

## Article

# Characteristics of Convective Parameters Derived from Rawinsonde and ERA5 Data Associated with Hailstorms in North-eastern Romania

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**Abstract:** Using a database of 378 hail days between 20981 and 2020, the climatic characteristics of 23 convective parameters from sounding data and ERA5 data were statistically analyzed. The goal of this work is to evaluate the usefulness and representativeness of convective parameters derived from sounding data and reanalysis data for the operational forecast of the hail phenomenon. As a result, average values from 12:00 UTC were 433J / Kg for CAPE in the case of data from ERA5 and 505 J/kg from rawinsonde respectively. The Spearman correlation coefficients matrix between the values of the parameters indicates high correlations between the parameters calculated based on the parcel theory, the humidity indices, and the complex indices. The probability for large hail maximizes with high low level and boundary layer moisture, high CAPE, and a high lifted condensation level height.

**Keywords:** hailstorm; convective environment; statistical correlation; hail forecasting

## 1. Introduction

Regardless of its regional trends, hail represents one of the most extreme weather phenomena determined by severe convective storms [1]. Therefore, improving the capacity to forecast this extreme weather phenomenon represents one of the main available tools for the mitigation of its impact.

In the beginning early hail forecasting methods had limited success, using small statistical samples and developing empirical relationships between hail size and Convective Available Potential Energy (CAPE) or temperature values at different levels [2,3,4,5,6]. Because they involved in the analysis only convection energy available, estimated directly from CAPE, or indirectly from temperature values at different levels, these methods tended to forecast unrealistic hail sizes or had poor ability to distinguish between hail formation conditions of small and large dimensions respectively [7].

Thermodynamic parameters that are closely related to the magnitude of the updraft have been proven almost the same popular in Europe and the USA. For example, CAPE or the thermal gradient in the middle troposphere causes the increase of the updraft and implicitly the increase of hailstones [8-18]. In Europe, the Lifted Index also has been shown to be useful for hail forecasting [12]. Specialists within the hail control system from the former Soviet republics carried out complex hail forecasting procedures also. The most important thermodynamic parameters used in operational hail forecasting, are those related to updraft speed, humidity at different levels, and middle tropospheric flow [19,20,21,22].

ther representative parameters highly relevant include the vertical gradient of air pressure, causing strong updrafts and hail, known as generators of highly organized convective storms. In the case of supercells, in most cases associated with large-size hail falls, several parameters have been developed that are used to help forecast them. The most relevant among them can be considered the wind shear between the surface and the 6 km level (DLS), or the storm relative helicity [13,15,16,23,24,25,26,27,28,29,30].

Potential predictors could come from the optimum hail growth zone estimation represented by a layer above the 00C isotherm. The height at which the frost level is above the ground is also used for the same purpose. [13,31,32]. The amount of moisture available below the freezing level or in the boundary layer also has an influence on the density and growth rate of hailstones [13,32]. Recent research from Europe has suggested that the level of updraft condensation can become a useful predictor [15,28,29], this one can be correlated with lifted condensation level and convective temperature and the cloud base, which in turn their influences the speed of the updraft [33]. These results are similar to other studies [24,34].

Alternative forecast techniques such as one-dimensional and convective cloud models (HAILCAST) to estimate potential hail size based on the vertical profile of the atmosphere [35,36]. Other models use cloud microphysical properties to forecast hail size by simulating an updraft [37,38]. Although not yet widely applied in operational forecasting, both approaches provide a reasonable estimate of hail size [36,37,38].

Machine learning applications became another current direction of development for the parameters analysis useful for hail forecasting. They can assimilate a larger number of variables that can be considered, including simulated model updates, hail microphysical characteristics, environmental parameters, and weather radar observations [37,39,40,41].

This topic in Romania is rather poorly represented in studies. Bălescu and Militaru [42] carry out a study describing convective favorable conditions to hail falls. Some studies have addressed the forecast of instability, in general, or of storms using instability indices [43,44,45]. For hailstorms, a convective environment appears as a case study of exceptional events [46,47,48,49]. The efficiency of some instability indices derived from sounding data for hail forecasting was tested for the Romania territory. The lifted Index linked with the values of temperature laps in the middle troposphere proved a good ability to forecast hail [50,51].

This paperwork is intended to be an approach that brings a contribution to the most detailed knowledge of short-term hail forecasting methods in the north eastern part of Romania. Numerous areas with a high hail risk [52] determined the implementation of some hail suppression technologies in the study area. The need and opportunity to improve knowledge related to the prognosis and manifestation of this phenomenon thus intervened.

## 2. Materials and Methods

### 2.1. Study Area and Hail Climatology

The study area represents the north-eastern part of Romania (Figure 1(a)), corresponding with the western part of Moldova historical region (Figure 1(b)).

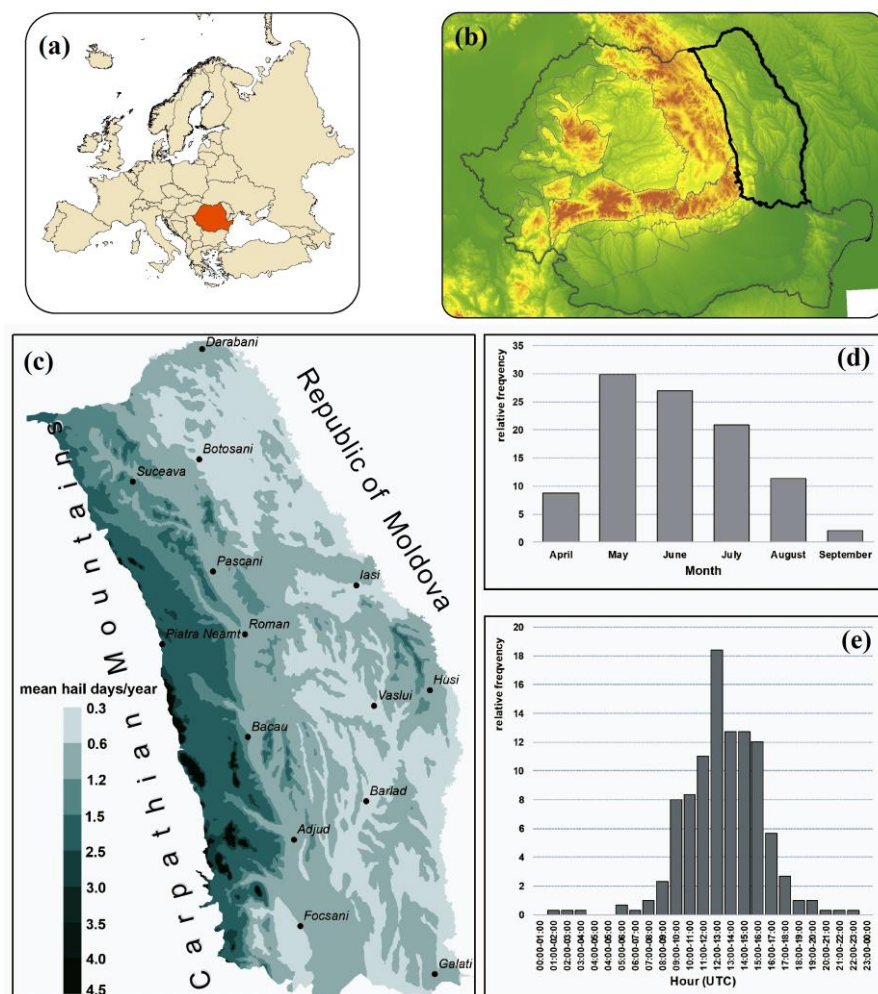
The mountain area was excluded due to the lack of significant hail falls data. Although the number of days with hail is higher in the mountain area [53], the characteristics of the economic activities determine a very low impact of damage in this area in connection with strong hailstorms [54,55]. The studied area hypsometry is between 10 m in the extreme south and over 900 meters in the hilly area in the west. The region it is sheltered in the western part by the Carpathian Mt., which induces a series of peculiarities. [56].

