

Review

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Posted Date: 12 June 2023

doi: 10.20944/preprints202306.0748.v1

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Review

Electronically Excited States of Free Radicals

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Abstract: Formation of the excited doublet (D) and quartet (Q) states of free radicals under their photoexcitation is discussed. The relative positions of D and Q states are compared to the positions of photoexcited states of organic molecules (Jablonsky diagram). A number of representative cases of the excited states of free radicals detected by their transient absorption or emission are presented. A special case of the population having the lowest Q-state in some radicals is discussed. A spin-statistical factor in the reactions of Q and D is debated.

Keywords: photoexcited free radicals; Jablonsky diagram; reactions with dioxygen; doublet and quartet states

1. INTRODUCTION

Organic compounds are used in chemistry and exist in everyday life. They consist of organic molecules. The vast majority of these molecules exist in the singlet state S_0 . Upon absorption of light, a molecule reaches the excited S_1 state. S_1 can undergo intersystem crossing (ISC) and populate the lowest triplet state T_1 . These processes are presented in the Jablonsky diagram [1,2] (Figure 1). Singlet states are “close shells”; they have two electrons with opposite spins on each occupied molecular orbital (MO). Triplet state has two single-occupied MO (SOMO) with parallel spins. That way, the spin angular momentum of the singlet states is $s=0$; for the T-state $s=1$. (Spin multiplicity is $2s + 1$. Spin multiplicity is presented as a superscript at a state notation.)

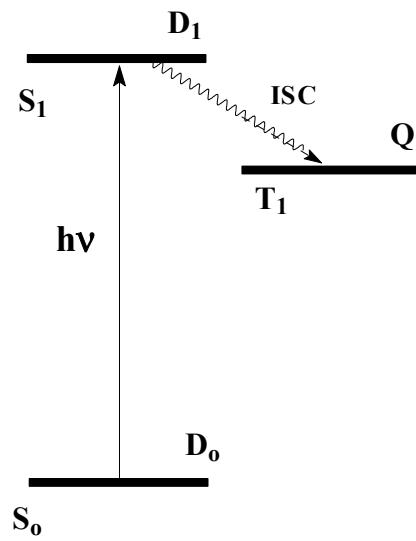


Figure 1. Jablonsky diagram for a molecule. Abbreviations of the electronic states of a molecule are presented *below* the horizontal lines reflecting the position of a state. An analog of the Jablonsky diagram for a radical is presented on the same diagram, with notions of the states placed *above* the horizontal lines.

The Jablonsky diagram is described in detail in the text books on photochemistry [1,2]. Such books and review articles present all of the processes occurring in excited states as well as the known

rare exceptions to the diagram [1,2]. Figure 1 presents only the absorption of a light quantum and the intersystem crossing (ISC) to T_1 – state initiated by the spin-orbit coupling (SOC) [3]. The probabilities of the occurrence of the processes in the excited states of molecules obviously vary from 0 to 1. Say, the higher the energy of SOC, the higher the population of T_1 .

2. DOUBLETS AND QUARTETS

Organic free radicals (R^{\bullet}) are species with an “open shell”. They have at least one SOMO. The spin state of R^{\bullet} is $s=1/2$ and such states are called doublets (D). Radicals with three one-electron orbitals exist in the quartet electronic state (Q) which has $s=1/2$. Free radicals are important intermediates in many photoinduced reactions. There are cases when radicals absorb light and produce excited D_1 or Q_1 -states; see below. Obviously, the chemical reactivity of the photoexcited radicals (molecules) is different from that in D_0 - (S_0 -) states [4].

Synthesized stable organic p-radicals have been recently reported, which are especially interesting for application in organic light-emitting devices (OLED) due to their possibility of providing a quantum yield of luminescence of 1.0 [5]. This is one of the modern reasons for studying photoexcited free radicals.

It is logical to assume an analog of the Jablonsky scheme (Figure 1) for free radicals. SOC mixes D_1 and Q; see Figure 1. All other processes (luminescence, internal conversion, and vibrational relaxation) take place in the photoexcited molecules and radicals. Luminescence from the D_1 - (Q_1) - state is named in the literature as fluorescence (phosphorescence) apparently due to analogy with such processes in molecules.

