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# N1-methyladenosine (m¹A) regulator-mediated methylation modification modes and tumor microenvironment infiltration characteristics in head and neck squamous cell carcinomas

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Abstract: Background: Recent researches have investigated the biological importance of RNA N1-methyladenosine (m¹A) modifications in oncogenesis and progression of head and neck squamous cell carcinoma (HNSCC). However, whether m¹A modifications also have latent effect in tumor microenvironment (TME) generation and immune regulation in HNSCC is unknown. Methods: We evaluated the m¹A modification patterns and related to these modification patterns with TME cell infiltration features in 1041 HNSCC samples by bioinformatics approach. Results: The m¹A score is an independent prognostic indicator. HNSCC patients with low m¹A score group with poor overall survival (OS) was mainly characterized by stroma activation, lack of sufficient immune infiltration, and exhibited an immune- desert TME phenotype. Low m¹A scores were also correlated with increased tumor mutation burden (TMB), and HNSCC patients with high TMB and low m¹A scores had the worst OS. In addition, anti-CTLA-4 combined with anti-PD1 treatment was more effective in the high m¹A score subgroup than in the low m¹A score subgroup. Conclusions: This study revealed that m¹A modifications play a non-negligible role in developing the TME versatility and complexity of HNSCC. Assessing m¹A modification patterns in HNSCC helps improve our comprehension of its TME infiltration profile and guides more effective immunotherapeutic approaches.

**Keywords:** m¹A; Tumor microenvironment; PD-1/PD-L1; CTLA-4; Tumor Mutation Burden; Bioinformatics Analysis

#### 1. Introduction

Head and neck squamous cell carcinomas (HNSCC), develop from the mucosal epithelium of larynx, pharynx, and oral cavity, are the most ordinary malignancies of the head and neck [1]. HNSCC is the sixth most ordinary cancer worldwide, with a high mortality and morbidity rate, nearly 890,000 new cases, and 450,000 deaths in 2018[2,3]. According to the Global Cancer Observatory estimate (IARC https://gco.iarc.fr/today/home): The incidence of HNSCC was sustainable rising and is expected to enhance by 30% (or 1.08 million new cases per year) in 2030 [2,4]. These risk factors include exposure to environmental pollutants, smoking, alcohol consumption, and infection with viruses, namely EBV and HPV. HNSCC is featured through genetic instability and frequent gain or loss of chromosomal regions [5]. HNSCC is mainly treated with surgical resection, followed by adjuvant radiation or chemotherapy combinations of radiation (known as chemoradiotherapy (CRT) or chemoradiation) according to cancer stage. Survival rate of HNSCC has enhanced over the past thirty years. The enhanced survival rate is partly ascribed to the appearance of HPV-related HNSCC, a population with an improved prognosis rather than an improvement in multimodal therapy. However, some types of cancer have



stagnant survival rates, such as laryngeal cancer [1]. Thus, there is an urgent need to investigate the molecular mechanism of HNSCC and determine new approaches to treat and intervene in HNSCC patients.

Epigenetics plays an essential role in the progression of cancer. It is mainly involved in DNA methylation, non-coding RNA, nucleosome remodeling and histone modifications, etc[6]. Many RNA modifications have been recognized in diverse RNAs. N1-methyladenosine (m¹A) was identified in RNA isolated from rat liver in the early 1960s[7] and later it was discovered to be present in tRNA[8], rRNA[9], and mRNA[10]. Until a few years ago, the function of m¹A in eukaryotic rRNAs was virtually untouched. Nevertheless, its diverse biological functions have only recently begun to be developed. In human, the modification of m¹A at position 58 of tRNA molecule is a dynamic and varies process that is written through the TRMT6/TRMT61 heterodimer ("writers"), and demethylated through ALKBH1 ("erasers") [11]. Several previous studies have indicated that aberrant expression and genetic modifications of the m¹A regulator are related to disruptions in a variety of biological processes, including malignant progression of cancer and abnormalities in immune regulation [12-14]. A more profound comprehension of the genetic variants and expression disorders behind tumor heterogeneity will further recognize therapeutic targets based on m¹A RNA methylation.

In recent years, with the enhancing comprehension of the distinct and complexity of the tumor microenvironment (TME), the vital role of immune cell subsets in cancer genesis and metastasis has been gradually recognized [15,16]. The tumor component consists of TME, including stromal cells and cancer cells, like resident fibroblasts and macrophages, infiltrating immune cells, bone marrow-derived cells, secreted factors, etc. [17]. Immunotherapies represented as immune checkpoint inhibitors (ICIs), for example, programmed cell death-1/ ligand-1(PD-1/L1) and cytotoxic T-lymphocyte-related antigen-4 (CTLA-4), have durable and practical clinical efficacy in some cancer patients. Unfortunately, most cancer patients have little or no clinical benefit and fall far short of clinical need [18-20]. However, ICIs, such as anti-PD-1/L1, have transformed the treatment of HNSCC. FDA granted the first immunotherapy approvals - for the anti-PD-1 ICIs pembrolizumab and nivolumab - for the treatment of recurrent HNSCC in 2016, based on results of KEYNOTE-012 [21] and for first-line treatment of metastatic or inoperable HNSCC in 2019, according to the results of KEYNOTE-048[22,23], which changed the face of HNSCC treatment and clinical trial opportunities. Estimating immune infiltration based on TME signatures is critical to evaluate the response to current ICIs and explore new immunotherapeutic approaches [24]. Therefore, identifying different tumor immune phenotypes through a comprehensive assessment of the heterogeneity and complexity of the TME environment could significantly improve the ability to guide and predict immunotherapeutic responses. In addition, several biomarkers may be identified that will prove to be highly effective in identifying patient responses to immunotherapy and will contribute to identify new therapeutic targets [25].

