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## Article

# Respiratory Muscle Function and Submaximal Exercise Performance in Adult Patients with Fontan Circulation

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**Abstract:** We aimed to provide a comprehensive assessment of exercise physiology of adult Fontan patients (FP) and factors limiting functional capacity (FC). A prospective single-centre study of 37 FP aged  $\geq 16$  years and 19 healthy-controls (HC), who underwent CPET on cycle-ergometer in February-March 2022. Lung function was impaired in FP, mostly mild restrictive pattern (56.8%). Mean peakVO<sub>2</sub> was  $21 \pm 5.4$  ml/kg/min, 55% predicted. Morphologically left-single ventricle showed higher peakVO<sub>2</sub>%predicted ( $57.4 \pm 14.4\%$  vs  $43.4 \pm 8.1\%$ ,  $p=0.045$ ). A lower peakVO<sub>2</sub>%predicted was observed in patients with an early flattened and/or descending O<sub>2</sub> pulse at maximal exertion ( $52 \pm 14\%$  vs  $62 \pm 12.5$ ,  $p=0.04$  and  $47.6 \pm 9\%$  vs  $60 \pm 14$  respectively,  $p=0.018$ ) and chronotropic insufficiency ( $53 \pm 12\%$  vs  $69.8 \pm 20\%$ ,  $p=0.008$ ). Strong positive correlation was observed between %OUES and peakVO<sub>2</sub>%predicted ( $r=0.726$ ,  $p>0.001$ ). PeakVO<sub>2</sub>%predicted was progressively higher as the level of physical activity increased (low-level  $49.5 \pm 14\%$ , moderate-level  $55 \pm 12\%$ , intense-level  $69 \pm 20\%$ ). FP showed lower inspiratory muscle strength compared to HC but it was not statistically associated with either peakVO<sub>2</sub> or VE/VCO<sub>2</sub> slope. In FP OUES is a useful submaximal parameter in those who fail to reach maximal exertion. Chronotropic insufficiency and early flattened or descending oxygen pulse slope were factors associated with low peakVO<sub>2</sub>. Regular intense physical activity improves FC. Although FP have inspiratory muscle weakness, its impact on FC is unclear.

**Keywords:** adult Fontan patients; exercise capacity; oxygen uptake efficiency slope; lung function; inspiratory muscle weakness

## INTRODUCTION

Since the introduction of the Fontan operation 50 years ago, the life expectancy of infants born with complex and functionally univentricular congenital heart disease (CHD) has increased significantly and most children will survive to adult life<sup>1</sup>. The Fontan palliation separates the systemic and pulmonary circulations and relieves cyanosis, with the systemic venous return being connected to the pulmonary arteries without the interposition of the right ventricle pump. At rest, a good Fontan circulation can provide a normal cardiac output (CO). However, as a consequence of its unique hemodynamic, the limitations of the Fontan circuit are exposed during exercise. In these Fontan patients, there is no pump to increase and accelerate pulmonary blood flow. Furthermore, pulmonary vascular reactivity and recruitment of vessels are limited or even absent. A patient with Fontan circulation has, therefore, a restricted ability to augment cardiac output during exercise<sup>2</sup>.

Cardiopulmonary exercise testing (CPET) is a valuable tool for assessing the exercise capacity and fitness of individuals with Fontan circulation. It involves measurements of oxygen uptake (VO<sub>2</sub>), carbon dioxide production (VCO<sub>2</sub>), and ventilatory measures during a symptom-limited exercise test. CPET provides clinicians and researchers with an integrative and comprehensive assessment of physiologic responses to exercise and cardiorespiratory fitness in this patient population<sup>3</sup>.

Many factors contribute to impaired exercise capacity in patients with Fontan circulation, such as, among others, the intrinsic haemodynamic limitations, lack of regular physical activity since childhood, muscle weakness or impaired lung function. PeakVO<sub>2</sub> measured by CPET is broadly used to classify functional capacity. In different studies this parameter is strongly related to outcome and prognosis<sup>4,5,6</sup>. However, it requires a maximal effort for its interpretation, not always achieved by the adult with Fontan circulation. The oxygen uptake efficiency slope (OUES) is a submaximal parameter which objectively predicts the maximal exercise capacity in both healthy population and patients with acquired heart disease. However, OUES has only been used in a small number of studies with young Fontan patients<sup>7,8</sup>.

In this study we sought to provide a comprehensive assessment of exercise physiology impairments of adults with Fontan circulation using CPET; to evaluate the impact of their level of physical activity on exercise capacity and to investigate the contribution of inspiratory muscle weakness to exercise limitation. Furthermore, we study the accuracy of OUES as a submaximal parameter of functional capacity in this cohort of Fontan patients.