The average number of days with hail, drops from 3 days/year on the highest peaks of the Moldavian Subcarpathian and the Curved Subcarpathian, to below 0.6 days/year in the southern Moldavian Plain, the Bârlad valley and most of the valley Prut [53]. Many

hailfall events (78%) occur in the afternoon and evening hours, between 10:00 and 18:00 UTC, while the rest of the hail events (22%) occur in the interval 19:00–10:00 UTC.

## 2.2. Hail data.

Reported hail falls, used in this study was used in previous studies [52,57], and comes from three different sources, namely: Romanian National Meteorological Administration (RNMA), ESWD (European Severe Weather Database) and media and local newspaper. The dataset from RNMA represents a total of 242 hail days from 18 weather stations from 1979–2017. 29 hail days were taken from ESWD reports, the database used in studies at the continental level [28,29,58] as well as at the regional level [59, 60]. The third data source is represented by large hail reports from the local newspapers archive. These types of meteorological phenomena reports, including data from past centuries, have been used in climatological studies that have been carried out [61,62,63].



**Figure 1.** Geographical position of north-eastern Romania at continental (a) and country (b) scale; spatial distribution of the mean hail days per year (c), monthly distribution of the hail events (d), and hourly distribution of the hail events (e) for 1981–2020.

## 2.3. Convective Parameters

The convective environment of hail storms was studied using 23 instability parameters from two data sources. Data from sounding stations in the vicinity of the studied area, namely Bucharest-Băneasa, Cluj-Napoca, Cernăuți and Odesa, as well as reanalysis data from ERA5. Sounding data were downloaded from the web server of the Uni-

versity of Wyoming [64] The spatial density of air sounding stations from the studied territory is very low, and the atmosphere sounding is carried out at most twice a day. The use of aerial survey data was done according to the criterion of proximity. Data from the sounding station closest to the place where the hail phenomenon was reported were thus used. For a comparison the convective parameters, was used ERA5 database [65]. From ERA5 was used a number of 14 instability indices such as CAPE, CIN, TT, KI and the values of temperature, relative humidity, dew point and wind speed and direction at 850 hPa, 800 hPa, 700 hPa and 500 hPa levels. Convective parameter values for 378 hail days were analyzed at 00:00 UTC and 12:00 UTC. The 23 instability indices used are divided into five categories, namely particle theory indices, moisture indices, temperature indices, kinematic indices, and complex indices. They are presented synthetically in tab no. 1.

Statistical analyses were run only for the convective period during which the hail occurs, namely from April to October and for the 00:00 (03:00 AM local time) and 12:00 PM GMT (12:00 PM local time) of the day. Analysis of parameters values at 00:00 was made to evaluate the condition prevailing hailstorm development. Parameters values at 12:00 PM were considered those that characterize the convective environment during the manifestation of the phenomenon trying to capture the convective developments in the afternoon, the classical daytime period for hail occurrence.

**Table 1.** Parameters used in study.

Group	Index Name	Acronym	Unit of Measure
1	Convective available potential energy	CAPE	J/kg
2	Convective Inhibition	CIN	J/kg
3	Lifted index	LI	-
4	Showalter index	SI	°C
5	Lifted condensation level	LCL	m
6	Equilibrium level	EL	m
7	Cloud vertical extent	H_Cl	m
8	Parcel's max vertical velocity (square root of 2 X CAPE)	Wmax	m/s
9	Freezing level	FL	m
10	2 m - 700 hPa lapse rate	LR_0-7	°C/km
11	800 - 500 hPa lapse rate	LR_5-8	°C/km
12	Relative humidity at 850 hPa pressure level	RH850	%
13	Relative humidity at 700 hPa pressure level	RH700	%
14	Relative humidity at 500 hPa pressure level	RH500	%
15	Mean mixed layer MIXR (average MIXR in the lowest 500 m)	MLMIXR	g/kg
16	Precipitable water	PW	mm
17	0-6-km AGL wind shear - deep-layer shear	DLS	m/s
18	Velocity of the main stream	Vw	Km/h
19	K index	KI	K
20	Total totals	TT	K
21	Bulk-Richardson Number	BRN	-
22	Severe Weather Threat Index	SWEAT	-
23	DLS x Wmax	WMAXSHEAR	m <sup>2</sup> /s <sup>2</sup>

