# Intra Articular Ozone Modulates Inflammation and Has Anabolic Effect on Knee Osteoarthritis: IL-6 and IGF-1 as Pro-inflammatory and Anabolic Biomarkers

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#### **ABSTRACT**

**Objectives:** 1) to demonstrate the anti-inflammatory and anabolic effect of Ozone by determining in serum samples the biochemical levels of IL-6 and IGF-1 in knee osteoarthritis (OA) patients in a real world in Rehabilitation Setting; 2) to evaluate clinical effectiveness by Visual Analog Scale (VAS) and WOMAC scale, and biochemical effect by C-reactive protein (CRP), uric acid and erythrocyte sedimentation rate (ESR).

**Material and methods:** 65 patients with knee OA Kellgren Lawrence (KL) grade 2 or more were analyzed in a retrospective observational study. The study run from January 2018 to September 2021.

Inclusion criteria: a) patients 18 years or older; b) with knee OA KL 2º or more; c) biochemical analysis before-and-after treatment; d) pain more than 3 on VAS.

**Exclusion Criteria:** a) previous knee surgery; b) favism; c) pregnancy; d) any other disease that originates lack of collaboration for infiltration.

**Primary Outcome variables:** a) IL-6; b) IGF-1 in diabetes mellitus (DM)/obese and non-DM/non-obese patients; both before-and-after Ozone treatment. **Secondary Outcome variables:** a) CRP, b) ESR, c) uric acid, d) VAS pain, e) WOMAC pain, function and stiffness.

Ozone protocol consisted of 4 sessions (once a week) of an intra-articular infiltration of 20 mL ( $20\mu g/mL$  concentration) of a gas mixture of Oxygen-Ozone 95-5% (produced by Ozone generator Ozonosan- $\alpha$  Plus ®). For biochemical evaluation, SNIBE MAGLUMI <sup>™</sup> IL-6 (CLIA) and SNIBE MAGLUMI <sup>™</sup> IGF-1 (CLIA) kits were used. CRP and uric acid were analyzed by Abbott Alinity c kit; and ESR was evaluated by DIESSE VES MATIC CUBE 30.

**Results:** There is a linear correlation between age and OA severity. IL-6 decreased both in DM and non-DM patients and in all OA KL grades (from 2.7 to 1.59 pg/mL). IGF-1 decreased in total group (OA + DM + obesity) from 112.09 to 107.19 ng/mL. When only knee OA patients were analyzed, Ozone improved IGF-1 levels (from 100.17 to 102.03 ng/mL). Ozone decreased CRP, ESR, uric acid, and improved VAS pain, WOMAC pain, function and stiffness (p<0.05).

**Conclusions:** Ozone is a valid option for the management of knee osteoarthritis in real world Rehabilitation Setting, because of its anti-inflammatory, metabolic and anabolic properties. Ozone downregulates pro-inflammatory IL-6 cytokine. Ozone has a metabolic/hypoglycemic effect on obese/diabetic knee osteoarthritis patients by reducing IGF-

1. Ozone has an anabolic effect on non-diabetic/non-obese patients by improving IGF-1. Ozone reduces other biomarkers of inflammation (CRP, ESR and uric acid) and improves, pain, function and quality of life.

**Key words:** Ozone, ozone therapy, cytokines, biomarkers, IL-6, inflammation, chronic inflammation, IGF-1, anabolism, knee osteoarthritis.

# Intra articular ozone modulates inflammation and has anabolic effect on knee osteoarthritis: IL-6 and IGF-1 as pro-inflammatory and anabolic biomarkers.

#### **INTRODUCION**

Osteoarthritis (OA) is the most common source of arthritis. OA affects the quality of life to an extent that it is the 11<sup>th</sup> contributor of global disability worldwide. In Spain, OA burden is such that at least 4 million people are affected and 4,378 million € per year are direct cost, which represent almost 0.5% of the Gross Domestic Product ¹.

OA is so prevalent that in people over 50 years a 70% of them have at least one radiological sign in some articulation; in people over 60 years, 13% of them refer pain in knee OA; in those over 70 years, 27% have radiological signs; and in people over 80 years, 44% show clinical symptoms and radiological signs <sup>2</sup>.

Knee OA is the most common type of OA. Cartilage breakdown, bone degeneration and narrowing of articular space are typical signs. Knee OA is multifactorial, mechanical and inflammatory factors are attributed to OA pathophysiology <sup>3</sup>.

The paradigm of knee OA is changing from the non-inflammatory "wear and tear" theory to the "low-grade chronic inflammation" hypothesis <sup>4</sup>. In such a case, future treatments should act on the modulation of inflammation to stop/revert OA progression <sup>5</sup>.