Recent researches have elucidated the interaction between m¹A modification and TME immune cell infiltration, but RNA degradation mechanisms cannot fully interpret it. The deletion of YTHDF1 in typical dendritic cells increases the cross-presentation of tumor antigens and cross-stimulation of CD8+ T cells in vivo. Inhibition of YTHDF1 also improves the therapeutic effect of anti-PD-L1 receptor blockers [26]. However, because of technical constraints, the above researches are indeed limited to one or two m¹A modulators and cell types, whereas the antitumor action is characterized by some anti-cancer inhibitors interacting in a highly synergistic manner. Consequently, an in-depth understanding of the regulation of TME cell infiltration properties by multiple m¹A modulators can contribute to a better comprehension of TME immune regulation.

This present research comprehensively evaluates the relationship between m<sup>1</sup>A modification modality and TME cell infiltration traits via combining transcriptional and genomic data of 1041 HNSCC cases from The Cancer Genome Atlas (TCGA) and Gene Expression Omnibus (GEO) databases. We constructed two diverse m<sup>1</sup>A modification patterns. Surprisingly, TME characteristics were very similar to the formerly reported

immune phenotypes: immune excluded and immune inflammation, respectively.[27]. Furthermore, we developed a scoring system to quantify the pattern of m¹A modifications in individual patients and forecast patients' clinical response to ICI therapy. This finding suggests that m¹A modifications play a significant role in forming a personalized TME profile for HNSCC and guiding therapeutic intervention strategies. The workflow of our study was manifested in Figure 1.

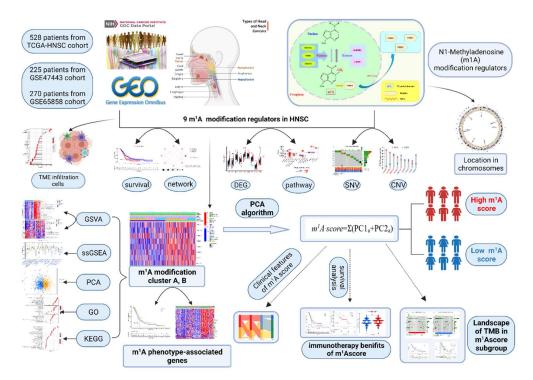


Figure 1. A flow chart of the study design and analysis.

#### 2. Materials and Methods

# 2.1. Data sources and screening process

The mRNA expression levels, somatic mutation data, and clinical information (including survival status, overall survival time, age, gender, grade, stage, TNM stage, smoking status, alcohol consumption, and Human papillomavirus (HPV) infection status) of HNSCCC were retrospectively obtained from the TCGA (https://www.cancer.gov/aboutnci/organization/ccg/research/structuralgenomics/tcga) database and NCBI-GEO database (https://www.ncbi.nlm.nih.gov/geo/). The detailed screening process of TCGA-HNSCC is as follows: First, we access the TCGA Data-Genomic Data Commons Data Portal (GDC, Data Release 29.0 - March 31, 2021, https://portal.gdc.cancer.gov/). Second, we performed the following procedures: (1) Repository- Cases: [Project]: TCGA-HNSCC; [Disease Type]: squamous cell neoplasms. (2) Repository-Files: select procedure of mRNA expression: [Data Category]: Transcriptome Profiling; [Data Category]: Gene Expression Quantification; [Experimental Strategy]: RNA-Seq; [Workflow Type]: HTSeq - FPKM. (3) filter criteria of somatic mutation: [Data Category]: simple nucleotide variation; [Data Type]: Masked Somatic Mutation; [Workflow Type]: VarScan2 Variant Aggregation and Masking. (4) filter criteria of clinical data: [Data Category]: Clinical; [Data Format] bcr xml. We obtained 546 HTSeq - FPKM gene expression quantification data. Then we used the R-package "limma" [28,29] to convert the FPKM values of mRNA expression into transcripts per kilobase million (TPM) values. We obtained microarray data from the GEO database for the HNSCC cohort with complete clinical-pathological characteristics and survival information. The GSE47443 and GSE65858 cohorts meet our inclusion criteria. GSE47443 cohort included 225 HNSCCC tumor samples (https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE47443) [30]. GSE65858 cohort included 270 HNSCC tumor samples (https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE65858) [31]. We download the series matrix file(s) and platforms files (GPL10150 for the GSE47443 cohort and GPL10558 for the GSE65858 cohort), then obtain the gene expression and clinical information using a Perl script. We used the R-package "limma" to merge the gene expression data of TCGA-HNSCC, GSE47443, and GSE65858 cohorts, then rectify the batch impact from non-biological technical biases by using the "ComBat" algorithm of the R-package "sva" [32]. The baseline information of TCGA-HNSCC, GSE47443, and GSE65858 cohorts was compiled in Table S1. The copy number variation (CNV) of TCGA-HNSCC was downloaded from the UCSC Xena database (http://xena.ucsc.edu/) [33].