Stable free radicals (R^{\bullet}) are usually colored, whereas the parent compounds (RH) are colorless. That means that D_1 lies close in energy to D_0 , namely 180-300 kJ/mol above D_0 (Figure 1). For example, colorless 2,4,6-tri-*tert*-butyl phenol has a longwave absorption maximum at $\lambda=280$ nm (370 kJ/mol) whereas the corresponding phenoxyl radical (blue aroxyl) has an analogous value $\lambda=626$ nm (190 kJ/mol). A similar bathochromic shift of the absorption maximum holds between a parent compound (say a ketone, a quinone) and its radical R^{\bullet} (a ketyl radical, a semiquinone) formed by hydrogen abstraction.

Q_1 may have a higher energy than D_1 ; see Figure 2:

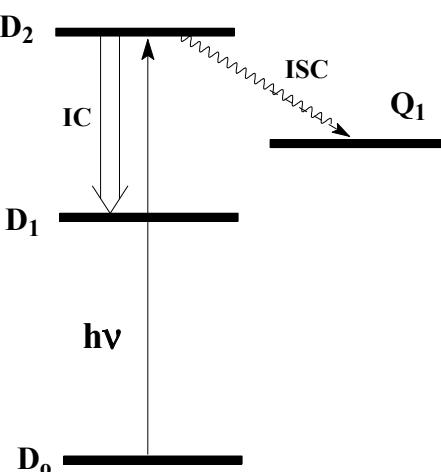


Figure 2. Possible positions of the excited states of a radical where the Q_1 -state has a higher energy than the D_1 -state. IC stands for the internal conversion.

The formation of Q_1 requires *three* unpaired electrons with parallel spins, which may increase the energy of the excited $R^{\bullet*}$ compared to that of D_1 . (The formation of T_1 in molecules requires only *two* unpaired electrons with parallel spins. The dominant role of the Pauli effect leads to a lower

energy of T_1 compared to S_1 in the majority of cases [1,2].) Thus, in a number of cases, Q_1 can be populated only after primary excitation into D_2 or D_3 , see Figure 2.

Fast internal conversion (IC) from the higher $D_{2(3)}$ to a lower D_1 should lead to a low probability of population in Q_1 . This assumption allowed the authors of [2] to draw a conclusion about the insignificant role of Q_1 in the photochemistry of radicals. However, there are many reports of experimental detection of Q_1 (Section 3 below). Observation of a relatively long-lived $R^{\bullet*}$ leads to the assumption that $R^{\bullet*}$ is in a Q_1 -state; see Section 3 below.

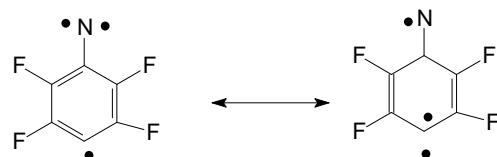
3. EXCITED NEUTRAL RADICALS

This brief review article does not aim to review most of the reported cases of $R^{\bullet*}$ studies. We will focus on liquid solutions of polyatomic organic radicals and radical-ions at room temperature. This section presents some instructive and exemplary results of such research. Chemical transformations of $R^{\bullet*}$, except a reaction with dioxygen (Section 5) are beyond the scope of this brief article.

The excited states are usually detected by their emission spectra or transient absorption spectra.

However, we will start with the seminal publication [6] that reports a $D_0 \leftarrow Q_1$ phosphorescence of decacyclene radical anion in the solid state at 77 K. It is assumed that Q_1 lies above D_1 , and luminescence is phosphorescence. The Q_1 electronic state of this anion at 77 K was confirmed by transient magnetic resonance [7]. Photoexcited radical-ions are considered in Section 4.

