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[Geoffrey Bentum-Micah](#) , [Sampson Agyapong Atuahene](#) <sup>\*</sup> , Lianyu Cai , Daniel Adu , Qian Xu Sheng

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*Article*

# Effects of Rotational Speed on Performance Prediction of Pump as Turbine for Energy Generation and Green Development

Geoffrey Bentum-Micah <sup>1</sup>, Sampson Agyapong Atuahene <sup>1,\*</sup>, Cai Lianyu, Adu Daniel <sup>2</sup> and Qian Xu Sheng <sup>1</sup>

<sup>1</sup> College of Teacher Education, Zhejiang Normal University, Jinhua 321004, China niel

<sup>2</sup> School of Energy, Department of Renewable energy University of Energy & Natural resources, Sunyani, PMB233

\* Correspondence: paarankisl39@gmail.com (Cai Lianyu)

**Abstract:** Small hydro-power systems seem to be the best solution for energy generation through the use of pump as turbine (PAT) due to its low cost and lesser environmental hazards associated with it. Recently, PAT applications are gaining global attention in energy generation but its operation is not fully understood. The performance and flow behavior is affected by several operational conditions. This study seeks to investigate the effects of rotational speed on flow behavior and performance of a PAT. Rotational speed is an important physical parameter that affects the operation of a PAT. A selected centrifugal pump model of four blades and splitters was used for the study. Numerical simulations were carried out using  $k-\epsilon$  turbulence model to solve the three-dimensional (3D) equations in both pump and PAT modes. The outcomes were then validated using experimental results in the pump mode to obtain overview flow physiognomies. The results predicted that the efficiency increases as the rotational speed increases in the pump mode whereas in turbine mode, higher rotational speed cannot convert hydraulic energy into mechanical energy. Thus, a rotational speed of 1500 rpm performed the highest efficiency of 69.8% at  $Q_{BEP}$  among the other rotational speeds selected. Moreover, the head performance in the turbine mode increases as the flow rate increases. This research provides useful information which will help improve PAT performance as well as selecting PAT for small hydro-power site.

**Keywords:** Pump-as-turbine; performance; flow characteristics; rotational speed; small hydro-power

## 1. Introduction

Using pump as a hydraulic turbine for small hydro-power (SHP) generation is the best alternative. Small hydro-power can perform a vital role in providing electricity to rural settlement. According to Zhang J. et.al [1], global small hydro-power installed size witnessed a growth of about 4%, an approximation of 78 GW in 2016. Access to Energy is an essential parameter for the development of every country. With the growing demand for energy sources, the use of renewable for energy has in recent times gain global attention. Renewable energy resources such as wind, solar, biomass and small hydro-power have the potential to overcome these high demand problems. Small hydro-power can be an important source for energy supply and may also deliver additional support to the national grid by using Pump As Turbine (PAT). A study was conducted to analyze the need for small hydro-power plants [2–4]. PAT performance takes diverse best efficiency point caused by the dissimilarity of fluid-dynamic operating conditions. Mosè Rossi et al. conducted a test to investigate PAT performance [5]. Derakhshan, A. et.al, conducted a study on the efficiency PAT using analytical methods. It was observed that results from numerical simulation were in good agreement with experimental results for pump performance at various working condition including the best

efficiency point [6]. An experimental study was conducted by [7] to determine the energy efficiency gain when PAT is used in place of conventional hydro turbines for hydro-power generation. The efficiency of pump was tested both at pump mode and PAT, and the results were finally compared. Results revealed that PAT operates better in maximum head and flow condition than pump. An experimental study on the influence of impeller diameter then rotating speed on PAT operation was conducted by Sanjay V. Jain et al. [8]. By adjusting geometry such as impeller diameter the impact was detected [8]. Suarda et al. carried out an experimental analysis using two small pumps to show pumps as turbine performances. [9]. Several studies have been conducted by various researchers to improve this performance [10–13]. Derakhshan, S. conducted a study with gradient-based optimization method comprising of partial sensitivities to reshape the impeller blade for turbo-machinery with the intention of attaining maximum efficiency. [14]. PAT performance was investigated using experimental approach by way of crushing inlet trimmings of impeller tips [15]. Singh, P. et al., adopted various ways to improve PAT operation through adjustment of pump geometry such as inlet casing rings, removal of casing eye rib, rounding of impeller inlet edges expanding the suction eye. [16]. The conclusion was that the impeller rounding method is vital for PAT performance optimization and suggested its use for all PAT projects. An Experimental study was performed by Pandey to predict the improvement of PAT performance characteristics [17]. Several studies have been carried out by many researchers on electrochemical water splitting for hydrogen generation since it also has the potential to support primary energy supply years to come. It was suggested that hydrogen generation and vector of energy using electrolysis and photovoltaic solar energy could be improved through an appropriate electrolytes selection [18–20]. Rathinam, N.K. et al. conducted a study on extremophilic electrocatalytic processes and concluded that MEC process does not require a large amount of external voltage when equated to water electrolysis. However, it has numerous difficulties challenges in the direction of the hydrogen production rate, high internal resistance [21,22]. The major challenge to PAT operation at the part-load condition is its lower efficiency compared to traditional turbines. It is therefore necessary to improve its performance to overcome this challenge. Although several researchers have contributed in PAT performance improvement, none has considered pump with splitter blade. Therefore, a typical pump having splitter blade was used for this study. A study was conducted by a review of various studies to analyse the cost and efficiency of PAT performance to better its operation using the cost adjustment of impeller and volute casing. Stefanizzi et al., carried out a study to investigate the development of precise and robust models for prediction of Pump as Turbine performance. The suggested model was used on several set of pumps based on The results, a new model is suggested, considering a greater sample constituted by gathering different pumps. Similar studies have been conducted by other researchers [25–27].