## METHODS

### Data Source and Study population:

All patients post-Fontan palliation aged >16 years at follow-up at the Adult Congenital Heart Disease Unit in the University Hospital Virgen del Rocío in Seville were identified. Demographic data, cardiac anatomy, prior therapeutic interventions, complications, diagnostic techniques and medical treatments were collected from electronic health records and are the primary data source for this study. Both patients with atriopulmonary and total cavopulmonary connection Fontan circulations, either fenestrated and non-fenestrated, were included. Exclusion criteria were: a) Clinical instability (NYHA functional class IV, protein-losing enteropathy, severe hypoxemia with O<sub>2</sub> saturation <80%), b) Arrhythmias in the preceding 6 months prior to inclusion, c) Unstable angina, d) Recent surgery (<12 months) or changes in medication (<6 months), neurological sequelae, cognitive disability, or musculoskeletal problems that prevent performing the exercise testing.

In this prospective and cross-sectional study conducted in a single referral centre, lung function test, inspiratory muscle strength and cardiopulmonary exercise test were performed to 37 patients with Fontan circulation who were clinically stable and 19 healthy controls (HC). Healthy control participants were non-smokers, were not under drug treatment and did not have history of cardiovascular and/or pulmonary disease. All participants were informed about the details of the procedures, including the potential risks, before signing the written informed consent. The local Ethics Committee on human research approved the study.

### International Physical Activity Questionnaire

All patients and controls completed the "International Physical Activity Questionnaire-Short Form". The IPAQ-SF is a well-developed 7-question instrument that addresses the number of days and time spent on physical activity in moderate intensity, vigorous intensity and walking of at least 10-min duration the last 7 days, and also includes time spent sitting on weekdays the last 7 days<sup>9</sup>.

### Lung function

Forced vital capacity (FVC), forced expiratory volume in one second (FEV<sub>1</sub>), and FEV<sub>1</sub>/FVC ratio were assessed according to the recommendations of the American Thoracic Society and European Respiratory Society<sup>10</sup> and the predicted values calculated from the equations reported by Global Lung Function Initiative (GLI)<sup>11</sup>. At least three acceptable and reproducible maneuvers were

achieved, using encouragement and positive reinforcement in order to obtain maximum values. Patients with restrictive pattern were classified into 3 groups based on predicted FVC values: mild restriction (predicted FVC 80% to 65%); moderate restriction (FVC 64% to 50%); and severe restriction (FVC <49%), based on published recommendations.

### Inspiratory Muscle Strength

**Maximal static inspiratory pressure (MIP):** During testing, participants were sitting upright. Both patients and controls were instructed to exhale slowly and completely, seal lips firmly around the mouthpiece, and then inhale through the mouth as hard and fast as possible, with the nostrils occluded with a clamp. Ideally, the inspiratory pressure was held for 1.5 seconds so that the maximum pressure sustained for 1 second is recorded. The maximum value of three inspiratory manoeuvres that varied by less than 10% were recorded<sup>12</sup>. Reference values were calculated based on the equations developed by Evans et al.<sup>13</sup>: men =  $120 - (0.41 \times \text{age})$  and women =  $108 - (0.61 \times \text{age})$ .

**Maximal sniff nasal inspiratory pressure (SNIP):** Inspiratory pressure is recorded by a pressure transducer connected to a catheter inserted into the nostril. This manoeuvre consists of sniffing quickly and deeply, generally from functional residual capacity (FRC), measuring the pressure generated. The duration of the sniff should be < 500 ms. The manoeuvre was repeated 10 times, taking the highest value reached<sup>12</sup>. Reference values in adults between 20 and 80 years of age were calculated from the equations developed by Uldry and Fitting<sup>14</sup>: men =  $-0.42 \times \text{age} + 126.8$  and women =  $-0.22 \times \text{age} + 94.9$ . In patients aged 16 and 17 years they have been calculated with the equations of Stefanutti et al.<sup>15</sup>: men =  $3.3 \times \text{age} + 70$ .

### Cardiopulmonary Exercise Test

Maximal exercise testing was performed using a standardized ramp protocol on an electronically braked cycle ergometer. The participants were submitted to individualized ramp protocols with increments of 5 or 10 watts per minute in Fontan patients and 15 or 20 W/min in healthy controls.

Participants pedaled in an unloaded state for three minutes and workload was then increased continuously with a slope chosen to achieve each participant's predicted maximal work rate after 10 to 12 min of cycling. Metabolic measurements were assessed on a breath-by-breath basis throughout exercise (Ergostik - Geratherm Respiratory GmbH, Germany). A 12-lead electrocardiogram was recorded throughout the exercise test. Blood pressure was measured at rest, every 2 min during exercise and every minute throughout recovery.

