

## Article

# Newton's Like Normal S-iteration under Weak Conditions

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**Abstract:** In the present paper, we introduced a quadratically convergent Newton's like normal S-iteration method free from the second derivative for the solution of nonlinear equations permitting  $f'(x) = 0$  at some points in the neighborhood of the root. Our proposed method works well when the Newton method fails. Numerically it has been verified that the Newton's like normal S-iteration method converges faster than Fang et al. method [A cubically convergent Newton-type method under weak conditions, *J. Compute. and Appl. Math.*, **220** (2008), 409-412]. We studied different aspects of normal S-iteration method. Lastly, fractal patterns support the numerical results and explain the convergence, divergence, and stability of method.

**Keywords:** Newton's method; normal S-iteration; weak condition; simple root; order of convergence

## 1. Introduction

In this work, we have proposed a Newton's like normal S-iteration method for solving nonlinear algebraic and transcendental equations of the form ([17], [18], [19])

$$f(x) = 0. \quad (1)$$

Newton's method [13] is a basic method for solving (1), which converges to the root quadratically under some conditions. Newton's method is defined as follows:

$$x_{n+1} = x_n - \frac{f(x_n)}{f'(x_n)}, \quad n = 0, 1, 2, \dots \quad (2)$$

Some weakness of Newton's method are as follows ([1]-[25]):

- (i) It is only of order two.
- (ii) The initial approximation should be near to the root.
- (iii) The denominator term of Newton's method must not be zero, at the root or near to the root.

To remove these weakness, Wu [25] developed a quadratic convergent method in 2000 as follows:

$$x_{n+1} = x_n - \frac{f(x_n)}{\lambda_n f(x_n) + f'(x_n)}, \quad n = 0, 1, 2, \dots, \quad (3)$$

where  $|\lambda_n| \in (0, \infty)$ .

Fang et al. [15] studied a method in 2008 as follows:

$$\begin{cases} y_n = x_n + \frac{f(x_n)}{\lambda_n f(x_n) + f'(x_n)}, \\ x_{n+1} = y_n - \frac{f(y_n)}{\lambda_n f(x_n) + f'(x_n)}, \end{cases} \quad n = 0, 1, 2, \dots, \quad (4)$$

where  $|\lambda_n| \leq 1$ . They claimed that their method (4) is of cubic convergence. More precisely,

**Theorem 1.** [15] Let  $f : I \subseteq \mathbb{R} \rightarrow \mathbb{R}$  be a function and assume that

(L1)  $x^* \in I$  is a simple zero of  $f$ ,

(L2)  $f$  is three times differentiable on  $I$ ,

(L3)  $\lambda_n f(x) + f'(x) \neq 0$ , for all  $x \in N(x^*)$ , where  $N(x^*)$  is neighborhood of  $x^*$ . Then the method (4) converges cubically to  $x^*$ .

Recently, Wang and Liu [10] identified that the Fang et al. method given by (4) is only of order two. Wang and Liu [10] revised Theorem 1 as follows:

**Theorem 2.** Let  $f : I \subseteq \mathbb{R} \rightarrow \mathbb{R}$  be a function and assume that

(i)  $x^* \in I$  is a simple zero of  $f$ ,

(ii)  $f$  is three times differentiable on  $I$ ,

(iii)  $\lambda_n f(x) + f'(x) \neq 0$ , for all  $x \in N(x^*)$ , where  $N(x^*)$  is neighborhood of  $x^*$ . Then method (4) converges quadratically to  $x^*$ .

Contemporary, Wang and Liu [10] modified method (4) for third-order convergence as follows:

$$\begin{cases} y_n = x_n + \frac{f(x_n)}{\lambda_n f(x_n) - f'(x_n)}, \\ x_{n+1} = y_n + \frac{f(y_n)}{\lambda_n f(x_n) - f'(x_n)}, \end{cases} \quad n = 0, 1, 2, \dots, \quad (5)$$

where  $|\lambda_n| \leq 1$  and it is equal to  $-\text{sign}(f(x_n)f'(x_n))\min\{1, |f(x_n)|\}$ . Under above modification, Wang and Liu [10] settled third-order convergence Theorem as follows:

**Theorem 3.** Let  $f : I \subseteq \mathbb{R} \rightarrow \mathbb{R}$  be a function and assume that

(W1)  $x^* \in I$  is a simple zero of  $f$ ,

(W2)  $f$  is three times differentiable on  $I$ ,

(W3)  $\lambda_n f(x) - f'(x) \neq 0$ , for all  $x \in N(x^*)$ , where  $N(x^*)$  is neighborhood of  $x^*$ .

Then, the iterative method (5) is cubically convergent.

It is clear from condition (W2) of Theorem 3, that the sufficient condition for the convergence of method (5) to the zero of the function  $f$  is that the third derivative of  $f$  must exist. But, we often come across the situation, when the third order differential of the function does not exist, while  $f$  has a zero in the interval  $I$ . Consider the function  $f_1$  defined by

$$f_1(x) = x^{5/2} - \exp(x) + 1.$$

Here  $x^* = 0.0$ . Note that  $f_1(x^*) = 0$  and  $f_1'''(x^*)$  does not exist. Hence, we observe that

(i) Newton's method (2) can not be used.

(ii) Wang and Liu method (5) doesn't satisfy the condition (W2) of Theorem 3. At this stage, following natural question arises: Is it possible to propose an iterative method for finding solution of (1), when  $f$  is not three times differentiable on  $I$ .

The objective of this work is to introduce Newton's like normal S-iteration method for solving nonlinear equation (1). Taking into account, we describe the new method in which second derivative of the function  $f$  is sufficient for the convergence and is comparable to the third order methods. Thus, our method provides not only an affirmative answer of the question, but also behaves well in comparison of third order Wang and Liu method [10].

Rest of the paper is arranged as follows: Section 2 is Preliminary. In section 3, we have proposed the new Newton's like normal S-iteration method and established its convergence analysis. In section 4, numerical examples are given to check the theoretical results. Lastly, dynamical analysis supports the numerical and theoretical results in section 5.

## 55 2. Preliminary

Let  $x^*$  be a root of non-linear equation (1) and  $f$  be a sufficiently differentiable function and  $x_n \in N(x^*)$ , where  $N(x^*)$  is neighborhood of  $x^*$ . Then, the numerical solution of (1) can be written as

$$f(x) = f(x_n) + \int_{x_n}^x f'(t)dt. \quad (6)$$

Approximating the integral by  $(x - x_n)f'(x_n)$  with  $x = x^*$  in (6), we get

$$0 \approx f(x_n) + (x^* - x_n)f'(x_n).$$

56 Therefore, a new approximation  $x_{n+1}$  to  $x^*$  can be written as (2). Newton's method (2) fails when derivative of the  $f$  becomes zero in the neighbourhood of the root. On  
57 replacing  $f'(x_n)$  in (2) by  $f'(x_n) + \lambda_n f(x_n)$ , we obtain an approximation  $x_{n+1}$  as given  
58 in (3), which is quadratically convergent method given by Wu [25].  
59

## 60 3. New Newton's like method and its Convergence Analysis

61 In this section, we introduce new Newton like normal S-iteration method and study  
62 its convergence analysis.

63 In [5], Sahu introduced normal S-iteration process as follows:

**Definition 1.** Let  $D$  be a nonempty convex subset of a normed space  $X$  and  $T : D \rightarrow D$  be an operator. Then for arbitrary  $x_0 \in D$ , the normal S-iteration process is defined by

$$x_{n+1} = T((1 - \beta_n)x_n + \beta_n T(x_n)), \quad n = 0, 1, 2, \dots,$$

64 where the sequence  $\beta_n \in (0, 1)$ .

