-trienoic acid, ALA), eicosapentaenoic acid ((5Z,8Z,11Z,14Z,17Z)-icosa-5,8,11,14,17-pentaenoic acid, EPA) and docosahexaenoic acid ((4Z,7Z,10Z,13Z,16Z,19Z)-docosa-4,7,10,13,16,19-hexaenoic acid, DHA). ALA is widely distributed in plants, while DHA and EPA are found in algae and fish [1–3,10,11].

Structural and conformational properties of the unsaturated and the  $\omega$ -3 FFAs have been investigated with the use of <sup>1</sup>H and <sup>13</sup>C NMR spectroscopy [10–14], molecular dynamics and molecular mechanics [15–17], and NMR and computational studies of mono- and polyunsaturated

FFAs bound to human and bovine serum albumin and in competition with various drugs [18,19]. Combination of various physicochemical techniques and molecular dynamics simulations were reported to investigate membranes of 1-stearoyl(d<sub>35</sub>)-2-docosahexaenoyl-*sn*-glycero-3-phosphocholine and 1-stearoyl(d<sub>35</sub>)-2-docosapentaenoyl-*sn*-glycero-3-phosphocholine [20]. Law et al. [21] performed detailed DFT studies of a variety of conformations of  $\omega$ -3 polyunsaturated free fatty acids. Translational motion, molecular conformation, and interdigitated hydrogen bonded aggregates in the liquid state of n-saturated and unsaturated free fatty acids were investigated with the use of <sup>13</sup>C NMR spin-lattice relaxation times, self-diffusion coefficients and X-ray diffraction at various temperatures [22,23]. Raman spectroscopy and differential scanning calorimetry [24] and 2D-NMR were used to investigate structures of polyunsaturated free fatty acids [25]. A quantum chemical study of the folding of EPA and DHA was reported by Bagheri et al. [26] and Veniannakis et al. [27,28] provided low energy structures of  $\omega$ -3 fatty acids, in the liquid state, based on NMR and DFT calculations of <sup>1</sup>H NMR chemical shifts. Emphasis has been given on an atomistic structural model of DHA.

We report herein detailed structural studies of the monounsaturated caproleic and oleic acids, and the  $\omega$ -3 polyunsaturated FFAs,  $\alpha$ -linolenic acid, EPA, and DHA in chloroform and DMSO solution, with the combined use of NMR (variable concentration 1D transient NOEs and variable temperature NMR chemical shifts of the carboxylic groups) and DFT calculations. The results are compared with previous studies in the liquid state [27,28]. DFT atomistic structural models, in agreement with the NMR data, are critically evaluated.

## 2. Results and Discussion

### 2.1. Variable Temperature and Concentration <sup>1</sup>H NMR Chemical Shifts of Carboxylic Protons and 1D <sup>1</sup>H NMR Transient NOE in CDCl<sub>3</sub>

The chemical shifts of the carboxylic protons,  $\delta(\text{COOH})$ , and phenol OH group,  $\delta(\text{OH})$ , are very informative criteria for the investigation of various types of hydrogen bond interactions [28–31].  $\delta(\text{COOH})$  and  $\delta(\text{OH})$  are deshielded in the presence of hydrogen bond interactions and linear correlations between <sup>1</sup>H NMR chemical shifts and hydrogen bond distances have been reported [30,31]. Temperature has also a significant effect, thus, by increasing the temperature, the <sup>1</sup>H NMR chemical shifts are shielded due to breaking of hydrogen bond interactions (negative temperature coefficients,  $\Delta\delta/\Delta T$ ). The <sup>1</sup>H NMR resonances of the COOH groups display broad signals at room temperature in CDCl<sub>3</sub>. The broadening is mainly due to intermolecular proton exchange of the COOH group with the residual H<sub>2</sub>O in CDCl<sub>3</sub> solution. The use of low concentrations ( $c < 100$  mM) has a profound effect on proton exchange rate, which results in excessive line broadening and variable chemical shifts. The use of activated molecular shifts in the bottom of the NMR tube, but outside the active volume of the NMR coil, resulted in a significant reduction in the line widths which allowed the accurate determination of the chemical shifts and  $\Delta\delta/\Delta T$  values.

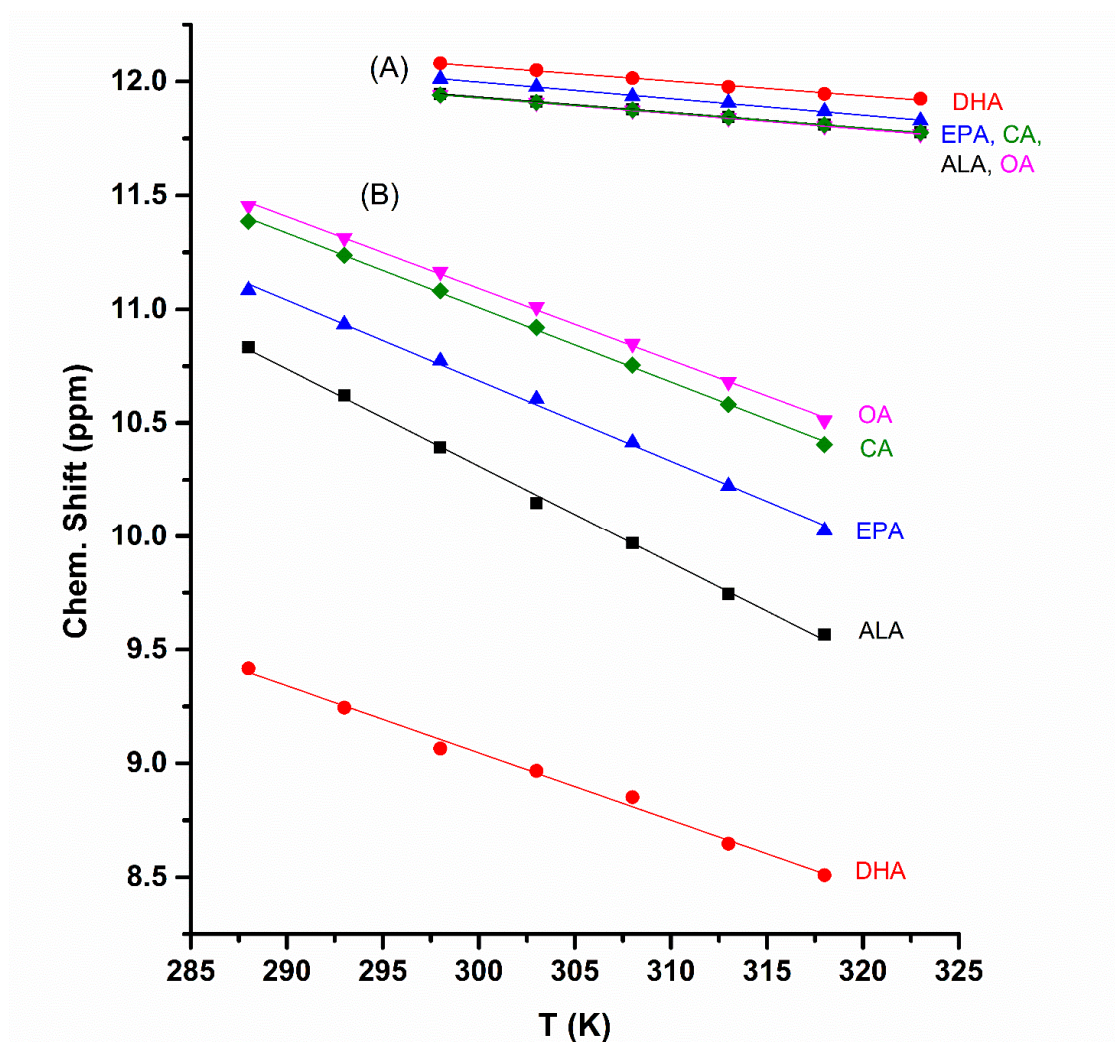
$\delta(\text{COOH})$  chemical shifts at 298 K,  $\Delta\delta/\Delta T$  (ppb K<sup>-1</sup>), and statistical analysis (coefficient of linear regression R<sup>2</sup> and intercept) of the data of Figure 1 are shown in Table 1. The temperature-dependent changes of the chemical shifts of the free fatty acids investigated are linear and the derived  $\Delta\delta/\Delta T$  values, with R<sup>2</sup> > 0.992, cover a range of -42.74 to -29.52 ppb K<sup>-1</sup>. These values are significantly larger, in absolute terms, than those obtained in the liquid state for caproleic acid, oleic acid,  $\alpha$ -linolenic acid, EPA and DHA (-16.43 to -10.32 ppb K<sup>-1</sup>) [28] (Table 1) and semi-fluorinated oleic, elaidic and stearic acids [32]. This shows that, by increasing the temperature, the intermolecular hydrogen bonds are more readily broken in CDCl<sub>3</sub> solution than those in the liquid state.

