

## Article

# Effectiveness of Abdominal Ultrasonography for Improving the Prognosis of Pancreatic Cancer during Medical Checkup: A Single Center Retrospective Analysis

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**Abstract:** Recent advancements in surgical and anti-cancer therapies have provided significant hope of long survival in patients with pancreatic cancer (PC). To realize this hope, routine medical checkups of asymptomatic people should be performed to identify operable PCs. In this study, we evaluated the efficacy of medical checkups using abdominal ultrasonography (US). We retrospectively analyzed 374 patients with PC at our institute between 2010 and 2021. We divided these patients into several groups according to the diagnostic approach and compared their background and prognosis. These groups comprised PCs diagnosed through (a) symptoms, 242 cases; (b) US during medical checkup for asymptomatic individuals, 17; and other means. Of the 375 patients, 192 were men (51.3%), and the median age was 74 years (34–105). Tumors were located in the pancreatic tail in 67 patients (17.9%). Excision ratio and 5-year survival rate were significantly better in group (b) than in (a) (58.8% vs. 23.1%,  $P < 0.01$  and 42.2% vs. 9.4%,  $P < 0.001$ , respectively). The prognosis of patients diagnosed using US during medical checkup was better than that of patients identified through symptomatic presentation of PC. US for asymptomatic individuals with PC might be useful for promoting better prognosis of PCs.

**Keywords:** pancreatic cancer (PC); abdominal ultrasonography (US); surveillance; prognosis; medical checkup; 5-year survival; cancer screening

## 1. Introduction

Pancreatic cancer (PC) is the worst prognostic cancer, and its 5-year survival rate (5-SR) is approximately 7.1% and 10% in Japan and the United States, respectively [1,2]. It is believed that surgical intervention at an early stage improves chances of survival and prolong the prognosis of patients with PC [1,2]. To achieve such positive results, there is a need identify asymptomatic patients with PC. Recently, to ensure early detection of PCs, attention has been paid to patients with new-onset or rapid worsening of diabetes mellitus and surveillance for individuals with intraductal papillary mucinous neoplasm (IPMN)

and family history (FH) of PC [3]. Nevertheless, most patients with PC present with symptoms such as jaundice, abdominal pain, appetite loss, etc. These unfortunate results might be due to the fact that most patients with PC do not come from screening for IPMN and FH of PC, although these two factors are important indicators of PCs [4–7]. In Japan and the United States, screening for PC is not currently recommended due to various reasons [8]. One reason is the report that there is no evidence of cancer screening improving the disease-specific morbidity or mortality of PC [8]. In this study, we analyzed the prognosis of patients with PC who were divided into eight groups according to how PC was diagnosed and evaluated the usefulness of abdominal ultrasonography (US) during medical checkups of asymptomatic individuals.

## 2. Materials and Methods

### 2.1. Patients

This retrospective study included 374 patients diagnosed with PC between April 2010 and June 2021 at the National Hospital Organization Kure Medical Center and Chugoku Cancer Center (Kure city, Hiroshima prefecture, Japan). The types of PC we included in this study were pancreatic ductal adenocarcinoma (PDAC), intraductal papillary mucinous neoplasm (IPMN) with high-grade dysplasia, and IPMN associated with invasive adenocarcinoma. We excluded patients with neuroendocrine neoplasm, solid pseudo-papillary neoplasm, acinar cell carcinoma, mucinous cystic neoplasm, and pancreatic metastasis from other cancers. This study was performed in accordance with the Declaration of Helsinki and was approved by our ethics committee (No. 2022-24). Patients were not required to provide informed consent to the study because the analysis was performed using anonymous clinical data. For disclosure, the details of the study are posted on some walls in the National Hospital Organization Kure Medical Center and Chugoku Cancer Center.

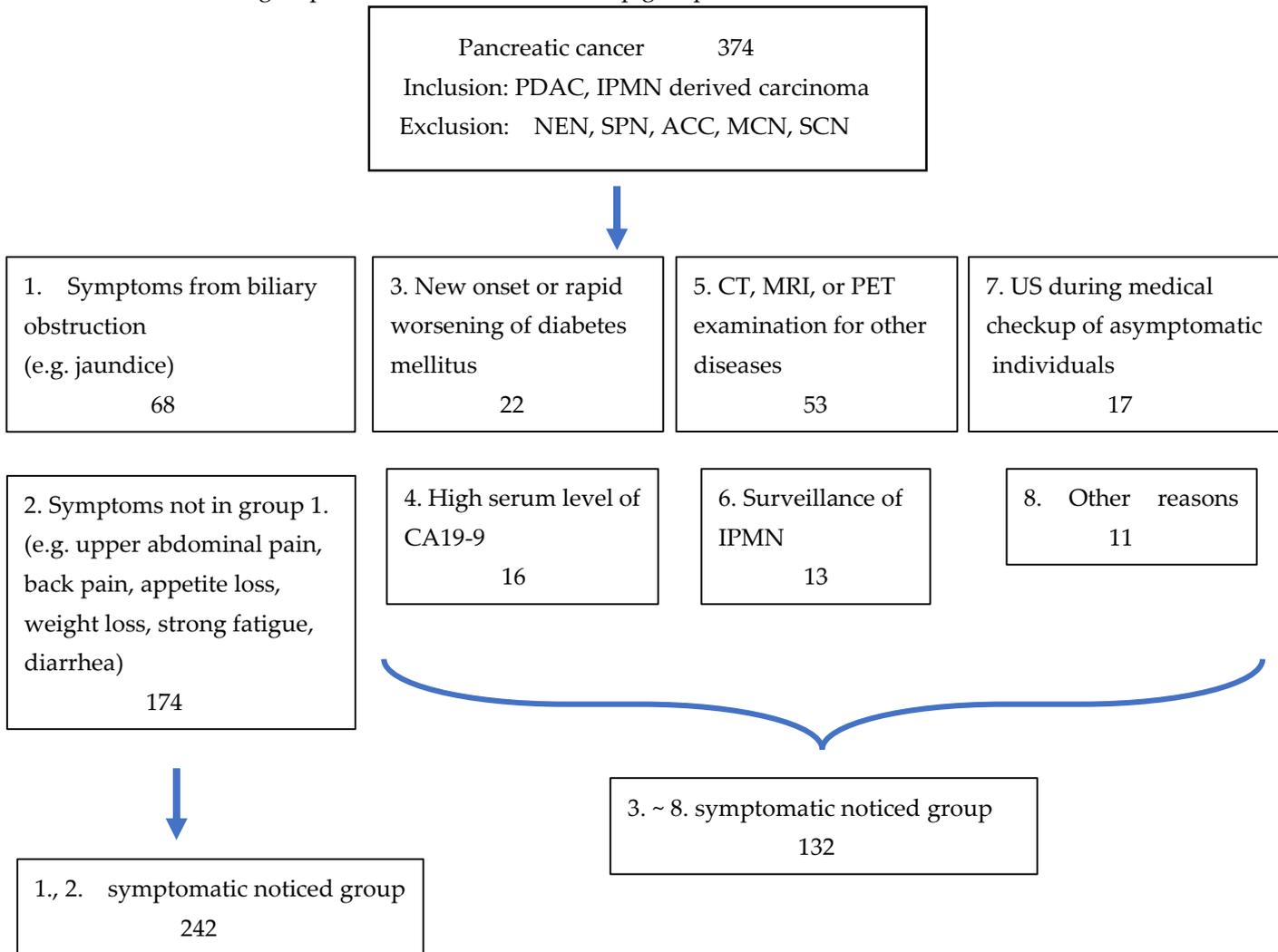
### 2.2. Initial diagnosis and follow-up

