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Article

# Factors Associated with Adherence or Hesitation to Protective Measures That Influenced the Control of COVID-19 in Brazil in the Pre-Vaccine Era

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Abstract: Preventive behavior is one of the main strategies to contain the spread of the coronavirus, understand the factors that influence adherence or hesitation to protective measures and the way the population behaves during a health crisis is of great importance. Aim: To analyze the factors associated with adherence to protection measures against Covid-19 in Brazil. Method: cross-sectional study, survey type online, between the period of August 2020 and February 2021. The population included in the study were Brazilians, aged 18 years or older. Non-probabilistic sampling was used to obtain the sample. The data was stored on the "Redcap" platform and analyzed in a descriptive and inferential approach. Results: The sample consisted of 1,516 people, women adopted 10% more protective measures than men, people with higher education level and higher income, within the age group between 40-59 years, were those who most adhered to the measures imposed by health agencies. Carrier of Asthma, Diabetes Mellitus, Systemic Arterial Hypertension, Obesity and smoking were factors that increased the adherence of protective measures in the fight against COVID-19. Conclusion: Being female, aged between 40 and 59 years, higher education, smoking, not having a religion, having health insurance, and being a carrier of chronic diseases were associated with greater adherence to protective measures against COVID-19.

Keywords: COVID-19; protection measures; observational study; pandemics

## Introduction

Severe Acute Respiratory Syndrome caused by SARS-CoV-2 emerged at the end of 2019 in Wuhan from Hubei, China, and quickly spread around the world. COVID-19 was declared an International Public Health Emergency on January 30, 2020, and on March 11 of the same year it was declared a pandemic by the World Health Organization (WHO)<sup>1</sup>. On February 26, 2020, in the state of São Paulo, Brazil registered the first case of the disease<sup>2</sup>.

As June 3, 2022, COVID-19 has taken the lives of more than 6.2 million people worldwide<sup>3</sup>. In Brazil cases exceed the 30 million, where more than 660 thousand people died from the virus. These numbers could have been reduced if mass protection measures against COVID-19 were adopted<sup>4</sup>.

Among the protection measures indicated by national and international organizations, non-pharmacological ones stand out, such as social distancing, hand hygiene, use of masks, cleaning and disinfection of environments, isolation of suspected and confirmed cases, and quarantine for cases of COVID-19<sup>5</sup>.

Preventive behavior is one of the main strategies to contain the spread of the virus, especially at a time when vaccination is not yet adequately covered. Faced with a pandemic, caused by rapid viral spread among humans, it is important to understand the factors that influence people's behavior in adherence or hesitation to protective measures and the way the population behaves during a health

crisis. This understanding can help in the formulation of communication and intervention strategies aimed at minimizing the impact and dissemination of the disease.

Scientific evidence reinforces the importance of adherence to protective measures in combating COVID-196, however in Brazil there are still few studies with this objective, notably to highlight the factors associated with the risk behavior of the population about contracting and disseminating COVID-19. Given the above, the aim of the study was to analyze the behavior of the Brazilian population, adherence to protective measures that contributed to the lack of control and dissemination of the disease, a period in which the vaccine coverage was still incipient.

# Method

This is a cross-sectional study, of the Survey type, conducted online between August 2020 and February 2021. The study was conducted according to the recommendations of the *Strengthening the Reporting of Observational Studies in Epidemiology''*<sup>7</sup>.

For study population was considered the general Brazilian population, who declared themselves resident in Brazil, aged 18 years or older, with access to the internet and who was willing to participate in the study. People who did not fully respond to the research instrument were excluded.

For sample design, the study was based on convenient sample<sup>8</sup>, using the snowball technique, which is characterized by not adopting margin of error calculations, since it is a non-probabilistic method. For data collection, the survey was "COVID-19 Social Thermometer: Social Opinion", which was developed and validated by researchers from the National School of Public Health of the New University of Lisbon (ENSP/UNL) from Portugal<sup>9,10,11</sup>. The adaptation of the survey to Brazil proved to be sufficiently valid and reliable for its wide application in the Brazilian population.

Survey is composed of 151 fields, from multiple choice questions, in Checklist format, using Likert scale, with five possibilities for answers (totally agree, partially agree, partially disagree, totally disagree, I don't know).

