

A classical revival: human satellite DNAs enter the genomics era

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Abstract

The classical human satellite DNAs, also referred to as human satellites 1, 2 and 3 (HSat1, HSat2, HSat3, collectively HSat1-3) constitute the largest individual arrays of tandemly repeated DNA sequences in the genome. Even though they were among the first human DNA sequences to be isolated and characterized at the dawn of molecular biology, HSat1-3 have been left behind in the genomics era and remain among the most enigmatic sequences in the human genome. Although HSat1-3 total roughly 3% of the genome on average, they were almost entirely missing from the human genome reference assembly for 20 years. Recently, the Telomere-to-Telomere Consortium produced the first truly complete assembly of a human genome, including the enormous HSat1-3 arrays, opening them up for a new wave of discovery. Towards this end, here, I provide an account of the history and current understanding of HSat1-3 genomics, evolution, and roles in disease.

Keywords: satellite DNA, repetitive DNA, tandem repeats, classical human satellites, HSATI, HSATII, HSATIII, HSat1, HSat2, HSat3

1. Introduction

Satellite DNA refers to long arrays of tandemly repeated sequences that make up a major component of many eukaryotic genomes. Within each satellite repeat array, nearly identical DNA sequences are repeated head-to-tail, over and over, sometimes encompassing millions of base pairs. In humans, satellite DNA represents 5-10% of the genome (Altemose, Logsdon, et al. 2021, Miga 2019, C. W. Schmid & Deininger 1975), found primarily around all centromeres, along the short arms of the acrocentric chromosomes (chromosomes 13, 14, 15, 21, and 22), and on the long arm of the Y chromosome. These satellite-rich, gene-poor regions are typically organized into a chromatin compartment referred to as constitutive heterochromatin (Yasmineh & Yunis 1969), which is characteristically more condensed than euchromatic regions (Heitz 1928). Satellite DNA arrays can be classified into distinct families based on their sequence composition. In the human genome, the largest satellite family by total size genome-wide is alpha satellite DNA (α Sat), which encompasses every centromere and plays a key role in the establishment and maintenance of centromere function (Manuelidis 1976, Schueler et al. 2001).

The next largest families by total size, which also comprise the largest individual satellite arrays in the genome, are referred to collectively as the classical human satellites, or individually as Human Satellites 1-3 (HSat1-3) (Prosser et al. 1986). However, the potential functions of HSat1-3 remain poorly understood, in part because satellite DNA arrays were intractable to early DNA amplification and sequence assembly methods (Eichler et al. 2004). As a result, although the classical human satellites were among the first human DNA sequences to be biochemically

47 characterized and isolated from the rest of the nuclear genome (Corneo et al. 1967, 1971), they
48 have remained almost entirely missing from the human reference sequence for the last 20 years.
49 However, thanks to the recent efforts of the Telomere-to-Telomere (T2T) Consortium, all satellite
50 DNA arrays have now been fully assembled in a human genome for the first time (Altemose,
51 Logsdon, et al. 2021, Nurk et al. 2021), opening them up for new discoveries regarding their
52 function, variation, and evolution. To help facilitate these future studies, here, I provide a
53 comprehensive survey of our current understanding of the large and mysterious classical human
54 satellites, HSat1-3.

55

56 **2. Discovery and initial characterization of the classical human satellites**

57

58 The term “satellite DNA” originates from early biochemical experiments in which genomic DNA
59 preparations were separated by their base composition using cesium density gradient
60 ultracentrifugation approaches (Kit 1961, Meselson et al. 1957, Sueoka 1961) (Figure 1). The
61 concentration of DNA along the cesium gradient could be measured optically, and typically the
62 genetic material would appear as a unimodal, contiguous band of a characteristic density for each
63 species, depending on its overall A/T vs G/C base composition (Sueoka 1961). However, in 1961
64 Saul Kit discovered that mouse and guinea pig DNA formed a second, smaller, “satellite” DNA
65 band outside the major DNA band (Kit 1961) (*footnote 1*), and a similar, AT-rich satellite DNA
66 band was later discovered in humans (Corneo et al. 1967). Further methodological advances
67 achieved finer resolution of DNA fractions by base composition, revealing the presence of
68 additional satellite DNA bands in humans, which were labeled as human satellite fractions I-III
69 (Corneo et al. 1967, 1970, 1971) (Figure 1; *footnote 2*). Careful renaturation experiments revealed
70 that DNA isolated from the satellite fraction re-annealed much more quickly after denaturation
71 compared to DNA isolated from the main genomic fraction, consistent with the satellite fraction
72 being composed primarily of repetitive DNA sequences (Britten & Kohne 1968, Corneo et al.
73 1970, 1971, Kunkel et al. 1976, Waring & Britten 1966). Satellite DNAs were found to be enriched
74 in heterochromatic fractions of DNA obtained by centrifugation of chromatin (Corneo et al. 1971,
75 Yasmineh & Yunis 1969), and in situ hybridization experiments revealed that satellite DNAs are
76 enriched in pericentromeric heterochromatin (Arrighi et al. 1971, Jones 1970, Jones & Corneo
77 1971, Pardue & Gall 1970), with the largest blocks in humans found on chromosomes 1, 9, 16,
78 and Y (Gosden et al. 1975, Jones et al. 1973, 1974, Jones & Corneo 1971, Saunders et al. 1972,
79 Tagarro, Fernández-Peralta, et al. 1994, Tagarro, Wiegant, et al. 1994).

80

81 Satellite DNA served as an original testing ground for methods like degradation-based DNA
82 sequencing, Southern blotting, and in situ hybridization (Jones 1970, Pardue & Gall 1970,
83 Southern 1970, 1975b, 1975a). Research into satellite DNA benefited immensely from the advent
84 of analytical methods using restriction enzyme digestion followed by electrophoretic separation of
85 DNA fragments by size (Cooke 1976, Manuelidis 1976, Philippsen et al. 1974, Shen et al. 1976,
86 Southern 1975b). Restriction enzymes bind and cut specific short DNA sequences (enzymes
87 recognizing 4-6 bp sites were typically used) (Kelly & Smith 1970, H. O. Smith & Welcox 1970).
88 In tandem repeats, these restriction sites often occur only once within each repeat unit, so
89 restriction enzyme digestion will tend to release DNA fragments with lengths equal to the
90 periodicity of the repeat, or its multiples (e.g. when repeat copies carry mutations in the restriction
91 site) (Southern 1975b). In non-repetitive regions, the resulting fragment lengths are expected to
92 follow a fairly continuous exponential distribution. By separating and quantifying digested DNA