## 2. PAT model

A centrifugal pump of specific speed, 47 with four impeller blades and four splitter blades was selected to operate as a PAT in this study. The head, flow rate, rotational speed and efficiency operational parameters of the centrifugal pump used were 32 m, 12.5 m<sup>3</sup>/h, 2900 rpm and 56% respectively. This pump is mainly composed of long straight pipes, with outlet impeller width of 0.006 m, and a blade outlet angle of 30°, the impeller inlet and outlet diameters of 0.104 m and 0.16 m, respectively. The PAT model was created by means of the PTC Creo 5.0 software. Summary of design parameters of the selected PAT model under study are displayed in Table 1

Table 1. Geometrical and operational parameters of a PAT model.

(a) Geometric parameters.			
Parameter	Symbol	Value	Unit
Suction diameter	$D_1$	0.05	m
outer Impeller diameter	$D_2$	0.16	m
outer Impeller width	$b_2$	0.06	m
long blades	-	4	-
splitter blades	-	4	-
splitter blades Inlet diameter	$D_i$	0.104	m
(b) Operational parameters at design condition.			
Parameter	Symbol	Value	Unit
Flow rate	$Q_D$	12.5	$m^3/h$
Head	$H_D$	32	m
Efficiency	$\eta$	56	-
Specific Speed	$n_s$	47	-
Rotational speed	$n$	2900	rpm

3. Numerical simulations

3.1. Description of entire PAT fields

Figure 1 displays the summary of the created computational meshes. The entire selected pump field of PAT for modelling remained divided into six parts namely suction pipe, wear ring, front chamber, back chamber, impeller and volute. The hybrid meshes were generated with a mesh generation tool called ANSYS-ICEM 17.5. The impeller mesh was generated via tetrahedron unstructured mesh with hexahedron structured mesh method for the other parts. As mesh number has a prodigious influence on simulation results, mesh independence study was conducted by Zhang et al. [17].

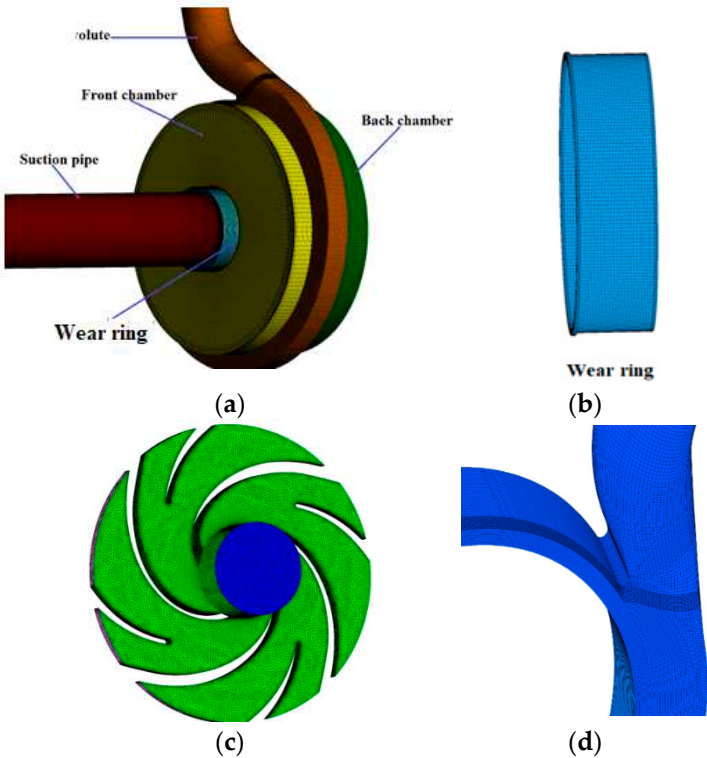


Figure 1. Computational mesh domain (a) entire PAT model (b) wear ring (c) impeller (d) volute tongue.

### 3.2. Numerical simulation structure

The simulation set up as generated by Adu et.al, [28,29] was used for this study. The outcomes from the steady simulation were used to initiate the unsteady simulation since it gave a general idea of the pump flow behaviour. All boundary conditions well set. The  $k$ - $\omega$  ( $k$ - $\omega$ ) turbulence model was selected to for the study calculate the turbulence kinetic energy.

The impeller was set to rotate at time step of 0.0002222 s which represents  $2^\circ$ . The impeller was set to revolve for 12 times with a total time of 0.48 s. Thus, 2150 transient results were obtained for the entire numerical simulations. By changing flow conditions, both pump and PAT modes characteristic curves were obtained. Average turbulence intensity was set to 5% at the inlet.

