1	Living with the impact of ash dieback – local
2	mitigation practices against Hymenoscyphus fraxineus
3	on the Island of Ireland
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14 15 16 17	Running Title: A review of the current and future strategies to mitigate the impact of ash dieback under Irish conditions.
18 19 20 21 22	<b>Keywords:</b> Ash, ash dieback, disease management, <i>Fraxinus excelsior</i> , fungal plant pathogen, <i>Hymenoscyphus fraxineus</i> , mycology, plant pathology, plant pathogen, plant science, tree disease

#### 1. Abstract

24 Ash trees have considerable economic, cultural and environmental value on the island 25 of Ireland. However, European ash (Fraxinus excelsior L.) is currently under threat from the 26 invasive ascomycete pathogen Hymenoscyphus fraxineus. This pathogen is the causal agent 27 of ash dieback disease, which was initially reported in Poland in 1992. Hymenoscyphus 28 fraxineus has since spread across Europe and the first recorded case of the disease on the 29 island of Ireland was in 2012 at a forestry plantation in Co. Leitrim. The pathogen is now 30 present in all 26 counties in Ireland and 6 counties in Northern Ireland, and it is considered unfeasible to eradicate. The spread of ash dieback disease is reflected in recent policy 31 32 changes, which focus on management rather than eradication strategies.

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Since the first formal description of *H. fraxineus* in 2006, considerable research 34 35 efforts have been made by the international scientific community to understand the biology of 36 the pathogen and to develop management strategies against it. This review provides an 37 update of current knowledge of *H. fraxineus* biology and infection. We then explore 38 examples of mitigation techniques that have been trialled in Europe, in order to identify 39 strategies that are feasible for disease management at a local level on the island of Ireland. 40 Finally, we outline five key avenues of research that have the potential to provide breakthroughs in methods to protect valuable F. excelsior resources. 41 42

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### 2. Introduction

European ash (*Fraxinus excelsior* L.) is a widespread tree on the island of Ireland. Spaans *et al.* (2018) estimate that almost 57% of hedgerow trees in Northern Ireland are *F*. *excelsior*. As a forest species, *F. excelsior* is the 2<sup>nd</sup> most common broadleaf species, with over 25,000 ha (ca. 4% forest estate) planted (NFI 2017), and it is a component of >90% of native woodlands (McCracken *et al.*, 2017). The National Biodiversity Data Centre maps *F. excelsior* as the 2<sup>nd</sup> most commonly recorded tree species, after *Crataegus monogyna* Jacq. (NBDC 2021).

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54 Fraxinus excelsior also has social, cultural and biodiversity importance on the island of Ireland. From a historic point of view F. excelsior was a very significant tree, and variations 55 of the common name for F. excelsior are found in more than thirteen town names in Ireland. 56 57 This indicates that the tree held high importance in the Irish society around the period 1,000 58 AD (McLoughlin 2016). From a present day societal point of view, the timber of F. excelsior 59 is highly prized as the raw material is used for crafting the hurley (or hurl), which is a piece of 60 equipment used in playing the Irish sport of hurling. There is an annual requirement for 360,000 61 hurleys in Ireland, which needs around 2000m<sup>3</sup> of ash timber to manufacture (McCracken et 62 al., 2017). The tree species also provides a habitat for biodiversity, with data from Britain 63 indicating that 953 species are associated with F. excelsior. This figure includes 44 obligate 64 species that could not survive without the tree species (Mitchell et al., 2014).

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Ash dieback is a serious disease of Fraxinus species, which leads to high levels of tree mortality. The symptoms of ash dieback are summarised in Figure 1. This disease is caused by the fungal pathogen *Hymenoscyphus fraxineus* (Kowalski, 2006; Baral *et al.*, 2014). Kowalski (2006) first formally described the species causing the disease as *Chalara fraxinea*. Later work by Kowalski & Holdenrieder (2009) discovered that *Chalara fraxinea* was only the anamorph (asexual form) of the species, and the teleomorph (sexual stage) morphologically and molecularly resembled the species *Hymenoscyphus albidus*. In-depth work by Queloz *et al*. (2011) showed that there was some cryptic speciation in the taxon *H. albidus*, and therefore the name of the ash dieback pathogen was changed to *Hymenoscyphus pseudoalbidus*. Finally, Baral et al. (2014) corrected the name to *Hymenoscyphus fraxineus*, according to the international rules of biological nomenclature.

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The pathogen was first detected in Ireland and in Northern Ireland in 2012 (DAERA 78 79 2021). This was after findings of the pathogen in Britain in 2012 (Forest Research 2022) and 80 several other European countries since the first discovery in Poland in the 1990s (Kowalski, 81 2006). There is growing evidence that the pathogen may have been moving on infected 82 asymptomatic F. excelsior plants, undetected, in many European countries before its formal detection by authorities (e.g. Grosdidier et al., 2018a; Orton et al., 2018; Wylder et al., 2018). 83 84 While the introduction of the pathogen to the island of Ireland almost certainly took place via 85 infected F. excelsior plants for planting, modelling work has shown that it is likely that ash 86 dieback disease would have eventually entered the island of Ireland by natural (airborne spores) 87 means from Britain (Yearsley 2016). The mortality rate of infected F. excelsior is high, with 88 analysis of a number of stands indicating between 70 and 85% mortality of trees exposed to 89 the pathogen (Coker et al., 2019).

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Ash dieback is now present in every country in Ireland (DAFM 2021) and Northern Ireland (DAERA 2021). Short and Hawe (2018) calculate that the cumulative investment in *F. excelsior* forests in Ireland through government grants is more than  $\notin$ 120 million. Between the period of 2012 and 2020, around  $\notin$ 7 million had been paid in grants to

95 landowners to remove diseased F. excelsior and replant with another species (DAFM 2020). 96 Data from Britain has estimated that ash dieback disease will cost almost  $\pm 15$  billion to the 97 British taxpayer. Shortly after the detection of the pathogen on the island of Ireland, the 98 governments of Ireland and Northern Ireland developed the All Ireland Chalara Control 99 Strategy (Anon, 2013). This strategy involved an eradication campaign supported by grants, 100 research, consultation and legislative actions to stop further introductions of the pathogen on 101 high risk commodities. In 2018, both governments changed the focus of the response from 102 eradication to management of the disease (DAERA 2018; DAFM 2020). The reason for this 103 was that the disease was now widespread, and eradication of the pathogen was considered very 104 unlikely.

