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Dormancy Types and Germination Characteristics of Seeds of *Berberis koreana* Palibin, an Endemic Species of Korea

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Abstract: *Berberis koreana* Palibin is an endemic plant native to Korea. In this study, we aimed to study seed germination in this species using a water imbibition experiment, gibberellic acid (GA₃) treatment (0, 10, 100, or 1000 mg·L⁻¹), cold stratification (0, 2, 4, 8, or 12 weeks at 4 °C), a move-along experiment, and phenology studies. In the water imbibition experiment, the weight of the seeds increased by more than 120% in 24 h. Analysis of the internal and external morphological characteristics of the seed revealed that the embryo was already fully grown from the fruit and did not grow thereafter. The final germination percentages for cold stratification at 0, 2, 4, 8, and 12 weeks at 4 °C were 12, 32, 59, 59, and 71%, respectively. In the move-along experiment and phenology studies, a longer low-temperature treatment period resulted in a higher germination percentage. However, the GA₃ treatment had little effect on seed germination. Our results indicate that *B. koreana* exhibits intermediate physiological seed dormancy.

Keywords: cold stratification; gibberellic acid; seed dormancy; barberry; endemic species; germination

1. Introduction

Plant propagation methods include sowing, stem cutting, and tissue culture. The sowing method has the following advantages: (1) a large number of plants can be secured at once, (2) it reduces labor, and (3) it does not require a relatively large number of auxiliary facilities as that required by a tissue culture facility. However, some species must have the conditions required for seed germination. "Seed dormancy," an innate seed property, defines the environmental conditions that must be met before the seed can germinate [1]. Thus, to propagate plants using the sowing method, a suitable dormancy-breaking technique specific to the seeds must first be determined [2].

Various types of seed dormancy exist depending on the life cycle of the plants, ambient environmental conditions, and their geographical distribution; seed dormancy has been studied and classified according to plant species and genera [3]. Lang [4] classified seed dormancy into three types: eco-dormancy, para-dormancy, and endo-dormancy. Baskin and Baskin [3] classified seed dormancy into five types by comprehensively considering physiological and morphological factors: physiological dormancy (PD), where inhibitory compounds inside and outside the seeds prevent germination; morphological dormancy (MD), where the seeds contain underdeveloped or immature embryos; morphophysiological dormancy (MPD), which is a combination of PD and MD; physical dormancy (PY), which involves suppression of water absorption by the seeds; and combinational dormancy (PY+PD), which is a combination of PY and PD.

Berberis koreana Palibin, commonly known as Korean barberry, is a deciduous shrub of Berberidaceae, endemic to Korea. Berberidaceae members, comprising approximately

450 species, have a worldwide distribution [5]. These plants are native to Central Asia, East Asia, and South America, with some species distributed in North America, Europe, and Africa [6]. The Korean representatives of *Berberis* comprise three species and four varieties, namely, *B. koreana* Palibin, *B. koreana* var. *angustipolia* Nakai, *B. koreana* var. *ellipsoidea* Nakai, *Berberis amurensis* Rupb, *B. amurensis* var. *latifolia* Nakai, *B. amurensis* var. *quelpaertensis* Nakai, and *Berberis poiretii* C. K. Schneid. [7]. The leaves of *B. koreana* are toxic, but its stems and roots are used as medicine to cure stomach ailments. Traditionally, stems and roots of *B. koreana* have been used in oriental medicine for their anti-inflammatory, analgesic, anti-cancer, anti-conjunctivitis, and antibacterial properties [8,9]. A modern component analysis study conducted in the early 2000s showed that the compound berberine extracted from the roots and stems of *B. koreana* exhibited a high antioxidant effect [10]. Moreover, depending upon the extraction method, the root and stem extracts exhibit an anti-cancer activity-enhancing effect [11,12]. Additionally, the fermentation of barberry extract with lactic acid bacteria and other probiotics increases the polyphenol and flavonoid contents [13]. *Berberis koreana* is a useful forest biological resource unique to Korea; it has been used not only as a medicinal material but also as a source of functional foods [14].

According to a study by Jannatizadeh and Khadivi-Khub [15], *Berberis integerrima* fruits and seeds show significant differences in seed size, weight, and length depending on the growing environment and region. Therefore, in this study, we present the external morphological measurements of *B. koreana* seeds. This information can be used as reference data for research on the morphological characteristics of barberry plants in Korea.

Baskin and Baskin [3] reported that the seeds of five *Berberis* species, namely, *Berberis aristata*, *Berberis dictrophylla*, *Berberis dubia*, *Berberis kansuensis*, and *Berberis verna*, displayed PD. Thakur et al. [16] reported that the dormancy of *B. aristata* seeds was broken under light conditions during the growth phase at 20 °C. Wang et al. [17,18] reported that *B. dictrophylla* and *B. kansuensis* seeds were subjected to low-temperature wet treatment for 80 days to break the dormancy. The dormancy of *B. dubia* and *B. verna* seeds was broken when culturing at 20/15 °C growth phase after 168 days of low-temperature wet treatment [3].

The seeds of most plants in the genus *Berberis* have PD; based on the reports [19–22] that their dormancy is broken under cold stratification treatment and temperature conditions of 20 °C, it is expected that *B. koreana* seeds would also have PD. We hypothesized the following: (a) *B. koreana* seeds will absorb water, (b) low-temperature wet treatment will break the dormancy of *B. koreana* seeds, and (c) hormone treatment (GA₃) will break the dormancy of *B. koreana* seeds.