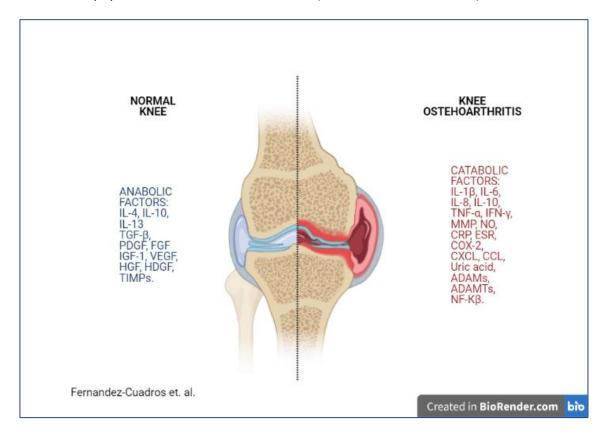
The biomechanical theory states that cartilage overloads because of malalignment, poor biomechanics and impact. Cartilage softens and degrades and subchondral bone stiffens. Afterwards, osteophytes appear to counteract bone destruction and finally the joint breaks down <sup>5</sup>. The inflammatory theory states that cartilage breaks down and apoptosis releases inflammatory cytokines that activate second messengers, perpetuating inflammation, loading to a catabolic state, producing greater cartilage destruction <sup>5</sup>.

The inflammatory process is supported by inflammatory cytokines released by chondrocytes (IL-1, IL-6, IL-8, IL-17, LIF, TNF- $\alpha$ , IFN- $\gamma$ ) which cooperate in continued cartilage destruction  $^6$ . From all those pro-inflammatory cytokines, IL-1 $\beta$  and TNF- $\alpha$  play a crucial role in the initiation and development of OA  $^4$ . IL-1 is responsible for cartilage destruction while TNF- $\alpha$  activates the inflammatory process  $^4$ . Both IL-1 $\beta$  and TNF- $\alpha$  induce chondrocytes and synovial cells the production of other pro-inflammatory cells, such as IL-6  $^4$ . IL-1 affects the production of Reactive Oxygen Species (ROS) which are implicated in the damage of the DNA of chondrocytes  $^6$ . ROS accelerate cartilage matrix disintegration and joint space narrowing, inhibiting synthesis of proteoglycans and collagen  $^6$ . IL-6 increases the number of inflammatory cells in synovial tissue, stimulating the proliferation of chondrocytes and amplification of IL-1 $\beta$  effect  $^4$ . Inflammatory cytokines stimulate proteolytic enzymes such as metalloproteinases (MMPs), leukemia inhibitor factor (LIF) and Oncostatin M (OSM) to enhance degradation of cartilage and apoptosis  $^{5,6}$ .

Based on the previous assumptions, the future therapy on knee OA should inhibit proinflammatory cytokines (IL-1 $\beta$ , TNF- $\alpha$ , IL-6), proteolytic enzymes (MMPs), NOS (nitric oxide synthase) and apoptosis. On the other hand, OA management should stimulate the synthesis of anti-inflammatory cytokines (IL-4, IL-10, IL-13) and growth factors (TGF- $\beta$ , IGF-1, and so forth)  $^4$ .

There must be a balance in favor of anabolic/anti-inflammatory factors, reducing catabolic/pro inflammatory factors (Figure 1) <sup>3,5</sup>.

**Figure 1.** Osteoarthritis is the result of an imbalance between anabolic and catabolic factors where pro-inflammatory cytokines and catabolic chemokines predominates over anti-inflammatory cytokines and anabolic chemokines (Fernandez-Cuadros et al <sup>5</sup>).



MMP, matrix mineral metalloproteases. ADAMs, disintegrin and metalloprotease. ADAMTS, disintegrin and metalloprotease with thrombospondin motifs. NO, nitric oxide. TNF- $\alpha$ , tumor necrosis factor  $\alpha$ ; iNOS, Inducible Nitric Oxide Synthase. COX-2, cyclooxygenase-2. CXCL, chemokine receptor. CCL, chemokine ligand. CRP, C-reactive protein. ESR, erythrocyte sedimentation rate. TGF- $\beta$ , transforming growth factor  $\beta$ . HGF, hepatocyte growth factor. VEGF, vascular endothelial growth factor. EGF, endothelial growth factor. IGF-1, insulin growing factor 1. HDGF, hepatocyte derived growth factor. TIMPS, Tissue inhibitor of metalloprotease. NF-K $\beta$ , nuclear factor K $\beta$ .

IGF-1 (Insulin-like growth factor 1) is an endocrine and autocrine/paracrine growth factor that circulates in plasma at high levels, and it is expressed by most cell types. IGF-1 has major effects on development, cell growth, differentiation and tissue repair <sup>7</sup>. IGF-1 could also block inflammation, oxidative stress and endothelial dysfunction. Therefore, IGF-1 may show anti-inflammatory and pro-repair mechanisms <sup>7</sup>.