## 2.2. Comprehensive analysis of nine m<sup>1</sup>A regulators

The R package "maftools" is applied to analyze and visualize Mutation Annotation Format (MAF) files of somatic mutation data. We obtained the CNV frequencies of the nine m<sup>1</sup>A regulators in each HNSC patient from the CNV file of HNSC by a perl script. The R package "Rcircos" was used to visualize the location of nine m<sup>1</sup>A regulators in huchromosomes. Besides, used **GSCALite** (http://biowe info.life.hust.edu.cn/web/GSCALite/) a web-based analysis platform for gene set cancer analysis [34], to explore the m<sup>1</sup>A regulators involved in the regulation cancer-associated pathways (activation and inhibition) in the HNSCC. Screening of m<sup>1</sup>A regulators, significantly associated with prognosis of HNSCC patients, using univariate Cox regression analysis (P < 0.05). We used Kaplan-Meier (KM) analysis and log-ranking test to investigate the association of m1A regulator expression with overall survival (OS) in HNSCC patients (P<0.05). OS is the time between the original diagnosis and the date of death (for any reason) [35]. Survival time and survival status information was obtained from the clinical data of the above samples. The median expression level of each m<sup>1</sup>A regulator gene was selected as the cut-off value, and HNSCC patients were divided into high-risk subgroups and low-risk subgroups. The R-packages "limma" were used to identify prognosis-related m1A regulators and drew the survival curve according to differential expression of m<sup>1</sup>A regulators by R package "survminer" and "survival" (P<0.05). Correlation analysis between expression of prognosis-related m<sup>1</sup>A regulators and infiltration levels of 24 immune cells [36] was performed using single-sample gene-set enrichment analysis (ssGSEA), which is gene set variation analysis (GSVA) package built-in algorithm [37]. In addition, we applied the R package "RColorBrewer", "psych", "igraph", and "reshape2" to build a prognostic network plot of prognosis-associated m<sup>1</sup>A regulators.

#### 2.2. Consistent clustering of nine m<sup>1</sup>A RNA methylation regulators

Based on previously published literature associated with m¹A methylation modification, we gathered and analyzed nine known m¹A-regulated genes to identify different m¹A methylation modification patterns [14]. Eight regulators were derived from merged GSE47443, GSE65858, and TCGA-HNSCC datasets for confirming distinct m¹A modification modes mediated through m¹A regulators. The nine m¹A regulators, showed in Figure2A, included three writers (TRMT6, TRMT61A, TRMT10C), four readers (YTHDC1, YTHDF1, YTHDF2, YTHDF3), and two erasers (ALKBH1, ALKBH3). We performed consensus clustering according to the expression of eight m¹A RNA methylation regulators by the R-package "ConsensusClusterPlus" (50 repetitions, Pearson correlation resample rate is 0.8, cluster algorithm= PAM (Partitioning Around Medoids) to investigate diverse m¹A modification patterns. The optimal number of clusters is selected on the basis of the area under the cumulative distribution function (CDF) curve, the correlation of the clusters, and the correlated change in the number of samples in the clusters [38].

# 2.3. Functional enrichment analysis by GSVA

We performed GSVA to explore the different biological processes in Gene Ontology (GO) annotation and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathways between different m¹Aclusters by the R package "GSVA" [37]. The gene sets of "c5.go.bp.v7.4.symbols.gmt" and "c2.cp.kegg.v7.4.symbols.gmt" were downloaded from Molecular Signatures Database v7.4 [39-41] (http://www.gseamsigdb.org/gsea/msigdb/index.jsp). The R packages "limma", "pheatmap", and "GSEA-Base" were utilized to merge data, perform differential analysis, clustering, and visual analysis results.

## 2.4. Estimation of immune cell infiltration by ssGSEA

The ssGSEA algorithm was utilized to measure the relevant abundance of each cell infiltration in the TME of HNSCC. Genomic markers for each TME-infiltrating immune cell types were obtained from previous researches [42-45]. The corresponding number of each immune cell type is represented by the enrichment fraction in the ssGSEA analysis, which is normalized to the distribution of 0-1 units. Table S2 shows the detailed genes for each TME infiltrating cell type.

