

1 Article

2 Realignment of tRNA Variable Loops, the 3-31 nt Minihelix 3 Theorem and Consequences for the Origin of Life

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9 **Simple Summary:** tRNA sequences are highly conserved from their primordial root ~4 billion years
10 ago. The 3-31 nucleotide (nt) minihelix transfer RNA (tRNA) evolution theorem describes the main
11 path for the chemical evolution of life. Significantly, once tRNA evolved in pre-life, the genetic code
12 evolved, leading to the evolution of the first cells and organisms. The theorem describes the rela-
13 tionship comparing type I and type II tRNA variable loops and requires a realignment of variable
14 loop sequences. Notably, tRNA sequence maintains a historical record of chemical evolution
15 through the pre-life to life transition.

16 **Abstract:** Evolutionary, sequence and structural analyses of tRNA variable (V) loops provides a new
17 understanding. Type I tRNA V loops have a primordial length of 5 nt, and type II tRNA V loops
18 have a primordial length of 14 nt. Sequence-based alignments of type I and type II V loops gave
19 deceptive results. Type II V loops are characterized by the trajectory of the V arm, the size and se-
20 quence of the loop and the first and last V loop nucleotides and their known contacts. Depending
21 on the V arm trajectory, type II V stems and loops can be interaction sites for aminoacyl-tRNA syn-
22 thetases. So, type II V arms for tRNA^{Leu}, tRNA^{Ser} and tRNA^{Tyr} (found in Bacteria) were coevolved
23 and selected to distinguish determinants to support cognate tRNA charging. The alignment of type
24 I and type II V loops was adjusted based on tRNA evolution. Results are consistent with Archaea
25 being an older and simpler life form than Bacteria. tRNA^{Aome} sequences were derived from radia-
26 tion of an ordered tRNA^{Pri} (Pri for primordial) sequence comprised of known RNA repeats and
27 inverted repeats. V loop and tRNA sequences, therefore, convey a history of the primary successful
28 pathway in the origin of life.

29 **Keywords:** aminoacyl-tRNA synthetase; chemical evolution; origin of life; primordial tRNA; RNA
30 inverted repeats; RNA repeats; tRNA; tRNA^{Aome}; variable loop.

31

32 1. Introduction

33 The 3-31 nt minihelix theorem for the evolution of tRNA made predictions about
34 type I and type II tRNA variable (V) loops [1-5]. The primordial length of a type I V loop
35 is 5 nt, and the root sequence was CCGCC. The primordial length of a type II V loop is 14
36 nt, and the root sequence was CCGCCGCGCGCGG (Figure 1). Because the 3-31 nt mini-
37 helix model explains all features, sequences and segments of type I and type II tRNAs, the
model has been described as a proven theorem [3]. Competing models for tRNA evolution
are all accretion models [6-14], in which tRNA segments expand and/or contract by ran-
dom insertions and/or deletions (indels) to a final form. Because the entire primordial
tRNA (tRNA^{Pri}) sequence is ordered as discrete segments of RNA repeats and inverted
42 repeats, however, no accretion model with random indels can adequately describe tRNA
43 evolution [3]. The 3-31 nt minihelix theorem, by contrast, is clearly correct in every detail
44 and is strongly supported by statistical evaluations of sequences in living organisms

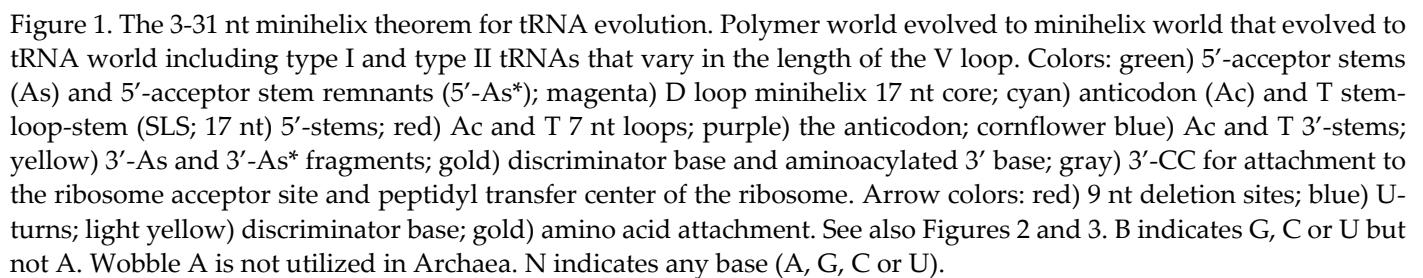


Figure 1. The 3-31 nt minihelix theorem for tRNA evolution. Polymer world evolved to minihelix world that evolved to tRNA world including type I and type II tRNAs that vary in the length of the V loop. Colors: green) 5'-acceptor stems (As) and 5'-acceptor stem remnants (5'-As*); magenta) D loop minihelix 17 nt core; cyan) anticodon (Ac) and T stem-loop-stem (SLS; 17 nt) 5'-stems; red) Ac and T 7 nt loops; purple) the anticodon; cornflower blue) Ac and T 3'-stems; yellow) 3'-As and 3'-As* fragments; gold) discriminator base and aminoacylated 3' base; gray) 3'-CC for attachment to the ribosome acceptor site and peptidyl transfer center of the ribosome. Arrow colors: red) 9 nt deletion sites; blue) U-turns; light yellow) discriminator base; gold) amino acid attachment. See also Figures 2 and 3. B indicates G, C or U but not A. Wobble A is not utilized in Archaea. N indicates any base (A, G, C or U).

The 3-31 nt minihelix model is shown in Figure 1. The model indicates that tRNA world, which quickly advanced to the genetic code and life, was preceded by an ancient polymer world and, then, 31 nt minihelix world. Because polymer world, the 31 nt minihelix world and the inception of tRNA world are pre-life processes, the 3-31 nt minihelix model describes a primary successful pathway of chemical evolution toward life. A small number (i.e., probably fewer than 20) of ribozymes/primitive catalysts were necessary to convert polymer world to the 31 nt minihelix world [1,2]. tRNA world, in which organisms have lived for about 4 billion years, was a natural consequence of the complementary replication and processing of 31 nt minihelices. Minihelices were ligated (by a ribozyme/primitive catalyst), generating polymers that ended in a snap-back primer for com-

plementary replication (by a ribozyme/primitive catalyst). Any 31 nt minihelix can function as a snap-back primer because of the complementarity of the 5'- and 3'-acceptor stems (Figure 1). The 93 nt tRNA precursor was generated via ligation and then processed by primitive catalysts by two 9 nt deletions or one 9 nt deletion to type I and type II tRNA^{Pri} molecules. It is worth noting that the more 5' and 3' 9 nt deletions that generated type I tRNAs were the same processing event on complementary RNA strands. Therefore, only a single deletion mechanism was necessary to process to type I and type II tRNAs, assuming complementary 5'→3' replication. Considering the similarity of the 9 nt deletions, the need for snap-back primers and the existence of inverted repeats, templated 5'→3' RNA replication must have been a feature of the pre-life world. TRNAomes (all of the tRNAs of an organism), the genetic code and aminoacyl-tRNA synthetase (AARS) enzymes co-evolved to produce living organisms. Polymer world, 31 nt minihelix world and tRNA world were selected as covalent RNA-amino acid systems for forming RNA-dependent polypeptide chains. Initially, polyglycine was a major product of these systems, although pre-life polypeptide synthesis may not have been highly selective for glycine. Glycine is the simplest amino acid and was probably the most abundant in the pre-life world.

An attempt at a similar study of the evolution of type II V loops was previously published [16]. That study, however, was done without knowledge of root type I and type II tRNA sequences.

