

## Article

# Comparative Analysis of Paddy Harvesting Systems Toward Low-carbon Mechanization in the Future: A Case Study in Sri Lanka

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**Abstract:** In this study, three paddy harvesting systems; manual harvesting of paddy (MHP), reaper harvesting of paddy (RHP), and combine harvesting of paddy (CHP) were evaluated considering field capacities, field efficiencies, time and fuel consumption, mechanization indices, greenhouse gas emissions, straw availability, and direct and indirect costs. Field experiments were conducted in North central province of Sri Lanka. The effective field capacity, field efficiency and fuel consumption of the combine harvester were 0.34 hah<sup>-1</sup>, 60.8%, and 34.1 Lha<sup>-1</sup>, respectively, and those of the paddy reaper were 0.185 hah<sup>-1</sup>, 58.2%, and 3.8 Lha<sup>-1</sup>, respectively. The total time consumed by MHP, RHP, and CHP were 76.05 hha<sup>-1</sup>, 39.76 hha<sup>-1</sup>, and 2.94 hha<sup>-1</sup>, respectively. The highest energy utilization was recorded by the CHP, at 1851.09 MJha<sup>-1</sup>, while MHP recorded the lowest at 643.20 MJha<sup>-1</sup>. The direct cost of the MHP was 1.50 and 1.52 times higher than those of the CHP and RHP, respectively. MHP recorded the lowest greenhouse gas emissions (32.94 kgCO<sub>2</sub>eqha<sup>-1</sup>), while CHP recorded the highest (176.29 kgCO<sub>2</sub>eqha<sup>-1</sup>). The RHP exhibited an intermediate level in all aspects. Although the CHP has higher field performance and direct costs, it brings higher GHG emissions and indirect costs. Therefore, an optimum level of mechanization should be introduced for the long-term sustainability of both the environment and farming.

**Keywords:** combine harvesting; effective field capacity; greenhouse gas emission; manual harvesting; reaper harvesting

## 1. Introduction

Paddy production impacts significantly on food security and economic development in many regions worldwide as rice is the staple food source for over half of the global population [1]. Paddy harvesting is a vital step in rice production and includes activities such as cutting, threshing, and cleaning [2]. It is recognized as the most energy-consuming activity involved in paddy production [3]. Presently available paddy harvesting methods include manual harvesting with sickles, harvesting with self-propelled paddy reapers and harvesting with combine harvesters. A good harvesting method should maximize the grain yield while minimizing the grain damage and quality decline [4]. The amount of available rice straw is heavily dependent on the harvesting method applied, and therefore, the biomass supply chain of paddies as an off-shoot of paddy production is closely related to the harvesting method [5].

As rice is the staple food of billions of people worldwide, realizing an affordable price for everyone while ensuring a sustainable profit for farmers is crucial for long-term food security. To minimize the total cost of production, paying attention to the cost of unit operations in the production process becomes an important step. As a major step of the production process, harvesting should be paid more attention to reduce the total production cost of paddy cultivation.

The characterization of the global warming potential (GWP) of rice production ranges from 1460-5550 kgCO<sub>2</sub>eq t<sup>-1</sup>. The consumption of diesel fuel by tractors for land preparation, harvesting and transportation is the main reason for greenhouse gas (GHG) emissions. The diesel consumption rate in conventional paddy cultivation activities is 101.4 Lha<sup>-1</sup> [6]. The use of fossil fuels affects the environment in many ways: changing the climate, polluting fresh water, causing acid rain, and depleting fossil fuels and allied resources. Therefore, the minimum possible use of fossil fuel in paddy harvesting will help to minimize considerable environmental damage. Although the mechanization is vital in the development of the agricultural sector, this practice often results in high levels of greenhouse gas emissions and energy consumption. Numerous scholars worldwide have emphasized the significance of scrutinizing the energy usage and emission of greenhouse gases by agricultural machinery. Jiang et al. [7] have highlighted the importance of low-carbon agricultural mechanization in the future toward the green development of agriculture. Lampridi et al. [8] have shown that assessment of the total energy consumption of agricultural machinery should be an essential step before introducing alternative technologies.

Recently, several studies have been carried out to assess the performances of paddy harvesting systems, focusing specifically on combine harvesting in terms of the field performance, yield loss, economy, and energy. Mokhtor et al. [9] assessed the influence of the field speed of combine harvesters on grain losses. Chandrajith et al. [2] conducted a research study to determine the effects of combine harvesting on the head rice yield and chaff content and found that the type of combine harvester had no significant effect on the head rice yield. Regarding the cost and field performance, one study [10] compared the cost of the combine harvesting process and reaper harvesting process with mechanical threshing, and another [11] performed a comparative cost analysis of engine-operated reapers and tractor-mounted reapers. In a study undertaken in Indonesia to assess the field performance and total energy requirement of combine harvesting and manual harvesting, only two threshing methods, manual threshing and power threshing, were considered, while reaper harvesting was omitted [12]. In Bangladesh, a similar study considering a miniature combine harvester and manual harvesting was conducted [13]. Therefore, this study recognizes the relevance of a comprehensive comparison of the major paddy harvesting systems, including their field performances, energy demands, costs of operation, greenhouse gas emissions, and availability of biomass for sustainable paddy production. This study is expected to be useful for paddy producers and policy makers to determine the most appropriate method of harvesting depending on the size of their fields and other aspects, such as the time consumption, cost, environmental sustainability, and ability to reuse residual biomass.

## 2. Materials and Methods

The harvesting of 1 ha of paddy fields by three paddy harvesting systems, (i) manual harvesting of paddy (MHP) (manual cutting and combine threshing), (ii) reaper harvesting of paddy (RHP) (cutting by a paddy reaper and combine threshing), and (iii) combine harvesting of paddy (CHP), was considered. The field experiments were conducted in several paddy fields in the Anuradhapura district of the North Central Province of Sri Lanka during the paddy harvesting period of March 2022.

