# Do the polymeric nanoparticles really enhance the bioavailability of oral drugs? A quantitative answer using meta-analysis

## Rania M. Hathout1\*

<sup>1</sup> Department of Pharmaceutics and Industrial Pharmacy, Faculty of Pharmacy, Ain Shams University, Cairo, Egypt.

\*Correspondence: Rania M. Hathout, Department of Pharmaceutics and Industrial Pharmacy, Faculty of Pharmacy, Ain Shams University, African Union Organization St.,11566 Cairo, Egypt.

Tel: +2 (0) 100 5252919

+ 2 02 22912685

Fax: +2 02 24011507

E-mail: r\_hathout@yahoo.com; rania.hathout@pharma.asu.edu.eg

**Abstract** 

The oral route remains one of the most popular and important routes of administration that

warrants the development of advanced drug delivery systems such as the polymeric

nanoparticles capable of enhancing the absorption and bioavailability of the used drugs. In

this work, systematic reviewing through several databases followed by a meta-analysis study

were utilized in order to navigate the published studies and reach literature-based evidence

about the capability of polymeric nanoparticulate systems of augmenting the absorption and

the bioavailability of the orally administered drugs. The pharmacokinetic parameter; area

under the curve (AUC) was utilized as the "effect" of the meta-analysis study. The meta-

analysis study demonstrated the significant increase AUC as compared to the conventional

formulations. Furthermore, comparing the synthetic polymeric nanoparticles versus the

naturally-based counterparts, as subgroups of the meta-analysis, revealed no significant

differences.

**Keywords:** oral; drugs; nanoparticles; systematic; meta-analysis

1. Introduction

The oral route remains the most common route of drug administration and one of the most

convenient to the patients due to its non-invasiveness and ease of administration <sup>1</sup>. It is also

preferred in the pharmaceutical industry due to the feasibility of its mass production <sup>2</sup>.

Several attempts have been used in order to enhance the bioavailability of the orally

admninistered drugs and increase their absorption. Encapsulating the drugs in different lipid

and polymeric nanoparticles (NP) is an example of these attempts <sup>3,4</sup>. Moreover, the delivery

of drugs in a controlled manner is currently a topic of great importance for both the industry

and academia due to its huge benefits in healthcare <sup>5</sup>. Recently, the use of lipid-based nano-

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carriers has proven superiority over the conventional formulations in augmenting the bioavailability of oral drugs using a quantitative meta-analysis study <sup>6</sup>. The close affinity of those carriers to the lipidic nature of the intestinal cell membranes may have contributed to this outcome. Consequently, a logical question arises, whether the use of polymeric nanoparticles increases the bioavailability of the aforementioned drugs or not bearing in mind its different nature and more rigid matrices. From the pharmaceutical point of view, the polymeric nanoparticles are of special interest as they are more stable than the other lipidic nanocarriers such as the liposomes and impart a more protective effects on their interior cargo <sup>7,8</sup>. Furthermore, they are coined by their facile modulation regarding the size, hydrophobicity and surface grafting and conjugation <sup>9-12</sup>. Accordingly, the same informatics tools; systematic reviewing and meta-analysis are utilized in this study to answer this question.

Systematic reviewing deals with the synthesis of empirical evidence from pre-specified eligibility criteria in order to address a specific research question. It is considered a qualitative informatics tool. On the other hand, meta-analysis is a quantitative synthesis tool <sup>13</sup>. Meta-analysis is an advanced statistical method that integrates data extracted from multiple studies originating from different sources. It increases the accuracy and precision of studies outcomes and predictions and is considered one of the informatics tools and a means of exploiting the available literature in answering scientific questions <sup>14</sup>. Nevertheless, meta-analyses play fundamental roles in evidence-based healthcare-related topics. Compared to other types of study designs (such as cohort studies, randomized controlled trials, cross-sectional studies, case-control studies, case series and case reports), the meta-analysis comes in at the top of the 'levels of evidence' pyramid <sup>15,16</sup>. Meta-analysis studies enjoy many advantages. It is considered an objective approach where it increases the statistical power by pooling the samples together. Moreover, this type of analysis increases the confidence about the conclusions and is an economic and affordable type of analysis that

exploits the available online literature and databases<sup>17-19</sup>. The data gathering and its eligibility being sometimes highly challenging is the only drawback of the method.