Maximal effort was defined as achieving a respiratory exchange ratio (RER) of equal to or greater than 1.10. Peak oxygen uptake (peakVO<sub>2</sub>) was determined by the mean of the last 20-30 seconds of maximal effort. Predicted maximal VO<sub>2</sub> was calculated by Hansen-Wasserman equation<sup>16</sup>. The anaerobic threshold (AT) was determined by the V-slope method, at the point where the linear relationship between CO<sub>2</sub> production (VCO<sub>2</sub>) and O<sub>2</sub> consumption (VO<sub>2</sub>) disappears. VE/VCO<sub>2</sub> slope was determined until the onset of the respiratory compensation point. The predicted maximum heart rate (HR) was obtained from the 220-age difference. The chronotropic index was calculated by maximal HR-rest HR/(220-age)-rest HR. Oxygen pulse is the VO<sub>2</sub>/HR ratio. The oxygen uptake efficiency slope (OUES) is the slope between VO<sub>2</sub> and the logarithmic transformation of ventilation: (VO<sub>2</sub>/log<sub>10</sub> VE)-k. Predicted OUES values were obtained by the equation of Buys et al.<sup>17</sup> developed for Caucasian adults aged 20-60 years: OUESp Males =  $1093 - 18.5 \times \text{age} + 1479 \times \text{body surface area}$ ; OUESp Females =  $842 - 18.5 \times \text{age} + 1280 \times \text{body surface area}$ . In patients aged 16-20 years the equation of Akkerman et al.<sup>18</sup> was used.

### Statistical Analysis

Continuous variables are presented as means  $\pm$  SD or median and interquartile range in normally and non-normally distributed variables, respectively. Categorical variables are presented as counts and percentages. Comparisons among groups were performed using an unpaired T-test or

the Mann–Whitney U test in normally and non-normally distributed variables, respectively. Intra-group comparison was performed by applying the T-test for paired samples for normally distributed variables or non-parametric Wilcoxon tests for paired samples for non-normally distributed variables. Both Pearson's correlation coefficient or Spearman's Rho correlation coefficient were used for measuring the correlation between continuous variables. Results were represented by coefficient of correlation (r). Statistical significance was considered for p-values <0.05. Data analyses were performed with IBM SPSS 28 statistical software.

## RESULTS

A total of 37 Fontan patients (68% male) with a mean age of  $26.5 \pm 6.25$  years (range 16-41 years) and 19 healthy controls (68.5% men) with a mean age of  $26.1 \pm 6.8$  years were recruited. There were no differences in age, gender, and body mass index (BMI) between groups.

Baseline characteristics of Fontan patients are displayed in Table 1. Single ventricle morphology was left in 81% of patients. Tricuspid atresia (44%), followed by double inlet left ventricle (27.8%) were the most frequent underlying heart defect. Median age at Fontan surgery was 8.2 (6.1-11) years.

**Table 1.** Fontan patient baseline characteristics.

	<b>TOTAL (n=37)</b>
<b>Mean age</b>	26.5±6.25
<b>Sex</b>	
Men	25 (68%)
Women	12 (32%)
<b>Smoking</b>	3 (8%)
<b>Pectum excavatum</b>	3 (8%)
<b>Ventricular type</b>	
LV	30 (81%)
RV	5 (13.5%)
Balanced	2 (5.5%)
<b>Pulmonary Banding</b>	8 (21.6%)
<b>SP shunt</b>	17 (46%)
<b>Lateral Thoracotomy</b>	24 (65%)
<b>Fontan type</b>	
Intracardiac	4 (11%)
Extracardiac	30 (81%)
Lateral tunnel	3 (8%)
<b>Fenestration</b>	13 (35%)
<b>Age at Fontan</b>	8.2 (6.1-11)
<b>Years after Fontan</b>	17±5.7
<b>Pacemaker</b>	4 (10.8%)
<b>Drugs</b>	
Beta-blocker	7 (19%)
ACEi/ARBs	5 (13.5%)
Aldosterone antagonist	5 (13.5%)
Diuretics	4 (11%)
Antiarrhythmic	0
PDE5i	3 (8%)
ERA	2 (5.4%)
Antiplatelet	34 (92%)
Oral anticoagulant	4 (11%)

Data are shown as mean ± standard deviation or median (Q1-Q3) or percentages. P value: T-Student or U-Mann-Whitney. ARB: angiotensin receptors blockers; ACEi: angiotensin-converting enzyme inhibitors; ERA: endothelin receptor antagonist; LV: left ventricle; PDE5i: phosphodiesterase 5 inhibitors; RV: right ventricle; SP shunt: systemic to pulmonary shunt.



**Lung function:**

FVC and FEV1 were significantly lower in Fontan patients compared to their healthy peers. No differences were observed in the FEV1/FVC ratio between both groups. Twenty-one Fontan patients (56.8%) showed a mildly restrictive pattern, six (16.2%) a moderate restrictive pattern and ten patients (27%) had a normal lung function. None of the patients had a severe restrictive or obstructive pattern.

Patients with history of lateral thoracotomy showed lower FVC (FVC  $72 \pm 9.5\%$  vs  $80 \pm 13\%$ ,  $p=0.047$ ). We did not find statistically significant association between reduced FVC and lower peak VO2 ( $p=0.598$ ) or ventilatory efficiency parameters ( $p=0.545$ ).