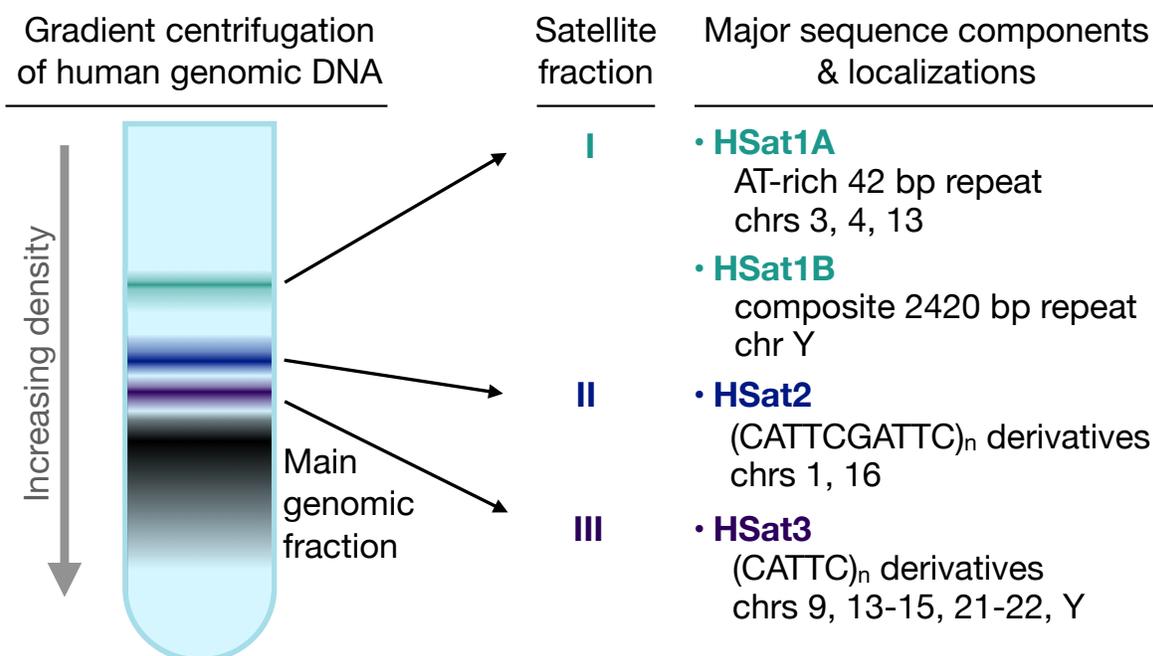


Figure 1. The origins of the classical human satellites. A schematic depicts early experiments in which high-molecular-weight human genomic DNA was fractionated by ultracentrifugation in cesium gradients. Three robust “satellite” fractions (I, II, and III) could be separated from the main genomic fraction, each of which contained mixtures of repetitive DNA sequences with different average sequence compositions relative to the bulk of the genome. The predominant components of each satellite fraction, dubbed human satellites 1-3 (HSat1-3), were later mapped by in situ hybridization, and fragments were cloned and sequenced. Below each satellite name is a description of its general sequence characteristics and major chromosomal localizations (chromosomes containing >1 Mb of that satellite).

93 fragments by size, one can observe discrete bands that correspond to tandem repeats and are
94 distinguishable from the continuous smears formed by non-repetitive DNA (Philippsen et al.
95 1974). With these approaches, the sizes of some of the major repeating units in each satellite
96 fraction could be determined (Beauchamp et al. 1979, Cooke 1976, Cooke et al. 1982, Cooke &
97 Hindley 1979, Frommer et al. 1982, 1984, Higgins et al. 1985, Mitchell et al. 1979, Prosser et al.
98 1986), and early work by Howard J. Cooke demonstrated that different repeating units could be
99 found on different chromosomes (Cooke 1976, Cooke et al. 1982, Cooke & Hindley 1979, Cooke
100 & McKay 1978).

101
102 Furthermore, restriction digest methods enabled the discovery of new classes of tandemly repeated
103 sequences that could not be separated from the main genomic fraction on cesium density gradients.
104 For example, α Sat DNA was not separable from the main genomic fraction but was detected by
105 Laura Manuelidis using restriction enzyme digestion (Manuelidis 1976). Although these newly
106 discovered repetitive sequences did not belong to true satellite DNA fractions, they were
107 eventually also referred to as satellite DNA, broadening the definition of the term to include any
108 short tandem repeats occurring in long arrays (Pech et al. 1979, Singer 1982). The sequences in
109 satellite fractions I-III are often referred to as the classical human satellites, to distinguish them
110 from later waves of satellite repeat discovery (Singer 1982).

111
112 Recombinant DNA and more efficient sequencing technologies (Maxam & Gilbert 1980, Sanger
113 et al. 1977) enabled the next wave of human satellite repeat discovery. Molecular cloning allowed
114 for the isolation and amplification of individual DNA fragments from the complex mixtures of
115 sequences comprising satellite fractions I-III. These fragments were then characterized by
116 analytical digestion, Southern blotting, Sanger sequencing, and in situ hybridization. Foundational
117 work by Jane Prosser and Marianne Frommer, then in the lab of Paul C. Vincent, uncovered the
118 major sequence components of satellite fractions I-III (Frommer et al. 1982, 1984, Prosser et al.
119 1986) (Figure 1). They revealed that satellite I, the most AT-rich fraction of the genome, could be
120 subdivided into two unrelated sequence families: a simple 42 bp tandem repeat (Prosser et al.
121 1986), and a 2.5 kb repeat found predominantly on the Y chromosome (Cooke 1976, Cooke et al.
122 1982, Frommer et al. 1984). Satellite fractions II and III were both found to be derived
123 predominantly from a tandem repeat of the pentamer “CATTC,” although satellite II sequences
124 appeared to be older and more diverged (Frommer et al. 1982, Prosser et al. 1986). Because
125 satellite fractions are complex mixtures that can differ by preparation, Prosser et al. suggested
126 naming the specific repetitive DNA families within each satellite fraction using Arabic numerals,
127 while the satellite fractions themselves would retain Roman numerals. For example, human
128 satellite 3 refers to the repeat family comprising the majority of human satellite fraction III (Prosser
129 et al. 1986). I honor this convention here and propose that the disparate naming schemes in the
130 literature be unified moving forward. Human satellites 1-3 can be abbreviated as HSat1-3, and the
131 two components of HSat1 can be distinguished as HSat1A (the 42-bp simple repeat) and HSat1B
132 (the 2.5 kb repeat predominantly on chrY) (Altemose, Logsdon, et al. 2021).