There are many papers dealing with S-iteration process and normal S-iteration process in the literature. In [6], Sahu introduced Newton's like method based on normal S-iteration process as follows:

$$\begin{cases} x_{n+1} = y_n - \frac{f(y_n)}{f'(y_n)}, \\ y_n = (1 - \beta_n)x_n + \beta_n u_n, \\ u_n = x_n - \frac{f(x_n)}{f'(x_n)}, \quad n = 0, 1, 2, \dots, \end{cases}$$

65 where the sequence  $\beta_n \in (0, 1)$  and  $f'(x)$  is the derivative of  $f$  at point  $x$ .

We now introduce our new Newton's like normal S-iteration method for solving nonlinear equation (1), when  $f'$  may be zero in the neighbourhood of the root, as

$$\begin{cases} y_n = (1 - \beta_n)x_n + \beta_n G(x_n), \\ x_{n+1} = G(y_n), \quad n = 0, 1, 2, \dots, \end{cases} \quad (7)$$

where

$$G(x_n) = x_n + \frac{f(x_n)}{\lambda_n f(x_n) - f'(x_n)}, \quad (8)$$

$\beta_n \in (0, 1)$  and  $\lambda_n$  is a sequence in  $\mathbb{R}$ , such that  $|\lambda_n| \leq 1$ . The parameter  $\lambda_n$  is chosen in such a manner that both  $\lambda_n f(x_n)$  and  $-f'(x_n)$  have same sign and hence denominator is non zero in equation (8). For this purpose we use signum function as follows:

$$\text{sign}(x) = \begin{cases} 1, & \text{if } x \geq 0, \\ -1, & \text{if } x < 0. \end{cases}$$

66 We are ready to establish main result of this paper, which is as follows:

67 **Theorem 4.** Let  $f : I \subseteq \mathbb{R} \rightarrow \mathbb{R}$  be a function and assume that

68 (i)  $x^* \in I$  is a simple zero of  $f$ ,

- 69 (ii)  $f$  is two times differentiable on  $I$ ,  
 70 (iii)  $\lambda_n f(x) - f'(x) \neq 0$ , for all  $x \in N(x^*)$ , where  $N(x^*)$  is neighborhood of  $x^*$  and  
 71  $|\lambda_n| \leq 1$ .  
 72 Then, the Newton's like normal  $S$ -iteration method defined by the (7) is quadratically convergent  
 73 locally to the zero of  $f$ .

**Proof:** Let  $x^* \in I$  be a simple zero of a function  $f$ ,  $e_n = x_n - x^*$  and  $A_k = \left(\frac{1}{k!}\right) f^{(k)}(x^*)/f'(x^*)$ . Using Taylor expansion about  $x^*$  and using  $f(x^*) = 0$ , we get

$$f(x_n) = f'(x^*) \left[ e_n + A_2 e_n^2 + A_3 e_n^3 + O(e_n^4) \right], \quad (9)$$

$$f'(x_n) = f'(x^*) \left[ 1 + 2A_2 e_n + 3A_3 e_n^2 + 4A_4 e_n^3 + O(e_n^4) \right]. \quad (10)$$

74 Now, from above two equations we get

$$\begin{aligned} f'(x_n) - \lambda_n f(x_n) &= f'(x^*) [1 + (2A_2 - \lambda_n)e_n + (3A_3 - \lambda_n A_2)e_n^2 + (4A_4 - \lambda_n A_3)e_n^3 \\ &\quad + O(e_n^4)] \end{aligned} \quad (11)$$

and from (9) and (11), we get

$$\frac{f(x_n)}{\lambda_n f(x_n) - f'(x_n)} = -e_n + (A_2 - \lambda_n)e_n^2 + \left( 2A_2\lambda_n - \lambda_n^2 - 2A_2^2 + 2A_3 \right) e_n^3 + O(e_n^4).$$

Using above in (8), we obtain,

$$G(x_n) = x^* + (A_2 - \lambda_n)e_n^2 + \left( 2A_2\lambda_n - \lambda_n^2 - 2A_2^2 + 2A_3 \right) e_n^3 + O(e_n^4). \quad (12)$$

75 Now, on using (12) in the first substep of (7), we get

$$y_n = x^* + (1 - \beta_n)e_n + \beta_n(A_2 - \lambda_n)e_n^2 + \beta_n \left( 2A_2\lambda_n - \lambda_n^2 - 2A_2^2 + 2A_3 \right) e_n^3 + O(e_n^4). \quad (13)$$

76 On expanding  $f(y_n)$  and  $f'(y_n)$  about  $x_n$ , we obtain

$$\begin{aligned} f(y_n) &= f'(x^*) \left[ (1 - \beta_n)e_n + \left\{ A_2(1 - \beta_n)^2 + \beta_n(A_2 - \lambda_n) \right\} e_n^2 \right. \\ &\quad \left. + \beta_n \left\{ 2A_2\lambda_n - \lambda_n^2 - 2A_2^2 + 2A_3 + 2A_2(1 - \beta_n)(A_2 - \lambda_n) \right\} e_n^3 \right. \\ &\quad \left. + O(e_n^4) \right], \end{aligned} \quad (14)$$

77

$$\begin{aligned} f'(y_n) &= f'(x^*) \left[ 1 + 2A_2(1 - \beta_n)e_n + \left\{ 3A_3(1 - \beta_n)^2 + 2A_2\beta_n(A_2 - \lambda_n) \right\} e_n^2 \right. \\ &\quad \left. + \beta_n \left\{ 2A_2 \left( 2A_2\lambda_n - \lambda_n^2 - 2A_2^2 + 2A_3 \right) + 6A_3(1 - \beta_n)(A_2 - \lambda_n) \right\} e_n^3 \right. \\ &\quad \left. + O(e_n^4) \right]. \end{aligned} \quad (15)$$

78 Now, from (14) and (15), we have

$$\begin{aligned} \lambda_n f(y_n) - f'(y_n) &= f'(x^*) [-1 + (1 - \beta_n)(\lambda_n - 2A_2)e_n \\ &\quad + \lambda_n \left\{ (1 - \beta_n)^2(A_2 - 3A_3) + \beta_n(A_2 - \lambda_n)(1 + 2A_2) \right\} e_n^2 \\ &\quad + O(e_n^3)]. \end{aligned} \quad (16)$$

Furthermore, from (14) and (16), we have

$$\begin{aligned} \frac{f(y_n)}{\lambda_n f(y_n) - f'(y_n)} &= -(1 - \beta_n)e_n + \{\lambda_n - 3A_2 - \beta_n(\lambda_n - 5A_2) \\ &\quad + \beta_n^2(\lambda_n - 3A_2)\}e_n^2 + O(e_n^3). \end{aligned} \quad (17)$$

With the help of (17), the second equation of (7) becomes

$$\begin{aligned} x_{n+1} &= x^* + \{\lambda_n - 3A_2 - \beta_n(2\lambda_n - 6A_2) + \beta_n^2(\lambda_n - 3A_2)\}e_n^2 + O(e_n^3) \\ &\Rightarrow e_{n+1} = Ce_n^2 + O(e_n^3) \end{aligned} \quad (18)$$

where  $C = \lambda_n - 3A_2 - \beta_n(2\lambda_n - 6A_2) + \beta_n^2(\lambda_n - 3A_2)$ .

Hence, the Newton's like normal S-iteration method proposed in (7) has second order convergence.