2. Materials and Methods

2.1. Experimental Materials

The seeds of *B. koreana* used in this study were obtained from wild plants growing near Samneung in Paju (37° 44' 35" N, 126° 49' 27" E), South Korea, on October 17, 2019. The harvested fruit was removed using the repair method, and the selected seeds were shade-dried for 7 days in a well-ventilated space. The seeds were then stored under refrigeration (4–5 °C) until further use.

2.2. Investigation of Internal and External Characteristics of Seeds

To investigate the external morphological characteristics of the seeds, images were acquired using a scanning electron microscope (SEM; CX-200, COXEM, Daejeon, Korea). The weight of dried seeds were measured per 1,000 dried seeds in triplicate.

To investigate the internal morphological characteristics, the seeds were cut in half using a double-edged razor (stainless steel blade, Dorco, Seoul, Korea) and photographed

using a digital microscope (DVM6, Leica, Land Hessen, Germany). Changes in the embryos and endosperms were observed before and after seed germination.

2.3. Seed Disinfection and Setting

Before the start of the experiment, the seeds were surface-sterilized by soaking in 1,000 mg·L⁻¹ Benomyl (FarmHannong, Seoul, Korea) for 24 h; the seeds were then washed three times with distilled water. The surface-sterilized seeds were placed over two sheets of filter paper (Whatman No. 1; GE Healthcare, Buckinghamshire, UK) in a Petri dish containing 5 mL of distilled water.

After GA₃ and cold stratification treatments (described below), the germination percentage was investigated in a growth chamber (TGC-130H, Espec Mic Corp., Aichi, Japan), where the seeds were cultured at a constant temperature of 20 °C. The experiment was performed with four replicates and 25 seeds per treatment group. If microorganisms were present during the culture period, they were disinfected by soaking for 24 h in 1,000 mg·L⁻¹ Benomyl (FarmHannong), followed by distilled water washes; distilled water was replenished in the Petri dishes to prevent drying of the filter paper.

The seeds were considered germinated when the radicle emergence through the seed coat was > 1 mm; germination percentage was recorded at 1-week intervals. Seeds that died due to decay during the experiment were removed immediately and excluded while calculating the germination percentage.

2.4. Water Imbibition Test

To determine whether the *B. koreana* seeds were physically dormant, the moisture absorption percentage of the seeds was measured. A Petri dish containing two sheets of filter paper (Whatman No. 1) soaked in distilled water was plated with 100 seeds; three replicates were maintained. The initial weight before water absorption and the weights at 3, 6, 9, 12, 24, 36, and 48 h after settling were measured. The water absorption percentage was calculated using the following formula [23]:

$$\%Ws = [(Wh - Wi)/Wi] \times 100,$$

where Ws is the relative increase in weight of the seeds due to moisture absorption, Wh is the weight of seeds per hour after water supply, and Wi is the initial weight of seeds in the dried state.

2.5. Effect of Temperature on Germination: A Move-along Experiment

According to Baskin and Baskin [24], the "move-along experiment" provides the dormancy-breaking temperature required for germination in most species. For temperature treatments, the four seasons of natural environmental conditions were set as spring (15 °C), summer (25 °C), autumn (20 °C), and winter (5 °C). The treatment groups were subjected to temperature changes from winter to spring and summer (T1: 5→15→20→25 °C) and temperature changes from summer to autumn and winter (T2: 25→20→15→5 °C). In the T1 and T2 treatment groups, dwell times at each temperature were set to 12, 4, 4, and 12 weeks (Table 1). For all treatment groups, 25 seeds were used in four replicates, and measurements were made at 1-week intervals. In addition, to observe the changes in the embryo and endosperm based on temperature changes, the surface of the seeds was cut at 1-month intervals and photographed using a digital microscope.

Table 1. Outline of the modified temperature treatments [24].

No. of weeks at treatment temperatures		4	4	4	4	4	4
Move along	T1	5 °C winter	5 °C winter	5 °C winter	15 °C early spring	20 °C late spring	25 °C summer
	T2	25 °C summer	25 °C summer	25 °C summer	20 °C early autumn	15 °C early autumn	5 °C winter

2.6. *Effect of Cold Stratification Experiment on Germination*

For cold stratification treatment, surface-sterilized seeds placed in a Petri dish were subjected to 4 °C in a growth chamber for 0, 2, 4, 8, and 12 weeks. At the end of each low-temperature treatment duration, the Petri dishes were shifted to a 20 °C growth chamber; the germination percentage was recorded while culturing the treatment groups at 20 °C, and the experiment interval was set to 1 week. For all treatment groups, 25 seeds were used in four replicates, and measurements were made at 1-week intervals.

2.7. *Experiment to Determine the Effect of GA₃ on Germination*

Seeds were soaked in solutions with 0 (distilled water, control), 10, 100, 500, or 1000 mg·L⁻¹ GA₃ for 24 h at room temperature and then incubated in a growth chamber at 20 °C. Germination rates were determined at 1-week intervals. For all treatment groups, 25 seeds were used in four replicates, and measurements were made at 1-week intervals.

2.8. *Effect of Light Conditions on Seed Germination*

For the cold stratification, GA₃ treatment, and move-along experiment, similar light (12 h light/dark photoperiod) and dark conditions (24 h dark) were utilized. For all treatment groups, 25 seeds were used in four replicates, and measurements were made at 1-week intervals.