133
134 Later fluorescence in situ hybridization (FISH) experiments with DNA oligonucleotides revealed
135 that the 42 bp HSat1A repeat is predominantly found near the centromeres of chromosomes 3 and
136 4 and on the long arm of chromosome 13 (Meyne et al. 1994, Tagarro, Wiegant, et al. 1994). HSat2
137 probes hybridized primarily to chromosomes 1 and 16, with smaller domains on chromosomes 2,
138 7, 10, 15, 17, and 22 (Tagarro, Fernández-Peralta, et al. 1994). HSat3 probes hybridized primarily

139 to chromosome 9, with smaller domains on chromosomes 1, 5, 10, 17, 20, and the acrocentrics
140 (13, 14, 15, 21, 22) (Tagarro, Fernández-Peralta, et al. 1994). Other studies established that a 3.6
141 kb HSat3 repeat, along with the 2.5 kb HSat1B repeat, comprised the majority of the Y
142 chromosome, on the q arm, where they are interspersed in large blocks (Cooke 1976, Cooke et al.
143 1982, Cooke & McKay 1978, Manz et al. 1992, Mathias et al. 1994, McKay et al. 1978, Nakahori
144 et al. 1986, M. Schmid et al. 1990). Additional studies isolated clones of HSat2 and HSat3 that
145 hybridized to individual chromosomes or subsets of chromosomes (Bandyopadhyay et al. 2001,
146 Choo et al. 1990, 1992, Cooke & Hindley 1979, Deininger et al. 1981, Higgins et al. 1985, M S
147 Jackson et al. 1992, Michael S. Jackson et al. 1993, Jeanpierre 1994, Jeanpierre et al. 1985, Kalitsis
148 et al. 1993, Legrand et al. 1998, Moyzis et al. 1987, Vissel et al. 1992). Classical satellite DNA
149 probes were sometimes used as chromosome-specific markers in FISH studies, given the ease of
150 labeling and visualizing large satellite DNA arrays (Sauter et al. 1995).

151
152 Prior to the Human Genome Project, this remained the state of our understanding of the classical
153 human satellites. Their approximate locations in the genome were known at metaphase-
154 chromosome-scale resolution, and a few dozen clones were sequenced as representatives of their
155 respective arrays. Most human satellite DNA research shifted to alpha satellite DNA once it was
156 determined to be relevant for centromere function, while the potential functions of the classical
157 satellites remained mysterious.

158

159 **3. HSat1-3 in the Genomics Era**

160

161 The repetitive, heterochromatic regions of the genome posed an intractable problem for the Human
162 Genome Project (Consortium 2001) and for Celera Genomics' separate efforts to assemble the
163 human genome (Venter et al. 2001). The Human Genome Project decided to use a hierarchical
164 sequencing approach, in which large genomic fragments were cloned in bacteria and physically
165 mapped along each chromosome. Each fragment was then sheared, Sanger sequenced, and
166 assembled from sequencing reads roughly 1 kb in length. The repetitive nature of satellite DNA
167 caused several problems for this approach: 1) large repetitive regions often could not be cloned
168 efficiently in bacteria or would undergo structural rearrangements; 2) repetitive DNA clones could
169 not be physically mapped with the same precision as unique DNA clones, because, for example,
170 their FISH probes would hybridize to multiple loci; 3) assembling repetitive DNA from short
171 sequencing reads even within a single genomic fragment remained challenging, as near-identical
172 repeat units often exceeded the read length (Eichler et al. 2004). Although Celera genomics used
173 a different, Whole Genome Shotgun (WGS) sequencing approach, they still relied on cloning of
174 individual DNA fragments and thus were also potentially susceptible to issues with repetitive DNA
175 amplification. Furthermore, WGS approaches had an even more difficult task of assembling
176 repetitive regions from sequenced fragments across the entire genome. Thus, neither initial human
177 genome sequencing effort, nor any that followed for 20 years, succeeded in assembling across
178 HSat1-3 arrays in the human genome, leaving their approximate locations in the genome assembly
179 as enormous gaps filled with placeholder "N" characters.

180

181 Occasionally, the assembly would include the very edge of a classical satellite array adjacent to a
182 large gap, and some limited information could be gleaned from these sequences (Altemose et al.
183 2014, Warburton et al. 2008) (*footnote 3*). Whole-genome shotgun assemblies would often produce
184 partial, unmapped, scaffold assemblies of subregions of satellite arrays (Levy et al. 2007). These

185 unmapped scaffolds could sometimes be mapped to specific chromosomes using chromosome-
186 specific sequencing libraries (Altemose et al. 2014), and those that contained unique marker
187 sequences could often be mapped to specific loci using 3D contact information (Kaplan & Dekker
188 2013) or a clever approach based on genetic information from individuals with recently admixed
189 ancestry (Genovese et al. 2013). More focused approaches identified additional HSat2 and HSat3
190 arrays on individual chromosomes (Babcock et al. 2007, Guy et al. 2003, She et al. 2004, Skaletsky
191 et al. 2003). However, these approaches still failed to represent the classical human satellites
192 comprehensively.

193
194 To address this, in a 2014 study, colleagues and I developed an alignment-free approach for
195 characterizing all of the HSat2 and HSat3 sequences from a single individual's raw WGS reads
196 (Altemose et al. 2014). To do so, we converted the sequence of each HSat2 or HSat3 read in the
197 HuRef genome (Levy et al. 2007) into a vector of frequencies of every possible 5-mer. Then, by
198 also leveraging paired-read information, we iteratively clustered these read vectors based on their
199 sequence composition and physical proximity, which allowed us to identify broad sequence
200 subfamilies. This approach yielded 11 HSat3 and 3 HSat2 subfamilies, which were then localized
201 to chromosomes using published sequencing data from flow-sorted chromosomes. Subfamilies
202 were named alphanumerically, as HSat2A1-2, HSat2B, HSat3A1-6, and HSat3B1-5. Using these
203 subfamily clusters, we could identify 24-bp sequences that were represented frequently and
204 specifically within each subfamily, yielding a 'pseudoreference' that could be used to identify
205 these satellite DNA sequences even among even shorter next-generation sequencing reads
206 (Altemose et al. 2014). This served as the most comprehensive inventory of HSat2 and HSat3
207 sequences at the time, although it was also subject to the potential amplification biases used in
208 generating the HuRef clone libraries.