#### 4. Numerical Results

In this section, we present some numerical tests to show the applicability of the proposed method by considering two categories of functions namely (i) functions which are differentiable three times and (ii) functions which are differentiable only two times. Numerical computations have been carried out in MATLAB 2007 and stopping criteria has been taken as (i)  $|f'(x_k)| \leq \varepsilon$ , (ii)  $|x_k - x_{k-1}| \leq \varepsilon$ , where  $\varepsilon = 10^{-15}$ . We have applied Newton's like normal S-iteration method for the following three values of  $\lambda_n$

- (i)  $|\lambda_n| = 0.5$
- (ii)  $|\lambda_n| = 1.0$  and
- (iii)  $\lambda_n = -\text{sign}(f(x_n)f'(x_n))\min\{1, |f(x_n)|\}$  ( $\lambda_n$  is taken as in Wang and Liu [10]).

##### (i) Functions with third order differentials

Here, we have considered those example which were taken by Wang and Liu [10] as follows:

$$\begin{aligned} F_1(x) &= x \sin x + \cos x - 0.6, \quad x^* = -2.54623173142842, \\ F_2(x) &= x^3 - 2x^2 + x - 1, \quad x^* = 1.75487766624669, \\ F_3(x) &= \ln x, \quad x^* = 1.0000, \\ F_4(x) &= \arctan x, \quad x^* = 0.0000, \\ F_5(x) &= x + 1 - \exp(\sin x), \quad x^* = 1.69681238680975, \\ F_6(x) &= x \exp(-x^2) - (\sin x)^2 + 3 \cos x + 5, \quad x^* = -1.20764782713092, \\ F_7(x) &= 10x \exp(-x^2) - 1. \quad x^* = 1.67963061042845. \end{aligned}$$

For the two values of  $\lambda_n = 0.5$  and  $\lambda_n$  as wang, we have considered  $\beta_n = 0.5$  and  $0.9$  in Table 1. On starting with the same initial point as in Wang and Liu [10] in all test problems, we observe that for the both values of  $\lambda_n$  our normal S-iteration method takes less number of iterations than the Wang and Liu method [10] for the value of  $\beta_n = 0.9$ . Thus in spite of being second order convergence it performs better than third order Wang and Liu method [10]. Also, It may be noted that in all test problems, the classical Newton's method is either fail or diverge in most of the cases. In Table 1  $F$ ,  $D$  and  $NC$  denote failure of the method, divergence of the method and not converging to the

Table 1: Functions whose third order differentials exist

$f(x)$	$x_0$	Newton Method	Wang and Liu Method	Normal S-iteration method			
				$ \lambda_n  = 0.5$		$\lambda_n$ as Wang and Liu	
				$\beta_n = 0.5$	$\beta_n = 0.9$	$\beta_n = 0.5$	$\beta_n = 0.9$
$F_1$	0	F	5	7	5	5	4
	-4	6	5	5	4	6	5
$F_2$	1	F	7	5	5	5	4
	3	7	6	6	6	6	5
$F_3$	5	D	5	5	4	7	6
	2	6	4	3	3	5	4
$F_4$	3	D	4	5	4	5	4
	-1	5	3	4	3	4	3
$F_5$	4	NC	6	6	5	7	6
	2	5	4	4	4	4	4
$F_6$	0.73	D	8	6	4	8	4
	-3	23	15	11	9	11	9
$F_7$	0.7	D	5	4	4	4	4
	2	6	4	4	3	4	3

107 desired root respectively.

108

109 (ii) *Functions which are differentiable only two times*

We have considered the following real functions from  $I \subset \mathbb{R} \rightarrow \mathbb{R}$  and the results are shown in Table 2.

$$f_1(x) = x^{\frac{5}{2}} - \exp x + 1, \quad x^* = 0.0,$$

$$f_2(x) = x^4 \sin \frac{1}{x}, x \neq 0, \quad x^* = 0.31830988618379(x_0 = 1),$$

$$x^* = 0.106103295394597(x_0 = 0.1),$$

$$f_3(x) = x^{\frac{7}{3}} \sin x, \quad x^* = 0.0,$$

$$f_4(x) = (x - 2)^{\frac{7}{3}} - x^3 + 3x^2 - 2, \quad x^* = 2.475200396019297,$$

$$f_5(x) = x^{\frac{7}{3}} \exp x, \quad x^* = 0.0,$$

$$f_6(x) = (x + 2)^{\frac{5}{2}} + \exp x - 1, \quad x^* = -1.142466838767107.$$

Table 2: Functions whose third order differential does not exist

$f(x)$	$x_0$	Newton Method	Fang et al. Method	Normal S-iteration method					
				$\lambda_n$ as Wang and Liu		$ \lambda_n  = 0.5$		$ \lambda_n  = 1$	
				$\beta_n = 0.9$	$\beta_n = 0.5$	$\beta_n = 0.9$	$\beta_n = 0.5$	$\beta_n = 0.9$	$\beta_n = 0.5$
$f_1$	0.5	F	7	3	4	3	4	4	5
$f_2$	1.0	9	9	6	7	6	7	6	8
	0.1	5	5	3	4	3	4	3	4
$f_3$	0.3	85	58	47	60	47	60	47	60
	1.0	88	61	49	62	49	62	49	62
$f_4$	2.0	F	9	4	5	5	4	4	4
$f_5$	1.0	89	43	33	42	33	42	33	43
$f_6$	-2.0	10	F	3	4	5	4	3	4

110 As we know from the condition (W2) of Theorem 3 that the cubically convergent  
 111 Wang and Liu method will converge to the root only if the third order differential to  
 112 the function would exist in the neighbourhood of the root. Hence, Wang's method is  
 113 no more applicable in this case. Therefore, we have compared the present method with  
 114 quadratically convergent same order Newton's method and Fang et al. method [15]  
 115 for the different values of  $\lambda_n$  and  $\beta_n$  ( $\lambda_n = 0.5, \lambda_n = 1, \lambda$  as in Wang and Liu [10] and

116  $\beta_n = 0.5, \beta_n = 0.9$ ) in Table 2. In all test problems, for all the values of  $\lambda_n$  and  $\beta_n$ , we  
117 can see that the present new Newton's like normal S-iteration method is always taking  
118 less number of iterations except for the example 3 (case  $\beta_n = 0.5$ ) in comparison to the  
119 quadratically convergent methods. Hence, we conclude that the present method is more  
120 effective robust and stable.

121 **4.1. Behavior of normal S-iteration method for different value of  $\lambda_n$  and  $\beta_n$**

122 We have considered the function  $F_6$  to see the empirical behavior of proposed  
123 normal S-iteration method for different value of  $\lambda_n$  and  $\beta_n$  starting with the initial points  
124 0.73 and -3.0. Numerical results in Table 3 shows that the proposed method is not  
125 affected much due to the variation in value of  $\lambda_n$ . But the value of  $\beta_n$  play crucial role as  
126 we take its different values in the interval  $(0, 1)$ . We can see that ranging the value of  
127  $\beta_n$  from 0.1 to 0.9, the optimum value of  $\beta_n$  comes out to be 0.9 for which the proposed  
method is taking the least number of iterations.

Table 3: Proposed method for different value of  $\lambda_n$  and  $\beta_n$

$f(x)$	$x_0$	$\beta_n$	Normal S-iteration method		
			$ \lambda_n  = 0.5$	$ \lambda_n  = 1$	$\lambda_n$ as Wang and Liu
$F_6$	0.73	0.1	13	9	9
		0.3	7	8	8
		0.5	6	8	8
		0.7	5	5	5
		0.9	4	4	4
	-3.0	0.1	14	15	14
		0.3	12	13	13
		0.5	11	11	11
		0.7	10	10	10
		0.9	8	9	9

128

129 **5. Normal-S iteration method with variable value of  $\beta$**

130 We consider the two sequence of  $\beta_n$  as  $\beta_n^1 = 0.1 + 1/2(n + 2)$  and  $\beta_n^2 = 1 - 1/2(n +$   
131  $2)$  to solve following two test functions:

132

133 (a)  $F_1(x) = x \sin x + \cos x - 0.6 x^* = -2.54623173142842$  and

134 (b)  $f_2(x) = x^4 \sin(1/x) x^* = 0.31830988618379$ .