### 3. Results

#### 3.1. Convective Parameters Values

##### 3.1.1. Parcel Parameters

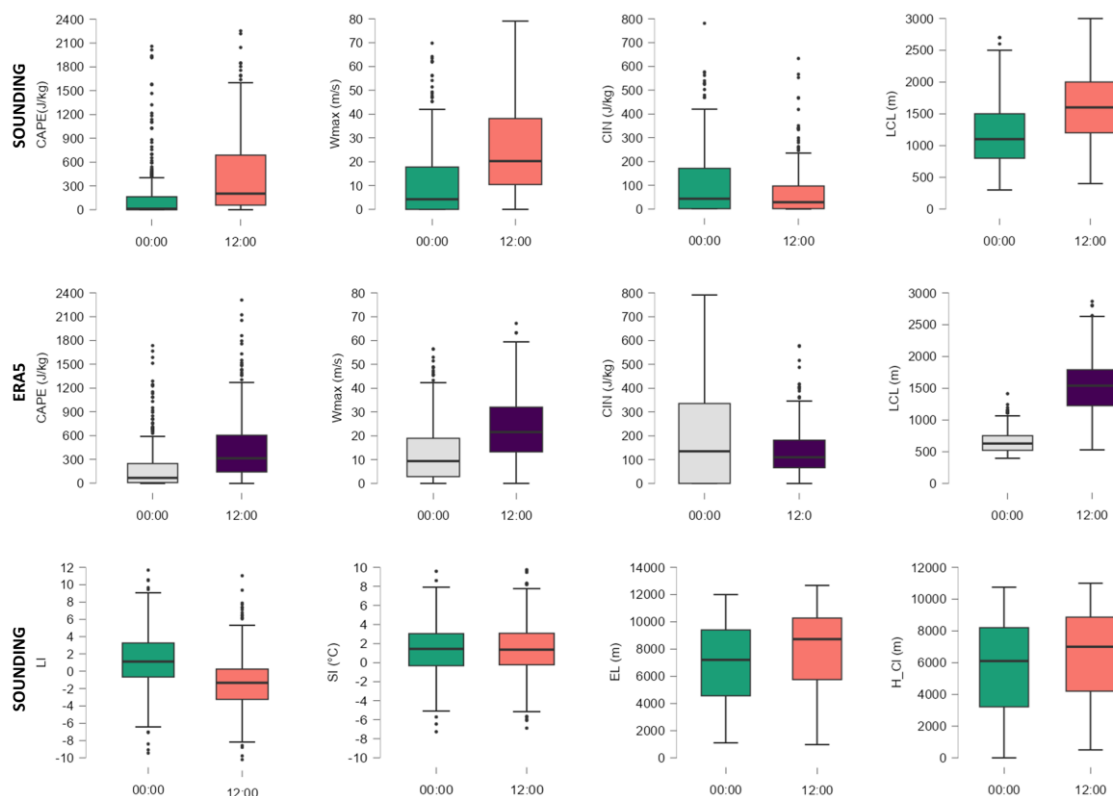
CAPE mean values from the two databases are approximately 200 J/kg (Figure 2). The median values are about 433 J/kg in the case of ERA5 and 505 J/kg for the asounding data. These are comparable to those of small hail size days in central and western Europe obtained in other studies [15,27]. In Switzerland, for the cases with weak and isolated convective storms, the average value of CAPE from the 12 UTC sounding data was 400 J/kg,

and in the Czech Republic, in the case of strong convective storms in more than 80% of the cases, the value was 322 J/kg [66]. Can be seen a difference, especially for higher values than the median quartile, between ERA5 and sounding data. The 75th and 90th percentile values from sounding are about 100 J/kg lower than those calculated with ERA5 data. CAPE maximum values recorded in sounding data was 4351 J/kg on 24.06.1987 and from ERA5 it was 3918 J/kg on 18.06.2016. The parameter Wmax being obtained from taking the square root of the double CAPE, is characterized by the same statistical distribution of values and also by a similar spatial distribution.

Half of CIN values from air sounding stations are close to 0, those from ERA5 have a median value of 70 J/Kg and extreme values exceeding 300 J/kg. We can approximate this value of convective inhibition on hail days as available convective energy once it has been overcome. The lifting condensation level (LCL) at 12:00 UTC calculated from sounding and ERA5 data, which can be associated with cloud base, located at altitudes higher than 900 m for 90% of the analyzed hail days. In the case of the data from 00:00, the LCL was located at altitudes higher than 800 m for 75% of the analyzed hail days.

LI and SI values being calculated in a similar way also have similar statistical distribution. The difference between the two indices lies in the lower values of LI compared to SI. The values of the lower quartiles of LI lower than -2 that characterize a moderately and strongly unstable environment denote the existence of a moist, unstable layer in the layer at the soil surface. We can associate the higher SI values with a much lower frequency of an unstable, moist layer at altitude corresponding to the 850 hPa level.

The EL values, with much greater variability compared into those of the LCL, also greatly influence the height of the clouds (H<sub>Cl</sub>). In most cases the vertical cloud extent was recorded between 3500 and 8000 meters at 00 UTC and 4000 and 9000 m at 12 UTC. Being calculated only from air sounding data, some values of EL and H<sub>Cl</sub> are close to 0, as a fact due to the large distance of the stations of aerial sounding toward of hailstorm location.

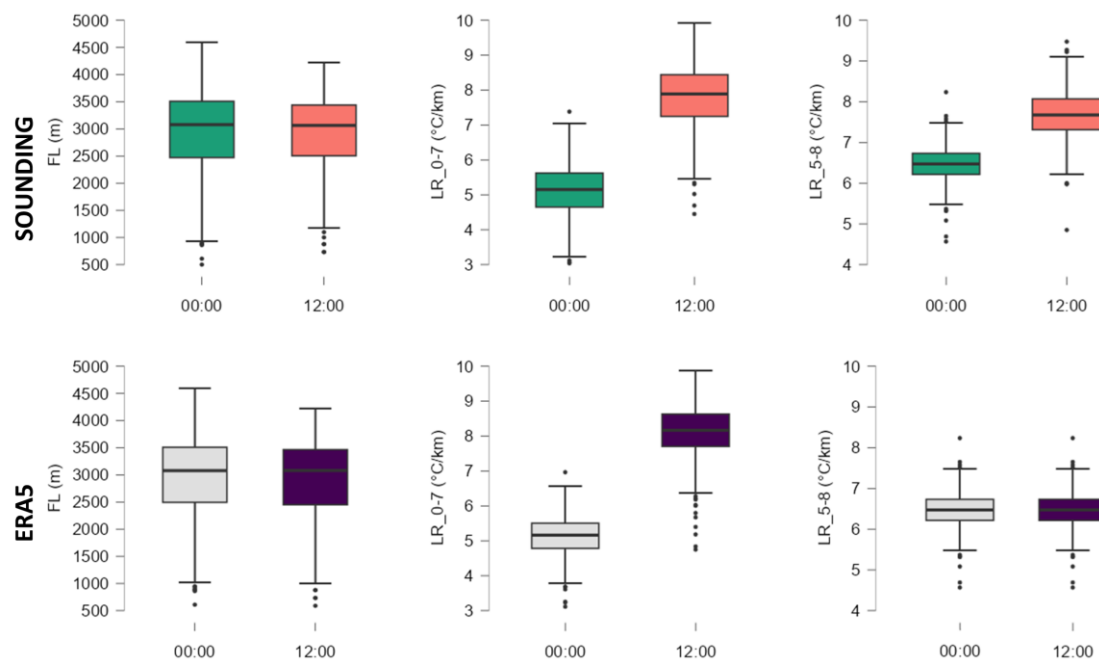


**Figure 2.** Box-and-whisker plots for parcel theory parameters. The median is represented as a horizontal line inside the box, the edges of the box represent the 25th and 75th percentiles, while whiskers represent the 10th and 90th percentiles.