The height and body weight of the participants were assessed and any history of comorbidities (especially, diabetes mellitus), malignancies, alcohol intake or smoking and FH of PC were recorded. Patients underwent blood tests, abdominal contrast enhanced computed tomography scans (CE-CT), magnetic resonance cholangiopancreatography (MRCP), and endoscopic ultrasonography (EUS) during their first visit to our hospital. Further, they underwent fine-needle aspirations using EUS and/or pancreatic juice cytology using endoscopic retrograde pancreatography (ERP). Presently, we use positron emission tomography (PET) and hepatobiliary magnetic resonance imaging with gadoxate disodium for detecting distant metastasis. IPMN-derived carcinoma was differentiated from concomitant PDAC in IPMN based on an assessment of the continuity of the carcinoma and IPMN using imaging studies or pathological examinations. For diagnosis, we first used surgical specimens, and imaging studies were used if surgery was not performed. For prognosis, we retrospectively collected data from medical records in our institute.

### 2.3. Grouping of patients with pancreatic cancer according to their diagnostic approach

We divided the patients with PC into eight groups according to how PC was diagnosed (Figure 1). These groups comprised patients who were diagnosed based on 1. Symptoms from biliary obstruction (e.g., jaundice), 2. other symptoms (e.g., upper abdominal pain, back pain, appetite loss, weight loss, strong fatigue, and diarrhea), 3. new-onset or rapid worsening of diabetes mellitus, 4. High serum level of carbohydrate antigen 19-9 (CA19-9), 5. computed tomography, magnetic resonance imaging, or PET examination for other diseases, 6. Surveillance of IPMN, 7. US during medical checkup of asymptomatic individuals (hereinafter referred to as US medical checkup), and 8. other reasons. In this analysis, we defined patients in groups 1 or 2 as symptomatic noticed group and

3–8 as asymptomatic noticed group (Figure 1). Hereinafter, we also refer to patients in group 7 as the US medical checkup group.



**Figure 1.** Patient flow diagram. We collected 374 patients with pancreatic cancers (PCs) including those with pancreatic ductal adenocarcinoma (PDAC) and intraductal mucinous papillary neoplasm (IPMN) derived carcinoma and excluding those with neuroendocrine neoplasm (NEN), solid pseudo-papillary neoplasm (SPN), acinar cell carcinoma (ACC), mucinous cystic neoplasm (MCN), and serous cystic neoplasm (SCN). We divided patients with PC into 8 groups according to how PC was diagnosed. Patients in group 1 or 2 were defined as the symptomatic noticed group and 3–8 as the asymptomatic noticed group.

#### 2.4. Evaluations

We analyzed the backgrounds, clinical stages, excision ratio, and prognosis of the participants and compared the differences between the symptomatic noticed group and asymptomatic groups and between the symptomatic noticed group and each of the other groups.

#### 2.5. Predictive factors of operable pancreatic cancers and long-term prognosis in the symptomatic noticed and the ultrasonography medical checkup group

To further confirm the efficacy of US medical checkup compared to symptom identification, we performed multivariate analysis of the excision ratio and prognosis of patients with were diagnosed by symptoms (242 patients) and by US medical checkup (17 patients), totaling 259 patients. We analyzed the characteristics of resected cases among the

259 patients. In addition, we performed survival analysis for these 259 patients using Cox regression hazard model.

#### 2.6. Details of patients diagnosed through ultrasonography during medical checkup

We analyzed the details of patients diagnosed through US medical checkup. Place where PC was found, doctor's specialty, patient's comorbidities, ultrasonographic findings, clinical or pathological stage, therapy prescribed, and prognosis were described.

#### 2.7. Statistical analyses

Fisher's exact test was used to analyze categorical variables, and the Welch's *t*-test and Median test were used to analyze quantitative data where appropriate. Binomial regression analysis was performed to identify independent predictors of resectable PCs in groups 1,2,7. The log-rank test with the Kaplan–Meier method was used to evaluate survival in a univariate analysis, and a Cox regression hazard model was used for multivariate analysis to identify factors associated with prognosis. All statistical analyses of the recorded data were performed using the Excel statistical software package (Ekuseru-Toukei, version 2015; Social Survey Research Information Co., Ltd., Tokyo, Japan).

### 3. Results

#### 3.1. Patient characteristics

**Table 1.** summarizes the clinical features of the 374 patients with PC (242 symptomatic noticed patients and 132 asymptomatic noticed patients; 192 men, 51.3% and 182 women, 48.7%) with a median age of 74 years (range, 34–105 years). The proportion of patients with any of the three comorbidities (hypertension, diabetes mellitus, and hyperlipidemia) was 70.9%. There were more patients with diabetes mellitus, hypertension, hyperlipidemia, history of malignancy, and history of smoking in the asymptomatic noticed group than in the symptomatic group. The proportion of PC localized in the pancreatic tail in the 374 patients was 17.9%, and the excision ratio was 36.6%.

Table 1. Patients' characteristics in all patients.