The survey was developed and hosted on the Redcap platform of the University of São Paulo (USP) Ribeirão Preto campus. Redcap is a *software* of electronic data capture (*Electronic Data Capture* – EDC) browser-based and metadata-oriented with workflow methodology for designing clinical and observational research databases<sup>12</sup>.

The survey was available to the eligible public through the websites of the research institutions participating in the study and through emails, "WhatsApp", social networks, media and blogs. When accessing the survey, the Term of Consent was made available (TCLE) to the study participants, with the instructions for completing and presenting the purpose of the research.

From the set of variables of the questionnaire, it was considered as an outcome of interest the adoption of protection measures against COVID-19, which was evaluated by the following question: "Which Measures You Have Taken to Avoid Becoming Infected with COVID-19?" This question was evaluated continuously and included 13 answer options.

The independent variables were: Age (18 to 39, 40 to 59, 60 or more), Gender (Male, Female), Schooling (Incomplete or less higher educations, Complete higher education, Postgraduate or more), Race/Color (White, Black, Brown, Yellow/Indigenous), Marital status (Married/Stable union, Single/Divorced/Discharged/Legally separated, Widowed), Have health insurance (No, Yes), Use of the Public Health System (No, Yes), Receiving a visit from the Community Health Agent (No, Yes), Receiving some government social support (No, Yes), Loss of monetary income during the pandemic (No, Yes), Smoking habit (No, Yes), Religion (some religion/ no religion), Present some chronical disease: Asthma (No, Yes), Hypertension (No, Yes), Diabetes Mellitus (No, Yes), Obesity (No, Yes).

For the study descriptive and inferential analyses were performed. The descriptive occurred through the calculation of frequencies with 95% confidence intervals (95% CI). The descriptive analysis was performed considering the two research outcomes (did not adopt any measures, adopted all measures).

The associations were evaluated by Poisson regression<sup>13</sup> and considering the cross-sectional design of the study, the measure of association was the prevalence ratio (PR), with their respective 95% CI. Crude and adjusted analyzes were performed for the variables sex (male, female), skin color

(white, black, brown or yellow/indigenous), age (18 to 39 years, 40 to 59, 60 years or older), schooling (incomplete higher education or less, complete higher education, postgraduate or more) and marital status (married, separated/unmarried, widowed). The analyses were performed using Stata software, version 15.1.

For the spatial analysis, all 5,568 Brazilian municipalities<sup>14</sup> were considered as the unit of analysis, for which the incidence and mortality rates due to COVID-19 were calculated, with the number of cases (or deaths) in the numerator, the population of the municipality as denominator and the multiplication factor per 1,000 inhabitants.

The Local Bivariate Moran Index<sup>15</sup> indicates the degree of association (positive or negative) between the value of the variable of interest in each region and another variable in the same region, and thus it is possible to map the statistically significant values, generating a choropletic map according to its classification.

In this study, spatial autocorrelation between COVID-19 incidence and mortality rates was tested with people who adopted at least one measure of protection against COVID-19.

The classification can be: **High-high** (high values of incidence or mortality from COVID-19 with a high number of people who have adopted at least one protection measure against COVID-19), **Low-low** (low values of incidence or mortality from COVID-19 with low number of people who have adopted at least one protection measure against COVID-19), **Low-high** (low values of incidence or mortality from COVID-19 with a high number of people who have adopted at least one measure of protection against COVID-19) e **High-low** (high COVID-19 incidence or mortality values with low number of people who have adopted at least one protection measure against COVID-19).

It is noteworthy that the high and low values are classified according to the mean values of the variables of the neighboring regions<sup>15</sup>.

### Results

There were 1,516 participants from the five macro-regions of Brazil. Table 1 shows the main characteristics of these participants, general and stratified by adoption of protection measures against COVID-19.

**Table 1.** Description of the sample according to the adoption of protective measures against COVID-19, Brazil, 2020 - 2021 (n = 1516).