The light conditions inside the growth chamber were maintained using fluorescent lamps with 40 ± 10 μmol·m⁻²·s⁻¹ PPFD. Dark conditions were maintained by wrapping the Petri dishes with aluminum foil.

2.9. *Phenology of Embryo Growth, Germination, and Seedling Emergence under Natural Environmental Conditions*

To observe the seasonal changes of seeds under natural environmental conditions, the ground (almost loamy sand) was dug to a depth of 5 cm and a tray was planted in the nursery field of the Baekdudaegan National Arboretum. The tray was filled with potting soil, with a mixing ratio of 64.3% cocopeat, 15% peatmoss, 2.5% nitrogen, 10% perlite, 8% zeolite, 0.19% fertilizer, and 0.01% wetting agent. The phenology of embryo growth, germination, and seedling emergence was investigated from December 1, 2019, to August 1, 2020.

2.9.1. *Embryo Growth*

Approximately 400 seeds were placed in fine-mesh polyester bags filled with river sand and buried in a tray filled with potted soil. Trays were placed at ground level in the

nursery field. Every 2 or 4 weeks, a bag was exhumed, and 10 seeds were randomly selected for embryo growth measurement. The seeds were cut into thin sections using a razor blade; the lengths of the seeds and embryos were measured using a digital microscope. The ratio of embryo length to seed length (E:S ratio) was calculated to correct for the positive correlation between seed and embryo lengths.

2.9.2. Germination

Four replicates of 25 seeds were sown in 8-cm plastic pots filled with potting soil and placed in trays filled with the same potting soil. Trays were placed at the ground level in the experimental garden. Seeds with emerged radicles were counted and removed every week. Seeds were considered to have “germinated” when the radicle protrusion length was at least 1 mm. Intact seeds that did not germinate were buried in the field.

2.9.3. Seedling Emergence

The timing of seedling emergence was monitored by sowing four replicates of 25 seeds at a depth of 3 cm in plastic pots filled with potting soil and placed in the trays described above. Emerged seedlings were counted and removed every week during the field experiments. The pots were covered with nets to prevent disturbance by wild animals.

2.10. Statistical Analyses

Statistical analyses were performed using SPSS version 21 (SPSS Inc., Chicago, IL, USA). The results of the germination experiment were subjected to analysis of variance and Duncan’s multiple range test ($p \leq 0.05$).

3. Results

3.1. Investigation of Internal and External Characteristics of Seeds

To investigate the morphological characteristics of *B. koreana* seeds, they were photographed using scanning electron and digital microscopes (Figure 1). The color of the seed coat was reddish-brown (Figure 1c). When part of the seed coat was magnified and photographed using an electron microscope, it revealed a curvature (Figure 1a and b). The examination of dissected seeds using a digital microscope confirmed the development of the embryo in the seed of the ripe fruit (Figure 1d).

The mean length of the embryo was 4.00 ± 0.04 mm (mean \pm standard error), and the mean seed length was 6.48 ± 0.03 mm; the E/S ratio (embryo: seed ratio) was 0.62 ± 0.05 . In addition, the mean weight of 1,000 seeds was 11.514 ± 0.392 g.

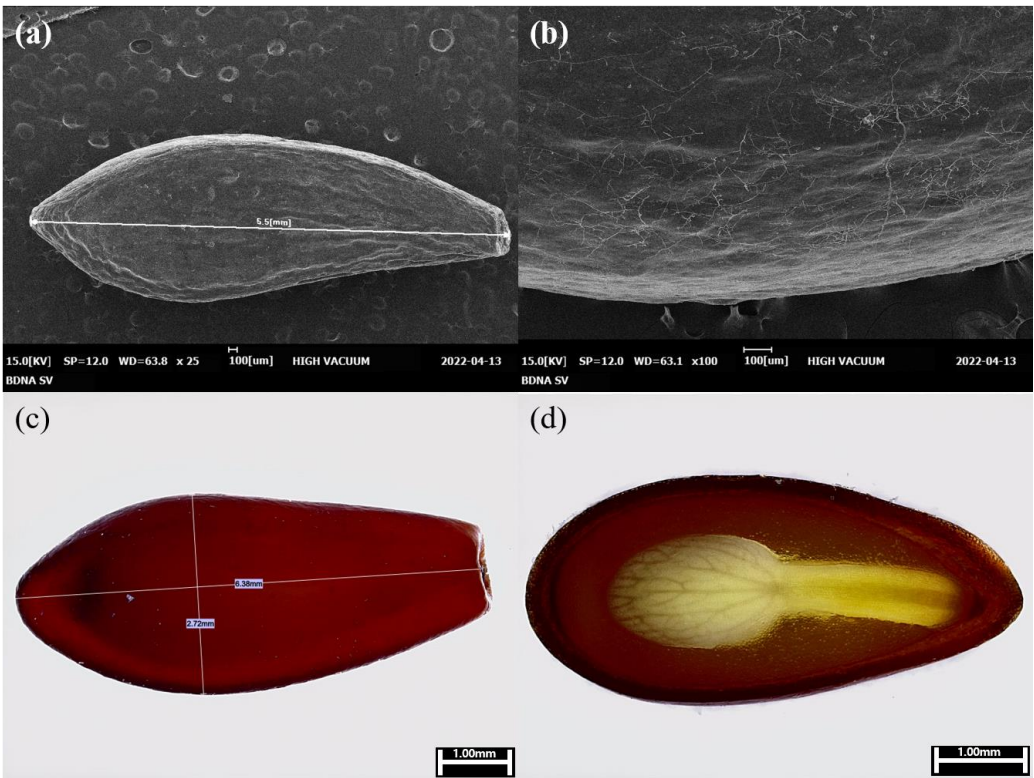


Figure 1. Seed morphology of *Berberis koreana*. (a) Scanning electron micrograph of the seed. (b) Scanning electron micrograph of an enlarged part of the seed coat. (c) Digital image of the seed. (d) The cutting plane of the seed showing the developed embryo. Scale bars are 100 μm (a and b) and 1 mm (c and d).

