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# Z2- $\gamma$ : an Application of Zienkiewicz-Zhu Error Estimator to Brain Tumor Detection in MR Images.

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**Abstract:** Brain tumors are abnormal cells growth in the brain tissues that can be cancerous, or not. In any case, they could be a very aggressive disease that should be detected as early as possible. Usually, magnetic resonance imaging (MRI) is the main tool commonly adopted by neurologists and radiologists to identify and classify any possible anomalies present in the brain anatomy. In the present work, an automatic unsupervised method called Z2- $\gamma$ , based on the use of adaptive finite-elements and suitable pre-processing and post-processing techniques, is introduced. In particular, the proposed methodology is able to automatically classify whether a given MR image represents a healthy or a diseased brain and in this latter case, is able to locate the tumor area.

**Keywords:** brain tumor detection; finite-elements; adaptivity; morphological transformation

## 1. Introduction

The term brain tumor refers to an abnormal growth of cells in the brain, it could be cancerous or not and could originates directly from the brain tissues or having a metastatic nature. Benign brain tumors have a uniform structure and do not contain active cells; on the contrary, malignant brain tumors have a non-uniform structure and contains active (cancerous) cells. All the types of brain tumors may produce symptoms that vary according to the involved parts of the brain. Moreover, brain tumors may vary in size and location, besides presenting a lot of abnormalities which make it difficult to characterize them and to identify them.

Magnetic Resonance Imaging (MRI) is a very common tool used in neurology for visualizing the brain anatomy along three different planes: axial, coronal and sagittal. When protons are placed in a magnetic field they align. This alignment (magnetization) is then perturbed by introducing an external radio frequency energy. The protons start oscillating and at this state they can absorb energy and hence, the nuclei can release it or re-radiate it. The nuclei then return to their original equilibrium state: the time that it takes to the relaxation of the component parallel to the magnetic field to go back to the equilibrium is called longitudinal relaxation time or T1; on the other hand, the transversal relaxation time is abbreviated with T2. The transmitted signals are measured, then, the Fourier transform is used to convert the frequency information to corresponding gray scale intensity values; this makes possible to construct an image. By varying the sequence of the radio frequency pulses applied and collected, different type of images can be created. In particular, calling by repetition time (RT) the time interval between successive pulse sequences applied to the same slice and calling by time to echo (RE) the time interval between the delivery of the pulse and the receiving of the echo signal, the so called T1-weighted and T2-weighted images can be created. In particular, T1-weighted are produced using short TE and TR, while T2-weighted are produced using longer TE and TR.

The objective of this paper is to describe a methodology which is able to identify any possible brain tumor given either a T1-weighted or a T2-weighted MRI. By screening the given image, the proposed approach is also able to locate the tumor area as well as to identify those scans that do not present any abnormal tissues, and hence, can be

categorized as healthy brains. The method here described relies on the use of a finite-elements approximation of the input image and on the use of the Zienkiewicz-Zhu (Z2) error estimator [1–3] to adaptively and locally refine the resolution of the image at specific sites.

While the use of finite-elements and adaptive strategies is not new in the image segmentation context, see e.g. [4–8], those methods are rather complex as they are framed in a variational formulation and their objective is different from the one proposed here: the given image is split in several parts or components that can be easily identified via the location of edges. Hence those methodologies aim at locally reconstructing the profiles of these regions, but they do not address the problem of the classification of such regions.

The method introduced here can be interpreted as an unsupervised anomaly detection technique. Besides using the Z2 error estimator, it also exploits specific  $\gamma$  corrections according to which modality of MRI is analyzed, i.e., either T1-weighted or T2-weighted. As a final step, the use of morphological operators help to get rid of redundant noise and to locate the affected, i.e., anomalous, areas inside the brain tissues.

In general, regarding the brain tumor detection task, many methods are developed by using statistical and machine learning techniques, see e.g., [9,10]. In particular, more advanced architectures like convolutional neural networks (NN) [11], probabilistic NN [12,13], deep NN [14] and U-Nets [15] have been successfully applied to the task of image segmentation and brain tumor classification. On the other hand, many unsupervised methods exist as well; some are based on clustering techniques, [16–21]; others are based on automatic thresholding methods and morphological transformations, [22–26]. Some techniques apply a suitable projection in lower dimensional spaces in order to get rid of unwanted redundancies, and so classical matrix decompositions like the SVD or the non-negative matrix factorization are applied, see e.g., [27]. Histogram-based gravitational optimization algorithm [28], Gabor-wavelets [29] and other big data analytics techniques are also employed see [30] and references therein for a review. Many other approaches are based on anomaly detection: the main goal is to identify abnormalities, given only normal data in the training set, see e.g., [31–36]. Recent approaches have also exploited genetic and evolutionary algorithms, see [37] and references therein.