	All patients	Symptomatic noticed group	Asymptomatic noticed group	P-value #
Patients' number	374	242	132	
Male, n (%)	192 (51.3)	126 (52.0)	66 (50)	0.75
Age, median (range), years	74 (34 – 105)	72 (34 – 105)	76 (44 – 98)	<0.01
Comorbidities				
Diabetes mellitus, n (%)	120 (32.1)	56 (23.1)	64 (48.5)	<0.001
Hypertension, n (%)	162 (43.3)	93 (38.4)	69 (52.3)	<0.05
Hyperlipidemia, n (%)	76 (20.3)	37 (15.3)	39 (29.5)	<0.01
Any of the above 3 diseases, n (%)	265 (70.9)	160 (66.1)	105 (79.5)	<0.01
History of other cancer, n (%)	70 (17.7)	34 (14.0)	36 (26.9)	<0.01
History of heavy drinking (ethanol $\geq$ 100g/day)	19 (5.1)	9 (3.7)	10 (7.6)	0.13
History of smoking, n/N (%)	197 / 373 (52.8)	115 / 241 (47.7)	82 / 132 (62.1)	<0.01
Family history of PC				
( $\leq$ 1 <sup>st</sup> degree) , n/N (%)	27 / 289 (9.3)	15 / 180 (8.3)	12 / 109 (11.0)	0.53
( $\leq$ 2 <sup>st</sup> degree) , n/N (%)	30 / 289 (10.4)	18 / 180 (10)	12 / 109 (11.0)	0.84
PDAC, IPMN-derived carcinoma, n	355, 19	235, 7	120, 12	<0.05
Localization of PC				
uncus, head, groove,	35, 119, 8,	24, 81, 9,	7, 38, 3,	
head ~ body,	11,	6,	5,	
body, body ~ tail, tail	104, 30, 67	64, 19, 39	40, 11, 28	
tail, n (%)	67 (17.9)	39 (16.1)	28 (21.2)	0.26
Tumor size* median (range), mm	34 (0 – 128)	66 (0 – 128)	25 (0 – 100)	<0.001
Clinical or pathological Stage (UICC 8 <sup>th</sup> )				
0,1,2,	12, 8, 144,	1,1,66,	11,7,78,	
3,4	41, 169	35,139	6,30	
0,1,2, n (%)	164 (43.9)	68 (28.1)	96 (72.7)	<0.001
Therapy				
BST, n (%)	76 (20.3)	57 (23.6)	19 (14.4)	<0.05
Chemotherapy	158	128	30	
Radiation	2	1	1	
Excision, n (%)	138 (36.9)	56 (23.1)	82 (62.1)	<0.001
BMI, median (range), kg/mm <sup>2</sup>	21.9 (13.6 – 35.2) n = 371	21.6 (14.3 – 35.2) n = 240	22.5 (13.6 – 34.3) n = 131	0.13
BMI <18.5, n (%)	67 (18.1)	48 (20)	19 (8.2)	0.06
18.5 $\leq$ BMI <25	323	155	77	
25 $\leq$ BMI	72	37	35	
CA19-9, median (range), U/ml	239 (<2 – 26165454)	557 (<2 – 26165454)	104 (<2 – 7575434)	<0.01
AMY, median (range), U/l	68 (12 – 902) n=373	63 (12 – 902) n=242	77 (13 – 372) n=131	<0.05
Alb, median (range), g/dl	4.0 (12 – 90.2) n=371	4.0 (2.2 – 5) n=241	4.1 (2.5 – 5.2) n=130	<0.01
NLR, median (range)	3.4 (0.6 – 27.7) n=372	3.6 (0.6 – 27.7) n=241	2.8 (0.69 – 12.5) n=131	<0.001
PNI, median (range)	47.6 (26.6 – 80.1) n=370	46.5 (26.6 – 61.7) n=240	48.8 (28.3 – 80.1) n=130	<0.01

\*Tumor size was calculated using the solid part. We had several data defectiveness, and "n" in the table shows analyzed patient number and "n/N" in the table shows positive number/analyzed number. #Statistical analysis was performed to compare the differences between the asymptomatic and symptomatic noticed groups. PC: pancreatic cancer, PDAC: pancreatic ductal adenocarcinoma, IPMN: intraductal papillary mucinous neoplasm, BST: best supportive therapy, BMI: body mass index, CA19-9: serum level of carbohydrate antigen 19-9, AMY: serum level of amylase, Alb: serum level of albumin, NLR: neutrophil to lymphocyte ratio, PNI: prognostic nutrition index.

Patients in the asymptomatic noticed group had significantly smaller tumor size (median tumor size: 28 mm vs. 39 mm,  $P < 0.001$ ), earlier stage of PC (total proportion of stages 0, 1, and 2: 72.7% vs. 28.1%,  $P < 0.001$ ), and higher excision ratio (62.1% vs. 23.1%,  $P < 0.001$ ) compared with that had by the symptomatic noticed group. In addition, CA19-9, neutrophil to lymphocyte ratio (NLR), prognostic nutrition index (PNI) possible prognostic factors of PC were better in the asymptomatic group than in symptomatic group (median CA19-9: 104 vs. 557 U/ml,  $P < 0.01$ , NLR: 2.8 vs. 3.6,  $P < 0.001$ , and PNI: 48.8 vs. 46.5,  $P < 0.01$ , respectively).

### 3.2. Patient characteristics in each group according to how pancreatic cancer was diagnosed

The characteristics of patients with PC according to the eight groups are described in Table 2. The other approaches used to diagnose PC in patients in group 8 are described in Table S1.

**Table 2.** Patient's characteristics in each group.

Group	1	2	1, 2	3	4	5	6	7
Patients' number	68	174	242	22	16	53	13	17
Male, n (%)	29 (42.6)	97 (55.7)	126 (52.1)	9 (40.9)	4 (25) *	32 (60.4)	10 (76.9)	8 (47.1)
Age, median (range), years	76 (41 – 105)	71 (34 – 93)	71 (34 – 105)	74 (44 – 87)	83 (66 – 89) *	76 (45 – 89)	81 (71 – 86) *	75 (59 – 86)
IPMN-derived carcinoma, (vs. PDAC), n (%)	1 (1.5)	6 (3.4)	7 (2.9)	0 (0)	1 (1.9)	6 (11.3)	3 (23.1) *	1 (5.9)
Localization of PC								
uncus, head, groove, head ~ body, body, body ~ tail, tail	3,49, 7,3, 5,1, 0	21,32, 2,3, 59,18, 39	24,81, 9,6, 42,19, 39	0,8, 0,2, 5,2, 5	2,4, 0,0, 5,1, 4	3,18, 0,1, 17,6, 8	0,4, 0,0, 4,0, 5	2,2, 1,2, 7,0, 3
tail, n (%)	0 (0)	39 (22.4)	39 (16.1)	5 (22.7)	4 (25)	8 (15.1)	5 (38.5)	3 (17.6)
Tumor size**, median (range), mm	30 (0 – 63)	36 (0 – 128)	34 (0 – 128)	25 (0 – 77) #	28 (18 – 55) *	26 (0 – 100) ●	17 (0 – 40) #	20 (0 – 52)
Clinical or pathological stage (UICC 8 <sup>th</sup> )								
0,1,2, 3,4	0,0,37, 7,24	1,1,29, 28, 115	1,1,66, 35, 139	1,1,15, 1,4	0,0,13, 2,1	4,5,27, 3,14	3,0,8, 0,2	2,1,9, 0,5
0,1,2, n (%)	37 (54.4)	31 (17.8)	68 (28.1)	17 (77.3) ●	13 (81.3) ●	36 (67.9) ●	11 (84.6) ●	12 (70.6) ●
Therapy								
BST, n (%)	28 (41.2)	29 (16.7)	57 (23.6)	1 (4.5)	2 (12.5)	12 (22.6)	2 (15.4)	0 (0) *
Chemotherapy	14	114	128	6	2	12	0	7
Radiation	0	1	1	0	0	1	0	0
Excision, n (%)	26 (38.2)	30 (17.2)	56 (23.1)	15 (68.2) ●	12 (75) ●	28 (52.8) ●	11 (84.6) ●	10 (58.8) ●
BMI, median (range), kg/mm <sup>2</sup>	21.8 (14.4 – 32.7)	22.1 (14.3 – 35.2) n = 173	21.6 (14.3 – 35.2) n = 241	22.1 (15.3 – 28.8)	24.1 (16.3 – 34.3)	21.2 (13.6 – 31.9)	21.7 (16.2 – 25.6)	21.6 (18.0 – 29.8)
BMI < 18.5, n (%)	15 (22.1)	15 (8.6)	30 (12.4)	5 (22.7)	1 (6.3)	11 (20.8)	1 (7.7)	1 (5.9)