2. Materials and Methods

TRNA sequences were collected from the tRNA Database (<http://trnadb.bioinf.uni-leipzig.de/>) [17]. Typical tRNAs are displayed as tDNA sequences. Archaeal type II V loop sequences were realigned and annotated manually (Supplementary Files #1 and #2). Structures were visualized using UCSF ChimeraX [18]. Sequence logos were generated using WebLogo 3.7.4 (<https://weblogo.threeplusone.com/>) [19,20]. To confirm the 3-31 nt minihelix theorem requires little effort using the tRNA Database and online tools.

3. Results

Figure 1 shows a model for chemical evolution of tRNA from an ancient polymer world to a 31 nt minihelix world to the current tRNA world sustained on Earth for about 4 billion years. The model adjusts the alignment of type I and type II tRNA V loops, compared to alignments shown in online databases. The model shows that a primordial tRNA (tRNA^{Pri}) sequence can be identified with high confidence. The entire sequence of tRNA^{Pri} for type I and type II tRNAs was comprised of repeats and inverted repeats of known lengths and mostly known sequence. According to the theorem, the only sequence ambiguities are within the anticodon and T 7 nt U-turn loops (Figure 1; red and purple segments). Sequence ambiguities arise because, within tRNA, the homologous anticodon loop and T loop sequences were separately selected in evolution for ~4 billion years. All other tRNA^{Pri} sequences are known with very high confidence (essential certainty). When this model was first considered, it was a surprise to its originators, because tRNA was previously thought to arise by a chaotic process [6,8-14,21]. Because the entire tRNA^{Pri} and entire tRNAomes from ancient organisms are ordered, however, no chaotic model can possibly be correct for tRNA evolution [1-3]. Only the 3-31 nt minihelix theorem can possibly account for tRNA^{Pri} evolution and the evolution of tRNAomes of ancient organisms.

The 3-31 nt minihelix theorem for evolution of V loops requires that the alignment of type I and type II tRNAs be reconsidered. Sequence-based alignments of type I and type II tRNAs were biased by V loop residues that were strongly selected to make specific contacts. The V loop 5' and 3' ends, for instances, were selected by interacting bases. The 5' variable loop base (V₁) was selected according to its interaction with the tRNA-26 base. The 3' variable loop base (V_n for a V loop of n bases) forms the Levitt base pair with the base at the tRNA-15 position. So, in Archaea, V_nC forms a Levitt reverse Watson-Crick base pair (two hydrogen bonds) with tRNA-G15 modified to archaeosine [22]. In Archaea,

the tRNA^{Leu} type II variable loop was selected to include an AG sequence to directly contact the LeuRS-IA C-terminal domain (Supplementary File #1). We propose to re-align type I and type II V loops as indicated in the evolutionary model shown in Figure 1. So, generally, type I V loops would align to the first 5 nt in type II V loops. Because V loops undergo sequence expansions and/or contractions in evolution, potential indels must be considered in aligning some sequences.

Figure 2 shows the 3-31 nt minihelix theorem applied to type I tRNAs. Figure 2A shows a type I tRNA^{Phe} from *Saccharomyces cerevisiae* [23] colored according to the model. Figures 2B and 2C show relevant typical tRNA diagrams for *Pyrococcus* (3 species: *P. furiosus*, *P. abyssi* and *P. horikoshii*) [17]. Figure 2B represents a consensus of all 46 *Pyrococcus* tRNAs (referred to as a typical tRNA sequence). Figure 2C shows tRNA^{Gly}, the oldest tRNA and the one most similar in sequence to tRNA^{Pri} [24]. Figure 2D shows the alignment of tRNA^{Pri} (type I), tRNA^{Typical} and tRNA^{Gly} (typical; consensus from 9 tRNA^{Gly}). Very clearly, these sequences are nearly identical, indicating: 1) that the 3-31 nt minihelix model is accurate and correct; 2) that alternate tRNA evolution models are falsified; and 3) that the model can be used to align type I and type II tRNAs in the V loop region.

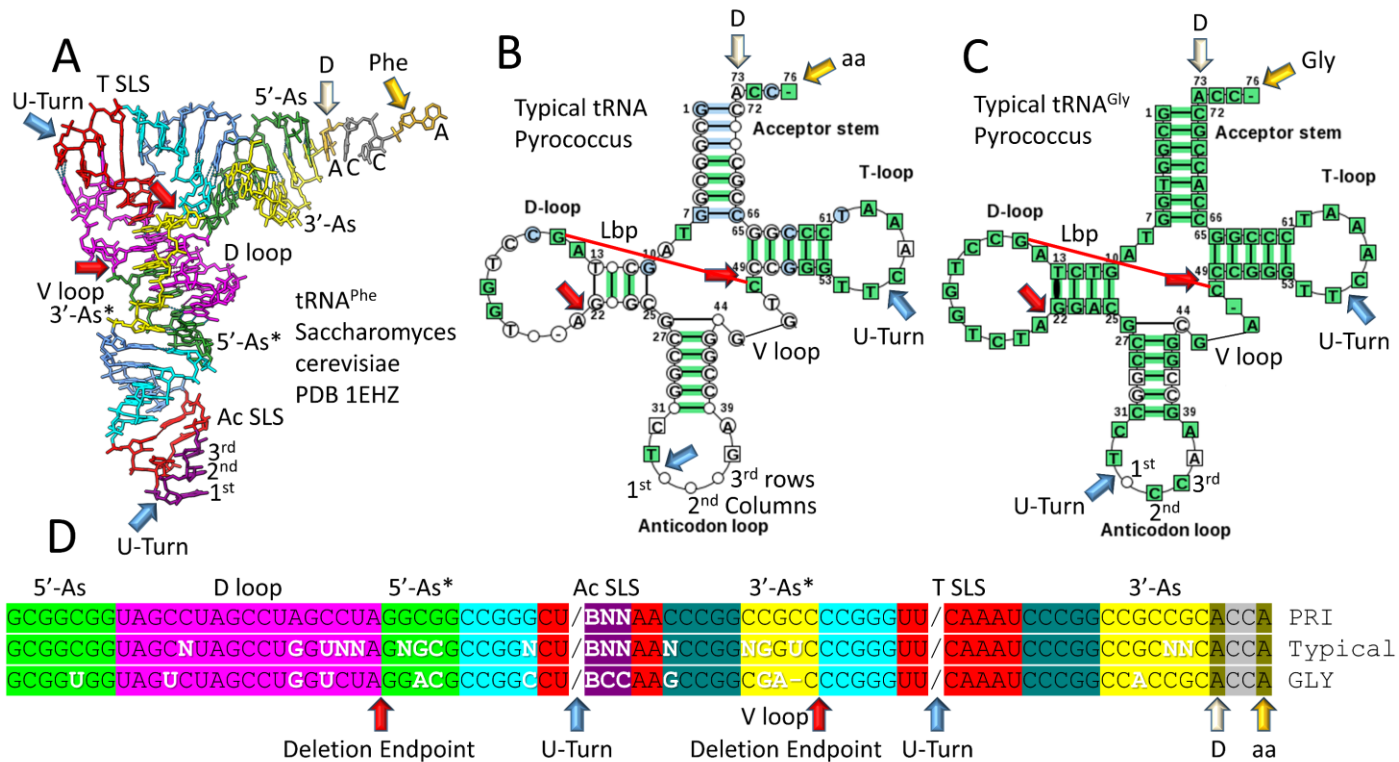


Figure 2. Type I tRNA. tRNA^{Pri} and tRNA^{Gly} radiated to entire tRNAomes. Consistent with a polyglycine world, tRNA^{Gly} was the first tRNA. A) tRNA^{Phe} from *Saccharomyces cerevisiae* colored according to the theorem. B) A typical tRNA from 3 *Pyrococcus* species. Note that the 5'-acceptor stem is a perfect GCG repeat. Note the obvious homology of the 17 nt Ac SLS and the 17 nt T SLS. TDNA sequence is shown. The red line connecting 15G and 48C (V₅C) is the Levitt reverse Watson-Crick base pair. C) A typical tRNA^{Gly} from 3 *Pyrococcus* species. Note that, after ~4 billion years of evolution, the tRNA^{Gly} 17 nt D loop sequence (magenta) matches the theorem in all but 3 positions. Lbp) Levitt base pair. D) An alignment of type I tRNA^{Pri}, tRNA^{Typical} and tRNA^{Gly} colored according to the theorem. Colors and colored arrows are as described in Figure 1.

