Regional differences in control operations during the 2019–2021 desert locust upsurge

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Abstract: The desert locust remains a major threat to global food security. Control operations are a crucial tool to manage crisis; this research investigated the nature of control operations conducted between 2019–2021. Historical data on desert locust and control operations were obtained from the survey reports at the FAO Locust Hub and analysed with respect to survey reports, land cover types, cropland/rangeland extent and crop productivity data. We found that 16.1% of the grid cells with locust presence and 14.9% of the grid cells with control operations had a proportion of rangeland higher than 0.75; while 13.3% of the grid cells with locust presence and 13.2% of the grid cells with control operations had a proportion of croplands higher than 0.75, highlighting that locust presence and control operations were reported in both rangeland and cropland. Control operations continue to be used both to reduce overall locust numbers and to protect crops. Furthermore, through identifying which crops were most at risk, our analyses indicate that wheat production was under the highest strain during periods of increased locust infestations.

Keywords: desert locusts; control; crop loss; pastureland; land cover

1. Introduction

Desert locusts (*Schistocerca gregaria*) continue to pose one of the most severe threats to agricultural and rangeland crops, making their control a priority to food security in many regions [1]. Timely and precise early warning, and rapid response can be achieved through sustaining monitoring efforts [2], orienting surveys towards historical hotspots [3], increasing the areas accessed by field teams [4], integrating the roles of stakeholders [5], and by more efficient monitoring and control techniques [1]. The United Nations Food and Agriculture Organisation (FAO) coordinates preventative and reactive control strategies through locust surveys and control operations, and providing early warning [6–10]. Symmons [11] outlines three strategies in desert locust control: control during the upsurge for plague prevention, eliminating a plague through destroying all desert locusts, and allowing the plague to continue but protecting crops. The method of control applied and intensity of the control operation varies in accordance with the aim of the control operation and the stage in the desert locust outbreak in which it is applied.

Prior to 1965, plagues of desert locust were common in East and West Africa, the Middle East and parts of Pakistan and India [12]. The availability of effective pesticides, together with an integrated programme for surveillance, monitoring and control, developed and advocated by FAO, has prevented local outbreaks and more widespread upsurges from developing into plagues in many regions since 1965 [13,14]. Pesticide application using ultra low volume (ULV) spraying formulations, originally developed in the 1950s and 1960s, remains one of the most extensive control methods

[11,15]. Three types of sprayer platforms are utilised in a field, with each having specific control related characteristics: portable ULV sprayers (slow work rate and up to 10 ha target size), vehicle-mounted sprayers (medium work rate and 1-100 ha target size), and aircraft-mounted sprayers (fast work rate and over 25 ha target size) [16]. The dispersal of the pesticide into small droplets for ULV application ensures efficient use of the pesticide and effective dispersal on the wind. However, the requirement of wind dispersal for ULV spraying results in control operations being highly sensitive to environmental conditions; ULV spraying requires appropriate wind speeds (2m/s - 10m/s at a height of 2m), no forecast rainfall, and no strong convection [15]. Restrictions of resource and availability of aerial spraying means that handheld and especially vehicle-mounted spraying to control desert locust remain common. The importance of preventative control as a key strategy in desert locust management was highlighted by the development and recommendation of an international desert locust prevention by the FAO in the 1960s [17].

In May 2018, the tropical cyclones Sagar and Mekunu caused heavy rainfall and intense precipitation events in the Arabian peninsula, these provided suitable environmental conditions for breeding, multiplication, and gregarization of the desert locust [18,19]. In December, desert locust swarms spread to Yemen, Egypt, Eritrea, Sudan, and Iran, eventually crossing the Red Sea to East Africa [20]. From June 2018 to June 2020, desert locust swarms were observed in more than 22 countries [20].

The current research was designed to investigate the nature of control operations conducted during the 2019-2021 desert locust upsurge. The work is part of wider project, designed to evaluate the potential for epidemiological models, coupled with meteorological models for swarm dispersal, to optimise the deployment of control in order to minimise the impacts of desert locust on agricultural crops and rangeland. Here we describe an empirical analysis of control practices. Specifically, we investigate properties of control operations under the following aspects: survey reports, land cover types, cropland/rangeland presence, and crop productivity. The primary objective is to assess the extent to which control occurs on crop and pastureland (i.e. control aimed directly at desert locusts to minimise economic loss) compared with bare ground and shrubs (i.e. control aimed directly at desert locusts and indirectly at preventing economic loss and hardship). Our analysis indicates that control operations in East Africa, the Middle East and an Eastern Region (comprising, Iran, Pakistan, India and Nepal) are qualitatively different in terms of the type of control used for locust management and how desert locust infestations affected the livelihoods of the communities in these regions.