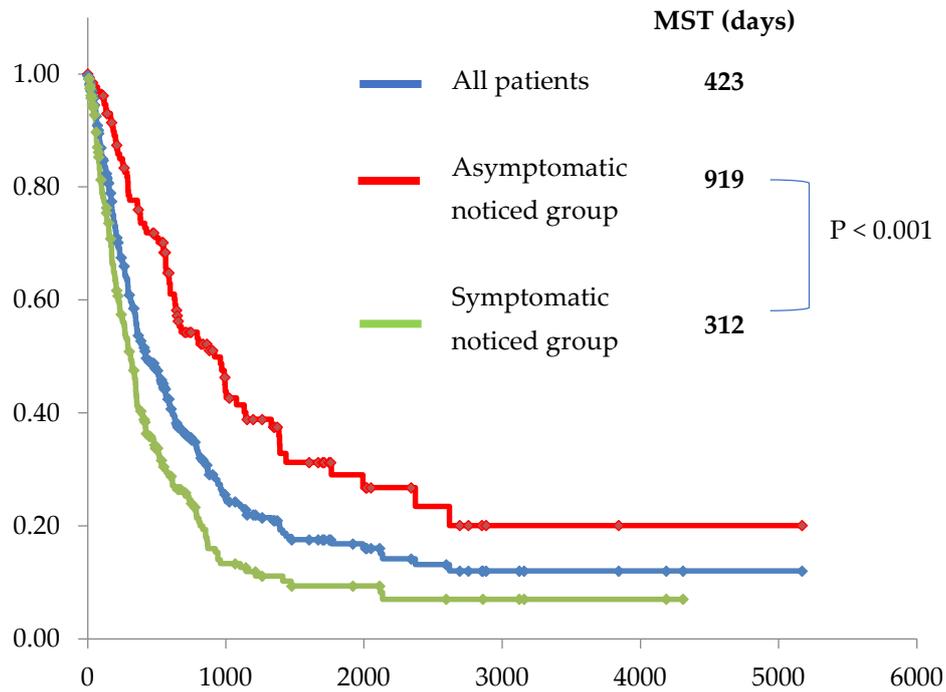
CA19-9, median (range), U/ml	231 (<2 – 194660) n = 67	824 (<2 – 26165454) n = 172	557 (<2 – 26165454) n = 239	312 (<2 – 10334)	391 (43 – 3618)	28 (<2 – 7574431)	15 (<2 – 25550) ●	9 (<2 – 21945) ●
AMY, median (range), U/ml	72 (15 – 517)	61 (12 – 902)	63 (12 – 902)	68 (26 – 267) n = 21	86 (33 – 124)	88 (27 – 372) *	77 (20 – 192)	60 (27 – 286)
Alb, median (range), g/dl	3.7 (2.2 – 4.9)	3.9 (2.2 – 5.0) n = 173	4.0 (2.2 – 5.0) n = 241	4.2 (3.2 – 5.1) n = 21	4.2 (3.5 – 4.5)	4.0 (3.2 – 5.2)	4.2 (3.2 – 4.4) n=12	4.0 (2.9 – 4.8)
NLR, median (range)	3.9 (0.9 – 27.7)	3.6 (0.6 – 22.6) n = 173	3.6 (0.6 – 27.7) n = 241	2.5 (0.9 – 11)	2.9 (1.2 – 4.0) *	2.8 (0.7 – 12.5) #	1.9 (0.7 – 3.6) n=12 #	2 (0.9 – 5.3) *
PNI, median (range)	44.7 (27.6 – 57.2) n = 66	47.7 (7.7 – 61.7) n = 172	46.5 (7.7 – 61.7) n = 238	49.1 (38.9 – 62.2) n = 21 *	49.9 (39.9 – 58)	48.1 (28.3 – 80.1)	50.2 (45.9 – 59.5) n=12 #	47.2 (39 – 60.5)

Each group comprised patients identified through 1. symptoms of biliary obstruction, 2. symptoms that were not in group 1, 3. new-onset or rapid worsening of diabetes mellitus, 4. high serum carbohydrate antigen 19-9 (CA19-9) level, 5. computed tomography, magnetic resonance imaging, or positron emission tomography examination for other diseases, 6. surveillance of IPMN, and 7. US during medical checkup of asymptomatic individuals. \*\*Tumor size was calculated using solid part. We compared the differences of items between the asymptomatic noticed group (1 and 2) and each group (3–7) (●:  $P < 0.001$ , #:  $P < 0.01$ , \*:  $P < 0.05$ ). We had several data defectiveness, and “n” in the table shows analyzed patient number and “n/N” in the table shows positive number/analyzed number. IPMN: intraductal papillary mucinous neoplasm, PC: pancreatic cancer, BST: best supportive therapy, BMI: body mass index, AMY: serum level of amylase, Alb: serum level of albumin, NLR: neutrophil to lymphocyte ratio, PNI: prognostic nutrition index.