Figure 3 shows the 3-31 nt minihelix model applied to type II tRNAs [5]. Figure 3A shows a type II tRNA^{Leu} from *Pyrococcus horikoshii* [25] colored according to the model. The variable loop was derived from a 3'-acceptor stem (7 nt yellow segment) ligated to a 5'-acceptor stem (7 nt green segment). Figures 3B and 3C show typical type II tRNA diagrams for tRNA^{Leu} and tRNA^{Ser} from *Pyrococcus furiosus*. In Archaea, tRNA^{Leu} and tRNA^{Ser} are type II tRNAs. We argue that the primordial length of type II tRNA V loops

was 14 nt, as in *P. furiosus* tRNA^{Leu} and as shown in the model (Figure 1; Supplementary File #1). In *P. furiosus*, the tRNA^{Ser} V loop is 15 nt, but other achaeal species have tRNA^{Ser} V loops of 14 nt, the primordial length (Supplementary File #2) [17]. We conclude that the *P. furiosus* 15 nt tRNA^{Ser} V loop length represents a 1 nt insert expansion from the primordial length. Figure 3D shows alignment of type II tRNA^{Pri}, tRNA^{Leu} (typical, from *P. furiosus*) and tRNA^{Ser} (typical, from *P. furiosus*). These sequences are nearly identical. We posit that archaeal tRNA^{Leu} and tRNA^{Ser} coevolved and were counterselected for cognate tRNA charging from type II tRNA^{Pri}.

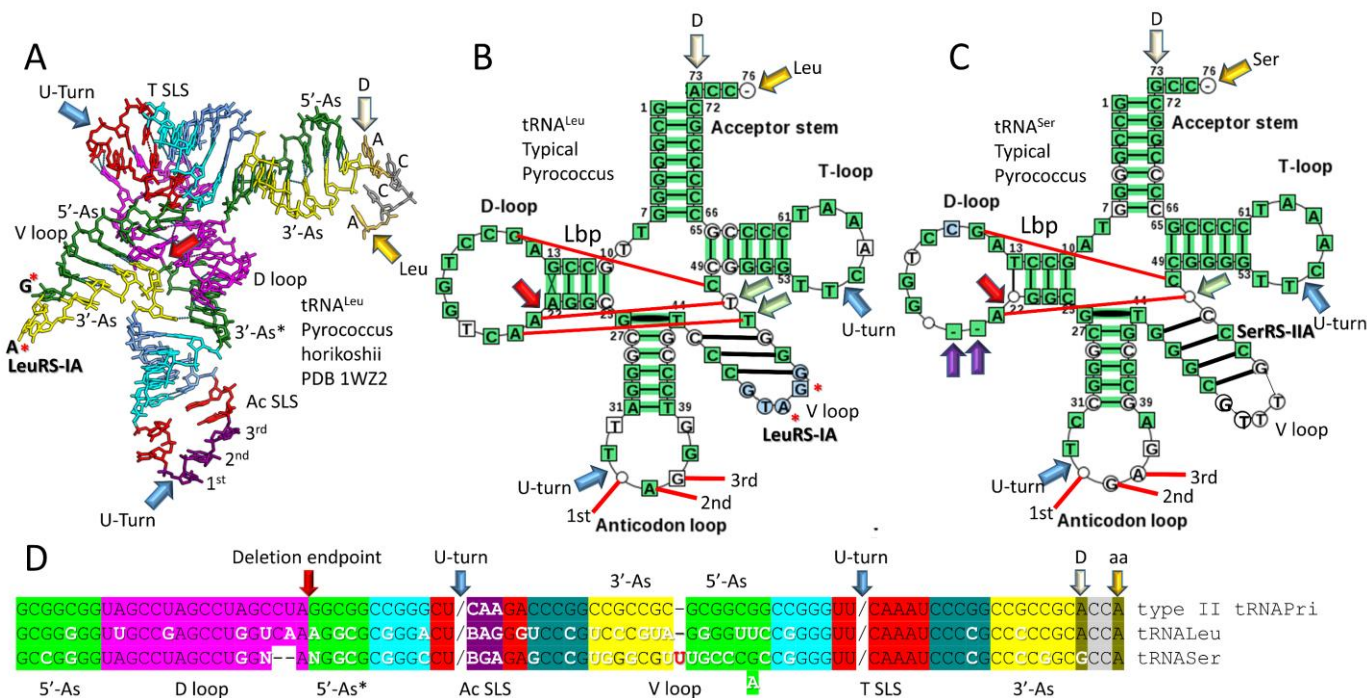


Figure 3. Type II tRNA. tRNA^{Leu} and tRNA^{Ser} have type II V loops with different trajectories from the tRNA body that were selected for accurate aminoacylation by LeuRS-IA and SerRS-IIA. A) X-ray structure of tRNA^{Leu} from *Pyrococcus horikoshii*. LeuRS-IA contacts the sequence AG on the V loop (red asterisks). B) A typical tRNA^{Leu} from *Pyrococcus furiosus*. C) A typical tRNA^{Ser} from *P. furiosus*. Light green arrows indicate unpaired bases between the 3'-V stem and the Levitt base (V_n) (Lbp). Purple arrows indicate deleted bases in the D loop of tRNA^{Ser}. D) An alignment of type II tRNA^{Pri} with tRNA^{Leu} and tRNA^{Ser} from *P. furiosus*. Shading colors and arrow colors are consistent in Figures 1-3. Note that tRNA^{Ser} includes two perfect UAGCC repeats in its D loop sequence. Continuing with the D loop sequence in tRNA^{Leu} gives the sequence UGGUCAA, which is a close match to the tRNA^{Pri} sequence UAGCCUA from the theorem.

Type II variable loops evolved from a sequence that can pair along its entire length (CCGCCGCGCGGCGG) to a more favorable sequence that forms a short stem of variable trajectory, a loop and may also have an unpaired base or unpaired bases just 5' of the 3'-V_n (V loop of n nts; counting from the V₁ to the V_n base) base that forms the Levitt base pair. *P. furiosus* tRNA^{Leu} has 2 unpaired bases in this interval (Figure 2B; light green arrows). *P. furiosus* tRNA^{Ser} has 1 unpaired base. So far as we know, although the lengths of type II V loops may vary in evolution, these numbers of unpaired tRNA^{Leu} and tRNA^{Ser} V loop bases are maintained throughout Archaea (Supplementary Files #1 and #2). The number of unpaired bases just prior to the Levitt base pair determines the trajectory of the V arm from the tRNA (Figure 4). With two unpaired bases, the V arm angles to the left, in the view shown. With one unpaired base, the V arm projects straight out toward the viewer. With no unpaired bases, the V arm angles sharply to the right. In Archaea, tRNA^{Leu} has 2 unpaired bases and tRNA^{Ser} has 1 unpaired base just 5' of the Levitt base. In Bacteria, tRNA^{Tyr} has 2 unpaired bases, tRNA^{Leu} has 1 unpaired base and tRNA^{Ser} has 0

unpaired bases. It appears that, in prokaryotes, cognate type II tRNAs were evolved to have distinguishable V loop trajectories with different numbers of unpaired bases just 5' of the Levitt V_n base.