209
210 In 2021, the Telomere-to-Telomere (T2T) Consortium released the first complete assembly of a
211 human genome, including all autosomal HSat1-3 arrays (Altemose, Logsdon, et al. 2021, Nurk et
212 al. 2021). This genome originated from CHM13, a diploid cell line derived from a hydatidiform
213 mole, which contains two copies of the paternal haplotype, making it homozygous essentially
214 everywhere and eliminating the challenge of haplotype phasing when assembling the most
215 repetitive regions of the genome. Impressively, this effort fully spanned the largest satellite arrays
216 of any kind in the genome: a 27.6 Mb HSat3 array on chr9, a 13.2 Mb HSat2 array on chr1, a 12.7
217 Mb HSat2 array on chr16, a 7.5 Mb HSat3 array on chr15, and a 5 Mb HSat1A array on chr13
218 (compared to the largest α Sat array in the genome: 4.8 Mb on chr18). This represents an enormous
219 improvement over the hg38 reference assembly; the total amount of HSat2 on the chromosomes
220 increased from 0.87 Mb in hg38 to 28.7 Mb in T2T-CHM13, while the total amount of HSat3
221 increased from 0.14 Mb to 47.7 Mb. Colleagues and I worked to identify the subfamily components
222 of each HSat2 and HSat3 array in this new reference and compared their localization with previous
223 predictions (Altemose, Logsdon, et al. 2021) (Figure 2). The assembly confirmed the chromosomal
224 assignments predicted previously and identified several novel arrays beyond the resolution of
225 previous methods. For example, we identified that the B1 subfamily of HSat3 was contained
226 almost entirely in a previously undescribed array on chr17. Furthermore, the assembly confirmed
227 that HSat2 subfamilies A1 and A2 represent distinct subdomains within the HSat2 array on chr1,
228 revealing that 2A1 is the smaller and more centromere-proximal of the two but shares a boundary
229 with 2A2.

230

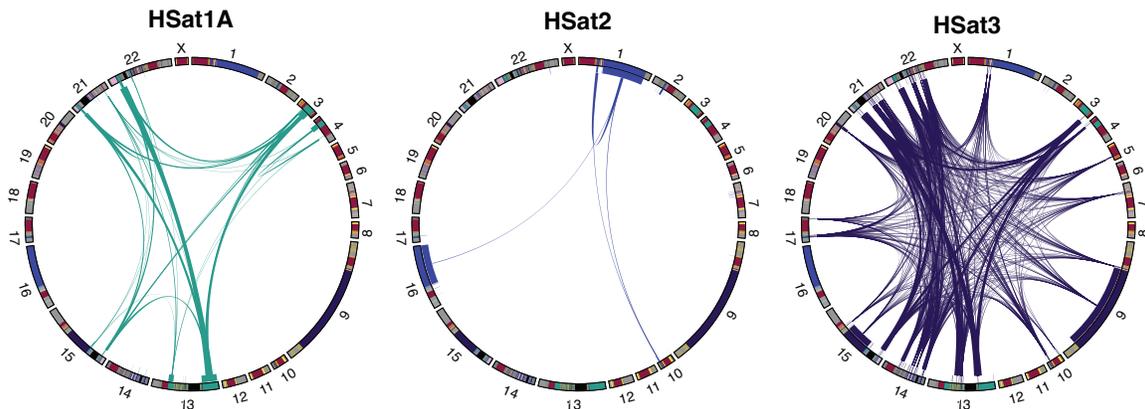


Figure 2. Annotation of HSat1-3 in a complete T2T genome assembly. Circos plots show color-coded annotations of the pericentromeric regions of all chromosomes in the T2T-CHM13 assembly. The locations of HSat1A, HSat2, or HSat3 arrays are highlighted in an inner concentric ring. In the middle of each circle, the width of lines connecting the arrays is scaled according to the proportion of exact 75-mer sequence matches between the arrays, serving as an overall estimate of sequence similarity (no line is drawn below a fixed threshold). Overall, HSat3 appears to be the least diverged satellite, while HSat2 appears to be the most diverged, consistent with published findings (Prosser et al. 1986). [note: chrY and HSat1B will be added before final proof stage. I also plan to add a table with more detailed information about each HSat array in the genome].

231 The CHM13 assembly also revealed unexpected structural phenomena involving HSat1-3. For
232 example, the active, centromere-containing α Sat arrays on chromosomes 3 and 4 are interrupted
233 by large HSat1A arrays (1.7 and 2.7 Mb, respectively). Furthermore, the large HSat3B5 array on
234 chr9 contains 237 inversion breakpoints, a phenomenon never previously described for this array
235 or any other HSat1-3 arrays. The successful assembly of large HSat1-3 arrays also enabled
236 analyses not possible with unassembled sequencing reads, such as examining long-range
237 differences in sequence homogeneity across entire arrays (discussed further in section 4).
238 Furthermore, we could examine repeat periodicity and its variation across arrays. To do so, we
239 developed an algorithm, Nested Tandem Repeat Prism (NTRprism) akin to the analytical
240 restriction digest experiments used to characterize satellite arrays in the past, and similar in
241 approach to previous computational tools for detecting repeat periodicity (Paar et al. 2007). This
242 algorithm takes advantage of the complete information in a full array assembly by essentially
243 simulating restriction digests with enzymes that could cut all possible recognition sites. Then, it
244 combines information across these simulations to reveal the predominant periodicities within the
245 array. By running NTRprism in windows across an array, variation in periodicity can be
246 uncovered. For example, NTRprism uncovered different repeat periodicities in the adjacent
247 HSat2A1 (1.4 kb) and HSat2A2 (1.8 kb) arrays on chr1, and it revealed different periodicities in
248 different subregions of the HSat3 array on chr20. The Y chromosome remains missing from this
249 assembly, as it is not present in the CHM13 cell line, although a T2T assembly of chrY from a
250 different cell line is promised in the near future. This will enable a detailed examination of the
251 enormous HSat3 and HSat1B arrays present on chrY.

252

253 Although the CHM13 assembly sequence only represents one human haplotype, it provides an
254 important point of reference against which one can compare reads and assembly scaffolds from
255 other genomes, shining light on the diversity and evolution of these newly added satellite DNA
256 sequences.

257

258 **4. Evolution and variation of HSat1-3**

259

260 Before the discovery of satellite DNA, it was known from studies of banding patterns on human
261 karyotypes that large heterochromatic blocks could differ enormously between individuals, and
262 even between the homologous chromosomes in one individual (Ann P Craig-Holmes & Shaw
263 1971, Jones & Corneo 1971). These effects could be so dramatic as to visibly change the size of
264 entire chromosomes, such as the Y chromosome (Bender & Gooch 1961, Bobrow et al. 1971,
265 Gripenberg 1964, Laberge & Gagne 1971, McKenzie et al. 1972, Unnérus et al. 1967). Once
266 satellite DNA was associated with heterochromatin, it became clear that something about the
267 evolution of satellite DNA must explain these enormous size polymorphisms (Ann P Craig-
268 Holmes & Shaw 1971, Kurnit 1979, McKay et al. 1978). Because large heteromorphisms in
269 HSat1-3 arrays were frequently present in individuals without congenital diseases or other health
270 issues (Choo et al. 1992, A P Craig-Holmes et al. 1975, Fowler et al. 1987, Hsu et al. 1987, Manz
271 et al. 1992, McKay et al. 1978, McKenzie et al. 1972, Podugolnikova & Korostelev 1980), and
272 because HSat1-3 are not universally present on every chromosome, it was often believed that these
273 satellites likely do not play an essential function in the cell, such as guiding centromere function
274 (Jones & Corneo 1971). The high degree of polymorphism also suggested a high rate of structural
275 rearrangements in these regions, which could not be explained by the molecular mechanisms
276 predominating mutations in the rest of the genome.