Numerous investigations of various carboxylic acids in CCl<sub>4</sub> and CHCl<sub>3</sub> were interpreted in terms of mixtures of cyclic and linear dimers, cyclic and linear trimers and monomers [33–39]. For long chain carboxylic acids, such as in FFAs, the formation of centro-symmetric hydrogen bond species through carboxylic groups appears to be the major structural mode. Thus, the single crystal X-ray structural analysis of linoleic acid,  $\alpha$ -linolenic acid and arachidonic acid [40] showed the formation of centro-symmetric cyclic hydrogen bonds, which deviate from planarity by 26.7°, with



short O...O distances of 2.67 Å. Figure 1 and the data of Table 1 demonstrate that caproleic acid and oleic acid and the  $\omega$ -3 ALA and EPA form intermolecular hydrogen bond interactions, since the chemical shifts of the carboxylic protons are strongly deshielded (11.17 to 10.39 ppm, at 298 K) (Table 1). In caproleic acid, oleic acid, ALA and EPA the hydrogen bond species through carboxylic groups, therefore, are the major components in CDCl<sub>3</sub> solution. This is in agreement with literature data [41] of the minor presence (1% to 3%) of the monomeric species in the liquid state for octanoic, nonanoic, decanoic and undecanoic acids in the temperature range of 280 K to 360 K.

The chemical shifts of the carboxylic groups of CA, OA, ALA, and EPA in CDCl<sub>3</sub> (Table 1) are slightly more shielded by 1.17 to 0.14 ppm, relative to those in the liquid state [28]. This can be attributed to the major role of the centro-symmetric cyclic dimers relative to contributions of other components of the equilibrium mixtures in both liquid state and CDCl<sub>3</sub> solution. Detailed dilution studies of caproleic acid in the range of 400 mM to 1 mM showed a very significant shielding in the concentration range below 15 mM due to increased contribution of the monomeric species. Thus, at 10 mM, the chemical shift of caproleic acid is ~8.6 ppm, while that of oleic acid, at 2 mM, is ~9.3 ppm. Further research is needed to determine the precise values of dimer-to-monomer dissociation constants, which apparently depend on the length of the side chain and the presence of multiple cis double bonds, as in the case of  $\omega$ -3 fatty acids, which result in a significant 'kink' into the chain (see discussion below).



**Figure 1.** The temperature dependence of the COOH <sup>1</sup>H NMR chemical shifts of caproleic acid (CA), oleic acid (OA), ALA, EPA and DHA in DMSO-d<sub>6</sub>, c = 20 mM (A) and CDCl<sub>3</sub>, c = 40 mM (B).

**Table 1.**  $\delta(\text{COOH})$  chemical shifts at 298 K,  $\Delta\delta/\Delta T$ , and statistical analysis ( $R^2$  and intercept) of the data of Figure 1 of  $\delta(^1\text{H})$  vs  $T(\text{K})$  of the free fatty acids in  $\text{CDCl}_3$  ( $c=40$  mM),  $\text{DMSO-d}_6$  ( $c=20$  mM) and in the liquid state.

| FFA | $\text{CDCl}_3$   |       |   |        | $\text{DMSO-d}_6$ |       |   |        | Liquid state <sup>a</sup> |       |   |        |
|-----|-------------------|-------|---|--------|-------------------|-------|---|--------|---------------------------|-------|---|--------|
|     | $\delta$<br>(ppm) | $R^2$ | $\Delta\delta/\Delta T$<br>(ppb $\text{K}^{-1}$ ) | Inter. | $\delta$<br>(ppm) | $R^2$ | $\Delta\delta/\Delta T$<br>(ppb $\text{K}^{-1}$ ) | Inter. | $\delta$<br>(ppm)         | $R^2$ | $\Delta\delta/\Delta T$<br>(ppb $\text{K}^{-1}$ ) | Inter. |
| CA  | 11.08             | 0.999 | -32.69  | 20.81  | 11.94             | 0.999 | -6.62   | 13.92  | 12.25                     | 0.999 | -11.31  | 15.98  |
| OA  | 11.17             | 0.999 | -31.50  | 20.54  | 11.94             | 0.999 | -6.88   | 13.99  | 12.13                     | 0.998 | -10.32  | 15.21  |
| ALA | 10.39             | 0.998 | -42.74  | 23.13  | 11.95             | 0.999 | -6.79   | 13.97  | 10.88                     | 0.998 | -13.06  | 14.76  |
| EPA | 10.77             | 0.997 | -35.41  | 21.31  | 12.01             | 0.997 | -7.27   | 14.18  | 10.91                     | 0.999 | -14.38  | 14.19  |
| DHA | 9.07              | 0.992 | -29.52  | 17.90  | 12.08             | 0.993 | -6.45   | 14.00  | 8.60                      | 0.986 | -16.43  | 13.51  |