**Cardiopulmonary exercise test:**

The average time between Fontan surgery and cardiopulmonary exercise test was  $17 \pm 5.7$  years. While all healthy controls reached maximal exercise effort ( $RER \geq 1.10$ ), up to 8% of Fontan patients did not achieve a maximal exercise effort ( $RER < 1.10$ ). Table 3 shows CPET results of the two groups.

**Table 2.** Lung function and inspiratory muscle strength.

	Fontan patients (n=37)	Healthy subjects (n=19)	p
FEV1 (%)	$78.8 \pm 12.4$	$95.6 \pm 6.5$	<0.001
FVC (%)	$75 \pm 11.3$	$91 \pm 9.5$	<0.001
FEV1/FVC	$88 \pm 6.4$	$87.3 \pm 8$	0.748
MIP (cmH2O)	79 (66-97)	102 (84-125)	0.005
MIP (%)	78 (65-91)	97 (84-128)	0.006
SNIP (cmH2O)	74 (60-88)	89 (81-107)	0.004
SNIP (%)	77 (59-88)	94 (88-102)	0.001

Data are shown as mean  $\pm$  standard deviation or median (Q1-Q3). P value: T-Student or U-Mann-Whitney. FEV1: forced expiratory volume in one second; FVC: forced vital capacity; MIP: maximal inspiratory pressure; SNIP: sniff nasal inspiratory pressure.

**Table 3.** Cardiopulmonary exercise test parameters.

	Fontan patients (n=37)	Healthy subjects (n=19)	p
Age	$26.5 \pm 6.25$	$26.1 \pm 6.8$	0.808
Weight	$67 \pm 13$	$66 \pm 13$	0.753
Height	$1.69 \pm 0.06$	$1.72 \pm 0.08$	0.226
BMI (kg/m <sup>2</sup> )	$23 \pm 4$	$22 \pm 3$	0.257
pStO2 basal	97 (95-99)	100 (99-100)	<0.001
pStO2 max	91 (89-93)	98 (97-99)	<0.001
Peak load (W)	$128 \pm 29$	$209 \pm 49$	<0.001
Peak load (%)	$73 \pm 18$	$115 \pm 17$	<0.001
RER max	$1.18 \pm 0.08$	$1.22 \pm 0.07$	0.129
Peak VO2 (ml/kg/min)	$21 \pm 5.4$	$34.7 \pm 6.1$	<0.001
Peak VO2 (ml)	$1406 \pm 330$	$2363 \pm 635$	<0.001
Peak VO2 (%)	$55.5 \pm 14$	$87.6 \pm 11$	<0.001
VO2 AT (ml/kg/min)	$12.5 \pm 3.2$	$19.8 \pm 4.2$	<0.001
VO2 AT (%)	$32.3 \pm 8$	$50 \pm 8$	<0.001
VO2/W slope	$8.8 \pm 1.2$	$10 \pm 1.2$	0.001
OUES (ml/min/log(L/min))	$1608 \pm 359$	$2594 \pm 700$	<0.001
OUES (%)	$54.6 \pm 13$	$83 \pm 15$	<0.001
OUES/kg	23 (20-28)	41 (32-43)	<0.001
Peak HR	$157 \pm 16$	$180 \pm 16$	<0.001
MPHR (%)	$82 \pm 9.3$	$94 \pm 6.7$	<0.001

<b>Chronotropic index</b>	0.65±0.15	0.89±0.12	<0.001
<b>VE max</b>	60.4 ± 12.6	91±25	<0.001
<b>Peak Bf</b>	36±8	41±10	0.088
<b>Vt max</b>	1.78 ± 0.44	2.32±0.66	<0.001
<b>Vt/VC (%)</b>	49.8 ± 10.7	50±7	0.871
<b>Breathing Reserve</b>	50 ± 12.7	33±14	<0.001
<b>MVV</b>	125 ± 27.4	156±28	<0.001
<b>VE/VCO2 AT</b>	30.4 ± 3.5	24.7±2.3	<0.001
<b>PETCO2 AT</b>	36 ± 4	44±5	<0.001
<b>VE/VCO2 slope</b>	28 ± 4.5	23.5±3.2	<0.001
<b>Oxygen debt</b>	46.2±9.3	36±5.4	<0.001
<b>VO2RD</b>	10 (5-15)	5 (5-10)	0.024
<b>HR recovery</b>	22±9.8	22±9	0.870
<b>Oxygen pulse (%)</b>	65.7±13.8	92±14	<0.001
<b>Oxygen pulse (ml/beat)</b>	8.7±1.8	13±3.4	<0.001

Data are shown as mean ± standard deviation or median (Q1-Q3). P value: T-Student or U-Mann-Whitney. AT: anaerobic threshold; BMI: body mass index; Bf: breathing rate; HR: heart rate; kg: kilograms; L: liters; log: logarithm; m<sup>2</sup>: square meters; ml: milliliters; min: minute; MPHR: maximal predicted heart rate; MVV: maximal ventilatory volume; OUES: oxygen uptake efficiency slope; PETCO<sub>2</sub>: CO<sub>2</sub> end-expiratory pressure; RER: respiratory exchange ratio; VC: vital capacity; VE: minute ventilation; VCO<sub>2</sub>: CO<sub>2</sub> production; VO<sub>2</sub>: oxygen uptake; VO<sub>2</sub>RD: VO<sub>2</sub> recovery delay; Vt: tidal volume; W: watts,.