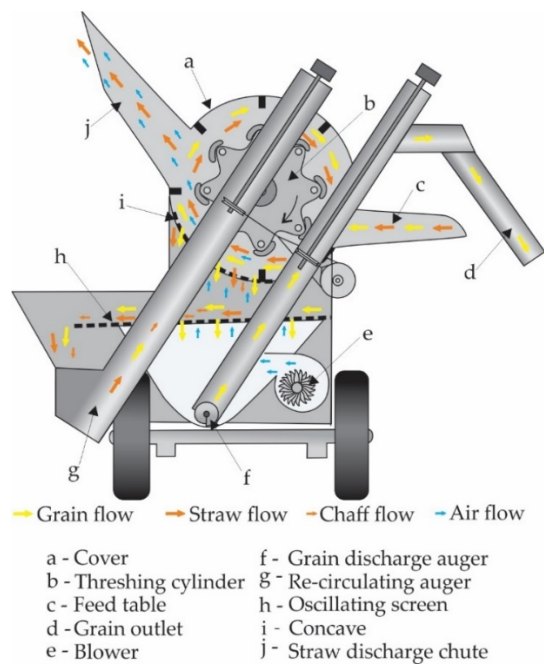
### 2.1. Overview of harvesting methods

Paddy harvesting methods are characterized by the different unit operations, different machinery, different mechanisms, and different amounts of labor, fuel, time, and energy consumption required. As paddy harvesting consists of several operations, such as cutting, threshing, and cleaning, the unit operations of harvesting systems may differ from each other according to the machinery and mechanisms. Manual cutting, reaper cutting and combine harvesting are three cutting

methods currently in practice. Despite several threshing methods being available, some of them, namely, manual threshing, animal threshing and tractor threshing, are currently less popular and are rarely used in practice. A harvesting process in which all operations are handled by machines is categorized as a mechanical process, while a process in which only certain steps are dealt with by machines is categorized as a semi mechanical process [14]. The most popular threshing method for paddy cut manually or by paddy reaper is combine threshing. Therefore, in this study, combine threshing was considered for both manual cutting and reaper cutting.

2.1.1. Manual cutting and combine threshing of paddy (MHP)

In the manual harvesting of paddy, mature plants are cut with a sharp cutting tool called a sickle or knife. The cut paddy, along with straw, is allowed to sun-dry in the field for a few hours before being transported to the threshing floor. Once in the threshing floor, the cut material undergoes combine threshing to separate the paddy from the straw. Figure 1 shows the major components of a combine threshing machine along with the material (paddy, straw, and chaff) flowing inside the machine.

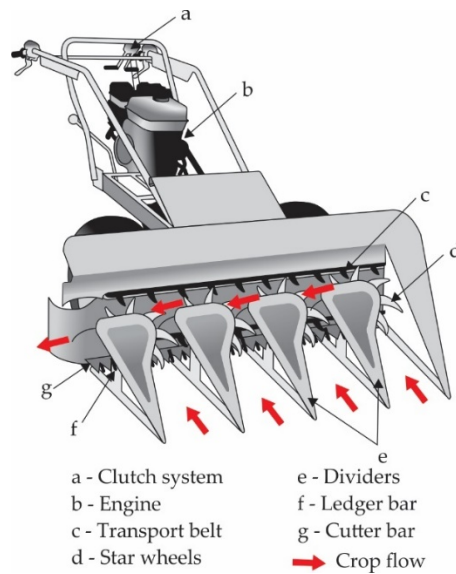


**Figure 1.** Major components and the material flow of a combine thresher.

The cut paddy is inserted into the threshing machine through the feed table. The rotating threshing cylinder (of the spike type or rasp bar type) separates the grains from straw. The separated grains with the chaff and some straw pass on to the oscillating screen through the concave area below the threshing cylinder. The oscillating screen further separates the grain from the straw and chaff. Only the separated paddy is collected temporarily in the grain tank, and unthreshed or partially threshed paddy is redirected to the threshing cylinder through the recirculating auger to continue being threshed. The straw and chaff are blown out through the straw discharge chute. The blower located underneath the mechanism creates flowing air to facilitate this separation process. Here, the paddy straw can be collected at the threshing field during the threshing process. The grains can then be taken out through the grain outlet, and the cleaned paddy can be transported to the storehouse for storage until processing.

2.1.2. Reaper cutting and combine threshing of paddy (RHP)

Figure 2 shows the major components of a paddy reaper.

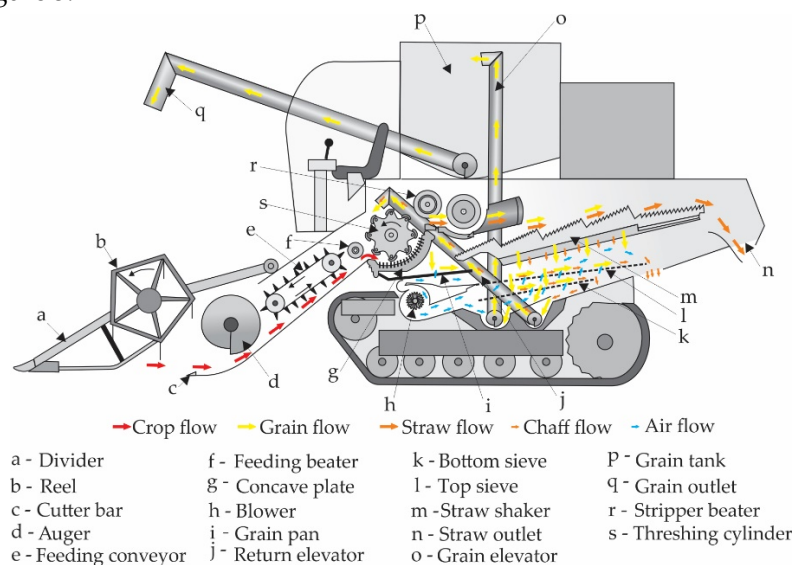


**Figure 2.** Major components of a paddy reaper.

The dividers help to collect and lead a bundle of paddies toward the cutter bar. The cutter bar follows a reciprocating movement relative to the nonmoving ledger bar, making a shearing action to cut the paddy stems. The reaper transport belts help make rows of harvested paddy on the right side. Generally, cut paddy is allowed to dry in sunlight until being transported to the threshing field, similar to the process followed in the manual harvesting system. The threshing operation and processing steps are also similar to those described for manual harvesting, and paddy straw can be collected at the threshing field.

### 2.1.3. Combined harvesting of paddy (CHP)

A combine harvester is a self-propelled machine that performs most of the operations of harvesting, including cutting, threshing, and cleaning. This system comprises several units, such as the header unit, conveyor unit, threshing unit, winnowing unit, straw-discharging unit, grain-discharging unit, traveling unit with engine and transmission unit. The major components of a combine harvester, including the flow paths of crop, grain, straw, chaff, and air inside the harvester, are shown in Figure 3.