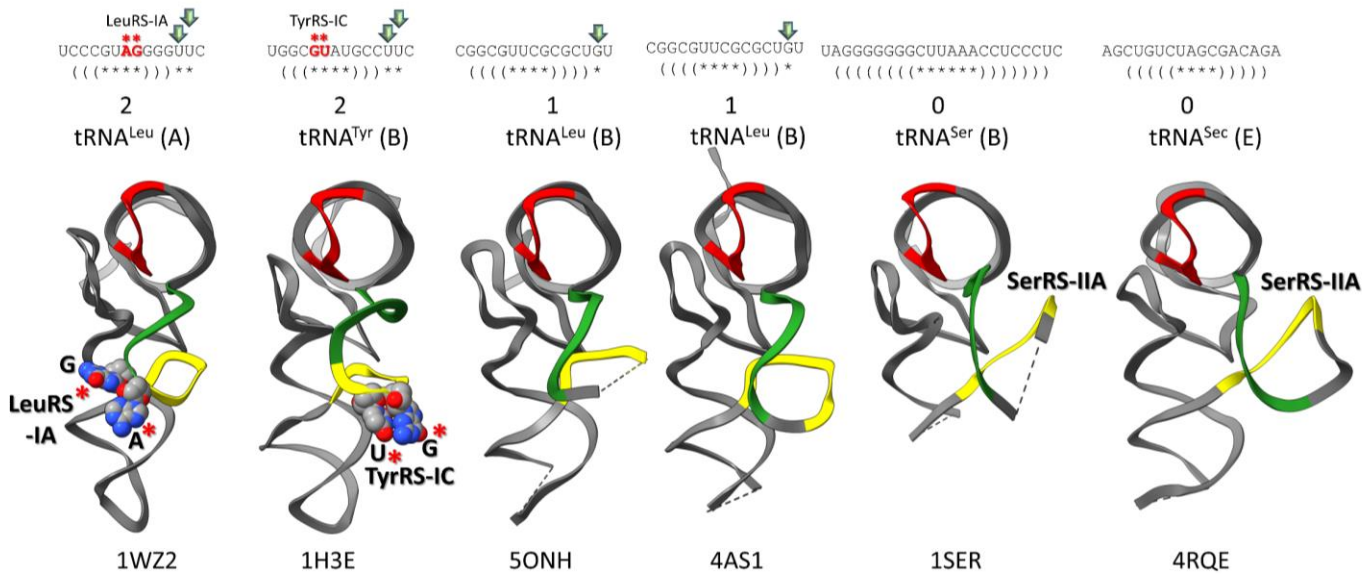


Figure 4. The number of unpaired V loop bases separating the 3'-V stem and the Levitt base (V_n; light green arrows) determines the trajectory of the V stem from the tRNA. A, B and E represent Archaea, Bacteria and Eukarya. V loop bases that are contacted by LeuRS-IA and TyrRS-IC are indicated by red asterisks and space-filling representations in the structures. TRNA is gray. The T loop is red. Yellow indicates the segment of the V loop derived from the 3'-acceptor stem. Green indicates the segment of the V loop derived from the 5'-acceptor stem. Some relevant structures are not available at the time of writing.

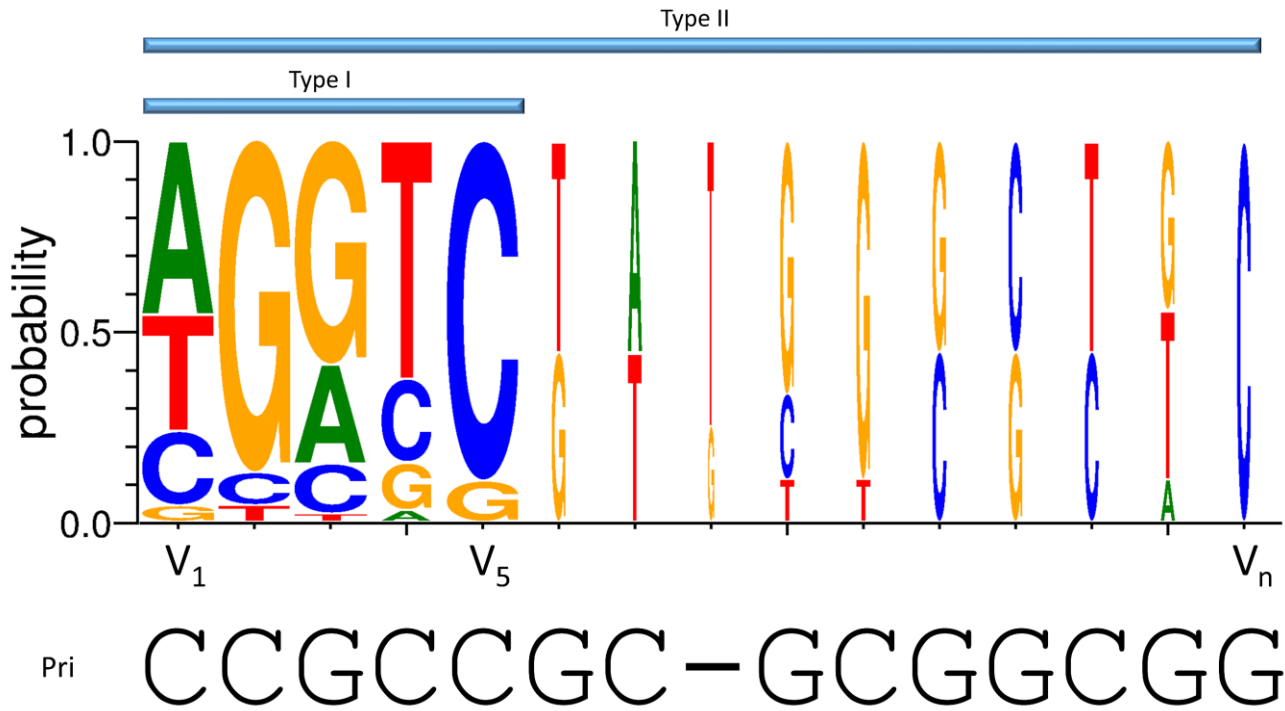


Figure 5 shows a corrected alignment for *Pyrococcus furiosus* type I and type II V loops adjusted according to the 3-31 nt minihelix theorem. As in Figure 1, type I tRNAs align within the first 5 bases of type II tRNAs. A sequence logo is shown for the 46 tRNAs in *P. furiosus*. The primordial V loop sequence is shown for reference. The primordial V loop

sequence appears to match to *P. furiosus* V loop sequences in all but 3 positions, all of which are specific to type II V loops that diverged for LeuRS-IA and SerRS-IIA discrimination.