2. Materials and Methods

2.1. Data

Historical data on desert locust presence and control operations were obtained from survey reports and archives at the FAO Locust Hub website [21]. We have extracted the records dated between 1st January 2019 and 1st June 2021.

We used land cover classification from Copernicus global map of land cover at 100m resolution data (CLC100) [22,23]. Maps of an area fraction that represent the percentage of the pixel occupied by cropland and rangeland at 1km resolution were downloaded from EU Science Hub [24]. The global crop production statistics data for 2010 [25] and data in Sub-Saharan Africa for 2017 [26] were obtained from the International Food Policy Research Institute.

2.2. Analysis

We extracted Locust Hub reports for three regions: East Africa, the Middle East and the Eastern Region. Our classification for the Eastern Region is based on observations that during recessions and even during upsurges, DL circulate between Iran, Pakistan and India. Only in a few situations do locusts invade the Eastern Region countries from

outside, e.g. from Saudi Arabia to Iran, from Yemen/Somalia to India/Pakistan. This was also true during the current upsurge.

The country codes corresponding to the *COUNTRYID* column in the Locust Hub database are given in Table 1.

Table 1. Country codes for the regions as given in the *COUNTRYID* column in Locust Hub.

Region	Country ID
East Africa	ET (Ethiopia), KE (Kenya), SO (Somalia), ER (Eritrea), DJ (Djibouti), UG (Uganda) , TZ (Tanzania), SU (Sudan), OD (South Sudan), EG (Egypt)
Eastern Region	IN (India), PK& PA (Pakistan), IR (Iran), NP (Nepal)
Middle East	MU&OM (Oman), SA (Saudi Arabia), AE (United Arab Emirates) YM&YE (Yemen), IZ (Iraq), JO (Jordan)

For each control report we extracted the country where it was applied and the type of control used. To align control data with 'Swarm' and 'Band' datasets from [21], we searched for reports that were reported within a 1km radius and up to two days before the control operation. There remained a significant number of control reports within the criteria that did not correspond with the normal targets for control of 'Swarm' or 'Band' but with observations of the solitarious classifications, 'Adult' or 'Band'. It is likely that the observations of solitarious desert locusts may be inflated by misclassification of the remnants of former swarms and bands and by multiple reporting of the same insects, a common problem of crowd-sourced reporting of data. Accordingly control operations that were not matched to 'Swarms' and 'Bands' were assigned to a 'Not Assessed'('NA') category.

Land cover type corresponding to the reported location of the control operation was extracted from CLC100 [22].

To align control reports with crop and pastureland maps, we calculated the number of control reports within each 1km x 1km grid cell for cropland/rangeland analysis and 10km x 10km grid cell for crop production analysis. All analysis and map preparations were performed using R Statistical Software (version 4.1.0; R Foundation for Statistical Computing, Vienna, Austria).

3. Results

3.1. Temporal distribution of locust reports and control operations

The compiled dataset comprised more than 73,000 desert locust records with 42% reported in East Africa, 23% reported in the Middle East, and 34% reported in Eastern Region (Figure 1). Desert locusts can be either in solitarious (hoppers and adults) or gregarious (bands of hoppers and swarms) phases. In addition, remnant locusts from control operations against hopper bands and swarms were sometimes reported as solitarioius hoppers or adults individuals and groups. This is not unusual during upsurges. In terms of the types of desert locust classified within the reports, 20% were swarms, 14% were bands, 39% were solitarious adults and 27% were solitarious hoppers. There was a high degree of temporal heterogeneity in the numbers of reports. The highest weekly number of swarm reports (855) was reported in East Africa on the week commencing the 26th January 2021, the highest number of band reports (802) was also reported in East Africa on the week commencing the 9th June 2020.This coincides with the breeding periods.