High-spin molecular objects are of great interest due to their potential applications in molecular magnets. It was stated that some labile dehydrophenylnitrenes are in the ground Q -state [8]. Quantum-chemical calculations of the ground and excited states of several dehydrophenylnitrenes allowed the authors to get the geometry and distinguish between the Q - and D -states of these species [8]. The studied dehydrophenylnitrene system demonstrates that a fine competition between ferromagnetic Q - and antiferromagnetic D -state coupling of electron spins takes place. With a single electron orbital being orthogonal to two coplanar orbitals, the relative orientation of the latter orbitals determines which mode of electron coupling prevails; see Scheme 1:



Scheme 1. Resonance Structures of 4-dehydro-2,3,5,6-tetrafluorophenylnitrene. Hint to its phenylnitrene/phenyl radical (left) and carbene/imminyl radical (right) [8].

The D_1 -state of benzophenone ketyl radical in a benzene solution at room temperature was observed by laser flash photolysis with time-resolved (TR) ESR and absorption spectroscopy [9]. The life-time of this D_1 was ~ 2 ns [9]. It is assumed that a photoexcitation of the ketyl into D_2 leads to ISC into $Q_1 \leftarrow D_2$ [9]. (Q_1 is positioned above D_1 , see Figure 2). It seems that in the case of the studied ketyl, ISC successfully competes with the $D_1 \leftarrow D_2$. The life-time of Q_1 is relatively long, namely ~ 2 ms [9]. As expected, $D_0 \leftarrow Q_1$ is relatively long process compared to $D_0 \leftarrow D_1$ in the same ketyl radical under the same conditions.

The ketyl radical of benzophenone and of its derivative were studied by two-color laser flash photolysis [10]. The first ns pulse ($\lambda_{ex} = 266$ or 355 nm) generated ketyl radicals by the usual way: hydrogen abstraction from a solvent. The second ps pulse ($\lambda_{ex} = 532$ nm) excited ketyls into D_1 . The transient absorption and fluorescence of D_1 were measured. The lifetime of D_1 in the studied solvents varied from 0.5 to 5 ns [10]. It was noticed that D_1 had a high dipole moment of 7-10 D, which leads to a significant solvent effect on D_1 [10].

Many of the compounds reported in [5] are large, stable organic radicals that emit fluorescence. Stable aroxyl (substituted phenoxy) radicals demonstrate transient absorption in liquid solvents in flash photolysis experiments [11]; see Figure 3. However, the fluorescence of galvinoxyl and other aroxyls has not been observed [12].

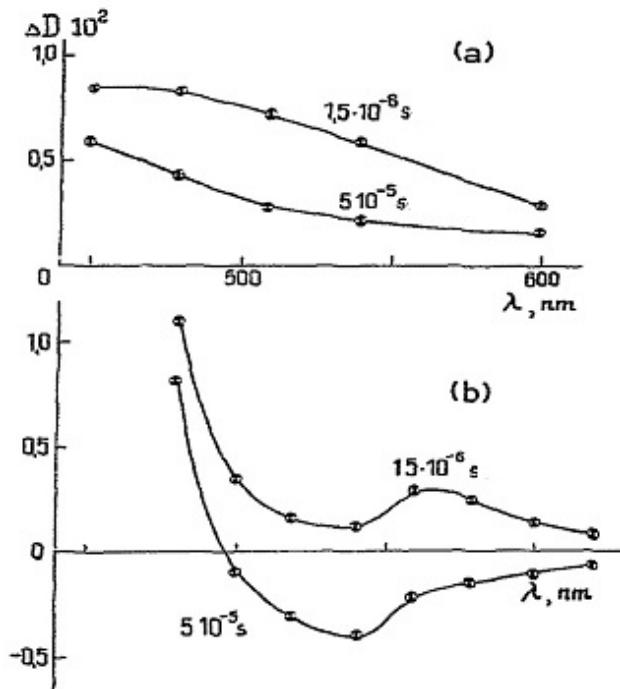
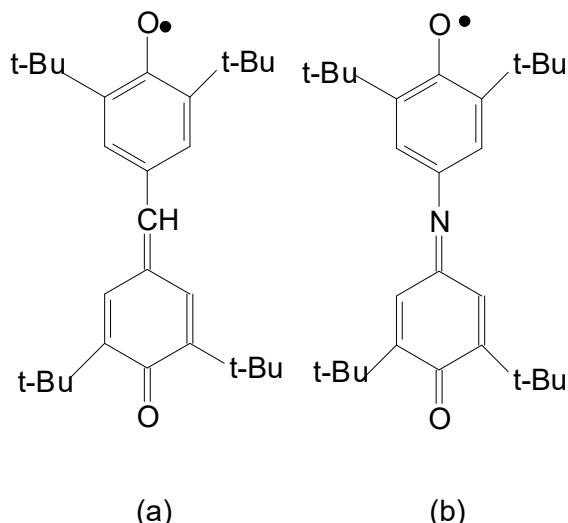