### 3.1.2. Temperature Parameters

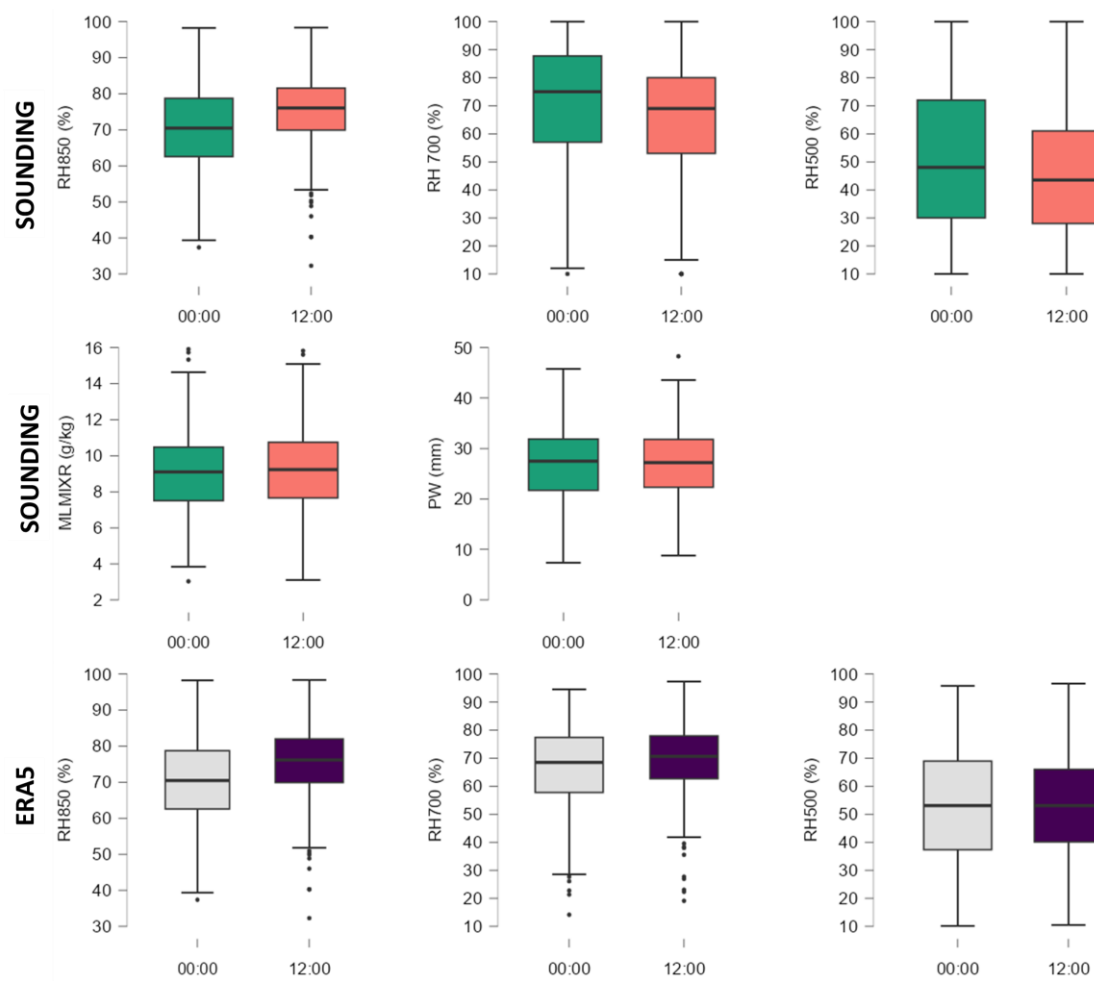
The vertical profile of temperature distribution in the atmosphere on hail days brings essential information on the 'hail growth zone'. The freezing level is correlated, with the size of hail recorded on the ground [67,68,69,70]. This parameter has the advantage of having a spatial and temporal homogeneity of values, especially for small regions like the studied area. Generally, the FL value on hail days was between 2500 and 3500 m (Figure 3). At the beginning of the convective season, values lower than 2500 m can be recorded in April and values higher than 3500 m in July and August. The other two indices in this category LR\_0-7 and LR\_5-8 provide an image of some thermal and humidity characteristics of the low troposphere, respectively of the middle troposphere (Figure 3). LR\_0-7 represents, from this point of view, in general the situation in the first 3 km of the troposphere. Its values from 12 UTC, in the case of both data sources, are between 6.5 and 9.5 °C/Km indicating the presence of an amount of moisture located below the planetary boundary layer.



**Figure 3.** Box-and-whisker plots for temperature parameters. The median is represented as a horizontal line inside the box, the edges of the box represent the 25th and 75th percentiles, while whiskers represent the 10th and 90th percentiles.