**Figure 3.** Major components and material flow of a combine harvester.

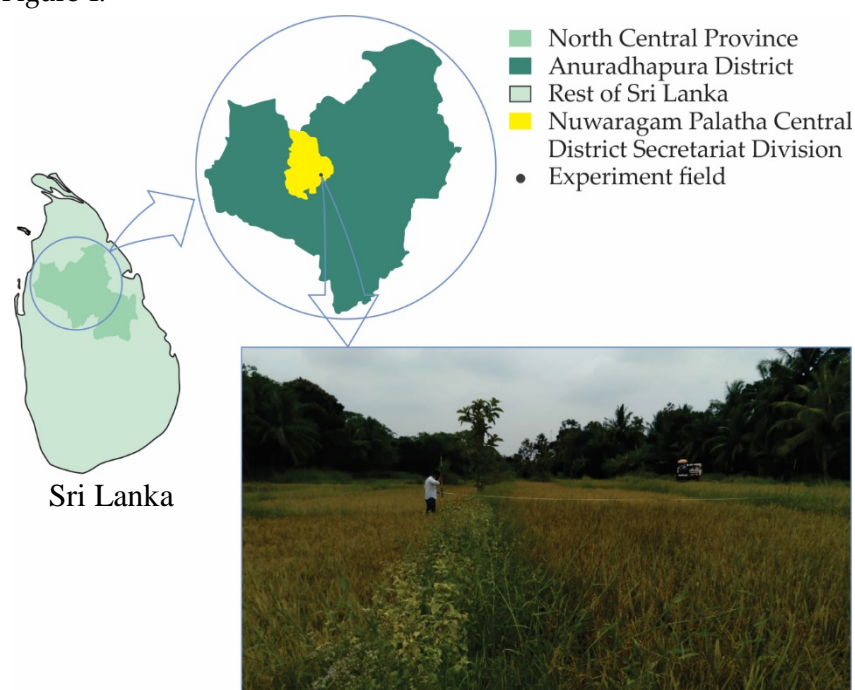
The header unit, which consists of the reel, cutter, auger, and feeding conveyor, cuts the gathered crop and carries it upward toward the threshing cylinder. The rotating threshing cylinder and static concave plate help extract the grains from the ears. The straw shaker, grain pan, top and bottom sieves, and blower help separate the grain from the straw. Partially separated and unseparated grains are returned to the threshing cylinder by the threshing elevator. After the threshing and cleaning operations are complete, paddy straw is dispersed on the field through the paddy straw outlet of the machine.

## 2.2. Determination of field performance

### 2.2.1. Field and ambient conditions

As field and ambient conditions affect the performances of both machinery and humans, it is important to measure and mention the field and ambient conditions during the evaluation process, along with the results. The moisture content on dry weight basis (MC) and bulk density (BD) of the soil were measured at five places in each experimental plot before the experiment. Ambient conditions such as the temperature (T), relative humidity (RH), rainfall (RF) and wind velocity (W) were measured several times with 10 minutes interval during the experiment. The performance of a machine is determined by several factors such as operating conditions, maintenance, age and wear, quality of the design, power, capacity, and skill of the user. Although the operating conditions and maintenance were checked and recorded, other factors that affect the performance were not considered in this study.

The experiments were conducted in a paddy field ( $8^{\circ}24'02.8''$  N,  $80^{\circ}24'11.3''$  E) in the Nuwaragam Palatha Central District Secretariate Division of Anuradhapura District of the North Central Province of Sri Lanka. The elevation of the field was 81 m above mean sea level, and the steepness of the field was negligible. The area of the demarcated square-shaped plot designed for the trial of each harvesting method was  $1600\text{ m}^2$  ( $40\text{ m} \times 40\text{ m}$ ). The study location and a view of the field are shown in Figure 4.



**Figure 4.** The study location and a view of the experimental field.

### 2.2.2. Field performance

As several brands and models of paddy reapers and combine harvesters are available on the market, one popular model of each machine category was selected for this study. Some of the



specifications of the selected combine harvester, paddy reaper and combine paddy thresher used in this study are given in Table 1.

**Table 1.** Specifications of the selected combine harvester, paddy reaper and combine thresher.

Type of machine	Specifications				
	Power output (hp)	Cutting width/ threshing drum width (m)	Weight (kg)	Fuel type	Capacity of grain tank (L)
Combine harvester	68	1.98	3200	Diesel	1250
Paddy reaper	2.7	1.2	130	Gasoline	-
Combine thresher	10	0.9	400	Diesel	-

Skilled laborers were selected for manual paddy harvesting. Square 40 m×40 m plots were demarcated for each harvesting method in triplicate. During each experiment, the total time consumed to completely harvest the plot, the time consumed only for the harvesting operation, the time loss, grain loss, straw loss, fuel consumption, and human involvement in harvesting, unloading, collecting, transporting and other activities in each plot were accounted for and recorded.

#### *Average forward speed (FS)*

The forward speed of operations was obtained by recording the time taken to completely harvest one row using a stopwatch and measuring the length of the row with a measuring tape according to Equation 1. The average operation speed of one field was obtained considering several rows.

$$FS = \frac{\text{Distance of one row (m)}}{\text{Time taken (s)}} \quad (1)$$

#### *Field capacity and efficiency*

The theoretical field capacity (*TFC*) ( $\text{hah}^{-1}$ ), actual/effective field capacity (*EFC*) ( $\text{hah}^{-1}$ ) and field efficiency (*FE*) (%) of all the harvesting methods were determined using the following mathematical equations (Equations 2, 3 and 4) [15]:

$$TFC = \frac{FS \times CW \times 36}{100} \quad (2)$$

$$EFC = \frac{A}{t} \quad (3)$$

$$FE = \left( \frac{EFC}{TFC} \right) \times 100 \quad (4)$$

where *FS* is the average forward speed ( $\text{ms}^{-1}$ ), *CW* is the cutting width (m), *A* is the total area harvested (ha) and *t* is the total operating time (h). *CW* of the reaper and combine harvester was the width of their cutter bars and in this study, those were measured with a measuring tape. In the calculation, the area of the experimental plot (0.16 ha) was taken as the total area (*A*). The total operating time (*t*) including all the time losses was measured with a stopwatch.

#### *Fuel consumption (AF)*

The fuel consumption of paddy reapers and combine harvesters was determined by measuring the amount of fuel required to refill the fuel tank back to its full capacity after harvesting each plot using a measuring cylinder.