135

136 We observe from the Table 4, that the second sequence  $\beta_n^2 = 1 - 1/2(n + 2)$  is taking  
137 less number of iterations in comparison to the first sequence  $\beta_n^1 = 0.1 + 1/2(n + 2)$  in  
138 converging to the root for the both examples. Hence, we conclude that the sequence  
139 which converges near 1, ( $\beta_n^2 = 1 - 1/2(n + 2)$ ) gives the faster convergence.

140 **6. Average number of iterations in Normal-S iteration method**

141 Table 5 and Table 6 show the average number of iterations denoted by ANI of  
142 50 tests done for different values of  $\beta_n$  [7]. For this purpose, we have considered the  
143 following two test functions, which are three times differentiable:

144 **Example**  $F_2(x) = x^3 - 2x^2 + x - 1.0 = 0$ .

145 It has root  $x^* = 1.75487766624669$ . We have taken the initial approximations in the grid  
146 as follows:  $x_0 = 0.25 + ih, i = 1, \dots, 50$  and  $h = 0.03$  (see Table 5). Allowed error is  
147  $10^{-14}$ .

148

149 **Example**  $F_6(x) = x \exp(-x^2) - (\sin x)^2 + 3 \cos x + 5$ .

150 It has root  $x^* = -1.20764782713092$ . We have taken the initial approximations  $x_0$  in the

Table 4: Normal-S iteration method with variable value of  $\beta$

$f(x)$	Normal S-iteration for sequence $\beta_n^1$		Normal S-iteration for sequence $\beta_n^2$	
	$ \lambda_n  = 0.5$	$\lambda_n$ as Wang and Liu	$ \lambda_n  = 0.5$	$\lambda_n$ as Wang and Liu
$F_1(x)$	-4.000000000000000	-4.000000000000000	-4.000000000000000	-4.000000000000000
	-3.019890471239318	-3.269614812666443	-2.787748595141695	-3.031336002398129
	-2.647689829523139	-2.830596759888509	-2.550732240466982	-2.602227130430227
	-2.552574309373607	-2.597269129310047	-2.546231963106547	-2.546267106449917
	-2.546259317314531	-2.547305870288047	-2.546231731428419	-2.546231731433164
	-2.546231731968219	-2.546232155885697		-2.546231731428418
	-2.546231731428418	-2.546231731428486		
		-2.546231731428418		
$f_2(x)$	1.000000000000000	1.000000000000000	1.000000000000000	1.000000000000000
	0.690862097114279	0.713251419170333	0.588489366623379	0.613537499145787
	0.500158984920628	0.506202917231944	0.388129276855868	0.391429495638206
	0.391718592801076	0.390681052832476	0.323527870651833	0.323501217147855
	0.338547374719877	0.337061756299449	0.318314259700137	0.318313848040219
	0.320626856711258	0.320222652750527	0.318309886184780	0.318309886184561
	0.318346374736978	0.318333591884421	0.318309886183791	0.318309886183791
	0.318309895590689	0.318309889954683		
	0.318309886183791	0.318309886183791		

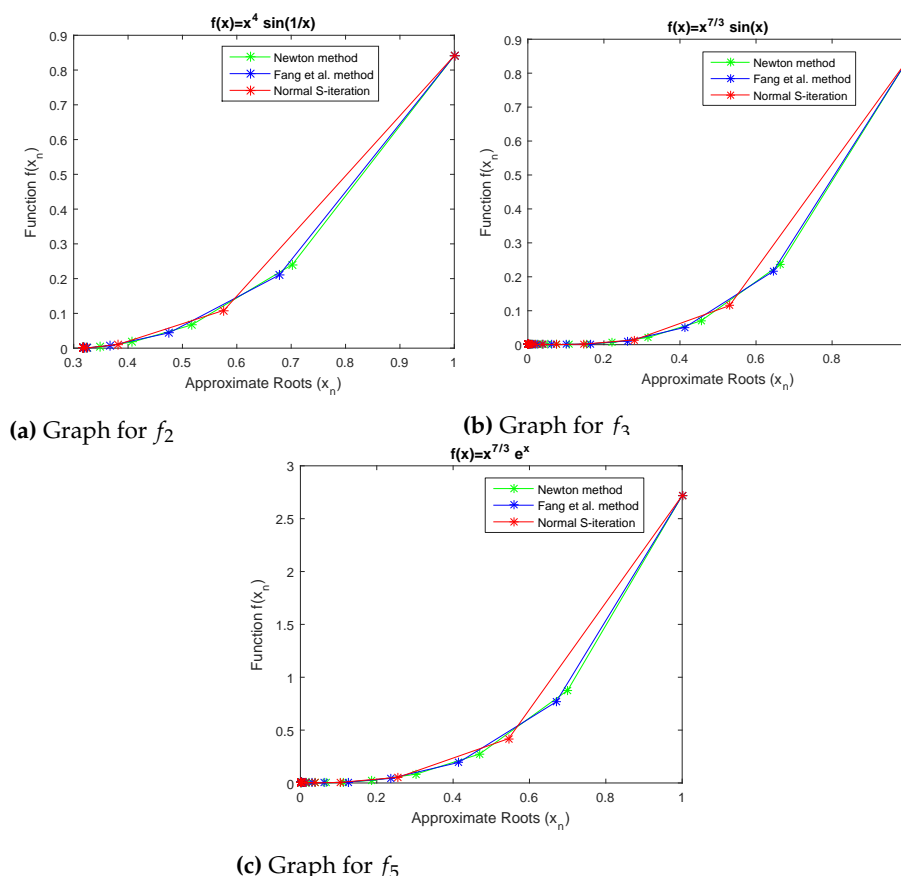
Table 5: Average number of iterations in Normal-S iteration method

$\beta$	Average number of iterations in Normal-S iteration method (ANI)		
	$ \lambda_n  = 0.5$	$ \lambda_n  = 1$	$\lambda_n$ as Wang and Liu
0.1	5.340000	5.080000	5.100000
0.2	5.040000	4.920000	4.920000
0.3	4.800000	4.720000	4.600000
0.4	4.360000	4.480000	4.420000
0.5	4.240000	4.300000	4.280000
0.6	4.100000	4.080000	4.140000
0.7	3.800000	3.760000	3.640000
0.8	3.700000	3.600000	3.540000
0.9	3.620000	3.300000	3.340000

Table 6: Average number of iterations in Normal-S iteration method (ANI)

$\beta$	Average number of iterations in Normal-S iteration method		
	$ \lambda_n  = 0.5$	$ \lambda_n  = 1$	$\lambda_n$ as Wang and Liu
0.1	5.725490	5.411765	5.333333
0.2	5.411765	5.196078	5.137255
0.3	5.176471	4.941176	4.882353
0.4	4.980392	4.823529	4.764706
0.5	4.705882	4.666667	4.607843
0.6	4.431373	4.549020	4.450980
0.7	4.254902	4.352941	4.294118
0.8	3.764706	4.137255	4.058824
0.9	3.803922	3.764706	3.666667





**Figure 1.** Graph between value of functions and roots

grid as follows:  $x_0 = -2.0 + ih, i = 1, \dots, 50$  and  $h = 0.03$  (see Table 6). Allowed error is  $10^{-14}$ .