The present paper is organized as follows. In Section 2 the finite-elements mathematical framework is described. In Section 3 the adopted pre-processing and post-processing are discussed. In Section 4 the method is tested and compared with other available techniques. In Section 5 follows some conclusive remarks.

## 2. Mathematical Framework

Provided as input an MR image  $\mathcal{I}$  having size  $m \times n$  pixel, the following methodology produces a finite-element approximation on a locally refined regular triangulation. In particular, a denser number of triangles will be automatically located where the anomalous tissues are present, allowing for an easy detection of such regions. The first step consists in constructing a regular quadrangular grid associated to  $\mathcal{I}$ , i.e., every pixel is a node in such a grid. Then, every regular square is diagonally divided in two subtriangles. In this way we get a non overlapping regular triangulation  $\mathcal{T}_h$  where  $h$  denotes the maximal diameter of the triangular elements. At this early stage, we have  $h_T = \text{diam}(T) = h$  constant for every triangle  $T \in \mathcal{T}_h$ . More in general,  $\text{diam}(T) := \max_{x,y \in T} \|x - y\|$  and hence,  $h := \max_{T \in \mathcal{T}_h} h_T$ . On the constructed triangulation, the space of linear finite-element can be defined,

$$V_h^1 := \left\{ v_h \in C^0(\bar{\Omega}) : v_h|_T \in \mathbb{P}_1, \forall T \in \mathcal{T}_h \right\}, \quad (1)$$

where  $\Omega := (0, m) \times (0, n)$ ;  $\mathbb{P}_1$  is the space of polynomials of degree less or equal to 1, and hence,  $V_h^1$  denotes continuous piece-wise linear polynomial functions on every triangle  $T$ .

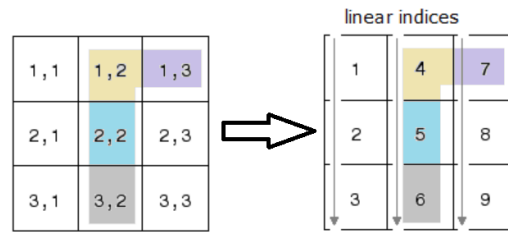
Every function  $v_h \in V_h^1$  can be expressed as a linear combination of the basis functions  $\{\varphi_k\}$  as,

$$v_h(\mathbf{x}) = \sum_{k=1}^{N_h} v_k \varphi_k(\mathbf{x}), \quad (2)$$

with  $v_k$  values of the function  $v_h$  at the grid nodes and  $N_h$  total number of grid nodes in  $\mathcal{T}_h$ . In our case, a continuous approximation image  $I$  is constructed by interpolating the original image  $\mathcal{I}$  at the provided pixel values, i.e., via using expression (2),

$$I(\mathbf{x}) = \sum_{k=1}^{N_h} \mathcal{I}_k \varphi_k(\mathbf{x}), \quad (3)$$

where a linear global index  $k$  is used to identify every pixel  $(i, j)$  in  $\mathcal{I}$ , according to the mapping shown in Figure 1, and, again,  $N_h$  denotes the total number of nodes in  $\mathcal{T}_h$ .