277
278 The favored hypothesis for how human satellite DNA arrays evolve, which was suggested by Ann
279 P. Craig-Homes and Margery W. Shaw in 1971, became evolution by unequal crossover (A P
280 Craig-Holmes et al. 1975, Ann P Craig-Holmes & Shaw 1971) (Figure 3a). That is, when a double-
281 strand break occurs during the S or G2 phases of the cell cycle, the favored mode of repair is
282 homologous recombination (HR) from the sister chromatid. This process involves a homology
283 search step in which a short stretch of DNA adjacent to the break must find an exact sequence
284 match along the sister chromatid. Once found, the repair process often results in a crossover, in
285 which the two distal chromosome arms are exchanged near the break site. In satellite DNA, the
286 cell runs into the same problem as genome mapping or assembly algorithms: during homology
287 search, the short stretch of break-adjacent DNA can encounter many exact sequence matches
288 throughout the entire array, and the correct homologous stretch cannot be determined. As a result,
289 sometimes the crossover occurs between the break site and a non-homologous site at a different
290 position within the sister chromatid's array, producing two recombinant chromatids of different
291 lengths: one long, and one short. If unequal crossover events occur in the germline, these expanded
292 or contracted arrays can be passed on to offspring. Occasionally unequal crossover results in non-
293 allelic recombination events between classical human satellite arrays on different chromosomes,
294 yielding translocations that can cause congenital diseases or contribute to cancer (Babcock et al.
295 2007, Earle et al. 1992, Fournier et al. 2010, Gravholt et al. 1992, Kalitsis et al. 1993).

296
297 Additional support for the unequal crossover hypothesis stemmed from theoretical predictions and
298 experimental observations of tandem ribosomal gene arrays in yeast (Krüger & Vogel 1975, Kurnit
299 1979, Petes 1980, G. P. Smith 1976, Szostak & Wu 1980, Tartof 1974). Mitotic, rather than
300 meiotic, crossover is the favored mechanism because crossovers are known to be suppressed in
301 heterochromatin during meiotic recombination (Baker 1958, A P Craig-Holmes et al. 1975). As
302 an alternative to crossovers, sites of HR can resolve as gene conversions, in which the sister
303 chromatid is used as a template for synthesis without an exchange of chromosome arms. This may
304 contribute to the homogenization of satellite arrays both within and between chromosomes, a
305 phenomenon referred to as concerted evolution (reviewed by (Elder & Turner 1995)). Alternative
306 proposed satellite expansion mechanisms include expansion via RNA-derived intermediates
307 (Bersani et al. 2015) and expansion via rolling circle amplification (Cohen et al. 2010).

308
309 By comparing restriction digest patterns between father/son pairs, one study measured the rate of
310 detectable mutations in the heterochromatic long arm of the Y chromosome, composed primarily
311 of interspersed HSat1B and HSat3A6 arrays, finding at least one mutation per 40 Mb per meiosis,
312 which is one of the highest mutation rates ever reported in the genome (Mathias et al. 1994, Tyler-
313 Smith 2009). A comparison of HSat3A6 array size estimates on chrY from short-read WGS
314 sequencing data across 396 individuals found a large range of size variation, from 7 Mb to 98 Mb
315 (Altemose et al. 2014). Similarly, estimates of the total amount of HSat2 and HSat3 in the genome
316 varied widely across hundreds of individuals (1-7% of the genome, combined; 2.1% median,
317 compared to 2.5% in CHM13) (Miga 2019). Unexpectedly, chr1 in CHM13 lacked the predicted
318 HSat3B2 subfamily, prompting us to investigate this centromere in partial assemblies from 16
319 diploid individuals (32 haplotypes, 27 of which were sufficiently assembled in this region of the
320 genome), revealing that a ~400 kb HSat3B2 array was variably present in the pericentromeric
321 region of chr1 (deleted in 29% of ascertainable haplotypes) (Altemose, Logsdon, et al. 2021). This

322 is similar to an HSat3 array previously shown to have variable presence on chr14 in different
323 individuals (Choo et al. 1992).

324
325 To better understand how individual arrays evolve, we examined multiple different HSat2 and
326 HSat3 arrays across the genome using tools like StainedGlass (Vollger et al. 2022) and NTRprism
327 (Altemose, Logsdon, et al. 2021) (Figure 3b-c). Most arrays do show higher divergence levels at
328 the fringes, as predicted by theory for unequal crossover mechanisms (G. P. Smith 1976).
329 Interestingly, one can often observe multiple pockets of high homogeneity within each array,
330 indicative of recent sequence expansions or conversions. In the large HSat2A2 array on chr1,
331 nearly the entire array shares the same 1.8 kb periodicity (Figure 3b). However, in a 900 kb
332 HSat3B3 array on chr20, we observed evidence for recent sequence expansion/homogenization in
333 at least four distinct subregions, each with a different repeat periodicity, suggesting hyper-local
334 and independent evolution of different regions within the array (Altemose, Logsdon, et al. 2021).
335 A similar pattern is observed for the HSat3B1 array on chr17 (Figure 3c). These patterns differ
336 from active α Sat arrays, which generally tend to have a single region of recent expansion that is
337 frequently coincident with the centromere (Altemose, Logsdon, et al. 2021).

338
339 Comparisons between the genomes of humans and other primates have shed some light on the
340 longer-term evolution of the classical human satellites. Southern blots and in situ hybridization of
341 probes made from satellite fractions I-III found evidence for their presence in the genomes of
342 chimpanzees, orangutangs, and gorillas (Gosden et al. 1977, Mitchell et al. 1977, 1981). Satellite
343 fraction III also hybridized with New World monkey DNA (Mitchell et al. 1981), but given the
344 impurity of early satellite fractions it is difficult to know if this would be true for purer HSat3
345 repeats. Southern blots identified HSat1B tandem repeats in gorilla DNA but not in chimp or
346 gibbons (Cooke et al. 1982), consistent with a loss of most chrY q-arm heterochromatin in
347 chimpanzees (Gläser et al. 1998). One study found that probes that are specific to the large
348 HSat3B5 array on chr9 hybridize to multiple pericentromeric regions in gorilla metaphase spreads
349 (Pita et al. 2010). Another study showed using PCR and in situ hybridization that individual clones
350 from HSat3A4 arrays on the human acrocentric chromosomes are present in chimps, gorillas,
351 bonobos, orangutangs, and gibbons, which indicates that they appeared in a common ancestor 16-
352 23 million years ago (Jarmuž et al. 2007). Some of these HSat3A4 clones were shared only
353 between humans and chimpanzees, consistent with them having appeared less than 5 million years
354 ago (Jarmuž et al. 2007). Other clones (corresponding to subfamilies HSat3 subfamilies A1, A2,
355 and 3B2) appeared to be specific to humans (Jarmuž et al. 2007). Finally, a comparison of
356 sequencing reads from multiple technologies found evidence for tandem CATTC repeats, which
357 are characteristic of HSat2 and HSat3, in chimpanzees, bonobos, gorillas, and orangutangs, with
358 very different abundances in each species (Cechova et al. 2019). The prospect of telomere-to-
359 telomere assemblies across many primate lineages will open up more comprehensive opportunities
360 to study the evolution of the classical human satellites, resolving the incomplete picture left by
361 prior methods.