### 3.1.3. Moisture Parameters

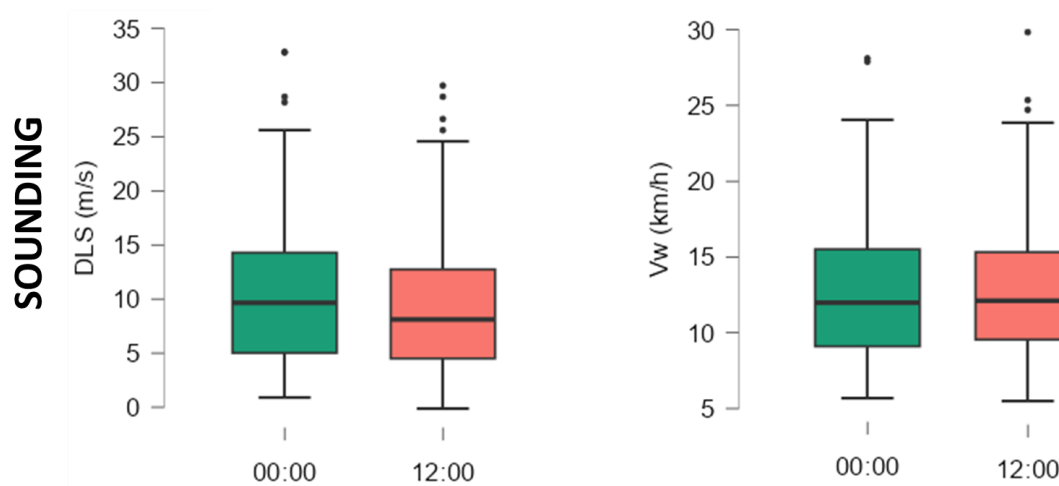
The humidity parameters have a similar distribution in the case of both data sources, especially in the case of RH 850 and RH 500 (Figure 4). Differences showup in the case of RH700 where the interquartile values calculated from the ERA5 data are lower. The average value of these parameters calculated for all hail days analyzed beginne from 75% in the case of RH850 till 50% in the case of RH500. Values distribution for MLMXR and PW are similar the two time intervals studied. Mean value around 9 kg/g for MLMXR is also specific for large hail cases in western Europe [27]. Over 75% from hail cases in the dataset used had PW values exceeding 22 mm, with a median value of 27 mm.



**Figure 4.** Box-and-whisker plots for moisture parameters. The median is represented as a horizontal line inside the box, the edges of the box represent the 25th and 75th percentiles, while whiskers represent the 10th and 90th percentiles.

### 3.1.4. Kinematic Parameters

For all analyzed hail cases, DLS mean value is around 10 m/s for sounding data from 00 UTC and 8 m/s for those from 12UTC (Figure 5). The similar values are found in central and western Europe [15,27] and greater (around 15 m/s) for the hailstorm in USA [29,71]. DLS upper quartile values exceed 10 m/s and maximum 20 m/s. Vw has values between 7 and 19 km/h, being a very useful index for determining the movement of convective clouds. Its values can be correlated with the speeds of air masses that cause atmospheric instability in the studied territory or with relief and microclimate conditions in the case of local convections.



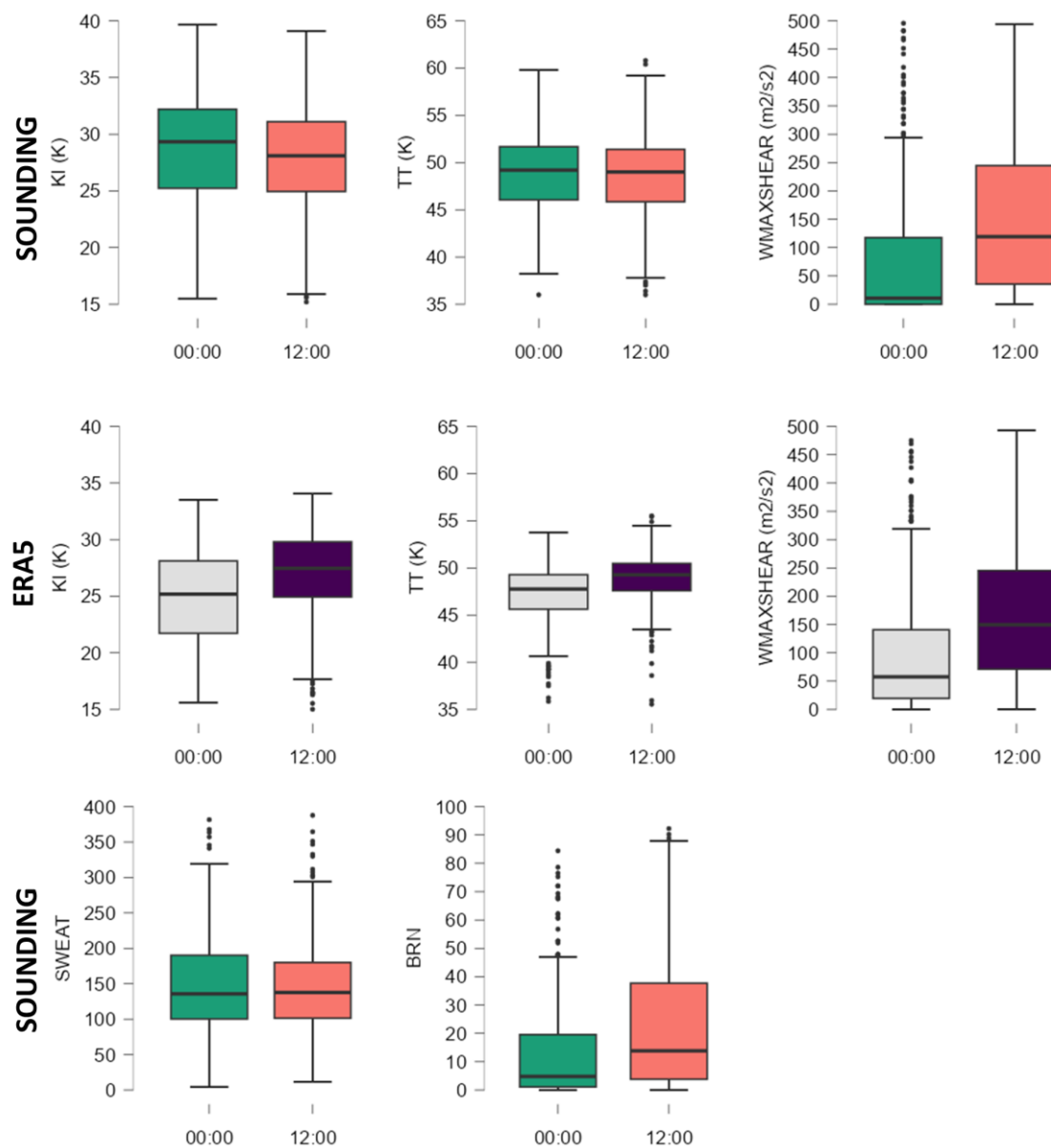
**Figure 5.** Box-and-whisker plots for kinematic parameters. The median is represented as a horizontal line inside the box, the edges of the box represent the 25th and 75th percentiles, while whiskers represent the 10th and 90th percentiles.