37 ' 11	Frequency and	Did not take any measure	Adoption all measures
Variables	IC95%	Frequency e 95% CI	Frequency e 95% CI
Sex			
Female	73.0	15.8	84.2
	70.7-75.2	13.7-18.1	81.9-86.3
Male	27.0	15.9	84.1
	24.8-29.3	12.6-19.9	80.1-87.4
Age			
18 - 39 years	34.6	15.6	84.4
	32.1-37.1	12.6-19.1	80.9-87.4
40 - 59 years	41.8	12.2	87.8
	39.2-44.4	9.8-15.1	84.9-90.2
60 or years	23.6	21.9	70.1
	21.5-25.9	17.8-26.7	73.3-82.2
Education Level			
Incomplete higher or less	24.4	20.2	79.8

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	22.2-26.7	16.3-24.7	75.3-83.7
Graduated	25.2	18.7	81.3
	23.0-27.5	15.0-23.0	77.0-85.0
Post graduate or more	50.4	11.5	88.5
	47.8-53.0	9.4-14.1	85.9-90.6
Skin color			
TA71 **	68.1	15.5	84.5
White	65.6-70.5	13.4-17.9	82.1-86.6
Black	7.6	24.1	75.9
	6.3-9.0	16.9-33.0	67.0-83.1
Brown	22.2	13.5	86.5
	20.2-24.5	10.2-17.7	82.3-89.8
Yellow/Indigenous	2.1	20.0	80.0
	1.5-3.0	9.3-38.0	62.0-90.7
Marital Status			
Married/Stable Union	47.1	14.8	85.2
	44.5-49.6	12.3-17.6	82.4-87.7
Separated/Single	50.4	16.5	83.5
	47.9-53.0	14.0-19.4	80.6-86.0
Widowed	2.5	19.4	80.6
	1.8-3.4	9.6-35.5	64.5-90.4
Health insurance			
No	26.9	18.0	82.0
	24.7-29.2	14.5-22.2	77.8-85.5
Yes	73.1	14.2	85.8
	70.8-75.3	12.2-16.5	83.5-87.8
Use of SUS			
No	36.0	16.9	83.1
	33.6-38.6	13.9-20.4	79.6-86.1
Yes	64.0	14.4	85.6
	61.4-66.4	12.3-16.8	83.2-87.7

Most participants were female (73.0%), reported white skin color (68.1%), aged between 40 and 59 years (41.8%), single (as), divorced (as), discharged (as) or separated (as) judicially (50.4%) and had postgraduate level or more (50.4%). About 73.1% had health insurance and 64.0% used the Health Public System (SUS). It was observed that 19.1% (95%CI: 17.2 - 21.2) of the people did not adopt any protection measure against COVID-19.

Figure 1 shows the application of the Local Bivariate Moran Index, which refers to the incidence rate for COVID-19 with people who have adopted at least one protection measure against COVID-19 (Fig 1 - A), there is a positive spatial association (High-high) in municipalities of all big regions of Brazil, except for the Northeast region, and negative spatial association (Low-low) in all five Brazilian big regions.

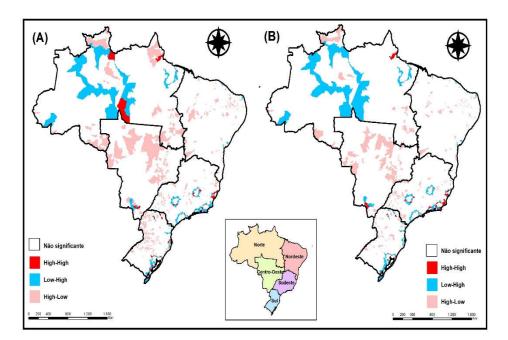
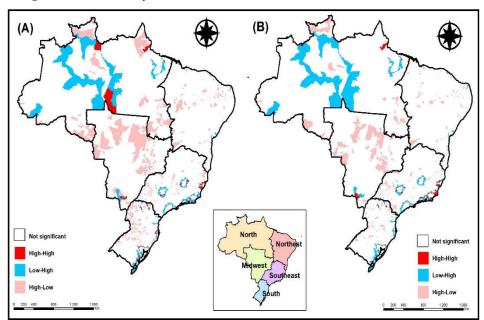


Figure 1.

Regarding the COVID-19 mortality rate with people who have adopted at least one protection measure against COVID-19 (Figure 1B), it was possible to observe the same pattern described earlier, with municipalities classified as **High-high** (positive spatial association) present in all big regions of Brazil, with the exception of the Northeast, and classified as **Low-low** (negative spatial association) in all five Regions of the country.



**Figure 2.** Analysis of local bivariate spatial autocorrelation between incidence and mortality rates due to COVID-19 and people who have adopted at least one protection measure against COVID-19, according to Brazilian Municipalities (2020-2021).