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106 Ash dieback has been present in much of Europe before it finally arrived in Ireland and 107 Northern Ireland. Between the formal description of the pathogen in 2006 and 2020, there has 108 been a large research effort by European and global research teams to fully understand the 109 pathogen and disease (Figure 2). Given the current focus on managing the disease in Europe, 110 this paper will review studies on the disease and provide options for the local management of 111 disease impact. This work will review current mitigation techniques to control H. fraxineus 112 and identify some of the knowledge gaps in the scientific understanding of H. fraxineus 113 management.

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## 3. The biology of *H. fraxineus*

116 **3.1 Lifecycle** 

117 The lifecycle of *H. fraxineus* can be divided into six stages: sexual sporulation, asexual 118 sporulation, colonisation, latent phase, necrotrophic phase and overwintering (Summarised in 119 Figure 3). 120 **a.** Sexual sporulation

During the summer months (typically between June to September), *H. fraxineus* produces sexual fruiting bodies (apothecia) on senesced ash leaf litter from the previous years (Timmermann *et al.*, 2011; Hietala *et al.*, 2013; Dvorak *et al.*, 2016; Grosdidier *et al.*, 2018a; Mansfield *et al.* 2018). These apothecia form from the blackened pseudosclerotial plates that are produced by the fungus and which cover the surface of ash leaf petioles (Kowalski and Holdenrieder, 2009a; Kowalski *et al.* 2013).

127 Morphologically, the apothecia are composed of a flat disk approximately 1.5 - 3 mm in diameter which is borne on a narrow stipe that is approximately  $0.4 - 2 \text{ mm} \log and 0.2$ -128 129 0.5 mm wide (Kowalski and Holdenrieder, 2009a; Kowalski et al. 2013). The apothecia 130 release sexual spores (ascospores) which are approximately 13 - 17 x 3.5 - 5 µm in size and 131 dispersed by the wind (Gross et al. 2014). Under laboratory conditions, ascospores are rarely 132 ejected to a height above 0.5 cm (Mansfield *et al.* 2018). However, the ascospores are an 133 efficient means of long-distance dispersal under natural conditions and they are estimated to 134 spread an average distance of 1.4 - 2.6 km away from the source of inoculum (Grosdidier et 135 al., 2018a). Release of ascospores by H. fraxineus has a diurnal rhythm, and the highest 136 deposition rates occur in the early morning (Timmermann et al. 2011; Hietala et al. 2013; 137 Mansfield et al. 2018). Findings by Dvorak et al. (2016) and Burns et al. (2021) have both 138 identified meteorological variables, such as leaf wetness, influence ascospore production. The 139 timing of ascospore release by H. fraxineus may therefore coincide with morning dew formation, which could protect the spores from desiccation and promote germination 140 141 following contact with the host surface (Hietala et al., 2013; Dvorak, et al., 2016; Mansfield 142 et al. 2018).

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144 **b.** Asexual sporulation

145 Asexual sporulation occurs on the leaf surface and has also been observed on dead 146 seedlings and infected wood (Husson et al., 2012). The asexual spores (conidia) emerge as 147 droplets or chains on terminal hyphae or phialides (Kowalski, 2006, Kowalski and 148 Holdenrieder, 2009, Husson et al., 2012, Cleary et al. 2013; Fones et al. 2016). Kowalski et al. (2006) document that each single celled conidia measures approximately 3.2 - 4.0 x 2.0 - 2.5 149 150 um in size. The role of conidia in the lifecycle of H. fraxineus is debated, and it has been 151 hypothesised that these spores act as the spermatia (male gametes) for sexual reproduction 152 (Gross et al. 2012). However, recent evidence by Fones et al. (2016) demonstrated that conidia are capable of causing infection on ash seedlings. Therefore, asexual sporulation may serve to 153 154 (1) facilitate mating followed by sexual sporulation and (2) act as a secondary source of 155 inoculum.

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#### 157 **c.** Colonisation

158 The wind-blown ascospores are the primary source of infection of ash by H. fraxineus. 159 These ascospores infect ash leaves (reviewed in Gross et al., 2014) with direct infection of the 160 root collar and infection of aerial roots possibly occurring via lenticels in bark (Husson et al., 161 2012; Nemesio-Gorriz et al., 2019). Scanning electron microscopy by Cleary et al. (2013) 162 revealed that the ascospores attach to the host surface with a mucilage matrix, and they then 163 germinate to produce a single germ tube. The germ tube swells into an adhesive disk that 164 adheres firmly to the leaf surface, enabling penetration of the epidermal cells (Cleary et al., 2013). Mansfield et al. (2018) recorded that 80% of the ascospores that germinated did not 165 166 produce a germ tube, and instead differentiated directly into appressoria. The authors 167 postulated that the lack of requirement of a germ tube for infection could be advantageous to 168 the pathogen, as it reduces exposure of non-melanised hyphae to the environment (Mansfield *et al.*, 2018). 169

#### 171 **d.** Latent phase

172 Research using a combination of microscopy and cytology coupled with gene expression 173 analyses and high-throughput sequencing has helped to clarify the early stages of infection by 174 H. fraxineus, prior to the first emergence of visible symptoms (Cross et al., 2017; Mansfield et 175 al., 2018; Mansfield et al., 2019). The results from these studies show that H. fraxineus has a 176 long asymptomatic phase in which the pathogen accumulates in the leaf tissue as a quiescent 177 endophyte. During this time, the pathogen grows within the host tissue without inducing major 178 physiological changes or visible symptoms (Dal Maso et al., 2012; Cross et al., 2017; 179 Mansfield et al., 2018; Mansfield et al., 2019). Cytological analysis by Mansfield et al. (2018) 180 revealed that H. fraxineus forms bulbous hyphae within the first penetrated cell and the 181 pathogen then spreads to surrounding tissue. During this stage of infection, the pathogen 182 directly penetrates the epidermal cell wall and produces intracellular hyphae which colonise 183 neighbouring epidermal and subepidermal cells (Mansfield et al., 2018). Further research by 184 Mansfield *et al.* (2019) noted that at approximately 6 days post-inoculation, penetrated plant 185 epidermal cells appeared viable and contained intact plasma membranes and organelles. In 186 addition, the membrane of these plant cells was closely associated with the H. fraxineus 187 hyphae. The authors therefore suggested that some of the features of infection by H. fraxineus 188 are comparable to those of other hemibiotrophic pathogenic fungi such as Colleototrichum 189 species, Magnaporthe oryze and Zymoseptoria tritici (Mansfield et al., 2019).