# 2.5. Identification of differentially expressed genes (DEGs) between Function enrichment analysis and different m¹A modification phenotypes

The previous consistent clustering algorithm has divided patients into two different m¹A modification phenotypes, and then we identified the DEGs associated with m¹A modifications under distinct m¹A patterns. We used the empirical Bayesian method based on the R package "limma" to evaluate DEGs between distinct modification clusters in HNSCC cases (adjust. P-value < 0.05). We used the R package "VennDiagram" drawing the Venn diagram to indicate the number of DEGs among distinct m¹A subtypes and determined the intersection DEGs. We performed Go functional annotation and KEGG pathway analysis based on the R packages "DOSE", "ggplot2", "clusterProfiler", "stringi", "enrichplot", and "org.Hs.eg.db" to explore the biological processes involved in the regulation of intersection DEGs. GO analysis included Biological Processes (BP), Cellular Components (CC), and Molecular Functions (MF), adjust. P-value < 0.05 was used as the cut-off.

## 2.6. Construction of m<sup>1</sup>A gene signature scoring system

The prognosis was analyzed using an unsupervised clustering method, and HNSCC patients were classified into two groups for further analysis. A consistent clustering algorithm is used to identify the number and stability of gene clusters. Subsequently, principal component analysis (PCA) was undertaken to recognize m1A-related gene features, and principal components 1 and 2 were selected as feature scores. We then used a formula similar to the one used in the previous study to ascertain the m1A score. [14,17,46,47]:

 $m^1A$  score= $\Sigma$ (PC1a+PC2a)

a stand for the expression of the final identified m1A phenotype-related genes.

## 2.7. The association between m¹A score and tumor mutational burden (TMB)

The correlation analysis between m¹A scores and TMB was mainly performed by the R packages "ggpubr" and "reshape2". Mutation characteristics of m¹A-modified genes in high and low m¹A scoring subgroups described by the waterfall function of the R package "maftools" [48]. Survival curves were plotted by applying the R package "survival" and "survminer" according to the high and low TMB status and m¹A score.

## 2.8. Survival analysis and correlation analysis of m¹A score and other elements

The median m¹A score was selected as the cut-off value and divided the HNSCC cohort into high and low- m¹A score subgroups. R-packages "survminer" and "survival" were applied to plot the survival curve based on the high and low-m¹A scores. We used R package "ggalluvial", "dplyr", and "ggplot2" to analyze and visualize the association

among m¹Aclusters, gene clusters, m¹A score, and clinical pathological characteristics (including age, survival status, gender, stage, and TNM-stage). Then, the R package "corrplot" is utilized to perform the correlation analysis between the immune cell infiltration and the m¹A score. To further observe whether there were differences in m¹A scores between m¹Acluster subtypes and gene clusters, we performed a differential analysis of m1A scores. The R package "ggpubr" and "limma" were applied to analyze and visualize the results. In addition, the R package "ggplot2", "ggalluvial", and "dplyr" were applied for analyzing and visualizing the relationship between m¹A score and clinicopathological features (including gender, stage, HPV infection status, survival status, alcohol status, radiation therapy status, and smoking status).

## 2.9. Correlation analysis of m<sup>1</sup>A score and immune checkpoint gene expression

We select immune checkpoints, including PDCD1(PD-1), CTLA-4, CD274 (PD-L1), LAG3, TIGIT, and TIM-3, for further analysis [49-51]. The R package "limma" and "ggpubr" were applied to identify the relationship between m¹A score and immune checkpoint gene expression. We performed an immunotherapy correlation analysis further to explore the association between m¹A score and immunotherapy. The immunotherapy score for HNSCC was obtained from The Cancer Immunome Database (TCIA, https://tcia.at/home) [52,53]. Then, we utilized the R package "ggpubr" to analyze and visualize the results through the violin map. To further explore the relationship between m¹A score in immunotherapy, we further performed the survival analysis between different m¹A score groups in GSE78220 cohort, a metastatic melanoma cohort treated with anti-PD-1 checkpoint inhibition therapy (pembrolizumab).

#### 2.10. Statistical analysis

The statistical analyses in this research were developed via Rx64-4.0.3. For quantitative data, the statistical significance of normally distributed variables is accessed through Student's t-test. They were estimated through Student's t-test, while non-normally distributed variables are estimated via Wilcoxon rank-sum test. For comparison of more than two groups, the Kruskal-Wallis test and one-way analysis of Variance (ANOVA) are used as nonparametric and parametric methods. The R package "maftools" was applied to analyze and visualize Mutation Annotation Format (MAF) files of somatic mutation data [48]. All statistical P values are two-sided, with p < 0.05 as statistically significant.