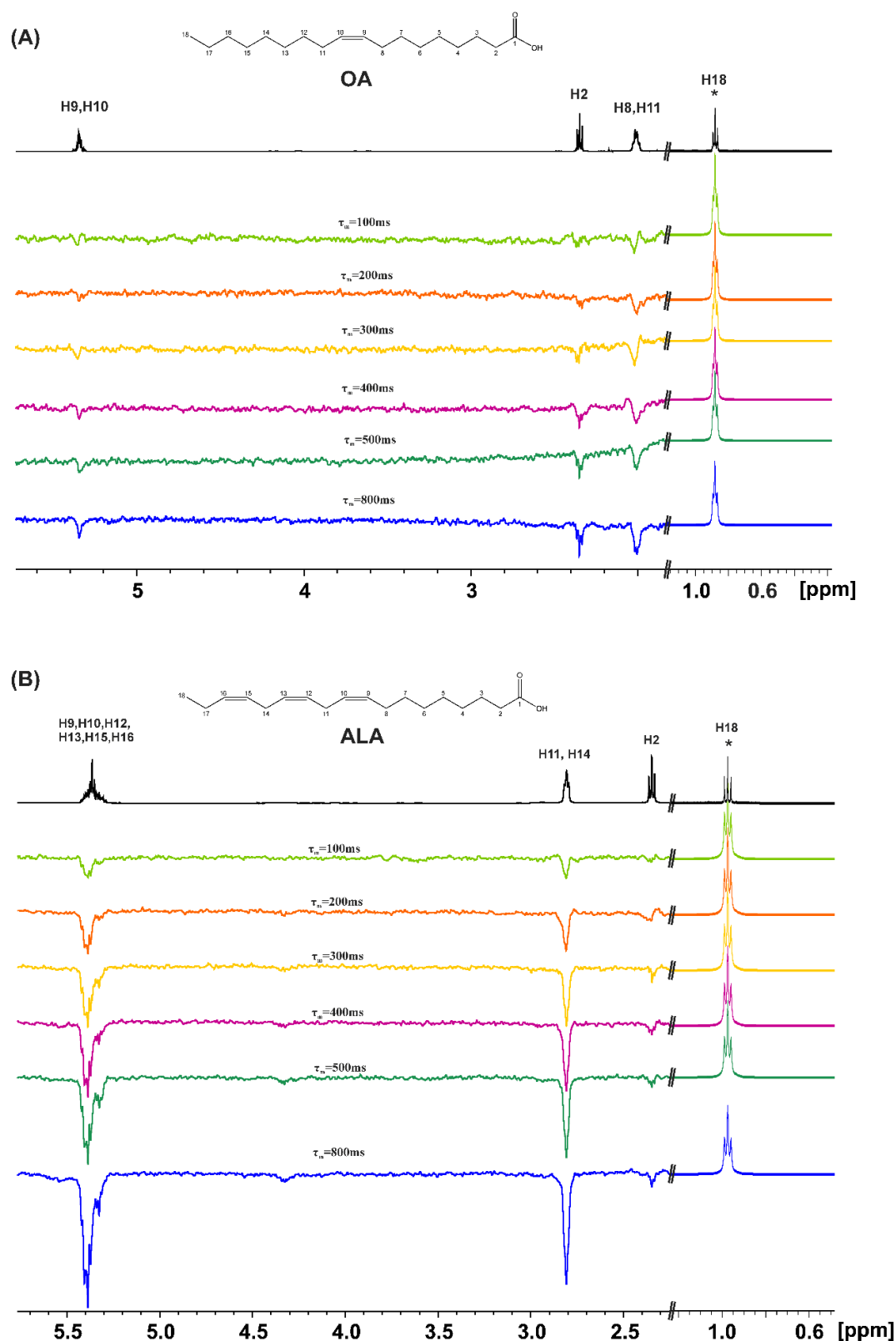
<sup>a</sup> Ref. [28].

DHA is a particular case since the chemical shift of the carboxylic group is strongly shielded ( $\delta = 9.07$  ppm at 298 K). The chemical shift at 298 K is very similar to that in the liquid state (8.60 ppm) [28] and the  $\Delta\delta/\Delta T$  value (-29.52 ppb  $\text{K}^{-1}$ ) is larger to that in the liquid state. It can, therefore, be concluded that for the dimeric DHA in  $\text{CDCl}_3$ , a structural mode of intermolecular hydrogen bonds through carboxylic groups and an intermolecular hydrogen bond between the carboxylic group of one molecule and the terminal double bond of the second molecule of DHA, plays a significant role, as in the case of the liquid state [28]. The  $\text{OH}\cdots\pi$  hydrogen bond has been suggested to have significant structural roles in bioorganic chemistry [42,43] and biochemistry [44,45].

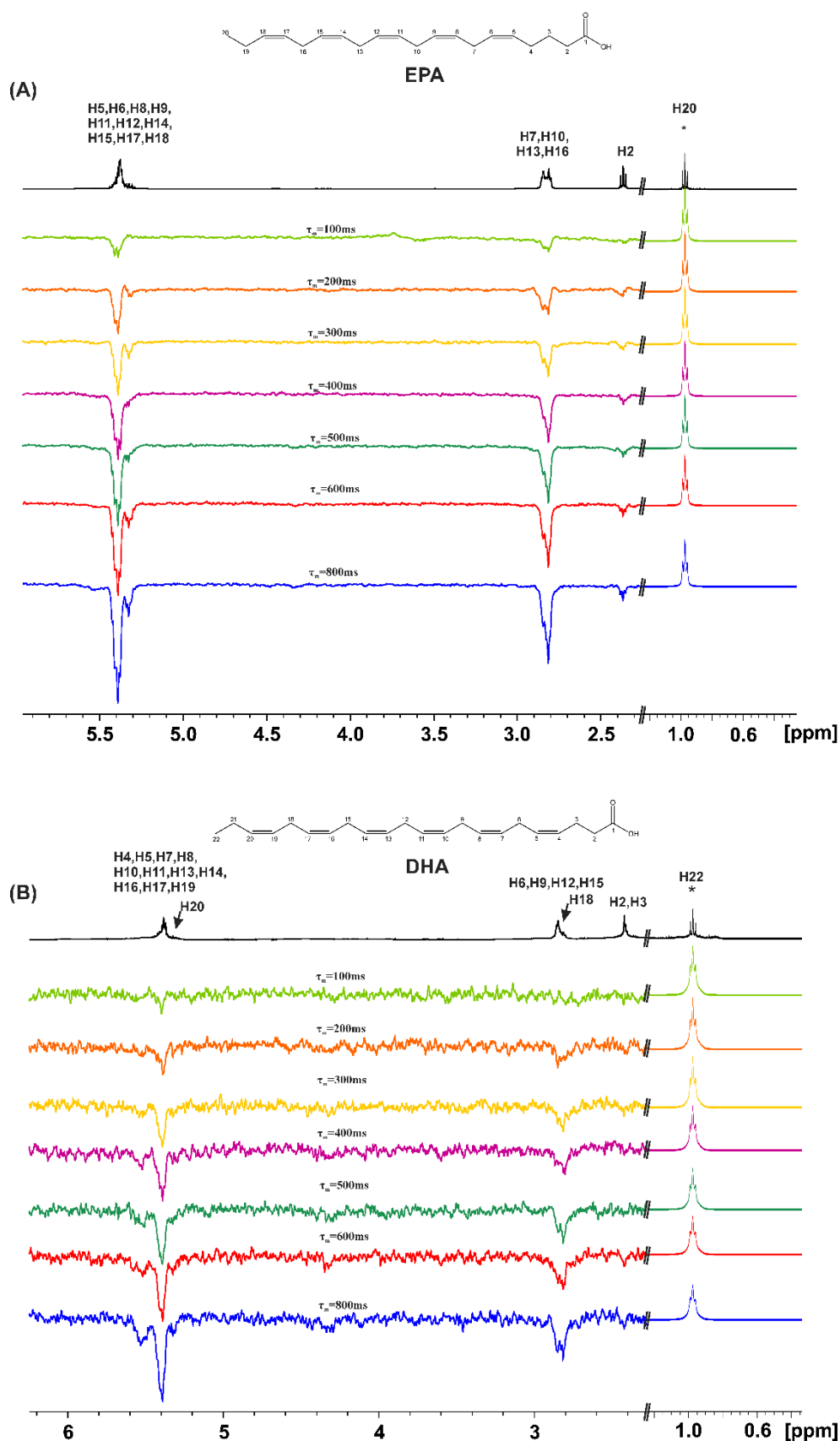
1D transient NOE experiments were performed for caproic acid (CA), oleic acid (OA),  $\alpha$ -linolenic acid (ALA), EPA, and DHA using various concentrations (100 mM, 50 mM, and 20 mM) in  $\text{CDCl}_3$  and various mixing times,  $\tau_m$ . The NOE grows during the period  $\tau_m$ , starting from zero [33]. Figure 2 shows 1D NOE NMR spectra of oleic acid (OA) and  $\alpha$ -linolenic acid (ALA) (concentration = 20 mM), using various  $\tau_m$  values with selective excitation of the  $\text{CH}_3$ - group. Even for a short  $\tau_m = 100$  ms, there are weak NOE connectivities with the H2, H3 protons which are antiphase with respect to the irradiated  $\text{CH}_3$ - group. This is due to the formation of low molecular weight hydrogen-bonded species with  $\tau_c$  values within the extreme narrowing condition ( $\omega_0\tau_c \ll 1$ ) in the concentration range of 100 to 20 mM. By increasing  $\tau_m$ , an approximately linear increase in the amplitude of the NOE signal intensities is observed which shows that the NOE is due to, through space, proximity of the  $\text{CH}_3$ - group and the  $\text{CH}_2$ - $\text{CH}_2$ -COOH protons in the hydrogen bond species, rather than due to spin diffusion through the chain of the CH bonds.