**Figure 1.** Mapping from classical two subscripts notation of matrix elements to global linear indexing.

As a second step, a downsampling of the constructed approximation  $I$  is performed. In particular,  $I$  is approximated itself via using a coarser triangulation  $\tilde{\mathcal{T}}_h$  having  $\tilde{N}_h$  vertices, where the set of these nodes is not necessarily a subset of the original triangulation nodes. This new approximation will be denoted as  $\tilde{I}_h$ . The third step consists in computing the Zienkiewicz-Zhu error estimator  $\eta_{\tilde{T}}$  on every triangle  $\tilde{T} \in \tilde{\mathcal{T}}_h$ :

$$\eta_{\tilde{T}} := \|G(\tilde{I}_h) - \nabla \tilde{I}_h\|_{L^2(\tilde{T})}. \quad (4)$$

In our case, the function  $G(\tilde{I}_h)$  is constructed by evaluating the function  $\nabla \tilde{I}_h$  on the barycenter of each triangle and then, by averaging on those triangles which share a common vertex. In particular, the reconstruction  $G_{\tilde{k}}$  of the gradient at every node  $\tilde{k}$  of the triangulation  $\tilde{\mathcal{T}}_h$  is done by,

$$G_{\tilde{k}} := \sum_{\tilde{T} \ni \tilde{k}} \frac{|\tilde{T}|}{|T_{\tilde{k}}|} \nabla \tilde{I}_h|_{\tilde{T}}, \quad (5)$$

where the symbol  $|\cdot|$  in formula (5) denotes the area of the considered element and  $T_{\tilde{k}}$  denotes the union of the triangles which share the vertex  $\tilde{k}$ . The elements  $\tilde{T}$  having a bigger  $\eta_{\tilde{T}}$  are the ones where the intensity value of the pixels varies the most. Then the estimators for every triangle are sorted from the smallest to the biggest one and the triangles that are marked, and hence, refined are the ones such that,

$$\eta_{\tilde{T}} \geq c_{ref} \eta_{\tilde{T}_t}, \quad (6)$$

with  $t := pN_t$ ,  $N_t$  the total number of triangles and  $p$  percentage of triangles that will be considered; while  $0 < c_{ref} < 1$ . The marking strategy is fundamental to guarantee a specific order of convergence for the chosen approximant, see e.g., [38,39], however this is not the

main concern to be addressed for the current application. The parameters settings for the tests have been experimentally carried out.

**Remark 1.** *Although more accurate formulas exist for the gradient recovery estimation (see e.g., [40–43]), in this work, the choice of Eq. (5) is intentional: a more rough approximation is indeed preferable in this context rather than an extremely accurate one, as the main goal of the proposed methodology is to estimate the region extension of any possible anomalous tissue, rather than just delineating the profile or the edges of such regions.*

The refinement strategy is repeated for several iterations, until the maximum  $\eta_{\tilde{T}}$  does not reach the chosen threshold value, producing every time an isotropic triangulation. At the end, smaller triangles will be located in the regions of interest. Therefore, a final binary map  $b$  is produced by constructing  $b \in V_h^0$  as a piece-wise constant polynomial function, which is defined as,

$$b|_{\tilde{T}} := \begin{cases} 1 & \text{if } |\tilde{T}| \text{ is minimal,} \\ 0 & \text{otherwise.} \end{cases} \quad (7)$$

It should be noticed that having an isotropic triangulation is a fundamental step in order to produce feasible results with the described strategy. Indeed, having an anisotropic triangulation does not necessarily guarantee that the regions of interests are covered with triangles of small area. The shape of the triangles can be rather elongated and flattened, preventing from using the rule in (7) to produce a suitable binary map  $b$ .

### 2.1. A metastatic brain tumor example

To make the described procedure clearer to the reader, the following example shows the various employed steps. An image  $283 \times 295$  pixels of a metastatic brain tumor is selected, Fig. 2 (a). The first interpolant  $I$ , obtained with linear finite-element and defined with 27828 degrees of freedom (dof), is shown in Fig. 2 (b), while the starting approximation  $\tilde{I}_h$  for the conducted analysis, on a downsampled triangulation consisting of  $N_t = 1200$  triangles and 217 dof, is shown in Fig. 2 (c). The triangulation is locally refined by using the error estimator in Eq.(4) and setting the parameters  $p = 0.25$  and  $c_{ref} = 0.60$  for the Eq. (6). The output of this process is shown in Fig. 2 (d) and (e), where the produced approximant  $\tilde{I}_h$  is defined with 936 dof. In Fig. 2 (f), only for visualization purpose, the original MRI bright regions are superimposed to the final triangulation in order to show the coherence of the obtained results. Finally, in Fig. 2 (g) the final triangulation is shown again, but the triangles are colored according to their area value. In Fig. 2 (h) the produced binary map  $b$  is constructed from the final triangulation by selecting only the triangles with minimal area and setting the corresponding pixels to 1, while setting any other pixel value equal to 0. It is evident how there is still some noise affecting the quality of the produced results. Therefore, this final image will be post-processed as described in Section 3.2.