### 3.1.5. Composite Parameters

The TT and KI values indicates a moderately unstable environment with the probability of producing strong convective storms in limited areas (Figure 6). The values of these two indices calculated from ERA5 are lower than those calculated from sounding data. KI does not stand out with values higher than 40. Values above 50 in the upper quartile of TT can highlight situations in the first part of the warm semester when the instability was caused by cold air cores located at altitude (at the level of 500 hPa). WMAXSHEAR is a composite index that has shown a good potential to forecast strong atmospheric instability but also to differentiate the degree of severity of convective phenomena [15,27]. The distribution of WMAXSHEAR values is closely related to that of CAPE from which the updraft velocity is estimated. It is noted that the values calculated from ERA5 are higher than those from the sounding are. For 00UTC only in 25% of cases, WMAXSHEAR indicates the possibility of some convection. Much more suggestive are the data from 12UTC (from both data sources) which have a mean value of 150 m<sup>2</sup>/s<sup>2</sup>. Thus, values indicated the presence of an environment with increased instability in 50% of cases.

Other two composite indices calculated from air sounding data, namely SWEAT and BRN have values that indicate the existence of a convective environment favourable to the development of potential hail just for only part of the cases analyzed in the study. In the case of SWEAT, the upper quartile values are between 150 and 300 (Figure 6). These values indicate a reduced probability of the occurrence of strong storms, but it must be considered that in its calculation parameters of moisture from the low levels of the atmosphere, parameters of instability, but also data related to the direction and speed of the vertical wind are used. Values in the lower quartile indicate an environment favorable to strong convection. The upper quartile includes values over 45 that characterize a weakly unstable environment.





**Figure 6.** Box-and-whisker plots for composite parameters. The median is represented as a horizontal line inside the box, the edges of the box represent the 25th and 75th percentiles, while whiskers represent the 10th and 90th percentiles.

### 3.2. Correlations among Parameters

Derivate indices from correlation matrix from sounding data at 00:00 UTC, show up a very strong correlations between indices of the same type or from different "families". Coefficient values of 0.9 or higher are actually autocorrelations occurring between indices that are calculated with the same thermodynamic variables. In this situation there are correlations between CAPE – BRN, LI – SI, KI – TT or PW – MLMIXR. Other autocorrelations are recorded between CAPE and indices calculated using its value: Wmax, WMAXSHEAR. Good correlations were considered those with values of  $r_s$  greater than 0.5. The correlation matrix show two concentration with integer coefficients values over 0.5. The most obvious are the high correlations between the indices calculated based on the particle theory and those between them and the moisture parameters, respectively the composite parameters. Correlations with coefficient values close to 0.5 also show up between the MLMIXR and the composite indices.

Strong negative correlations are recorded between LI, SI and the other indicators due to the negative characteristic values of these two parameters. Other negative correlations such as those between EL and RH850 or FL and RH850 can be explained in terms of thermodynamic laws governing energy exchange in the lower and middle troposphere.

	CAPE	CIN	LI	SI	LCL	EL	H_CI	Wmax	FL	LR_0.7	LR_5.8	RH850	RH 700	RH 500	MLMIXR	PW	DLS	Vw	KI	TT	BRN	SWEAT	WMAXSHEAR
CAPE	-	0.7	-0.8	-0.5		0.6	0.6		0.3	0.1		-0.1	0.1	0.1	0.6	0.5	-0.1	-0.1	0.5	0.4	0.4		
CIN		-	-0.6	-0.4	0.1	0.2	0.2	0.8	0.3	0.1		-0.2			0.4	0.4			0.4	0.3	0.3	-0.1	0.8
LI			-			-0.7	-0.7	-0.8	-0.4	-0.1		0.1		-0.1	-0.7	-0.5	0.1	0.1	-0.6	-0.5	-0.5	-0.6	-0.7
SI				-		-0.5	-0.5	-0.5	-0.3	0.0	-0.1	0.1		-0.1	-0.5	-0.5	0.1	0.1	-0.7	-0.8	-0.8	-0.3	-0.5
LCL					-	0.2			0.4	-0.1	0.1	-0.6	-0.5	-0.4	-0.1	-0.1		-0.1	-0.1	-0.1	-0.1		-0.1
EL						-		0.6	0.6	-0.3	0.1	-0.4	-0.4	-0.1	0.6	0.4			0.2	0.2	0.4	0.6	0.5
H_CI							-	0.6	0.5	-0.3	0.1	-0.3	-0.3	-0.1	0.6	0.4			0.2	0.2	0.4	0.6	0.5
Wmax								-	0.2	0.2		-0.1	0.1	0.1	0.6	0.5	-0.1	-0.1	0.5	0.4	0.4		
FL									-	-0.4	-0.1	-0.6	-0.4	-0.2	0.7	0.6	0.0		0.2	-0.2	0.3	0.2	0.2
LR_0.7										-	0.0	0.3	0.5	0.2	-0.2	-0.1	-0.2	-0.1	0.2	0.2		-0.1	0.1
LR_5.8											-	-0.3	-0.1	-0.1	-0.3	-0.3	-0.1	0.0	-0.1	0.3	-0.1	0.1	0.0
RH850												-	0.5	0.3	-0.1			0.1	0.1	0.1		-0.1	-0.1
RH 700													-	0.4	-0.1	0.1	-0.1		0.4	0.1		-0.1	0.1
RH 500														-		0.1		0.1	0.2	0.2	0.1	0.0	0.1
MLMIXR															-				0.5	0.0	0.4	0.5	0.6
PW																-			0.7	0.1	0.5	0.3	0.4
DLS																	-		-0.1	-0.1	0.1	-0.3	0.1
Vw																		-	-0.1	-0.1	0.2	-0.4	0.1
KI																			-	0.5	0.6	0.2	0.4
TT																				-		0.1	0.3
BRN																					-		0.4
SWEAT																						-	0.4
WMAXSHEAR																							-

**Figure 7.** Correlation matrix for 23 instability indices derived from sounding data at 00:00 UTC. Spearman correlation coefficient (rs) values indicating strong correlations are marked with the darkest shades of red and green. Significance level  $\alpha=0.05$ . Autocorrelations and insignificant correlations less than  $\|0.1\|$  are marked in gray.