Similar results were obtained with EPA (Figure 3A). The magnitude of all the NOE signal intensities of DHA, however, is significantly reduced relative to those of OA, ALA and EPA. This can be attributed to the formation of low molecular weight hydrogen-bonded aggregates in the range of minimum NOE signal intensities, i.e.,  $\omega_0\tau_c \sim 1$ .

1D transient NOE NMR spectra of the caproic acid (CA), with selective excitation of the  $\alpha$ - $\text{CH}_2$  protons, is shown in the Supplementary Figure S1(A). As in the case of OA, ALA, EPA, and DHA the NOE connectivities are anti-phase with respect to the  $\alpha$ - $\text{CH}_2$  group, due to the formation of low molecular weight hydrogen bond aggregates with  $\tau_c$  values within the extreme narrowing condition ( $\omega_0\tau_c \ll 1$ ). The magnitude of NOEs, however, with the terminal  $\text{CH}(9) = \text{CH}_2(10)$  protons was significantly less than those observed between  $\alpha$ - $\text{CH}_2$  and the terminal  $\text{CH}_3$ - group of OA, ALA and EPA. This can be attributed to the minor formation of hydrogen bond interdigitated aggregates.



**Figure 2.** 1D transient NOE NMR spectra of: (A) oleic acid (OA) and (B) α-linolenic acid (ALA), concentration = 20 mM in CDCl<sub>3</sub> solution (number of scans=512, T=298K, T<sub>acq</sub>=4.09s, relaxation delay=4s), using various  $\tau_m$  values. The amplitude of the excited CH<sub>3</sub>- group (denoted with the asterisk (\*)), is reduced by a factor of 30, relative to the amplitude of the rest of the NOE signals.



**Figure 3.** 1D transient NOE NMR spectra of: (A) EPA and (B) DHA, concentration = 20 mM in  $\text{CDCl}_3$  at 298 K (number of scans = 512,  $T_{\text{acq}}=4.09\text{s}$ , relaxation delay=4s), using various  $\tau_m$  values. The amplitude of the excited  $\text{CH}_3$ - group (denoted with the asterisk (\*)), is reduced by a factor of 30, relative to the amplitude of the rest of the NOE signals.

## 2.2. Variable Temperature $^1\text{H}$ NMR Chemical Shifts of Carboxylic Protons and 1D $^1\text{H}$ NMR Transient NOE in $\text{DMSO-d}_6$

Exchange broadening due to intermolecular proton exchange between COOH groups and residual  $\text{H}_2\text{O}$ , can be significantly eliminated in  $\text{DMSO-d}_6$  due to its strong hydrogen bond and solvation ability.  $\delta(\text{COOH})$  and  $\Delta\delta/\Delta T$  values can, therefore, be determined accurately. The chemical shifts of the carboxylic protons,  $\delta(\text{COOH})$ , in  $\text{DMSO-d}_6$  solution ( $c = 20 \text{ mM}$ ) are very similar and appear in a very narrow chemical shift range for all the FFAs (11.94–12.08 ppm) and are more deshielded relative to those in  $\text{CDCl}_3$  (Table 1 and Figure 1). This shows that the centro-symmetric cyclic dimers do not exist in  $\text{DMSO-d}_6$  due to the strong hydrogen bond and solvation ability of the DMSO molecules. In DHA, the flip-flop process between the classical intermolecular centro-symmetric bonds through the carboxylic groups and an intermolecular hydrogen bond between the carboxylic group of one molecule and the terminal double bond of the second molecule of DHA is also eliminated in DMSO solution. Further confirmation was also obtained from the  $\Delta\delta/\Delta T$  values in  $\text{DMSO-d}_6$  (–6.62 to –7.72 ppb  $\text{K}^{-1}$ ) which are significantly smaller, in absolute terms, than those in  $\text{CDCl}_3$ . This demonstrates that the effect of increasing the temperature results in significantly less pronounced breaking of hydrogen bond interactions in  $\text{DMSO-d}_6$ , relative to those in  $\text{CDCl}_3$  solution.