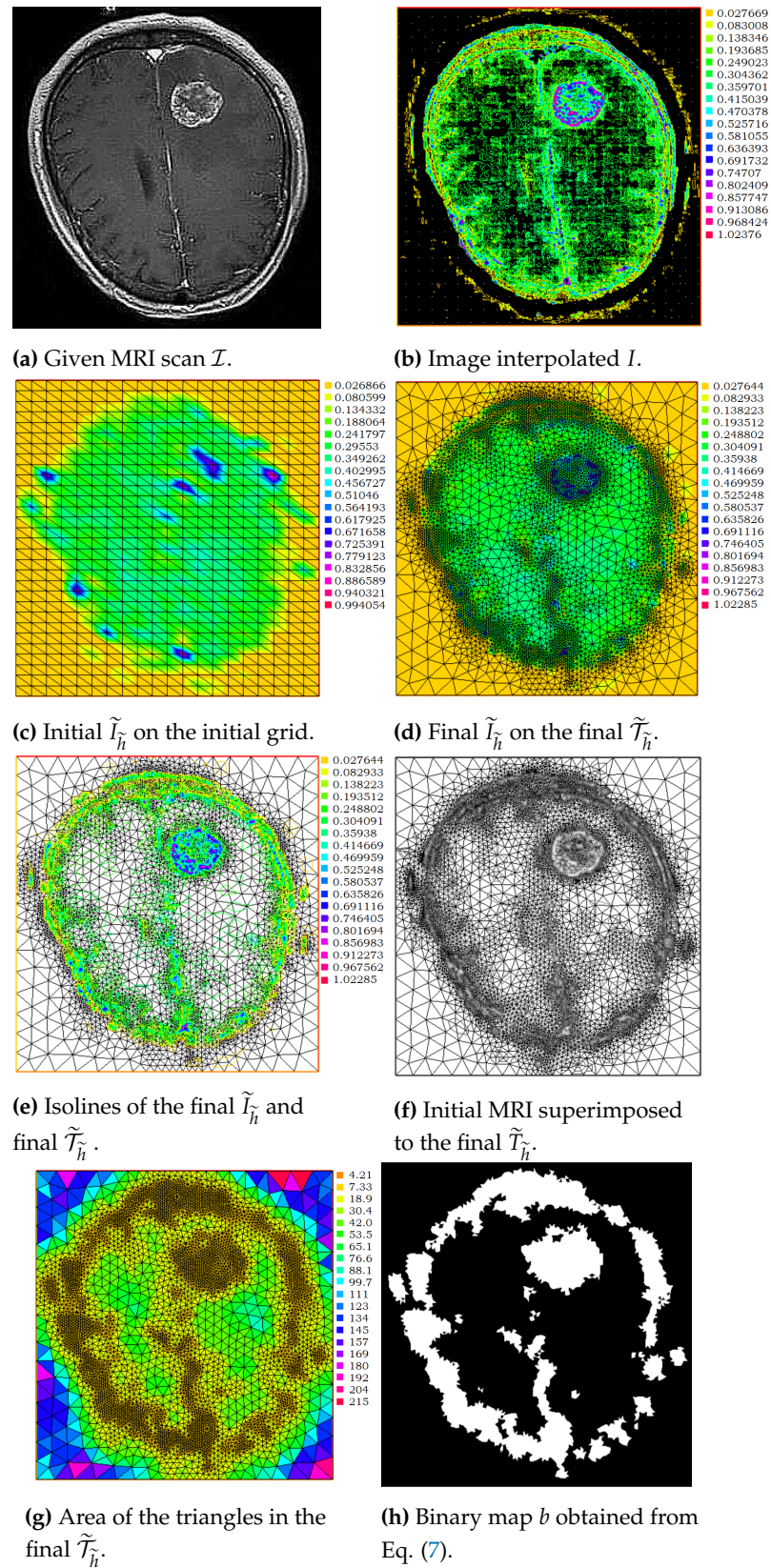
## 3. Experimental Results

The proposed method refines areas around those pixels where there is a relevant change in the gray intensity values. Therefore, it is prone to increase the resolution of the triangulation near extremely bright regions, or near extremely dark regions when the surrounding pixels have lower and higher intensity values, respectively. Given an MR-T1 or MR-T2 image, bright areas, as well as dark ones, are not necessarily neoplastic tissues. In order to avoid to refine unnecessary areas and to give a false prediction for an healthy brain, it is convenient to pre-process the images as follows.