Regarding the association between protective measures and sociodemographic factors, women took greater care in preventing COVID-19, and adopted protective measures 10% (95%CI: 1.05-1.15) more than men, results that remained significant even after adjustments for confounding factors (PR = 1.09; 95%CI: 1.04-1.14). In a previous studies developed in the United States, being female was considered a protective factor during the COVID-19 pandemic, since the female population has a

greater adherence to the use of face masks when compared to men<sup>16,17</sup>. Historically, evidence shows that women tend to be more aware of their health status as well as seek care more proactively than men <sup>18</sup>.

In the analyzes performed in this study, the age group between 40 and 59 years was the one that had the highest adherence to protection measures against COVID-19. Other studies corroborate this finding, since it has been observed that the increase in age is associated with higher morbidity and mortality, especially non-communicable chronic diseases, so that with advancing age, people are more likely to adhere to disease prevention and protection measures<sup>16</sup>.

A study conducted in Hong Kong during the swine flu pandemic showed that older people tend to take greater precautions with their health. Already during the COVID-19 pandemic in the United States, an observational study showed that the older the age the better adherence to protective measures<sup>16,17</sup>.

The results show that, even after adjusting for confounding factors, schooling was a protective factor against COVID-19. Those with complete higher education had a prevalence ratio of 8% (95%CI: 1.02-1.15) higher and those with postgraduate education or more 17% (95%CI: 1.11-1.24) had to adopt protective measures compared to those who had incomplete or less superior.

Studies indicate that the level of education is an important factor for adherence to individual and collective protection measures since a lower level of education reflects less access to information<sup>19</sup>. In Saudi Arabia, the level of knowledge about COVID-19 was directly associated with school level and family income<sup>20</sup>.

Lower education is a social vulnerability, and the most vulnerable population generally has less access to information for decision-making and less financial conditions to remain in quarantine. Thus, it can be assumed that less favored communities, with lower education, aggravated by lower income and less access to health services, suffer more from health inequities that were even more evident during the pandemic.

The results indicate that people self-declared black adopted fewer protective measures when compared to people with other skin color. Although the statistical significance of the categories is not maintained after adjusting for confounding factors, it is important to point out that in Brazil there are significant socioeconomic inequalities related to ethnic-racial issues, and most Brazilians with low income, lower education and less access to health services are black<sup>21</sup>.

In Brazil, 73% of the poor population is black<sup>22</sup>, it is worth noting that the average of deaths from COVID-19 in the five regions of Brazil was higher among black people, a fact that shows that this population is more exposed to being victims of the disease<sup>21</sup>. Then it is important to reflect that inequality is of great importance in social determinants in health and that this will reflect on how this population adheres or hesitates to protective measures<sup>19,21</sup>.

In a meta-analysis carried out during the COVID-19 pandemic, it was found that smokers are in the risk group of those most susceptible to complications of the disease, especially those who need hospitalization<sup>23</sup> and this fact was widely publicized in the media, causing greater concern in this group. In the present study, it was identified that people who self-declared as smokers showed more care regarding protection against the infection of the new coronavirus when compared to people who do not have the habit of smoking.

In general, the arrival of the new coronavirus in the world has also shaken global religion, because it is known that historically religion and illness have a strong relation of mutual influence. In Brazil, the conflict between "science and religion" gained notoriety, causing political and social repercussions<sup>24</sup>. While the world was discussing the closure of mosques, suspension of religious meetings, cults and masses, in Brazil, the Presidential Decree nº 10.292, of March 25, 2020, was published<sup>25</sup>, establishing that religious activities of all kinds should be regarded as essential services, thus exempt from measures of social isolation.

The findings of this research showed that people with some religion adopted fewer protective measures when compared to people who defined themselves without religion, even after adjusting the confounding factors (Table 2).