## 7. Convergence behaviour of Newton's, Fang et al. and present method

Convergence behaviour of Newton's method, Fang et al. method [15] and new Newton's like normal S-iteration method are shown in Fig. 1-3. To study the convergence behavior we have taken the test functions  $f_2, f_3$  and  $f_5$  and for each test functions, we have considered the three cases as:

**Case 1:** The graph between function and root for  $f_2, f_3, f_5$ .

Here, from the Fig. 1(a), it is clear that for  $x_0 = 1.0$ , we have  $f_2(x_0) = 0.841470984807896$ . Starting with this initial approximation  $x_0$ , the value of  $x_1$  for Newton's method, Fang et al. method [15] and present method are 0.702195479022049, 0.677964714450141 and 0.576332178830878 respectively. Clearly the present method (red line) is better in its very first iteration among the all three methods. After successive iterations starting with  $x_0 = 1.0$ , present method converges to the root  $x^* = 0.318309886183791$  in very fast manner as shown in figure. Similarly, we can see Fig. 1(b) for the function  $f_3$  and Fig. 1(c) for function  $f_5$ , that the present method converges to the root  $x^* = 0.318309886183791$  faster than others.

**Case 2:** The graph between number of iterations and root for  $f_2, f_3, f_5$ .

For the function  $f_3$ , we have  $f_3(x_0) = 0.841470984807896$  for  $x_0 = 1.0$ . It is clear from Fig. 2(b) that starting with the initial approximation  $x_0$ , Newton's method, Fang et al. method [15] and present method converge to the root  $x^* = 0.0$  in 88, 61 and 49 iterations respectively. Hence, new Newton's like normal S-iteration method takes less number of iterations in a very efficient manner. Similarly, we see the same pattern for  $f_2$  and  $f_5$  in Fig. 2(a) and Fig. 2(c) also.

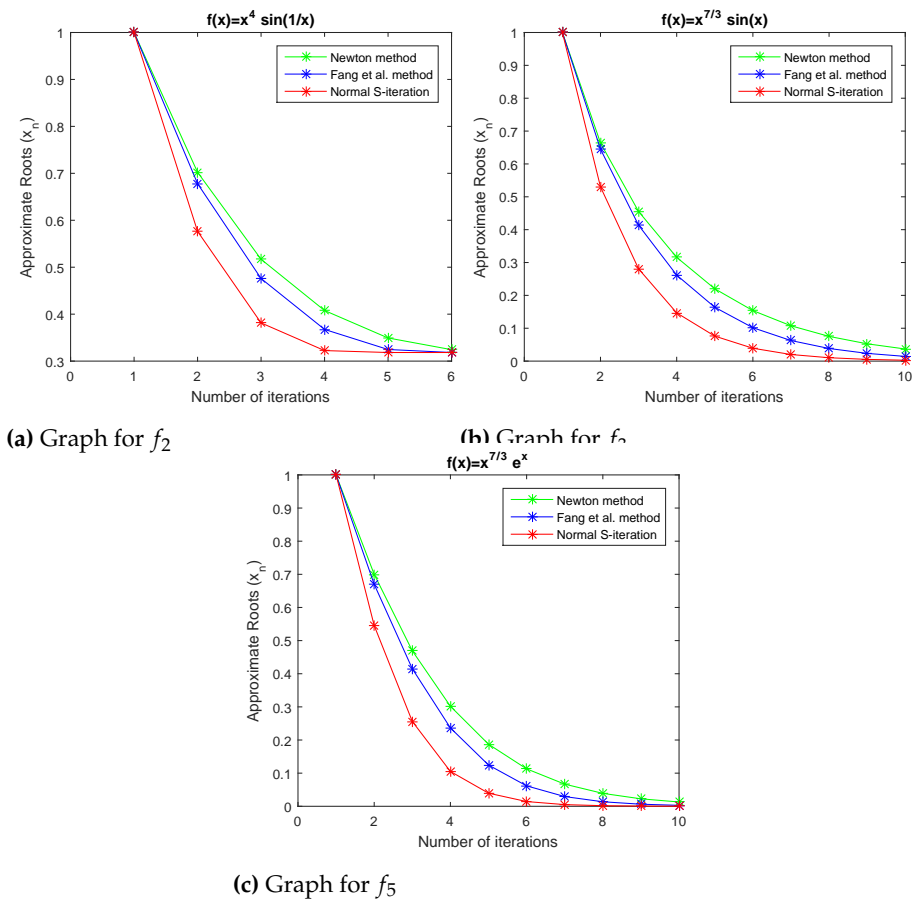


Figure 2. Graph between root and number of iterations

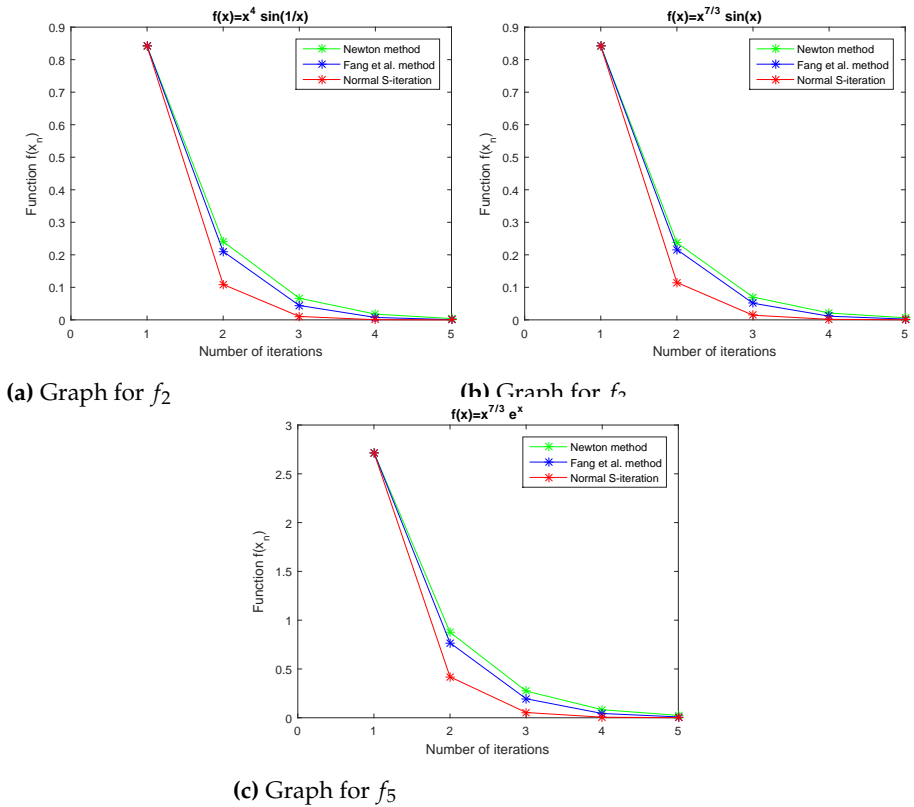


Figure 3. Graph for value of functions and number of iterations

176

177 **Case 3:** The graph between number of iterations and function for  $f_2, f_3, f_5$ .

178 In Fig. 3(c), we have  $f_5(x_0) = 2.718281828459045$  for  $x_0 = 1.0$ . Starting with  $x_0$ , we  
 179 can see from the graph that the value of the function  $f_5$  in present method becomes 0 in  
 180 33 iterations while the Newton's method and Fang et al. method [15] takes 89 and 43  
 181 respectively, which shows that the present method converging to the root  $x^* = 0.0$  faster  
 182 than the Newton's method and Fang et al. method. Fig. 3(a) and Fig. 3(b) shows the  
 183 same thing for the functions  $f_2$  and  $f_3$  respectively.

184 **8. Dynamical Results of Methods for  $f_1, f_2, F_1, F_2$** 

Now, we will define the following definitions but in the extended complex plane.

