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Article

# Design Features and Numerical Investigation of Counter-Rotating VAWT with Co-Axial Rotors Displaced from Each Other along the Axis of Rotation

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**Abstract:** In this paper, dual-rotor counter-rotating (CR) configurations of vertical axis wind turbines (VAWT) are briefly inspected and divided into three types. This investigation was focused on one of these types – the CR-VAWT with co-axial rotors, in which two equal rotors are placed on the same shaft, displaced from each other along it and rotate in opposite directions. For this CR-VAWT with three-blade H-Darrieus rotors, the properties of the design in terms of aerodynamics, mechanical transmission and electric generator, as well as control system are analyzed. A new direct-driven dual-rotor (DR) permanent magnet synchronous generator (PMSG) was proposed, in which two built-in low-power PM electric machines have been added. They perform two functions – start-up and overclocking of the rotors to the angular velocity at which the lifting force of the blades is generated and stabilizing the CR-VAWT work as wind gusts act on the two rotors. Detailed in this paper is the evaluation of aerodynamic performance of the CR-VAWT via 3D computational fluid dynamics (CFD) simulations. The evaluation was conducted using the CONVERGE CFD software with the inclusion of the actuator line model for the rotor aerodynamics, which significantly reduces the computational effort. Obtained results show that both rotors, while they rotate in opposite directions, had a positive impact on each other: the power coefficients of the upper and lower rotors in the CR-VAWT increased by 5.5% and 13.3% respectively compared to the single-rotor VAWT at the optimal distance between the rotors of 0.3 from a rotor height.

**Keywords:** vertical axis wind turbine (VAWT); dual-rotor wind turbine; counter-rotating wind turbine; dual-rotor permanent magnet synchronous generator (PMSM); control system; computational fluid dynamics (CFD); actuator line modeling (ALM)

## 1. Introduction

The exigencies of environmental sustainability and rising energy consumption worldwide determine the demand for finding new sustainable ways to generate energy or to make pre-existing methods more effective, for instance wind and solar power generation systems. Because of the availability of distributed renewables, humanity now has the ability to generate green energy at home, in offices, or in residential buildings, and then, if necessary, share it within the “energy internet” [1]; this could potentially provide a more stable delivery of energy in places where frequent electrical network failures or large power fluctuations are common.

In recent years, small-scale wind energy conversion systems (WECS) are becoming more popular since they can produce energy for stand-alone households that are located far from the

electrical network on a daily basis [2]. One of the downsides of mounting wind turbines next to houses is that they are typically located in areas with low-potential wind speeds. In such conditions, vertical axis wind turbines (VAWT) are advantageous compared to horizontal axis wind turbines (HAWT) as Johari et al. [3] observed. Of the many types of different VAWT constructions, the high-speed H-shape Darrieus wind rotor is the best in terms of compromising between efficiency and complexity of manufacture [4]. Not only is the VAWT well designed to extract energy from winds with low potential, but they also have several other advantages over HAWTs [2–5]:

- the ability to accept wind from any direction, eliminating the need for the rotational mechanisms for turning the wind turbine to the upcoming wind, thus reducing the cost of the turbine;
- better performance in turbulent wind conditions;
- low noise operation, which is a topical issue in densely populated areas;
- the ability to mount the generator and electromechanical transmission at the bottom part of the wind turbine installation, which is closely linked to the cost-effectiveness and ease of maintenance;
- ease of installation (roofs of buildings, yards, gas stations, decks of ships);
- simplicity of production of blades with a constant aerodynamic profile in comparison with blades for HAWTs, which should be conical and twisted to achieve the desired optimal productivity.

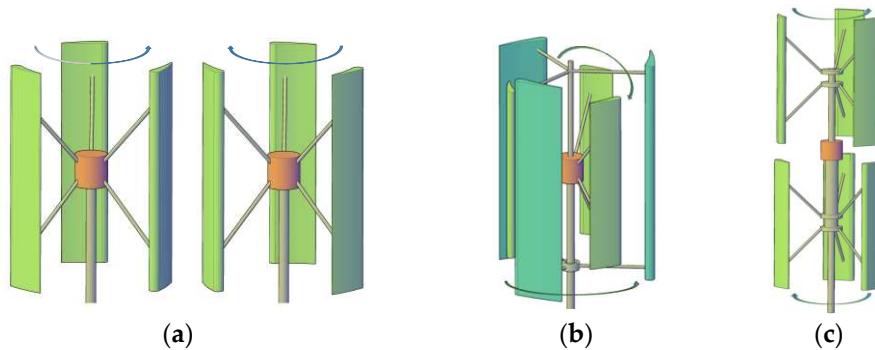
However, the disadvantages of VAWTs also cannot be ignored. Most high-speed VAWTs have low self-starting capabilities [6]. To facilitate starting, they need to be brought to an optimal rotational velocity by external means. For instance, this could be accomplished by switching the generator to motor mode. The motor will drive the rotor to the desired optimal rotational velocity, so with the specific incoming wind velocity the maximum possible energy from the wind will be extracted. Another way to bring the rotor to the desired rotational velocity is to use an additional rotor such as the Savonius one. The latter should be attached to the shaft of the main rotor as was done by Sharma et al. [7]. The usage of helical blades [8] might also improve the starting characteristics. However, the process of their manufacturing is complicated and more expensive than the straight blades.

Another disadvantage of the VAWTs is that during operation each blade produces vortices, so the rotor always operates in unsteady turbulent flow. This causes a reduction in the effectiveness of the VAWT [3,8].

It is known that the maximum aeromechanical efficiency of any rotor, both HAWT and VAWT, is limited by the theoretical limit named after one of its authors Betz, which is equal to  $16/27 = 0.593$  [2,3]. However, almost 40 years ago, a radically different idea of increasing the energy efficiency of HAWTs was proposed – to deploy two rotors in one WT, each of which rotate in one or opposite directions to each other [9]. Installing a second rotor on the same axis with the first rotor allows utilization of that part of the wind power that remains in the wake of the first rotor, and thus increase the theoretical limit of the counter-rotating (CR) HAWT to 0.64 [10]. Numerous model and experimental studies have demonstrated the higher efficiency of CR-HAWTs for a number of configurations with different rotors diameter ratios and optimal axial distances for their installation [11,12]. Another area of research for CR-HAWTs is the configuration of its drivetrain, which can include different types of mechanical transmission [13,14] or provide a direct drive, when each of the rotors is directly connected to the inductor and armature of a dual-rotor (DR) electric generator (EG) [15]. The latter option is the simplest and most reliable, but is characterized by only one control channel, which is not enough to ensure optimal control of the angular velocities of the two rotors. Therefore, as a rule, at least one rotor in CR-HAWTs has an additional channel for pitch-control [15,16].

Recently, the idea of using two rotors has spread to VAWTs [17–19]. Dual-rotor CR-VAWTs can have different degrees of aerodynamic interaction between the two rotors and different variants of their drivetrains. The potential efficiency increase of the VAWTs by means of dual rotor design of VAWTs is worth further investigation. Additionally, while the aerodynamic effects of the VAWTs are well documented, the aerodynamics of CR-VAWTs are not well understood yet.

Unlike the CR-HAWT, the CR-VAWT can have a large number of configurations that are fundamentally different. The analysis of a relatively small number of studies on the development of CR-VAWT, allowed dividing all configurations into three types: (i) dual-axis CR-VAWT, (ii) CR-VAWT with co-axial rotors of different sizes when one rotor covers the other, and (iii) CR-VAWT with co-axial rotors displaced from each other along the axis of their rotation (Figure 1).



**Figure 1.** Three types of CR-VAWT: (a) dual-axis CR-VAWT, (b) CR-VAWT with co-axial rotors when one rotor covers the other, (c) CR-VAWT with co-axial rotors displaced from each other along the axis of rotation.

### 1.1. Dual-Axis CR-VAWT

As can be seen from Figure 1a, this type of CR-VAWT is actually two separate VAWTs, which rotate in opposite directions around their own parallel axes. Due to the aerodynamic interaction between these VAWTs, it allows a higher power density in a wind farm.

Wind farms based on HAWTs require significant turbine separation to avoid aerodynamic interference, because the latter reduces the efficiency of wind flow interaction with downstream rotors. In contrast, the aerodynamic interaction of VAWTs provide higher power per unit land area because of their smaller footprint [20]. Some investigations have shown that additional benefits can be obtained by optimizing the placement of VAWTs and choosing the direction of rotation. Comparative 3D studies were performed for an isolated VAWT and for co- and counter-rotating pairs of VAWTs with varying of wind direction and turbine spacing. These investigations have shown an average 14% increase in performance for the most optimal array configuration studied at over approximately a 50° range of wind direction [21]. The power coefficient of each rotor can increase by more than 10% when the turbines are oriented in such a way that a line connecting their centers of rotation is perpendicular to the wind direction. When the VAWTs are oriented in a doublet-like configuration and their blades move upwind in the interior area between the rotors, the power coefficient of each rotor can increase up to 15% [22]. However, such positive results quickly decrease if the wind direction deviates from the specified one.