362 363 **5. Epigenomic and functional studies of HSat1-3**

364
365 Although some researchers hypothesized that human satellites 2 and 3 may play a role in
366 centromere function (Grady et al. 1992), the fact that these sequences are not present on every
367 chromosome disfavored them as the likely centromeric sequences (Jones & Corneo 1971), and

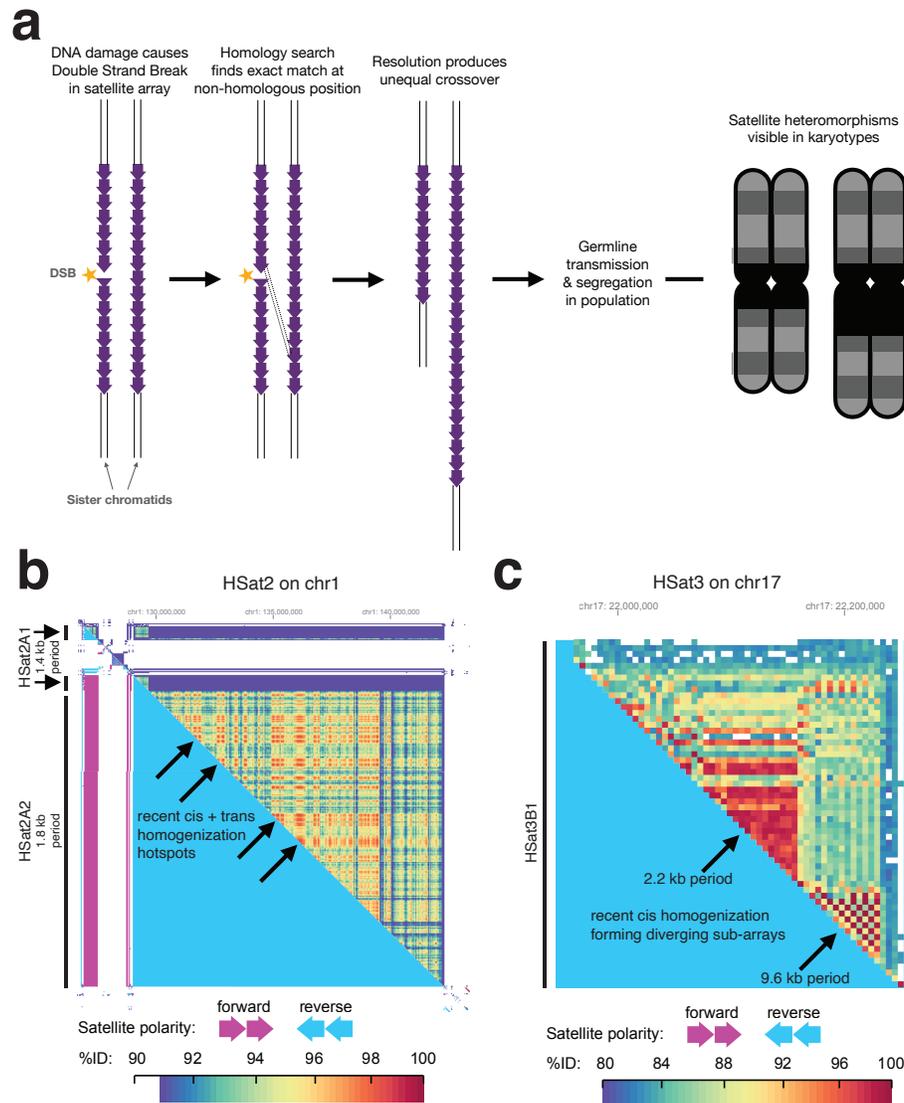


Figure 3. Mechanisms driving the evolution of HSat1-3. **a)** A schematic illustrating the expansion and contraction of tandem repeat arrays by an unequal non-meiotic crossover mechanism. **b)** A StainedGlass plot (Vollger et al. 2022) illustrates pairwise sequence relationships across the large HSat2 array near the centromere of chr1. Each pixel represents 40 kb. Above the diagonal, pixels are colored by the maximum percent identity of an alignment between the two corresponding sequences. Below the diagonal they are colored by their array polarity (forward means the C-rich strand is on the forward strand of the assembly). Generally, the peripheral sequences are more diverged, and multiple internal regions of recent homogenization are indicated with arrows. Regions containing HSat2A1 and HSat2A2, which have different periodicities, are indicated on the left. Note that HSat2A1 also occurs in a distinct centromere-proximal array with reversed polarity, perhaps resulting from an inversion event. **c)** As in b, but for a ~250 kb HSat3B1 array on chr17 (each pixel represents 5 kb). In this array, different subregions are homogenizing independently and taking on different periodicities.

368 later analyses more definitively established α Sat as the centromeric satellite (Vafa & Sullivan
369 1997). Researchers initially hypothesized that pericentromeric satellite DNAs might play a
370 structural role in the nucleus by providing a platform for the formation of constitutive
371 heterochromatin, which can locally alter gene expression, meiotic recombination, and nuclear
372 architecture (Yunis & Yasmineh 1971). This hypothesis is still favored, bolstered by recent work
373 in model organisms proposing that a key function for pericentromeric satellites is to organize
374 chromocenters, regions where satellite-binding proteins establish inter-chromosomal links that
375 prevent chromosomes from drifting out of the nucleus (Jagannathan et al. 2018, 2019). The
376 classical human satellites may play a similar role in the human genome.