**Definition 2** (see [20], [21]) Let us consider  $g : I \rightarrow \mathbb{C}$  be a rational map on the Riemann sphere, where  $I$  is a subset of the complex numbers  $\mathbb{C}$ . Then a point  $z_0$  is said to be a fixed point of  $g$  if

$$g(z_0) = z_0.$$

Again for any point  $z \in \mathbb{C}$ , the Orbit of the point  $z$  can be defined as the set

$$Orb(z) = \{z, g(z), g^2(z), \dots, g^n(z), \dots\}.$$

**Definition 3** (see [20], [21]) A periodic point  $z_0$  is said to be of period  $k$  if  $\exists$  a smallest positive integer  $k$  i.e.  $g^k(z_0) = z_0$ .

**Remark** If  $z_0$  is periodic point of period  $k$ , then clearly it is a fixed point for  $g^k$ .

**Definition 4** (see [20], [21]) Let  $z^*$  be a zero of the function  $F$ , then the basin of attraction of the zero  $z^*$  is defined as the set of all initial approximations  $z_0$  such that any numerical iterative method starting with  $z_0$  converges to  $z^*$ . It can be written as

$$B(z^*) = \{z_0 : z_{n+1} = g^n(z_0) \text{ converges } \rightarrow z^*\}. \quad (19)$$

Here  $g^n$  is any fixed point iterative method.

**Remark** For example in case of Newton's method

$$z_{n+1} = g(z_n),$$

$$g(z_n) = z_n - \frac{F(z_n)}{F'(z_n)}, \quad n = 0, 1, 2, \dots$$

We can write the basin of attraction of the zero  $z^*$  for the Newton's method as follows:

$$B(z^*) = \{z_0 : z_{n+1} = g^n(z_0) \text{ converges } \rightarrow z^*\}.$$

185 **Definition 5** (see [20], [21]) The Julia set of a nonlinear map  $g(z)$  is denoted as  $J(g)$  and is  
 186 defined as a set consisting of the closure of its repelling periodic points. The complement  
 187 of Julia set  $J(g)$  is called as the Fatou set  $f(g)$ .

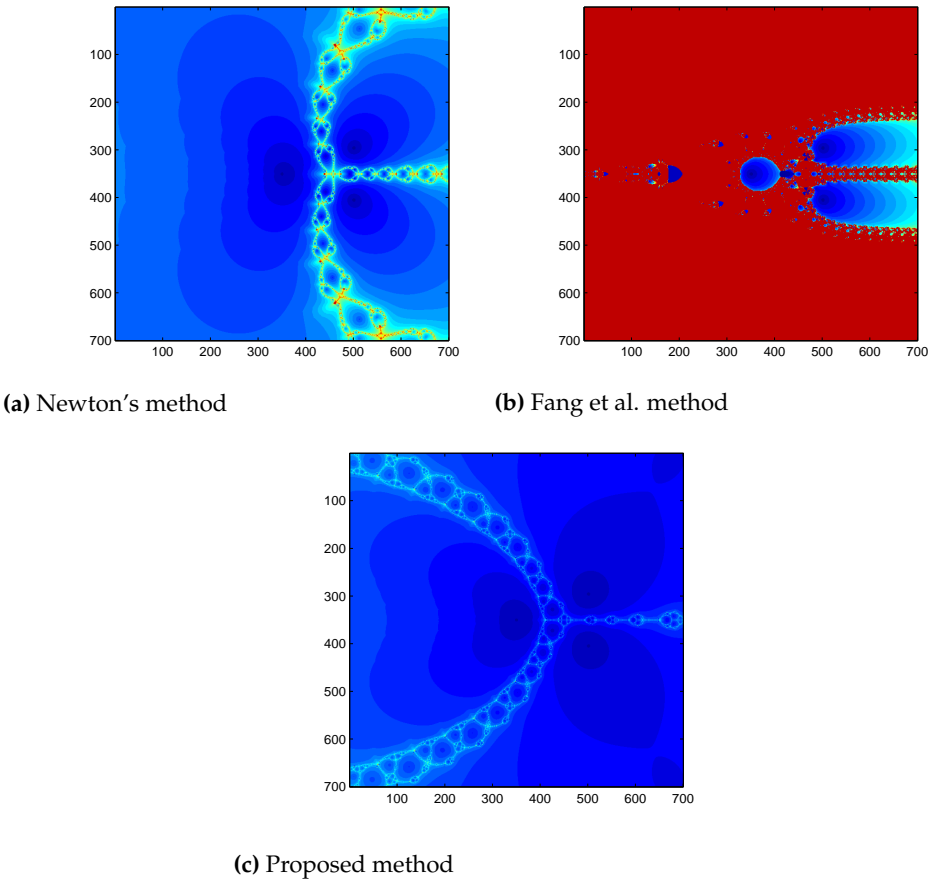
188 **Remark**

189 (i) Some times Julia set of a nonlinear map may also be defined as the common boundary  
 190 shared by basins of the roots and the Fatou set may also be defined as the set which  
 191 contains the basin of attraction.

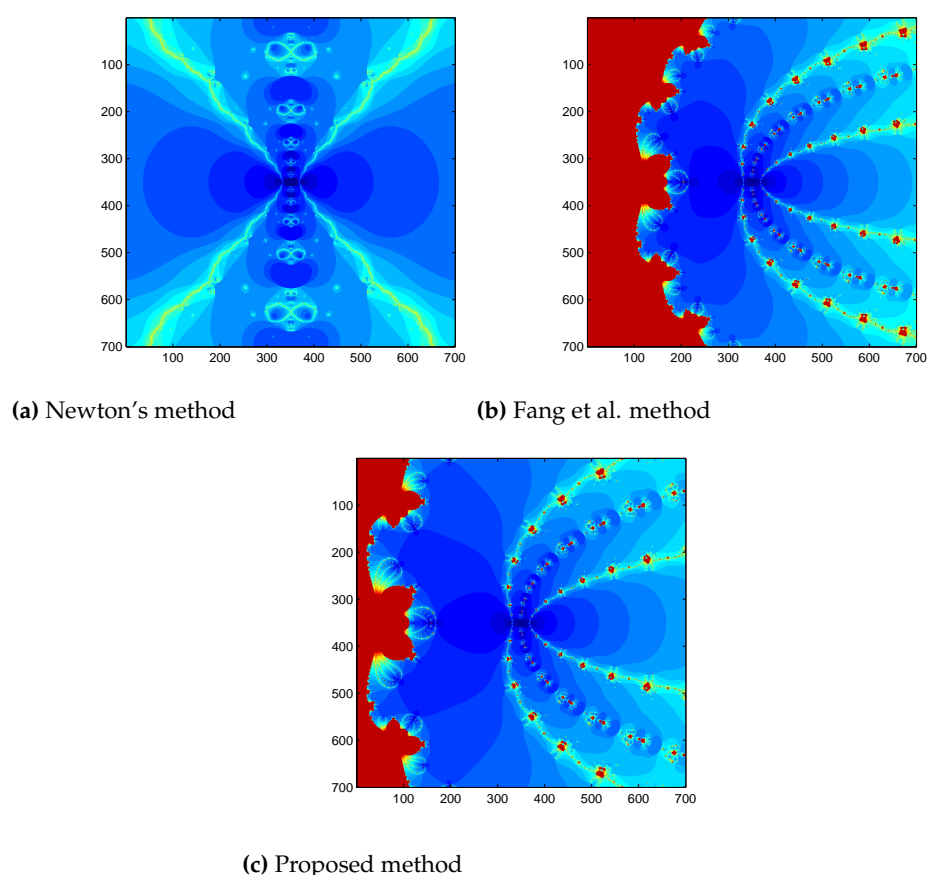
192 (ii) Fractals are very complicated phenomenon that may be defined as a self-similar  
 193 surprising geometric object which repeated at every small scale ([4]).