3.2. Water Imbibition Test

The water imbibition test, performed to evaluate the permeability of the *B. koreana* seeds, showed that the seed weight increased by $34.10 \pm 0.54\%$ in 24 h and $57.13 \pm 0.58\%$ in 48 h (Figure 2).

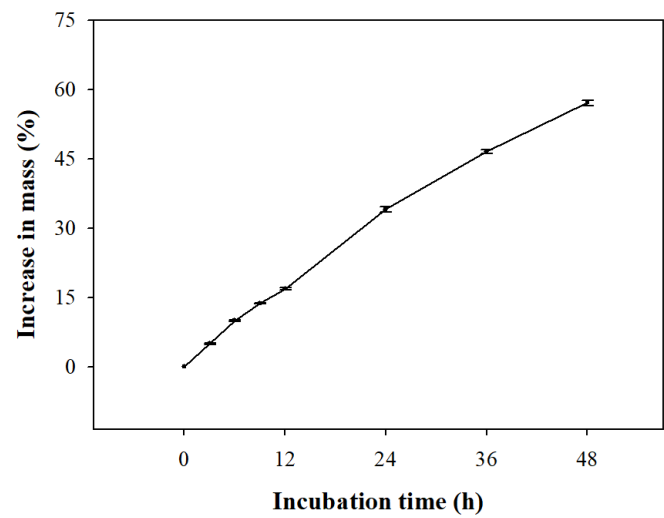


Figure 2. Water uptake by intact seeds of *Berberis koreana* represented by an increase in weight. Seeds were incubated at ambient conditions (22–25 °C) on filter paper moistened with distilled water for 48 h. Vertical error bars represent standard error (n = 3).

3.3. Effect of GA₃ Treatment on Seed Germination

The germination percentages of *B. koreana* seeds subjected to GA₃ treatment for 30 weeks at 20 °C under light/dark conditions were as follows: At GA₃ concentrations of 0, 10, 100, 500, and 1,000 mg·L⁻¹, the final germination percentages under light/dark cycle conditions were 7.00 ± 3.00 , 5.00 ± 1.91 , 4.00 ± 1.63 , 7.00 ± 1.91 , and $13.00 \pm 2.52\%$, respectively, and the final germination percentages under dark conditions were 4.00 ± 1.63 , 1.00 ± 1.00 , 0 , 11.00 ± 3.42 , and $6.00 \pm 3.46\%$, respectively. Both conditions showed a significant difference when the GA₃ concentration was 500 mg/L⁻¹ or higher (Figure 3).