### 3.1. Pre-processing

Every gray MR acquired image is firstly scaled in the interval  $[0, 1]$ . Then, in order to reduce the difference in intensity values that could occur around healthy regions, that



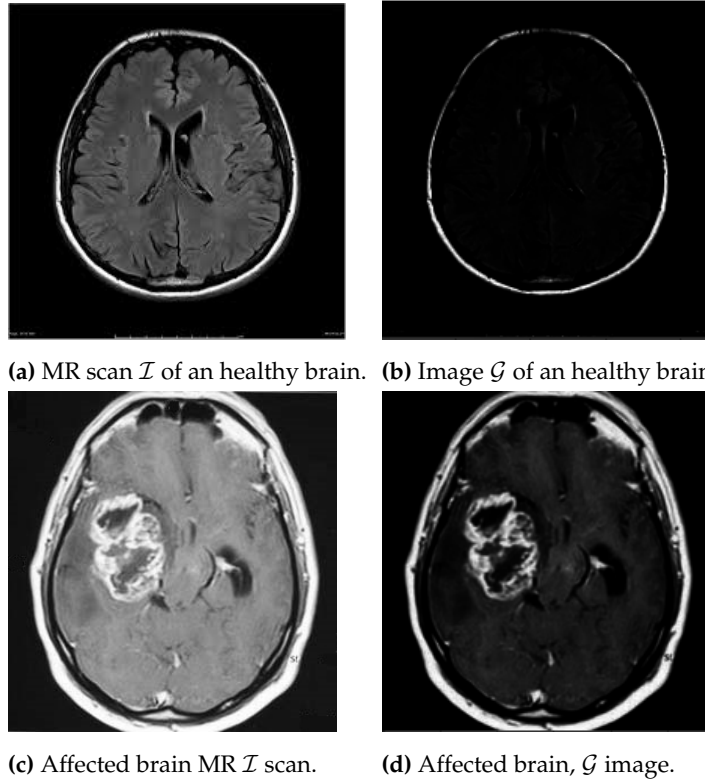


**Figure 2.** Exemplification of the procedure described in Section 2.

otherwise would be detected as anomalous, a simple gamma correction is applied. More in detail, given  $\mathcal{I}$  a gray image scaled in  $[0, 1]$ , the following transformation is applied:

$$\mathcal{G} := \mathcal{I}^\gamma,$$

with  $\gamma = 4$ . The results can be visually appreciated in Figure 3. In particular, it is evident how for an healthy brain, Fig. 3(a), the gamma correction would make any pixel intensity value uniform besides the external cortex, see Fig. 3(b); while for an affected brain, Fig. 3(c), the neoplastic region would still be enhanced and hence detected, see Fig. 3(d).



**Figure 3.** Gamma correction results.

### 3.2. Post-processing

In order to localize the tumor, once the smaller triangles have been selected and the binary map  $b$  produced, to better delineate the tumor area and to get rid of the cortex profile as well as any other noisy area, a morphological transformation called erosion (see e.g, Chapter 5 in [44]) is applied with the following kernel:

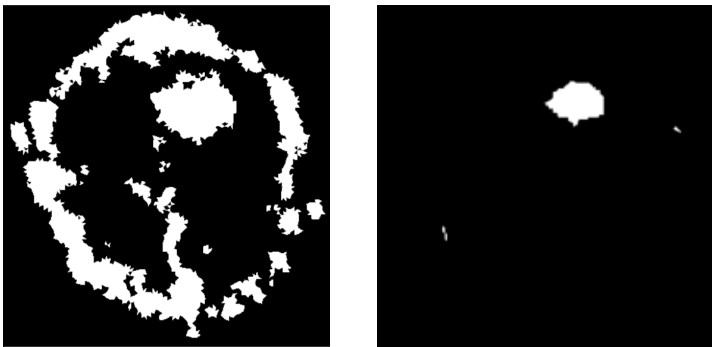
$$Kernel := \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}. \quad (8)$$

As the kernel slides through the image, a pixel is considered 1, and hence, white, if all the pixels under the kernel are 1, otherwise it is eroded and its value is set to 0, i.e., black, see Fig.4. The erosion transformation is repeated up to 6 times per image. At the end of this phase, if the resulting image is completely black or if there are spurious white pixels accounting less than 1%, then the considered input MR  $\mathcal{I}$  is automatically labelled as "healthy", otherwise, it is automatically labelled as "affected".