#### 3. Results

## 3.1. The landscape of genetic variation of m<sup>1</sup>A regulators in HNSCC

In the present research, we explored the roles of nine m<sup>1</sup>A RNA methylation regulators in HNSCC. These m<sup>1</sup>A regulators act as dynamic reversible processes that identify, remove and add m1A modification sites and change critical biological processes (Figure2A). We identified the prevailing rate of somatic mutations in nine m<sup>1</sup>A regulators in HNSCC. Among the 506 samples, 22 (4.35%) samples undergo genetic mutations of m<sup>1</sup>A modulators, including missense mutation, splice site, nonsense mutation, frame shift insert, and multi-hit. YTHDF3 indicated the highest mutation frequency, followed closely by YTHDF1, ALKBH1, ALKBH3, and YTHDF3, while other m1A RNA methylation regulatory genes do not demonstrate any mutations in HNSCC cases (Figure 2B). Further analysis of the nine m<sup>1</sup>A regulators revealed the general existence of CNV mutations. YTHDC1, ALKBH3, TRMT61A, ALKBH1, TRMT6, and YTHDF1were mainly focused on the amplification in copy number. On the contrary, TRMT10C, YTHDF3, and YTHDF2 had an overall frequency of CNV loss (Figure 2C). CNV alteration site of m¹A regulators on 23 chromosomes was showed in Figure 2D. The correlation analysis between nine m<sup>1</sup>A regulators and cancer-related pathway indicated that YTHDF3 and YTHDF2 were mainly participated in the active regulation of RTK and TSC/mTOR pathway, respectively. YTHDF1, YTHDC1, TRMT61A, TRMT6, TRMT10C, and ALKBH1 were mainly participated in the regulation of cell cycle pathway activity (Figure 2E). The interaction map of m¹A regulators and pathway showed in Figure2F. Further analysis demonstrated that m¹A regulator expression with CNV amplification has a higher proportion of active cell cycle pathway, such as YTHDF1, YTHDC1, TRMT61A, TRMT6, and ALKBH1. While CNV-loss m¹A regulators were significantly associated with the active RTK and TSC/mTOR pathway, such as YTHDF3 and YTHDF2 (Figure2E, 2F). These results demonstrated that the alterations of CNV might be a prominent factor contributing to the disruption of m¹A regulators' expression. Interestingly, the expression of most of the m¹A regulators in HNSCC cancer tissues was significantly higher than that in the corresponding normal tissues in both unpaired and paired analyses (Figure 3A, B). The above results indicate that there are significant differences and associations between normal and HNSCC tissues at the genomic and m¹A regulatory gene transcription levels. Therefore, it can be concluded that alternation in the expression and genetic variation of m¹A regulators might play an essential role in regulating the progression of HNSCC.

#### 3.2. Survival analysis of m<sup>1</sup>A regulators in HNSCC and immune cell correlation analysis

Univariate cox analysis indicated that only ALKBH1is the significantly high-risk prognosis-associated m<sup>1</sup>A regulator (Hazard ratio (HR) >1, P<0.05). Four m<sup>1</sup>A regulators are significantly associated with the OS of HNSCC in the KM survival analysis. Among these m1A regulators, only ALKBH1 are significant high-risk m1A regulators for both univariate cox and KM survival analysis (all P<0.05, HR >1). The detailed information is shown in Table 1. The high-risk m<sup>1</sup>A regulators, including ALKBH1, ALKBH3, TRMT6, and YTHDF2 had a detrimental effect on OS for HNSCC patients, where patients with higher expression indicated a worse survival rate than those with low expression level (all P<0.05, Figure 3C-F). Besides, we used the Spearman method based on the ssGSEA algorithm to identify the correlation between the immune cell infiltration level and the above four m<sup>1</sup>A regulators with prognostic significance. We found that ALKBH1 expression is significant positive correlation with the infiltration level of Th2 cells and T helper cells; negative correlation with DC, iDC, Mast cells, Neutrophils, pDC, Treg, NK CD56dim cells, Cytotoxic cells, Th17 cells, TFH, NK cells, T cells, Eosinophils, and Macrophages (P <0.05, Figure 3G). ALKBH3 expression is significant negative correlation with DC, Eosinophils, iDC, Macrophages, Mast cells, Neutrophils, Tcm, TFH, Treg, Th1 cells, Tem, NK cells, T cells, T helper cells, and B cells (P < 0.05, Figure 3H). TRMT6 expression is significant positive correlation with the infiltration level of Th2 cells; negative correlation with Cytotoxic cells, DC, iDC, Neutrophils, NK CD56dim cells, pDC, T cells, TFH, Th17 cells, Treg, Th1 cells, Macrophages, NK cells, aDC, Mast cells, and Tem (P<0.05, Figure 3I). YTHDF2 expression is significant positive correlation with the infiltration level of T helper cells, Th2 cells, Tcm, aDC, and Th17 cells; negative correlation with iDC, Macrophages, pDC, DC, Neutrophils, and Mast cells (P<0.05, Figure 3J). The detailed immune cell correlation analysis results listed in Table S3-S6.