### 1.2. CR-VAWT with Co-Axial Rotors When One Rotor Covers the Other

This type of CR-VAWT, also called a multi-stage VAWT, is similar in construction to CR-HAWT, where two rotors are installed in series. As can be seen from Figure 1(b), two rotors are located on the same axis, and the primary (outer) rotor completely covers the secondary (inner) rotor. In this case, the Darrieus type H-rotor is used in which the blades are straight and parallel to their axis of rotation. As mentioned above, VAWT blades interact with the disturbed wind flow much more than a HAWT during its rotation, which is one of the reasons for VAWT's lower efficiency. In the case of the CR-VAWT type discussed in this subsection, the aerodynamic interaction of two rotors is very high, as the smaller rotor operates with wind flow completely perturbed by the larger rotor. In addition, the smaller rotor's work further disrupts the wind flow for the larger rotor, as the latter now partially operates in the wake of the smaller rotor. This can reduce the aeromechanical efficiency of both rotors. However, the development of this type of CR-VAWT is dedicated to solving another important problem for high-speed and efficient VAWT – ensuring their self-start at low wind speeds.

In [23], authors performed 2D simulations for the flow-induced rotation of coupled and uncoupled versions of multi-stage VAWTs. Studies have shown that placing the second rotor with the same blades but twice-smaller diameter inside the primary rotor provided approximately a twice fast CR-VAWT overclocking as a single rotor, with better dynamic properties obtained in the coupled version.

In [24], both starting behavior and power performance of CR-VAWT with coaxial aerodynamically coupled rotors is outlined by comparing with an equivalent single-rotor VAWT by large eddy simulation (LES). The numerical results showed that the CR-VAWT offers self-start capabilities at low tip speed ratio (TSR) simultaneously with improving of power coefficient at high TSR. Authors set “the effect of drag dynamic stall induced by successive flow-blade collisions” [24]. This effect provided increasing of power coefficient at low TSR. Because of lift dynamic stall at the TSR value near 2, the power coefficient was increased more than 10% compare with the single-rotor VAWT. In [25], similar theoretical results were also confirmed experimentally.

### 1.3. CR-VAWT with Co-Axial Rotors Displaced from Each Other along the Axis of Rotation

This type of CR-VAWT is basically a dual-rotor system where two rotors rotate opposite to each other on the same axis but the rotors are displaced each other along the axis of rotation so that they do not interact aerodynamically with each other in a negative way (see Figure 1(c)). It should be worth noting that CR-VAWT of this type in comparison with previous type of CR-VAWT and CR-HAWT are more efficient, because both rotors receive an equal amount of kinetic energy from a uniform free-stream wind.

The expediency of developing this type of CR-VAWT is also due to other positive qualities. Dual-rotor designs of CR-VAWTs out-perform those of single-rotor VAWTs of the same swept area in the following areas:

- because the two rotors rotate in opposite directions, the relative angular velocity of the generator with two rotated part, inductor and armature, would be a sum of both rotor's angular velocities, so, the size of the generator could be much smaller to generate the same power as a single VAWT with the same rated output power;
- it is easier to manufacture and transport two smaller rotors than one bigger;
- single-rotor blades are bigger, so the blade surface stresses, vibratory loads, loading noises are also bigger that, accordingly, requires stronger and more expensive supports for VAWT installation.

In a number of studies, the aerodynamic properties of CR-VAWTs of this type were compared with the corresponding properties of single-rotor VAWT by both computer simulation and experimentally. In most cases, the CR-VAWTs consist of two identical Savonius rotors [26–28], but there are studies that use the H-Darrieus rotors [17,19,29] or even a combination of these two types [30].

Experimental studies of CR-VAWTs based on the Savonius rotors have shown very low starting wind speeds starting at 1.744 m/s [26], as well as higher efficiency of the upper rotor compared to the lower [28]. The same was confirmed by the computational fluid dynamics (CFD) study conducted in ANSYS Fluent using the  $k-\omega$  turbulence model [29].

In Didane et al.'s experimental and 3D simulation studies of CR-VAWTs based on the H-Darrieus rotors [17,29], to help the blade to self-start, they used semicircular polyvinyl chloride pipes mounted at each arm of the two rotors, by which the blades were joined to the central shaft. However, this decision led to an increase in drag forces reducing the optimal TSR of rotors to 0.38-0.55, reducing their power coefficient, and thus losing all the benefits of high-speed H-Darrieus type rotor. The simulation results have demonstrated a significant increase of conversion efficiency for both power and torque compared to a single-rotor VAWT system of a similar type. The results also indicate the increase of this performance with reduction of the axial distance between the rotors. As is indicated in conclusion of [29], “further improvements should be made including blade design, appropriate

generator and static geometrical parameters such as relative size of the main and auxiliary rotors, optimum distance between the two rotors, airfoil profile type, aspect ratio etc."

Poguluri et al. [19] conducted systematic 3D CFD simulation and analytical investigation for high-power CR-VAWT, where two-blade H-Darrieus rotors for the CR-VAWT were obtained from the conventional single rotor VAWT by splitting its blade length into two equal parts separated by a small distance. The results showed a close agreement of output power between the CR-VAWT and single-rotor VAWT at low wind speed, but at high speeds there is a lower power generation by the CR-VAWT. The authors explained this by strong interaction of the tip vortices between the upper and lower rotors. To improve the performance of the CR-VAWT, authors identified the need of study and optimization of some parameters such as the blade length, the rotor diameter, the number of blades in a rotor, and the distance between two rotors.

#### 1.4. Drivetrains for CR-VAWT

The design features of CR-VAWTs determine the special design of their drivetrains. In the first type – dual-axis CR-VAWT, two separate EGs have been used due to a significant distance between the axes of rotation of two rotors. In the other two types – CR-VAWT with co-axial rotors, the designs of their drivetrains may be the same, because from a mechanical point of view, these types differ only in the relative position of their rotors. Therefore, we will further analyze the possible implementations of drivetrains for such CR-VAWTs.

The design of the CR-VAWT drivetrain depends on the design and number of EGs used, as well as the ratio of the rated speeds of the rotors and EG. Chong et al. [31] proposed two designs of CR-VAWT drivetrain. The first presents two rotors mounted on their co-axial shafts rotating in opposite directions; each has its own EG for transducing mechanical energy into electrical. The design is simple and allows individual optimization of the load of each rotor; however, two EGs complicate the design of the CR-VAWT, increase its cost and might cause additional load on the shaft due to their weight. In another design, the shaft of one rotor is coupled with the rotor of the EG and the shaft of the other rotor is coupled with the armature of EG. Their counter-rotating movement increases the relative angular velocity of the DR-EG and decreases its size and weight. Another investigation in this area was carried out by Ramos et al. [27], which concluded that the starting torque of a CR-VAWT with the DR-EG doubled that of a single VAWT. This improved the breaking inertia level and thus the self-starting capability of the turbine. A similar solution has been used in other studies in recent years, which indicates its effectiveness [15,32].

Shchur and Klymko [33] have performed one more investigation related to the CR-VAWT with coaxial rotors displaced from each other along the axis of rotation. The authors have studied by computer simulation operation of a CR-VAWT with three types of mechanical transmissions: rigid with a bevel gearbox [13,26], semi-rigid with a differential [14], and soft gearless with the dual-rotor PMSG (DR-PMSG) [15,33]. According of the results obtained, the rigid transmission has the advantage over the other two for start and stable operation and the disadvantages, which are related to the existence of backlash between the gears that caused significant ripples with springy torques. Instead, the gearless transmission is the simplest of all and reliable. In the case of long and elastic rotor shafts, the gearless transmission effectively damped mechanical vibrations. However, it is vulnerable to differences of the rotor's moments of inertia and gusts of wind speeds, acting differently on each rotor. The differential transmission provides better work stability in similar cases than the gearless transmission. Because of these observations, it was concluded that the gearless transmission is promising to use in a CR-VAWT, but it is expedient to develop a new means for stabilization of WECS operation.

This paper is devoted to one of the least studied types of these VAWTs, namely the CR-VAWT with co-axial rotors displaced from each other along the axis of rotation. The limited interest of researchers in this type of CR-VAWT is explained by the apparent patterns of its operation, when two rotors interact almost independently with the wind flow. However, if we consider the whole WECS, previously unexpected effects are manifested, as mentioned above. These effects take place in different parts of the system – aerodynamic, electromechanical and control system, and their nature

can be both positive and negative. In this work, the design features of this type of CR-VAWT, which ensure its effective operation, are consistently considered – aerodynamic design, drivetrain and EG design, and control system design. A significant part of the work is devoted to numerical modeling of this CR-VAWT via CFD. There is no study yet for how far the rotors should be spread apart in the CR-VAWT as to not negatively affect each other during operation. Accordingly, the paper tries to find the aforementioned optimal ratio of displacement for the rotors.