In their cytological analysis of infection by *H. fraxineus*, Mansfield *et al.* (2019) also reported increased browning of vacuolar contents in the plasmolysed plant cells ahead of invasion by the pathogen. Therefore, *H. fraxineus* may release virulence factors to aid infection, and these may diffuse ahead of the leading edge of colonisation (Mansfield *et al.*, 2019).

# 196 e. Necrotrophic phase

Following colonisation, there is a breakdown of the biotrophic interaction and *H*. *fraxineus* switches to a pathogenic/necrotrophic growth phase (Cross *et al.*, 2017; Mansfield *et al.* 2019). Although it is not clear what leads to the transition between these two stages, Mansfield *et al.* (2019) hypothesised that degradation of the plant cell wall by *H. fraxineus* may be activated by starvation. During this stage of infection, the authors observed that the plant cell walls became vacuolated and there was an increase cytoplasmic volume (Mansfield *et al.* 2019).

204 Initial symptoms of disease appear at approximately 12 days post-infection and are 205 visible as necrotic lesions approximately 1-3 mm in diameter on the leaflets. The timing between infection and first symptom development varies, but this could be due to differences 206 in the tissues tested and the methods used. For example, Mansfield et al. (2018) observed 207 208 lesions at 7 days post inoculation on detached leaves and petioles, and 9 days post inoculation 209 on internodes. However, Cleary et al. (2013) recorded a lag of 2 weeks between the first 210 observation of apothecia on dead ash leaf petioles and the appearance of symptoms on the 211 leaves of ash seedlings. During this phase of infection, the necrotic lesions spread along the 212 leaflet lamina, leaf veins and petiole. Occasionally the pathogen then infects the stem (reviewed 213 in Gross et al. 2014). However, stem infection is generally considered a dead end for the pathogen as sexual sporulation rarely occurs. 214

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f. Overwintering

As *F. excelsior* is a deciduous tree, it sheds its leaves during autumn and these form part of the leaf litter. During autumn and winter, *H. fraxineus* produces a characteristic blackened pseudosclerotial plate that covers the petiole of the dead leaf. This structure enables

H. fraxineus to overwinter by protecting the pathogen from factors such as desiccation and 220 221 degradation by other microorganisms (Gross and Holdenreider, 2013; Kowalski et al., 2013). 222 In addition, the pseudosclerotial plate has been shown to enable the pathogen to delay sexual 223 sporulation until the environmental conditions are favourable (Gross and Holdenrieder, 2013). 224 Research into the mating behaviour of H. fraxineus by Gross et al. (2012) demonstrated 225 that the pathogen displays a heterothallic mating system, with strains exhibiting either the 226 MAT1-1 or MAT1-2 idiomorph. Sexual reproduction can only occur between two opposite 227 mating types and this leads to the formation of apothecia. Once conditions are favourable during the following spring/summer, the apothecia develop on the pseudosclerotial plate of the 228 229 infected leaf petiole and the life cycle of *H. fraxineus* continues.

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## 3.2 The *H. fraxineus* genome and virulence factors

232 Sequencing of the *H. farxineus* genome has furthered scientific understanding of the biology 233 and evolution of this pathogen (e.g. Stenlid et al. 2017; McMullan et al. 2018; Elstrand et al. 234 2021). For example, McMullan et al. (2018) compared the genetic diversity of H. fraxineus 235 isolates from across Europe and from a single wood in part of the pathogen's native range in 236 Japan. Results from the research revealed a strong bottleneck of the European H. fraxineus 237 population. This study also suggests that the European population was founded by two 238 individuals, who may have come from the same site or even the same apothecia. A more recent 239 study by Elfstrand et al. (2021) compared the genome of H. fraxineus against its nonpathogenic sister species, *Hymenoscyphus albidus*. Although the two genomes had high levels 240 241 of synteny, the H. albidus genome has genomic signatures indicating that this species may be 242 less able to adapt to a changing environment (Elfstrand et al. 2021).

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Analysis of the *H. farxineus* genome has also elucidated genes with potential roles as virulence factors. For example, comparison of the *H. fraxineus* and *H. albidus* genomes by

Stenlid *et al.* (2017) identified a high similarity in the ability to degrade plant cell walls. Both 246 247 species have genes encoding Cell Wall Active Enzyme (CAZYme) profiles that are similar to 248 that of saprotrophic fungi. However, H. fraxineus showed a higher gene expression of the two 249 pectin-degrading enzymes, PL3 and GH 28. The activity of these two enzymes could result in 250 the disruption of the plant primary cell wall, the fragments of which may act as elicitors of the 251 host defence response (Stenlid et al., 2017). In the same study, H. fraxineus expressed a short 252 secreted protein (SSP) similar to the Cerato-Platanin (CP) family of proteins from known plant pathogens. The CP-like protein from *H. fraxineus* could act as an effector that may protect the 253 254 fungal cell wall from enzymatic degradation by the host. Analysis of metallopeptidase 255 expression by H. fraxineus and H. albidus during infection of F. excelsior demonstrated that 256 H. fraxineus had higher expression of family M35 and M28A metallopeptidases. These metallopeptidases could be involved in the initial arms race between H. fraxineus and F. 257 excelsior, such as inhibiting chitin-binding domain(CBD)-containing chitinases (Stenlid et al., 258 259 2017).

In the study by McMullan *et al.* (2018), the authors identified 1,132 predicted secreted proteins that could serve as potential effectors. These include predicted secreted Cytochrome P450 proteins, which could have roles in breaking down ash-derived antifungal aromatic compounds, penetration and invasion of the ash tissue. Three effectors were also identified which have an NPP1 (necrosis-inducing Phytophthora protein) domain. This NPP1 domain is present in fungal, oomycete and bacterial proteins that induce hypersensitive-reaction-like cell death after infiltration *in planta*.