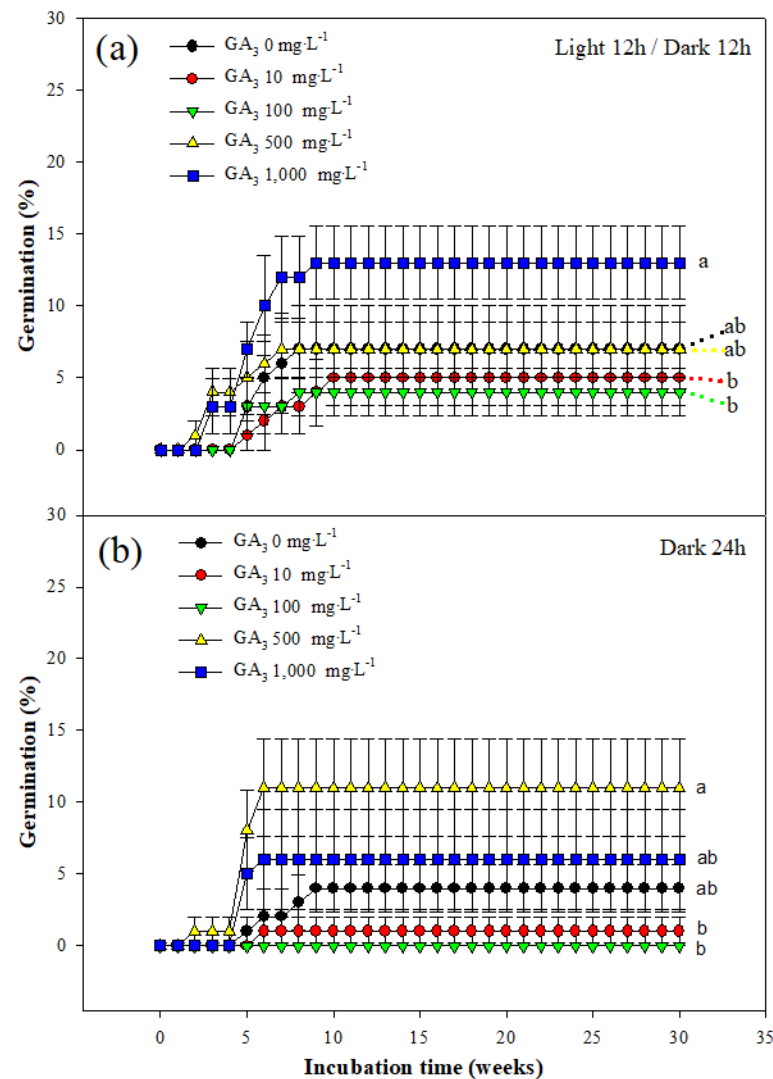


Figure 3. Germination of *Berberis koreana* seeds subjected to GA₃ treatment (0, 10, 100, 500, or 1,000 mg·L⁻¹). Seeds were soaked in a GA₃ solution for 24 h and then incubated for 30 weeks at 20 °C. **(a)** Seeds incubated under a light/dark cycle of 12 h/12 h. **(b)** Seeds incubated under dark conditions. Vertical error bars represent standard error (n = 4). Final germination percentages designated by different small letters are significantly different at $p \leq 0.05$ (Duncan's multiple range test).

3.4. Effect of Cold Stratification Experiment on Seed Germination

Seeds treated with cold stratification for 0, 2, 4, 8, and 12 weeks were cultured in a growth chamber (light/dark conditions) at 20 °C for 30 weeks. The final germination percentage of seeds treated with cold stratification for 12 weeks was the highest at $71.00 \pm 1.91\%$, regardless of the light conditions (Figure 4a).

Under light conditions, the final germination percentage of seeds was the highest at $71 \pm 1.91\%$ when treated with cold stratification for 12 weeks. After the 8th week germination percentage, the germination rate at 4, 8, and 12 weeks of cold stratification was statistically identical. Under dark conditions, the final germination percentage of seeds was the highest at $70.00 \pm 3.83\%$ when treated with cold stratification for 12 weeks.

In addition, cold stratification for 12 weeks under light conditions and cold stratification for 8 and 12 weeks under dark conditions were observed to initiate germination during the cold stratification treatment period.

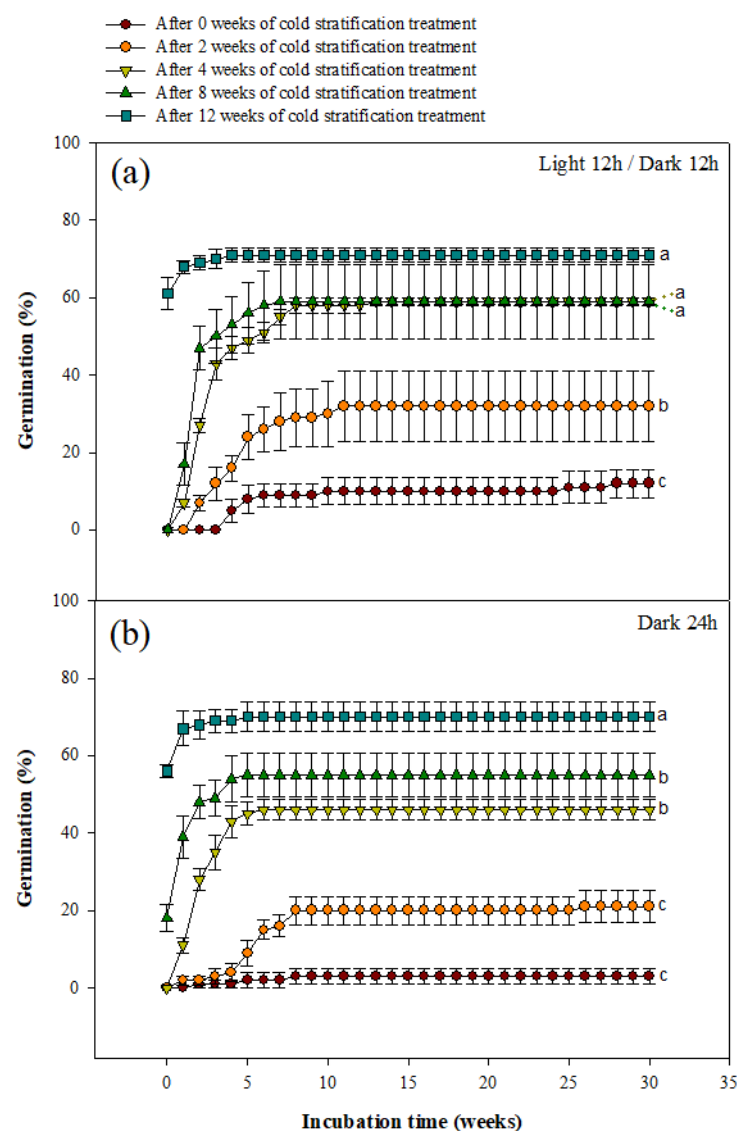


Figure 4. Germination of *Berberis koreana* seeds subjected to cold stratification (0, 2, 4, 8, or 12 weeks) treatment. (a) Seeds incubated under a light/dark (12 h/12 h) cycle. (b) Seeds incubated under dark conditions. Vertical error bars represent standard error (n = 4). Final germination percentages designated by different small letters are significantly different at $p \leq 0.05$ (Duncan's multiple range test).