Nowadays, the meta-analysis is being implemented in the drug delivery fields as it can be used to compare any new formulation or delivery system with a conventional one. It poses an important tool for the pharmaceutical industry decision-making <sup>6,14,20,21</sup>.

To this end, the aim of the current study was to provide a quantitative proof extracted from the existing literature on the increase of bioavailability of drugs loaded in polymeric nanoparticles compared to their conventional formulations. The significance of the aformentioned approach in bioavailability enhancement was assessed. Moreover, another covariate factor was evaluated; namely; the type of the used polymer; synthetic such as PLGA (Poly-lactic-co-glycolic acid), PCL (Poly-&-caprolactone), Ethyl cellulose, Eudragit® E100, PVP and solupulus versus natural such as chitosan and the proteins e.g. gelatin, casein and zein.

# 2. Methodology

## 2.1. Data mining

A computer-based data search and gathering was performed using databases such as: Medline<sup>®</sup>, Embase<sup>®</sup> and on a search engine; Google Scholar<sup>®</sup>.

The following were the English keywords used in the search: oral, polymer, nanoparticles, drug, synthetic and natural. The process of data mining of the literature according to PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analyses: http://www.prisma-statement.org/) guidelines is illustrated in the form of a flow diagram in **Figure 1**.

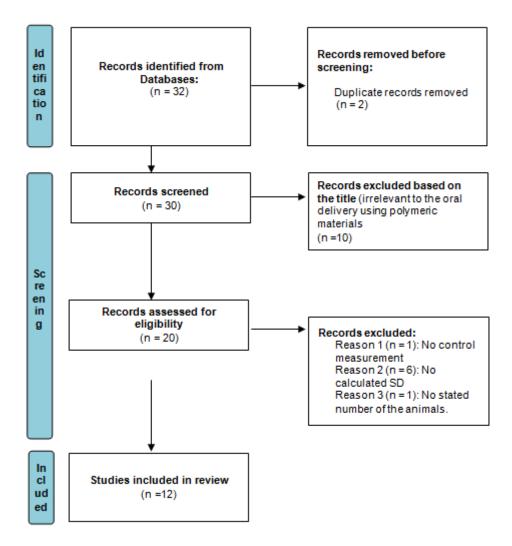


Fig. 1. The process of data mining conducted in the current study according to PRISMA guidelines.

#### 2.2. Inclusion data and its criteria

The meta-analysis relied on obtaining the pharmacokinetic parameter, namely the area under the curve (AUC). The investigated articles were considered eligible for assessment if they comprise the methodology, an original data and the discussion related to the drugs loaded in polymeric nanoparticles (NP) that are utilized for oral delivery. All initially eligible articles were further screened in detail by analyzing the abstract and full text. All the articles should contain original data (research articles). The mean area under the curve (AUC) curve together with its standard deviation should have been reported. The control group comprising

the investigated drug in the study delivered in a conventional formulation should have been stated. The following data were collected from articles fulfilling the inclusion criteria: the investigated drug, the name of the author and year of publication, the number of the used animals for each of the polymeric nanoparticles group and the conventional formulation group, the type of the used animal and the type of the polymer (synthetic versus natural). AUC was used as an indicative of the bioavailability of the drug-loaded polymeric nanoparticles compared to the control (conventional formulation of the drug). Table 1 shows the different elements of the conducted meta-analysis study.

Table 1. Summary of the Meta-Analysis of the Published Studies investigating the bioavailability of different orally loaded drugs in polymeric nano-particulate systems compared to conventional delivery systems as controls.