Ozone ( $O_3$ ) is the allotropic or unstable form of Oxygen and it is a strong antioxidant after fluorine and persulphate.  $O_3$  oxidative properties act as an important anti-infectious, antiparasitic, anti-viral and anti-fungal agent.  $O_3$  dissolved in plasma reacts with several biomolecules generating second messengers such as ROS and LOPs (Lipid Oxidative products), which are finally responsible of the biological and therapeutical effects. Therefore,  $O_3$  may exert anti-inflammatory, immunomodulatory and anabolic effects  $^3$ .

Several studies and many years of clinical experience state that Ozone has proven effects on the modulation of inflammation and in the release of stem cells and growth factors, promoting cartilage growth and joint repair mechanisms  $^{3,6}$ . A recent review states that  $O_3$  is capable of acting on the pathogenesis of OA reducing inflammatory cytokines (IL-1 $\beta$ , TNF- $\alpha$ , IFN- $\gamma$ , C-reactive protein [CRP], Erythrocyte Sedimentation Rate [ESR] and Uric Acid), reducing catabolic chemokines (MMP, NO), stimulating anti-inflammatory cytokines (IL-4, IL-10, IL-13) and stimulating anabolic chemokines (TGF- $\beta$  and IGF-1)  $^5$ . Ozone has also been observed to decrease NF-K $\beta$  pathway (inflammatory pathway) and  $O_3$  improved Nrf2 pathway, which is involved in the generation of antioxidant response elements (AREs) such as superoxide dismutase (SOD), catalase (CAT), glutathione peroxidase (GPx) and hemoxygenase-1(HO-1)  $^8$ .

Finally, it has been observed that  $O_3$  is capable of inhibiting prostaglandins/bradykinin; as a result, reduction of pain and edema were observed. Ozone is also able to stimulate chondrocytes and fibroblasts proliferation; synthesis of articular cartilage and repair of tissue defects are the expected results  $^6$ .

OA produces great impact on pain, function and use of health resources. The goals of treatment should try to ameliorate symptoms and to diminish articular damage  $^3$ . Many physicians worldwide use  $O_3$  by intra-articular infiltrations to alleviate symptoms of chronic knee OA, such as pain, loss of function and quality of life  $^{3-6}$ . However, very few studies have evaluated  $O_3$  effect on biomarkers of inflammation and on its reparative/anabolic effect  $^4$ , and most of the studies are based on animal models rather than on human patients  $^3$ .

The objective of the study is: a) to demonstrate the anti-inflammatory and anabolic effect of Ozone by determining in serum samples the biochemical levels of IL-6 and IGF-1 in knee OA patients in a real world Rehabilitation Setting; b) to evaluate clinical effectiveness by Visual Analog Scale (VAS) and WOMAC scale, and biochemical effect by C-reactive protein (CRP), uric acid and erythrocyte sedimentation rate (ESR).

#### **MATERIAL AND METHODS**

A total of 65 patients with knee OA Kellgren Lawrence (KL) grade 2 or more were recruited and analyzed in a retrospective observational study. The study run from January 2018 to September 2021 and since ozone therapy is part of the portfolio of Hospital Services and because of the observational nature of the study (critical analysis of the medical clinical practice), no approval by Ethics Committee was required. Patients signed informed consent.

Inclusion criteria: a) patients 18 years or older; b) with knee OA KL 2º or more; c) biochemical analysis before-and-after treatment; d) pain more than 3 on Visual Analog Scale (VAS).

**Exclusion Criteria:** a) previous knee surgery; b) favism (deficiency of glucose 6-phosphate dehydrogenase enzyme); c) pregnancy; d) any other disease that originates lack of collaboration for infiltration (dementia, fear to needles, etc).

**Primary Outcome variables:** a) IL-6 levels before-and-after treatment O<sub>3</sub>; b) IGF-1 in diabetes mellitus (DM)/obese and non-DM/non-obese patients before-and-after O<sub>3</sub> treatment.

**Secondary outcome variables:** a) C-reactive protein [CRP]; b) uric acid, c) Erythrocyte sedimentation rate [ESR]; d) pain (VAS); e) WOMAC pain, WOMAC stiffness and WOMAC function.

Ozone protocol consisted of 4 sessions (once a week) of an intra-articular infiltration of 20 mL ( $20\mu g/mL$  concentration) of a gas mixture of Oxygen-Ozone 95-5%. Knee skin was thoroughly cleansed with 1% chlorhexidine and ethyl chloride was used after cleansing as topical anesthetic. A silicone coated syringe of 20mL was applied to Ozonosan- $\alpha$  plus  $^{\circ}$  (Ozone generator) to get the desired concentration. A 27G Quincke needle of 4cm was used to infiltrate Ozone into the joint. Ozone was infiltrated on the superior peripatellar pouch with the knee semi flexed. Before the first infiltration and after the fourth infiltration, a venous extraction of 10 mL was performed in order to analyze the biomarkers IL-6 and IGF-1.