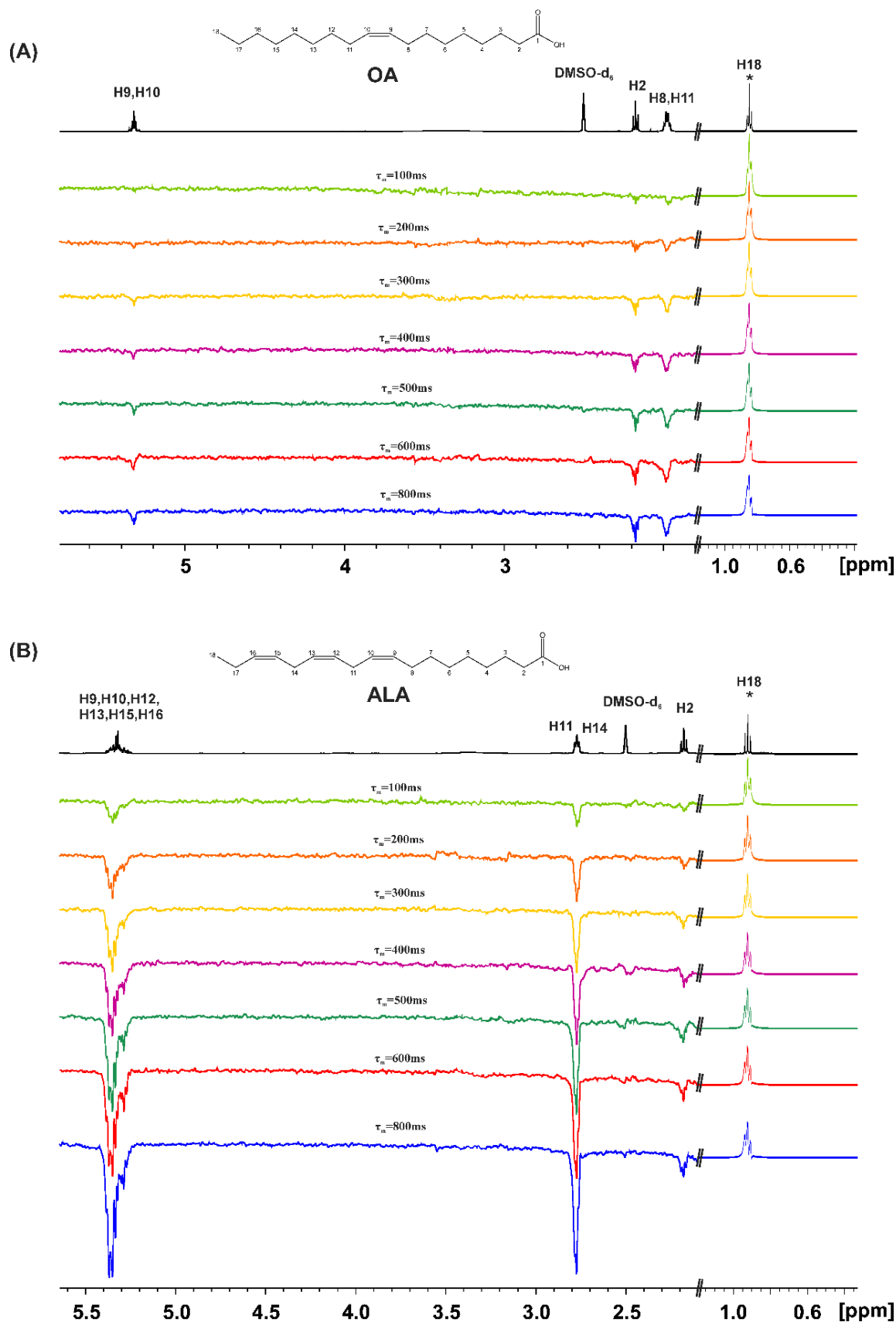
The great hydrogen bond and solvation ability of DMSO is clearly demonstrated from variable temperature experiments of an equimolar mixture of caproic acid and  $\text{DMSO-d}_6$ . The chemical shift of the carboxylic proton at 298 K ( $\delta = 11.90 \text{ ppm}$ ) and its temperature coefficient ( $\Delta\delta/\Delta T = -6.77 \text{ ppb K}^{-1}$ ) clearly show the elimination of the centro-symmetric cyclic dimers through the carboxylic groups.

1D transient NOE experiments were performed for the FFAs in  $\text{DMSO-d}_6$  with concentration  $c=20\text{mM}$ . Figure 4 shows NOE NMR spectra of OA and ALA using various  $\tau_m$  values with selective excitation of the terminal  $\text{CH}_3$ - group. Even for the relatively short  $\tau_m = 100 \text{ ms}$ , there are NOEs with the H2 and H3 protons which are antiphase with respect to the  $\text{CH}_3$ - group. This is due to the formation of low molecular weight hydrogen-bonded aggregates with  $\tau_c$  values within the extreme narrowing condition ( $\omega_0\tau_m \ll 1$ ). By increasing  $\tau_m$  an increase in the amplitude of the NOE connectivities is observed which can be attributed to, through space, proximity of the  $\text{CH}_3$ -group and the  $\text{CH}_2\text{--CH}_2\text{--COOH}$  protons in the hydrogen bond species, rather than due to spin diffusion through the chain of the CH bonds.

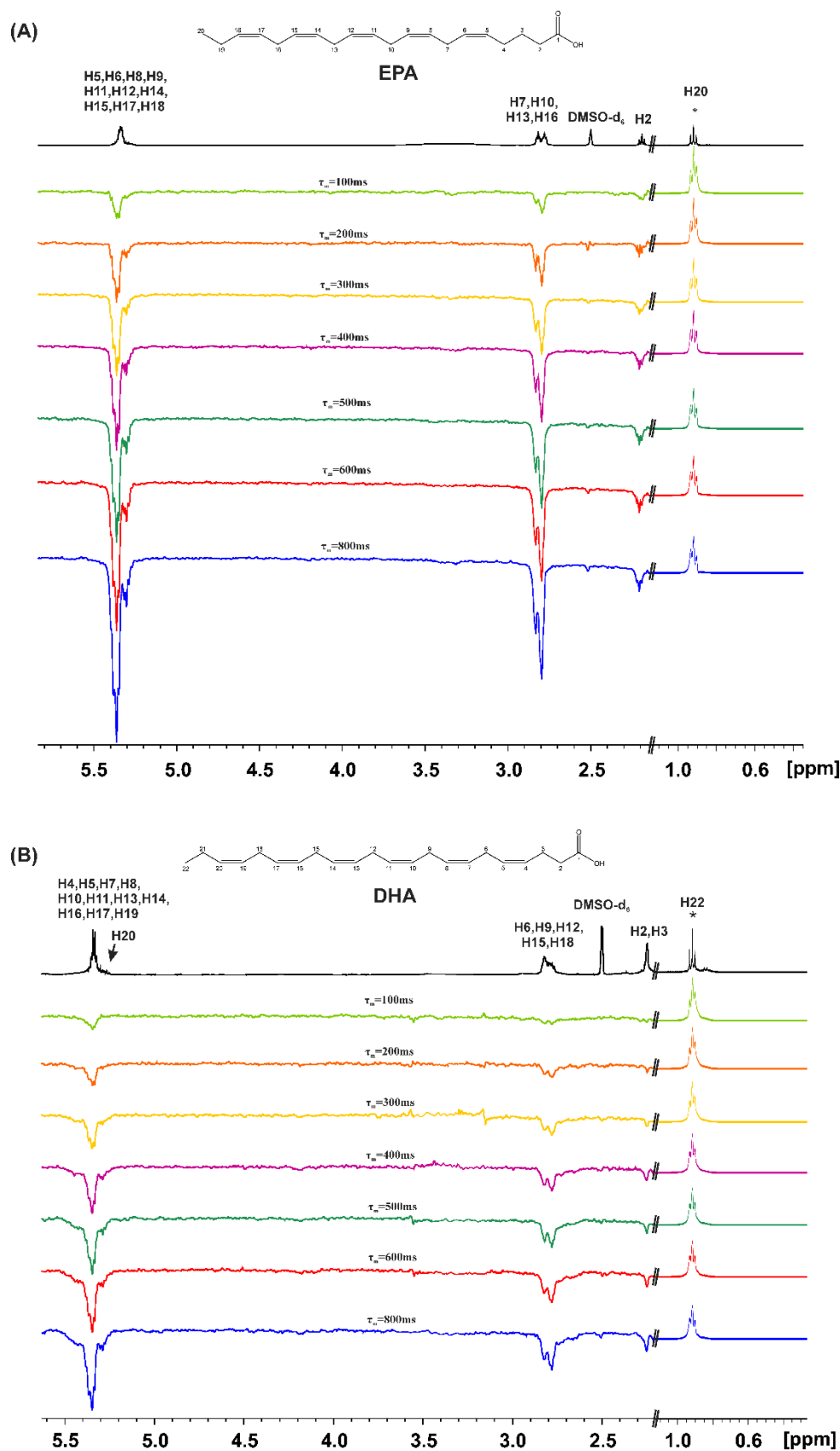
Similar results were obtained with EPA and DHA (Figure 5). Selective excitation of the terminal  $\text{CH}_3$ - group results in anti-phase NOE connectivities with H2, H3, even for the relatively short mixing time  $\tau_m = 100 \text{ ms}$ . This demonstrates the proximity, through space, of the  $\text{CH}_3$ - group and the  $\text{CH}_2\text{--CH}_2\text{--COOH}$  protons in the low molecular weight hydrogen bond interdigitated aggregates, within the extreme narrowing condition ( $\omega_0\tau_m \ll 1$ ).