## 4. Experimental setup

Since the experimental study is very important in verifying the accuracy of the numerical results, an experimental study was conducted at the National research center of pumps in Jiangsu University, Zhenjiang, China PR; as describe by Adu et.al, [23] using the test rig as shown in Figure 2. The experiment continue for a number of times to achieve a reliable result.

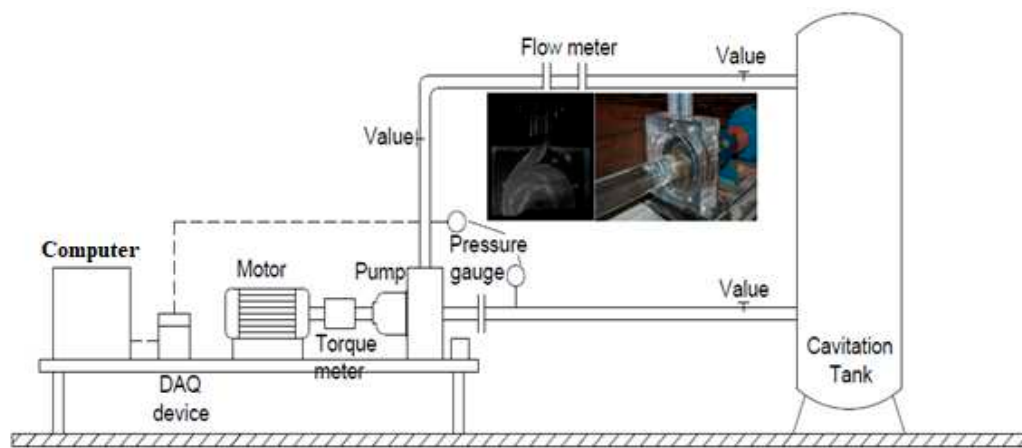


Figure 2. 2D sketch of the test rig.

## 5. Results and discussions

### 5.1. pump characteristics curves

The characteristics of selected centrifugal Pump curves were attained for a rotating speed of  $n=2900$  rpm whereas the valve located at the distribution pipe was used to regulate fluid movement at different operating conditions. The suction and discharge pressures were obtained for head and efficiency curves calculation as can be seen in Figure 3. In the pump mode, head curve decreased gently as the flow increases as it reached the BEP thus  $Q_{BEP} = 22.5 \text{ m}^3/\text{h}$  as shown on efficiency curve and the deviation which occurred in the results obtained from CFD and experiment remained about 3%. Considering the design point results, the deviations obtained from CFD and experimental for efficiency and head were 5.2% and 10.07% indicating that a slight deviation exists amongst CFD results and experiment results at all operating conditions. This is partly attributed to the neglect of machine-driven and volumetric losses in the numerical simulations. The maximum deviation occurred on at the  $Q_{BEP}$  on the head curve and this makes the numerical results reliable to depend on for further analyses.

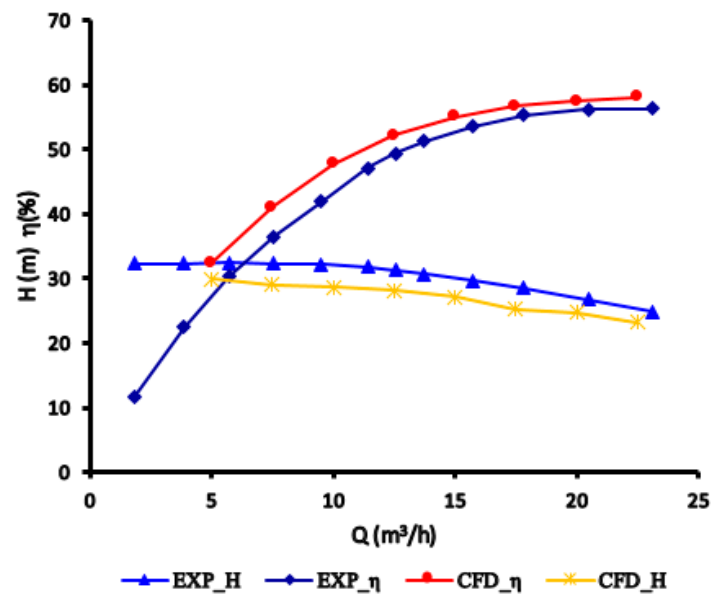
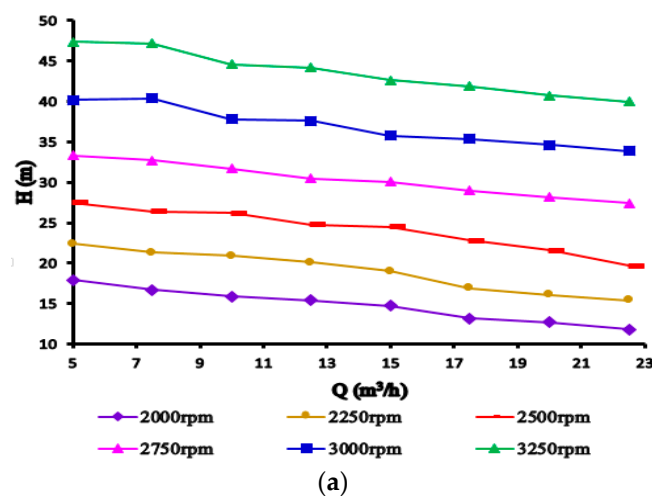