For IL-6 evaluation, SNIBE MAGLUMI ™ IL-6 (CLIA) kit was used. This kit is a chemoluminiscent immunoassay (CLIA) for quantitative determination of IL-6 in human serum and plasma. For IGF-1 evaluation, SNIBE MAGLUMI ™ IGF-1 (CLIA) kit was used. This kit is a chemoluminiscent immunoassay (CLIA) for quantitative determination of IGF-1 in human serum and plasma. CRP was analyzed by Abbott Alinity c kit, Uric acid was analyzed by Abbott Alinity c kit; and ESR was evaluated by DIESSE VES MATIC CUBE 30.

Statistical analysis was performed using SPSS \* version 20.0. Means and standard deviation were used for quantitative variables. For a before-and-after evaluation of biochemical markers T-student was the reference Test. Level of significance was established at 95% (p<0.05).

## **RESULTS**

A total a 65 patients were analyzed, 51 patients with knee OA, and 14 patients with knee OA, DM and obesity (Body mass index [BMI]>30) were included in the study.

There is a linear correlation between age and OA severity, older patients show grater knee OA KL severity. The incidence was greater on mild cases (Table 1).

**Table 1.** Age and outcome variables (IGF-1 and IL-6) before-and after treatment, according to Osteoarthritis (OA) Kellgren-Lawrence (KL) grades, and if diabetic and obese patients were included or not.

ANALYZED GROUPS	AGE years	IL-6 pre pg/mL	IL-6 post pg/mL	р	IGF-1 pre ng/mL	IGF-1 post	р
OA + DM+ OBESITY (n=65)	67	2,07 ± 2.8	1,59 ± 1.83	0.0684	112,09 ± 40.96	107,19 ± 36.04	0.0299*
OA KL 2º (n=36)	62	2,19 ± 3.33	1,57 ± 1.76		117,06 ± 48.67	111,91 ± 41.29	
OA KL 3º (n=21)	71	2,43 ± 2.55	1,99 ± 2.29		93,2 ± 23.12	93,28 ± 25.01	
OA KL 4º (n=8)	76	0,63 ± 0.23	0,65 ± 0.43		139,3 ± 24.34	122,46 ± 28.38	
OA without DM/obesity (n=51)	68,09	2,35 ± 3.07	1,75 ± 1.94	0.0697	100,17 ± 28.63	102,03 ± 30.67	0.2198
OA KL 2º (n=28)	63,92	2,44 ± 3.51	1,64 ± 1.72		102,34 ± 31.25	104,86 ± 33.59	
OA KL 3º (n=19)	72	2,6 ± 2.63	2,1 ±2.38		90,51 ± 22.16	92,7 ± 25.59	
OA KL 4º (n=4)	78,75	0,5± 0.01	0,8 ± 0.6		130,85 ± 6.63	126,55 ± 12.83	

OA, Osteoarthritis. KL, Kellgren Lawrence. DM, diabetes mellitus. P, T student Test. \*, p<0.05.

IL-6 decreased both in DM and non-DM patients and in all OA KL grades (except 4º grade). IGF-1 decreased in total group (OA + DM + obesity), showing that Ozone reduces glucose levels in diabetic patients; but, when only knee OA patients are analyzed, O<sub>3</sub> improved IGF-1 levels, showing an anabolic effect, as previously observed by some other investigators <sup>3</sup>. **Table 1.** 

In 65 OA patients, IL-6 decreased from  $2.07 \pm 2.8$  pg/mL to  $1.59 \pm 1.83$  pg/mL, p=0.0684 **(Table 1)**. In the same 65 OA patients, IGF-1 decreased from  $112.09 \pm 40.96$  ng/mL to  $107.19 \pm 36.04$  ng/mL, p=0.0299 **( Table 1)**.

However, when obese and DM patients were eliminated, leaving only 51 OA patients without such comorbidities, IGF-1 improved from 100.17  $\pm$  28.63 ng/mL to 102.03  $\pm$  30.67 ng/mL, p=0.2198 (p>0.05). **Table 1.** 

In 51 patients, once DM and obesity were excluded, IL-6 decreased from 2.35  $\pm$  3.07 pg/mL to 1.75  $\pm$  1.94 pg/mL, p=0.0697 (p>0.05). **Table 1.** 

On an overall view, Ozone decreased IL-6 in both DM/obese and non-DM/non-obese knee OA patients. On the contrary,  $O_3$  decreased IGF-1 in DM/obese patients (metabolic/hypoglycemic effect), and improved IGF-1 levels in non-DM/non-obese knee OA patients (anabolic effect).