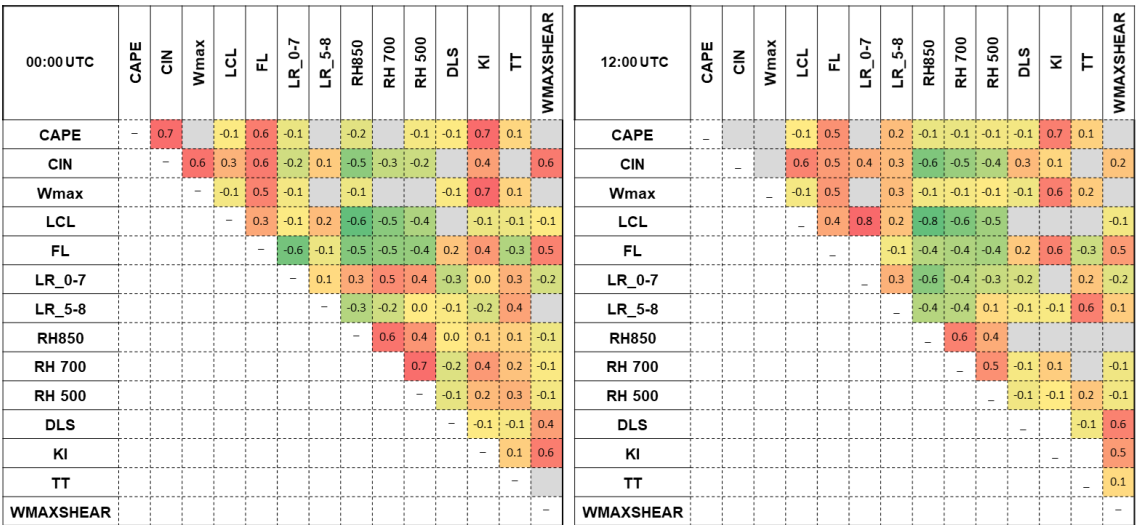
The correlation matrix between parameters calculated from sounding data at 12:00 UTC (Figure 8) shows the same pattern of rs coefficient values. The major difference lies in the higher correlation coefficient values between the particle theory-derived and moisture indices compared to the 00:00 UTC data. The index values at this time are the significant ones that characterize the convective environment, being the closest to the interval in which most of the hail falls occurred.

	CAPE	CIN	LI	SI	LCL	EL	H_CI	Wmax	FL	LR_0-7	LR_5-8	RH850	RH 700	RH 500	MLMIXR	PW	DLS	Vw	KI	TT	BRN	SWEAT	WMAXSHEAR
CAPE	-	0.1	-0.9	-0.5	0.0	0.7	0.7		0.3	0.1					0.7	0.5	-0.1	-0.1	0.4	0.4	0.4		
CIN		-	-0.2	-0.2	0.3	0.1		0.2	0.4	-0.1	0.1	-0.3	-0.2	-0.1	0.3	0.3	0.1	0.1	0.1	0.1	0.1	-0.2	0.3
LI			-	0.7		-0.7	-0.7	-0.9	-0.4	-0.1	-0.1	0.1			-0.7	-0.6	0.1	0.1	-0.5	-0.5	-0.4	-0.6	-0.6
SI				-	-0.2	-0.4	-0.4	-0.5	-0.3	-0.2	-0.1	0.1		-0.1	-0.4	-0.5	0.1	0.1	-0.7	-0.8	-0.8	-0.4	-0.3
LCL					-				0.3	0.6	0.1	-0.4	-0.4	-0.3	-0.1			-0.1	0.0	0.0	0.1		
EL						-		0.7	0.6			-0.2	-0.1	-0.1	0.7	0.5	-0.1	-0.1	0.3	0.1	0.3	0.5	0.4
H_CI							-	0.7	0.5	-0.1		-0.1		-0.1	0.7	0.5	-0.1	-0.1	0.4	0.1	0.2	0.5	0.4
Wmax								-	0.3	0.2				0.0	0.7	0.5	-0.1	-0.1	0.4	0.4	0.4		
FL									-		-0.1	-0.4	-0.3	-0.2	0.7	0.7	0.1	0.0	0.3	-0.1	0.3	0.2	0.3
LR_0-7										-	0.1	-0.2	-0.1	-0.3	-0.2	-0.1	-0.1	-0.1	0.0	0.2	0.1	0.2	0.1
LR_5-8											-	-0.4	0.0	0.1	-0.2	-0.3	-0.1	0.0	-0.1	0.3	-0.1	0.1	
RH850												-	0.3	0.1	-0.1	-0.1							
RH 700													-	0.3		0.1	-0.2	-0.1	0.5	0.2			-0.1
RH 500														-	-0.1		-0.1		0.2	0.2			-0.1
MLMIXR															-		0.1		0.5		0.4	0.3	0.5
PW																-	0.1		0.7	0.1	0.5	0.2	0.4
DLS																	-		-0.1	-0.1	0.1	-0.4	0.6
Vw																		-	-0.1	-0.1	0.2	-0.5	0.4
KI																			-		0.6	0.3	0.2
TT																				-	0.6	0.3	0.2
BRN																					-	0.2	0.4
SWEAT																						-	0.1
WMAXSHEAR																							-

**Figure 8.** Correlation matrix for 23 instability indices derived from sounding data at 12:00 UTC. Spearman correlation coefficient (rs) values indicating strong correlations are marked with the darkest shades of red and green. Significance level  $\alpha=0.05$ . Autocorrelations and insignificant correlations less than  $\parallel 0.1 \parallel$  are marked in gray.

Correlation matrix for ERA5 parameters stand out significant correlations between the same types of thermo-dynamic parameters as indices derived from air sounding (Figure 9). Between CAPE and CIN exists a strong correlation only at 00:00 UTC observations. The correlation between night time CIN and CAPE and its lack at midday indicates that daytime heating and dynamical factors can convert convective inhibition into available energy for the development of convective hailstorms. At the case of the EL and LR\_0-7 indices, a positive correlation present only from 12:00 UTC may be caused by cases where there is a reduced amount of moisture in the early troposphere. This situation involves the increase of the thermal gradient and implicitly of the air saturation deficit, which in turn causes the EL to increase.

Other good correlations are recorded between the relative humidity values at the three pressure levels, 850 hPa, 700 hPa and 500 hPa respectively. These indicate the presence of moist air layers in the lower and middle troposphere.



**Figure 9.** Correlation matrix for 14 mean instability indices values derived from ERA5 data at 00:00 UTC and 12:00 UTC. Spearman correlation coefficient (rs) values indicating strong correlations (darkest shades of red and green marked). Significance level  $\alpha=0.05$ . Autocorrelations and insignificant correlations smaller than  $||0.1||$  gray marked.

4. Discussions

The highest values of the linear correlation coefficient rs were summarized in table 2 according to the groups in which the instability indices were classified. Correlations within the particle theory indices are recorded between LI or CAPE (Wmax) and the other indices. The decrease LI value and the increase CAPE value correlate very well with the LCL and H\_Cl increase indicating that the unstable layer can rise to very high altitudes in the troposphere in the presence of a strong vertical flow. A strong correlation also exists between LI and CAPE. The LI negative value indicates that the planetary boundary layer is unstable in relation to the middle troposphere, and the high value of CAPE indicates a large amount of latent energy released following the condensation of water vapour. Generally, LI values lower than -4 correspond to CAPE values above 1000 J/kg. For the same region [57] found that highest MUCAPE characterizing the north-easterly anticyclonic. There are numerous situations where LI values indicate moderate instability but CAPE low stability which can be attributed to the existence of temperature inversions or an inhibitory layer. Istrate et al. [51] obtained similar results for 140 hail days recorded in Romania between 2007 and 2018.