3.5. Seed Germination Based on Temperature Conditions: A Move-along Experiment

A move-along experiment indicated a final germination percentage of $76.00 \pm 4.32\%$ at T1 ($5 \rightarrow 15 \rightarrow 20 \rightarrow 25^\circ\text{C}$) and $12.00 \pm 4.62\%$ at T2 ($25 \rightarrow 20 \rightarrow 15 \rightarrow 5^\circ\text{C}$), indicating that temperature change from winter to spring and summer showed better results. *Berberis koreana* seeds germinated under T1 temperature conditions as follows: (1) the seeds started to germinate in 6 weeks under the winter temperature conditions (5°C); the germination percentage was $66.0 \pm 4.16\%$ until 12 weeks; (2) the germination percentage increased to $73.00 \pm 4.43\%$ under early spring temperature conditions (15°C , 13–16 weeks); (3) after changing the temperature conditions in late spring (20°C), the final germination percentage was $76.00 \pm 4.32\%$ at 17 weeks; and (4) further germination of the seeds was not observed.

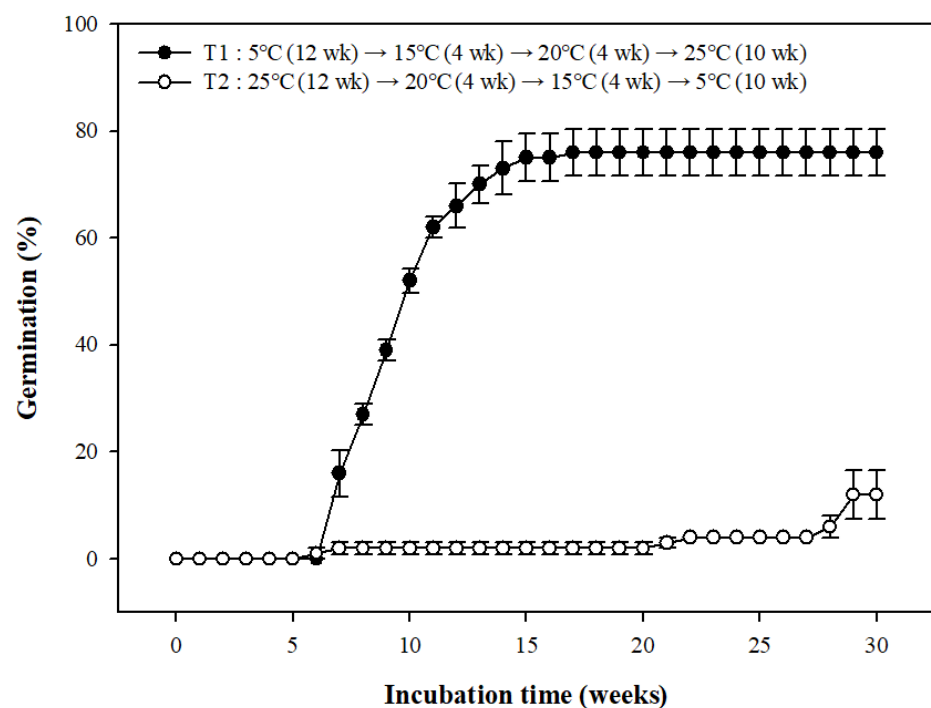


Figure 5. Seed germination in *Berberis koreana* incubated under a temperature sequence beginning at 5°C (T1) or at 25°C (T2). Vertical error bars represent standard error ($n = 4$).

3.6. Phenology of Embryo Growth, Germination, and Seedling Emergence

The cutting plane of seeds observed at 2–4-week intervals showed that there was no increase in the E:S ratio (Figure 6). The E:S ratio was measured for ungerminated seeds. Germination rate measurements based on seasonal changes showed that germination began in the 13th week (March 19, 2020) and continued until the 18th week (April 23, 2020); the final germination percentage was $80.00 \pm 1.65\%$. Seedling emergence rate measurements were observed from the 17th week (April 16, 2020) and were completed at $47.00 \pm 3.42\%$ on the 25th week (June 11, 2020; Figure 7).