The paper is organized as follows. Section 2 presents the design features of the CR-VAWT with co-axial rotors displaced from each other along the axis of rotation including rotor, drivetrain, special DR-EG, and control system design. Actuator line modeling (ALM) for CR-VAWTs simulations, especially aerodynamic theory of the VAWTs, is shown in Section 3. Section 4 has two Sub-sections of simulation results and their discussions – single-rotor VAWT and sample of CR-VAWT. Lastly, conclusions and some discussions are delineated in Section 5.

## 2. Design Features of CR-VAWT with Co-Axial Rotors Displaced from Each Other along the Axis of Rotation

### 2.1. Aerodynamic Design

In our investigation, we are focused on the CR-VAWT composed of Darrieus rotors, in particular the straight-bladed H-rotors which offer relatively high values of power coefficient  $C_p$ . Because of the straight blades, the H-rotor is easy to manufacture and reliable during operation. Studies have shown that when the H-rotor has three blades, its starting and working characteristics for low wind speeds are optimal [34]. In addition, three bladed H-rotors operate at much higher TSR values compared to the Savonius rotors – that is why EGs could be directly linked with rotors forming a direct drive. This simplifies the construction and installation of the CR-VAWT, improves the starting characteristics, and increases the reliability during operation.

To evaluate the energy efficiency of a specific WT, its output power and torque are to be calculated according to the following equations [35]:

$$P_{\text{WT}} = 0.5 \rho_a A C_p(\lambda) V_w^3, \quad (1)$$

$$T_{\text{WT}} = 0.5 \rho_a A R \frac{C_p(\lambda)}{\lambda} V_w^2, \quad (2)$$

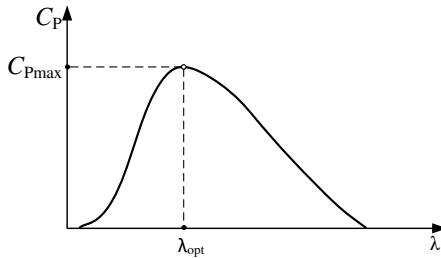
where  $\rho_a$  is the air density,  $A = 2RH$  is the rotor's swept area ( $R$  is the rotor radius,  $H$  is the rotor blade height),  $C_p(\lambda)$  is the power coefficient of a specific rotor, which depends on the TSR  $\lambda$  and the rotor construction, and  $V_w$  is the wind speed.

The TSR is a non-dimensional characteristic of the rotor angular velocity – the ratio between the linear speed of the blade and the incoming wind speed. It can be evaluated as follows [35]:

$$\lambda = \frac{\omega R}{V_w}, \quad (3)$$

where  $\omega$  is angular velocity of the rotor.

For each rotor, there is a specific  $C_p(\lambda)$  characteristic, which is evaluated experimentally or numerically using a computational model. The optimal point  $C_{p,\text{max}}(\lambda_{\text{opt}})$  is depicted in Figure 2, at which the rotor extracts the maximum possible amount of power from the wind. For each wind speed, there is an optimal value of the rotor angular velocity  $\omega$ , which is provided by the control system of the WT. It is crucial to maintain that optimal angular velocity value for the duration of the wind turbine's operation to extract the maximum possible amount of power from the wind. The system ensuring this is the maximum power point tracking (MPPT) system.



**Figure 2.**  $C_p(\lambda)$  characteristic.

Because the rotor is driven by the wind that sweeps the rotor blades, the output power directly depends on the shape of the blades. Most often, NACA airfoils are chosen for the H-rotor blades [35], which have very good aerodynamic characteristics. These NACA blades could be symmetric or asymmetric [35,36]. The usage of asymmetric blades for a rotor improves the starting characteristics of that rotor, however, the  $C_p$  value might be reduced in this case [37].

When it comes to design, one of the critical parameters of rotors is the solidity  $\sigma$ , which can be evaluated as follows [37]:

$$\sigma = \frac{n_b c}{2\pi R}, \quad (4)$$

where  $n_b$  is the number of the rotor's blades and  $c$  is the blade chord length.

Starting and operating characteristics of the rotors directly depend on the solidity. With a higher solidity value, starting characteristics are better, so the rotor will start to rotate at lower wind speeds. However, the working characteristics will suffer – the  $C_p$  will be decreased [38]. Therefore, there is an issue of optimal combinations of starting and working characteristics of the H-rotors [39].

Another key parameter in the process of wind turbine design is the aspect ratio (AR) – the ratio between a rotor's height  $H$  and its diameter  $D$ . It is shown [40] that an optimal AR not only has a positive effect on the starting characteristics of the rotor, but also increases the  $C_p$ . The optimal AR for each unique rotor is different, so to estimate the correct AR, CFD or experimental modeling should be performed.

Considering the specified regularities of VAWTs, to build an aerodynamically effective CR-VAWT of the chosen type, it is advisable to use rotors of the Darrieus type, especially the H-rotors with three blades [34]. The symmetrical aerodynamic profile of the airfoil is recommended, such as the widely used NACA 0018 [39]. To achieve a compromise between starting and operating characteristics of rotors, it is advised to choose the solidity (4) from the range of  $\sigma = 0.1$  to  $0.4$  [38,40]. However, with an increase in  $\sigma$ ,  $\lambda_{opt}$  rapidly decreases, which indicates a decrease in the speed of rotors and, accordingly, an increase in the size of the generator. Taking this into account, for a CR-VAWT of this type with rotors based on straight blades with a NACA 0018 airfoil, it is possible to select  $\sigma = 0.15$ , which provides  $C_{p,max} = 0.4 - 0.45$  at  $\lambda_{opt} = 4.8 - 5$  in the entire operating range of Reynolds number  $Re$ . Note the  $C_{p,max}$  decreases with smaller  $Re$  [37].

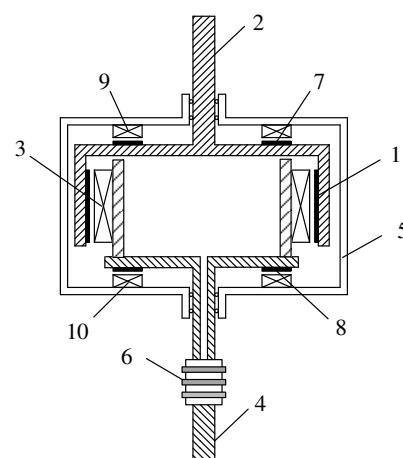
Regarding the geometric proportions of rotors, to ensure a compromise between the optimal values of the rotors rotation speed and the corresponding values of  $Re$ , according to the results of the studies conducted in [40], a value of AR close to unity is recommended for the three-bladed rotors with the NACA 0018 airfoil. Compared to the single-rotor VAWT of the same power and the same optimal AR as a CR-VAWT, the rated angular velocity of the DR-PMSG significantly increases (approximately at 2.8 times) [41]. This increase is due to two factors. First, the relative speed between the inductor and armature of the DR-PMSG is obtained by adding the angular velocities of two rotors. Second, in the case of the same optimal value of the AR, the rated angular velocity of each rotor in CR-VAWT, according to (1) and (3), is  $\sqrt{2}$  times larger compared to the single-rotor VAWT of the same total power.

## 2.2. Drivetrain and EG Design

As shown in a number of studies [31,32], the best configuration of drivetrain for the CR-VAWT with co-axial rotors displaced from each other along the axis of rotation is a direct-drive with the DR-PMSG. This configuration provides a small-size DR-PMSG, the easiest self-start, gently extinguishes of vibrations caused by gusts of wind, and provides high reliability due to the absence of mechanical transmissions.

However, as shown by computer simulations [33], this configuration of drivetrain for a CR-VAWT is characterized by worse stability in the presence of differences in the parameters of the two rotors and/or actions on them different wind disturbances compared to configurations that use mechanical gears. This is due to the presence of two independent perturbation channels from the side of each rotor and only one common for CR-VAWT load control channel – the PMSG electric load with the inability to distribute the load torque between the axes of two rotors. All this, together with the obvious nonlinearity of the mechanical characteristics of rotors  $T_{\text{wt}}(\omega)$  obtained from (2) and (3) can lead to a loss of mechanical stability of CR-VAWT, when the angular velocity of one rotor increases and the other stops altogether.