377
378 The high mutation rate of satellite DNA was also proposed as a driver of speciation by reproductive
379 isolation (Yunis & Yasmineh 1971). Although this hypothesis is difficult to test in mammals,
380 careful experiments in *Drosophila* species have shed some light on this phenomenon. Satellite
381 DNA content can differ dramatically between reproductively incompatible *Drosophila* species
382 (Bosco et al. 2007, Jagannathan et al. 2017), but it can also differ dramatically between
383 reproductively compatible *Drosophila* strains (Bosco et al. 2007). A specific non-centromeric
384 satellite repeat was shown to cause hybrid incompatibility in crosses between *melanogaster* and
385 *simulans* (Ferree & Barbash 2009, Sawamura et al. 1993), and later it was shown that satellite
386 DNAs fail to cluster properly into chromocenters in incompatible hybrids (Jagannathan &
387 Yamashita 2021). Whether satellite DNAs play a broader role in speciation remains to be seen.

388
389 Blocks of constitutive heterochromatin formed by the classical human satellites were shown to
390 contain high levels of 5-methylcytosine by electron microscopy (Lubit et al. 1976) (Figure 4). This
391 was recently confirmed in a human lymphocyte cell line using long-read sequencing, which also
392 found that methylation patterns were periodic in some of the HSat arrays, generally following the
393 satellite sequence periodicity (Gershman et al. 2021). In contrast, the CHM13 cell line, which
394 resembles early embryonic cells, shows greatly reduced, though still periodic, methylation in these
395 regions (Gershman et al. 2021). Adding drugs that inhibit DNA methylation results in
396 decondensation of pericentromeric heterochromatin in human cells (Viegas-Pequignot &
397 Dutrillaux 1976), which can lead to chromosomal rearrangements (Hernandez et al. 1997, Kokalj-
398 Vokac et al. 1993) and chromosomal segregation errors that result in the formation of micronuclei
399 (Haaf & Schmid 2000) (Figure 4). Senescent cells and other cells with natural hypomethylation
400 similarly show satellite DNA decondensation (Erukashvily et al. 2007, Haaf & Schmid 2000,
401 Swanson et al. 2013) along with segregation errors (Haaf & Schmid 2000, Slee et al. 2012) and
402 chromosomal rearrangements (Almeida et al. 1993), suggesting a role for satellite DNA
403 misregulation in aging (Figure 4).

404
405 Other clues about the potential functions or biological effects of the classical human satellites stem
406 from studies of the circumstances under which they are transcribed (Figure 4). HSat2 and/or HSat3
407 transcripts have been detected in early embryonic cells (Yandım & Karakulah 2019b), senescent
408 cells (Erukashvily et al. 2007), cancer cells (Bersani et al. 2015, Erukashvily et al. 2007, Hall et
409 al. 2017, Ting et al. 2011, Yandım & Karakulah 2019a, Zhu et al. 2011, 2018), cells with DNA
410 damage (Nogalski & Shenk 2020), virus-infected cells (Nogalski et al. 2019, Nogalski & Shenk
411 2020), and stressed cells (Jolly et al. 2003, Rizzi et al. 2003, Sengupta et al. 2009, Valgardsdottir
412 et al. 2008). For example, the large HSat3B5 array on chr9 has been shown to play a role in heat
413 shock responses in human cells in tissue culture (Jolly et al. 2002). When cells are heat shocked,

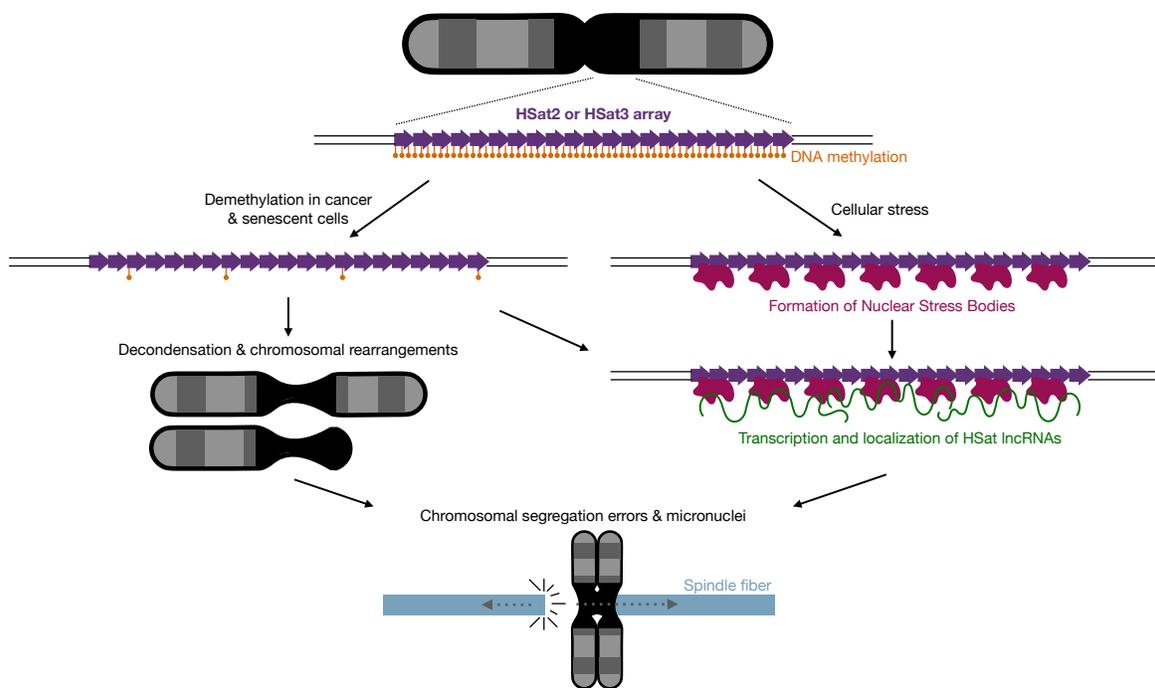


Figure 4. Roles for HSat arrays in stress responses, cancer, and senescence. At the top is a schematic of a typical HSat2 or HSat3 array in a healthy cell. The DNA is heavily methylated and the region becomes highly condensed in mitosis. In senescent cells and certain cancer cells, genome misregulation can cause the array to become demethylated, resulting in decondensation, chromosomal rearrangements, satellite transcription, and chromosomal segregation errors. When cells are exposed to stress stimuli, a subset of HSat3 arrays become hubs for nuclear stress bodies, which recruit polymerases that transcribe the satellite DNA into lncRNAs that remain localized in cis. Presence of these transcripts can also lead to chromosomal segregation errors.

414 a protein called heat shock transcription factor 1 (HSF1) localizes predominantly to the chr9q12
415 heterochromatin domain, where nuclear stress bodies form, in a manner dependent on its DNA-
416 binding and trimerization domains (Jolly et al. 2002). These stress bodies recruit polymerase II
417 and act like transcription factories, yielding long, non-coding RNAs (lncRNAs) complementary to
418 the G-rich strand of HSat3B5, which stay localized near the satellite DNA (Jolly et al. 2003, Rizzi
419 et al. 2003). Similar behavior was subsequently observed with other stress stimuli, including
420 exposure to heavy metals, UV-C radiation, oxidative stress, and hyperosmotic stress (Sengupta et
421 al. 2009, Valgardsdottir et al. 2008).