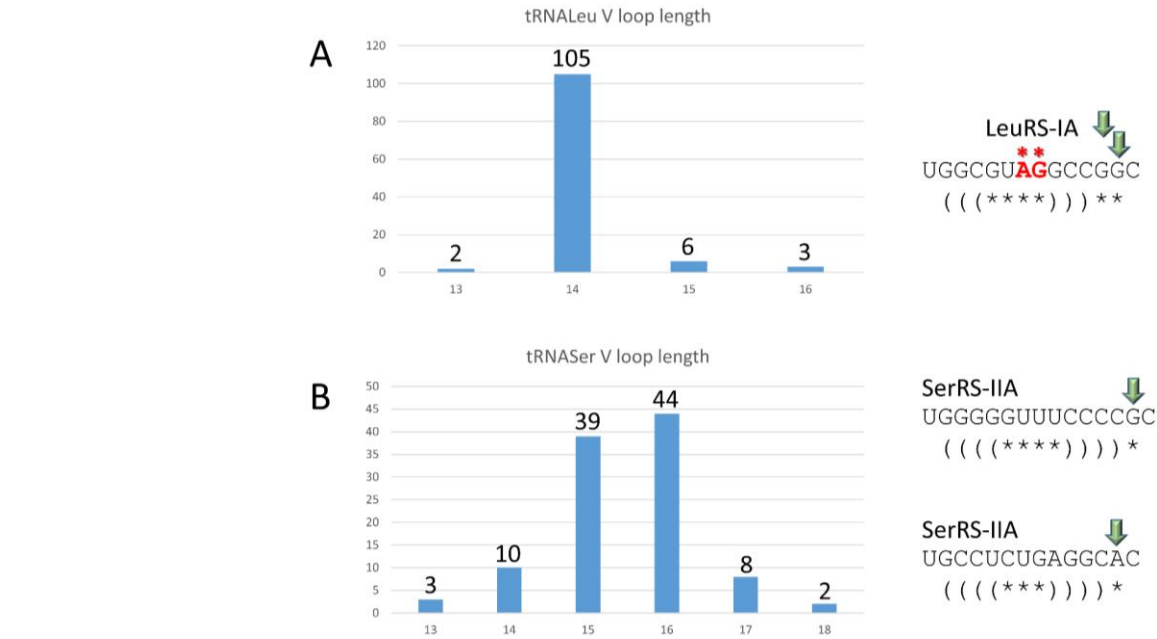
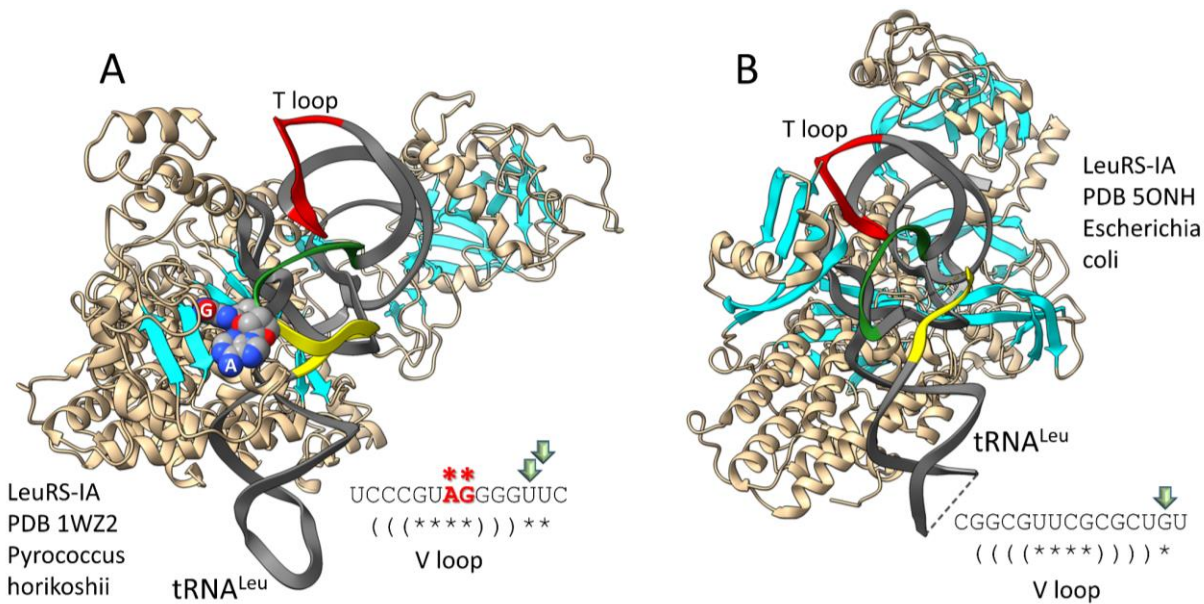


Figure 6. Lengths of type II V loops in Archaea. A) The tRNA^{Leu} V loop is primarily 14 nt, which is the primordial length (Figure 1). B) The tRNA^{Ser} V loop varies from 13-18 nt and is primarily 15 or 16 nt. Characteristic V loop sequences are shown to the right of the graphs (see also Supplementary Files #1 and #2). Light green arrows indicate unpaired bases just 5' to the Levitt base V_n.

The trajectory of the V arm has consequences for LeuRS-IA contacts in Archaea and Bacteria (Figure 7). The type II V loop of tRNA^{Leu} from *Pyrococcus horikoshii* has 2 unpaired bases between the 3'-V stem and V₁₄C that forms the Levitt base pair to 15G (see Figures 3B and 4) [25]. V₇A and V₈G contact the C-terminal domain of *P. horikoshii* LeuRS-IA (Figure 7A). In Bacteria, by contrast, the V arm trajectory is changed. In Bacteria, tRNA^{Leu} has only a single unpaired base separating the 3'-V stem and the base that forms the Levitt base pair (see Figure 4). In Bacteria, the tRNA^{Leu} V loop makes no apparent contacts to LeuRS-IA (Figure 7B) [26]. Instead the C-terminal β -hairpin that contacts the V loop in Archaea contacts the T loop in Bacteria (compare Figures 7A and 7B).



225
226 Figure 7. Different V loop determinants in LeuRS-IA recognition of tRNA^{Leu} in Archaea and Bacteria. A) In Archaea, the
227 C-terminal β-sheet contacts the AG motif in the tRNA^{Leu} V loop. B) By contrast, in Bacteria, the C-terminal β-sheet con-
228 tacts the T loop in tRNA^{Leu}. Protein is beige and cyan (β-sheets). TRNA is gray. The V loop is yellow (derived from the
229 3'-acceptor stem) and green (derived from the 5'-acceptor stem). The T loop is red. V loop sequences are annotated as
230 in Figure 4.

231
232 SerRS-IIA contacts the V stem of tRNA^{Ser} and tRNA^{Sec} (Sec for selenocysteine), but
233 not the V loop, through its N-terminal helix hairpin (Figure 8). The SerRS-IIA N-terminal
234 helix hairpin forms a brace between the V stem and the T loop of the tRNA^{Ser} (Figure 8A)
235 [27] and tRNA^{Sec} (Figure 8B) [28]. Unfortunately, no structure is currently available for
236 Pyrococcus SerRS-IIA with a bound tRNA^{Ser}. Our interest in an archaeal structure is that
237 it will have a different V arm trajectory than in Bacteria (see Figures 3C and 4). The V
238 loop of tRNA^{Ser} in Archaea has 1 unpaired base separating the 3'-V stem from the base
239 that participates in the Levitt base pair (Supplementary File #2). In Bacteria, by contrast,
240 the type II V loops of tRNA^{Ser} have 0 unpaired bases. SerRS-IIA charges tRNA^{Sec} with ser-
241 ine, which is then modified to selenocysteine.