To eliminate this shortcoming, as well as to ensure the start of rotors, a new design of the DR-PMSG has been proposed with a corresponding control system, which ensures stability of the gearless co-axial CR-VAWT operation. This generator can be considered as an improved version of the standard DR-PMSG, in which the inductor with permanent magnets 1 is connected to the shaft 2 of one rotor and the armature 3 connected to the shaft 4 of another rotor (Figure 3). Two shafts can rotate in their own casing 5. The slip rings 6 supply a power to the main armature winding 3. In this new DR-PMSG concept, two additional built-in low-power electric machines are added. They ensure the electromagnetic coupling of the moving two rotors of the generator with the own casing 5. Each of these additional machines is a synchronous type with PMs. Their permanent magnets 7 and 8 are placed on each rotor of the DR-PMSG and armatures 9 and 10 are placed on the casing. By controlling additional electric generator/motor machines, we can create small torques with different signs between the axes of two rotors and the common fixed casing. Thus, we add two more load control channels separately for each rotor. Stabilization of the CR-VAWT, i.e. the alignment of the angular velocities of two rotors, is achieved by adding extra torque to the axis of one rotor and subtracting the extra torque from the axis of another rotor. These torques can be equal in magnitude, i.e. one additional electric machine must operate in motor mode and the other at this time will operate as a generator. Thus, in CR-VAWT stabilization modes, these machines can feed each other and their external power supply will cover only the energy losses in these machines, which ensures high efficiency. In addition, these motor/generators can perform an initial overclocking of the two rotors for startup. Studies [41] have shown that, for CR-VAWT at the rated power of 10 kW, each of additional electric machines have a rated power requirement of no more than 500 W.

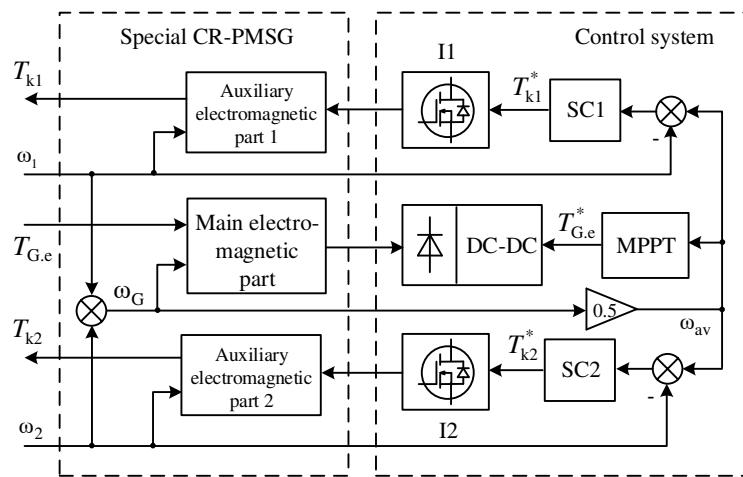


**Figure 3.** Sketch of a new design of the DR-PMSG.

### 2.3. Control System Design

The control system of the investigated CR-VAWT should provide the next main functions [41]: (i) startup of the rotors at a cut-in wind speed, (ii) optimal loading of the main ED for the two rotors at their maximum power points work, (iii) stabilization of the rotor's power at their reference points by the two additional PM machines.

Figure 4 shows that the structure of the control system of the CR-VAWT includes three control channels for adjustment of electromagnetic torques of the three PM electric machines. The Main electromagnetic part forms the electromagnetic torque of the PMSG  $T_{G,e}$  and the Auxiliary electromagnetic parts 1 and 2 form the electromagnetic torques  $T_{k1}$  and  $T_{k2}$  of the additional small PM machines. Because the additional PM machines provide the function of rotors start, the main PMSG should operate only as a generator. It significantly simplifies the load control system, which can be realized by a DC-DC converter. In Figure 4, the Control system consists of two invertors I1 and I2 to provide the bidirectional control of the additional small PM machines and a diode bridge with the DC-DC converter to provide unidirectional control of the main PMSG providing a MPPT of the CR-VAWT.



**Figure 4.** Structure of the control system for CR-VAWT with the new DR-PMSG.

To start the rotors, the control subsystem forms the reference of the electromagnetic torques for the additional PM machines at the maximum values but with opposite signs. After rotors startup, with the purpose of stabilization of their operation, the reference torques for these PM machines are formed by the corresponding PI speed controllers SC1 and SC2 as follows

$$T_{k1}^* = \left( K_p + \frac{K_i}{s} \right) (\omega_{av} - \omega_1), \quad (5)$$

$$T_{k2}^* = \left( K_p + \frac{K_i}{s} \right) (\omega_{av} - \omega_2), \quad (6)$$

where  $K_p$  and  $K_i$  are the proportional and integral coefficients of the speed controllers respectively,  $\omega_1$  and  $\omega_2$  are the angular velocities of the first and second rotors, and  $\omega_{av} = 0.5(\omega_1 + \omega_2)$  is the average angular velocity of these rotors of the DR-PMSG.

To simplify and reduce the cost, winding commutation systems for the additional PM machines might be the brushless DC method with Hall sensors.

In small WECS, sensor-less strategies (without a wind speed sensor) have an advantage in application for MPPT [42]. The optimal torque control (OTC) strategy is the most common among them [43]. It forms the reference of the electromagnetic torque of the EG loading in proportion to the square of the average angular velocity of the WT:

$$T_{\text{Ge}}^* = k_{\text{T}} \omega_{\text{av}}^2, \quad (7)$$

where  $k_{\text{T}} = 0.5 \rho_{\text{a}} A C_{\text{p,max}} (R / \lambda_{\text{opt}})^3$  in the case of direct drive transmission.

For the WECS based on the CR-VAWT with the proposed DR-PMSG, the OTC strategy (7) is equivalent to the optimal current control (OCC) strategy – forming the reference of the load DC current  $I_{\text{L}}^*$  for the DC-DC converter based on the average angular velocity of the rotors (rotors of DR-PMSG) at the set load voltage, e.g. the battery voltage. Such a strategy can be expressed as a polynomial dependency:

$$I_{\text{L}}^* = a_1 + a_2 \omega_{\text{av}} + a_3 \omega_{\text{av}}^2 + a_4 \omega_{\text{av}}^3, \quad (8)$$

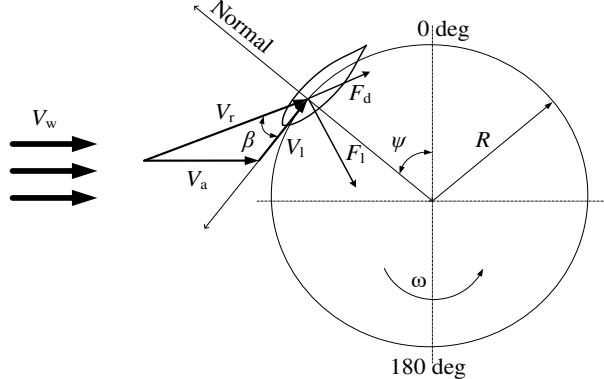
where  $a_i$  are the coefficients.

In a previously conducted investigation [41], the OCC strategy (8) for such a WECS was used and shown as effective stability MPPT control in the system, per the structure shown in Figure 4.

### 3. Method of Numerical Modeling of VAWT

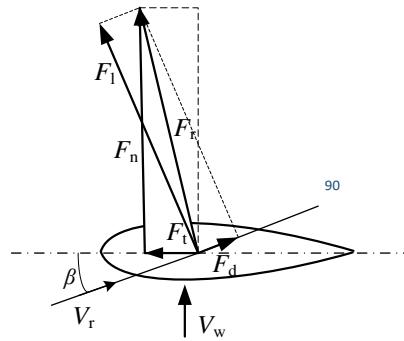
#### 3.1. Numerical Modeling of Rotor Operation

Numerical modeling of rotor operation is based on the calculation of the distribution of forces, which act on the rotor blades during their rotation. According to [8], these forces depend on two variables: 1) the resultant wind speed  $V_r$ , which is the vector sum of the axial wind speed  $V_a$  and the linear velocity of the rotor's blade  $V_l = \omega R$ ; 2) the angle of attack of the blade –  $\beta$  (Figure 5). The resultant wind speed and the angle of attack  $\beta$  constantly change during the blade rotation due to the change of the azimuthal angle  $\psi$  of the blade.



**Figure 5.** Velocity vectors diagram of a single blade of the rotor during its rotation.

In addition, during the blade rotation, the distribution of the lift force  $F_l$  and drag force  $F_d$  changes, in reference to [44]. The resultant of these forces is a thrust force  $F_r$ , which can be divided into two components – normal  $F_n$  and tangential  $F_t$  (Figure 6). The latter creates the torque on the rotor shaft, so the shaft starts to rotate together with blades.