422
423 In cancers, nuclear stress bodies also accumulate at other HSat2 and/or HSat3 loci (Eymery et al.
424 2010, Hall et al. 2017), which are also sometimes demethylated and bound by polycomb bodies
425 (Brückmann et al. 2018, Hall et al. 2017). HSat2/3 lncRNAs play a role in recruiting splicing
426 factors and other proteins such as MeCP2 to nuclear stress bodies (Aly et al. 2019, Hall et al. 2017,
427 Ninomiya et al. 2020, 2021), and they are associated with mitotic segregation defects (Giordano
428 et al. 2020). A recent study examined these phenomena further by stably expressing ectopic HSat2
429 lncRNAs from a transgene randomly integrated into a primary human fibroblast line (Landers et
430 al. 2021). They found that lncRNAs from HSat2, but not from α Sat, accumulated in visible foci
431 and recruited MeCP2 in cis with the transgene. Furthermore, they found that ectopic satellite
432 expression resulted in segregation defects (Landers et al. 2021). These results suggest that the
433 formation of nuclear stress bodies and chromosome instability phenotypes in cancer cells result
434 directly from aberrant satellite transcription rather than some other property of cancer.

435 436 **6. Conclusion**

437
438 Fifty-five years have passed since the first human satellite fraction was described in 1967.
439 Although our understanding of the classical human satellites has lagged behind the rest of the
440 genome, the ability to fully assemble across these formerly intractable repetitive arrays will enable
441 careful studies of their regulation and function like never before. Technological advances in DNA
442 editing will allow researchers to delete entire HSat1-3 arrays and study the phenotypic
443 consequences of these knockouts in human cell lines and organoids. The long-read DNA
444 sequencing technologies and assembly methods used to create the first human T2T assembly will
445 also enable the assembly of analogous pericentromeric satellite arrays in model organisms, in
446 which perturbation experiments may reveal broader principles that govern the behavior and
447 evolution of pericentromeric heterochromatin. Comparing T2T assemblies across primate and
448 other mammalian lineages will enable the study of the deeper evolutionary origins of HSat1-3, and
449 comparisons across human T2T assemblies will enhance our understanding the variability and
450 recent evolution of these regions. Future studies of HSat1-3 will also benefit from new long-read
451 technologies for mapping protein-DNA interactions and DNA accessibility in repetitive regions
452 (Altemose, Maslan, et al. 2021, Stergachis et al. 2020). These future studies will also benefit from
453 new computational methods for comparing complex satellite array sequences and modeling their
454 evolutionary histories. In the coming years, our understanding of the classical human satellites
455 may finally catch up to the rest of the genome as researchers are newly equipped to investigate
456 their roles in human evolution, health, and disease.

457
458
459

460 Footnotes

461
462 1) Saul Kit's choice in 1961 to describe the minor DNA band as a "satellite" may have been
463 inspired by the news at the time (Tyler-Smith 2009), since the Space Age had just begun and the
464 first crop of artificial satellites were in orbit. Though apocryphal, this theory on the origination of
465 the name was believed to be true by several of the leading figures in early satellite DNA research
466 (Chris Tyler-Smith, personal correspondence).

467
468 2) Cesium density gradient fractions, although later shown to be enriched for certain repeat
469 sequence families, were known to be impure composites of sequences that could differ depending
470 on ultracentrifugation conditions (Frommer et al. 1982, Mitchell et al. 1979, Prosser et al. 1981).
471 A satellite fraction IV was also isolated using a column fractionation method (Corneo et al. 1972),
472 but later studies found that its components were indistinguishable from those found in satellite
473 fraction III (Frommer et al. 1982, Gosden et al. 1975, Mitchell et al. 1979, Prosser et al. 1981).
474 Repeat classes labeled as "Human Satellites 4-6" in RepBase bear no relation to the classical
475 human satellite fractions and are not discussed here.

476
477 3) Typically, automated annotation of HSat1-3 in human assemblies relies on RepeatMasker.
478 RepeatMasker annotates HSat1A sequences as "SAR" and HSat1B sequences as "HSATI".
479 Unfortunately, the current version of RepeatMasker, which depends on a Repbase library for
480 comparison, does a poor job of distinguishing HSat2 and HSat3, which both tend to be annotated
481 as either an "HSATII" satellite repeat or a "(CATTC)n" simple repeat. Until this issue is resolved
482 by RepeatMasker/Repbase, an alternative automated method for annotating HSat2 and HSat3 has
483 been provided at https://github.com/altemose/chm13_hsat (Altemose, Logsdon, et al. 2021).

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489

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492

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506 Figure captions

507

508 **Figure 1. The origins of the classical human satellites.** A schematic depicts early experiments
509 in which high-molecular-weight human genomic DNA was fractionated by ultracentrifugation in
510 cesium gradients. Three robust “satellite” fractions (I, II, and III) could be separated from the main
511 genomic fraction, each of which contained mixtures of repetitive DNA sequences with different
512 average sequence compositions relative to the bulk of the genome. The predominant components
513 of each satellite fraction, dubbed human satellites 1-3 (HSat1-3), were later mapped by in situ
514 hybridization, and fragments were cloned and sequenced. Below each satellite name is a
515 description of its general sequence characteristics and major chromosomal localizations
516 (chromosomes containing >1 Mb of that satellite).

517

518 **Figure 2. Annotation of HSat1-3 in a complete T2T genome assembly.** Circos plots show color-
519 coded annotations of the pericentromeric regions of all chromosomes in the T2T-CHM13
520 assembly. The locations of HSat1A, HSat2, or HSat3 arrays are highlighted in an inner concentric
521 ring. In the middle of each circle, the width of lines connecting the arrays is scaled according to
522 the proportion of exact 75-mer sequence matches between the arrays, serving as an overall estimate
523 of sequence similarity (no line is drawn below a fixed threshold). Overall, HSat3 appears to be the
524 least diverged satellite, while HSat2 appears to be the most diverged, consistent with published
525 findings (Prosser et al. 1986). [note: chrY and HSat1B will be added before final proof stage. I
526 also plan to add a table with more detailed information about each HSat array in the genome].

527

528 **Figure 3. Mechanisms driving the evolution of HSat1-3.** a) A schematic illustrating the
529 expansion and contraction of tandem repeat arrays by an unequal non-meiotic crossover
530 mechanism. b) A StainedGlass plot (Vollger et al. 2022) illustrates pairwise sequence relationships
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541

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549 cis. Presence of these transcripts can also lead to chromosomal segregation errors.

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