#### *2.3. Total energy input for paddy harvesting (TEI)*

The total energy consumption required in harvesting operations ( $\text{MJha}^{-1}$ ) was determined as the summation of energy from all sources, such as machinery energy ( $ME$ ) ( $\text{MJha}^{-1}$ ), fuel energy ( $FE$ ) ( $\text{MJha}^{-1}$ ), and human energy ( $HE$ ) ( $\text{MJha}^{-1}$ ), as shown by Equation 5.

$$TEI = ME + FE + HE \quad (5)$$

### 2.3.1. Machinery energy (ME)

Machinery energy is the indirect energy assumed to be embodied in a piece of equipment during manufacturing. Equation 6 was used to determine the machinery energy of each studied machine [16]:

$$ME = \frac{MEC \times W}{EFC \times L} \quad (6)$$

where  $ME$  is the machinery energy ( $\text{MJha}^{-1}$ ),  $MEC$  is the energy conversion coefficient for a particular machine ( $\text{MJkg}^{-1}$ ),  $W$  is the weight of the machine (kg),  $EFC$  is the effective field capacity ( $\text{hah}^{-1}$ ), and  $L$  is the life of the machine (h).

According to the literature, the useful lives of the self-propelled combine harvester, paddy reaper and combine thresher were all considered to be 3,000 h [17], and the energy conversion factor for the equipment was taken as  $109.63 \text{ MJkg}^{-1}$  [18]. The weight of each machine ( $W$ ) was taken from the user manual provided by the manufacturer.

### 2.3.2. Fuel Energy (FE)

The fuel energy per unit area is a function of the type (diesel or petrol) and quantity of fuel consumed by the machinery used to power engines performing the assigned harvesting operations. Equation 7 was used to compute the fuel energy required for the harvesting operation:

$$FE = \frac{AF \times FC}{A} \quad (7)$$

where  $FE$  is the fuel energy ( $\text{MJha}^{-1}$ ),  $AF$  is the amount of fuel consumed (L) and it was measured during the experiment as explained in the methodology,  $FC$  is the fuel energy conversion coefficient ( $\text{MJL}^{-1}$ ), and  $A$  is the total area harvested (ha). The energy conversion coefficients of gasoline and diesel obtained from the literature are  $45 \text{ MJL}^{-1}$  and  $44 \text{ MJL}^{-1}$ , respectively [19].

### 2.3.3. Human energy (HE)

Equation 8 was used to determine the human energy component of the harvesting operation:

$$HE = \frac{n \times t \times HC}{A} \quad (8)$$

where  $HE$  is the human energy ( $\text{MJha}^{-1}$ ),  $n$  is the number of workers engaged in an operation,  $t$  is the duration of the operation measured by a stopwatch (h),  $HC$  is the energy conversion coefficient for human labor and  $A$  is the total area harvested (ha).

According to the literature, the energy conversion factor for human energy was considered to be  $0.75 \text{ MJh}^{-1}$  [20].

### 2.3.4. Mechanization Index (MI)

The Mechanization Index ( $MI$ ) is the percentage of machine energy to the sum of human and machine energy together [16]. This value was computed using Equation 9:

$$MI = \frac{ME}{ME + HE} \quad (9)$$

where  $ME$  is the machinery energy ( $\text{MJha}^{-1}$ ) and  $HE$  is the human energy ( $\text{MJha}^{-1}$ ) calculated with Equation 6 and Equation 8, respectively.

#### 2.4. Economic analysis

The total cost of harvesting is obtained as the summation of the associated fixed and variable costs. The fixed cost components considered in this study were depreciation, the interest or alternative cost, taxes, housing, and the insurance cost. As variable cost components, labor, fuel, lubrication, repair and maintenance costs were considered.

Depreciation of a machine is an expense reflecting the amount of value the machine loses every year. Considering the linear depreciation method of calculating the depreciation value, Equation 10 was used to calculate depreciation for each machine used in the study [11,21]:

$$D = \frac{P - S}{L} \quad (10)$$

where  $D$  is the depreciation value (LKR),  $P$  is the value of the machine at the time of purchasing (LKR),  $S$  is the salvage value of the machine after its lifespan (10% of the purchased price) (LKR) and  $L$  is the expected life of the machine (years).

Interest is an opportunity cost reflecting the interest obtained if money is kept in a bank instead of being invested on a machine. Equation 11 was used to calculate the interest ( $I$ ) of each machine [11,21]:

$$I = \frac{P + S}{L} \times i \quad (11)$$

where  $P$  is the value of the machine at the time of purchasing (LKR),  $S$  is the salvage value after its lifespan (LKR),  $L$  is the expected life of the machine (years) and  $i$  is the interest rate (%).

The taxes, housing and insurance costs were considered 2% of the capital investment of each machine [22]. The labor cost was determined according to the hourly rate of hired labor and the time spent on the job. The fuel cost was based on the amount of fuel consumed by each unit operation and the market price of fuel. The lubrication cost of the machine was taken as 15% of the fuel cost [11,23]. The annual repair and maintenance cost was taken as 15% of the value of the machine [22]. Table 2 gives the values of the parameters used when calculating these costs (all the prices of machines were the market prices in Sri Lanka at the time of purchasing, and the fuel prices were the prices at the time of the experiment).

**Table 2.** Parameters and values considered in the calculation of costs.

Parameter	Unit	Paddy reaper	Combine harvester	Combine thresher
Purchase price	USD	1142.86	14,257.14	1000
Salvage value	USD	114.28	1425.71	100
Expected life	Years	10	10	10
Annual working time	h	300	300	300
Interest rate	%	12	12	12
Labor charges	USDh <sup>-1</sup>	0.57	0.57	0.57
Fuel price	USDL <sup>-1</sup>	1.54 (Gasoline)	1.23 (Diesel)	1.23 (Diesel)

#### 2.5. Determination of grain loss in the field

Quadrants each with an area of  $1 \text{ m}^2$  were placed in five random places in each plot, and all the seeds within each quadrant were collected. Before assessing the grain loss of each harvesting method, the natural grain loss was found in the field by marking the area and counting the loss of grains before the machine entered the field. The average grain loss per plot during the cutting operation was calculated using Equation 12:



$$GL = (ALq - NLq) \times 10,000 \quad (12)$$

where GL is the total grain loss in the field (kg ha<sup>-1</sup>), ALq is the average loss within quadrants (kg), NLq is the average natural grain loss within the quadrant (kg), and A is the total area (ha).

The grain loss during collection and transportation was determined for a 100kg harvest. The grain loss at the combine threshing process was determined separately, as this term was relevant only for the manual harvesting and reaper harvesting systems. The grain loss percentage (*G/P*) of each harvesting system was calculated using Equation 13:

$$GLP = \left( \frac{TGL}{AY} \right) \times 100 \quad (13)$$

where TGL is the total grain loss (kg ha<sup>-1</sup>) and AY is the average yield of 1 ha (4795 kg ha<sup>-1</sup>).