The number of control operations performed in 2019-2021 reflects the enormous scale of the desert locust outbreak and deployment of resources to manage it (Figure 2(a)). Overall, more than 38,000 control operations were conducted with 28% reported in East Africa, 23% reported in the Middle East, and 47% reported in the Eastern Region. These consisted of 67% vehicle based control, 8% air based control, and 5% handheld
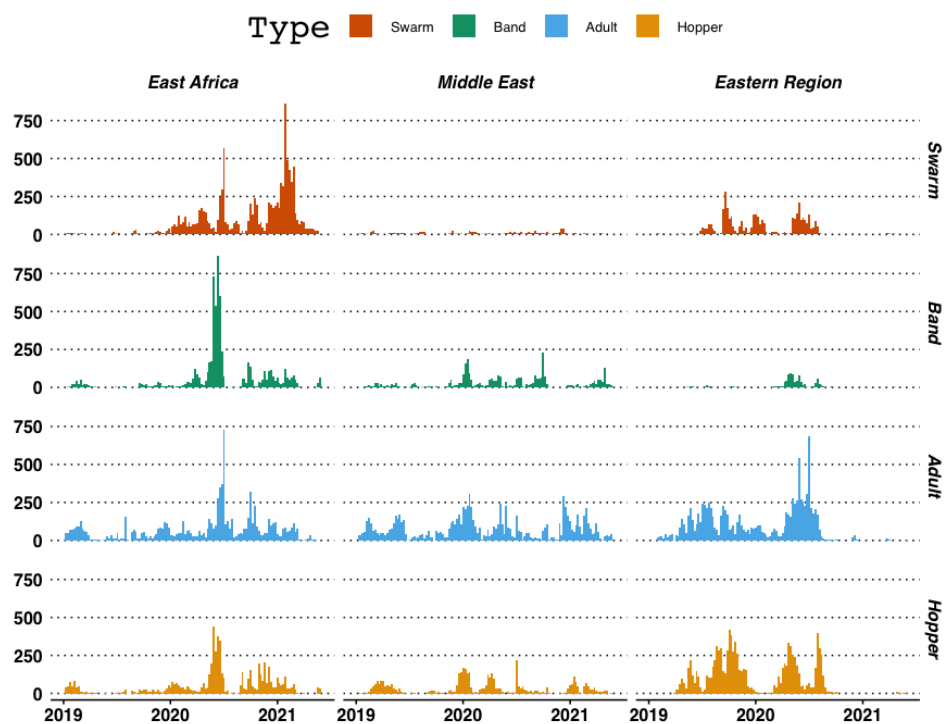
Figure 1. The weekly numbers of desert locust reports. Surveys are categorised as swarms, bands, adults, or hoppers. Data from [21] (LocustHub).

based control. About 20% of control reports were classified as "Unknown". This is likely to be control by teams using digital tools that report only basic information, e.g. control = Yes/No without indicating control method.

An increasing trend in the application of aerial control operations can be seen, with the peak reaching around 100 weekly aerial operations in November 2020 and February 2021. Most of the aerial control operations (86%) were conducted in East Africa. Further, within East Africa, 65% of aerial operations were conducted in Ethiopia, 5% in Kenya and 20% in Somalia. Overall, Kenya had the largest number of control reports (92%) classified as "Unknown".

The intensity of control operations differed amongst the regions (Figure 2(b)). In all regions, the peaks correspond to breeding periods and are a result of an increase in locust numbers. In East Africa, the peak of control operations was in July 2020 (around 500 weekly control operations), followed by an approximately constant number of control operations (around 100 per week) from October 2020 till April 2021. In the Eastern Region, there were two peak periods reaching around 600 weekly operations in September-November 2019 and 700 weekly operations in May-August 2020. The desert locust situation was brought under control in the Eastern Region in Iran, Pakistan, and India in September 2020 [27]. In the Middle East, the intensity of control operations varied, a few periods (June 2019, January 2020, and May 2020) reached 300 operations.

We found high correlations between the weekly number of desert locust reports and the weekly number of control operations: 0.94 in East Africa, 0.88 in the Middle East, and 0.98 in the Eastern Region (Figure 3). However, there were differences between the three regions when we calculated the correlation coefficient between the number of swarm reports and control operations: 0.62 in East Africa, -0.02 in the Middle East, and 0.74 in the Eastern Region.