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Previous research into the metabolites produced by *H. fraxineus* demonstrate that this pathogen produces the phytotoxin viridol and the secondary metabolite hymenosetin (Grad *et al.*, 2009; Andersson *et al.*, 2010; Halecker *et al.* 2014). Viridol has phytotoxicity to *F.* 

excelsior, and it can induce necrosis on ash shoots and lesions on seedlings (Grad et al., 2009; 271 272 Andersson et al., 2010: Andersson et al. 2013). However, H. albidus also produces viridio1. 273 and viridol concentration does not correlate with aggressiveness on detached leaves or seeds 274 (Junker et al., 2014). In addition, the putative biological gene cluster for viridol is conserved 275 between H. fraxineus and H. albidus (Elfstrand et al. 2021). The specialised metabolite 276 hymenosetin was isolated from virulent H. fraxineus strains by Halecker et al., (2014), and the 277 authors found that it has antimicrobial activity. This compound may therefore provide H. fraxineus with an advantage to capture substrate in planta by combatting competing 278 microorganisms (Halecker et al., 2014). 279

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### **3.3 Interaction with the host**

Ash trees display a range of susceptibility to *H. fraxineus*. For example, a study on *F*. 282 283 excelsior clones in Austria across two years by Kirisits and Freinschlag (2012) found that ash 284 dieback intensity ranged from no dieback to 80% dieback. Stockes et al. (2017) identified 285 significant differences in susceptibility to ash dieback among provenances from the British 286 Isles. Trees from middle Scotland were the least susceptible and may have been derived from 287 a separate glacial refugium than the more susceptible populations from the South (Stocks et 288 al., 2017). The reasons for resistance to H. fraxineus may therefore be due to a combination of 289 morphological and genetic characteristics.

290 Cytological analysis of infection of *H. fraxineus* by Mansfield *et al.* (2019) identified 291 papillae-like deposits around penetration points, but these did not appear to affect fungal 292 progress. However, a failed penetration attempt by the pathogen was association with localised 293 changes to the outermost plant cell wall and ensheathment of small invading hyphae. 294 Therefore, this could be a form of host response against *H. fraxineus* (Mansfield *et al.*, 2019). 295 Comparison of ash genotypes has also elucidated metabolites associated with susceptibility and tolerance to ash dieback (Sambles *et al.*, 2017; Sollars *et al.*, 2017; Nemesio-Gorriz *et al.*,
2020). For example, Nemesio-Gorriz *et al.* (2020) found a link between low susceptibility to
ash dieback and increased levels of the coumarins fraxetin and esculetin. Both of these
compounds inhibited *H. fraxineus* growth *in vitro* and the authors suggested their use as
biomarkers to identifufy tolerant ash for selective breeding programmes (Nemesio-Gorriz *et al.*, 2020).

302 It is generally accepted that branch and trunk lesions are more likely to kill the tree than 303 foliar lesions. Early leaf senescence could therefore be a protection mechanism against H. 304 fraxineus, which prevents the pathogen from spreading through the foliage to bark and wood tissues. A study by McKinney et al. (2012) on disease symptoms of ash trees in Denmark 305 306 across two years found a strong correlation between early leaf senescence and less infection of 307 the shoots. In addition, McKinney et al. (2011) found that trees that flushing earlier were less 308 susceptible to the pathogen. However, the study by Kirisits and Freinschlag (2012) did not find 309 a correlation between the intensity of leaf shedding and dieback intensity. An additional study 310 by McKinney et al. (2012) observed that healthier ash clones were able to limit the growth and 311 spread of *H. fraxineus* following infection. Therefore, tolerance to ash dieback may be due to 312 active defence mechanisms (e.g. cellular modifications, metabolite production) and as well as 313 disease escape methods (e.g. early flushing, early leaf senescence).

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#### 4. Management techniques to control *H. fraxineus*

**a. Prevention** 

317 Phytosanitary actions such as import bans, plant quarantine and diagnostic testing are key tools 318 in preventing the introduction of plant pests and pathogens into new regions. Soon after the 319 first detections of *H. fraxineus* in 2012, Ireland and Northern Ireland imposed bans on imports 320 of *F. excelsior* from areas where the pest was present. Due to the widespread nature of the

pathogen across the EU, and already existing bans on importing F. excelsior from outside of 321 322 the EU, this in effect resulted in a ban on the import of ash plants and wood to the island of 323 Ireland. This ban was later removed, after it was shown that the pathogen had become 324 established on the island of Ireland and eradication was no longer achievable. If the outbreaks 325 of the pathogen in Europe had been dealt with earlier, it is possible that the spread could have 326 been contained. However, in the case of H. fraxineus, the uncertainty on the identity of the 327 pathogen, the pathogen life cycle, and difficulties diagnosing infection contributed to the 328 spread of the pathogen throughout Europe. Even after the comprehensive review on the biology 329 and lifecycle of *H. fraxineus* was published in 2014 (Gross *et al.*, 2014), further major scientific 330 breakthroughs were made such as the sequencing of the genome of the pathogen (Stenlid et al., 331 2017; McMullan et al., 2018; Elfstrand et al., 2021), discoveries of the infective potential of the conidia (Fones et al., 2016), and recording of a significant biotrophic stage in the infection 332 333 cycle (Mansfield et al., 2018). Such new discoveries are vital information so that effective 334 legislative control measures can be implemented.

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#### **b.** Sanitation

337 As detailed earlier, the ash dieback pathogen life cycle involves infection of the host 338 each season by the pathogen. Therefore, curative treatments could have strong effects on 339 managing the disease, if it is practical to apply such treatments. With seeds (van der Linde et 340 al., 2021) or young bare-root trees (Hauptman et al., 2013), research has shown that the pathogen can be killed in plant material, and thus infection cured by hot water treatment. 341 342 Immersion of ash saplings in hot water (36 - 40 °C) for between 5 and 10 hours was able to 343 inhibit *H. fraxineus* and remove infection from the plants (Hauptman *et al.*, 2013). In nurseries 344 it is particularly important to practice good biosecurity in order to prevent the spread of H. fraxineus and other pathogens among young plants. Biocides are a key tool for limiting spread, 345

and a review by Cooke *et al.* (2013) hypothesised that biocides such as Salvox, Endosan,
Endoquat and Envirocare could be effective in killing the pathogen in leaf material and on
surfaces. However, the effect of these products against *H. fraxineus* still remains to be tested.
Additional considerations for chemical control methods against the pathogen include timing of
application and the efficacious concentration.