## 2.6. Determination of greenhouse gas emissions from harvesting operations

The total CO<sub>2</sub> emissions resulting from paddy harvesting correspond to the CO<sub>2</sub> emissions resulting from fuel and machinery. The following equations (14 and 15) were used to calculate the amount of CO<sub>2</sub> emitted by the fuel and machinery, respectively [24]:

$$CEF = \frac{CCF \times AF}{A} \quad (14)$$

where CEF is the CO<sub>2</sub> emission due to fuel combustion (kg CO<sub>2</sub> eq ha<sup>-1</sup>), CCF is the CO<sub>2</sub> conversion coefficient for fuel combustion (kg CO<sub>2</sub> eq L<sup>-1</sup>), AF is the amount of fuel consumed (L) and A is the total area harvested (ha).

$$CEM = \frac{CCM \times ME}{A} \quad (15)$$

where CEM is the CO<sub>2</sub> emission due to the machinery utilization of the operation (kg CO<sub>2</sub> eq ha<sup>-1</sup>), CCM is the CO<sub>2</sub> conversion coefficient for machinery (kg CO<sub>2</sub> eq MJ<sup>-1</sup>), ME is the machinery energy (MJ) and A is the total area harvested (ha). The CO<sub>2</sub> conversion coefficients for diesel, gasoline, and machinery are 3.09 kg CO<sub>2</sub> eq L<sup>-1</sup>, 3.01 kg CO<sub>2</sub> eq L<sup>-1</sup> and 0.071 kg CO<sub>2</sub> eq MJ<sup>-1</sup>, respectively [25].

## 3. Results

The results of this study are presented in terms of the field performance, human involvement, energy consumption, direct and indirect costs, and greenhouse gas emissions.

### 3.1. Field performance of harvesting machinery

As the field conditions of the experiment plots are determinant factors affecting the performance of the machines, the average, minimum, and maximum moisture content on dry weight basis (MC), bulk density (BD) of soil, temperature (T), relative humidity (RH), rainfall (RF) and wind velocity (W) values were measured and are summarized in Table 3.

**Table 3.** Field conditions of the experimental plots.

Parameter	MHP			RHP			CHP		
	Avg.	Max.	Min.	Avg.	Max.	Min.	Avg.	Max.	Min.
MC (%)	15.96	20.47	13.31	13.38	14.16	12.3	13.39	15.84	11.73
BD (g cm <sup>-3</sup> )	1.19	1.22	1.15	1.13	1.2	1.03	1.12	1.16	0.95
T (°C)	35	36	34	34	34	33	35	36	33
RH (%)	55	57	53	55	57	53	59	61	56
RF (mm)	0	0	0	0	0	0	0	0	0
W (kmh <sup>-1</sup> )	24.65	26.81	22.31	24.15	26.56	21.25	26.4	27.37	24.31

**Avg.** -Average, **Max.** – Maximum, **Min.** – Minimum, **MHP**- Plot of manual harvesting, **RHP**- Plot of reaper harvesting, **CHP**- Plot of combine harvesting.

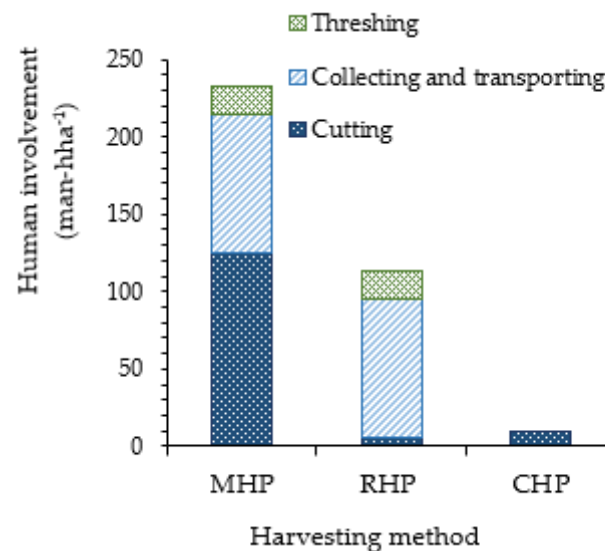
Table 4 shows the average forward speed (*FS*), theoretical field capacity (*TFC*), effective field capacity (*EFC*), field efficiency (*Fe*), and fuel consumption (*AF*) of the selected combine harvester and the paddy reaper during the experiment.

**Table 4.** Average forward speed, theoretical field capacity, effective field capacity, field efficiency, and fuel consumption of the combine harvester and paddy reaper.

Type of machine	<i>FS</i> (ms <sup>-1</sup> )	<i>TFC</i> (hah <sup>-1</sup> )	<i>EFC</i> (hah <sup>-1</sup> )	<i>Fe</i> (%)	<i>AF</i> (lha <sup>-1</sup> )
Combine harvester	0.82	0.584	0.340	58.23	34.1
Paddy reaper	0.70	0.304	0.185	60.85	3.8

### 3.2. Human involvement and time consumption of each harvesting system

In both reaper and manual harvesting, a considerable amount of human labor is employed, and the total time taken for the completion of the harvesting of 1 ha is determined by the number of laborers, whereas as in combine harvesting, only 3 laborers were needed. Therefore, to negate that variable effect on the comparison, the total time taken by the other two harvesting systems was also computed assuming only 3 laborers. The labor consumption totals of MHP, RHP, and CHP were recorded as 233, 113.5 and 9 man-hha<sup>-1</sup>, respectively. The manual harvesting system recorded the highest human labor consumption. The cutting operation of manual harvesting consumed 125 man-h to complete 1 ha field harvesting. Reaper harvesting required only one laborer (5.41 man-h) to operate the paddy reaper during the harvesting of 1 ha, while combine harvesting required 3 laborers (8.82 man-h): 1 to operate the machine and 2 to bag the harvested paddy. In both the manual harvesting and reaper harvesting systems, the cut paddy with straw was transported to the threshing field, which was on average 150 m away from the paddy field. This collection and transportation of paddy was done manually, and 90 man-h were utilized for this task. A combined threshing machine was used in both the manual harvesting and reaper harvesting methods to separate the grains. For the whole threshing process with the combine thresher, to obtain the yield of a 1-ha field, a total of 18 man-h was consumed. The human involvement magnitudes of every unit operation in all harvesting systems are given in Figure 5.



**Figure 5.** Human involvement in all the unit operations of the three harvesting systems.

### 3.3. Energy consumption of harvesting systems

The energy consumption of the harvesting systems was determined in terms of the human energy, fuel energy and machinery energy. All these energy components were determined separately for all the unit operations of harvesting: cutting, collecting, transporting, and threshing. The human

energy, machinery energy, fuel energy consumption and mechanization indices of all the harvesting systems are given in Table 5.