(a)

(b)
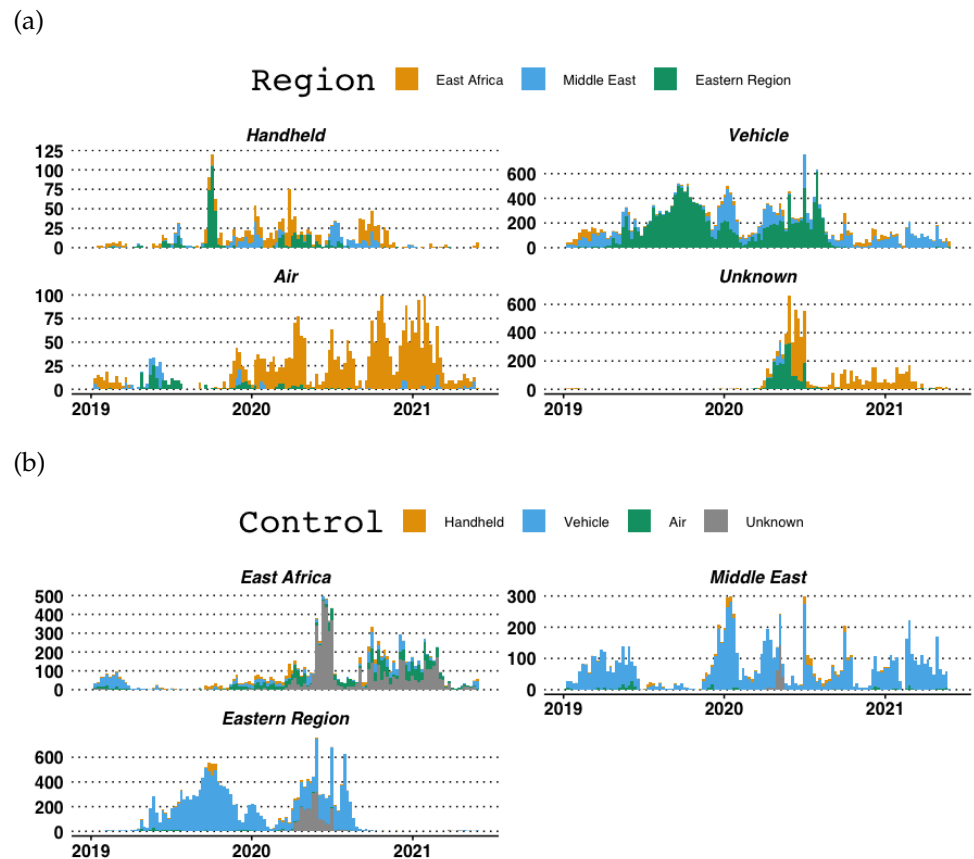
Figure 2. The weekly number of control operations. Control operations are categorised according to region: East Africa, Eastern Region, and the Middle East. Figures show stacked histograms. Data from [21] (Locust Hub).

3.2. Land cover characteristics

Figure 4 shows the frequency of land cover types for all regions and types of control. Bare/sparse vegetation, shrubs, cropland, and herbaceous vegetation accounted for 96% of pixels with control operations. Bare/sparse vegetation is classified as lands with exposed soil, sand, or rocks and lands which never has more than 10 % vegetated cover during any time of the year. Shrubs are classified as woody perennial plants with persistent and woody stems, or without any defined main stem, being less than 5m tall [22].

The majority of vehicle based operations in the Middle East were reported over bare/sparse vegetation (83%), this is to be expected given that most of the region is desert. In the Eastern Region, a similar proportion of vehicle based control operations were reported over bare/sparse vegetation, shrubs, and cultivated vegetation. In East Africa, 46% of aerial control were reported over land classified as shrubs, 20% over bare/sparse vegetation, and 14% over croplands. Overall, croplands (i.e cultivated and managed vegetation/agriculture) accounted for 19% of the locations of control operations.