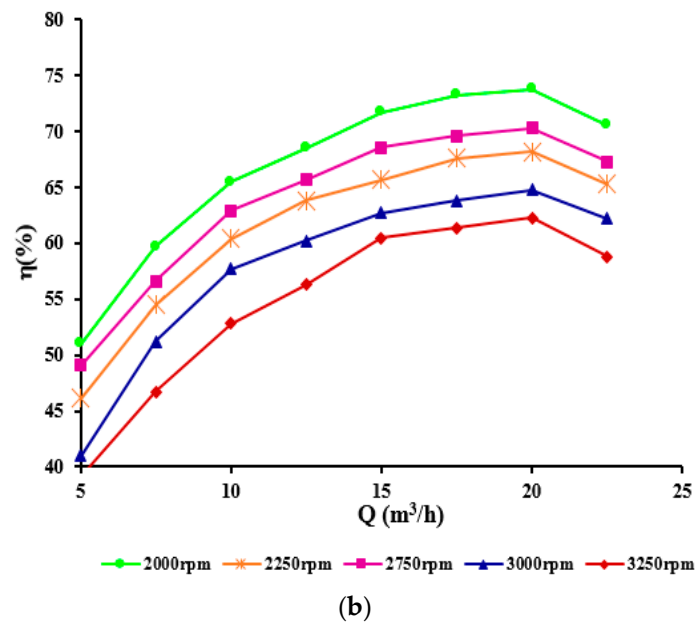
Figure 3. Hydraulic pump performance.

### 5.2. Effect of rotational speed on PAT performance

In order to evaluate the effects and predict the optimal rotational speed in a PAT, different rotational speeds between  $n = 2000$  rpm and  $n = 3250$  rpm have been studied in the pump mode to acquire overview characteristics of its operation. Figure 4a shows the head performance in the pump mode at different rotational speeds. The head performance at each operating condition increases as the rotational speed increases. At rotational speed,  $n = 2000$  rpm, the maximum head recorded at  $Q = 5 \text{ m}^3/\text{h}$  was 22.4 m whereas at  $n = 3250$  rpm the head increase by 52.7% at the same operating condition. This is because the velocity of the fluid increases as the rotational speed increases. Subsequently, this leads to higher fluid energy at higher rotational speeds. Thus, as the rotational speed increases the head increases in the pump mode. A counter-trend is observed with the efficiency performance curves at the same rotational speed in Figure 4b. As the rotational speed increases the efficiency decreases at all operating conditions. At design point,  $Q = 12.5 \text{ m}^3/\text{h}$ , rotational speed  $n = 2000$  rpm produced an efficiency 14% more than  $n = 3250$  rpm. The efficiency deviation between  $n = 2000$  rpm and  $n = 3250$  rpm increased by 0.9% more as the flow approaches the  $Q_{\text{BEP}}$ .







**Figure 4.** Performance prediction under different rotational speed in pump mode (a) head (b) efficiency.

Figure 5a shows the head performance curve in PAT mode.

Unlike pump mode the head increases as the rotational speed increases in turbine mode. Moreover, as the rotational speed increases the head increases. The efficiency performance in Figure 5b in turbine mode was optimal at lower rotational speeds because the conversion of hydraulic energy to mechanical energy is inefficient at higher speeds. The efficiency curves increase as the flow rate increases and decreased after the maximum efficiency,  $\eta_{BEP}$ . Rotational speed,  $n=1500$  rpm recorded the highest efficiency of 69.8% at  $Q_{BEP}$  among the other rotational speeds. However, at  $n=1750$  rpm, an efficiency decrease of about 2.3% is observed compared to  $n=1500$  rpm. Moreover, higher rotational speeds such  $n=2250$  rpm, the efficiency recorded at  $Q_{BEP}$  is about 58.9% which represents about 15.6% decrease in efficiency. For this reason, as rotational speed increases, the PAT mode performance seems poor thus  $n=1500$  rpm and  $n=1750$  rpm are likely to be optimal rotational speed for PAT operation. There are some dimensionless parameters which are essential in determining PAT performance characteristics. They comprise head, flow and power coefficient. The similarities which exist among these parameters is that each variable coefficient are made dimensionless by standardizing with diameter ( $D$ ) and rotation speed ( $n$ ). all these parameters require variables such as gravity and density to standardize except flow coefficient.

Head coefficient is express as

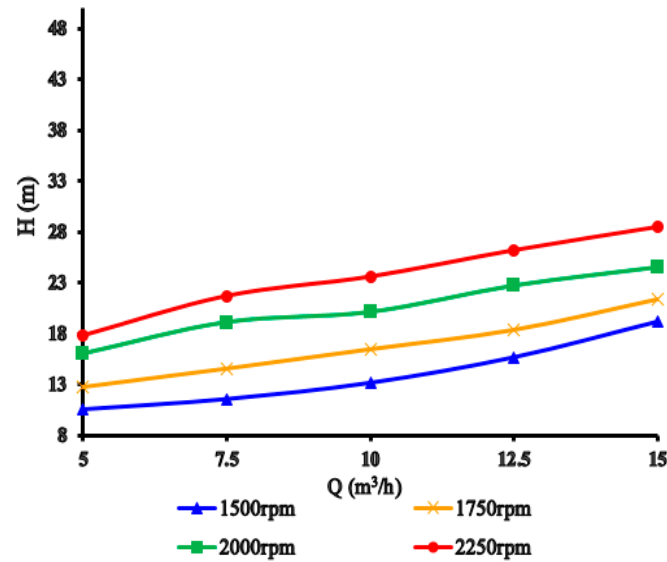
$$\psi = \frac{gH}{\omega^2 D^2} \quad (1)$$