Mean peakVO<sub>2</sub> was 21±5.4 ml/kg/min, an average of 55% of the predicted peakVO<sub>2</sub> (peakVO<sub>2</sub>% predicted). The anaerobic threshold (AT) occurred at 32±8% of the predicted peak VO<sub>2</sub> (early AT). Patients with morphologically left single ventricle showed higher peakVO<sub>2</sub>% predicted compared to those with morphologically right ventricle (57.4 ± 14.4% vs 43.4 ± 8.1%, p=0.045).

The O<sub>2</sub> pulse was low, with a mean of 65.7±13.8% of predicted O<sub>2</sub> pulse. As for the oxygen pulse kinetics, 51.4% showed an ascending slope and 48.6% an early flattening after reaching the AT. Furthermore, in 24.3% of the total cohort (9 patients) we observed a descending O<sub>2</sub> pulse slope at maximal exertion. Patients with an early flattened O<sub>2</sub> pulse and/or a descending pulse at maximal exertion had a lower peakVO<sub>2</sub>% predicted (52±14% vs 62±12.5, p=0.04 and 47.6±9% vs 60±14, p=0.018 respectively).

Chronotropic response was lower compared to healthy controls, as shown in Table 3. Patients with chronotropic insufficiency had lower peakVO<sub>2</sub>% predicted (53±12% vs 69.8±20%, p=0.008). Chronotropic index (r=0.546, p<0.001), % maximum predicted HR (r=0.572, p<0.001) and HR reserve (r=0.452, p=0.005) showed statistically significant correlation with peakVO<sub>2</sub>% predicted. Finally, Fontan patients had higher values of ventilatory efficiency parameters compared to their healthy peers (Table 3).

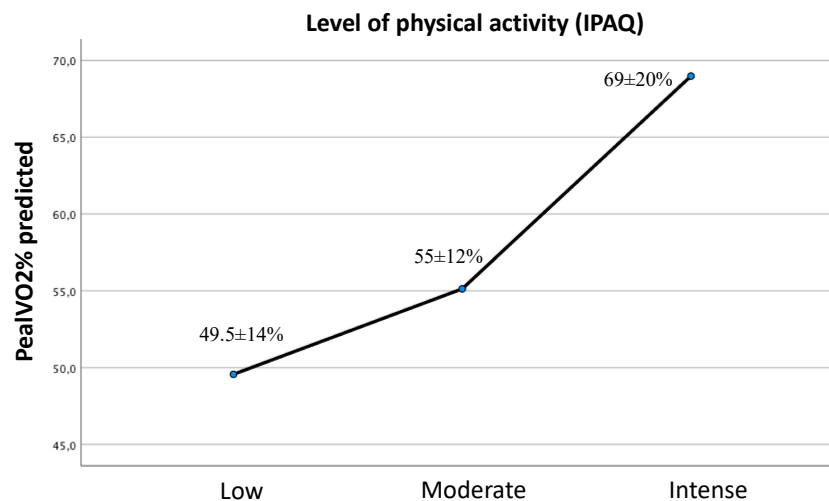
#### **Oxygen Uptake Efficiency Slope (OUES):**

Mean OUES was 1600±351, a mean of 54% of predicted OUES (%OUES). A moderate positive correlation was observed between absolute OUES and absolute peakVO<sub>2</sub> (r=0.63, p=0.000). Strong positive correlation was observed between the %OUES and peakVO<sub>2</sub>% predicted (r=0.726, p>0.001) and between indexed OUES/kg and indexed peakVO<sub>2</sub> (ml/kg/min) (r=0.846, p<0.001). OUES also correlated with submaximal parameters: OUES-VO<sub>2</sub> at anaerobic threshold (AT) r=0.608, p=0.000. A negative correlation was seen between OUES and VE/VCO<sub>2</sub> slope (r=-0.40, p=0.013).

#### **Level of physical activity:**

In our Fontan cohort 59.5% of patients had a moderate level of physical activity determined by the IPAQ questionnaire (n=22). 27% of the cohort (n=10) had a sedentary life and only 13.5% (5 patients) had a high level of physical activity.

The peakVO<sub>2</sub>% predicted was progressively higher as the level of physical activity increased (mean peak VO<sub>2</sub> at low activity level 49.5±14%, at moderate activity level 55±12%, at intense activity level 69±20%). Although there were differences between the three groups, the sample size only allowed us to obtain statistically significant differences between the extreme groups (mean difference of peakVO<sub>2</sub>% predicted: low-intense physical activity 19.4±7.5% (p=0.038), low-moderate physical activity 5.5±5.2%, (p=0.545) and moderate-intense 13.8±6.8% (p=0.121)) (Figure 1).