We could match 39.4% of control operations to swarm or band reports. The proportion of control operations that were not matched to swarms or bands (classified as 'NA' in Figure 4), could be explained by matching the control operations to solitarious locust reports (i.e adult and hopper reports within a 1km radius and up to two days before the control operation). This could be caused by erroneous classification of reports (for example, reporting remnant gregarious locusts from control operations as adults), as the standard operational procedure is that no sites with solitarious locust presence are subjected to control operations.
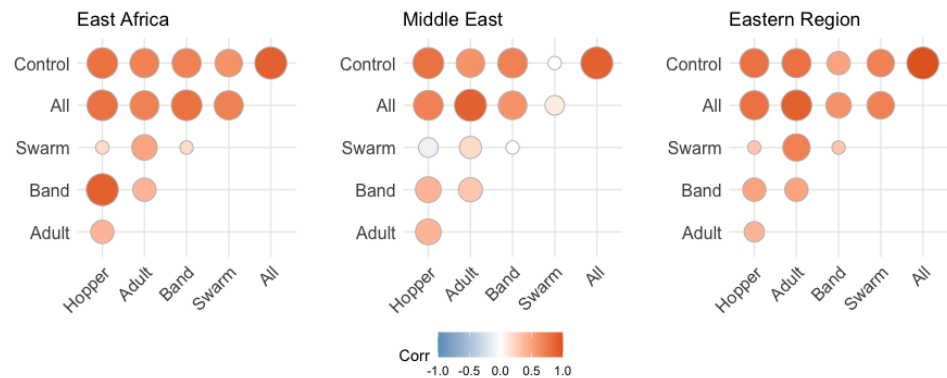
Figure 3. Correlation matrices between the weekly number of control operations and desert locust reports. Colour intensity and the size of the circle are proportional to the correlation coefficients. Data from FAO (Locust Hub).

In East Africa, swarm reports preceded 35% of aerial operations conducted over bare/sparse vegetation, 65% of aerial operations conducted over shrubland, and 58% of aerial operations conducted over cropland.

3.3. Damage to crop and pasture areas

We mapped the locations of control operations and desert locust reports against two of the factors determining livelihood: the proportion of grid cell area used as cropland and the proportion of grid cell area used as rangeland. We found that 13.3% of the grid cells with desert locust presence and 13.2% with control operations had a proportion of croplands higher than 0.75 (Figure 5 (a)). Similarly, 16.1% of the grid cells with desert locust presence and 14.9% with control operations had a proportion of rangeland higher than 0.75 (Figure 5 (a)).

To identify which crops are at the highest risk due to the desert locust outbreak, we used the data from the 2010 Spatial Production Allocation Model (mapSPAM) [25] to estimate crop production for the pixels with control operations (Figure 5 (b)). In East Africa, sugarcane (854kt), other root crops (601kt), maize (588kt), sorghum (473kt), and wheat (306kt) were the five most vulnerable crops in terms of frequency of reported swarms and bands. In the Middle East, the top two crops potentially affected by desert locust were vegetables (1,720kt) and wheat (666kt). In the Eastern Region, Wheat (4,360kt) and sugarcane (3,923kt) were the two most infested crops. Overall, wheat production would be under the highest strain (5,151kt) due to desert locust infestations.

We used mapSPAM data for Sub-Saharan Africa (v2 2017) [26] to investigate crops that were at risk from desert locust presence in East Africa at a national level (Figure 5 (c)). In Ethiopia, the top five crops affected were other roots (451kt), sorghum (437kt), maize (418kt), wheat (354kt), and other cereals (339kt). In Kenya, the largest effect was on maize (267kt), vegetables (186kt), and potato crops (143kt). And in Somalia, the most affected crops were sorghum (8kt), maize (8kt), and groundnut (5kt). Overall, in the three countries, maize (694kt), sorghum (454kt), and other roots (453kt) were the top three crops at the highest risk.

4. Discussion

Desert locust surveys and control operations are two management actions that have direct influence on desert locust dynamics [14]. More than 73,000 desert locust records and more than 38,000 control operations have been reported during the 2019-2021 desert locust outbreak. We found high correlation (0.92-0.98) between the weekly number of desert locust reports and the weekly number of control operations.