## 3.3. Consistent clustering of eight m¹A RNA methylation regulators

The m¹A regulatory network demonstrated the interaction of eight m¹A regulators, the connections between the regulators, and their prognostic significance in HNSCC patients. Except for YTHDC1 has a negative correlation with ALKBH3 and TRMT61A. The other m¹A regulators were positively correlated with each other (Figure 4A). The above results suggest that the linkage of these interactions among the regulators of erasers, readers, and writers might play essential roles in the composition of multiple m¹A modification modes and are associate with cancer occurrence and progression. Based on this assumption, we divided the samples into different m¹A modification patterns according to the expression of the eight m¹A regulators using consensus clustering analysis. Therefore, we identified two different clusters of modification patterns based on merge the GSE47443 and GSE65858 cohorts, including 405 patients in m¹A cluster A and 364 patients in cluster B. m¹Acluster-A demonstrated remarkable survival advantage. In contrast, m¹Acluster-B had the worst OS (P < 0.05, Figure 4B, FigureS1). We also observed an extraordinary

distinction in the m¹A regulator expression between diverse m¹A modification patterns and clinicopathological features of HNSCC. The heatmap indicated that the high-risk m¹A regulators that we previously screened for survival significance were mainly highly expressed in m¹Acluster-B subtypes, such as ALKBH1, ALKBH3, TRMT6, and YTHDF2, as the significant high-risk m¹A regulators with a detrimental effect on OS for HNSCC patients, significantly elevated their expression in the m¹Acluster-B subtype (Figure4C).

#### 3.5. Functional enrichment analysis by Gene set variation analysis (GSVA)

We performed a GSVA analysis to determine the biological processes in these distinct m¹A modification patterns (Figure5). The m¹Acluster-B was mainly enriched in mRNA methylation, modification, and RNA polymerase-associated biological processes (Figure5A). We also performed GSVA enrichment analysis to identify KEGG pathways analysis among these different m¹A modification patterns (Figure5B). The m¹Acluster A was mainly involved in the regulation pathway of metabolism-related, intestinal immune network for IGA production, neuroactive ligand receptor interaction. etc.m¹Acluster B prominently participated in the regulation pathway of ubiquitin mediated proteolysis, oocyte meiosis, basal transcription factors, nucleotide excision repair, RNA degradation, etc. (Figure5B).

# 3.6. Characterization of TME cell infiltration under different m<sup>1</sup>A modification patterns

The ssGSEA-based analysis of TME cell infiltration by different m¹A modification patterns indicated that the two m¹A modification patterns had significantly diverse TME cell infiltration characteristics. m¹Acluster A was categorized as immune-inflamed phenotype, typified through adaptive immune activation and immune cell infiltration. m¹A cluster A had the highest number of immune cell species with high immune cell infiltration levels, including Activated B-cell, Activated CD4 T-cell, Activated CD8 T-cell, Activated dendritic cell, Eosinophil, Immature B-cell, Immature dendritic cell, MDSC, Macrophage, Mast cell, Monocyte, Natural killer T-cell, Natural killer cell, Plasmacytoid dendritic cell, Regulatory T-cell, T follicular helper cell, Type.1 T helper cell, and Type.17 T helper cell (Figure 5C). m¹Acluster B was classified as an immuno-desert phenotype, characterized through immunosuppression. The cell content of neutrophil and Type.2 T helper cell is the highest in the m¹Acluster B (Figure 5C).

# 3.7. The DEGs between distinct m¹A modification phenotypes and function enrichment analysis

Although HNSCC patients have been classified into two m1A clusters based on a consistent clustering algorithm for m<sup>1</sup>A-regulated gene expression, the underlying genetic changes and expression perturbations in these clusters are still poorly understood. Based on the above questions, we further explored the changes in potential m<sup>1</sup>A-related transcript expression of the two m<sup>1</sup>A clusters in HNSCC. 131 genes were selected as the m<sup>1</sup>Aassociated gene signature of the two m1Aclusters. PCA analysis indicated significant diversities in the m1A transcriptional profile among the two distinct m1Aclusters (Figure 5D). GO enrichment analysis of these genes signatures was significantly over-expressed in the BP associated with regulating neutrophil activation involved in immune response, neutrophil degranulation, T cell activation, etc. CC is related to cell-substrate junction, focal adhesion, vacuolar membrane, et al., and MF is associated with ATPase activity, cadherin binding, and DNA-binding transcription factor binding, et al. The top-10 Go terms were visualized by Figure 5E. The detailed GO analysis results showed in Table S7. KEGG pathway analysis indicated that the 131 most typical m<sup>1</sup>A phenotype-related genes mainly involved in regulation of PI3K-Akt signaling pathway, MAPK signaling pathway, Salmonella infection, Endocytosis, PD-L1 expression and PD-1 checkpoint pathway in cancer, et al. The top-20 KEGG pathways were visualized by Figure5F. The detailed KEGG analysis results showed in Table S8.

Based on the 131 most typical m<sup>1</sup>A phenotype-related genes, we performed an unmonitored consensus clustering analysis and obtained two stable gene clusters (FigureS2).