**Figure 1. Impact of the level of physical activity on exercise capacity in Fontan patients.** PeakVO<sub>2</sub>% predicted was progressively higher as the level of physical activity increased. Although there were differences between the three groups, we only found statistically significant differences between the extreme groups (mean difference of peak %VO<sub>2</sub> predicted: low-intense physical activity 19.4±7.5% (p=0.038), low-moderate physical activity 5.5±5.2%, (p=0.545) and moderate-intense 13.8±6.8% (p=0.121)).

Two patients of the total cohort showed a peakVO<sub>2</sub> greater than 80% of predicted, which has been previously dubbed as "Super Fontan", with the following characteristics: VO<sub>2</sub> at AT 49.8±3%, %OUES 77.8±7%, O<sub>2</sub> pulse 91.5±0.7%, peak predicted load 120±4%, %FCMP 98±1.2% and chronotropic index 0.9±0.04, with statistically significant differences with the rest of the cohort. Both patients had a morphologically left single ventricle and performed regular intense physical activity.

#### Inspiratory muscle strength:

SNIP and MIP characteristics are displayed in Table 2. Patients with Fontan circulation showed statistically significantly lower inspiratory muscle strength compared to healthy subjects.

We did not find statistically significant association between inspiratory muscle strength and peakVO<sub>2</sub> or VO<sub>2</sub> at AT. No significant correlation was observed between MIP (p=0.482) or SNIP (p=0.570) and VE/VCO<sub>2</sub> slope.

## DISCUSSION

In this study we provide insights into exercise physiology response, aerobic functional capacity and factors limiting physical effort in adult patients with Fontan circulation using CPET. Furthermore, we demonstrate that OUES is a submaximal parameter that can be used in an important percentage of Fontan patients who do not achieve a maximal exercise effort. This cohort of patients may also present abnormal lung volumes and inspiratory muscle weakness. Our results support that exercise training improves functional capacity of patients with Fontan circulation.

### Cardiovascular Exercise Performance



### Oxygen uptake:

To maintain cardiac output (CO), the Fontan circulation relies on venous pressure to passively drive systemic venous blood through the pulmonary vascular bed to the pulmonary venous atrium, given the absence of a sub-pulmonary ventricular pump. This intrinsically limits the ability to boost systemic ventricular preload, which leads to a very high prevalence of impaired maximal aerobic exercise capacity<sup>2,19,20</sup>. Along with this, severe peripheral limitation associated with muscle mass deficit and generalized muscle weakness contribute to exercise intolerance<sup>21,22</sup>. PeakVO<sub>2</sub> is an established and reliable measure widely used to assess exercise intolerance of patients with congenital heart disease. Consistently with previous studies<sup>4-7,23</sup>, we found a significantly worse functional capacity in our population of adults with Fontan circulation compared to healthy controls, with a mean peak VO<sub>2</sub> of 21ml/kg/min and on average is 55% of what would be predicted for the general population according to the Hansen-Wasserman equation. As our group has previously published<sup>24</sup>, peakVO<sub>2</sub> is higher on treadmill than on cycle ergometer in patients with Fontan circulation (up to 23.8% higher on the treadmill) and this differences in exercise modality should be taken into account in the assessment of the functional capacity of this population group. Although reduced peakVO<sub>2</sub> is the norm, a subset of Fontan patients has normal or even supranormal peak VO<sub>2</sub>. Lastly, our study shows that Fontan patients with morphologically left single ventricle performed better at CPET<sup>25</sup>.

### OUES accuracy:

Although peak VO<sub>2</sub> is the main parameter for the assessment of an individual's functional capacity, it requires a maximal exercise effort for its interpretation, which is not always achieved in Fontan patients. Although in other studies higher percentages of submaximal effort have been described (up to 20% do not reach the maximum effort)<sup>8</sup>, in our series 8% did not reach a RER >1.10. In submaximal exercise peakVO<sub>2</sub> should be interpreted with caution and submaximal parameters need to be used to assess functional capacity and prognosis.

When the minute ventilation (VE) over the entire exercise duration is logarithmically transformed and plotted against the VO<sub>2</sub>, the regression coefficient is the oxygen uptake efficiency slope (OUES). This makes the OUES a dimensionless and a submaximal parameter of functional capacity. In addition, OUES can also be normalised by body weight or body surface area to correct for differences in anthropometrics between patients. In our Fontan cohort, mean OUES was 1600 ± 351, about 54% of the predicted OUES. Although higher %OUES of up to 79% of predicted values have been reported in the paediatric age group<sup>8</sup>, the mean %OUES in our study is similar to that reported in older Fontan patients<sup>7</sup>. A good correlation between OUES and other parameters of maximal and submaximal functional capacity (peakVO<sub>2</sub> and VO<sub>2</sub> at AT) was observed. The strongest correlation was seen between %OUES and peakVO<sub>2</sub>% predicted and between OUES/kg and indexed peakVO<sub>2</sub> (ml/kg/min), as previously demonstrated by Terol et al. in a paediatric Fontan cohort at a median age of 11 years<sup>8</sup>.