Flow coefficient is express as:

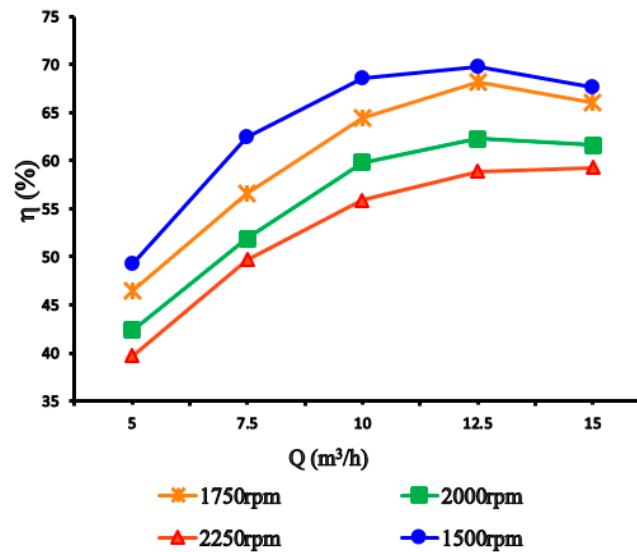
$$\phi = \frac{Q}{\omega D^3} \quad (2)$$

Power coefficient is express as

$$\pi = \frac{P}{\rho \omega^3 D^5} \quad (3)$$



(a)



(b)

**Figure 5.** Performance prediction under different rotational speed in PAT mode (a) head (b) efficiency.

### 5.3. Pressure Distribution in PAT

In order to analyze the inner flow characteristics in the PAT mode, the pulsating head curve is plotted against time step to obtain the maximum and minimum head data in Figure 6. The pulsating curve shows four major and minor peaks and valleys representing the blade and splitter numbers. The maximum and minimum points are clearly depicted. Figure 7 shows the static pressure distribution at a minimum and maximum heads. The average pressure distribution at a maximum point is higher than the minimum point thus the inner flow behavior in the PAT are analyzed using the maximum head. Numerical results conforming to a maximum head time step is used for all numerical analysis.



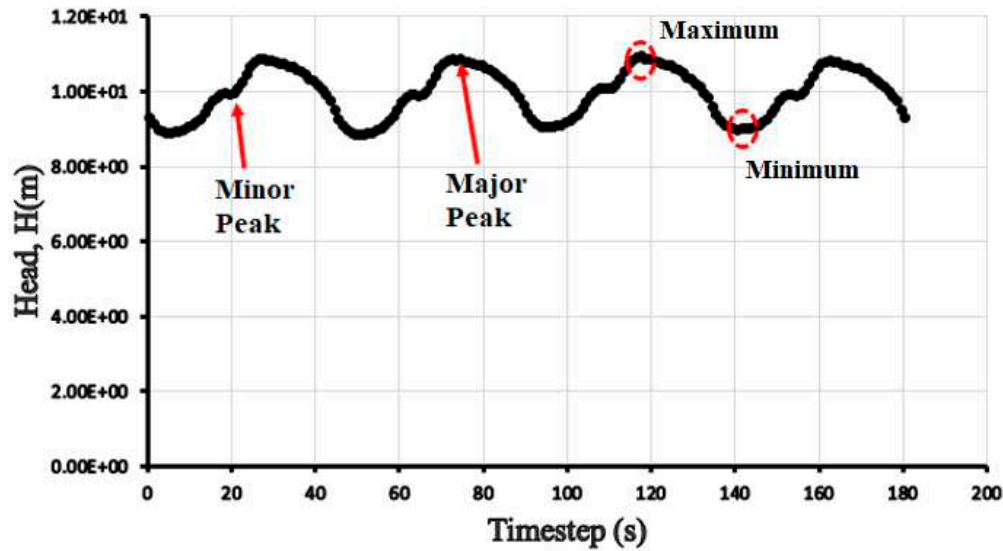


Figure 6. Head pulsation in PAT mode.