**Table 5.** Energy consumption and mechanization indices of harvesting systems.

Harvesting method	HE (MJha <sup>-1</sup> )	ME (MJha <sup>-1</sup> )	FE (MJha <sup>-1</sup> )	Total energy (MJha <sup>-1</sup> )	Mechanization Index (MI)
MHP	174.15	63.65	404.8	643.20	0.27
RHP	85.13	89.33	575.8	750.26	0.51
CHP	6.75	343.94	1500.4	1851.09	0.98

### 3.4. Machinery and labor cost of harvesting

As the annual working duration of each machine was assumed to be 300 h, the values of all the cost components for 1 h were calculated based on the annual depreciation, interest, housing, taxes and insurance, and repair and maintenance values. Table 6 shows the costs of operation of the studied machines for 1 h, and Table 7 gives the total operational costs of the harvesting systems for 1 ha.

**Table 6.** Cost of machinery operation for 1 h.

Cost component	Unit	Paddy reaper	Combine harvester	Combine thresher
Depreciation	USDh <sup>-1</sup>	0.34	4.28	0.30
Interest	USDh <sup>-1</sup>	0.05	0.63	0.04
Housing, taxes, and insurance	USDh <sup>-1</sup>	0.08	0.95	0.07
Fuel cost	USDh <sup>-1</sup>	1.08	14.26	2.60
Lubrication cost	USDh <sup>-1</sup>	0.16	2.14	0.39
Repair and maintenance	USDh <sup>-1</sup>	0.57	7.13	0.50
Total cost	USDh <sup>-1</sup>	2.29	29.38	3.90

**Table 7.** Total operational cost of harvesting systems.

Harvesting method	Cost of machinery (USDha <sup>-1</sup> )	Labor cost (USDha <sup>-1</sup> )	Total cost (USDha <sup>-1</sup> )
MHP	16.97	133.00	149.97
RHP	29.36	64.70	94.06
CHP	86.38	5.13	91.51

### 3.5. Grain loss in the field

Grain losses in MHP and RHP could be observed during cutting, collecting, and transporting to the threshing field as well as in combine threshing. As all these operations are performed simultaneously in CHP, the grain loss can be calculated during the cutting operation. Table 8 shows the grain loss in the field corresponding to each harvesting system.

**Table 8.** Loss of grain during each unit operation and loss percentage of harvesting systems.

Harvesting system	Grain loss at the field (kg ha <sup>-1</sup> )			Grain loss percentage (%)
	Cutting	Collecting and transporting	Combine threshing	
MHP	21.2	22.4	83.4	2.65
RHP	14.3	22.4	83.4	2.50
CHP	34.1	-	-	0.71

3.6. Contribution to GHG emissions

Greater attention has been given to reducing the emissions of greenhouse gases (GHGs) from agricultural activities since the agricultural sector is considered a higher contributor to global warming. Figure 6 shows the emission of greenhouse gases from each unit operation of paddy harvesting systems.

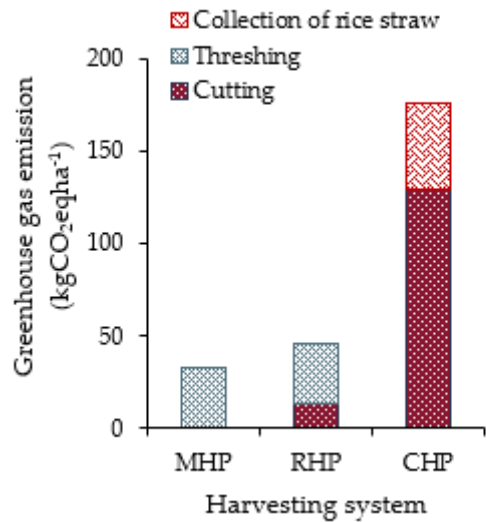


Figure 6. Greenhouse gas emission of harvesting systems including the straw collection operation.

3.7. Indirect costs of harvesting systems

There are several indirect cost components associated with each harvesting system. The social carbon cost (SCC) is the main cost component across all harvesting systems. The SCC is the economic value of the damage caused by emitting 1 t of additional CO<sub>2</sub> to the atmosphere [26]. This compensates for the total worldwide consequences caused by CO<sub>2</sub> emissions from anywhere in the world. Loss of grain during unit operations is also an indirect cost of each harvesting system. Table 9 summarizes the total costs required for the harvesting systems to harvest a 1-ha paddy field, considering all the direct and indirect costs.

Table 9. Total cost (direct + indirect) of harvesting systems.

Cost component	MHP	RHP	CHP
Direct cost (USD)			
Machinery cost	16.97	29.36	86.38
Labor cost	133.00	64.70	5.13
Indirect cost (USD)			
SCC	3.89	5.45	20.80
Grain loss	25.40	23.96	6.80
Straw collection	-	-	88.31
Total	179.26	123.47	207.42

4. Discussion

4.1. Field performance

The moisture contents of the plots (dry wt. basis) in which the paddy reaper and combine harvester were used were similar, but those in the manual harvesting plots were slightly higher, as shown in Table 3. The possible reason for this was the stoppage of the water supply to the fields a few days before harvesting with the reaper and combine harvester to provide favorable conditions

for the efficient operation of the machines. All the other conditions checked during the experiment were similar among all the demarcated plots.

The field performance of the combine harvester and the paddy reaper of this study are shown in Table 4. The combine harvester has demonstrated a relatively higher field performance. The recommended operational speed for a self-propelled combine harvester is 3.0-6.5 kmh<sup>-1</sup>, and the field efficiency ranges from 60-75% [17]. A study conducted on the actual field speed of rice combine harvesters in Malaysia showed that the field speed of combine harvesters ranged from 3.87 kmh<sup>-1</sup> to 6.11 kmh<sup>-1</sup> [9]. Although the operational speed (0.82 ms<sup>-1</sup>/ 2.95 kmh<sup>-1</sup>) and the field efficiency (58.25%) of the combine harvester used in this study were below the acceptable ranges, they were still very close to the lower limits of the ranges. The operational speed of harvesting machinery is highly influenced by field conditions such as the size and shape of the plot, density of the crop, conditions of the soil and skill of the operator [15,21]. The effective field capacity of a combine harvester mainly depends on the width of the cutter bar, operational speed, and field conditions [27]. Wagiman et al. [28] has reported that effective field capacities of combine harvesters with 4.57 m and 2.48 m cutter bars were 1.3 hah<sup>-1</sup> and 0.91 hah<sup>-1</sup>, respectively. According to the operational speed and the width of the cutter bar (1.98 m), the effective field capacity recorded by this study is acceptable. The smaller plot size may have affected the comparatively low efficiency of the combine harvester used in this study.