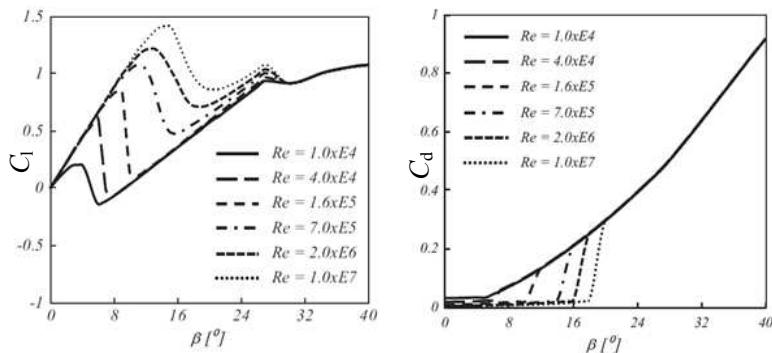


**Figure 6.** Force distribution on the rotor blade during rotor rotation.

The angle of attack  $\beta$  can be estimated as follows in accordance with [45]:

$$\beta = \operatorname{arctg} \frac{\sin \psi}{\lambda + \cos \psi} . \quad (9)$$

The value of  $\beta$  is positive in the range of azimuthal angle of the blade from  $0^\circ$  to  $180^\circ$  and takes its maximum value when  $\psi$  equals  $90^\circ$ . When the angle of attack  $\beta$  exceeds the critical value, the breakdown of the attached boundary layer occurs near the trailing edge of the blade and the appearing vortices slow down the blade. This phenomenon explains the maximum possible peak rotor performance characteristic  $C_p(\lambda)$  when the TSR is in the range of 2.5-4.0 – linear velocity of the blade significantly exceeds the oncoming wind speed. As it can be seen from the typical dependencies (Figure 7), for each value of the Reynolds number  $Re$ , there is a critical value of angle of attack, at which the coefficient of lift force  $C_l$  drops down and the coefficient of drag force  $C_d$  rises.



**Figure 7.** Typical dependencies of the lift ( $C_l$ ) and drag ( $C_d$ ) coefficients on the angle of attack  $\beta$  for the NACA airfoil [46].

Dependencies, which are depicted in the Figure 7, can be obtained either experimentally or with the use of CFD. Knowing these data, the coefficients of the tangential  $C_t$  and normal  $C_n$  forces can be evaluated as follows [45]:

$$C_n = C_l \cos \beta + C_d \sin \beta , \quad (10)$$

$$C_t = C_l \sin \beta - C_d \cos \beta . \quad (11)$$

The values of the normal and tangential forces, which act on the blade, can be estimated using these expressions:

$$F_n = 0.5 C_n \rho_a A_b V_r^2 , \quad (12)$$

$$F_t = 0.5 C_t \rho_a A_b V_r^2. \quad (13)$$

where  $A_b$  is the blade area.

The normal and tangential forces in equations (12) and (13) are obtained for each azimuthal position of the blade  $\psi$ . Having the instantaneous value of  $F_t(\psi)$  for a rotor with radius  $R$  and the number of blades  $n_b$ , it is possible to estimate the instantaneous torque during rotation as

$$T_R(\psi) = n_b F_t(\psi) R. \quad (14)$$

### 3.2. Actuator Line Modeling for VAWT Simulations

To choose the optimal design of the rotor and to test the rotor to best meet the desired level of effectiveness, a real field test or physical experiment with the use of an aerodynamic tunnel could be used. However, this is usually a very expensive and slow method. Thus, numerical modeling with the usage of the CFD is the first step in choosing the optimal parameters for the rotor of the CR-VAWT. Therefore, the CFD software CONVERGE is used in this research to investigate the drag and lift forces which act on the rotor blades during their rotation. The value of  $C_p$  is then estimated for the chosen wind turbine. The boundary conditions (BC) of the setup vary and are shown for each of the cases.

In this study, 3D Reynolds-averaged Navier-Stokes (RANS) simulation is integrated with the actuator line model (ALM) to study the working characteristics of the WT, for instance the  $C_p(\lambda)$  characteristic. To best depict the physical processes that take place while the VAWT rotates, the  $k-\omega$  shear stress transport (SST) turbulence model is implemented [27,47].

The ALM is a method that combines a 3D flow solver with a model in which aerodynamic forces are distributed radially along lines and these lines represent the blades of the WT [48]. The loading on the blades is determined using tabulated data for the lift and drag coefficients of a specific airfoil shape. In other words, this method treats each blade of the turbine as an actuator line, which is comprised of a series of airfoil elements along the span wise direction [49]. This method is widely used because it significantly reduces the computational effort compared with blade-resolved CFD meanwhile being able to capture some key flow features due to the rotation of the turbine. It is recommended by Shamsoddin et al. [48] that for numerical simulation of the wake, the ALM method is seen as the most feasible and practical way of considering the effects of the turbine on the flow. The ALM is a good alternative to resolving the full rotor geometry, since it requires fewer grid cells and allows larger time steps [50]. However, the drawback could be the lack of resolution of the blade boundary layer which can be important to some fine-scale, local effects.

To determine the aerodynamic force per unit span length acting on the rotor blades, the following equation is used at each airfoil element [51]:

$$\mathbf{f}_{2d} = \frac{d\mathbf{F}}{dr} = \frac{1}{2} \rho_a V_r^2 c(C_l \mathbf{e}_l, C_d \mathbf{e}_d), \quad (15)$$

where  $C_l = C_l(\beta, Re)$  and  $C_d = C_d(\beta, Re)$  are the dependencies of the lift and drag coefficients from the blade angle of attack and Reynolds number,  $V_r$  is the relative wind velocity at the element, and  $\mathbf{e}_l$  and  $\mathbf{e}_d$  are the unit vectors in the directions of lift and drag, respectively.

The forces are then projected from the blades back to the flow. They must be distributed smoothly on several mesh points to avoid singular behavior. The projection is performed in a 3D Gaussian manner by taking the convolution of the computed local load  $f$  and a regularization kernel  $\eta_\varepsilon$  as shown below [52]:

$$f_\varepsilon = f \otimes \eta_\varepsilon, \quad \eta_\varepsilon(d) = \frac{1}{\varepsilon^2 \pi^{3/2}} \exp \left[ -\left( \frac{d}{\varepsilon} \right)^2 \right], \quad (16)$$

where  $d = |\mathbf{x} - s_a \mathbf{e}_i|$  is the distance between cell centered grid points with coordinate vector  $\mathbf{x}$  and points at the  $i$ -th actuator line, and  $\varepsilon$  is the projection width that serves to adjust the concentration of the regularized load.

In this work,  $\varepsilon = \min(0.25c, \Delta)$  is used following Xie and Archer [53], where  $c$  is the chord length and  $\Delta$  is the size of grid containing the blade section. Therefore, the regularized force per unit volume becomes:

$$f_\varepsilon(\mathbf{x}) = \sum_{i=1}^B \int_0^R f(s_a) \eta_\varepsilon(|\mathbf{x} - s_a \mathbf{e}_i|) ds_a \quad (17)$$

where  $B$  is the number of actuator line.

In this work, the tabulated data of the drag and lift coefficients were obtained from the database of Sheldahl and Klimas [54] developed for the VAWTs, which contains values over a wide range of Reynolds numbers. Although this dataset might have some limitations – data for some airfoil/Reynolds numbers were derived numerically based on the results of other measurements – it is likely the most comprehensive source due to the variety of profiles and range of Reynolds numbers [49]. In addition, the blades were fixed in the wind tunnel measurements. However, during each cycle of VAWT rotation, the blades encounter the wakes shed from other blades [55], which is missed in the wind tunnel measurements. Furthermore, due to the rapid change of the angle of attack  $\beta$ , dynamic stall will occur and will affect the instantaneous drag and lift force predictions. Therefore, corrections of the dynamic stall effects must be performed to mitigate the limitation of using static lift and drag coefficient data. In this work, the modified Massachusetts Institute of Technology dynamic stall model is used following Shamsoddin and Porté-Agel [56].

In summary, at each time step, the locations of the blades are updated. For each blade section, the angle of attack  $\beta$  and the local Reynolds number are determined. The latter is defined for the local relative velocity, the chord length of the blade section, and the kinematic viscosity of the dry air at 300 K. For a specific combination of  $\beta$  and the local Reynolds number, the lift and drag coefficients, which are interpolated from the Sheldahl database by a 2D bilinear scheme, are used to calculate the blade forces as in (15), and the forces are then distributed to the neighboring CFD points by (17). The ALM module is coupled within the CONVERGE CFD solver via a user-defined function (UDF), which has been well validated for both HAWTs and VAWTs simulations in previous studies [57,58].