194

195 We have studied the dynamical analysis of the rational functions using iterative  
 196 methods. Then, we examined the theoretical and numerical results with the help of  
 197 dynamical results. Dynamical study helps to understand the convergence and stability  
 198 of the methods [20]. We apply our method on a square  $\mathbb{R} \times \mathbb{R} = [-5, 5] \times [-5, 5]$  of  
 199  $700 \times 700$  points with a tolerance-  $|f(z_n)| < 5 \times 10^{-2}$  and a maximum of 30 iterations.



**Figure 4.** Dynamics of different methods for  $f_1(x) = x^2 - \exp x + 1$



**Figure 5.** Dynamics of different methods for  $f_2(x) = x^4 \sin(1/x)$

For any function, if the sequence generated by the iterative methods with any initial point  $z_0$  converge to a zero  $z^*$  in the square, then we say that the point  $z_0$  will lie in the basins of attraction of this zero and we assign a fixed color to this point  $z_0$ . In the following, we have described the speed of convergence and dynamics of the considered methods under two cases for finding complex roots of functions. In first case we have plotted the speed of convergence and dynamics of Newton's method, Fang et al. method [15] and the proposed method for functions  $f_1, f_2$  (whose third order differential does not exist). In the second case we have shown the speed of convergence and dynamics of Newton's method, Wang and Liu method [10] and the proposed method for functions  $F_1, F_2$  (whose third order differentials exist).

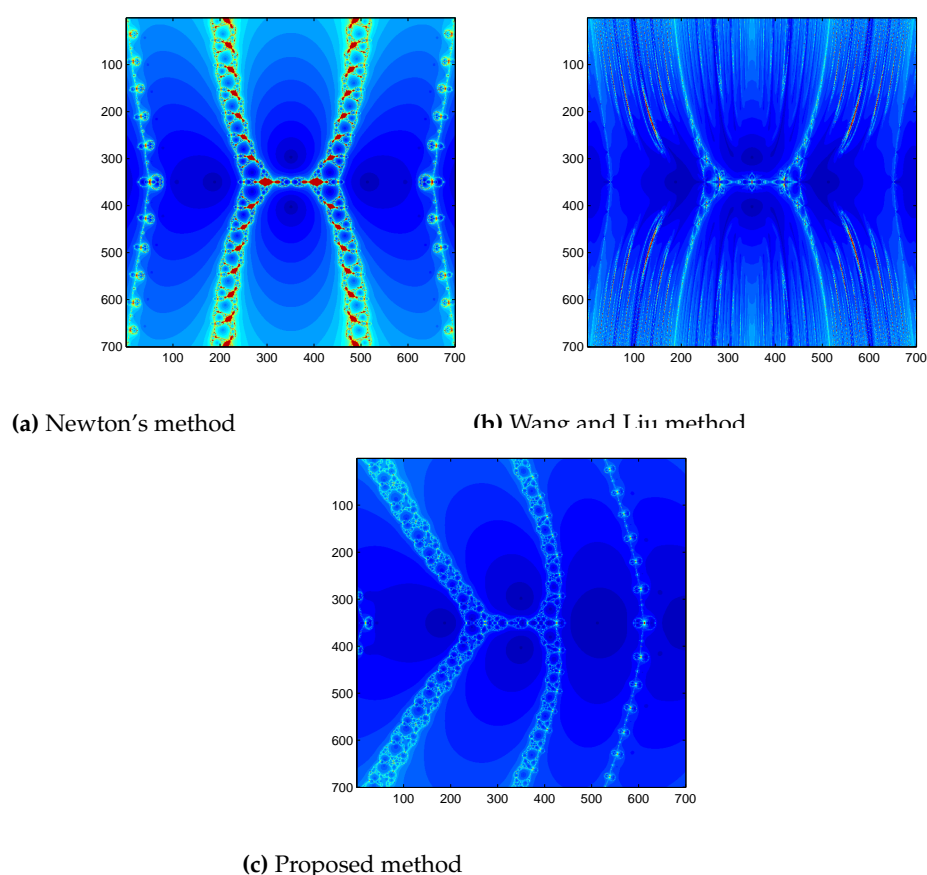
### 8.1. Functions whose third order differential does not exist

$$f_1(x) = x^{\frac{5}{2}} - \exp x + 1, \quad x^* = 0.0,$$

$$f_2(x) = x^4 \sin(1/x), \quad x^* = 0.31830988618379.$$

For the function  $f_1 = x^{\frac{5}{2}} - \exp x + 1, \quad x^* = 0.0$ , the dynamics and speed of convergence for various methods are shown in Fig. (4a), (4b), and (4c). It is clear from Fig. 4 that the proposed method with  $|\lambda_n| = 0.5$  and  $\beta_n = 0.9$  generate bigger orbits and darker color having less fractal boundaries and chaotic behavior. Newton's method show some type of chaotic behavior. Dynamics of Fang et al. method [15] generate smaller orbits but bigger Julia set showing the worst method.

The the dynamics and speed of convergence of Newton's method, Fang et al. method and the proposed method for  $f_2 = x^4 \sin(1/x)$ , have been plotted in Fig. 5(a), 5(b), and 5(c) respectively. Clearly, fractal patterns of Newton's method contains large



**Figure 6.** Dynamics of different methods for  $F_1(x) = x \sin x + \cos x - 0.6$

size of julia set having fractal boundadries and chaotic behaviour. Whereas proposed  
mathod and Fang et al. method [15] contains large size of Fotou set with basins but both  
the method have some non converging region in the left side.

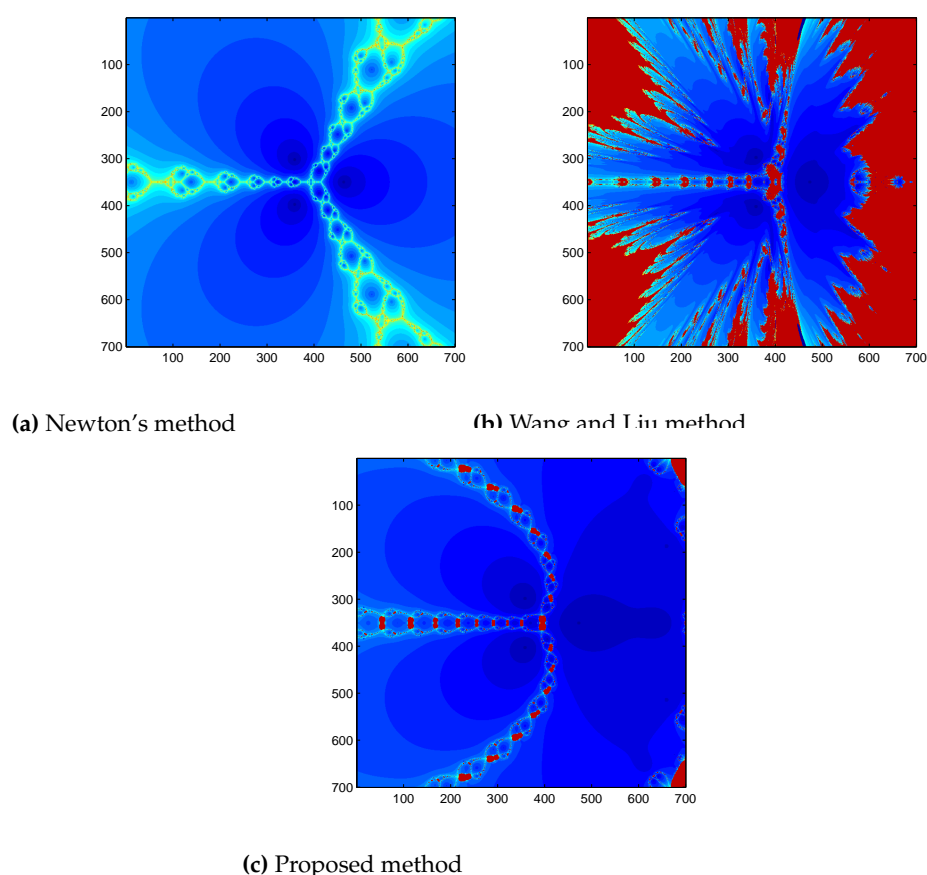
## 8.2. Functions whose third order differentials exist