Figure 3. Transient absorption spectra obtained under ms flash photolysis of stable aroxyl radicals (a) galvinoxyl, (b) indophenoxy in hexane at room temperature [11]. The structures of the radicals are presented in Scheme 2:.



Scheme 2. Chemical structures of galvinoxyl (a) and indophenoxy (b).

The photoexcitation was performed by UV-light ($\lambda_{\text{ex}}=260-380 \text{ nm}$) [11]. Quite similar spectra were observed in propan-1-ol. The spectra (Figure 3) were tentatively ascribed to Q_1 - state of the aroxyls due to their relatively long life-time ($\sim 10 \text{ ms}$) [11].

The $D_{9,10} \leftarrow D_0$ transitions were observed under further study of the photochemistry of galvinoxyl with fs flash photolysis ($\lambda_{ex} = 400$ nm) [12]. However, a long-lived absorption very similar to that presented in Figure 3a was ascribed to the anion of galvinoxyl, galvinoxylate. The formation of anion in a non-polar solvent (Figure 3) is doubtful. The photochemistry of galvinoxyl deserves further study.

Phosphorescence $D_0 \leftarrow Q_1$ was observed for phosphaethynyl radical in the solid argon [13]. The scheme of electronic levels for this radical follows Figure 1, and the lifetime of the emission was ~0.1 s [13].

Electron spin polarization and magnetic field effects have been investigated in photoexcited organic molecules that are chemically tethered to radical fragments (nitroxyls, others) [14,15]. With TR ESR electron spin polarization was observed in D_1 and Q_1 – states after photoexcitation of a large stable radical [15].

Scalano, Johnson et al. performed a cycle of investigations on photoexcited transient radicals such as diphenylmethyl and others [16–18]. The radicals were generated by a laser pulse; after a short delay, the second laser pulse excited the produced radicals [16,17]. A fluorescence from D_1 was apparently observed in these experiments. The lifetime of fluorescence varies from 5 to 400 ns for polyatomic radicals in liquid non-viscous solvents at room temperature [16]. The constant k_q of quenching an excited state by dioxygen (Section 5) obtained for several $R^{\bullet*}$ [16,17,19] testifies to the occurrence of reactions (1) or (2) in the D_1 -state; see Section 5. A lifetime of photoexcited diphenylmethyl radicals in common non-viscous solvents is 250 ns at 300 K [16,17]. Once more, this $R^{\bullet*}$ is in the D_1 -state [20].

The excitation of perchlorodiphenylmethyl radical (PDM $^{\bullet}$) at $\lambda_{ex}=530$ nm leads to the population of D_1 (lifetime $t = 31$ ns), from which emission is observed ($f_{fl} = 1.0 \times 10^{-3}$) [20]. This state is quenched by electron donors and acceptors at a rate at or close to diffusion control and at much slower rates by dioxygen and hydrogen atom donors. Fragmentation of PDM $^{\bullet}$ ($f_{dec} = 0.06$) occurs from a higher excited doublet (D_n , $n \geq 2$) producing intermediates that are trapped [32]. See [20] for details of the chemical reactions.