## 4. Results and Discussion

### 4.1. Single-Rotor VAWT Sample Simulation

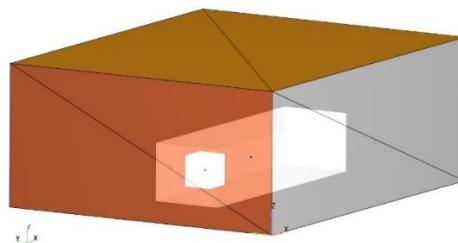
The characteristics of the chosen rotor sample are presented in Table 1. As discussed earlier, the straight-bladed H-rotor with three NACA0018 blades was used in this study. Having a diameter  $D = 0.9$  m and a height of the rotor blade  $H = 1.0$  m, it can be easily calculated that the aspect ratio AR is 1.1. This value is optimal for the VAWTs as a literature review shows [59]. Note that there is no resolved geometry of the rotor during simulation, however the dimensions of the rotor will be used in the ALM parameterization.

**Table 1.** Features of the rotor sample.

Parameters	Type or value
Rotor Type	H-rotor
Airfoil profile	NACA 0018
No. of blades	3
Diameter, $D$	0.9 m
Height, $H$	1.0 m
Chord length, $c$	0.141 m

Rotation	Clockwise
Solidity, $\sigma$	0.15

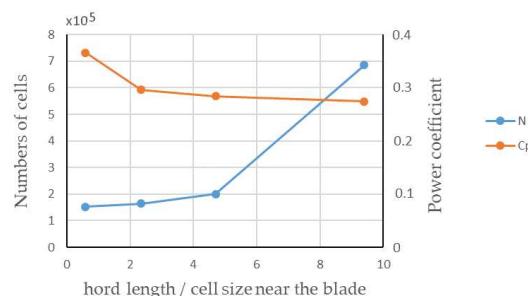
The computational domain is a rectangular box with dimensions 15x12x7 (m) respectively in the stream wise ( $x$ ), lateral ( $y$ ), and vertical ( $z$ ) directions. The boundary conditions of the domain are chosen to be as follows: the inlet – Inflow (Neumann pressure BC with Dirichlet velocity BC), the outlet and sides – Outflow (Dirichlet pressure equals 101325 Pa), the bottom side – Wall with a wall model based on the log law. The  $k-\omega$  SST model is used for the turbulence modeling considering its good performance for turbulent swirling flows. The flow is driven by a fixed stream-wise wind of speeds 4, 7, and 10 m/s from the inlet. The rotor is represented only via blades modeled by the ALM.



**Figure 8.** Domain setup: BC for the single-rotor VAWT sample case. The inner box represents the region of embedding of scale 3, the middle box represents the region of embedding of scale 1 and the outer box is the domain.

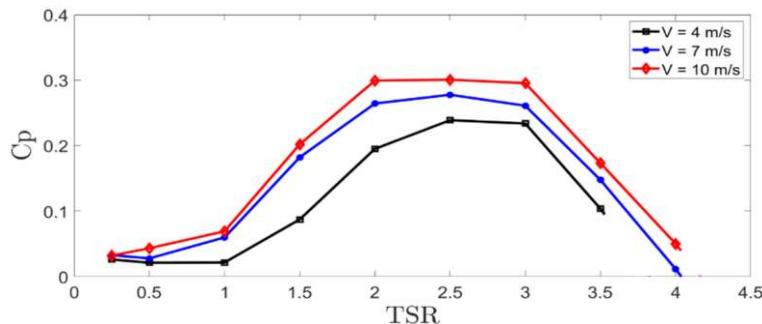
As for the rotor placement, its center is located at 8 m ( $\sim 10D$  from the inlet) in the  $x$  direction, 6 m in the  $y$  direction, and 1.5 m in the  $z$  direction, which gives enough space for the flow to be well-developed. The base grid size of 0.24 m is used away from the rotor and the wake region, while grid embedding is added near with scale  $n = 3$  around the rotor and  $n = 1$  in the wake. The grid embedding is performed as  $\Delta_{local} = \Delta_{base}/2^n$ , where  $\Delta_{local}$  and  $\Delta_{base}$  are the local and base grid sizes, respectively.

The grid sensitivity test is performed first. Here, the base grid size is constant, but the embedding levels change for the rotor and wake. The time-averaged power coefficients at different grid resolutions are shown in Figure 9. Here, the inflow wind speed is 7 m/s. The value of  $C_p$  approaches roughly 0.27 asymptotically with the increase of the grid resolution, meaning that the grid convergence is achieved. On the other hand, Figure 9 shows how significantly the number of cells increases when the value of relationship  $c/\Delta_{local}$  in Figure 9 grows. The values of this relationship 0.58, 2.35, 4.7 correspond to the embedding levels 1, 2, 3, respectively. It also indicates that embedding levels 1, 2, 3 correspond almost to the same number of cells owing to the fact that the embedding is performed locally. In the upcoming simulations, the embedding level 3 is chosen considering that it keeps a good balance between the numerical accuracy and the numerical cost.



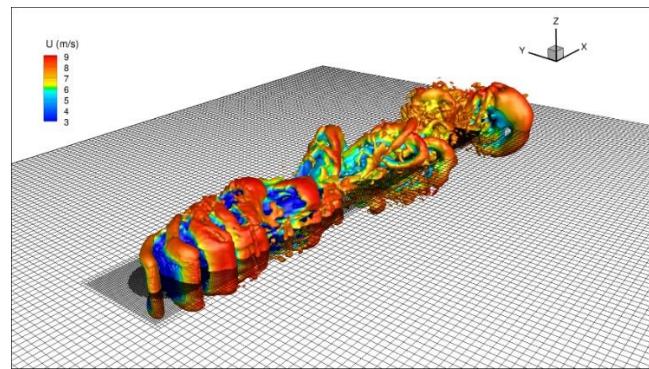
**Figure 9.** Dependencies of the number of cells and  $C_p$  value as a function of the chord length/cell size ratio near the blade.

Next, the obtained  $C_p(\lambda)$  characteristics for a single-rotor VAWT sample with different wind speeds of 4, 7, 10 m/s are depicted in Figure 10. In the figure, the characteristics are nearly parabolic in shape, which shows similarity with those presented in the literature [55,60]. The highest value of  $C_p$  is obtained for the value of TSR around 2.5. Note that due to the poor starting possibilities of the VAWT, the values of  $C_p$  are low for the TSR of 0.25-0.5, even at the wind speed of 10 m/s.

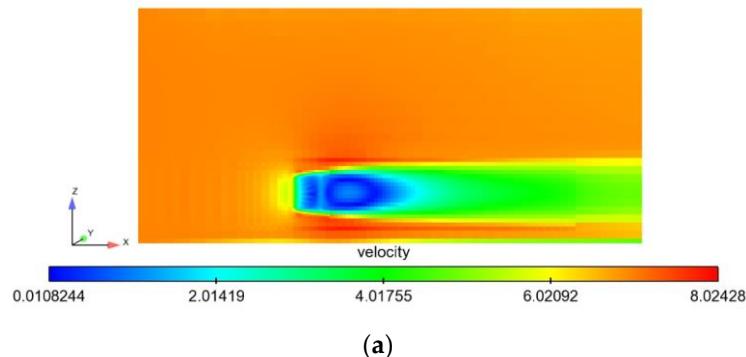


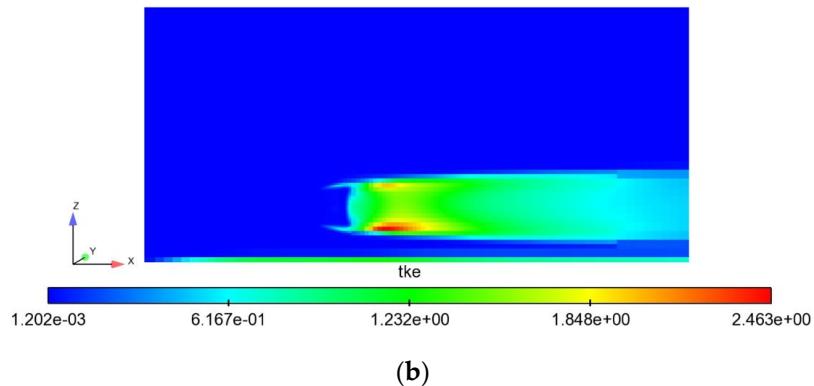
**Figure 10.**  $C_p(\lambda)$  characteristics of a single-rotor VAWT sample for the wind speeds of 4, 7, 10 m/s.

In Figure 11, the Q criterion shows how vortical structures progress in the wake of the investigated single-rotor VAWT at the free stream velocity of 7 m/s. In the near wake region, the vortical structures are well organized corresponding to the rotor motion, while they start to break up after some distance in the downstream due to instability of velocity shear at the wake edges (Figure 12(a)), which causes relatively large turbulence kinetic energy (TKE) as shown in Figure 12(b). From Figure 12, it is also observed that the wake lasts through the whole computational domain downstream of the turbine and is still strong at the outlet ( $\sim 10D$ ). A noticeable velocity increase is observed above and below the rotor, which will have important influence on the power efficiency of the CR-VAWT which will be discussed later.