When secondary outcomes are evaluated, Ozone decreased C-reactive protein (CRP) [p=0.0126], Erythrocyte sedimentation rate (ESR) [p=0.0287], and Uric acid [p=0.4436] as biochemical variables. Ozone improved VAS pain, WOMAC pain, WOMAC function and WOMAC stiffness (p<0.01) as clinical variables in knee osteoarthritis patients (Table 2).

**Table 2.** Clinical and biochemical variables before-and after Ozone treatment in knee osteoarthritis patients (n=65).

Variables	Pre treatment	Post treatment	р					
<b>Biochemical Variables</b>								
IL-6 pg/mL (mean ± SD)	2,07 ± 2,8	1,59 ± 1,83	0,0684					
IGF-1 ng/mL (mean ± SD)	112,09 ± 40,96	107,19 ± 36,04	0,0299*					
CRP mg/mL (mean ± SD)	0,42 ± 0,47	0,326± 0,35	0,0126*					
Uric acid mg/mL (mean ± SD)	5,12 ± 1,21	5,10 ± 1,13	0,4436					
ESR mm/h (mean ± SD)	12,35 ± 8,48	11,11 ± 8,11	0,0287*					
Clinical Variables								
VAS pain (0-10) mean ± SD	6,89 ± 0,93	3,87 ± 1,62	0,0000*					
WOMAC pain (0-20) mean ± SD	13,83 ± 1,91	7,75 ± 3,25	0,0000*					
WOMAC stiffness (0-8) mean ± SD	2,71 ± 1,27	1,37 ± 1,15	0,0000*					
WOMAC function (0-68) mean ± SD	41,6 ± 7,95	27,7 ± 9,43	0,0000*					

IL-6, interleukin 6. IGF-1, Insulin like Growing Factor 1. CRP, C-reactive protein. ESR, Erythrocyte Sedimentation Rate. VAS; visual analogical scale. WOMAC; Western Ontario and McMaster Index for Osteoarthritis. P, T student Test. \*, p<0.05.

#### **DISCUSSION**

To the best of our knowledge, this is the first study that states the anti-inflammatory, metabolic/hypoglycemic and anabolic effect of O<sub>3</sub> therapy on knee OA patients, evaluated by IL-6 and IGF-1 biomarkers in a real world Rehabilitation setting.

Our study group had previously observed that O<sub>3</sub> was capable of modulating inflammation by decreasing CRP (C-reactive protein), ESR (erythrocyte sedimentation rate) and uric acid, improving pain and function (evaluated by VAS [visual analog scale] and WOMAC [Western Ontario and McMaster Index for OA]), and O<sub>3</sub> was also capable of increasing joint narrowing space <sup>9-13</sup>. Those previous observations were confirmed again in this cohort of knee OA patients (n=65). We intended to confirm the anti-inflammatory/anabolic properties of Ozone by using specific anti-inflammatory (IL-6) and anabolic (IGF-1) cytokines. Our biochemical observations have confirmed our hypothesis. Ozone decreases IL-6 and improves IGF-1, on an overall view.

Moreover, we have observed a paradoxical effect on DM/obese patients, where Ozone decreased IGF-1 levels; while, in non-DM/non-obese patients, we observed that O₃ improved IGF-1 levels. We will further discuss these observations in extent.

OA is the most common degenerative disease in people over 65 years. Many proinflammatory mediators are elevated in OA such as ROS, NOS, and hydrogen peroxide  $(H_2O_2)^{14}$ . Affected knee OA express elevated inflammatory cytokines such as IL-1 $\beta$ , IL-6, TNF- $\alpha$ , which promote catabolism of cartilage and subchondral bone <sup>15</sup>. ROS formed during OA activates NF- $\kappa\beta$  pathway (inflammatory pathway) by increasing its translocation into the nuclei and it causes the activation on intracellular inflammatory cytokines such as IL-1 $\beta$ , IL-6, TNF- $\alpha$  and COX-2 which open the apoptotic cascade <sup>14</sup>. Ozone can inhibit apoptosis and degradation of the cartilage matrix by inhibiting the activation of NF- $\kappa\beta$  resulting in cell survival <sup>5,8,14</sup>.

The effect of ozone in decreasing pro-inflammatory cytokines (IL-1 $\beta$ , IL-6, TNF- $\alpha$ ) has been observed in several animal models of knee OA, rheumatoid arthritis and in ischemia/reperfusion models; but not in human knee patients. There subsides the importance of this study.