The excitation of perchlorotriphenylmethyl radical (PTM $^{\bullet}$) at $\lambda_{ex} = 532$ nm leads to an excited doublet, D_1 – state (lifetime $t = 7$ ns) [21]. The lifetime of this state is insensitive to dioxygen, electron acceptors, and hydrogen-donating solvents. Electron donors triphenylamine, *N,N*-dimethylaniline, and thianthrene quench PTM $^{\bullet*}$ at diffusion-controlled rates. Cyclization of PTM $^{\bullet}$ to form perchloro-9-phenylfluorenyl radical occurs with a quantum efficiency of $f_{cyc}=0.3$ under $\lambda_{ex}=365$ nm irradiation [21].

ns, ps Laser flash photolysis allowed to observe $Q_1 \leftarrow D_1$ ISC of flavin radical in DNA photolyase [22]. Thus, electronic levels in this radical follow Figure 1; see [22] for details. The lifetime of the studied D_1 (Q_1) is 100 ps (1 ms) in aqueous solution at room temperature [22].

Paramagnetic free radicals are efficient quenchers of the excited states of molecules [1,2]. It was observed in experiments with the stable aroxyl radicals that self-quenching occurs in solutions [11]:



4. EXCITED RADICAL-IONS

Electronically excited radical-cations and radical-anions were the subject of a number of experimental and theoretical investigations.

Stilbene (Sb) radical-anions and radical cations were studied by pulse radiolysis and laser flash photolysis [23]. There were four species under investigation, namely *cis*- and *trans*-Sb $^{+/-\bullet*}$. It was stated that D_2 of these species was observed [23]. Table 1 below summarizes most of the data obtained in this work:

Table 1. Properties of stilbene radical-anion and radical-cation in the D₂ state: Life-time (*t*), rate constants of internal conversion (*k_{ic}*) and isomerization (*k_i*), quantum yield of isomerization (*f_i*), and excitation energies (*E*)¹ [23].

Radical-ion	<i>t</i> , ns	<i>k_{ic}</i> × 10 ⁻⁸ , s ⁻¹	<i>k_i</i> × 10 ⁻⁹ , s ⁻¹	<i>f_i</i>	<i>E</i> , kJ/mol
<i>trans</i> -Sb $\bullet^{\bullet*}$	2.5±1.0	4.0±1.6	0	0	202
<i>cis</i> -Sb $\bullet^{\bullet*}$	1.5±0.4	5.6±1.7	1.0±0.3	0.14±0.05	189
<i>trans</i> -Sb $\bullet^{\bullet*}$	0.24±0.05	41±11	0	0	223
<i>cis</i> -Sb $\bullet^{\bullet*}$	0.12±0.03	29±7	4.1±1.0	0.49±0.12	210

¹In DMF at room temperature. See [23] for additional details.

Radical-cations of polycyclic aromatic hydrocarbons (PAH) play an important role in different processes and in the environment, in particular in astronomy [24]. PAH are easily ionized, producing radical-cations PAH \bullet^+ . As expected, the absorption spectra of PAH \bullet^+ are red-shifted towards the spectra of parent PAH [24]. Excited states of 51 different PAH \bullet^+ were studied with time-dependent density functional theory [24]. The vertical excitation energies and oscillating strengths of PAH \bullet^+ and even of PAH \bullet^- were obtained. p \leftarrow p transitions between individual p-orbitals in radical-ions of PAH were considered [24].

Biphotonic laser photoexcitation (*l_{ex}* =248 nm) of different aromatic compounds (biphenyl, naphthalene, perylene, and others) and several amines in oxygenated polar solvents led to the corresponding radical-cation R \bullet^+ [25]. R \bullet^+ have obviously red-shifted spectra compared to the parent compounds and absorb in the visible and NIR regions. A prompt irradiation of R \bullet^+ with the second harmonic YAG:Nd laser (*l_{ex}*=532 nm) led to the excited R \bullet^{+*} [25]. The studied R \bullet^{+*} has a lifetime ranging from hundreds of fs to tens of ps at room temperature. The nature of R \bullet^{+*} is not discussed [25]; it is probably D₁₍₂₎-states.

In general, excited states of multiple radicals of radical ions in the D_n - state have very short lifetimes (see Sections 2,3) as a result of their low-lying excited state energies (energy gap law) [25,26].