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## c. Removal of leaf litter

353 Ash leaves and fine woody material are the main matrix from which sporulation of the pathogen emerges (reviewed in Gross et al., 2014). Therefore, any steps which lead to the 354 355 reduction, or otherwise destruction of infected plant material will lead to reduced local spore 356 production. Reducing spore production may slow local disease progression as high propagule build-up by *H. fraxineus* may enable the pathogen to overcome the host defence response 357 (Cross et al., 2017). At the tree level, removal of infected plants/trees will contribute to a 358 359 reduction of spore loads as these plants will not shed infected leaf material. If infected leaf 360 material is shed, its direct removal by mechanical means (e.g. raking) and composting (Noble 361 et al., 2019), or indirect removal by covering/burying (e.g. mulching) can lead to reduced spore 362 levels being produced within the forest stand. Holb (2013) working in the Blumeriella jaapü 363 (Rehm) Arx and cherry (Prunus vulgaris Mill.) pathosystem showed that removal of leaf litter 364 as a single treatment, or in combination with straw mulching, led to significant reductions in 365 the amounts of disease. Other novel techniques, such as speeding up the decay/destruction of the litter (e.g. by chemical means) or by livestock (e.g. sheep) are also hypothesised to have 366 367 the effect of reducing local spore production (Figure 4; a). Research by Hauptman et al. (2015) 368 demonstrated that urea applied at >2.5g/l significantly reduced *H. fraxineus* mycelial growth 369 in vitro and decreased apothecia production from treated leaf litter. There is also evidence from 370 other pathosystems that urea solutions can reduce sporulation of pathogens and are used in

practice for treatment of apple and cherry leaves against the infections by *Venturia inaequalis*(Cooke) G. Winter and *B. jaapii* in apple and cherry orchards (Sutton *et al.*, 2000; Green *et al.*,
2006).

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## d. Planting in suitable sites

There have been several papers focussed on silvicultural strategies to manage ash dieback disease (Thomsen and Skovsgaard, 2012; Skovsgaard *et al.*, 2017; Short and Hawe, 2018) in recent years. These have provided guidance on suitable site selection, species mixtures, and on forest management plans. For example, Marçais *et al.*, (2016) and Husson *et al.*, (2012) found that site humidity is linked with canker severity.

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### 382 e. Planting mixed species

383 In general, epidemiological theory indicates that mixed species forests suffer less from disease at a stand level (Skovsgaard et al., 2017). Evidence from another forest pathogen 384 385 (Phytophthora ramorum; Haas et al., 2011), and across a number of pathogen examples 386 indicates that the presence of non-host plants can buffer susceptible trees against disease 387 (Prospero and Cleary, 2017). Skovsgaard et al. (2017) concluded that the effect of species 388 mixtures on disease levels needed more study, but highlighted that from a silvicultural point of 389 view mixed species forests allowed for the widest range of forest management actions to 390 modulate disease levels. Bartha et al. (2017) found that covering ash petioles with leaf litter 391 from other species promotes decomposition. In this study, ash petioles covered with *Tilia* leaf litter had significantly higher fragmentation and decomposition rates than ash petioles that were 392 393 not covered. The authors hypothesised that covering ash leaves with leaf litter from other species may promote maco-flora and micro-flora communities (Bartha et al. 2017). Thus, the 394

effect of non-Fraxinus leaf litter, as a result of tree species mixtures, may also reduce the 395 396 impact of *H. fraxineus* at the stand level.

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# f. Silviculture methods

399 Remedial silviculture strategies can be used to alleviate the impact of ash dieback and 400 retain valuable trees that display tolerance to the disease. Short and Hawe (2018) have 401 reviewed strategies, including case studies from Ireland, in detail. During the early stages of 402 forest management, Skovsgaard et al. (2017) identified tending as the key point for managing 403 the impact of ash dieback on productivity. Some of the key guidelines for tending centre on 404 aiding the trees in avoiding the highly damaging trunk and collar lesions. Removal of low 405 level branches, epicormic growth, and of lush vegetation around the base of the tree can help prevent these damaging collar lesions. 406

407 During the later stages of forest management, thinning can be carried out to (1) remove 408 infected trees, (2) modify stand conditions and (3) reduce competition (Figure 4; b). Hygiene 409 felling to remove infected trees will lead to a reduction in the amount of infected leaf material being produced in the site. This has been the primary method used in eradication attempts in 410 411 Ireland and the UK. Thinning can also modify the stand environmental conditions, therefore 412 reducing the spread of the pathogen. Skovsgaard et al. (2017) reviewed the results of several 413 thinning experiments and found that trees in thinned plots are less damaged by the pathogen 414 than those in un-thinned plots. Thinning can encourage airflow through the understory of the forest, which helps reduce pathogen sporulation and infection. Reduced shading on the forest 415 416 floor can also cause temperature increases in the understory, and high temperatures have can 417 negatively affect pathogen sporulation (Grosdidier et al., 2018b). Finally, thinning can reduce 418 competition between individual trees. This management technique therefore presumably 419 allows ash trees to focus resources on defence against H. fraxineus. It is important to note that

420 coppiced shoots are able to grow from stumps of felled ash trees, and these can become infected
421 by *H. fraxineus*. Therefore, preventing re-growth of shoots is required following thinning
422 (Cooke *et al.*, 2017).

423

424 Selection of diseased individuals for removal can be done via ground surveys 425 throughout the year. Surveys in the winter can identify stem and branch lesions, all of which 426 indicate previous infection and negatively affect timber quality. Surveys during the spring and summer can identify crown dieback, due to the presence (or absence) of foliage on the tree. 427 428 Surveys in the spring-autumn are also useful to identify early flushing, or early senescing trees, 429 which, as discussed earlier, may be linked to lower ash dieback levels. A combination of 430 disease index and date of flushing senescence could be used to select for trees to retain. More 431 recently, spectroscopic imagery has been shown to have potential in discriminating between 432 ash dieback-susceptible and tolerant trees (Villari et al., 2018), and its use in thinning 433 operations would be beneficial.