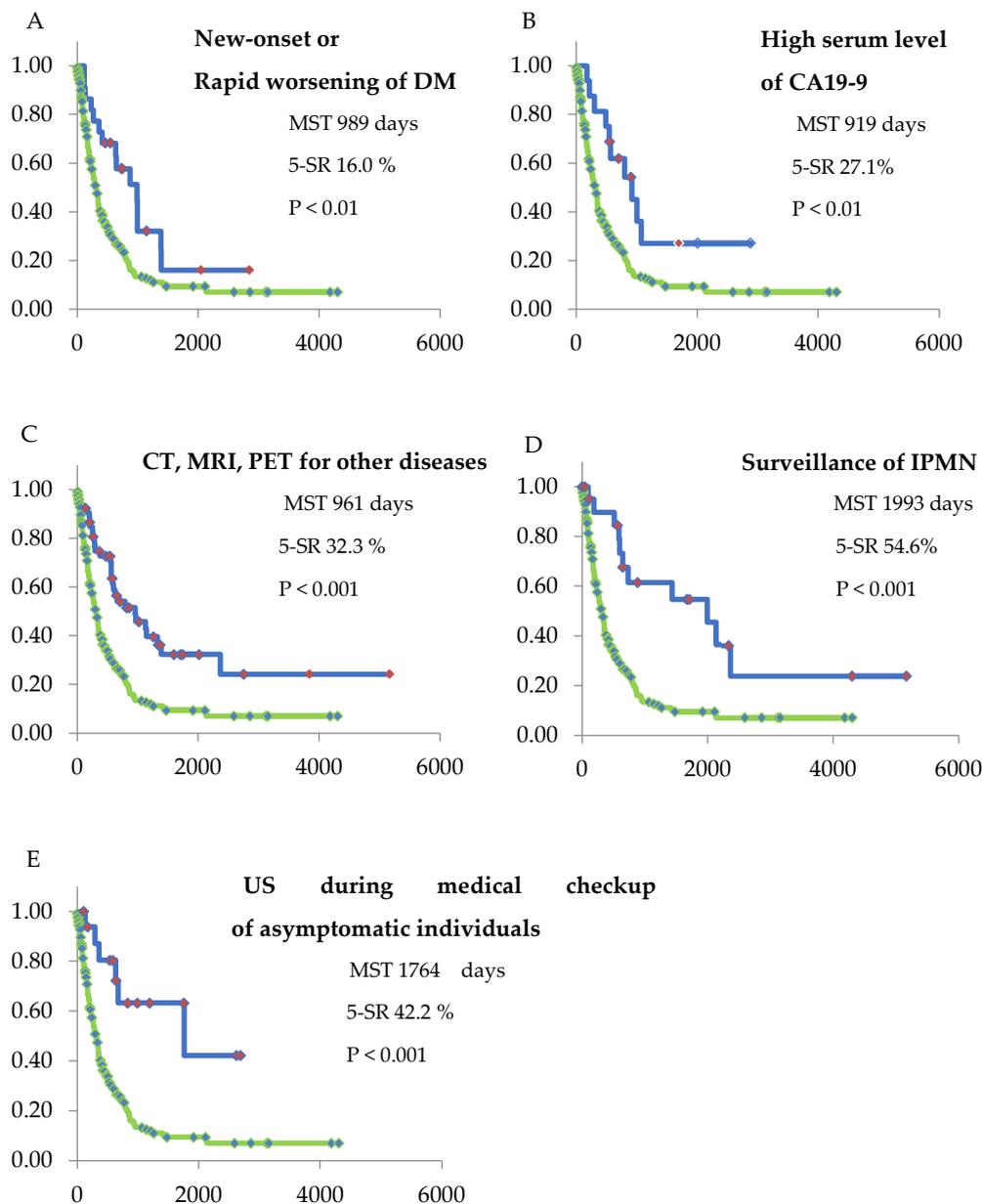
The proportion of patients with early stage PC (Stages 0, 1, and 2) and the excision ratio were significantly higher in each of groups 3–7 than in the symptomatic noticed group. The excision ratio and proportions of stages 0, 1, and 2 were significantly higher in the US medical checkup group than in the symptomatic noticed group (58.8% vs. 23.1%,  $P < 0.01$  and 70.6% vs. 28.1%,  $P < 0.001$ , respectively). In addition, the US medical checkup group had significantly lower proportion of patients with best supportive therapy than that had by the symptomatic noticed group. Further, NLR as a prognostic factor of PC was significantly better in the asymptomatic noticed groups including the US medical checkup group compared with the other groups.

### 3.3. Patients' prognosis in each group according to how PC was diagnosed

The median survival time (MST) in the symptomatic noticed group was significantly shorter than that in the asymptomatic noticed group (312 days vs. 919 days,  $P < 0.001$ ; Figure 2). All groups in the asymptomatic noticed group showed a significantly longer MST compared with that shown in the symptomatic noticed group (Figure 3). Furthermore, MST and 5-SR in the US medical checkup group was better than that in the symptomatic noticed group (1,764 days vs. 312 days,  $P < 0.001$  and 42.2% vs 9.4%,  $P < 0.001$ , respectively).



**Figure 2.** Kaplan-Meier curves for all patients (blue line), asymptomatic noticed group (group 1 + 2) (green line), and asymptomatic noticed group (group 3–8) (red line). The median survival time (MST) was significantly longer, and 5-year overall survival rate was significantly higher in the asymptomatic noticed group than in the symptomatic noticed group (312 days vs. 919 days and 5.4% vs. 29.0%,  $P < 0.001$ , respectively).



**Figure 3.** Kaplan-Meier curves of the symptomatic noticed group and each of the asymptomatic noticed groups. The green line shows the Kaplan-Meier curve of the symptomatic group. Statistical analysis for survival was performed in each group compared with the symptomatic noticed group. The median survival time (MST), 5-year survival rate (5-SR), and the P-value are shown in each Figures (A: group 3, B: group 4, C: group 5, D: group 6, and E: group 7). The horizontal axis shows survival days.

### 3.4. Excision ratio and prognosis in the asymptomatic noticed group plus US medical checkup group

The univariate analysis of patients in asymptomatic noticed group plus US medical checkup group showed more resected cases in the US medical checkup group ( $P < 0.01$ ). The resected cases compared with the unresected cases had more females ( $P = 0.045$ ), IPMN-derived carcinoma cases ( $P = 0.03$ ), patients with normal or high body mass index (BMI) ( $\text{BMI} \geq 18.5$ ;  $P < 0.001$ ), and patients with CA19-9  $< 425$  ( $P < 0.001$ ). The multivariable analysis showed more resected cases in the US medical checkup group ( $P = 0.04$ ) and more

females ( $P < 0.01$ ), patients with normal or high BMI ( $BMI \geq 18.5$ ;  $P = 0.02$ ), and patients with CA19-9  $< 425$  ( $P < 0.001$ ) in the resected compared with the unresected cases (Table 3).

**Table 3.** Comparison of characteristics between resected and unresected patients in Groups 1, 2, and 7.

	Unresected	Resected	Univariate Analysis (P - value)	Multivariate Analysis	
				P - value	OR (lower limit – upper limit)
Patients' number	193	66			
Female, n (%)	94 (48.7)	40 (60.6)	0.045	$< 0.01$	2.3637 (1.2550 – 4.4521)
Age, median (range), years	73 (34 – 105)	72 (42 – 86)	0.78		
Age, $\geq 75$ years old, n (%)	87 (45.1)	25 (37.9)	0.318	0.20	0.6573 (0.3430 – 1.2595)
Group 7 (vs. Group 1,2), n (%)	7 (3.6)	10 (15.2)	$< 0.01$	0.04	3.3062 (1.0815 – 10.1072)
Diabetes mellitus, n (%)	43 (22.3)	16 (9.1)	0.74		
Any of the 3 diseases (diabetes mellitus, hypertension, hyperlipidemia), n (%)	105 (54.4)	40 (24.2)	0.09		
History of other cancer, n (%)	26 (13.5)	11 (16.7)	0.39		
History of heavy drinking (ethanol $\geq 100$ g/day)	7 (3.6)	3 (4.5)	0.72		
History of smoking, n/N (%)	96 / 192 (50)	27 (40.9)	0.25		
Family history of PC ( $\leq 1^{\text{st}}$ degree), n/N (%)	13 / 145 (9.0)	3 / 50 (6)	0.77		
IPMN-derived carcinoma, PDAC, n	3, 190	5, 61	0.03	0.10	3.8077 (0.7765 – 18.6723)
Localization of PC tail, n (%)	36 (18.7)	6 (9.1)	0.08		
BMI, median (range), kg/mm <sup>2</sup>	21.1 (14.3 – 35.2) n = 191	23.0 (15.0 – 32.7)	$< 0.001$		
BMI (kg/mm <sup>2</sup> ) $\geq 18.5$ , n/N (%)	146 / 191 (76.4)	62 / 66 (93.9)	$< 0.01$	0.02	3.7598 (1.2372 – 11.4264)
CA19-9, median (range), U/ml	1352 (1 – 215454) n = 190	165 (1 – 4941)	$< 0.001$		
CA19-9 (U/ml) $\geq 425$	109 / 190 (57.4)	17 / 66 (25.8)	$< 0.001$	$< 0.001$	0.3073 (0.1595 – 0.5921)
AMY (U/l), median (range),	62.0 (12 – 902)	68.5 (24 – 664)	0.15		