**Table 2.** Association between adoption of protective measures and sociodemographic factors, Brazil, 2020 – 2021.

Variables	Prevalence ratio and 95% CI	Prevalence ratio and 95% C	
variables	Gross results	adjusted results	
Sex			
Male	Reference	Reference	
Female	1.10	1.09	
remate	1.05-1.15	1.04-1.14	
Age			
18 - 39 years	Reference	Reference	
40 - 59 years	1.09	1.05	
	1.05-1.15	1.01-1.11	
60 years or more	1.03	0.99	
	0.98-1.09	0.94-1.05	
Education Level			
Incomplete higher or less	Reference	Reference	
Graduated	1.11	1.08	
	1.05-1.18	1.02-1.15	
Post graduate or more	1.21	1.17	
	1.16-1.28	1.11-1.24	
Skin color			
White	Reference	Reference	
Black	0.86	0.98	
	0.80-0.94	0.90-1.06	
Brown	0.98	1.01	
	0.94-1.03	0.96-1.08	
Yellow/Indigenous	0.95	0.96	
	0.82-1.09	0.83-1.10	
Marital Status			
Married/Stable Union	Reference	Reference	
Separated/Single	0.94	0.98	
	0.91-0.98	0.94-1.03	
Widowed	0.98	0.91	
	0.86-1.11	0.79-1.05	
smoking			
No	Reference	Reference	
Yes	1.16	1.17	
	1.08-1.24	1.09-1.25	
Religion			
Yes	Reference	Reference	
No	1.07	1.08	
	1.03-1.12	1.03-1.13	

Table 3 shows the association between the adoption of protective measures with situations of vulnerability and health services. The results showed that there was significance between the loss of income during the pandemic and adherence to protective measures, but the results lost statistical significance after adjustments to confounding factors. Similar results were found among those receiving government social support.

**Table 3.** Association between adoption of protection measures with situations of vulnerability and health services, Brazil, 2020 - 2021.

Variables	Prevalence ratio and 95% CI	Prevalence ratio and 95% Cl	
Valiables	Gross results	adjusted results	
Loss of income during the pandemic			
No	Reference	Reference	
N.	0.96	0.99	
Yes	0.92-0.99	0.95-1.03	
government aid			
No	Reference	Reference	
N/	0.86	0.95	
Yes	0.81-0.91	0.89-1.02	
Community health agent visit			
No	Reference	Reference	
V	0.90	0.93	
Yes	0.86-0.95	0.87-0.98	
Health plan			
No	Reference	Reference	
	1.15	1.07	
Yes	1.10-1.20	1.02-1.13	
Use of SUS			
No	Reference	Reference	
V	1.00	1.03	
Yes	0.96-1.04	0.98-1.07	

<sup>\*</sup> Adjusted for sex, skin color, age, education and marital status.

Participants who received visits from community health agents adopted fewer protective measures, even after adjusting for confounding factors (PR: 0.93; 95% CI: 0.93-0.98). Compared to those without health insurance, those who had health insurance were more likely to adhere to protective measures against COVID-19, even after adjusting for confounding factors (PR: 1.07; 95%CI: 1.02-1.13). The use of SUS showed no association with the adoption of protective measures.

From a social perspective, it is possible to assume that those who have health insurance have better financial conditions and that those who receive visits from the community agents have a more unfavorable socioeconomic situation, so that adherence to social isolation, for example, would cause loss of source of income, since in Brazil, an important portion of the population works informally. This fact corroborates the findings about the lower income English population, who would like to be in isolation during the pandemic, but has this possibility decreased by up to three times in relation to the higher income segments<sup>26</sup>.

Therefore, we emphasize the importance of income transfer policies for the portion of the population that cannot isolate themselves, as a way to expand the strategy to combat the pandemic, while minimizing the impact on social well-being.

Table 4 shows the association between adherence to protective measures and carrying non-communicable chronic diseases. Being a carrier of Asthma, Diabetes Melittus, Systemic Arterial Hypertension and Obesity were factors that increased the adherence of protective measures in the fight against COVID-19, even after adjustments to confounding factors.

**Table 4.** Association between adoption of protective measures with chronic diseases, Brazil, 2020 - 2021.

Variables	Prevalence ratio and 95% CI	Prevalence ratio and 95% CI	
variables	Gross results	adjusted results	
Asthma			
No	Reference	Reference	
V	1.26	1.20	
Yes	1.17-1.36	1.10-1.30	
Diabetes			
No	Reference	Reference	
V	1.35	1.30	
Yes	1.25-1.45	1.20-1.41	
Hypertension			
No	Reference	Reference	
Yes	1.34	1.29	
	1.27-1.40	1.23-1.37	
Obesity			
No	Reference	Reference	
Yes	1.31	1.23	
	1.24-1.38	1.17-1.30	
other diseases			
No	Reference	Reference	
Yes	1.22	1.15	
	1.15-1.29	1.08-1.22	

<sup>\*</sup> Adjusted for sex, skin color, age, education and marital status.