In certain patient groups, however, OUES values have to be interpreted with caution. Firstly, Giardini et al.<sup>26</sup> observed that in cyanotic Fontan patients OUES calculated from the first and the last 50% of the entire exercise duration differs substantially. Secondly, OUES values are considerably influenced by anthropometric variables and show large interindividual variation. The interpretation of its values is dependent on comparison with adequate reference values, comparisons between subjects, or comparisons within subjects. It is as yet unclear which values, absolute or indexed to weight, height, age, BSA, or percentage of predicted, can best be used<sup>27</sup>. Finally, OUES and peakVO<sub>2</sub> are not necessarily interchangeable parameters. However, OUES is not meant to predict maximal exercise parameters, it provides an objective and independent measure of cardiorespiratory function and it seems to be a useful submaximal alternative in Fontan patients unable to perform maximal exercise.

### Oxygen pulse kinetics:

In our series, patients with Fontan circulation have a lower oxygen pulse, with a mean of 66% of predicted, suggesting a stroke volume limitation. Furthermore, in half of the cohort the rise of oxygen pulse reached a plateau much earlier at AT, and then, flattened.

Oxygen pulse is the product of stroke volume and the arteriovenous oxygen difference during exercise. It represents oxygen consumption per heart beat and is considered a surrogate of stroke volume in the absence of anaemia or severe hypoxaemia. During the initial and intermediate phases of exercise, stroke volume has a higher relative contribution to cardiac output, but at a certain point it stops increasing and reaches a plateau. At low exercise loads, cardiac output increases due to an increase in stroke volume and HR. At higher loads, the stroke volume no longer increases and the rise in cardiac output is due to an increase in heart rate. Thus, the early flattening or decreasing O<sub>2</sub> pulse curve in the CPET reflects a cardiac limitation to increase stroke volume during exercise or a peripheral limitation in O<sub>2</sub> extraction in the skeletal muscles. It is noteworthy that stroke volume also represents the amount of ventricular filling during diastole and may therefore be limited by diastolic factors.

In line with the study by Bansal et al.<sup>28</sup>, early flattening of the O<sub>2</sub> pulse slope and/or a downward displacement at peak exercise in our series is associated with lower O<sub>2</sub> consumption. This is likely due to the Fontan circulation inability to increase pulmonary blood flow and ventricle preload during exercise and, as a consequence, a limited capacity to increase stroke volume with exertion<sup>29-33</sup>. Furthermore, we observed that those with a flat or descending oxygen pulse kinetics had a lower functional capacity. Therefore, we have seen that the morphology of oxygen pulse curve is a marker of functional capacity in these patients, reflecting a reduced stroke volume reserve.

#### Heart rate response:

Chronotropic insufficiency is common in patients with Fontan circulation (up to 85% in our study) and has been associated with exercise limitation<sup>34</sup>. However, the correlation between HR and functional capacity is modest and oxygen pulse was not found to be statistically different between patients with and without chronotropic insufficiency, as in other studies<sup>28,34</sup>.

It is unclear whether chronotropic insufficiency is a cause of low functional capacity or a phenomenon secondary to the limited increase in stroke volume with exercise in these patients. In healthy individuals, HR increases linearly with VO<sub>2</sub>. Factors that worsen VO<sub>2</sub>, such as ventricular dysfunction or cyanosis, cause a disproportionate increase in HR relative to VO<sub>2</sub>. In this line, Claessen G. et al.<sup>35</sup> found that the HR for a given VO<sub>2</sub> value is higher in Fontan patients than in healthy controls. However, although the increase in HR in relation to metabolic demand is greater than in healthy controls, the increase in HR in Fontan patients stops abruptly at a low peak value, i.e. lower peak HR. This lower peak HR at exercise could constitute a "brake" in Fontan patients, which would prevent falls in stroke volume and cardiac output due to the inability to increase preload that would be expected if higher HRs were achieved. Thus, patients with chronotropic insufficiency would have lower functional capacity as a consequence of a worse haemodynamic response to exercise.

#### **Level of physical activity**

We have seen a progressive increase in peak %VO<sub>2</sub> as the level of physical activity (as determined by the IPAQ questionnaire) increased. Two patients in our cohort showed a peak VO<sub>2</sub> greater than 80% of predicted, which has been dubbed as "Super Fontan". These patients showed a normal O<sub>2</sub> pulse, normal VO<sub>2</sub> at the AT and normal HR response with exertion. Both patients had a dominant left ventricular morphology and a high level of regular physical activity, factors previously associated with increased physical performance and this "Super Fontan" phenotype<sup>36</sup>. Regular participation in moderate and high intensity sports is important for proper development of skeletal muscle mass and prevention of sarcopenia, as the peripheral skeletal muscles act as a pump to boost venous return and increase preload and ventricular filling<sup>37</sup>. Muscle mass deficit also affects peripheral oxygen extraction. Although further studies are necessary, exercises that maintain muscle mass, especially lower extremity muscle mass, should be encouraged.