No.	Drug	Year of study	Grp A number of animals	Grp A Drug in NP Mean AUC (ng.h/ml)	Grp A AUC SD	Grp B number of animals	Grp B drug in conventional formulation Mean AUC (ng.h/ml)	Grp B AUC SD	S M D	Low er C.I.	Upper C.I.	Type of nano carriers*	Type of used animals	Refer ence
1	Celexocib, Morgen et al.	2012	6	2031	1250	6	698	414	1.3 21	0.07	2.570	Ethyl cellulose NPs <sup>a</sup>	Dogs	22
2	Quercetin, Dian et al.	2014	3	107840	54000	3	37680	16800	1.4	0.38 6	3.185	Solupulus PMs <sup>a</sup>	Dogs	23
3	Triptolide, Liu et al.	2020	5	28000	9000	5	6500	700	3.0 41	1.22	4.860	Casein Nanoparticles	Rats	24
4	Ibuprofen, Hedaya et al.	2021	5	207000	37900	5	114300	35900	2.2 67	0.67 8	3.856	PVP NPs <sup>a</sup>	Rabbits	25
5	Resveratrol, Penalva et al.	2015	6	5170	2610	6	280	130	2.4 42	0.94 7	3.937	Zein NPs <sup>b</sup>	Rats	26
6	CUR, Xie et al.	2011	5	34433	5533	5	6117	350	6.5 20	3.40 5	9.635	PLGA NPs <sup>a</sup>	Rats	27
7	Resveratrol, Hasija et al.	2021	6	3057	128	6	750	1	23. 51 9	14.0 42	32.996	Eudragit® E100ª	Rats	28
8	Ibrutinib, Alshetaili et al.	2019	3	2292	263	3	545	48	7.3 74	2.90 5	11.842	PLGA NPs <sup>a</sup>	Rats	29
9	daidzein, Ma et al.	2012	3	16900	6930	3	1910	810	2.4 24	0.31 7	4.532	PLGA NPs <sup>a</sup>	Rats	30
10	Capsaicin, Peng et al.	2015	5	13849	186	5	2324	113	67. 60 4	37.9 50	97.258	MPEG-PCL NPs <sup>a</sup>	Rats	31
11	DOX, Feng et al.	2013	5	2101	404	5	574	255	4.0 80	1.90 4	6.256	Chitosan <sup>b</sup>	Rats	32
12	DOX, Feng et al.	2013	5	3720	584	5	574	255	6.3 02	3.27 5	9.330	CS/CMC <sup>a</sup>	Rats	32

<sup>\*</sup> Types of the polymers used were designated as subgroup "a" for synthetic and subgroup "b" for natural.

### 3.3. Meta Analysis

The Meta analysis was conducted in order to prove the augmenting effect of loading orally administered drugs in polymeric nanoparticles on the bioavailability as demonstrated by the pharmacokinetic parameter; the area under the curve (AUC) which represents the "effect" of the study. Meta-analysis integrates the results originating from different studies and processes them into an overall conclusion. Hence, the "heterogeneity" should be considered.

The effect size (AUC) and the study sample size (number of the used animals) were fed into the OpenMetaAnalyst software (<a href="http://www.cebm.brown.edu/openMeta/">http://www.cebm.brown.edu/openMeta/</a>) in order to meta-analyze the investigated studies and provide the distinguishing diagrams of this type of analyses; the Forest plots.

Since the studies in the current Meta-analysis were variable according to the number of the used animals (sample size) therefore they do not meet the only allowable underlying assumption of a fixed effects model that the sole source of variability comes from the sampling error. Accordingly, the overall effect size was estimated using a random-effects model and utilizing the Der Simonian-Laird method rather than the fixed effect model. A random effects model takes into account the variability between studies such as the year of the study, the authors, the drugs used and their doses, the conditions of performing the different studies, the type of the used animals, the origin of the polymeric material, the measurements method and the sample size and was therefore claimed adequate for the purpose of this Meta-analysis. Heterogeneity was assessed using two parameters; the Q statistic and the I<sup>2</sup> index. The Q statistic gives an indication of the presence or absence of heterogeneity among a set of studies related to the aforementioned variables while the I<sup>2</sup> index gives an indication of the degree of heterogeneity. The mean percent increase and a 95% confidence interval (CI) was calculated and represented in the Forest plot. Significance

was employed by the P-value. The sensitivity and consistency of the study was evaluated using a leave-one-out Meta analysis.