In a rat model, Xu et al have stated that Ozone reduced the concentration levels of IL-6, TNF- $\alpha$ , MMP-13 and degradation of collagen  $^{16}$ . Guo et al have stated that intra-articular injections of  $40\mu g/mL$  of Ozone could attenuate synovitis in rats with collagen induced arthritis by the inhibition of IL-6, TNF- $\alpha$  and VEGF in serum  $^{17}$ . Valliant has stated that ozone decreased IL-1 $\beta$ , TNF- $\alpha$  mRNA levels and oxidative stress in a rat model of arthritis induced by PG/PS  $^{18}$ . Leon-Fernández et al have stated that in human rheumatoid arthritis patients,  $O_3$  reduced pain and reactants of acute phase (CRP, ESR). Although these observations were similar to our previous studies on human knee OA  $^{10}$ , no reference was done about inflammatory cytokines (IL-1 $\beta$ , IL-6, TNF- $\alpha$ , etc.)  $^{19}$ . In Another model of rheumatoid arthritis, Chang et al have observed that  $O_3$  decreased the production of pro-inflammatory cytokines IL-1 $\beta$ , IL-6, and TNF- $\alpha$   $^{20}$ .

As a resume, in all models of rheumatoid arthritis,  $O_3$  has been able to decrease proinflammatory cytokines (IL-1 $\beta$ , IL-6, TNF- $\alpha$ ), then the anti-inflammatory effect of ozone was stated, as it was observed in our study; in which, Ozone reduced IL-6.

The anti-inflammatory effect of O<sub>3</sub> has also been observed not only in arthritis models but in ischemia/reperfusion (I/R) models. Zhang et al have stated that in a chronic constriction injury model of sciatic nerve in rats, intrathecal injection of O<sub>3</sub> inhibited pain and decreased IL-1β, IL-6, TNF- $\alpha$  and NF- $\kappa$ β/p65, alleviating neuropathic pain <sup>21</sup>. Gultekin et al have observed that  $O_3$  decreased TNF- $\alpha$  but not IL-6 in an I/R liver-injury model in rats <sup>22</sup>. Yildiz has observed that O3 ameliorated the expression of TNF- $\alpha$  and IL-6 in a systemic steroid-induced model of retinal injury 23. Calunga-Fernández et al have stated that O<sub>3</sub> reduced pro-inflammatory IL-6 in a subtotal nephrectomy model in rats <sup>24</sup>. De Souza et al have reported that O<sub>3</sub> decreased IL-6 levels in a model of animal peritonitis by inactivation of bacteria, probably by oxidation <sup>25</sup>. This comes in line with Bette et al who observed that O<sub>3</sub> decreased pro-inflammatory cytokines (IL-1β and TNF- $\alpha$ ) and improved survival rate in a peritonitis model in rats <sup>25</sup>. Gürkan et al have described that Ozone was capable of reducing IL-1 $\beta$ , TNF- $\alpha$  and IL-6 in an experimental model of spine surgery. O<sub>3</sub> was similar to Methylprednisolone, but the combination of both (O<sub>3</sub> plus Methylprednisolone) was even more effective <sup>26</sup>. Zamora et al have observed that in a septic model of peritonitis, Ozone plus antibiotics decreased the expression of IL-1β <sup>27</sup>. O<sub>3</sub> activates intracellular mechanisms that inhibit IL-1β, the most important pro-inflammatory cytokine <sup>27</sup>.

Ersoz et al have stated that  $O_3$  decreased oxidative stress and pro-inflammatory cytokines (TNF- $\alpha$ , IL-6) and improved anti-inflammatory IL-10 in an animal model of colon anastomosis in rats <sup>28</sup>. Merhi et al has described that in an experimental uterine-adhesion model,  $O_3$  at 45 µg/mL and at 60 µg/mL decreased TNF- $\alpha$  and IL-6 <sup>29</sup>. In a chronic model of kidney disease,  $O_3$  upregulated antioxidant enzymes (SOD, CAT, GPx),  $O_3$  downregulated oxidation products (MDA, PCO) and inflammatory cytokines (IL-1 $\beta$ , IL-6, TNF- $\alpha$  and ICAM) <sup>30</sup>.  $O_3$  restored impaired antioxidant Nrf2 pathway and downregulated NF- $\kappa\beta$  pathway <sup>30</sup>, as it was previously reported by Fernández-Cuadros <sup>5</sup>. Chen et al, in another animal model of chronic kidney disease, observed that  $O_3$  ameliorated pro-inflammatory cytokines (IL-1 $\beta$ , IL-6, TNF- $\alpha$  and monocyte chemoattractant protein-1 [MCP-1]) <sup>31</sup>. Finally, Isik et al have reported that in an intestinal-injury model,  $O_3$  improved healing by decreasing TNF- $\alpha$  because of its anti-inflammatory effects <sup>32</sup>.

Until this point, in animal models of rheumatoid arthritis, in experimental models of I/R of the liver, kidney, sciatic nerve and in experimental models of peritonitis,  $O_3$  has shown its anti-inflammatory effect by decreasing pro-inflammatory cytokines (IL-1 $\beta$ , IL-6, TNF- $\alpha$ ) and by blocking NF- $\kappa\beta$  pathway and by improving Nrf2 pathway. This comes in line with our observations that Ozone decrease IL-6 in human knee OA. The anti-inflammatory effect of  $O_3$  was confirmed by a reduction in IL-6 levels.