**Figure 11.** Isosurface of the Q criterion colored by streamwise velocity component for the investigated single-rotor VAWT sample at free stream velocity of 7 m/s.



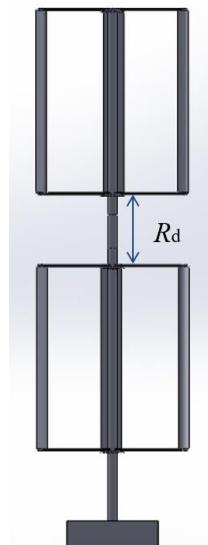


**Figure 12.** Time-averaged streamwise velocity component field (a) and TKE field (b) at the vertical central plane of a single-rotor VAWT at a free stream velocity of 7 m/s. Only part of the computational domain is shown here.

#### 4.2. CR-VAWT Simulation

In this section, the effects of counter rotation for two VAWTs aligned in the vertical direction are investigated. In the simulations, the rotors rotated in opposite directions – the lower rotor rotated clockwise and the upper one rotated counter-clockwise from the top view.

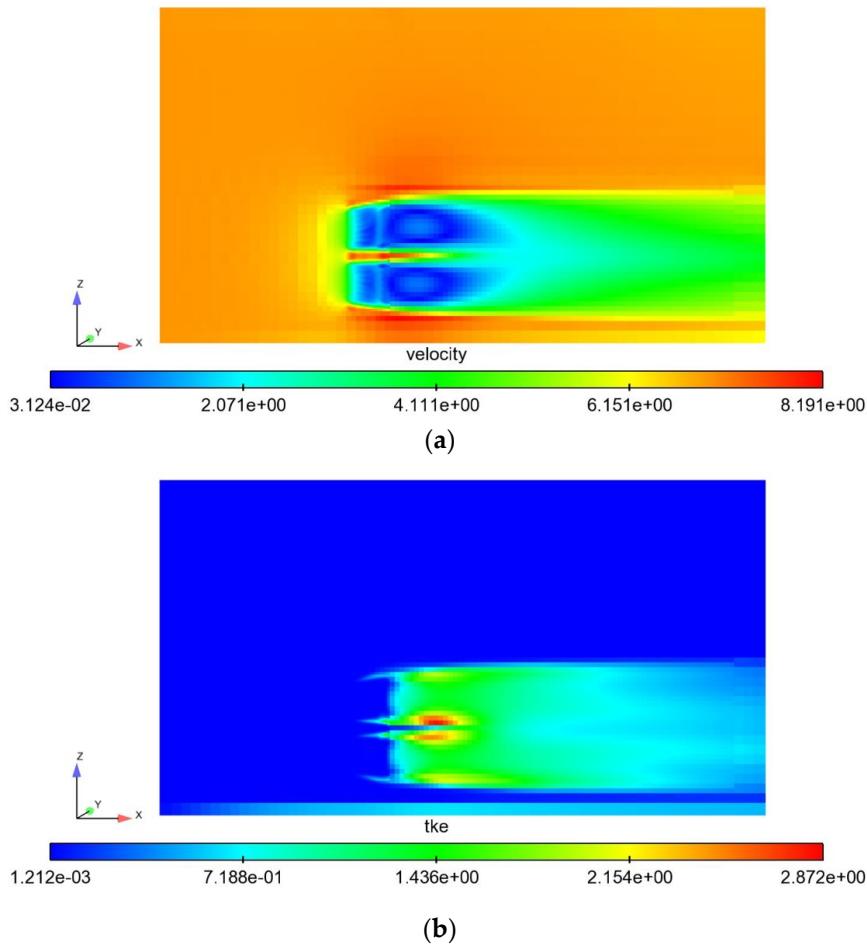
The BC for modeling the CR-VAWT sample were the same as for the single-rotor VAWT sample. The sizes of the domain in the  $x$  and  $y$  directions were also the same, however the size in the  $z$  direction was varied from 8.1 to 8.5 m, due to the added second rotor and the distance between rotors. Figure 13 presents the idea of counter-rotating rotors. Note, in this study, the ALM is also applied and no rotor blade geometry is resolved.



**Figure 13.** The 3D geometry of the CR-VAWT.

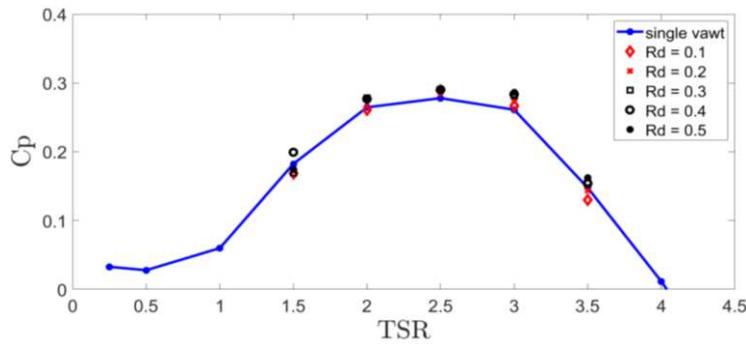
The time averaged streamwise velocity field and the TKE field at the vertical central plane are shown in Figure 14. Compared to the single-rotor VAWT case, the wake in the CR-VAWT case has a similar strength in both velocity and TKE at the same location downstream, which means that their influence on the downstream turbines in a wind farm will be also similar, although the CR-VAWT's wake is more than two times wider in the vertical direction. Moreover, the wake shape in terms of the velocity deficit of each individual rotor is much less symmetric in the CR-VAWT case than that in the single-rotor case. In the CR-VAWT case, the velocity deficit of each individual rotor is skewed towards the gap between the two rotors, which is due to the acceleration of the velocity between the two rotors produces a low-pressure zone that sucks the flow from upper and lower parts.

Accordingly, due to the acceleration and large shear at the gap, the maximum TKE also happens there.

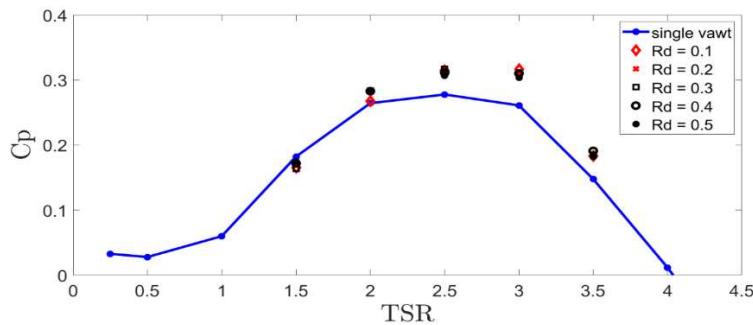


**Figure 14.** Time-averaged streamwise velocity field (a) and TKE field (b) at the vertical central plane of a CR-VAWT at free stream velocity of 7 m/s. Only part of the computational domain is shown here.

To study the effect of the distance  $R_d$  between the two rotors, 5 different values were chosen for the simulations –  $0.1H$ ,  $0.2H$ ,  $0.3H$ ,  $0.4H$ , and  $0.5H$ , where  $H$  is the blade length. The power coefficient results of the CR-VAWT with the varying  $R_d$  and TSR are presented in Figure 15 and Figure 16. As shown, regardless of  $R_d$ , the power coefficient of the upper rotor in the CR-VAWT increased by roughly 5.5% and of the lower rotor by 13.3% compared to the same single rotor. It also can be seen from the figures that both rotors benefit from the counter rotation, especially for the lower one at  $R_d$  between  $(0.3-0.4)H$ . The lower rotor has slightly better  $C_p$  in the range of TSR from 2.0 to 3.5 than the upper one. This increase can be explained by the stronger channeling effect between the ground and the lower level of the upper rotor where the velocity accelerates due to mass conservation, as shown in Figure 12(a). A smaller, but still noticeable, velocity increase above the lower rotor accounts for the slight increase of  $C_p$  of the upper rotor. Moreover, the distance  $R_d$  doesn't seem to have a significant influence on the overall power efficiency for the design discussed in this paper. Individually, the  $C_p$  of the lower rotor increases slightly with the reduction of  $R_d$  due to the stronger channeling effect, but the upper rotor displays an opposite trend since it faces stronger wind speed at higher locations. It is expected that, eventually, the overall  $C_p$  will increase with the further increase of  $R_d$  when the gain of the upper rotor from higher wind speeds aloft dominates over the loss of the lower rotor from the weaker channeling effect, but it is less practical and thus beyond the scope of this work. In the cases comprising the present work,  $R_d = 0.3H$  seems to be a good compromise between efficiency and expense.