Groups 1 and 2 included patients with pancreatic cancer (PC) identified through symptoms of biliary obstruction and symptoms that were not in group 1, respectively. Group 7 included patients with PC identified through abdominal ultrasonography (US) during medical checkup of asymptomatic individuals. The value of CA19-9, 425 (U/ml) was based on the median values of patients in Groups 1, 2, and 7. We had several data defectiveness, and “n” in the table shows the analyzed patient number and “n/N” shows positive number/analyzed number. IPMN: intraductal papillary mucinous neoplasm, PDAC: pancreatic ductal adenocarcinoma, BMI: body mass index, CA19-9: serum level of carbohydrate antigen 19-9, AMY: serum level of amylase, OR: Odds ratio .

In the multivariate analysis using the Cox regression hazard model, there were significantly better prognosis in patients in the US medical checkup group ( $P < 0.01$ ), with normal or high BMI ( $P < 0.01$ ), with low CA19-9 ( $P < 0.001$ ), and with low NLR ( $P < 0.01$ ) (Table 4).

**Table 4.** Prognostic factors in patients in groups 1, 2, 7.

	Multivariate analysis	
	P - value	Hazard ratio (lower limit – upper limit)
Female sex	0.160	0.8089 (0.6016 – 1.0876)
Age, ≥75 years old	0.097	1.2928 (0.9849 – 1.7501)
Group7 (vs. group 1 or 2)	<0.01	0.3614 (0.1677 – 0.7790)
IPMN-derived carcinoma (vs. PDAC)	0.126	0.4880 (0.1946 – 1.2240)
BMI (kg/mm <sup>2</sup> ) ≥18.5	<0.01	0.6024 (0.4193 – 0.8656)
CA19-9 (U/ml) ≥425	<0.001	1.6926 (1.2439 – 2.3034)
NLR ≥3.6	<0.01	1.5776 (1.1780 – 2.1126)

Group 1 and 2 included patients with pancreatic cancer (PC) identified through symptoms of biliary obstruction symptoms and symptoms that were not in group 1, respectively. Group 7 included patients with PC identified through abdominal ultrasonography during medical checkup of asymptomatic individuals. Statistical analysis was performed using Cox regression hazard model. The value of CA19-9, 425 (U/ml) and NLR, 3.5, was based on the median value of patients in groups 1, 2, and 7. IPMN: intraductal papillary mucinous neoplasm, PDAC: pancreatic ductal adenocarcinoma, BMI: body mass index, CA19-9: serum level of carbohydrate antigen 19-9, NLR: neutrophil to lymphocyte ratio.

### 3.5. Details of patients found through medical checkup with abdominal ultrasonography

Details and summary of patients in the US medical checkup group are described in Table S2 and Tables 2 and 5. There were only three patients for which PC was identified at health screening centers and 12 PCs were identified during regular clinic visits. The 12 PCs were detected in only clinics that had the machines and techniques to perform US and the specialties of all the clinicians were internal medicine, and the subspecialties in 10 of the 12 were gastroenterology. Thirteen out of 17 patients (76.5%) had any of the following basal diseases (hypertension, diabetes mellitus, and hyperlipidemia). The performance status of all the 17 PCs was 0 for all. Three of the 17 PCs were located in the pancreatic tail (17.6%) (Table 2) and all of the three pancreatic tumors were not detected using US. Two of the 17 were found as metastatic tumor of liver. The most frequent findings that indicated the presence of PC was dilation of the main pancreatic duct (MPD; 10/17; Table A2 and Table 5). Median tumor size tended to be small in US medical checkup group compared with the symptomatic group (median: 20 mm [0–52] vs. 34 mm [0–128]), but there was no statistically significant difference ( $P=0.08$ ) (Table 2). The proportion of patients in stages 0, 1, and 2 and the excision ratio were significantly higher in US medical checkup group than in the symptomatic noticed group (stages 0, 1, and 2: 70.6% vs. 28.1%,  $P<0.001$  and excision ratio: 58.8% vs. 23.1%,  $P<0.001$ ; Table 2). In the resected cases, two were at stage 0; one was identified by MPD dilation induced by stenosis associated with pancreatic intraepithelial neoplasia -3 and the other by MPD dilation induced by MPD-IPMN (IPMN associated with high-grade dysplasia; Table S2 and Table 5.). Further, there were 8 resected cases at stage 2 (2a, 4 and 2b, 4) and 7 out of the 8 cases were alive with

no relapse (539–2690 days). In addition, there were 3 patients with no relapse over 7 years (Table S2 and Table 5).

**Table 5.** Summary of patients in group 7.

Patients' number	17
Place where PC was found	
Clinic going regularly	12
Health screening center	3
Referral center (our hospital)	2
Specialty of doctors in clinic	
Internal medicine, n/N	12/12
Subspecialty	
Gastroenterology	10
Respiratory medicine	1
Unknown	1
Comorbidities	
Diabetes Mellitus	3
Hypertension	9
Hyperlipidemia	10
Each of above 3 diseases, n (%)	13 (76.5)
Performance status	
0, 1, 2, 3, 4	17, 0, 0, 0, 0
Findings of ultrasonography	
Tumor in pancreas	6
Main pancreatic duct dilatation	10
Cyst in pancreas	1
Tumor in liver	2
Patients with operation	
Pathological stage, 0, 1,2a, 2b,3,4 (NICC 8 <sup>th</sup> )	2,1,4, 4,0,0
No relapse in stage 2, n/N (%)	7/8 (87.5)
Days after surgery in 7 patients with no relapse in stage 2	539, 642, 834, 992, 2619, 2621, 2690

Group 7 included patients with PC identified through abdominal ultrasonography during medical checkup of asymptomatic individuals.

#### 4. Discussion

In this study, we showed that PCs identified by US during medical checkup for asymptomatic individuals had better excision ratio and more excellent prognosis than that observed in PCs identified through symptoms. These results suggest that screening for PC using US in asymptomatic individuals might be effective for improved prognosis with the medical treatment of PC.