The effect size was calculated as follows:

$$Effect \ size = \frac{AUC}{N}$$
 Equation (1)

where N is the sample size (number of animals)

The standard mean difference (SMD) was calculated:

$$SMD = \frac{Mean_a - Mean_b}{S_{pooled}}$$
 Equation (2)

where 
$$S_{pooled}$$
 is  $\sqrt{\frac{(n_a - 1)S_a^2 + (n_b - 1)S_b^2}{n_a + n_b - 2}}$  Equation (3)

where  $n_a$  is the number of animals that received the polymeric nanoparticulate formulation,  $n_b$  is the number of animals that received the conventional drug formulation as a control,  $S_a$  is the standard deviation of the polymeric nanoparticulate formulation mean effect while  $S_b$  is the standard deviation of the drug conventional formulation mean effect.

Every study weight was calculated as follows:

Study weight (w) = 
$$\frac{1}{SE^2}$$
 Equation (4)

where SE is the standard error of each study

As an optimization step, studies of the odd highest and lowest weights were excluded and the meta-analysis was re-conducted.

Q is the amount of observed heterogeneity as compared to the amount of expected heterogeneity due to chance while  $I^2$  index is the quantitative degree of heterogeneity and is calculated as follows:  $I^2 = 100 \times \frac{Q-df}{Q}$  where df is the degrees of freedom taken as the number of studies – 1.

Furthermore, the mined studies were divided into subgroups as follows:

- (a) Synthetic polymeric material
- (b) Natural polymeric material.

#### 3. Results and Discussion

Table 1 summarizes the results of the conducted meta-analysis after calculating the standardized mean difference (SMD) of each study and its corresponding lower and upper confidence intervals (C.I.s). The significance of all the included studies was confirmed with C.I.s always lying on one side of the zero as a cut-off (i.e. Either both positive or both negative) as demonstrated by the generated Forest plot from the used software (Figure 2) and with the diamond symbol representing the overall mean not touching the line of no effect (the zero line) <sup>20,33</sup>.

The overall SMD estimate was extremely significant at a P-value < 0.001 and possessing a pooled estimate of 4.048 and C.I. (2.458, 5.638) <sup>34</sup>. Presence of both of the upper and the lower confidence interval values above zero confirms the significance of the results <sup>35</sup> and the presence of a real effect of the used polymeric nanoparticulate systems on the bioavailability of the investigated drugs as revealed by area under curve (AUC) pharmacokinetic parameter.

#### Forest Plot

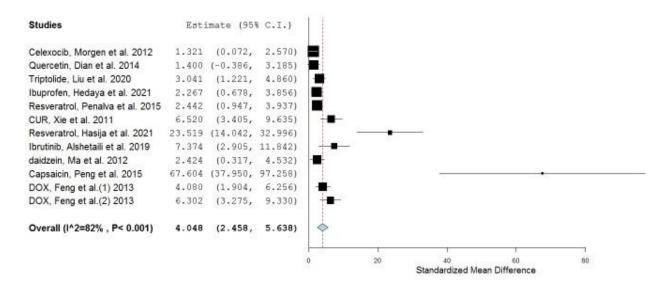


Figure 2. Forest plot of the meta-analyzed studies.

Validating the results using the leave-one-out meta-analysis (By omitting one study at a time and re-perforing the analysis) revealed the high sensitivity and accuracy of the outcomes as the pooled estimate ranged from 3.802 to 4.500 for all of the carried analyses <sup>36</sup>.

The polymeric nanoparticulate systems are usually absorbed by the gastrointestinal mucosal cells through different transport mechanisms. These include their non-specific intake and their uptake by the enterocytes and the M cells by trancytosis <sup>9</sup>. M cells are specialized epithelial cells of the mucosa-associated lymphoid tissues <sup>37</sup>. They possess a high trancytotic capacity where the uptake of nanoparticles have been proven to occur through adsorptive endocytosis through clathrin coated pits and vesicles, fluid phase endocytosis and phagocytosis <sup>38</sup>. Interaction of the polymers with mucin and thereby increasing the residence time of the nanoparticles and increasing the contact time for absorption could also be another reason <sup>39</sup>.

The heterogeneity of the meta-analysis was relatively high with a quantitative degree of heterogeneity ( $I^2$ ) scoring 82 %. The sources of heterogeneity are the different years of study, animals used, number of the used animals, used drugs, dosages, types of measurements, climates and breeding conditions and the different labs and operators  $^{40}$ .