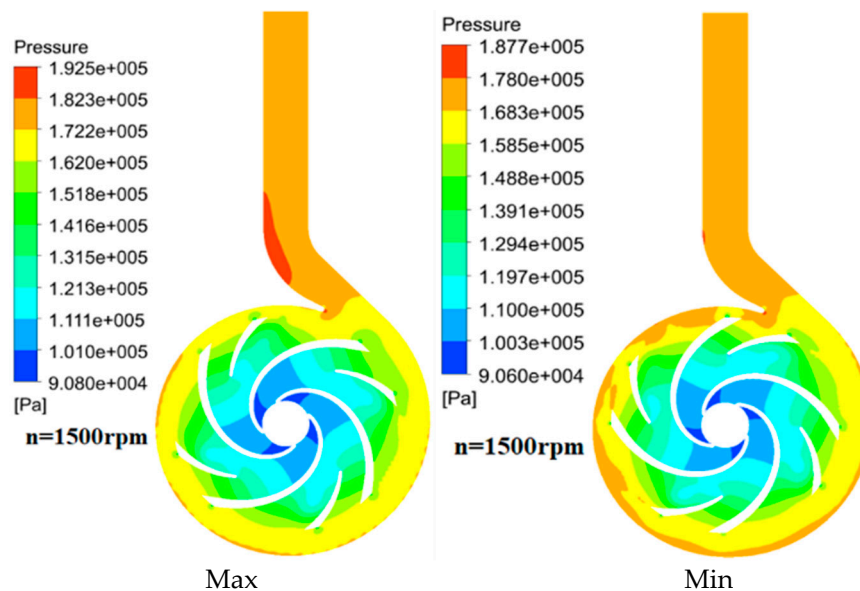


Figure 7. Pressure distribution (a) Maximum (b) Minimum.

Figure 8a shows the pressure distribution at different operating conditions at  $n = 1500$  rpm. The pressure distribution at the inlet is over and above the outlet in various working circumstances because the fluid energy at high elevation is extracted by PAT pump. As the flow rate increases, inlet pressure decreases due to various losses such as rotational losses. Generally, the impeller eye and blade leading edge recorded low pressure compared to the trailing edge. The pressure distribution in the volute increases gradually as the flow increases. Moreover, very low pressure are observed in the impeller passage at  $Q = 12.5 \text{ m}^3/\text{h}$  and  $Q = 15 \text{ m}^3/\text{h}$ . As the rotational speed increases to  $n = 1750$  rpm, the pressure at the inlet decreases especially at  $Q = 15 \text{ m}^3/\text{h}$  in Figure 8b. It is very obvious that higher rotational speed cannot convert fluid energy into mechanical energy. At  $n = 1750$  rpm, the pressure distribution at the leading edge remained higher than the trailing edge.