Resistance to ash dieback may be a heritable trait (Muñoz *et al.*, 2016), and so protecting ash trees displaying tolerance to *H. fraxineus* is essential to prevent complete extinction of the species (reviewed in McKinney *et al.*, 2014). Management practices, such as thinning of diseased trees and the maintenance of healthy trees, therefore allows identification of valuable seed resources to be collected for future use (Skovsgaard *et al.*, 2017; Short and Hawe, 2018) (Figure 4; c).

440

441 g. Treatment

442 Fungicide treatment can be used to protect young trees in nurseries from *H. fraxineus*.
443 Previous research by Hauptman *et al.* (2015) demonstrated that carbendazim, prochloraz, and
444 chlorothalonil significantly reduce *H. fraxineus* mycelial growth *in vitro*. Of these three

fungicides tested, carbendazim was the most effective as no fungal growth was observed at any 445 446 of the concentrations applied. In addition, carbendazim was the only fungicide which 447 completely prevented apothecia formation (Hauptman et al., 2015). For larger trees the 448 application of fungicides is not generally feasible (DEFRA 2016). However, experimental 449 work using phosphite compounds have indicated that treated trees show increased survival 450 from the disease (Keča et al., 2018). Another study has found that compounds containing 451 benzothiadiazoles, which incite the natural defences of ash tree, could prove useful in the 452 protection of ash plants from disease (Turczański et al., 2021).

- 453
- 454

# h. Beneficial organisms and endophytes

455 Biocontrol of *H. fraxineus* can be a method to reduce ash dieback in forest stands. For example, integrated pest management strategies have shown promise for control of the apple 456 457 scab pathogen, V. inaequalis (de Jager and Heijne 2004; Holb et al., 2006). Encouraging 458 beneficial microorganisms in ash forests may `promote the breakdown of ash leaf litter 459 (including the petioles) and reduce *H. fraxineus* inoculum. Endophytes can have a direct 460 antagonistic effect on plant pathogens by releasing toxic metabolites or competing for 461 nutrients. Alternatively, they may induce system resistance of the host. Therefore, these 462 beneficial microorganisms show promise as a method to protect ash trees. A number of studies 463 have identified endophyte species that reduce H. fraxineus growth or germination (Schlegel et 464 al., 2016; Haňáčková et al., 2017; Griffiths et al., 2020; Halecker et al., 2020). For example, Halecker et al. (2020) identified the ascomycete fungus Hypoxylon rubiginosum that grows 465 asymptomatically in planta and produces the antifungal metabolite phomopsin, which inhibits 466 467 H. fraxineus growth in vitro. In addition, an investigation into the ash phyllosphere by Ulrich 468 et al. (2020) identified bacteria associated with tolerant and susceptible ash trees. Of these, Bacillus velezensis, Pantoea vagans, and Pseudomonas caspiana were observed to be 469

antagonistic to *H. fraxineus*. The authors also suggested that non-antagonistic bacteria may be
important biocontrol agents as these may act by indirectly activating the host immune system
or providing niche competition against *H. fraxineus*. These studies therefore show promise for
future strategies to develop beneficial endophytes that could be harnessed to protect ash trees
from *H. fraxineus*.

475

476

## 5. Future Strategies for mitigation

There is a growing body of evidence of ways to mitigate the damage to *F. excelsior* from the pathogen *H. fraxineus*. Of the expanding literature, there are five topics in particular where scientific advances and intensive research effort could lead to important breakthroughs for ash dieback control and *F. excelsior* safeguarding. These are (1) continued phytosanitary actions to prevent further *H. fraxineus* introductions, (2) breeding of resistant *F. excelsior* trees, (3) identification of resistant *F. excelsior* trees, (4) harnessing the *Fraxinus* microbiome, and (5) the application of precision forestry.

- 484
- 485

#### 5.1 Strengthened biosecurity to prevent further pest threats to *F. excelsior*

486 The spread of *H. fraxineus* across Europe between the 1990's to present day highlights 487 the failures of the phytosanitary framework under the old plant health directive (2000/29/EC) 488 to rapidly control a novel pathogen. It could be said that the legislation, bureaucracy and 489 politics at the time did not respond in a suitable fashion to deal with the threat. Lessons have 490 been learnt in many countries in the case of the response to ash dieback (Heuch, 2014; 491 Tsouvalis, 2019). It is envisaged that the new EU Plant health regulation (EU 2016/2031) will 492 allow for a more rapid response to unfamiliar or novel pest threats. What is clear from 493 phytosanitary science is that preventing the introduction of a plant health pest is the only certain 494 method of protecting plant health (Hansen, 2015; Liebhold et al., 2016). It is also clear that the

495 use of horizon scanning is key to provide an early warning of a pest threat. Detailed scientific 496 study, leading to robust pest risk analyses enable countries to use the international 497 phytosanitary framework to prevent the spread of a new pest into their jurisdiction (FAO 2016). 498 At the EU level, there is some justification for continuing to regulate *H. fraxineus* from 499 outside of the EU. McMullan et al. (2018) showed that the H. fraxineus population in Europe 500 had low genetic diversity compared to that present in the native range of the pathogen. This, 501 they proposed, was a strong reason to prevent further introductions of the pathogen into Europe. 502 Furthermore, comparison of virulence between European and Japanese H. fraxineus showed 503 that the Japanese H. fraxineus is significantly longer lesions on F. excelsior (Gross and Sieber 504 2016). Regulating of non-European isolates of pests, even if those pests are already present in 505 the EU, is currently practiced for pests such as *Phytophthora ramorum* and Tephritidae (aka 506 fruit flies) under the EU plant health regulation (EU 2019/2072). Surveillance for the non-507 European populations of ash dieback would be vital to the implementation of any phytosanitary 508 regulations aimed at preventing new H. fraxineus populations being introduced into Europe.