PC has the worst prognosis among all cancers, and its 5-SR is approximately 7.1% and 10% in Japan and the United States, respectively [1,2]. Further, PC remains the fourth leading cause of cancer-related deaths in Japan and the United States, with increasing incidence rates [9,10]. Thus, overcoming the burden of PC is an urgent issue.

It has been increasingly recognized that the prognosis of patients with early-stage PC is favorable [11,12], and PCs that can radically cured are in Union for International Cancer Control stage 0 (in situ) and stage IA with 5-SRs of 85.8% and 68.7%, respectively [12,13]. However, the corresponding proportion of stages 0 and 1A cases accounts for only 1.7%

and 4.1%, respectively [12,13]. Especially, PC at stage 0 does not form mass, and the carcinoma cannot be identified on imaging modalities, so stage 0 is now diagnosed using pancreatic juice cytology [14,15], focusing on indirect findings such as MPD dilatation and/or stenosis, cyst formation, focal fat deposition, and focal atrophy of the pancreas [13,16–18]. Many researchers have been making effort to identify early-stage PC. Patients with stage 0 or 1A are increasing, but their proportion is currently still low. This may explain that any imaging examinations (e.g., CE-CT, MRCP, EUS, and US) are needed as an indicator for attempting pancreatic juice cytology.

In 2012, the 5-SR of resected pancreatic cancers in Japan was approximately 20% [1]. However, the progress of adjuvant chemotherapy [19], neoadjuvant chemotherapy [20], excision technique, perioperative management [21,22], and chemotherapy [23,24] at the time of relapse is obviously improving the prognosis of patients with operable PC. Adjuvant chemotherapy using Tegafur Gimeracil Potassium (S-1) showed a 5-SR of 44.1% [19] and neoadjuvant chemotherapy using gemcitabine and S-1 for resected PCs also showed a 2-year overall survival rate of 63.7% [20]. Further, disease specific 5-SR and recurrence free 5-SR were 52% and 40%, respectively, in resected PCs at our institute (N=98, 2015–2021, unpublished data). Thus, identifying operable PCs might induce significant hope of better prognosis. In the United States, it is thought that surgical intervention in the early stage of PC can most likely improve the chances of survival [8].

Typically, the effective way to detect cancers earlier might be cancer screening for asymptomatic individuals. In Japan, screening for five cancers including lung, stomach, breast, colon, and uterus neck cancers is recommended, which excludes screening for pancreatic cancer as it is not required by the Ministry of Health and Welfare, Japan. The recommendation for cancer screening may not only be due to the downregulation of mortality but also the avoidance of unnecessary examinations and therapies.

To identify PCs early, routine CE-CT, MRCP or EUS examination should be performed more than twice a year. However, US performed once a year might be a best modality for public pancreatic cancer screening, considering its non-invasiveness, simplicity, and lower cost. One additional advantage of US is the ability to find other abdominal cancers including liver, kidney, biliary tract organs, and urinary tract organs. The total numbers of these cancers are more than the total numbers of esophagus and stomach cancers in Japan [25]. In this study, asymptomatic patients whose PC was identified through US during medical checkup had better prognosis than patients noticed by symptoms. Thus, US for asymptomatic individuals might be recommended as a pancreatic cancer screening tool to prolong the prognosis of PC.

Currently, PCs are identified earlier [3] based on new-onset diabetes mellitus [26], surveillance for IPMN [4,27], and FH of PC [28,29]. Our study showed that patients with PC identified based on new-onset or worsening of diabetes mellitus had better excision ratio and prognosis than patients identified based on symptoms of PC, making this algorithm very important. The proportion of patients with PC identified by worsening of diabetes mellitus is reported as 4–5% [30], and our result (22/374=5.9%) is similar to this report. The use of new-onset or worsening of diabetes mellitus as an indicator for detecting PCs should be carefully monitored. Most investigators screen patients with IPMN using MRCP, EUS, and CE-CT once or twice a year [4,27,31–35]. If this rigorous surveillance is performed, it is natural that the patients are identified in an earlier stage and have better prognosis than that observed in patients identified at the symptomatic stage. Even in this study, the best prognosis was obtained in the group with IPMN who were screened for PC, and this result is thought to be natural because they had regularly CE-CT and MRCP examination twice a year. MPD in IPMN tends to dilate even in branch duct IPMN because of their excessive mucus, so we could easily detect MPD dilatation on US, which can lead to identification of PCs associated with IPMN (IPMN-derived carcinoma or concomitant carcinoma in IPMN).

Thinking from another point of view, the proportion of PDACs from the surveillance of patients with IPMN in this study was very low (12/374, 3.5%). Further, the lifetime carcinogenic rate of PC was reported at frequency of 2.6% in males and 2.5% in females [5].

If the carcinogenic rate of IPMN is 2.0%–10% [4], it implies low carcinogenesis due to IPMN. The ratio of patients with a FH of 1<sup>st</sup> degree PC was 9% in this study and 3–8.7% in previous reports [5–7]. These facts indicate that most PCs could not be detected only through surveillance of patients with IPMN and/or FH. We might need to identify PCs from individuals with none of the risks or with a small risk of PCs.

US is effective for outpatient care because of its convenience and non-invasiveness. Its sensitivity and specificity ranges for detecting PCs are broad (48–89% and 40–91%, respectively), and there are some differences according to operators, participants, and machines [36–39]. However, there were positive reports that its sensitivity for PCs under 10 mm was 50% and over 30 mm was 95.8% [36–39]. In addition, recent reports have shown that MPD dilatation as an indirect abnormality of PCs were identified by US in 62%–75% of patients in PC stage 0 [40,18], 61% in stage 1A [40], and 74.3% in stage 1 [18]. MPD dilatation is caused by stasis of pancreatic juice in the downstream side of MPD not only due to invasive carcinoma but also due to carcinoma *in situ* [40,18]. In addition, some patients with IPMN develop MPD dilatation due to excessive mucin production. Based on the above mentioned causes, the detection of MPD dilatation is vital. Moreover, most PCs occur in the pancreatic head (78%) [41], and our result showed that PCs located in the pancreatic tail was only 17% of the total PC cases. Thus, there is a high chance of detecting tumor or MPD dilatation by US.

In our analysis, there were two patients with PC stage 0 identified by MPD dilatation on ultrasonographic findings. One had MPD stenosis in the pancreatic head due to pancreatic intraepithelial neoplasm-3 and following fibrosis. The other one PC was identified by MPD dilatation (10 mm) in the pancreatic body and was diagnosed based on MPD-IPMN with high-grade dysplasia after surgery. Therefore, US might be useful for identifying early stage (stage 0) PCs. In addition, 11 patients in US medical checkup group had undergone resection, and 8 patients were in stage 2. Of the 8 patients, 7 were alive with no relapse and with survival time ranging from 539 days to 2690 days at the time of this analysis. Surprisingly, 3 were alive patients and without relapse for over 7 years and had the potential of achieving complete remission. This shows some PCs at stage 2 have the potential of being radically cured, which could be explained by the progression of chemotherapy and surgeons' techniques.