The variability in the kind of the used animals and their number and the type of drugs and their dosages, in particular, has the most profound reflection on the weight of each study. Therefore, in an attempt to optimize the study regarding heterogeneity, the studies possessing the highest and lowest weights were excluded <sup>41</sup>; Morgen et al. 2012, Hasija et al. 2021 and Peng et al. 2015 (Table 2).

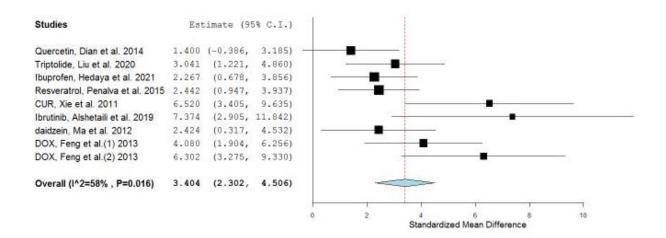
Table 2. Weights of the investigated studies.

study names	weights				
Celexocib, Morgen et al.	11.365%				
Quercetin, Dian et al.	10.590%				
Triptolide, Liu et al.	10.535%				
Ibuprofen, Hedaya et al.	10.893%				
Resveratrol, Penalva et al.	11.031%				

CUR, Xie et al.	8.320%			
Resveratrol, Hasija et al.	2.288%			
Ibrutinib, Alshetaili et al.	6.219%			
daidzein, Ma et al.	10.062%			
Capsaicin, Peng et al.	0.281%			
DOX, Feng et al.(1)	9.946%			
DOX, Feng et al.(2)	8.469%			

Accordingly, the overall pooled estimate changed to 3.404 (2.302, 4.506) and the heterogeneity significantly dropped to 58 % (Figure 3).

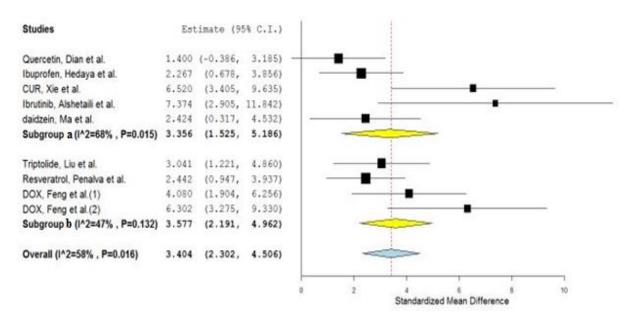
#### Forest Plot



**Figure 3.** Forest plot of the optimized meta-analysis.

Going further, the investigated studies were divided into two new sub-groups according to the nature of the material that was used to fabricate the polymeric nanoparticulate system; subgroup 1: Synthetic Polymeric nanoparticles and was encoded (a) and subgroup 2: Natural Polymeric nanoparticles and was encoded (b). A sub-group meta-analysis was adopted where the sub-group (a) scored a pooled estimate of 3.356 with C.I.s (1.525, 5.186) while the other sub-group (b) scored a pooled estimate of 3.577 with C.I.s (2.191, 4.962). The overlapping

confidence intervals indicate a non-significant difference between the two sub-groups <sup>42</sup>. This finding would therefore boost the formulators to focus on the safety and the toxicological profile of the polymeric material rather than its biological origin that may mistakenly imply better penetrability.



**Fig.4.** Forest Plot of the investigated sub-groups: (a) Synthetic Polymeric nanoparticles versus (b) Natural Polymeric nanoparticles.

4. Conclusion

This study has proven by a quantitative statistical synthetic tool; meta-analysis, the

superiority of polymeric nanoparticles in augmenting the bioavailability of orally

administered drugs over the conventional formulations. It has also revealed that the nature of

the used polymeric (synthetic versus natural) material did not significantly affect the

bioavailability. This outcome would direct the formulators and the drug delivery scientists to

mainly conduct their comparison studies based on the toxicological profiles of the polymeric

materials rather than the penetration efficacy of the intestinal mucosal (excluding the cases of

surface-conjugation of certain ligands targeting special receptors).

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preparation, writing—review and editing, visualization and interpretations of this manuscript.

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