Although the epidemiological profile of people affected by COVID-19 is not fully understood, studies indicate that the most severe forms of infection are more likely to occur in the elderly and in individuals with comorbidities<sup>23</sup>. In addition, mortality is also significantly higher among the elderly with pre-existing health conditions, such as systemic arterial hypertension, cardiovascular diseases and diabetes mellitus <sup>27</sup>.

Several studies have reported that patients with chronic diseases have more unfavorable outcomes regarding hospitalizations and mortality<sup>23</sup>, and data from information systems in the country confirm this evidence. In the study "Social Barometer in Portugal", the elderly and those with chronic diseases considered themselves at high risk of developing a severe course of the disease in case of COVID-19 infection. This self-perception of risk is considered a protective factor, since those who consider themselves more likely to have complications from the disease tend to protect themselves more.

In Brazil, these diseases affect almost half of people aged 65 years or older (44.6%), population vulnerable to COVID-19, namely due to age-related fragility and immune system decline. In fact, morbidity seems to be the strongest determinant of the perception of risk of becoming ill and presenting complications resulting from the disease, which consequently increases adherence to protective measures.

The plausible risk of worsening COVID-19 has been widely reported by the media, medical societies, public health institutes, health authorities and patient organizations. Therefore, it is not surprising that people with comorbidities were particularly concerned about the risk of developing severe outcomes after COVID-19.

This study evaluated the adherence of measures to protect the Brazilian population during the COVID-19 pandemic in the country. Data were collected at the first moment of the pandemic, where isolation measures were more restrictive, vaccination was restricted to priority groups (health professionals and the elderly), and much misinformation circulated. The results show that almost one fifth of the sample did not adopt any measures to protect themselves from the new coronavirus, and that sociodemographic determinants and the presence of chronic diseases are associated with preventive behaviors.

Other pandemics have occurred in history, some with repeated cycles for centuries, such as smallpox and measles, or for decades, such as cholera. Influenza pandemics by H1N1, H2N2, H3N3 and H5N1, known respectively as "Spanish flu", "Asian flu", "Hong Kong flu" and "avian flu", however, the COVID-19 numbers are alarming.

In just over two years of the new coronavirus pandemic, there are already more than 530 million confirmed cases in the world, especially Brazil, which is in 3rd place in the ranking of cases, surpassing the range of 31 million infected people, lagging only the United States and India<sup>3</sup>. Such numbers may be associated with the low adherence of the population to protection measures against COVID-19, which may have been triggered by the little government investment in health education actions, as well as, by economic pressures against the measures of isolation and social distancing<sup>6</sup>.

Understanding how the population protects itself and what factors are considered protective is part of the process for formulating strategies to contain the virus. Being female, aged 40 to 59 years, higher education, smoking, not having a religion, having health insurance, and being a carrier of chronic diseases were associated with greater adherence to protective measures against COVID-19.

Although the present study sought to obtain a representative sample of the Brazilian population, the method used, as a convenience sample in the online modality, represented a limitation of the study. When it comes to online research, individuals with higher education are the most involved, a fact that can be observed in research with this characteristic approach observed in this study<sup>28,29</sup>.

The difficulty of access to the internet, as well as the restricted access to some social layers has already been cited in the literature as a research bias, since the possibility that the participants of the research were composed mainly of people with high level of education and higher income, as already demonstrated in other studies<sup>30</sup>.

Even in the face of the social vulnerability that the pandemic has generated in the country, a key point for its confrontation is the adhesion of protective measures. The survey data showed that most respondents are contributing to this purpose. This refers to the urgency of social protection measures and financial support, primarily for the most vulnerable social segments.

It is suggested in future studies, the continuity of this with isonomy of the sample contemplating the greatest social diversity, since the method used excluded an important portion of the population that did not have access to the online survey. Certainly, a methodological design that includes follow-up or longitudinal measures is important for monitoring the behavior of all segments of the population and at all stages of the pandemic.

**Author Contributions:** Araújo, JST participated in the design and writing of the manuscript. Delpino,FM participated in the statistical analysis of the study, Nascimento, CM participated as the second reviewer of the article and writing of the manuscript. Moura,HSD; Ramos, ACV; Berra TZ; Soares,DA participated in the revision of the studies.. Arcêncio RA, participated in the writing and revision of the final version of the articleAll

authors reviewed and approved the final version to be published, assuming responsibility for all aspects of the work, including ensuring its accuracy and integrity.

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