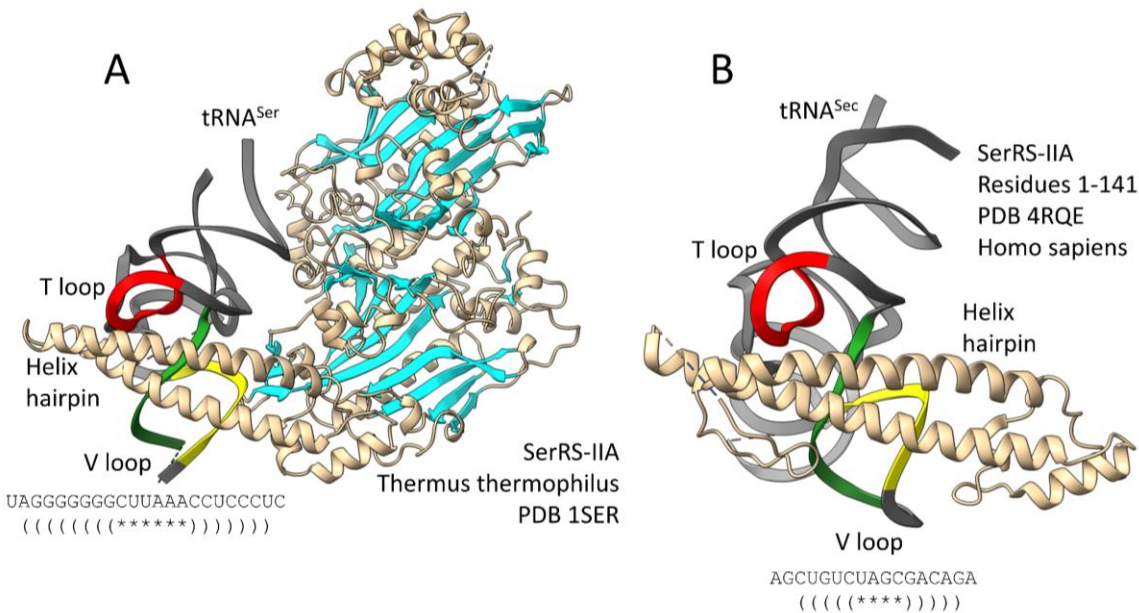


Figure 8. SerRS-IIA contacts the V stem and the T loop with an N-terminal helix hairpin. A) SerRS-IIA bound to tRNA^{Ser} from *Thermus thermophilus*. B) SerRS-IIA (residues 1-141) bound to tRNA^{Sec} from *Homo sapiens*. Colors and annotations as in Figure 7.

In Bacteria, tRNA^{Leu} has 1 unpaired base separating the 3'-V stem from the Levitt base (V_n) versus 2 unpaired bases in tRNA^{Leu} in Archaea (Figure 7). In Archaea, tRNA^{Tyr} is a type I tRNA. In Bacteria, by contrast, tRNA^{Tyr} is a type II tRNA [17]. *Thermus thermophilus* TyrRS-IC contacts V₅G and V₆U for accurate charging of tRNA^{Tyr}, which has a 14 nt V loop, the primordial length (Figure 9A). V loop binding is through the C-terminal TyrRS-IC domain. Figure 9B shows a sequence logo of bacterial tRNA^{Tyr} 14 nt V loops. High conservation of V₅G or U and V₆U in the logo indicates that, at least in most species represented, TyrRS-IC must make similar V loop contacts.

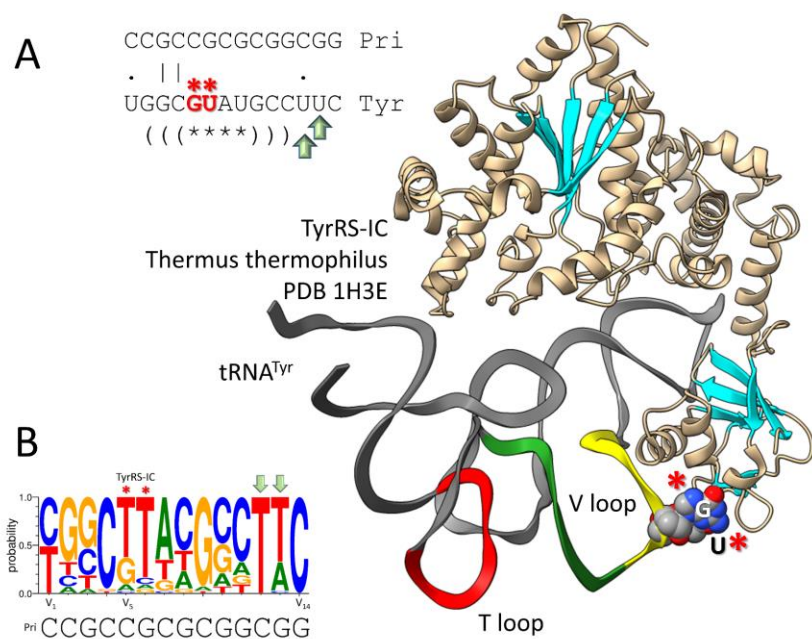


Figure 9. In *Thermus thermophilus*, TyrRS-IC contacts the tRNA^{Tyr} V loop through a C-terminal domain. A) *T. thermophilus* TyrRS-IC bound to the tRNA^{Tyr} V loop. B) A sequence logo of 14 nt tRNA^{Tyr} V loops in Bacteria. Red asterisks indicate TyrRS-IC V loop contacts.

Shortening of the tRNA^{Tyr} V loop, however, appears to have consequences for TyrRS-IC recognition of the V loop. In *Escherichia coli* and many other Bacteria, the tRNA^{Tyr} V loop was shortened to 12 or 13 nt, and, from inspection of sequences, TyrRS-IC contacts to these shorter V loops appear not to be utilized [17]. The shortening of the V loop may partly correlate with the evolution of the wobble queuosine modification of tRNA^{Tyr}, which may in part create an improved determinant to replace the V loop contact that appears to be lost in some bacterial species.

It appears that class I AARS enzymes have not evolved to access a V loop with 1 or 0 unpaired bases separating the 3'-V stem and the Levitt base (V_n) (see Figure 4). LeuRS-IA from Bacteria, for instance, has lost all contact with the tRNA^{Leu} V loop (Figure 7B), which has 1 unpaired base in this interval. Because, in Bacteria, tRNA^{Tyr} evolved with a V loop with 2 unpaired bases just 5' of the Levitt base, tRNA^{Leu} in Bacteria appears to have evolved toward a new mode of recognition (compare Figures 7A and 7B). In prokaryotes, type II tRNAs encoding different amino acids appear to not have the same trajectory from the tRNA without compromising tRNA discrimination (Figure 4).

Thermus thermophilus is an ancient Bacterium, but its type II V loops are more innovated than archaeal type II V loops and more diverged from the primordial length of 14 nt (Figures 10-12). Escherichia coli is a much more specialized Bacterium than T. thermophilus, and E. coli has more innovated type II V loops than T. thermophilus (not shown) [17]. Figure 10 shows a typical tRNA^{Leu} from T. thermophilus and its V loop sequences. As noted, the bacterial tRNA^{Leu} has 1 unpaired base separating the 3'-V stem from the base that forms the Levitt base pair, which in this case is V_nU. V_nU forms a Levitt reverse Watson-Crick base pair with tRNA 15A. The length of the tRNA^{Leu} V loop in T. thermophilus varies (13-17 nt). Figure 11 shows a typical tRNA^{Ser} from T. thermophilus and its V loop sequences. In Bacteria, tRNA^{Ser} has 0 bases separating the 3'-V stem from the V_n base that forms the Levitt base pair, which in this case is V_nC that pairs with tRNA 15G, as in archaeal tRNA^{Leu} and tRNA^{Ser} (Supplementary Files #1 and #2). The length of the T. thermophilus tRNA^{Ser} V loop varies (19-22 nt). Type II tRNA^{Tyr} in T. thermophilus has 2 bases separating the 3'-V stem from the base that forms the Levitt base pair (Figure 9), which is V_nC, as in archaeal tRNA^{Leu} and tRNA^{Ser}.