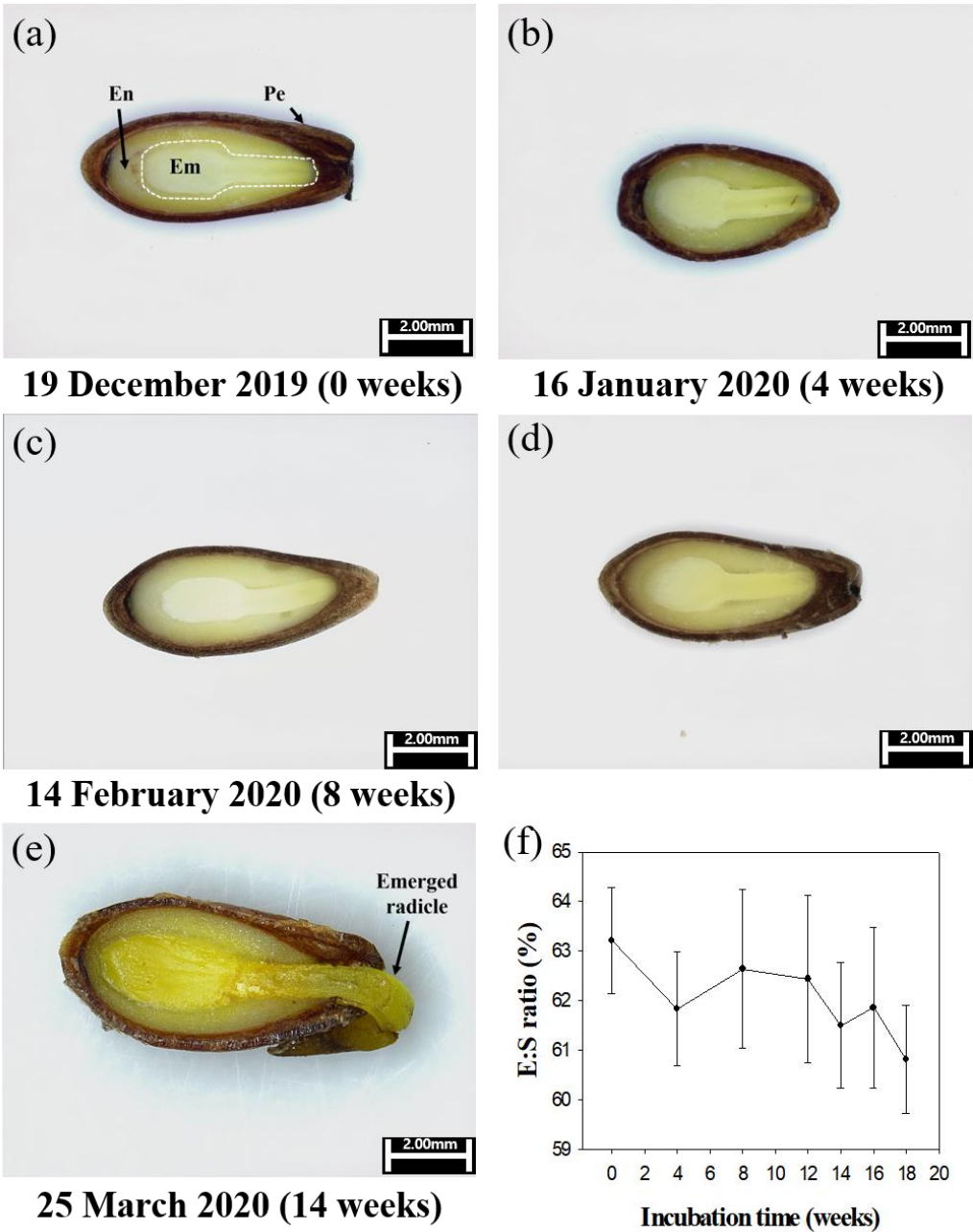


Figure 6. Embryo growth (E:S ratio) and radicle emergence of *Berberis koreana* seeds kept outdoors in BongHwa, Korea, in 2020. Scale bars are 2.0 mm. (a, b, c, d and e). Em, embryo; En, endosperm; Pe, pericarp. Vertical bars represent standard error (n = 10) (f).