1D transient NOE NMR spectra of caproic acid (CA), using various  $\tau_m$  values with selective excitation of  $\alpha\text{-CH}_2$  protons, are shown in the Supplementary Figure S1(B). The magnitude of the anti-phase NOEs, with the terminal  $\text{CH}(9)=\text{CH}_2(10)$  protons, was found to be significantly less than those observed between  $\alpha\text{-CH}_2$  and the terminal  $\text{CH}_3$ - groups of OA, ALA, EPA and DHA. This can be attributed to the minor formation of hydrogen bond interdigitated species.





**Figure 4.** 1D transient NOE NMR spectra of: (A) oleic acid (OA) and (B)  $\alpha$ -linolenic acid (ALA), concentration = 20 mM in DMSO- $d_6$  solution (number of scans=512,  $T=298\text{K}$ ,  $T_{\text{acq}}=4.09\text{s}$ , relaxation delay=4s), using various  $\tau_m$  values. The amplitude of the excited  $\text{CH}_3$ - group (denoted with the asterisk (\*)), is reduced by a factor of 30, relative to the amplitude of the rest of the NOE signals.



**Figure 5.** 1D transient NOE NMR spectra of: (A) EPA and (B) DHA, concentration = 20 mM in DMSO- $d_6$  at 298 K (number of scans = 512,  $T_{acq}$ =4.09s, relaxation delay=4s), using various  $\tau_m$  values. The amplitude of the excited  $CH_3-$  group (denoted with the asterisk (\*)), is reduced by a factor of 30, relative to the amplitude of the rest of the NOE signals.

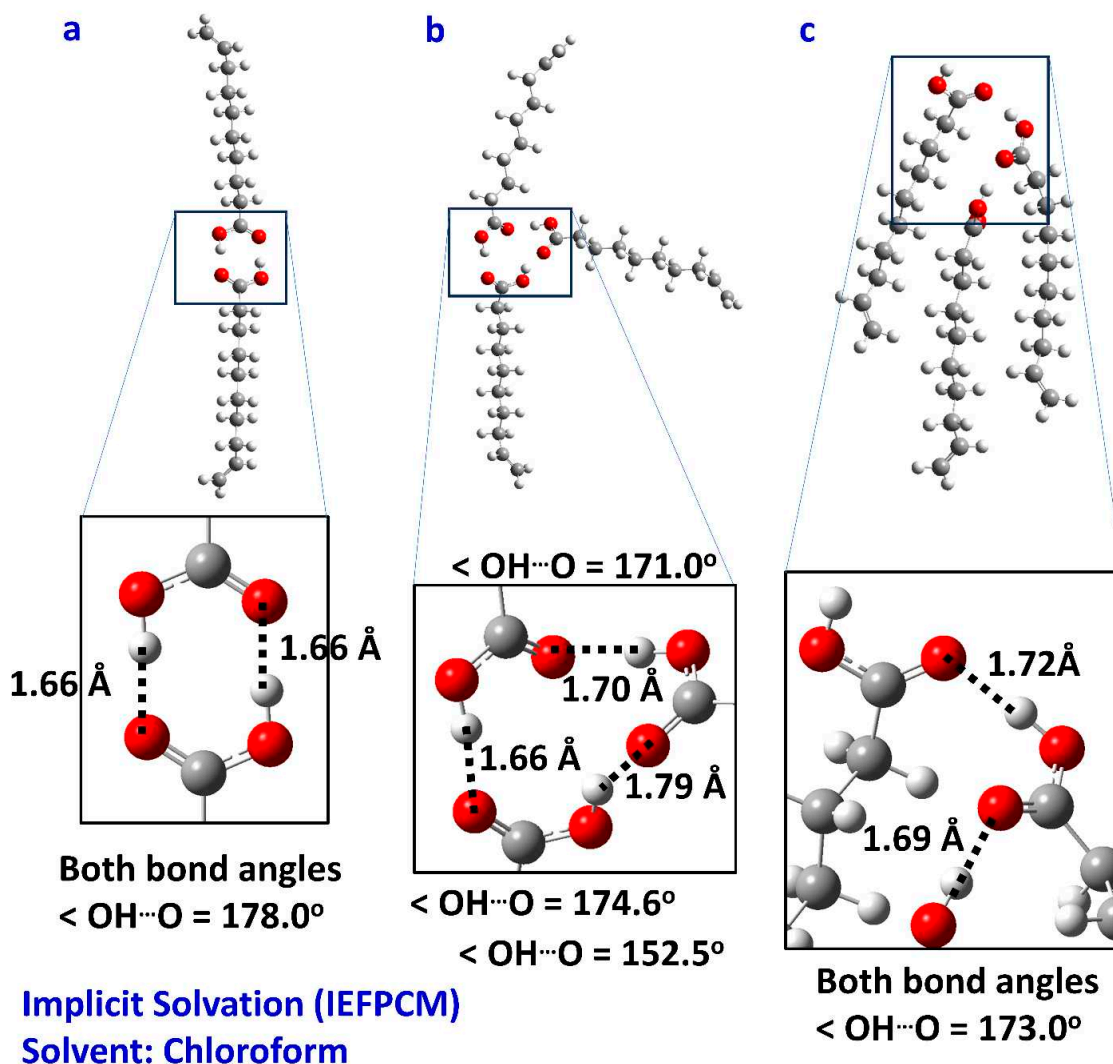
2.3. DFT Calculations in CHCl<sub>3</sub> – Comparison with the Liquid State

Computational approaches have been proved very successful in elucidating structural and spectroscopic experimental data of free fatty acids in the liquid state [27,28]. Moreover, this approach can be used as a predictive tool in biotechnology for predesigned properties of functional free fatty acid aggregates by tuning their interatomic interactions in organic materials [46]. Based on the state of the FFA carboxylic proton, it can be determined if the FFA in the proper solution can be used as a transport or catalytic medium [47]. The present computations were designed to investigate possible inter- and intramolecular interactions that justify the experimental  $\delta(\text{COOH})$  and 1D NOE NMR results, presented above. Caproleic acid was investigated in the dimeric structure forming  $\text{O}-\text{H}\cdots\text{O}=\text{C}$  centro-symmetric hydrogen bonds (Figure 6a), in the cyclic trimeric (Figure 6b) and linear trimeric (Figure 6c) structures in implicit solvation (IEFPCM-chloroform). In the centro-symmetric dimeric structure (Figure 6a), the dihedral angle defined by the four oxygen atoms of the carboxylic groups is only 0.8°, the (O)H $\cdots$ O(C) and O $\cdots$ O hydrogen bond distances are 1.66 and 2.65 Å, respectively, and the O–H $\cdots$ O bond angle is indicative of a nearly linear (178.0°) hydrogen bond interaction. These values can be compared with the O $\cdots$ O distance of 2.67 Å and deviation from planarity of 26.7° in the single crystal X-ray structure of linolenic acid,  $\alpha$ -linolenic acid and arachidonic acid [40]. The experimental chemical shifts of caproleic acid ( $\delta$  = 11.08 ppm at 298 K, Table 1) are rather indistinguishable on the basis of the structures of Figure 6a,b (13.6 ppm and 12.9/11.2/10/7 ppm, respectively, Table 2). In the linear aggregate structure Figure 6c, the presence of a carboxylic group which does not participate in hydrogen bond interactions (12.2/11.2/6.8 ppm), results in an average chemical shift of 10.4 ppm. A minor contribution of the structural model 6c, therefore, could account for the deviation of the experimental data from the computational data of the structures 6a and 6b. Moreover, the hydrophobic effect generated by the carbon chains in 6c, seems to play an antagonistic role with respect to the cyclic structure 6b.