It is well known that molecules in excited states essentially change their redox properties [1,2]. Not surprisingly, the same holds true for radical-ions. Wasielewski et al. studied the redox properties of radical-anions in excited doublet states [24,25]. The radical-anions of aromatic diimides have been assumed recently to be participants in different photoinduced electron transfers. Photoexcitation of these radical-anions produces powerfully reducing agents [24]. However, the properties of the π^* - excited D- states of these radical-anions remain obscure. The radical-anions of three complex aromatic imides with increasingly larger π systems, namely *N*-(2,5-di-*tert*-butylphenyl)phthalimide, *N*-(2,5-di-*tert*-butylphenyl)-1,8-naphthalimide, and *N*-(2,5-di-*tert*-butylphenyl)perylene-3,4-dicarboximide, as well as the three corresponding aromatic diimides [24], were produced by electrochemical reduction of the neutral molecules. The radical-anions of these imides and diimides demonstrate intense visible and weaker NIR absorption bands corresponding to their D_n \leftarrow D₀ transitions [24]. Excited states of the radical anions were generated by fs excitation (*l_{ex}*=840 nm) into these absorption bands. Excitation of the first two radical-anions mentioned above led to their decomposition, whereas excitation of the third imide and three diimides yielded transient spectra of their D_n \leftarrow D₁ transitions. The lifetimes of the observed D₁-state of the radical-anions are all less than 600 ps and increase as the D₀ - D₁ energy gap increases [24]. These results impose constraints on the use of these excited radical-anions as electron donors in electron-transfer systems targeted at molecular electronics and solar energy utilization [24].

It was presented that the 10-phenyl-10H-phenothiazine radical cation (PTZ \bullet^+) has a manifold of excited D- states accessible using visible NIR light [25]. PTZ \bullet^+ can serve as super-photooxidants with excited state potentials in excess of +2.1 V vs. SCE [25]. Photoexcitation of PTZ \bullet^+ in acetonitrile with *l_{ex}*=517 nm laser pulse populates a D_n excited D- state that decays first to the unrelaxed lowest

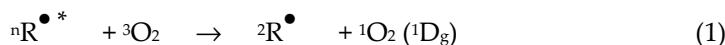
electronic excited state, D_1' ($\tau < 0.3$ ps), followed by relaxation to D_1 ($\tau = 10.9 \pm 0.4$ ps) [25]. D_1 finally decays to D_0 ($\tau = 32.3 \pm 0.8$ ps) [25]. To probe the oxidative power of $PTZ+\bullet^*$ D_n - states, $PTZ+\bullet$ was covalently linked to each of three hole acceptors, perylene, 9,10-diphenylanthracene, and 10-phenyl-9-anthracenecarbonitrile, which have oxidation potentials of 1.04, 1.27, and 1.6 V vs. SCE, respectively [25]. In all three cases, photoexcitation wavelength dependent ultrafast hole transfer occurs from D_n , D_1' , or D_1 of $PTZ+\bullet^*$ to the three acceptors. The high oxidative power of the D_n -state of $PTZ+\bullet^*$ will enable applications using this chromophore as a superoxidant for energy-demanding reactions [25].

The quantum-chemical calculations (restricted coupled cluster with perturbative triples method) were used to calculate equilibrium geometries and excitation energies of the hitherto unknown Q - states in diacetylene-, triacetylene-, and benzene radical-cations [32]. Spectroscopic data for the D - states obtained with the same approach are found to be in good agreement with the experiment [32]. In diacetylene- and triacetylene-cation there are Q -states that lie close to the minimum energies of the corresponding D_1 -states [32].