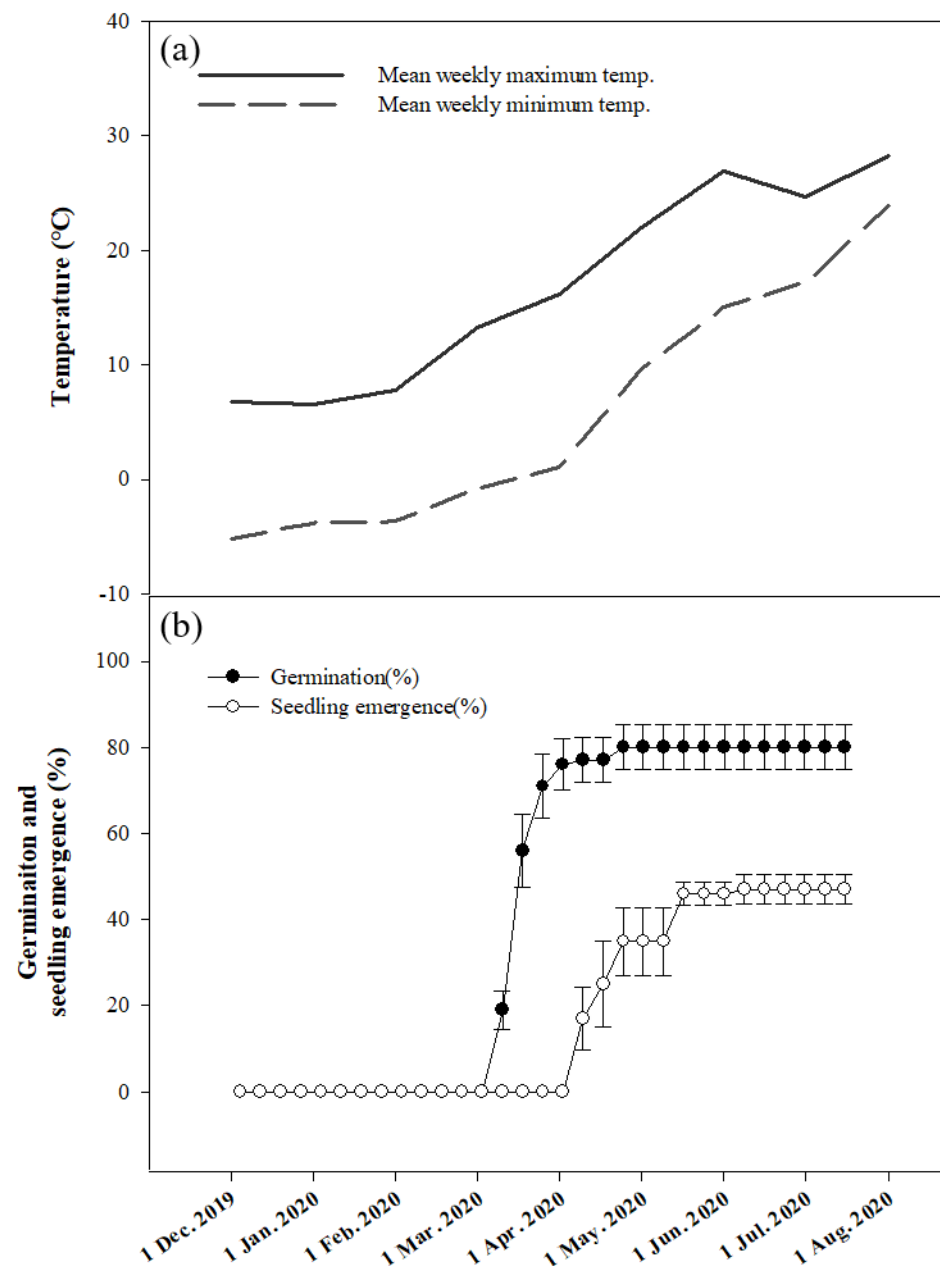


Figure 7. Temperature variations and phenology of *Berberis koreana* seeds buried at a depth of 3 cm in 2019. (a) Mean weekly maximum and minimum temperatures; (b) germination and seedling emergence. Vertical bars represent standard error (n = 10).

4. Discussion

Measurements of the cutting plane of *B. koreana* seeds with time showed that there was no increase in the E/S ratio, and no embryo growth was observed (Figure 6). According to Baskin and Baskin [24], if a seed has an immature embryo, it will grow and germinate within 30 days of incubation under appropriate conditions, referred to as MD. The results of our study indicate that *B. koreana* seeds do not show MD.

B. koreana seeds showed water permeability as the seed weight increased by 134% within 24 h of water imbibition (Figure 2). According to Baskin and Baskin [24], if the water absorption rate of the seed is $\leq 20\%$ of its dry weight within 24 h, it is considered

impermeable; this phenomenon is termed PY. Our results indicate that *B. koreana* seeds do not have PY.

According to Baskin and Baskin [25], the seeds of most *Berberis* plants have PD; seeds with PD can be divided into three types: deep PD, intermediate PD, and non-deep PD. In the case of non-deep PD, it has been reported that dormancy can be broken using GA₃ treatment. GA₃ treatment of *B. koreana* seeds showed that there was no correlation between the GA₃ concentration and germination percentage, regardless of the light/dark cycle or dark conditions (Figure 3). In addition, the maximum germination percentage was 13.0%, which showed that GA₃ had limited influence on seed germination. Therefore, it is concluded that GA₃ did not affect dormancy breakage; *B. koreana* seeds do not have a non-deep PD.

The germination percentage of *B. koreana* seeds increased with an increase in the period of cold stratification treatment (Figure 4), indicating that dormancy was ended by it. According to Zheng et al. [26], among the dormant types, dormancy can be broken with 2–3 months of cold stratification treatment in the case of intermediate PD, and 3–4 months in the case of deep PD. Our results indicate that *B. koreana* seeds are of the "intermediate PD" type. Similar results were obtained in previous studies conducted using seeds of the same genus [20,22].

However, in all experiments, there was no significant difference in the germination percentage between the seeds subjected to light/dark cycles and dark conditions. Therefore, it appears that *B. koreana* seeds germinate regardless of light or dark conditions. In addition, some seeds started germinating during the cold stratification treatment. From these results, it can be hypothesized that some *B. koreana* seeds have a life cycle that begins within the ground in the winter under natural conditions and then germinate as the temperature rises.

Seeds with PD break their dormancy with cold stratification treatment, but dormancy may also be broken using warm stratification treatment [25]. A move-along experiment indicated an increase in the germination percentage under the T1 treatment whereas the seeds under T2 treatment showed a poor germination percentage (Figure 5). These results indicate that the dormancy release of *B. koreana* seeds is affected by cold and not warm stratification treatment.

The phenology experiment showed that most seeds germinated during a period of 6–12 weeks at 5 °C which is characteristic of winter (Figure 7). These results suggest that natural *B. koreana* seeds germinate in the ground during winter and seedling emergence occurs when the temperature rises in spring. These observations were similar to those observed in the cold stratification experiment and consistent with those of previous reports [18, 27– 30].

5. Conclusions

We hypothesized that (a) *B. koreana* seeds will absorb water, (b) low-temperature wet treatment will break the dormancy of *B. koreana* seeds, and (c) hormone treatment (GA₃) will break the dormancy of *B. koreana* seeds. Our results show that our hypotheses (a) and (b) were consistent and GA₃ treatment had little effect on seed dormancy (hypothesis c). The most effective method to break the dormancy was cold stratification treatment at 5 °C for 12 weeks. Collectively, the physiological characteristics indicate that, in the natural environment, the seeds of *B. koreana* begin to germinate at an average temperature of 20 °C after experiencing low-temperature conditions for over 8 weeks in the soil. Thus, the seeds of *B. koreana* Palibin exhibit intermediate PD among the dormant seed types.

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and D.H.L.; project administration, H.L.; funding acquisition, H.L. All authors have read and agreed to the published version of the manuscript.

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