These categorizations divided HNSCC patients into two distinct m¹A gene clusters with diverse clinicopathologic characteristics and were named geneCluster A and geneCluster B (Figure 6A). This suggests that two different m¹A methylation modification modes indeed exist in HNSCC. We observed that these m¹A phenotype-associated signature genes are primarily lower expressed in geneCluster A, and higher expressed in gene cluster B (Figure 6A). In addition, we also compared the expression levels of eight m¹A regulators in the two gene clusters. We observed a significant distinction in the expression of eight m¹A regulators between the two gene clusters, which is consistent with the anticipated results of the m¹A methylation modification modes. The expression of TRMT6, TRMT61A, YTHDC1, YTHDF1, YTHDF2, YTHDF3, ALKBH1, and ALKBH3 in geneCluster A is higher than that in geneCluster B (Figure 6B). Further survival analysis demonstrated a remarkable prognostic distinct among the two m¹A gene clusters in HNSCC cases. 497 HNSCC patients have clustered in geneCluster A signature, which is proven to be associated with poor OS, whereas HNSCC patients in geneCluster B (272 patients) have the better OS (Figure6C).

# 3.8. Exploration of the generation of m¹A gene signature score and its clinical significance

Although our findings confirm the impact of m<sup>1</sup>A methylation modifications on prognosis and immune cell infiltration, these analyses focus on overall HNSCC patients and do not accurately predict m1A methylation modification patterns in individual tumor patients. Therefore, we developed a scoring system named m<sup>1</sup>A score to assess the pattern of m<sup>1</sup>A modification in individual HNSCC patients based on the identified m<sup>1</sup>A-related genes. We examined the correlation between immune cell infiltration and m1A scores to characterize the m<sup>1</sup>A signatures better. The m<sup>1</sup>Ascore significantly positively correlated with the infiltration level of Activated B cell, Activated CD4 T-cell, Activated CD8 T-cell, Activated dendritic cell, CD56bright tural killer cell, Eosinophil cell, Gamma delta T cell, Immature B cell, Immature dendritic cell, MDSC, Macrophage, Mast cell, Monocyte, Natural killer T cell, Natural killer cell, Plasmacytoid dendritic cell, Regulatory T cell, T follicular helper cell, Type 1 T helper cell, and Type.17 T helper cell. m¹Ascore was significantly negatively associated with the infiltration level of CD56dim tural killer cell and Neutrophil (Figure 6D). Considering the complexity of the m<sup>1</sup>A modification assessment, we visualized the workflow of m<sup>1</sup>A score construction using Sankey diagrams (Figure 6E). Kruskal-Wallis test found significantly distinct m<sup>1</sup>A scores between gene clusters. Gene-Cluster A indicated the lowest median m¹Ascore, while geneCluster B had the highest median m<sup>1</sup>Ascore, which demonstrated high m<sup>1</sup>Ascore might be strongly related to immune activation-associated signatures, while low m1Ascore may be associated with stromal activation linked signature (Figure6F). More significantly, m<sup>1</sup>Acluster A had significantly higher m<sup>1</sup>A scores than m<sup>1</sup>Acluster B (Figure 6G). The above results revealed that high m1A scores were significantly associated with immune cell activation, and low m1A scores were associated with stroma activation. The m<sup>1</sup>A score provides a better evaluation of the m¹A modification pattern of individual tumors and further assesses tumor TME cell infiltration characteristics to identify false and true TME immune cell infiltration.

# 3.9. Survival analysis and correlation analysis between m¹A score and clinical features

We also examined the value of  $m^1A$ score in forecasting OS in HNSCC patients. The R package "Survminer" was used to determine the  $m^1A$  cut-off value of -2.071, thus dividing the 769 HNSCC patients into high and low  $m^1A$ score subgroups (Table S9). Patients with a high  $m^1A$ score indicated a conspicuous survival benefit (P < 0.001, Figure 7A). The 3-year survival rate was higher than that of patients with a low  $m^1A$ score. Univariate Cox regression analysis showed that age, M stage, and  $m^1A$ score are associated with the prognosis (all P < 0.05, Figure 7B). Multivariate Cox analysis also identified that  $m^1A$ score is a stable and independent prognostic marker for evaluating patient outcome (P < 0.05, HR: 0.961 (0.936-0.987), Figure 7C). In addition, we explored the relationship between  $m^1A$ score and the clinical characteristics of HNSCC patients. People in the different ages,

male, T3-4 patients, advanced stage (stage III & IV), N0, N1-3, and M0 patients, with high m¹Ascore had the better OS than the patient with low m¹Ascore (all P<0.05, Figure 7D-K). The above results indicated that m¹Ascore could also be utilized to assess certain clinical features of HNSCC patients, such as clinical stage, etc.