The proposed procedure is implemented in FreeFem ++, version 3.5, see [45] for the finite-element approximation and is using the openCV<sup>1</sup> Python library for the post-processing step. The tests are performed on a free dataset available on Kaggle<sup>2</sup>. There are in total 215 MR images of different sizes and of different modalities, i.e., T1, T2, but these information are not apriori provided. The affected brains are 155 while the healthy ones

<sup>1</sup> <https://github.com/opencv/opencv-python>

<sup>2</sup> <https://www.kaggle.com/datasets/navoneel/brain-mri-images-for-brain-tumor-detection>



(a) Obtained binary map  $b$  from definition (7).  
(b) Post-processing result.

**Figure 4.** Binary map treatment.

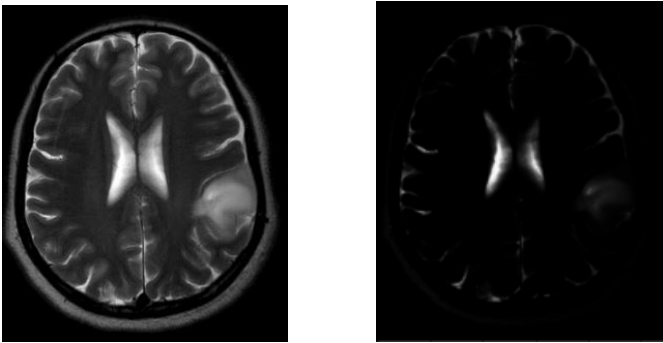
are 98. The images come split in two folders for the healthy and the affected ones so that a post evaluation of the performance of the algorithm is possible. In particular, sensitivity and miss rate are considered as indices for the evaluation. By using sensitivity, also called true positive rate, it is possible to see how many brain images, in the affected folder, are correctly labelled, as well as, how many images are correctly classified as healthy, in the other folder. The indicator miss rate instead is giving insight of the wrongly classified images. By observing the results reported in Table 1 it is evident how the proposed strategy is more prone to identify anomalous regions, and hence, to label as "affected", in most of the circumstances, any given input image. To understand better the reasons of this behavior is necessary to analyze the MR scans of healthy brains that were wrongly classified as affected, since this category is the one where the proposed algorithm is rather faulty, i.e., 63.27% of failure.

**Table 1.** Sensitivity and miss rate for the affected and for the healthy brains.

Index	Affected	Healthy
Sensitivity	92.26%	36.73%
Miss rate	7.74%	63.27%

3.3. Correction

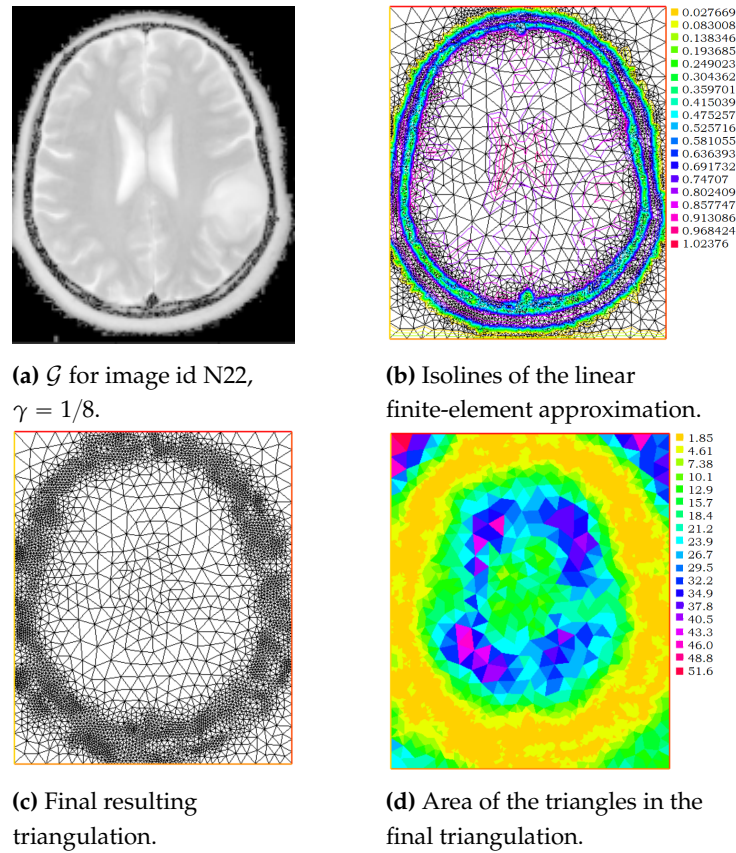
For certain images of healthy brains in the considered dataset, like the T2-weighted MR images, the cerebrospinal fluid appears with a high intensity signal [46], resulting therefore in bright areas, surrounded by dark pixels, that can be easily mistaken for anomalous sites after the gamma correction introduced in subsection 3.1, see the example in Fig. 5.