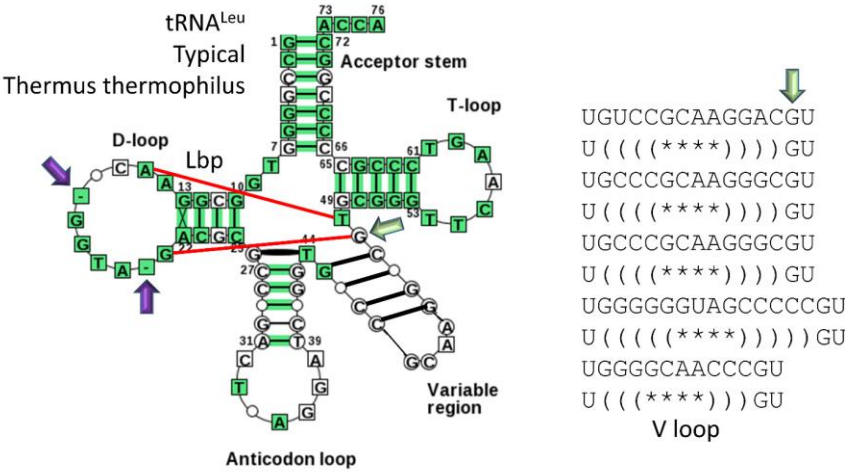


Figure 10. A typical tRNA^{Leu} of Thermus thermophilus. V loop lengths range from 13-17 nt. The Levitt base pair is 15A-V_nU. Purple arrows indicate D loop deletions that are not observed in Pyrococcus furiosis tRNA^{Leu} (Figure 3B) but may be seen in other Archaea (Supplementary File #1). Other annotations are as in previous figures. The website program does a poor job of aligning V loops of different lengths [17].

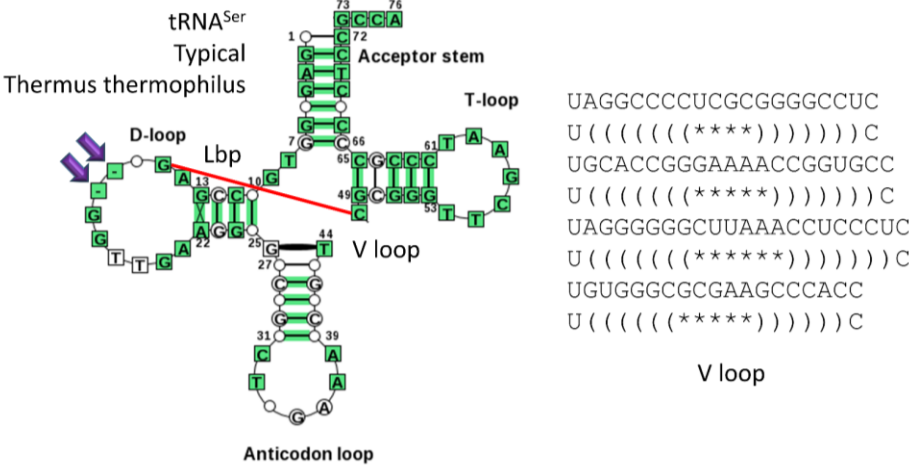


Figure 11. A typical tRNA^{Ser} from Thermus thermophilus. V loop lengths range from 19-22 nt. Purple arrows indicate deleted bases in T. thermophilus tRNA^{Ser}. These D loop bases are also deleted in some archaeal tRNA^{Ser} (Supplementary File #2).

To summarize type II tRNA data from Archaea and Bacteria, Figure 12 is shown. It appears that, to aid discrimination of cognate tRNAs in prokaryotes, type II tRNAs

evolved to have distinct trajectories from the tRNA body. In Archaea, tRNA^{Leu} has 2 unpaired bases and tRNA^{Ser} has 1 unpaired base just 5' to the Levitt base (V_n) (Supplementary Files #1 and #2). In Bacteria, tRNA^{Tyr} has 2 unpaired bases, tRNA^{Leu} has 1 unpaired base and tRNA^{Ser} has 0 unpaired bases just 5' to the Levitt base. In Archaea, tRNA^{Tyr} is a type I tRNA that is closely related, in ancient species (i.e., *Pyrococcus*), to tRNA^{Asn}, which has a similar anticodon, indicating that tRNA^{Tyr} and tRNA^{Asn} may have been coevolved in ancient Archaea by duplication and repurposing [24].

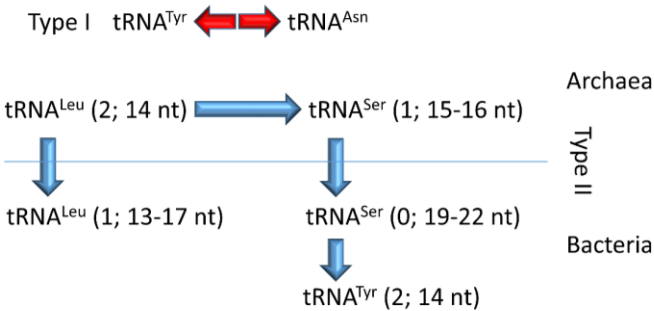


Figure 12. A model for evolution of type II tRNAs in Archaea and Bacteria. Archaea are considered to be the older Domain and more similar to LUCA compared to Bacteria. Divergence of Archaea and Bacteria is hypothesized to have occurred very early, perhaps when all type II tRNA V loops were still 14 nt in length. Dominant lengths of archaeal tRNA V loops are indicated compared to the lengths of *T. thermophilus* type II tRNAs. TRNA^{Tyr} in Archaea is a type I tRNA that is closely related in sequence to tRNA^{Asn} (red arrows).

4. Discussion

To our knowledge, type II V loops have not previously been analyzed and compared in detail in Archaea and Bacteria. We consider this comparison to be important for three reasons: 1) to analyze and understand the evolution of tRNA; 2) to describe the recognition of tRNAs by their cognate AARS enzymes; and 3) to describe the divergence of Bacteria from Archaea. We consider further analysis of type II tRNAs from Eukarya to be an interesting future project that we judged to be beyond the scope of the current work.

Our group has proposed the 3-31 nt minihelix theorem to describe evolution of type I and type II tRNAs [1-3]. We consider this to be a theorem and not merely a model because the evidence for the scheme from tRNA sequence analysis is so compelling that we cannot consider the possibility of the model being rationally questioned or falsified. Notably, the theorem provides four independent points of emphasis: 1) primordial tRNA sequences were composed of repeats and inverted repeats of known sequence (Figures 1-3); 2) evolution of tRNA required ligation of 3-31 nt minihelices of almost completely known sequence (Figure 1); 3) the theorem accounts for obvious homology of the 17 nt anticodon and 17 nt T stem-loop-stems (Figure 2B); and 4) the theorem completely describes the evolution of both type I and type II tRNAs (Figures 1-3). Notably, the longer type II V loop is not a V loop expansion, as some have described it, but, rather, a failure to process by an orderly 9 nt deletion (Figure 1).