509

### 510 **5.2 Genome enabled breeding for resistance**

511 The sequencing of the *F. excelsior* genome and characterisation of genes inferring tolerance to 512 H. fraxineus may shed light on targets for future selection and breeding strategies (Sollars et 513 al., 2017; Menkis et al., 2019; Stocks et al., 2019). The degree of damage caused by H. 514 fraxineus can vary greatly and it is not uncommon to find single trees with little or no symptoms 515 in a heavily infected areas. For example, a study in Denmark recorded between 1% - 69% 516 damage and this was linked to high genetic variation (McKinney et al., 2011). Resistance to 517 ash dieback may therefore rely on the combined effect of a number of genes (qualitative 518 resistance) rather than single resistance genes (quantitative) (McKinney et al., 2011; Harper, 519 et al., 2016; Sollars et al., 2017; Stocks et al., 2019). Advanced genomic techniques are

currently being used to map the genes of both the pathogen and the host, with the aim of
boosting the ability of the host to defend against the pathogen. Genes for putative tolerance in *F. excelsior* have been identified by several studies (Harper *et al.*, 2016; Sollars *et al.*, 2017;
Stocks *et al.*, 2019; Chaudhary *et al.*, 2020). In addition, the recent development of a protocol
to transform *F. excelsior* calluses opens additional avenues to validate gene targets and study
the molecular basis of ash dieback infection (Hebda *et al.*, 2021).

526 Tree breeding activities must be cognisant of not producing too homogenous 527 host populations. In addition to *H. fraxineus*, the emerald ash borer (*Agrilus planipennis*) is a 528 serious threat to Fraxinus. The emerald ash borer is present in North America, Russia and 529 Eastern Ukraine, but it has not yet been detected in the EU (Valenta et al. 2015; Volkovitsh et 530 al., 2021). Comparison of ash genotypes and analysis of the F. excelsior genome suggests a 531 link between susceptibility to ash dieback and high iridoid glycoside levels (Sambles et al., 532 2017; Sollars et al., 2017). Iridoid glycosides are compounds that have anti-herbivore activity 533 among the Oleacea family. Therefore, there may be a trade-off between ash dieback susceptibility and herbivore susceptibility (including emerald ash borer susceptibility). In order 534 535 to account for this, future breeding programmes will need to retain a high genetic diversity 536 within the ash population to allow for flexibility in the selection and breeding of future ash 537 trees (McKinney et al., 2014).

538

#### 539 **5.3 Reliable and rapid identification of tolerant ash trees**

540 Traditional methods to screen ash tree populations and identify tolerant individuals can be both 541 time consuming and labour intensive. In addition, techniques such as marker-assisted selection 542 have not been developed to the same extent for forest trees as they have for agricultural crops. 543 Studies to date have identified possible disease tolerance markers using a combination of 544 phenotypic characterisation, genotypic characterisation and associative transcriptomics (Harper *et al.*, 2016; Sollars *et al.*, 2017; Menkis *et al.*, 2019; Stocks *et al.*, 2019). For example, Menkis *et al.*, (2019) used phenotypic assessments and cDNA-based molecular markers to discriminate between tolerant and susceptible ash trees (Menkis *et al.*, 2019). As outlined previously, research by Villari *et al.* (2018) and Nemesio-Gorriz *et al.* (2020) used analysis of metabolite profiles to descriminate between tolerant and susceptible ash. The continued development of efficient tools to rapidly and reliably identify tolerant ash will therefore ehance efforts to breed for ash dieback-tolerant trees.

552

### 553 **5.4 Harnessing the ash microbiome**

554 Studies on the microbiome associated with F. excelsior and H. fraxineus can be used to inform 555 novel strategies for control. As outlined in Griffiths et al. (2020), an understanding of the ash microbiome can be used to (1) select individuals based on microbial communities that are 556 linked to host tolerance, (2) inhibit pathogen growth by inoculating with microbial mixtures, 557 558 (3) alter the environmental conditions that promote a desirable microbiome, (4) genetically 559 modify ash trees to impact signalling or selection traits that determine microbial community 560 and composition (Griffiths et al., 2020). Recent studies into the ash microbiome include 561 comparisons between communities present on healthy and infected ash trees, comparing 562 different ash tree tissues and analysing their temporal variation (Kowalski et al., 2016; Cross 563 et al., 2017; Agostinelli et al., 2021; Lahiri et al., 2021). For example, the study by Griffiths et 564 al. (2020) identified a significant association between severity of infection by H. fraxineus and the composition of the fungal and bacterial communities associated with ash leaves. In this 565 study, the authors did not find a direct influence of host genotype on disease severity. However, 566 567 the genotype did affect fungal community composition. Therefore, the authors suggested that 568 host genotype could indirectly influence susceptibility to disease via genotype x microbiome interactions (Griffiths et al., 2020). Further studies will elucidate the influence that H. fraxineus 569

has on ash microbial community structure, and this could inform future management strategiesagainst this pathogen.

572

# 573 **5.5 Precision forestry for optimised mitigation**

574 Precision agriculture is being used to increase the yield of crops, as well as reduce the 575 variability of produce and reduce input costs (Cisternas et al., 2020). In precision forestry, 576 remote sensing and the use of radar technologies is enabling the management of the forest at the stand, group and even tree level (Holopainen et al., 2014). The use of such tools could 577 578 allow for rapid, low cost identification of diseased trees. Hyperspectral imaging has been 579 shown to be 77% accurate in assessing ash dieback severity (Chan et al., 2021). From a disease 580 management point of view, these trees could be removed in order to stop the spread. The same 581 tools could also help identify disease tolerant trees, enabling forest management strategies that 582 aim to promote the health of these trees. When such remote sensing technologies are coupled 583 with tree growth modelling approaches, they may facilitate silvicultural decision-making 584 allowing forest productivity to be maximised. A barrier to the deployment of such precision 585 technologies for this purpose is the low monetary value of ash forests in Europe. However, as 586 the cost of such technologies, and the associated technical expertise becomes more economical, 587 it is possible that precision forestry will play a large part in the management of ash dieback and 588 other forest diseases.

589

#### 590 **6.** Conclusions

Ash dieback disease has been one of the most damaging tree diseases in Europe. On the island of Ireland the disease has generated high levels of public interest, as well as being a major challenge for the forest industry in Ireland (McCracken *et al.*, 2017; Short and Hawe, 2018). While notable efforts are underway to protect *F. excelsior* from the disease, experience in mainland Europe indicates that *F. excelsior* will become a rare tree in the Irish landscape in the coming years. However, steps that can support and safeguard *F. excelsior* are not futile. It may be through the protection of local stands of tolerant trees that the seeds of future resistant trees are produced. One thing is certain, the fight against ash dieback disease will need to use a variety of tactics if it is to be successful against this highly pathogenic and destructive invasive fungal pathogen.