The disadvantage of US is that its use in some location, especially in the pancreatic tail makes the findings difficult to describe. In our study, three tumors were located in the pancreatic tail, and all could not be described by US. Hepatic metastasis was detected in two of the 3 patients by US. The tumor size of the tumor in the pancreatic tail was relatively large (44–52 mm) but was not detected by US. Ashida et al. [42] reported how pancreatic tail tumors can be described using repletion of the stomach by drinking tea with milk and obtained good result. Efforts need to be channeled towards describing tumors in the pancreatic tail more clearly using regular observations of the pancreas from the left lateral region, the repletion of stomach using fluids, and others. Another disadvantage of US is that the number of clinics that provide this service is low, and most clinic doctors do not have the technique for screening abdominal organs on US. In this study, most PCs were identified by clinicians whose subspecialty was gastroenterology. In addition, we are very sorry that US aimed at medical checkup is not covered by insurance under the medical insurance system of Japan.

In Onomichi city in Hiroshima prefecture and Yamanashi prefecture, clinics and medical examination centers work closely with referral centers, and the PC discovery rate has been increasing, and some patients with stage 0 and 1 are identified [13,15,43,44]. In Onomichi city, clinic doctors are performing US for patients with multiple risk factors of PC (FH, diabetes mellitus, smoking, heavy drinker, obesity, et.al) and refer to referral centers if there are abnormal findings (mass, cyst, or MPD dilatation). Naturally, public cancer screening for upper abdominal organs (liver, biliary tract, kidney, pancreas, and spleen) by US is performed in Onomichi city. In our analysis, there were many PC patients with any disease such as hypertension, diabetes mellitus, and hyperlipidemia (265/374,

70.9%). Thus, there might be big chance to detect asymptomatic PCs if US in clinics can be performed for them using medical insurance system or public cancer screening system.

“Ningen Dock,” which can be interpreted in English as “complete physical examination,” “health screening,” etc., is one of the medical checkup methods in Japan. The expenses related to this method is catered for by the patient, and although it has more various and more precise examinations, its associated cost is not supported by the government. In Ningen Dock, the modality used to screen the upper abdominal organs for everyone who uses this checkup method is US. MRCP and PET are performed for a few people as an additional examination. In 2015, the Japan society of Ningen Dock reported [45] that there were fairly few of PC patients (111/3,131,637, 0.0035%). In the reports, there were 2,361,479 candidates for examination in under 59 years old, and 770,158 in over 60 (24.6%). Incident ratio of pancreatic cancer is rapidly increasing in individuals of 60’s and the incident ratio increase with age. In comparison with 50’s, the numbers of PCs are 3.3fold in 60’s, 4.5fold in 70’s, and 3.9fold in 80’s [46]. Thus, pancreatic cancer screening focusing on 60’s and 70’s might be useful for detecting PCs. In 2018, all of cancer patients in Japan were found from cancer screening, medical checkup, and Ningen Dock at frequency of 15% but PC patients were of only 4.9% [25]. This low frequency might be one of the reasons of poor prognosis of PCs. Cancer screening for PC is not now recommended from Ministry of Health and Welfare in Japan. Thus, most health insurance associations including National Health Insurance Association are not adopting a cancer screening for PC. For the same reason, most municipals are not adopting public cancer screening for upper abdominal organs including pancreas. Thus, this poor result is reasonable.

There are several limitations in this study. First, this is a retrospective analysis in a single center, so there are small number of cases in the analysis, and there may have been single center bias. Particularly, majority of the patients with PC in our analysis were older than that observed in other high-volume centers because of the increasing aging population in Kure city. Some patients did not undergo resection because of their advanced age. Second, there might have been a bias in the grouping of patients into the 8 groups because the groupings were based on reports from referral letters. In our analysis, there were a few patients for which PC was diagnosed based on multiple approaches. In such instances, we had to select only one approach. For example, seven of 22 patients identified by worsening of diabetes mellitus had appetite loss and/or body weight loss on their first visit to our institute during the interview. These seven patients were categorized into group 3 and not group 2 because the first report in their referral letters was worsening of diabetes mellitus. Thirdly, we included patients who were diagnosed in past years, so there were some differences in the diagnostic modalities and standard therapy, which were based on the year in which patients were diagnoses and how these factors influenced prognosis. Finally, we could not evaluate the sensitivity, specificity, and cost effectiveness of US for PC diagnosis. The analysis of cost effectiveness of US for PC is difficult because there is no concept of early PCs. Thus, evaluation items (mortality, excision rate, and prolonged prognosis) must influence the obtained results.

In 2019, The United States Preventive Service Task Force reported [8] that there was no evidence that screening for PC improves disease-specific morbidity or mortality, and they provided no recommendation for PC screening in asymptomatic adults, considering the low incidence ratio of PC in the general population, the uncertain accuracy of current candidate screening tests (CT, MRCP, and EUS), and poor prognosis of PC even when it is treated at an early stage. In contrast, some reports have shown the cost effectiveness of US in identifying PCs [47,48]. Further, Tanaka reported better excision rate (76.9%) in patients for which PC was identified during medical checkup [49]. In our study, the prognosis of patients with PC identified by US as medical checkup was obviously better than that of PC in symptomatic patients, and some PC had the possibility of being radically cured. US has an ability to find not only PCs but also other abdominal cancers. We suggest that pancreatic cancer screening by US, focusing on the older population (60–75 years old) might be more efficient.

## 5. Conclusions

PC is the worst malignant cancer, and it is vital to identify it at an early stage as much as possible. Finding of PCs with stage 0 and 1A is the best scenario but rather difficult to approach. Thus, firstly we aim to identify operable patients to help them obtain better prognosis, although complete cure might be difficult to achieve. Therefore, US for asymptomatic individuals may be one of the useful tools for PC screening, which could lead to an increase in patients with better prognosis than symptomatic patients. In addition, such attempt could contribute to find PCs with stages 0 and 1A. The public should be educated about the importance of early PC screening in asymptomatic individuals, and there is a need to accumulate evidence on the effectiveness and efficiency of screening for PC using any modalities such as US.

**Supplementary Materials:** Table S1: Patients with PC diagnosed based on other approach (group 8); Table S2: Details of patients in group 7.

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**Institutional Review Board Statement:** The study was conducted in accordance with the Declaration of Helsinki and approved by the ethics committee in National Hospital Organization Kure Medical Center and Chugoku Cancer Center (No. 2022-24).

**Informed Consent Statement:** Patient consent was waived by the ethics committee of the National Hospital Organization Kure Medical Center and Chugoku Cancer Center because the analysis was performed with anonymous clinical data. For disclosure, the details of this study were posted on some walls in National Hospital Organization Kure Medical Center and Chugoku Cancer Center.

**Data Availability Statement:** The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

**Conflicts of Interest:** The authors declare no conflict of interest.

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