$$F_1(x) = x \sin x + \cos x - 0.6, \quad x^* = -2.54623173142842,$$

$$F_2(x) = x^3 - 2x^2 + x - 1, \quad x^* = 1.75487766624669.$$

For  $F_1 = x \sin x + \cos x - 0.6$ , the dynamics of Newton's method, Wang and Liu  
method [10] and the proposed method can be seen in Fig. 6(a), 6(b), 6(c) respectively.  
Here Fig. 6 show that the proposed method with  $|\lambda_n| = 0.5$  and  $\beta_n = 0.9$  is the best  
estimation because of bigger orbits and darker color having less fractal boundaries and  
chaotic behavior. Wang and Liu method [10] generate some type of chaotic behavior and  
Newton's method generate smaller orbits having fractal Julia set. This is the reason why  
Newton's method take several iterations and some times get failed.

The dynamics of Newton's method, Wang and Liu method and the proposed  
method for function  $F_2 = x^3 - 2x^2 + x - 1$  have been shown in Fig. 7(a), 7(b), and 7(c).  
Failure of Newton's method with starting point  $x_0 = 1.0$ , as shown in the Table 3 is  
proved by fractal patterns Fig. 7(a). The speed of convergence of the Newton method  
and Wang and Liu method [10] is slow having fractal Julia set, chaotic behavior in  
comparison to the proposed method.



**Figure 7.** Dynamics of different methods for  $F_2(x) = x^3 - 2x^2 + x - 1$

### 239 8.3. Dynamics of proposed method with variable value of $\beta$ for example $F_2$

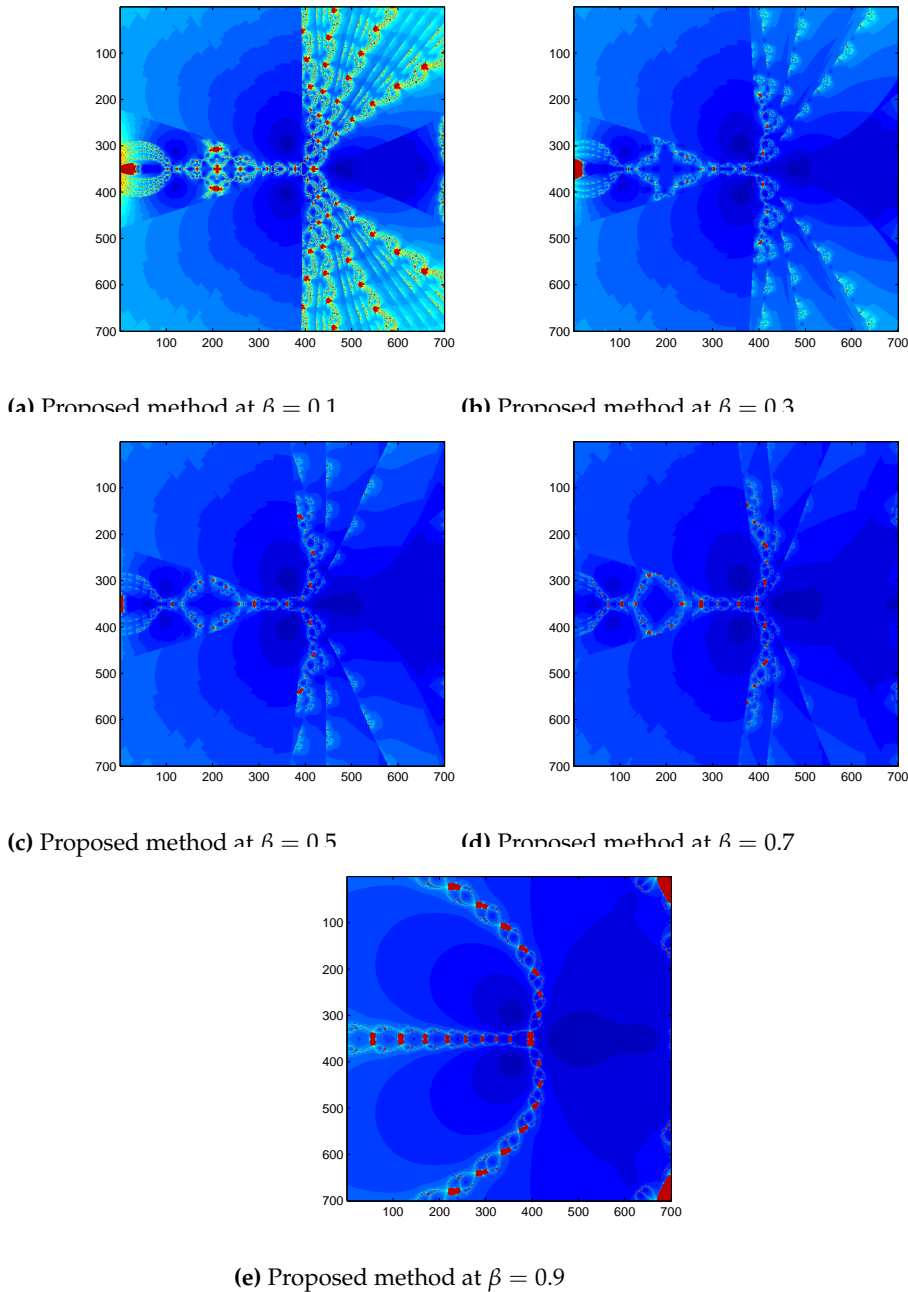
240 We have plotted the speed of convergence and dynamics of proposed method with  
 241 variable value of  $\beta$  for  $F_2(x) = x^3 - 2x^2 + x - 1$ ,  $x^* = 1.75487766624669$ . Results  
 242 are shown in Fig 8. It is clear from figure that speed of convergence is increasing with  
 243 increase in value of  $\beta$  and for the value of  $\beta = 0.9$ , speed of convergence is optimal with  
 244 bigger orbits and less chaotic behavior in comparison to the other value of  $\beta = 0.1, 0.3, 0.5$   
 245 and  $0.7$ .

## 246 9. Conclusion

247 We have obtained a new Newton's like normal S-iteration method for finding the  
 248 root of the non-linear equation  $f(x) = 0$ . Theoretical results shows that it requires  
 249 only second-order differentiability rather than third-order differentiability just like other  
 250 methods. Numerical results and graphical illustration shows that the present method  
 251 (7) is most effective and superior when Newton's method fails as well as it performs  
 252 better than same order Fang et al. method [15] and third order Wang and Liu method  
 253 [10] as it converges to the root much faster in very efficient manner for different values  
 254 of  $\lambda_n$  with  $\beta_n = 0.9$ . Further, we have shown that the proposed method (7) converges  
 255 to the root much faster for different values of  $\lambda_n$  with a sequence of variable value of  $\beta$ ,  
 256 which converges to one. Dynamical analysis also support the theoretical and Numerical  
 257 results related to the convergence and stability behaviour of proposed method. Thus,  
 258 from a practical point of view, the new Newton's like normal S-iteration method has the  
 259 definite practical utility.

## 260 10. Conflict of interest

261 The authors declare that they have no conflict of interest.



**Figure 8.** Dynamics of proposed method with variable value of  $\beta$  for  $F_2(x) = x^3 - 2x^2 + x - 1$



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