Desert locust behaviour and dispersal patterns are complex processes that depend on environmental factors such as temperature [28], precipitation [19,29], the condition
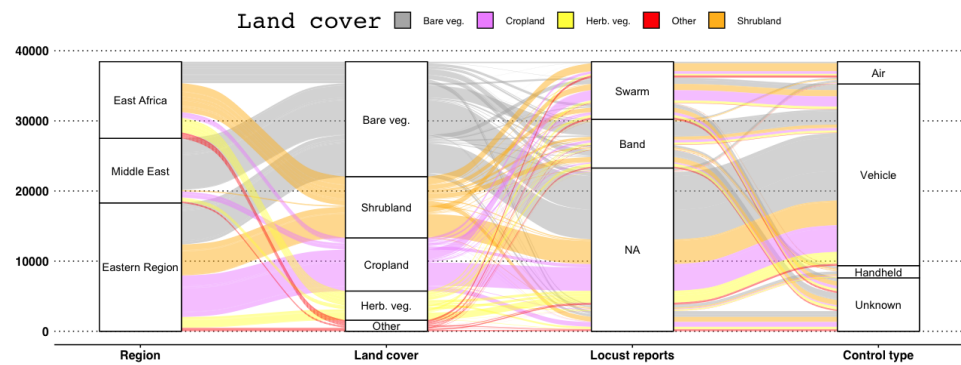
Figure 4. Relationship between control type, locust reports and land cover in the three regions. Y axis corresponds to the number of reported control operations. The most frequent land cover types are given by bare/sparse vegetation (Bare veg.), cropland, herbaceous vegetation (Herb. veg.), and shrubland. Locust reports refer to the gregarious categories 'Swarm' and 'Band' that were matched to reports of control (i.e. reports within a 1km radius and up to two days before the control operation in the Locust Hub dataset [21] . The 'NA' ('Not Assessed') category refers to control operations that could not be matched to the gregarious classes.

of vegetation [30–32], soil moisture [33,34], soil composition [33], and the wind [28]. These factors can create either stimulatory or inhibitory conditions for gregarisation, desert locust migration, and breeding. For example, low-level wind-field convergence and concentration within the preferred habitat of desert locusts can affect the rate of gregarisation [35,36], whilst sandy soil is required for female desert locusts to breed [33]. Our analysis shows that the majority of control operations (79% in the Middle East, 28% in East Africa, and 24% in the Eastern Region) were conducted over bare/sparse vegetation; this land type has high potential for the sufficient sand and clay content required for breeding. It is also the typical habitat of DL, which differentiates them from typical pests found in crops.

Despite improvements in both preventative control techniques and international collaboration in desert locust prevention, the desert locust remains a major threat to sustainable agriculture and rural livelihoods [6]. Desert locust infestations can aggravate food shortage situations [37]. We found that 13.3% of the grid cells with desert locust presence and 13.2% of the grid cells with control operations had a proportion of croplands higher than 0.75. Our analyses indicate that maize, sorghum, and other roots were the top three crops at the highest risk in Ethiopia, Kenya, and Somalia. Overall, our analysis of locust hub data indicate that wheat production is under the highest strain due to desert locust invasions in the 2019-21 upsurge. This is in agreement with the estimate of a 12% decline in productivity of wheat due to the current desert locust outbreak in India [38].

Rangeland damage by desert locusts is also a threat to rural livelihoods. Rangelands provide local rural communities with natural resources for livestock production as grazing areas, as well as contributing to their livelihoods with fuel wood, poles, medicinal plants, and wild foods. For example, for the most recent data available in 2019, Somalia was the largest producer of camels in East Africa, with the total number of camels recorded 7,243,792 [39], and a mean increase in camel population of 1.77%/year [40]. Rangelands also deliver important ecosystem services such as maintaining biodiversity and storing large amounts of carbon [41]. We found that 16.1% of the grid cells with desert locust presence and 14.9% of the grid cells with control operations had a proportion of rangeland higher than 0.75. Therefore, our analysis confirms that control operations continue to be used both in the reduction of desert locust populations and protection of crops to limit damage. Further work is required, however, to assess the cost effectiveness of surveillance and deployment of chemical control in reducing the

increase and spread of locust populations during an upsurge, and also in managing agricultural and rangeland losses. It is possible that optimal deployment strategies of where to survey and where to apply chemical control may differ for minimising crop and other losses, compared with minimising insect dispersal. Moreover, the relative cost-effectiveness and availability of aerial, vehicle-based and hand-held control needs to be assessed as does the environmental and human health impacts of different pesticide options relative to the obvious needs for food security.

Pest outbreaks can strongly affect local economies with invasive insects costing an estimated minimum of US$70billion per year globally [42]. Epidemiological modelling has been regularly used as a tool to evaluate different strategies for crop protection from pests and diseases [43]. Typically, model development requires the partition of spatial data into layers such as 'host/habitat layer', 'environment layer', 'pest layer', and 'control layer' [44]. A spatially explicit model of pest entry and dispersal can be combined with these heterogeneous layers to identify the outcome of alternative control strategies. Furthermore, control strategies can incorporate time-varying economic constraints [45] or human and social dimensions [46]. We anticipate that the present study will help to develop a novel epidemiological modelling framework incorporating optimal control theory for deployment of scarce resources [47,48] to inform the surveillance and management of desert locust infestations.