#### **Lung Mechanics**

Restrictive lung disease is common in individuals with a Fontan circulation and several studies show a reduced FEV1, FVC, and a normal or high FEV1/FVC ratio in this patient population<sup>38-41</sup>.

In Fontan patients, lung development may be adversely impacted by a variety of factors commonly seen in patients with congenital heart disease: oxygen desaturation, mechanical ventilation, lymphatic dysfunction, multiple sternotomies and thoracotomies, scoliosis or pectus deformity, and postoperative complications such as pleural adhesions and diaphragmatic palsy<sup>42</sup>.

Overall, Fontan patients in our series showed smaller lung volumes compared to healthy controls. Seventy-three percent of patients presented with a restrictive pattern on spirometry and previous lateral thoracotomy significantly associated with impaired lung function. However, in contrast to prior studies, we did not observe association between reduced FVC and lower peak VO<sub>2</sub>. Thus, Matthews et al.<sup>38</sup> observed a correlation of 0.442 between peakVO<sub>2</sub> and FEV1 ( $p=0.013$ ) and 0.409 for FVC ( $p=0.022$ ) and Callegari et al.<sup>41</sup> found that reduced FEV1 was associated with a reduced peakVO<sub>2</sub>% predicted ( $r=0.43$ ;  $p<0.0001$ ). Moreover, Alonso-Gonzalez et al.<sup>42</sup> found that moderate to severe impairment of lung function was an independent predictor of survival in a large cohort of adults with congenital heart disease.

In line with this, Turquetto et al.<sup>39</sup> found a strong correlation between lung function and absolute peakVO<sub>2</sub> [FVC ( $r=0.86$ ,  $p<0.001$ ); FEV1 ( $r=0.83$ ,  $p<0.001$ )] in a cohort of Fontan patients with a prevalence of moderate restriction of up to 44%. Although an insufficient sample size to demonstrate significant differences may be the cause, since in our series the majority of patients had only a mild restrictive pattern, the low prevalence of moderate restrictive disease (only 16.2%) can also explain this discrepancy.

Abnormal lung mechanics impairs also the negative intrathoracic pressure required to “pull” blood through the Fontan circulation<sup>43</sup>. In this patient population, in which there is a compromised pulmonary function, mainly due to a restrictive pattern, as we confirmed, and a low functional capacity as indicated by low peakVO<sub>2</sub>, it appears that the skeletal muscle and ventilatory pumps account importantly for the increase in cardiac output during submaximal exercise in patients with Fontan circulation. It has been previously reported that patients with CHD often have abnormal body composition. Compared with healthy peers, these individuals have reduced muscle mass, increased adiposity, and shorter stature. Using maximal inspiratory pressure (MIP) and sniff nasal inspiratory pressure (SNIP), we found that inspiratory muscle strength was impaired in Fontan patients, compared to healthy controls. However, the role of inspiratory muscle training in exercise capacity of Fontan patients remains unclear. Although Greutmann et al.<sup>44</sup> found a weak but significant correlation between MIP and peak VO<sub>2</sub> ( $r=0.33$ ,  $p=0.03$ ) in a subgroup of 11 Fontan patients, we found no correlation between MIP and peakVO<sub>2</sub>, nor could it be demonstrated by Turquetto et al.<sup>39</sup>. Further research needs to be done to assess the benefits of respiratory training in exercise performance.

### Limitations of the study

We must acknowledge a number of limitations. The small sample of the population study reduces the robustness of the results. However, the sample was homogeneous for age and, although the number was small, there was a significant difference between patients and healthy controls. Data on static lung volumes measured by plethysmography were not available for the scope of this study. However, forced expiratory and inspiratory lung flows in ACHD patients were recorded by trained physicians following the American Thoracic Society guidelines. Assessment of cardiac function during exercise may have shown stronger correlations with peakVO<sub>2</sub>. However, our study did not specifically address ventricular function or hemodynamic abnormalities and its potential contribution to exercise intolerance. Finally, a follow-up study may allow to identify the prognostic value of CPET parameters and inspiratory muscle function in the outcome of Fontan patients.

### CONCLUSIONS

Patients with Fontan circulation have impaired exercise capacity. OUES is a useful submaximal parameter of functional capacity in those patients who fail to reach maximal exertion, showing a strong positive correlation with peak VO<sub>2</sub>. Chronotropic insufficiency and an early flattened or

descending oxygen pulse slope is associated with lower peak VO<sub>2</sub>, whereas left ventricular morphology associates with better functional capacity. Those patients who perform regular intense physical activity perform better at CPET, highlighting the importance of regular exercise training. Although patients with Fontan circulation have inspiratory muscle weakness, its impact on functional capacity is unclear.

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