In a previous study we observed that  $O_3$  improved the minimal joint space narrowing evaluated by X-rays, so an anabolic effect was attributable to  $O_3$  therapy  $^{9,12,13}$ . In clinical reviews on the effect of Ozone, it is accepted that  $O_3$  stimulate anti-inflammatory cytokines (IL-4, IL-10, IL-13) and growth factors (IGF-1, TGF- $\beta$ )  $^{3,5,6}$ . The anabolic effect would explain the improvement on joint space in knee OA patients  $^{13}$ .

In our present study,  $O_3$  has demonstrated a paradoxical (metabolic/hypoglycemic) and anabolic effect. In obese/DM patients,  $O_3$  downregulated IGF-1; while in non-obese/non-DM patients,  $O_3$  improved IGF-1 levels. We will try to elucidate why we observed such IGF-1 variations.

IGF-1 has pleiotropic effects on health status such as tissue homeostasis, cardiovascular and neural protection, Insulin-like effects, skeletal development, muscle plasticity and tissue repair <sup>33</sup>. IGF-1 regulates normal growth in childhood and it has an anabolic effect on adults, acting on muscle growth and tissue repair <sup>34</sup>.

IGF-1 has a fundamental role in prenatal and postnatal development. Circulating IGF-1 is secreted in the liver by the control of growth hormone <sup>7</sup>. IGF-1 and Insulin share common activating pathways. Insulin at physiological concentrations activates Insulin but not IGF-1 hybrid receptors <sup>7</sup>. This occurs because Insulin and IGF-1 share structural homology, they interact with same membrane receptors with different affinities to mediate a wide range of metabolic and growth promoting functions <sup>35</sup>. In fact, Insulin and IGF-1 differ only in 6 amino acids. For example, Insulin and IGF-1 increase cellular proliferation and migration in human subacromial bursa tissue <sup>36</sup>.

IGF-1 has anti-inflammatory properties. There is evidence of relation between IL-6 and IGF-1. In fact, low IGF-1 and high levels of IL-6 and TNF- $\alpha$  are related to mortality in elderly patients <sup>7,37</sup>. Although IGF-1 blocks inflammation, oxidative stress and endothelial dysfunction; paradoxically, IGF-1 deficiency has been linked to increased longevity <sup>7,33</sup>. IGF-1 is increased in DM, myocardial infarction and ventricular hypertrophy <sup>38</sup>. Specifically, IGF-1 is upregulated in in type 2 DM and downregulated in type 1 DM. Elevation of IGF-1 is the result of insulin resistance in obese patients. This elevation constitutes a risk factor for vascular deterioration <sup>34</sup>. This would explain why IGF-1 was elevated in obese/DM patients in our present study.

ROS enhance Insulin signaling. Considering the substantial similarity between IGF-1 and Insulin signaling pathways; it is possible that ROS similarly enhance IGF-1 signaling  $^{39}$ . On the contrary, since  $O_3$  reduces ROS and insulin resistance, it is expected that  $O_3$  could reduce IGF-1 in DM /obese patients, as it was observed in our study. A metabolic/hypoglycemic effect of  $O_3$  was observed in DM/obese knee OA patients.

Since a reduction of food intake decreases signaling activity/bioability of Insulin or IGF-1 because they are orthologue compounds; it is expected that a reduction of insulin level by Ozone could also reduce non-physiological bases of IGF-1 $^7$ . This could explain how it was that  $O_3$  reduced IGF-1 in obese/DM or insulin resistant patients, as it was observed in our study.

There are several reports that state that Ozone acts on diabetic models and in diabetic patients. Saleh et al observed that  $O_3$  plus Insulin reduced fasting serum glucose and Hb1Ac after 4 weeks of treatment in diabetic rats. The antidiabetic effect of  $O_3$  seems to be associated with the antioxidant properties of  $O_3$  <sup>40</sup>. Erken has observed that  $O_3$  decreased blood glucose levels in diabetic rats. Ozone showed a hypoglycemic effect <sup>41</sup>.

Morsy et al have reported that in diabetic nephropathy-model in rats, Ozone was as effective as Insulin in producing hypoglycemia and reducing Hb1Ac. They state that O₃ and

Insulin reduce Hb1Ac because both exert independently a hypoglycemic effect. Besides the hypoglycemic effect, Ozone efficacy on DM is attributed to the induction of antioxidant enzyme activity and control of their expression. Therefore, O<sub>3</sub> and Insulin reduced Hb1Ac; they also reduced ROS production and improved antioxidant enzymes <sup>42</sup>.