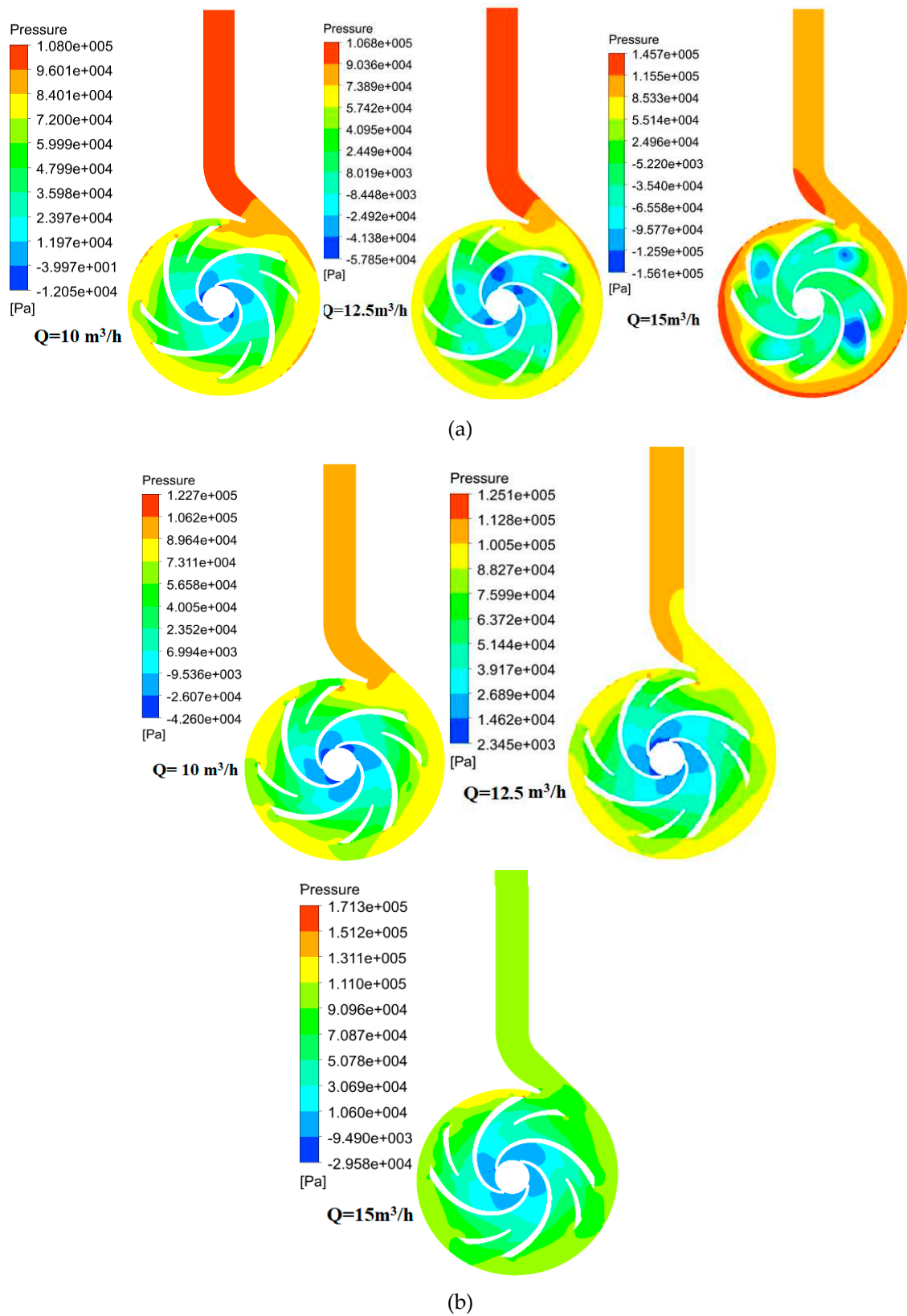


Figure 8. Pressure distribution in PAT mode (a)  $n = 1500$  rpm (b)  $n = 1750$  rpm at different flow rate.

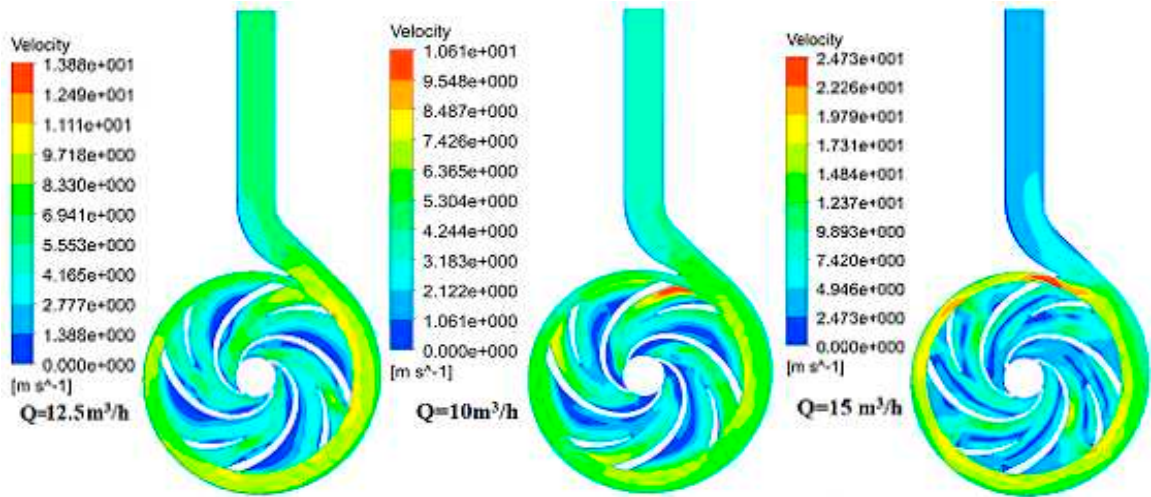
#### 5.4. Velocity Distribution in PAT

Figure 9 shows the velocity vector at the flow rate,  $Q = 12.5 \text{ m}^3/\text{h}$  for rotational speeds  $n = 1500 \text{ rpm}$  and  $n = 1750 \text{ rpm}$ . The vectors depict the flow path starting from the PAT inlet to the outlet. The vectors at these rotational speeds depict similar patterns. At both rotational speeds, vortices are observed in the impeller passage.