The first category of indices also correlate very well with the amount of moisture in the lower troposphere, expressed by MLMIXR and RH850. Therefore, a higher amount of moisture in the lower troposphere causes a lower temperature gradient and increases the cloud base height approximated by the LCL index. Some research [9,15,24,34,72] also found LCL values to be higher on hail days compared to convective storm days with no hail. The negative correlation between LCL and UR850 can be explained by the presence of the moist-unstable layer at about 1500 m in the lower troposphere.

A larger amount of available water in the lower and middle troposphere to be ascended at negative temperatures in the hail growth zone, indicated by the CAPE-MLMIXR, LI - MLMIXR, LI – PW, or Wmax- MLMIXR correlations.

Among the temperature indices, FL has the best correlations with EL and H\_Cl. The increase in the value of FL is associated with a stronger heating of the first part of the troposphere, which causes the increase of EL and including H\_Cl under conditions of instability.

Indices that capture the single-level instability of the atmosphere, LI and SI are well correlated with KI, TT and SWEAT values. However, they indicate stronger correlations between SI and the three indices mentioned. Those between SI –KI and SI-TT indicate that

there is a thick layer of warm, moist air in the lower to middle troposphere and a layer of cold air aloft. One of the correlations that can help to improve the forecast of hail is that between SI and SWEAT index. SI indicates the increase of the convective potential in the case of the approach of cold air masses that descend below the level of 850 mb. SWEAT takes into account several important parameters such as moisture in the lower troposphere, instability and wind shear. Thus, under the conditions of a cold atmospheric front, accretion of hail grelons is faster when the updraft contains a large amount of liquid water. The large amount of liquid water is determined by the high values of relative humidity in the lower troposphere.

High coefficients value correlation are found between FL and moisture parameters, such as MLMXR, RH850 and PW. In the summer months when the lower troposphere is warmer and richer in moisture, strong positive correlations could be find between FL - MLMXR and FL – PW. The negative coefficient between FL and RH850 can be explained by the influence that moisture in the lower troposphere, at 1500 meters, (approximate altitude at which the 850 hPa level is usually found) has on the vertical thermal gradient.

An important limitation of our approach is the potential unrepresentativeness of the sounding. Sounding stations are located hundreds of kilometers apart and are taken only every 12 h, so that a relaxed criterion for proximity measurement was chosen in order to extract parameters data for all hail reports used. In addition, there are seasonal differences in parameters values, which must be discussed. For future, we plan to use several parameters from ERA5, analyze them on a monthly basis and establish of a minimum forecast threshold like 25<sup>th</sup> percentile, used by [73].

**Table 2.** Strong linear correlations between index values derived from sounding and ERA5 at 00:00 UTC and 12:00 UTC of the 378 hail fall days analyzed.

Parameters	Couples	Spearman Corelation Coefficient (rs)	
		00:00 UTC	12:00 UTC
Between parcel parameters	CAPE-LI	-0,80	-0,90
	CAPE-LCL	0,60	0,70
	CAPE-H_CI	0,60	0,69
	LI-LCL	-0,70	-0,70
	LI- H_CI	-0,70	-0,70
	LI-Wmax	-0,80	-0,90
	LCL – LR_0-7	0,60	0,70
	LCL- Wmax	0,60	0,70
Between parcel parameters and moisture parameters	CAPE-MLMIXR	0,60	0,70
	LI- MLMIXR	-0,70	-0,70
	EL- MLMIXR	0,60	0,70
	H_CI - MLMIXR	0,60	0,70
	Wmax- MLMIXR	0,60	0,70
	Wmax-PW	0,50	0,50
Between parcel parameters and temperature parameters	LCL-FL	0,60	0,60
	H_CI - FL	0,60	0,50
Between parcel parameters and composite parameters	SI-KI	-0,70	-0,70
	SI-TT	-0,80	-0,80
	SI-SWEAT	-0,80	-0,80
	Wmax-KI	0,50	0,30
Between temperature parameters and moisture parameters	FL - MLMIXR	0,70	0,70
	FL - PW	0,60	0,70
Between composite parameters and moisture parameters	KI- PW	0,70	0,70
	WMAXSHEAR- MLMIXR	0,60	0,50



## 5. Conclusion

Based on the multi-source hail reports data, sounding data and ERA5 reanalysis data in north-eastern Romania from 1981 to 2020, this paper statistically analyzes the climatic characteristics of some convective parameters. The convective environment of hail storms was studied using 23 instability parameters divided into five categories, namely particle theory indices, moisture indices, temperature indices, kinematic indices, and complex indices.

Statistical analysis of instability parameters used indicates a significant difference in their values between 00:00 UTC and 12:00 UTC. The values of the indices at 12:00 UTC are much higher, some of them characterizing the convective environment very well. The most used parameter, CAPE, have average values, at 12:00 UTC around 430J/kg in the case of data from ERA5, respectively 505J/kg in the case of data from sounding data. The average values at 00:00 UTC of this index are much lower, being below 200 J/kg and in about 50% of the cases they were very close to 0 or even 0. Moreover, forecasts of convective hazards are more difficult in situations of modest CAPE (<1000 J /kg) than large CAPE (>1000 J/kg).

Verification of the existence of some statistical correlations between the analyzed indices was carried out using the Spearman correlation coefficient (rs). Using correlation matrix of the derived indices from sounding data and ERA5 data at the 00UTC and 12UTC, are distinguished very strong correlations between indices of the same or different categories. Good correlations were considered those with rs values greater than 0.5. The most obvious are the high correlations between the indices calculated based on the particle theory and those between them and the moisture indices, respectively the composite indices. The main conclusion is that probability for large hail maximizes with high low level and boundary layer moisture, high CAPE, and a high LCL height. We cannot specify exactly which of the convective parameters are the most useful in hail forecasting. Following this analysis as well as the research in the field, it turns out that certain parameters are more important depending on the synoptic and local conditions. Furthermore, we consider it necessary to continue the research for the studied area and establish some minimum thresholds values to be used in the operational hail forecast.

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**Conflicts of Interest:** The authors declare no conflict of interest.

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