Martinez-Sánchez has stated that  $O_3$  improved glycemic control in patients with diabetic foot. They state that  $O_3$  activates the antioxidant system, influencing in the level of glycaemia. At the end of  $O_3$  treatment, glucose level, which was high despite hypoglycemic drugs (because if Insulin resistance), decreased within the normal reference range. The antidiabetic effect of  $O_3$  seems to be associated to its antioxidant properties, which increase insulin sensitivity. Finally, in diabetic foot, the superiority of  $O_3$  compared to antibiotics is due not only to the  $O_3$  antimicrobial effect; but also to its capacity to reduce hyperglycemia. Ozone treatment by means of its oxidative preconditioning effect normalizes glucose levels <sup>43</sup>.

All previous papers have stated that  $O_3$  exert a hypoglycemic or metabolic effect on diabetic models and in diabetic patients. Since Insulin and IGF-1 are very similar compounds (orthologue compounds which differ only in 6 amino acids); it is expected that in DM/obese patients, the  $O_3$  effect will correlate with a decreased of IGF-1 in such patients; as it was observed in our study. On the contrary; excluding DM/obese patients, it is expected to observe an anabolic effect induced by  $O_3$  administration and to expect an elevation of IGF-1 in non-obese/non-DM patients. This effect was observed in our study in 51 patients.

As previously seen, besides the anti-inflammatory effect of  $O_3$ , Ozone also reduces MMPs which have a catabolic effect on articular cartilage. Ozone increases antioxidant enzymes (SOD, CAT, GPx, HO-1) and stimulates anti-inflammatory cytokines (IL-4, IL-10, IL-13) and secretes anabolic factors such as IGF-1 and TGF- $\beta$  <sup>3,5,16</sup>. In our study,  $O_3$  improved IGF-1 levels in 51 patients with knee OA.

IGF-1 and GH (growth hormone) are anabolic and anti-catabolic growth factors  $^{44}$ . IGF-1 promotes hypertrophy, regeneration, proliferation and differentiation of skeletal muscles. Ustebay et al have reported that in an experimental soft tissue injury model in rats,  $O_3$  improved IGF-1 levels. Moreover, the level of IGF-1 was correlated with motor function  $^{45}$ . No other study evaluated previously the effect of  $O_3$  on any soft tissue injury model and the relation with IGF-1  $^{45}$ .

Kizilkaya et al have stated that  $O_3$  had beneficial effect on Achilles tendon rupture healing in a rat model after histological and biochemical findings  $^{46}$ . Duman et al have stated that  $O_3$  improved bone regeneration in a femoral defect model in rats. The physiological effect attributed to Ozone Is that  $O_3$  improved blood circulation, differentiation, angiogenesis and finally fracture healing  $^{47}$ . Philippou has stated that IGF-1 is important in regeneration, hypertrophy, proliferation and differentiation of skeletal muscles. Therefore, IGF-1 is related to healing of connective and muscular tissue  $^{48}$ . These facts support the hypothesis to consider IGF-1 as an anabolic cytokine and since in our study,  $O_3$  improved levels of IGF-1 in knee OA patients, the anabolic effect of Ozone is elucidated.

Finally, Wang et al state that clinical benefit of  $O_3$  in lumbar interbody fusion using a channel system combined with ozone therapy for L3-L4 lumbar disc herniation is that  $O_3$ 

improves IL-10 and IGF-1. Ozone reduces inflammation (by IL-10 reduction) and improves healing (by IGF-1 improvement) <sup>49</sup>.

### **CONCLUSION**

Ozone is a valid option for the management of knee osteoarthritis because of its antiinflammatory, metabolic and anabolic properties. Ozone decreases the levels of proinflammatory IL-6 cytokine. Ozone has a paradoxical (metabolic) effect on obese/diabetic knee osteoarthritis patients by reducing IGF-1. Ozone has an anabolic effect on non-diabetic/nonobese patients by improving IGF-1. Ozone reduces other biomarkers of inflammation (CRP, ESR and uric acid) and improves, pain, function and quality of life.

**Author contributions:** Conceptualization; drafting; investigation, project administration, supervision, writing, review, editing and translation: MEFC. Biochemical Analysis, data-curation and validation: JRDC. Patients' treatment: MEFC, OSPM, MJAF. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research received no external/internal funding.

**Informed Consent Statement:** all patients signed informed consent for treatment and publication.

**Ethics approval:** This study was approved by Ethics Committee.

Acknowledgments: The authors want to gratefully thank Saturnino Diaz-Trujillo for bibliographic searching and specially thank to Matilde Alonso-Suárez, Isabel Canorea-Ruiz, Miguel Angel Carpio-Blandín, Angela Gallego-Pérez, Susana Guerrero-García, María Pilar Martínez-Torres, Clementina Orán-Almendro and Eva María Pizarro-Díaz for extraction, preservation, monitoring, processing and analysis of biochemical serum samples for IL-6 and IGF-1 evaluation.

**Conflicts of interest:** The authors declare no conflicts of interest.

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