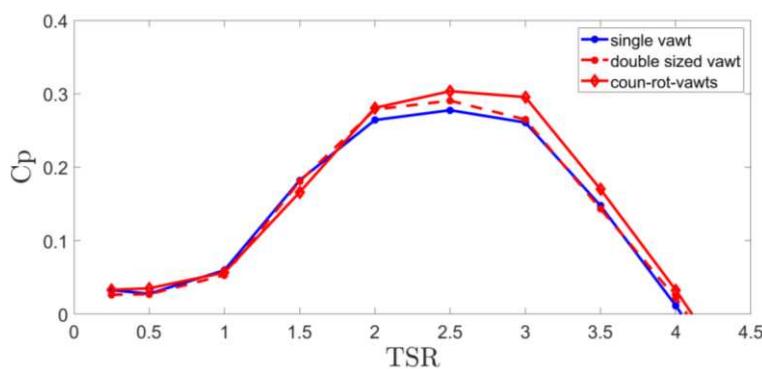


**Figure 15.** Comparison of time averaged  $C_p(\lambda)$  characteristics of the upper rotor of CR-VAWT sample between the single-rotor VAWT sample and cases with various  $R_d$  at free stream velocity of 7 m/s.



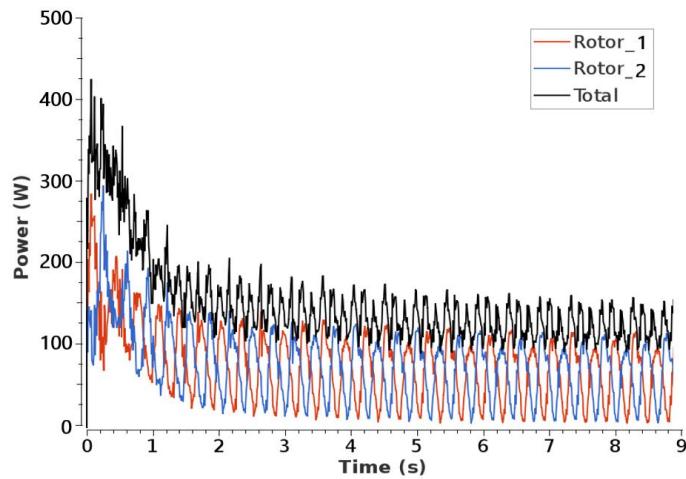
**Figure 16.** Comparison of time averaged  $C_p(\lambda)$  characteristics of the lower rotor of CR-VAWT sample between the single-rotor VAWT sample and cases with various  $R_d$  at free stream velocity of 7 m/s.

Next, the performance of the CR-VAWT is compared with a single-rotor VAWT with the swept area doubled. Therefore, the total swept area of the CR-VAWT is the same as the single-rotor VAWT. Having the same AR of 1.1 (as the individual rotor of the CR-VAWT) and the swept area  $1.8 \text{ m}^2$ , a new size of the single-rotor VAWT was found:  $D = 1.28 \text{ m}$  and  $H = 1.4 \text{ m}$ . The  $C_p$  of the original single-rotor VAWT, the double sized single-rotor VAWT, and the CR-VAWT are plotted in Figure 17. These results indicate two observations. First, simply increasing the rotor swept area is almost ineffective to increase the power coefficient, although the power increases with the swept area. Secondly, with the same swept area, the CR-VAWT achieves higher  $C_p$  at higher TSR, compared to the single-rotor VAWT, where the maximum increase of 13% occurs at  $\lambda = 3$ . This increase of the power efficiency is mainly caused by the local velocity increase due to the interactions between the two rotors as explained earlier.



**Figure 17.** Comparison of time averaged  $C_p(\lambda)$  characteristics of the CR-VAWT sample and single-rotor VAWT samples of different swept areas at free stream velocity of 7 m/s.

Another possible benefit of the dual rotor design, especially with counter rotating rotors, is that the oscillation in the total mechanical power can be depressed significantly compared to the single-rotor VAWT, as compared in Figure 18. The individual VAWT rotor is known to have a distinctive oscillation with the frequency three time of its RPM, i.e., every 120 degrees. In a counter-rotating dual rotor system, such as the CR-VAWT design studied in this work, the power oscillation of each individual rotor is always at different phases. When they are superimposed, they will partially cancel each other, so that the total power is much less oscillatory.



**Figure 18.** Temporal rotors power of investigated CR-VAWT at free stream velocity of 7 m/s.

## 5. Conclusions

In this work, the concept of a CR-VAWT with two co-axial three-blade H-Darrieus rotors has been studied from different aspects including drivetrain design, electric generator, control system design, and rotor aerodynamic analysis. The results show a number of advantages of such CR-VAWT both in terms of technical implementation, reliability and cost, and of aerodynamics.

To improve the rotor's self-start characteristics, a new direct-driven DR-PMSG design has been proposed for the CR-VAWT, in which two built-in low-power PM electric machines have been added. They perform two functions – starting-up and overclocking the rotors to the angular velocity at which the lifting force of the blades is generated, and to stabilizing the CR-VAWT work in different wind gusts acting on two rotors. To perform these functions, the control system for operation of the proposed DR-PMSG has been developed.

To study and optimize the aerodynamic characteristics of the CR-VAWT, a set of CFD simulations was conducted using the CONVERGE CFD software with the ALM for the rotor modeling, which significantly reduces the computational effort in comparison to the blade-resolved CFD. Based on the CFD results, it was determined that both rotors have positive interactions with each other while rotating in opposite directions. As a result of the mutual interaction, the power coefficient of the upper and especially lower rotor in the CR-VAWT increased compared to the same single-rotor VAWT. This effect provided an increase in the average value of the power coefficient in the CR-VAWT sample by 10.2%. The optimal separation distance between the upper and lower rotors was found to be 0.3 of the rotor height. One more aerodynamic advantage of the CR-VAWT of this type was that the total mechanical power of both rotors oscillated less than for individual rotors. In terms of technical analysis, if the output power oscillates less, there will be more stable electricity generation.

A promising direction of further work, in our opinion, is to study a system consisting of a CR-VAWT and a stationary wind flow concentrator. The latter will make it possible to increase the speed of the incoming wind flow (or the flow in the rotors area) and improve the efficiency of the CR-VAWT, especially when working in low-speed winds [61].

## 6. Patent

Shchur, I.; Klymko, V. Electric Generator for Dual-Rotor Counter-Rotating Wind Turbine. UA patent #125404, 11 Nov. 2019.

**Author Contributions:** Conceptualization, I.S. and D.S.; methodology, S.X.; software, V.K. and S.X.; validation, I.S., V.K., and S.X.; formal analysis, V.K.; investigation, I.S., V.K., and S.X.; resources, S.X.; data curation, S.X.; writing—original draft preparation, V.K.; writing—review and editing, I.S. and D.S.; visualization, V.K.; supervision, I.S.; project administration, I.S. and D.S.; funding acquisition, D.S. All authors have read and agreed to the published version of the manuscript.

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## Nomenclature

### Acronyms

ALM	actuator line modeling
AR	aspect ratio
BC	boundary conditions
CFD	computational fluid dynamics
CR	counter-rotating
DR	double-rotor
EG	electric generator
HAWT	horizontal axis wind turbine
LES	large eddy simulation
MPPT	maximum power point tracking
OCC	optimal current control
OTC	optimal torque control
PM	permanent magnet
PMSG	permanent magnet synchronous generator
SST	shear stress transport
TSR	tip speed ratio
UDF	user-defined function
VAWT	vertical axis wind turbine
WECS	wind energy conversion system
WT	wind turbine

### Latin symbols

$A$	rotor swept area [m <sup>2</sup> ]
$B$	number of actuator line
$C_l, C_d$	lift and drag blade coefficients
$C_p$	power coefficient
$H$	rotor blade height [m]
$I_L^*$	reference load DC current of PMSG [A]
$K_p, K_i$	proportional and integral coefficients of speed controllers
$P_{WT}$	WT power [W]
$R$	rotor radius [m]
$Re$	Reynolds number
$T_{G_e}^*$	reference electromagnetic torque of PMSG [Nm]
$T_{k1}^*, T_{k2}^*$	reference electromagnetic torque of the first and second additional PM machines [Nm]
$T_{WT}$	WT torque [Nm]
$V_w$	wind speed [m/s]
$c$	blade chord length [m]

$d$	distance between cell centered grid points and points at the $i$ -th actuator line [m]
$\mathbf{e}_1, \mathbf{e}_d$	unit vectors in the directions of lift and drag, respectively
$f$	computed local load [N]
$\mathbf{f}_{2d}$	vector of aerodynamic force per unit span length acting on the rotor blade [N/m]
$n_b$	number of rotor blades
$s$	Laplace operator
<i>Greek symbols</i>	
$\Delta$	size of grid containing the blade section [m]
$\beta$	angle of attack of blade [degree]
$\varepsilon$	width of regularized load [m]
$\eta_\varepsilon$	regularization kernel
$\lambda$	tip speed ratio
$\rho_a$	air density [kg/m <sup>3</sup> ]
$\sigma$	rotor solidity

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