It is notable to stress that the 3-31 nt minihelix theorem was initially proposed only for type I tRNAs [15,29]. In collaboration with Dr. Bruce Kowiatek and at his suggestion, we applied the theorem to type II tRNAs, as well [5]. The solution for type II tRNAs, therefore, was a major prediction of the 3-31 nt minihelix theorem and provided very strong support for the theorem as initially proposed. No alternate model for tRNA evolution can adequately describe either type I or type II tRNA evolution. As we have previously explained, no model that includes random indels (insertions and/or deletions) can possibly describe early tRNA evolution because of the clear patterning of tRNA^{Pri} sequences (Figures 1-3). Furthermore, no 2 minihelix model can possibly describe tRNA early evolution. Only a 3-31 nt minihelix model is adequate. Thus, competing tRNA evolution models fail on multiple counts: 1) competing models fail to describe patterning (RNA repeats and inverted repeats) in tRNA^{Pri} and tRNA^{Aomes} (i.e., *Pyrococcus*; Figures 2-3); 2) those models

fail to account for obvious homology of the 17 nt anticodon and 17 nt T stem-loop-stems (Figure 2B); 3) competing models require chaotic indels, which are in contradiction of the obvious tRNA sequence patterning (Figures 1-3); and 4) those models fail to account for both type I and type II tRNAs.

In Figure 12, a model is proposed for the radiation of type II V loops in Archaea and Bacteria. We describe archaeal type II V loops in detail (Supplementary Files #1 and #2). To describe bacterial type II V loops in similar detail would require additional analysis that we have not completed. For the current work, we show data for *Thermus thermophilus*, which we consider to be a reasonable reference organism as an ancient Bacterium that may be close to the divergence point for Archaea and Bacteria. Based on multiple analyses by us and by others, we consider Archaea to be older organisms than Bacteria and more similar to LUCA, particularly for translation systems. Our analysis of type II V loops appears to support this view (Figure 12).

Prokaryotes distribute the conformations of type II V loop arms for different cognate tRNAs. In Archaea, tRNA^{Leu} and tRNA^{Ser} have different trajectories of their arms from the tRNA body (Figures 3B-C, 4, 7A and 12). In Bacteria, tRNA^{Tyr}, tRNA^{Leu} and tRNA^{Ser} have different trajectories of their type II V arms from the tRNA body (Figures 4, 7B, 8-12). The trajectories of V arms can be related to two linked features: 1) the angles of hydrogen bonds in V stem pairing (Figures 3B-C); and 2) the number of unpaired bases (2, 1 or 0) separating the 3' V stem and the Levitt base V_n (Figures 3B-C, 4, 7- 9 and 12).

Secondly, Bacteria appear to have replaced the type I tRNA^{Tyr} from Archaea with a type II tRNA^{Tyr} that may be derived from a primitive tRNA^{Ser} [5]. If this idea is correct, duplication and repurposing of tRNA^{Ser} into tRNA^{Tyr} probably occurred when both tRNAs had V loops of 14 nt. In *Thermus thermophilus*, however, tRNA^{Ser} has V loops of 19-22 nt and tRNA^{Tyr} has a V loop of 14 nt, indicating that the bacterial tRNA^{Ser} V loop expansions occurred after Bacteria diverged from Archaea and after type II tRNA^{Tyr} formed but before Bacteria were fully established as a separate Domain. In support of this idea, tRNA^{Ser} and tRNA^{Tyr} in *T. thermophilus* have the same deletions in the D loop region of their tRNAs, indicating that the D loop deletions may have occurred prior to genesis of the type II tRNA^{Tyr} and also prior to the tRNA^{Ser} V loop expansions. We propose that Archaea and Bacteria diverged very early after LUCA, but that a full bacterial identity was only established much later in evolution. We have previously discussed divergence of archaeal and bacterial transcription systems [30], which fits a similar narrative. We posit that divergence of Archaea and Bacteria into separate Domains was largely driven by the divergence of their transcription systems. Archaea utilize RNA polymerase, TFD, TFB and TFE. Bacteria utilize a simplified RNA polymerase coevolved with bacterial sigma factors. Interestingly, archaeal TFB and bacterial sigma factors are helix-turn-helix factors and homologs.

Because type II tRNA^{Tyr} in Bacteria has the same trajectory as tRNA^{Leu} in Archaea, bacterial tRNA^{Leu} evolved to alter its V loop trajectory, changing its contacts to LeuRS-IA from the V loop in Archaea to the T loop in Bacteria (Figures 4 and 7). Also, tRNA^{Ser} in Bacteria altered its trajectory from the tRNA body relative to tRNA^{Ser} from Archaea (compare Figures 3C, 4 and 8). At the time of writing, there is no *Pyrococcus* SerRS-IIA structure with bound tRNA^{Ser} to make the fullest comparison. It appears that, in prokaryotes, type II V loops for different tRNAs must have different trajectories from the tRNA body in order to support the accurate activities of the interacting proteomes including cognate AARS enzymes.

We consider the analysis and comparisons of type II V loops to: 1) strongly support the 3-31 nt minihelix theorem for tRNA evolution; and 2) give insight into the divergence of Archaea and Bacteria.

5. Conclusions

We conclude that the comparison of type I and type II V loops and tRNAs in archaeal systems provides overwhelming support for the 3-31 nt minihelix theorem and falsifies:

1) all accretion models (chaotic models with random indels) for tRNA evolution; and 2) all 2 minihelix models for tRNA evolution. The 3-31 nt minihelix theorem is the only description of both type I and type II tRNA evolution. No other published model provides this comparison. We understand that there are no theorems in biology. We propose that the 3-31 nt minihelix theorem be generally accepted as one and perhaps the only one. The 3-31 nt minihelix theorem describes pre-life processes and chemical evolution leading to life on Earth.

The current work demonstrates the importance of identifying and analyzing ancient root sequences to understand the pre-life to life transition and the divergence of Archaea and Bacteria. Identification of the patterning of the tRNA^{Pri} root sequence provides insight into polymer world and minihelix world (Figure 1). Type I and type II tRNA^{Pri} appear to be the root sequences for all tRNA^{omes} on Earth. Our group has previously analyzed root trees of class I and class II AARS enzymes and correlated them with the evolution of the genetic code [1,2].

Bottom-up approaches attempt to reproduce pre-life chemistry. Our analysis, by contrast, is a top-down, sequence-based approach that nonetheless provides insight into a pre-life world. The patterning of tRNA sequences allows success of our top-down strategy. Note that chaotic sequences are highly unlikely to generate patterned sequences through subsequent random events. Because tRNA sequences include repeats and inverted repeats, tRNA must have been generated from patterned polymers and minihelices, and chaotic models are falsified (Figure 1).

Life may have evolved from an RNA-peptide world [2,31-35]. Also, modification of RNA at the 2' position (i.e., 2'-O-methyl) may have stabilized RNA (i.e., to OH hydrolysis) and aided evolution of 5'→3' complementary replication of RNA [31]. Complementary replication of RNA has, so far, been challenging to reproduce in vitro using primitive catalysts, such as ribozymes [36-38]. We show, however, that pre-life tRNA evolution required inverted repeats, snap-back primers, complementary sequences and complementary replication (Figure 1). We predict that, with modified procedures, efficient complementary 5'→3' RNA replication can be reproduced in vitro using primitive catalysts. We posit that polyglycine was an important product of polymer world, minihelix world and the initial tRNA world before tRNA^{omes}. We posit that pre-life evolved from highly ordered polymer and minihelix worlds to tRNA world to tRNA^{omes} and the genetic code and, finally, to DNA genomes, cells and life. This history was recorded in the tRNA sequences of living organisms (Figures 1-3).

Supplementary Materials: The following supporting information can be downloaded at: www.mdpi.com/xxx/s1, Supplementary File #1: Archaeal tRNA^{Leu}; Supplementary File #2: Archaeal tRNA^{Ser}.

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