Computations were also performed with the tetrameric caproleic acid, in a parallel orientation similar to the single crystal X-ray structures of free fatty acids [40] and in an antiparallel orientation, in agreement with the experimental weak NOE data of the through-space proximity of the  $\alpha$ -CH<sub>2</sub> and the terminal CH(9)=CH<sub>2</sub>(10) olefinic protons. Similar methodology was used for the interpretation of the NOEs observed in the liquid state for CA, OA, ALA, and EPA [28]. The calculated chemical shifts of the carboxylic proton for the tetrameric CA, in the parallel configuration vary between 14.3 and 13.0 ppm while in the antiparallel configuration between 13.8 and 13.2 ppm. The chemical shift difference of 1.3 ppm observed for the parallel arrangement can be attributed to the two interacting cyclic hydrogen bonds.

**Table 2.** Calculated  $\delta(\text{COOH})$  chemical shifts of the free fatty acids under study in implicit solvation (IEFPCM-chloroform).

| FFA                      | Intermolecular interaction | $\delta(\text{COOH})$<br>(ppm) |
|--------------------------|----------------------------|--------------------------------|
| CA dimer                 | COO-H $\cdots$ O=COH       | 13.6                           |
| CA cyclic trimer         | COO-H $\cdots$ O=COH       | 12.9/11.2/10.7                 |
| CA linear trimer         | COO-H $\cdots$ O=COH       | 12.2/12.2                      |
|                          | COOH (free)                | 6.8                            |
| CA tetramer parallel     | COO-H $\cdots$ O=COH       | 14.3, 14.0, 13.7, 13.0         |
| CA tetramer antiparallel | COO-H $\cdots$ O=COH       | 13.8, 13.8, 13.8, 13.2         |

DFT- $\omega$ B97X-D/6-311+G(2d,2p)

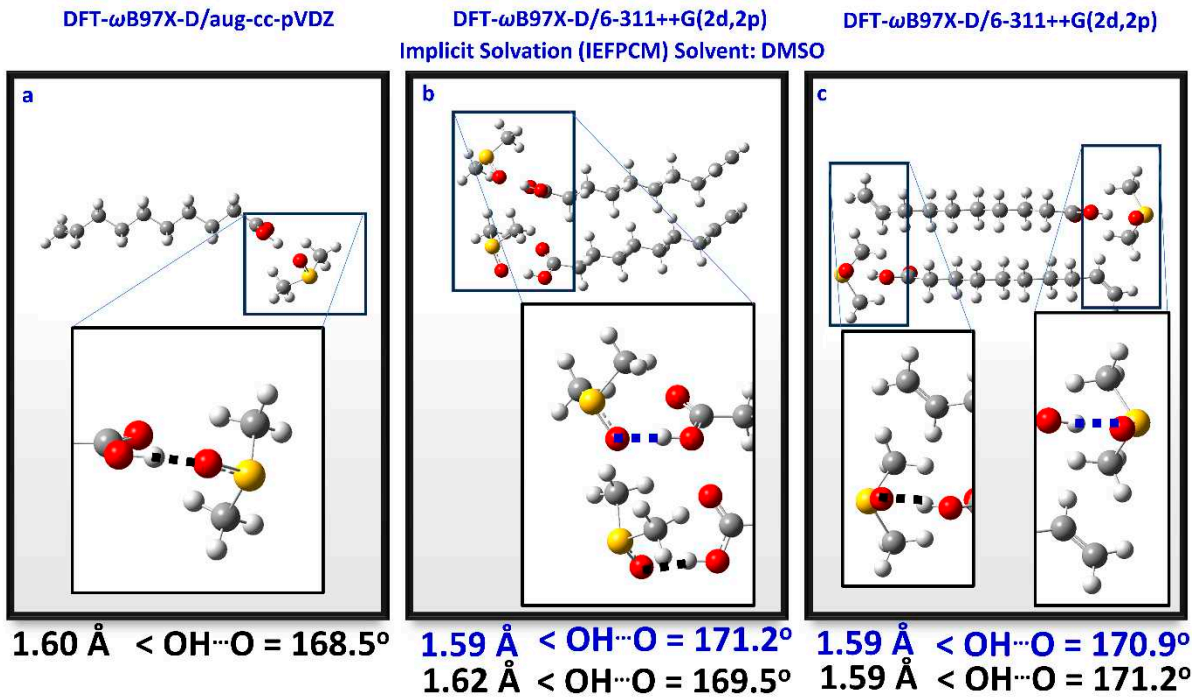
**Figure 6.** Optimized structures of caproic acid: (a) dimeric structure forming OH $\cdots$ OC centrosymmetric hydrogen bonds. (b) Cyclic trimeric structure and (c) linear trimeric structure in implicit solvation (IEFPCM-chloroform).

#### 2.4. DFT Calculations in DMSO

The DFT calculated  $^1\text{H}$  NMR chemical shifts of the carboxylic protons with a discrete solvation molecule of DMSO were investigated in the case of a single molecule of CA, CA dimer with parallel and antiparallel arrangements (Figure 7 and Table 3). The representative molecular system is a caproic acid molecule interacting with a DMSO molecule, explicitly present in the design, while the DMSO solvent is present implicitly (Figure 7a). To this interacting pair, another one, identical to the first, was added and oriented parallel and antiparallel to it (Figure 7b,c). These configurations were chosen to explore possible interactions between DMSO and the proton of the carboxylic group or the double bond of the caproic acid and the proton of the carboxylic group. The results presented in Table 3 indicate that the orientations of Figure 7 produce practically indistinguishable  $\delta(\text{COOH})$  chemical shifts with values ranging from 13.4 to 14.2 ppm. In all cases very strong hydrogen bond interactions of the carboxylic protons with the oxygen of the DMSO molecule were observed with OH $\cdots$ O distances of 1.59 to 1.62 Å and bond angles of 168.5° to 171.2°. These hydrogen bond distances are significantly shorter than those observed in the centro-symmetric hydrogen bond interactions through the carboxylic groups with OH $\cdots$ O distances of 1.66 Å.

The results of comparing the complexation energy of the caproleic dimer in Figure 6a and the caproleic acid-DMSO complex in Figure 7a are very informative. For the structure 6a, the complexation energy is -21.2 kcal/mole, while it is -18.0 kcal/mole for the 7a. Given that the centrosymmetric hydrogen bond is double while in the caproleic-DMSO complex, only one hydrogen bond is formed, DMSO seems to be the most potent antagonist for this interaction.