In this study, the effective field capacity recorded by the paddy reaper was 0.185 hah<sup>-1</sup>. It is acceptable as many previous studies have recorded approximately similar values. A study conducted in Bangladesh on the performance of paddy reapers revealed that the effective capacities of three types of paddy reapers varied from 0.15 hah<sup>-1</sup> to 0.18 hah<sup>-1</sup> [21]. Another study on paddy harvesting systems in Bangladesh showed that the effective capacities of two selected paddy reapers were 0.21 hah<sup>-1</sup> and 0.24 hah<sup>-1</sup> [13]. The effective field capacity is very important for determining the actual time required for the completion of a task in the field.

In the present study, the fuel consumption of the combine harvester and paddy reaper were recorded as 34.1 Lha<sup>-1</sup> and 3.8 Lha<sup>-1</sup>, respectively. Islam et al. [15] showed that the fuel consumption of a Zoomlion combine harvester was 32 Lha<sup>-1</sup>. Several studies have shown highly variable fuel consumption of paddy reapers at 2.61 Lha<sup>-1</sup>, 3.19 Lha<sup>-1</sup>, 4.11 Lha<sup>-1</sup> and 8.39 Lha<sup>-1</sup> because fuel consumption is dependent on several factors, such as the engine power, crop density and skill of the operator [13,21]. Therefore, the fuel consumption of the paddy reaper used in this study can be considered an average value. The output of the selected combine thresher was recorded as 1100 kgh<sup>-1</sup>. As the average paddy yield of Sri Lanka is 4795 kgha<sup>-1</sup>, the capacity of the combine thresher can be expressed as 0.23 hah<sup>-1</sup>. The fuel consumption of the diesel engine of the combine paddy thresher was recorded as 9.2 Lha<sup>-1</sup>. Therefore, the total fuel consumptions of CHP, RHP, and MHP were 34.1 Lha<sup>-1</sup>, 13 Lha<sup>-1</sup> and 3.8 Lha<sup>-1</sup>, respectively. Higher fuel consumption has a negative impact on both the economy and the environment.

#### 4.2. Human involvement, mechanization index (MI), and time consumption

The human labor involved in the harvesting systems is shown in Figure 5. The higher human involvement can increase the risk in agriculture and if not managed properly potentially result in higher time consumption and higher cost in agriculture. The performance of human labor may be different with their skillfulness. But in this study, performance was not checked with different skill levels. The highest labor consumption (233 man-hha<sup>-1</sup>) was recorded by the MHP while the lowest (9 man-hha<sup>-1</sup>) was recorded by the CHP. That is reflected by the highest mechanization index exhibited by the CHP. In the CHP, the three operations, cutting, threshing, and cleaning, are combined into a single machine, and therefore, it recorded the highest MI value. In the MHP, all the operations except threshing of the paddy were performed manually. Therefore, MHP has recorded the lowest index (0.27) compared to the other systems. The mechanization index shown by the RHP was 0.51. In Malaysia, paddy farmers use self-propelled combine harvesters to harvest paddy, with a resultant mechanization index of 99.11% [3].



The total time consumption of a system is also related to the mechanization index. The total time consumptions of manual and reaper harvesting systems were 76.05 hha<sup>-1</sup> and 39.76 hha<sup>-1</sup>, respectively. According to the effective field capacity of the combine harvester, the total time needed to complete 1 ha of paddy field by the CHP was 2.94 h. Less time consumption for harvesting is important to prepare the field for the next season on time to avoid the unpredicted effects of adverse climatic conditions such as heavy rainfall and wind. In addition, the saved time can be allocated for other farming activities. According to the total time consumption of each harvesting system, by replacing the MHP and RHP with CHP, the total time consumed can be saved by 96.1% and 92.6%, respectively. A study conducted in Bangladesh revealed that the time-saving percentage of combine harvesters over manual harvesting was 97.5% [27]. By replacing manual cutting with the paddy reaper, 47.7% of the time can be saved. The time consumption of MHP and RHP can be reduced by deploying more laborers. However, labor shortages due to rapid industrialization and higher wages during peak demand periods are some of the challenges faced when attempting to increase the number of laborers in harvesting operations. Therefore, considering the availability of labor is very important when deciding on a semi mechanical process for harvesting paddy. In some countries, farmers in rural areas practice labor exchange systems in which people in the village mutually help each other in manual operations such as harvesting [29].

#### 4.3. Energy consumption

According to Table 5, although the CHP consumes the lowest human energy, it is the highest mechanical and fuel energy consumer. The total energy consumption of the CHP used herein was 1851.09 MJha<sup>-1</sup>, which is 13.44% higher than that of RHP and 32.32% higher than that of MHP. The MHP was the lowest energy consumer. Out of the total energy consumption of RHP, which was 750.26 MJha<sup>-1</sup>, 76% was derived from fuel energy. A study in Indonesia carried out to compare the total energy consumption of various harvesting techniques, showed that combine harvesting had a total energy consumption of 1552.16 MJha<sup>-1</sup>, while manual harvesting consumed 960.86 MJha<sup>-1</sup> [12]. The reason for the different values is the different fuel consumption of machinery used in the studies.

The highest fuel energy consumption was reported in the CHP, and it was 81% of the total energy of the CHP. Fuel energy consumption brings many negative effects on the environment such as air pollution, water pollution, global warming, and resource depletion [30]. The highest human energy consumption was recorded by the MHP, and it was 174.15 MJha<sup>-1</sup>. The weight of the combine harvester in this study was nearly 25 times higher than the weight of the paddy reaper, and this higher weight accounted for the higher machinery energy in CHP.

#### 4.4. Direct costs of harvesting

The total operational cost of harvesting in each system is the sum of the machinery and labor costs. The labor costs were calculated separately and added to each harvesting system according to the input of labor for each of the unit operations of the systems. The durations of machinery utilization were calculated according to their effective capacities (combine harvester - 2.94 h, paddy reaper - 5.41 h and combine thresher - 4.35 h). In this calculation, the mechanical straw collection process in the combine harvesting system was not considered.