601

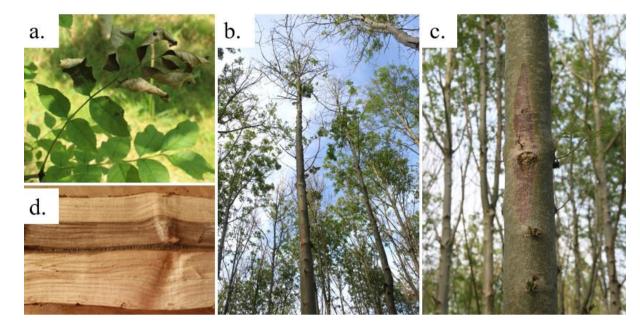
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608

8. Figures



612

Figure 1: Images summarising the symptoms caused by infection of *H. fraxineus* on *F. excelsior* at a forestry plantation in Co. Kildare. (a) dieback of at the tips of the leaves and petiole; (b) crown dieback; (c) diamond-shaped lesion on the stem; (d) darkening of the inside of stem. Images taken by A. Tiley (2021).

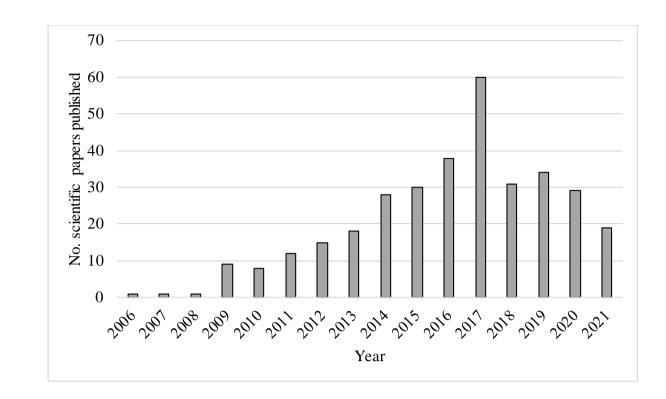
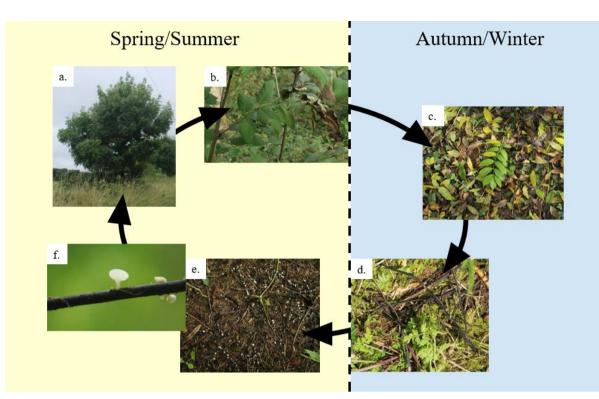


Figure 2: Number of peer reviewed scientific papers published on *Hymenoscyphus fraxineus* (or its synonyms *Chalara fraxinea* or *Hymenoscyphus pseudoalbidus*) between
2006 and 2021 according to a Web of Science search (https://www.webofknowledge.com/,
last accessed January 2022).





625 Figure 3: Images summarising the main stages of the sexual lifecycle of H. fraxineus 626 on ash trees. (a) During summer, healthy ash leaves are infected by wind-blown sexual ascospores of H. fraxineus; (b) initial symptoms of infection include necrosis and wilting of 627 628 the ash leaves; (c) in autumn, the infected ash leaves fall to the ground and form part of the 629 leaf litter; (d) the leaflets degrade over autumn/winter and the fungus forms a black 630 melanised pseudosclerotial plate that covers the leaf petiole; (e) the following spring/summer 631 sexual fruiting bodies (apothecia) form on the infected petioles; (f) the apothecia release 632 sexual ascospores which continue the infection cycle. Images taken by A. Tiley (2021).

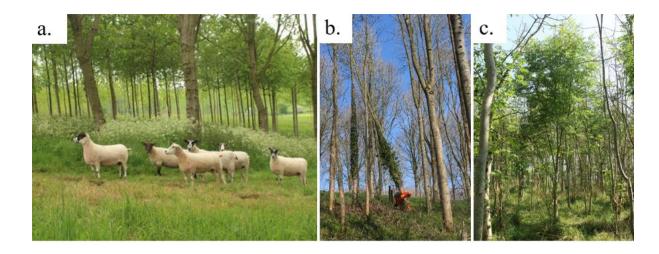
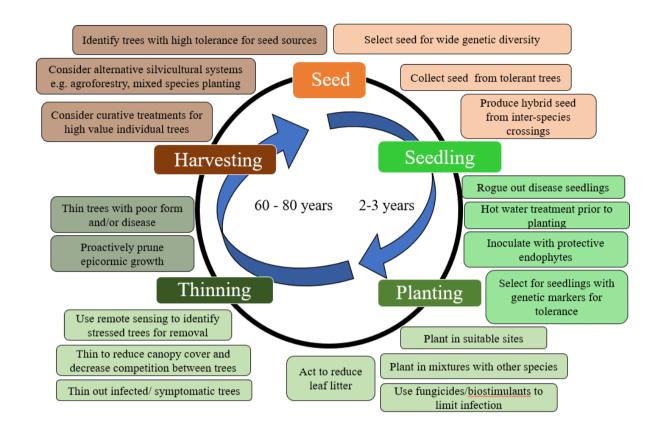


Figure 4: Examples of local management strategies against ash dieback. (a)
experimental trials at to remove ash leaf litter by sheep grazing at AFBI Loughgall (Co.
Armagh); (b) thinning of infected trees at AFBI Loughall; (c) tolerant tree identified by
phenotyping among a heavily infected ash plantation, Co. Westmeath. Images taken by A.
Tiley (2021).



642 Figure 5: Schematic summarising potential ash dieback management strategies that

643 can be used at different stages in the lifecycle of an ash forest.

644

645

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