(a) Image id N22: healthy brain.  
(b) Image id N22 after gamma correction.

**Figure 5.** The cerebrospinal fluid appears with high intensity signal for T2-weighted MRIs.

Therefore, for such cases, the image  $\mathcal{G}$  is produced by using  $\gamma = 1/8$ . The results of this operation are shown in Fig. 6. After this type of correction, the sensitivity index for the "healthy" category is increased to 72.45% and the miss rate is reduced to 27.55%.



**Figure 6.** Treatment of the T2-weighted MR scans.

The following tests are implemented by using FreeFem++, a free finite-elements software.

#### 4. Discussion

The proposed procedure is globally evaluated by using two common metrics: the overall accuracy (OA) and the  $F_1$  score. In particular, denoting with:

- TP: true positive, i.e., affected brains correctly classified;
- TN: true negative, i.e., healthy brains correctly classified;
- FP: false positive, i.e., healthy brains mistakenly classified as affected;
- FN: false negative, i.e., affected brains wrongly classified as healthy;

the overall accuracy is defined as,

$$OA := \frac{TP + TN}{TP + TN + FP + FN},$$

while the  $F_1$  score is computed as,

$$F_1 := \frac{2TP}{2TP + FP + FN}.$$

The obtained results are displayed in Table 2 where also other competitors on the same dataset are shown. In particular, Auto-Encoder [31] and MemAE [47] are based on deep autoencoder architecture; AnoGAN [48], f-AnoGAN [49], GANomaly [50], Sparse-GAN [51], pix2pix [52] and Cycle-GAN [53] are based on generative adversarial networks; Proxy-



Bridged [35] uses an intermediate proxy to bridge the input image and the reconstructed image to detect the anomalous regions.

**Table 2.** Competitors results on the same analyzed dataset. In bold the best results.

Methods	OA	F <sub>1</sub>
Z2- $\gamma$	<b>0.846</b>	<b>0.880</b>
Auto-Encoder	0.714	0.674
MemAE	0.789	0.722
AnoGAN	0.757	0.691
f-AnoGAN	0.764	0.675
GANomaly	0.798	0.667
Sparse-GAN	0.791	0.645
pix2pix	0.737	0.617
Cycle-GAN	0.752	0.712
Proxy-Bridged	0.805	0.709

The results in Table 2 clearly shows the competitiveness of the proposed algorithm with respect to other methods, some of them even developed as supervised approaches, in the tumor detection task.

5. Conclusions

In this paper a method based on finite-elements and on the Z2 error estimator is presented to address the task of tumor detection in brain MR images. The proposed approach is called Z2- $\gamma$  as it also relies on a suitable preliminary gamma correction which helps to better highlight the anomalous regions, whenever present. This initial study shows promising results in the application of the Z2 error estimator, together with isotropic triangulations, and specific tools of image processing in the automatic detection of brain tumors. Future work is currently devoted to the study of brain-tumor segmentation in its various parts, i.e., active cells, necrotic core, and edema, see e.g., [54–56] and references therein, a task which requires a better discriminative power of the error estimator, as well as suitable input images, see e.g., [57].

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