Electron acceptor methyl viologen (N,N' -dimethyl-4,4'-bipyridine, abbreviated MV^{2+}) plays an important role in redox reactions ($MV^{2+} \leftrightarrow MV^{\bullet+}$, see e.g. [34]). Femtosecond pump-probe spectroscopy was used to investigate the excited state dynamics of the electrochemically generated $MV^{\bullet+}$ in acetonitrile solution [31]. fs Excitation of the $D_1 \leftarrow D_0$ transition at $\lambda_{ex}=730$ nm led to rapid relaxation (700 fs), generating two intermediates in the transient absorption spectra [31]. The longer-lived intermediate, with a lifetime of 16 ps, could be assigned to a vibrationally excited ground state of $MV^{\bullet+}$. Its absorption spectrum was very similar to the ground-state spectrum of $MV^{\bullet+}$ in both shape and extinction coefficients, but red-shifted by ~ 810 cm^{-1} [31]. The shorter-lived transient decayed with a characteristic time of $t = 1.0 \pm 0.1$ ps and was possibly also a vibrationally excited ground state. Thus, these results show that the excited D_1 -state of $MV^{\bullet+}$ in acetonitrile solution relaxes on the fs time scale via at least one long-lived ($\tau = 16$ ps) vibrationally excited ground state.

5. QUENCHING OF PHOTOEXCITED RADICALS BY DIOXYGEN

The majority of free radicals (especially C-centered alkyl, aromatic benzyl, and others) react with dioxygen at rates controlled by diffusion in solution. The same is expected for highly reactive R^{\bullet^*} . The rate of a diffusion-controlled reaction estimated by the Debye formula is $k_{\text{diff}} \approx 7 \times 10^9 \text{ M}^{-1} \cdot \text{s}^{-1}$ at room temperature (T) and solvent viscosity $h = 1 \text{ cP}$ [31]. In the case of a reagent with non-zero spin, the expected rate constant of a diffusion reaction is lower: $k_q = s k_{\text{diff}}$, where s is spin-statistical factor [19,31]. There are two types of quenching of R^{\bullet^*} - physical and chemical [19]:



Photoexcited R^{\bullet^*} can be in the D_1 - state ($n=2$) or in the Q_1 -state ($n=4$). It is easy to get that for reactions (1,2) $s = 1/3$ and $1/6$ for $n=2$ (D_1) and $n=4$ (Q_1), respectively. Thus, one can expect $k_q \approx 2 \times 10^9$ (1×10^9) $\text{M}^{-1} \cdot \text{s}^{-1}$ for quenching of R^{\bullet^*} for D_1 (Q_1) in the reactions (1) or (2) under T and h mentioned above. (It is known that $k_{\text{diff}} \sim h^{-1}$; the rate constant of a reaction with the fast diffusing O_2 may have higher k_{diff} - values than those predicted by the Debye formula.)

6. CONCLUSIONS

The main elementary photophysical processes in the excited free radicals are depicted in Figures 1,2. There is an analogy with the relevant photophysical processes in molecules (Figure 1) but the photophysics of R^{\bullet^*} is more complex (Figure 2). Usually, D_1 or Q_1 have been observed in the experiments by their emission or absorption spectra. Additional TR ESR experiments would make such assignments more reliable. Quantum chemical calculations should help in the identification of R^{\bullet^*} , their electronic nature and their structure as well.

It is possible to conclude that Q_1 -state has a much longer life than the D_1 -state of the same radical. It is obvious that $D_0 \leftarrow Q_1$ transition occurs with a change of spin multiplicity and is analogous to $S_0 \leftarrow T_1$ ISC or phosphorescence in molecules.

Stable nitroxyl radicals (TEMPO and others) are widely used in the chemistry research, as antioxidants and as photostabilizers of polymers for many decades. To the best of our knowledge, there is no experimental data on the excited states of nitroxyls.

There are tens of colored stable free radicals that are commercially available or can be synthesized. The photochemistry of a small portion of them has been studied, see some examples in Sections 3,4 above. Stable radicals are valuable and convenient objects for the study of their excited states and their transformations. The first triphenylmethyl free radical discovered by Gomberg in 1900 is in equilibrium with its corresponding dimer [33]. Once more, to the best of our knowledge, there is no experimental data on the excited state of the first radical.

It would be of interest to compare ESR spectra of radicals in the ground and in excited states, to compare g-factors in particular.

The measured numerical values of the rate constant k_q of quenching R^{\bullet^*} by O_2 give a hint on the nature of a spin state of R^{\bullet^*} .

Funding: The research received no external funding.

Conflicts of Interest: The author declares no conflict of interest, financial or otherwise.

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