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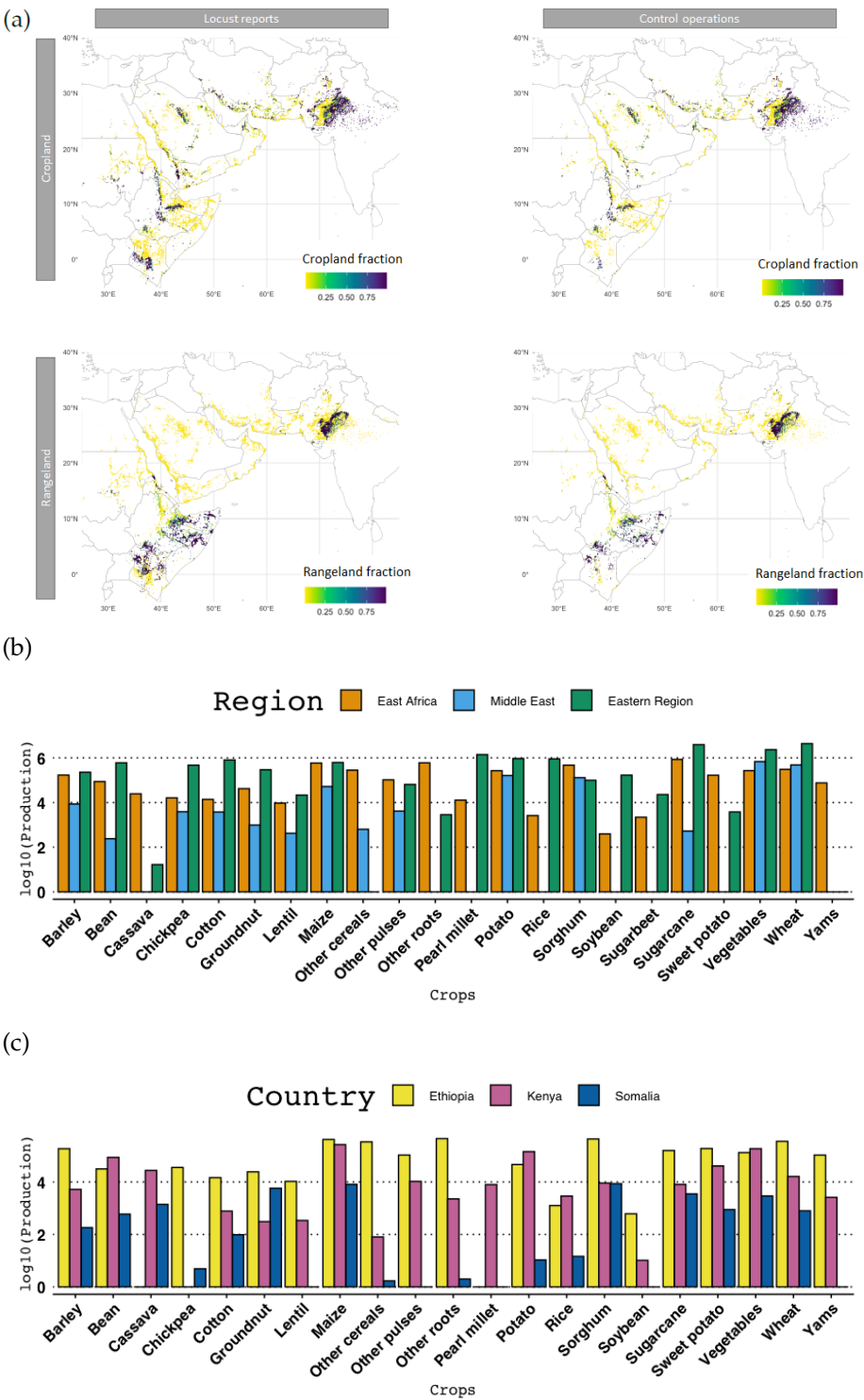
Figure 5. Cropland, rangeland and crop productivity under the desert locust outbreak. (a) The fraction of land used as cropland and rangeland for pixels with locust reports and control operations. (b) Crop production aggregated in the three regions for pixels with control operations. (c) Crop production in Ethiopia, Kenya, and Somalia aggregated for pixels with control operations. It should be noted that timing of locust infestations with crop production and pasture availability are not reflected in the above maps.

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