Similar results were obtained with the  $\alpha$ -linolenic acid. The OH...O hydrogen bond distance (1.64 Å), the O-H...O bond angle (168.5°) and the COOH chemical shift ( $\delta$  = 13.43 ppm) are indicative of a very strong intermolecular hydrogen bond with a single solvation molecule of DMSO (Table 3 and Supplementary Figure S2).



**Figure 7.** Optimized structures of caproleic acid (CA) with a discrete solvation molecule of DMSO on the carboxylic group: single molecule of CA (a); dimeric structures of CA in parallel (b) and antiparallel configuration (c).

**Table 3.** Calculated  $\delta(\text{COOH})$  chemical shifts of the free fatty acids under study with a discrete solvation molecule of DMSO.

| FFA                   | Intermolecular interaction | $\delta(\text{COOH})$<br>(ppm) |
|-----------------------|----------------------------|--------------------------------|
| CA                    | COO-H...DMSO               | 13.6                           |
| CA dimer parallel     | COO-H... DMSO              | 14.3, 14.0, 13.7, 13.0         |
| CA dimer antiparallel | COO-H... DMSO              | 13.8, 13.8, 13.8, 13.2         |
| ALA                   | COO-H... DMSO              | 13.43                          |

3. Materials and Methods

3.1. Chemicals and Reagents

Caproleic acid, purity  $\geq 96\%$ , oleic acid, purity  $\geq 99\%$  (GC), and  $\alpha$ -linolenic acid, purity  $\geq 99\%$ , were purchased from Sigma-Aldrich. EPA, purity  $> 99\%$ , and DHA, purity  $> 99\%$ , were purchased from Larodan. Chloroform- $d_1$  and DMSO- $d_6$ , 99.8%, were obtained from Deutero. Molecular sieves (3Å) were obtained from Sigma-Aldrich and activation was achieved by heating at 200-230°C for 24 h and the use of high vacuum for 3 h.



### 3.2. Variable Temperature and Concentration $^1\text{H}$ NMR Chemical Shifts and 1D $^1\text{H}$ NMR Transient NOE

Variable temperature  $^1\text{H}$  NMR experiments were performed on a Bruker AVANCE NEO 500 spectrometer, controlled by the software TopSpin 3.2. The temperature was maintained and measured with an accuracy of  $\pm 0.1^\circ\text{C}$ . Chemical shifts were reported with respect to the solvent residual signal ( $\text{CDCl}_3/\text{DMSO}-d_6$ ). Correction of temperature dependencies of the chemical shifts of the solvents was not applied since they are very small [48,49], in absolute terms, falling well below the anticipated range of  $\Delta\delta/\Delta T$  values of the carboxylic protons. Variable concentration (100 to 20 mM) 1D transient NOE experiments [50–52] were performed with the use of the pulse program selnpgp with pulse field gradients (PFG). The recovery delay was set to 200  $\mu\text{s}$  and the shaped pulse to 50 ms [28]. NMR experiments were performed on freshly prepared solutions to avoid the formation of significant amounts of primary and secondary oxidation products [53,54].

### 3.3. DFT Calculations of $^1\text{H}$ NMR Chemical Shifts and Complexation Energies

All geometries were optimized at the DFT- $\omega\text{B97X-D}$  level of theory [55,56]. Three basis sets were adopted (aug-cc-pVDZ, 6-311++G(2d,2p), and 6-31+G(d,p)) adjusted at the relative molecular system size and computational cost. The selected functional is a range-separated functional, based on modified Becke's 97 functional with added dispersion corrections. It comprises 22% Hartree-Fock exchange for the short range and 100% Hartree-Fock for the long range. A standard error function with a default range separation parameter value of  $\omega = 0.2$  was applied for the intermediate region. Tight optimization criteria were employed (RMS force =  $1 \times 10^{-5}$ ), while subsequent frequency calculations located no imaginary frequencies, confirming that the optimized structures are true minima. The GIAO (Gauge-Independent Atomic Orbital) [57] was employed to calculate the NMR spectrum. The counterpoise corrections included the basis set superposition error (BSSE) in the complexation energy calculations [58]. The Polarizable Continuum Model (PCM) with the integral equation formalism variant (IEFPCM) was employed for implicit solvation [59]. The computations were run on the FASRC Odyssey cluster supported by the FAS Division of Science Research Computing Group at Harvard University.

## 4. Conclusion

The combined use of variable temperature and concentration  $^1\text{H}$  NMR chemical shifts of the carboxylic protons, variable concentration transient 1D NOE experiments, and DFT calculations of  $^1\text{H}$  NMR chemical shifts are an effective approach to investigate a variety of low energy structures of unsaturated and polyunsaturated FFAs in chloroform and DMSO solution. More specific:

(a) Caproleic acid, oleic acid,  $\alpha$ -linolenic acid, and EPA, in various concentrations in chloroform solution ( $c = 100$  to 20 mM), exist mainly in the form of hydrogen-bonded dimers through carboxylic groups in an equilibrium of parallel and antiparallel interdigitated structures. The correlation times for molecular tumbling are within the extreme narrowing condition for all FFAs, therefore, the hydrogen-bonded aggregates are of low molecular weight. In DHA a structural model of an intermolecular hydrogen bond through carboxylic groups and an intermolecular hydrogen bond between the carboxylic group of one molecule and the terminal double bond of a second molecule is shown to play a role, as in the case of the liquid state [28].

(b) In DMSO solution, at low concentration  $c = 20$  mM, all the FFAs investigated show a strong hydrogen bond interaction of a single discrete solvation molecule of DMSO with the carboxylic group, without hydrogen bonded dimers through the carboxylic groups. 1D NOE experiments and DFT calculations show the presence of parallel and antiparallel interdigitated configurations of low molecular weight within the extreme narrowing condition ( $\omega_0\tau_c \ll 1$ ).

The present study shows the great conformational flexibility of mono- and polyunsaturated FFAs in various solvents and the importance of the combined use of NMR and DFT studies [18,19,27,28,60–63]. The significant conformational flexibility of FFAs was also considered to be the main reason that their location in the binding site FA7 in the human serum albumin could not be determined accurately [18,19,63] in the available X-ray structural data [64–66]. The structures of free

fatty acids and their oxidation products [53,54], in various solvents with varying hydrogen bond and solvation abilities, are currently under investigation with the combined use of NMR and DFT studies.

**Supplementary Materials:** The following supporting information can be downloaded at the website of this paper posted on Preprints.org. Figure S1: 1D transient NOE (500 MHz) NMR spectra of caproic acid (CA),  $c = 20$  mM in  $CDCl_3$  solution (A) and  $c = 20$  mM in  $DMSO-d_6$  solution (B) (number of scans=512,  $T=298K$ ,  $T_{acq}=4.09s$ , relaxation delay=4s) using various mixing times ( $\tau_m$ ). The excited  $\alpha-CH_2$  group (denoted with the asterisk (\*)), is reduced by a factor of 30, relative to the amplitude of the NOE signals in the region up to 5.9 ppm. Figure S2: Optimized structure of  $\alpha$ -linolenic acid (ALA) with a discrete solvation molecule of DMSO on the carboxylic group.

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**Sample Availability:** Samples of the compounds are available from the authors.

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