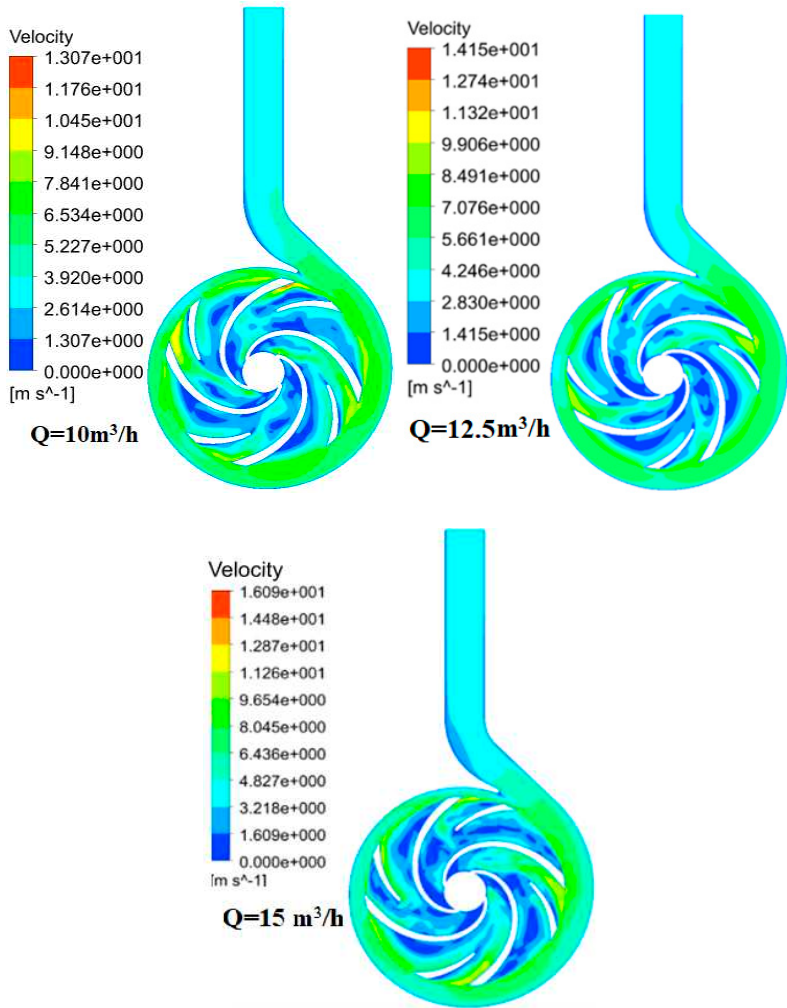


**Figure 9.** Velocity vector at  $Q = 12.5 \text{ m}^3/\text{h}$  (a)  $n = 1500 \text{ rpm}$  (b)  $n = 1750 \text{ rpm}$ .

Figure 10 shows the velocity distribution on different rotational speeds at different operating conditions. In Figure 10a, the velocity gradient decreases as the flow rate increases at rotational speed  $n = 1500 \text{ rpm}$ . As the fluid gets to the tongue region, its velocity increases; however, the velocity intensifies as the flow rate increases. Within the impeller passage, weak velocities ranging from 0 to about  $2.7 \text{ m/s}$  were seen and also the trailing edges of the blades were characterized by high velocity. This effect can be attributed to the direction of the flow without any guide vane into the outlet. As the rotational speed increases to  $n = 1750 \text{ rpm}$ , the average velocity distribution within the flow passage decreases at all operating conditions as shown in Figure 10b. Generally, the velocity intensity of the flow in the volute is greater compared with the impeller in all working conditions as well as rotational speed  $n = 1500 \text{ rpm}$  and  $n = 1750 \text{ rpm}$  because the fluid energy was extracted by the movement of the impeller in the counter-clockwise direction.



(a)



(b)

Figure 10. Velocity distribution in PAT mode (a)  $n=1500$  rpm (b)  $n=1750$  rpm.

## 6. Conclusion

This study provides numerical investigations on the performance of a centrifugal pump carefully chosen to operate as turbine for small hydro-power generation under different rotational speeds. The results showed that The head performance at each operating condition increases as the rotational speed increases. At rotational speed,  $n=2000$  rpm, the maximum head recorded at  $Q=5$  m<sup>3</sup>/h was 22.4 m whereas at The pressure distribution at the inlet is over and above the outlet in various working circumstances because the fluid energy at high elevation is extracted by PAT pump. As the flow rate increases, inlet pressure decreases due to various losses such as rotational losses. The pressure distribution at the inlet is over and above the outlet in various working circumstances because the fluid energy at high elevation is extracted by PAT pump. As the flow rate increases, inlet pressure decreases due to various losses such as rotational losses.  $n=3250$  rpm the head increase by 52.7% at the same operating condition. This is because the velocity of the fluid increases as the rotational speed increases. Subsequently, this leads to higher fluid energy at higher rotational speeds. Also the pressure distribution at the inlet is over and above the outlet in various working circumstances because the fluid energy at high elevation is extracted by PAT pump. As the flow rate increases, inlet pressure decreases due to various losses such as rotational losses. PTA's best efficiency point (BEP) shifted near higher discharge with high-efficiency range when rotational speed was increased. The efficiency in the turbine mode increases as the flow rate increases and decreases after the maximum efficiency. Rotational speed,  $n=1500$  rpm attained the highest efficiency of 69.8% at  $Q_{BEP}$  among the other rotational speeds. However, at  $n=1750$  rpm, an efficiency decrease of about 2.3% is observed compared to  $n=1500$  rpm. Moreover, the pressure and velocity distributions at the inlet are higher at all operating conditions than the outlet because the fluid energy at high elevation is extracted by the pump in the reverse mode. This work is very useful and will help in selecting appropriate pump to be used as turbine for small hydro power development to promote green development.

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## Nomenclature

$D_1$	Suction diameter[m]
$D_2$	Impeller out diameter[m]
$b_2$	Impeller out width[m]
$Z$	Number of vanes
$P$	Motor Power[kW]
$P_{in}$	Pressure of pump inlet [Pa]
$\eta$	Pump hydraulic efficiency[%]
$n$	Motor speed[rpm]
$n_s$	Specific Speed
$M$	Impeller moment [Nm]
rpm	revolutions per minute
$\eta_{BEP}$	efficiency at best efficient point
BEP	best efficient point
$Q_d$	Design pump flow rate[m <sup>3</sup> /h]
$Q$	Pump flow rate[m <sup>3</sup> /h]
$H_d$	Design Pump Head[m]
$H$	pump head[m]
$D_i$	Inlet diameter of splitter blades [m]
SST $k-\omega$	Shear Stress Transport
$g$	gravity acceleration [m/s <sup>2</sup> ]



$\rho$	fluid density [kg/m <sup>3</sup> ]
RANS	Reynolds- Averaged Navier–Stokes
CFD	Computational fluid dynamics
PAT	Pump as turbine
SHP	small hydropower
$H$	pump head[m]
$D_i$	Inlet diameter of splitter blades [m]
SST $k-\omega$	Shear Stress Transport

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