According to Table 8, the highest cost can be observed in the MHP, and this cost is 1.64 times higher than that of the CHP and 1.59 times higher than that of the RHP. The higher human labor involvement in MHP is the reason for the high cost. Although the initial cost of purchasing a combine harvester is very high, its lower human involvement leads to a comparatively lower cost. Therefore, CHP can reduce the costs by 38.98% and 2.7% compared to the MHP and RHP, respectively. The reaper harvesting affords a 37.28% cost savings over manual harvesting. One study conducted in Karnataka, India, with a tractor-mounted combine harvester showed that the harvesting costs can be reduced by 57.65-65.55% compared to manual harvesting [31]. Another study [13] showed that mini-combine harvesters and paddy reapers resulted in cost savings of 52% and 37%, respectively, over manual harvesting. In the current study, the labor charges, and the transportation distance of the paddy to the threshing field were considered to be 0.57 USDh<sup>-1</sup> and 150 m, respectively, and the labor

involvement and costs were computed accordingly. Therefore, the total cost and cost reduction percentages may vary from one study to another.

#### 4.5. Availability of rice straw, GHG emissions, and indirect costs

The availability of rice straw after harvesting is determined according to the threshing method, including stationary and mobile threshing, associated with the harvesting system. The stationary threshing operation associated with MHP and RHP gives a higher straw availability in these systems. During the CHP process, the mobile threshing mode results in the dispersion of the straw in the field. This gives several options for straw, such as collection, burning, or natural decomposition. Therefore, rice straw is not readily available in one place, and some additional energy is required to collect it. Although large-scale hay balers facilitate rice straw collection in the field, the cost of operation is approximately 88.13 USDha<sup>-1</sup> (26,439 LKRha<sup>-1</sup>) as the energy requirement varies between 2.16-3.60 MJt<sup>-1</sup> and the fuel consumption varies between 8.5-11.0 Lha<sup>-1</sup> [32].

The CHP is the highest contributor to GHG among the harvesting systems considered here. The total amount of GHG emitted by the CHP, including the emissions from both fuel and machinery used for the collection of straw, was 176.29 kgCO<sub>2</sub>eqha<sup>-1</sup>. The mechanical collection of straw in CHP accounts for 36% of the total amount of GHG. However, mechanical straw collection provides an option to compress rice straw, facilitating its transportation, storage, and other management practices [33]. Since CHP consumes more fuel than the other harvesting systems, it accounts for higher GHG emissions. The lowest GHG emissions (32.94 kgCO<sub>2</sub>eqha<sup>-1</sup>) were seen in the MHP, as most of the operations in the MHP are performed manually. The total emission of the RHP was 46.2 kgCO<sub>2</sub>eqha<sup>-1</sup> and the contribution of the threshing operation was 71.3%. According to US federal estimations, the SCC value is 51 USDt<sup>-1</sup> of carbon dioxide. However, a recent comprehensive study revealed that the actual SCC value is three times higher than the US federal estimated value, and it could be as high as 185 USDt<sup>-1</sup> of carbon dioxide [34]. When considering an average SCC value (118 USDt<sup>-1</sup> of carbon dioxide), the CHP with mechanical collection of rice straw accounted for 20.8 USDha<sup>-1</sup> of social carbon costs, while the MHP and RHP accounted for USD 3.89 and USD 5.45, respectively.

Considering the price of raw paddy as 0.2 USDkg<sup>-1</sup>, the costs due to the grain loss per hectare of field of MHP, RHP, and CHP are 25.40 USDha<sup>-1</sup>, 23.96 USDha<sup>-1</sup>, and 6.80 USDha<sup>-1</sup>, respectively.

The cost of the mechanical collection of rice straw when using CHP is an additional indirect cost. It is estimated at 88.31 USDha<sup>-1</sup>[32]. This cost component is not relevant to MHP and RHP, as all the rice straw is collected at the threshing field in one place. However, mechanical straw collection provides an option to compress rice straw, facilitating its transportation, storage, and other management practices. Even though the CHP recorded the lowest direct cost, it resulted in the highest total cost due to the indirect cost component.

## 5. Conclusion

This study evaluated three paddy harvesting systems as manual harvesting (MHP), reaper harvesting (RHP), and combine harvesting (CHP), considering their field performances, energy consumption, direct and indirect costs, greenhouse gas (GHG) emissions, and availability of residual biomass.

Among the three, CHP showed highest field performance, lowest direct cost, lowest time consumption, lowest human input, and highest mechanization index (MI), making it the most suitable option for large-scale fields. Higher field performance and lower time consumption of CHP are mainly due to its higher MI. However, CHP also demonstrated some drawbacks, such as high fossil fuel consumption, GHG emissions, energy demand, indirect costs, and additional energy and time required for collecting rice straw. Higher fuel consumption of CHP accounts for the higher GHG emission and indirect cost of it.

MHP was the most environment-friendly option with the highest availability of rice straw and lowest indirect cost, but the direct cost and time consumption were very high due to its lowest mechanization index and higher human involvement. RHP showed intermediate performance in all the considered aspects, providing equal availability of rice straw as MHP. The RHP exhibited a lower

indirect cost showing good environmental friendliness and it is close to that of the MHP which recorded the lowest indirect cost. Therefore, when the field size is relatively small and the available human labor is relatively high, RHP is the best option to have long-term environmental sustainability along with intermediate field performance. However, higher field performance is very important to reduce the time consumption and operational costs. Therefore, attention should be paid to introducing an intermediate level of mechanization with satisfactory field performance while keeping costs and environmental impact at a reasonable level. Another alternative to reduce the indirect costs of combine harvesting is integrating a rice straw compression mechanism to get maximum advantages of residual biomass while minimizing the GHG emissions.

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Abbreviations

A	Area
AF	Amount of fuel
ALq	Average loss within quadrant
BD	Bulk density
CEF	Carbon dioxide emission from fuel
CEM	Carbon dioxide emission from machine
CHP	Combine harvesting of paddy
CO <sub>2</sub> eq	Carbon dioxide equivalent
CW	Cutting width
D	Depreciation
EFC	Effective field capacity
FC	Fuel energy conversion coefficient
Fe	Field efficiency
FE	Fuel energy
FS	Forward speed
GHG	Greenhouse gas
GL	Grain loss
GWP	Global warming potential
h	Hour
ha	Hectare
HC	Energy conversion coefficient for human labor

HE	Human energy
I	Interest
i	Interest rate
L	Liter
LT	Lifetime
MC	Moisture content
ME	Mechanical energy
MEC	Energy conversion coefficient
MHP	Manual Harvesting of paddy
MI	Mechanical index
NLq	Natural loss of grain within quadrant
P	Value at purchasing
RF	Rainfall
RH	Relative humidity
RHP	Reaper harvesting of paddy
S	Salvage value
T	Temperature
t	Time
TEI	Total energy input
TFC	Theoretical field capacity
USD	United states dollar
W	Wind velocity

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