#### 3.10. Relationship between m<sup>1</sup>A score and tumor somatic mutation

There is growing evidence of the relevance of TMB to immunotherapy. High TMB was associated with sustained clinical response to anti-PD-1/PD-L1 immunotherapy [54]. Thus, we analyzed the discrepancies in the distribution of somatic mutation between high and low m<sup>1</sup>Ascore groups in the TCGA-HNSCC cohort through the R package "maftools". TMB mutation frequency was lower in the high-m<sup>1</sup>Ascore group than in the lowm<sup>1</sup>Ascore group (Figure 8A, B). The m<sup>1</sup>Ascore and TMB demonstrated a negative correlation in different gene clusters (Figure 8C). The TMB quantification analysis demonstrated that the low m<sup>1</sup>Ascore tumors were related to a higher TMB (Figure 8D). Survival analysis indicated that patients with higher TMB have a prominently lower OS than those with lower TMB patients (Figure 8E). We found that HNSCC patients with merged low TMB and high m1Ascore had the best OS, while HNSCC patients with a combination of high TMB and low m<sup>1</sup>Ascore had the worst OS (Figure 8F). Thus, the above finding indirectly indicated that tumor m1A modification patterns might be an essential element that mediated clinical reaction to anti-PD-1/PD-L1 immunotherapy. Moreover, the value of the m<sup>1</sup>Ascore in forecasting the outcome of immunotherapy has been indirectly confirmed. These results will also provide new insights to investigate the mechanisms of m<sup>1</sup>A methylation modifications in tumor somatic mutations, the formation of TME, and the role of ICIs therapy.

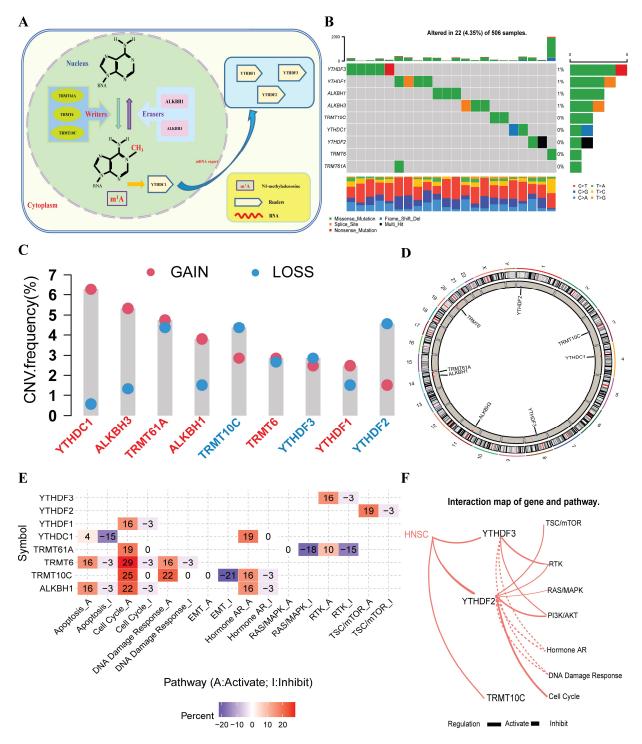
#### 3.11. The role of the m<sup>1</sup>A score in predicting the effect of immunotherapy

Immune checkpoint inhibitors such as anti-PD-L1, PD-1, and CTLA-4 immunotherapy have certainly made considerable breakthroughs in cancer treatment. Novel immune checkpoints with potential therapeutic significance were also identified, including LAG3, CD80, CD86, TNFRSF9, and HAVCR2. Because of the strong correlation between m<sup>1</sup>A score and immune response, we further investigated the relationship between m<sup>1</sup>A score and immune checkpoint gene expression. The expression levels of PD-1, PD-L1, CTLA-4, LAG3, CD80, CD86, TNFRSF9, and HAVCR2, in the high m<sup>1</sup>A score subgroup were significantly higher than that in the low m¹A score subgroup, respectively (Figure 8G-N). Besides, Immunophenoscore (IPS) was used to evaluate response to ICIs in HNSCC patients. IPS with CTLA\_ negative\_ PD1\_ negative was significantly increased in the low m¹A score group (Figure 8O). IPS with CTLA\_ positive\_ PD1\_ positive was significantly increased in the high m1A score group (Figure 8P). The detailed IPS data of HNSCC patients showed in Table S9. These results indicated that anti-CTLA-4 & anti-PD1 treatment in the high m<sup>1</sup>A score subgroup is better than that in the low m<sup>1</sup>A score subgroup. Furthermore, we successfully validated the role of the m<sup>1</sup>A score in predicting the response to ICB in GSE78220 cohort (melanoma). In the anti-PD-1 cohort, the low m<sup>1</sup>Ascore group has presented a significantly prolonged OS (Figure 8Q). In summary, our study presents robust evidence that m<sup>1</sup>A methylation modification patterns significantly correlate with tumor immunophenotype and immunotherapy response and that the developed m<sup>1</sup>A score contributes to the prediction of response to immunotherapy. It can further predict the prognosis of HNSCC patients.

Table 1. Prognostic value (univariate cox regression analysis) and KM survival analysis of eight m¹A regulators.

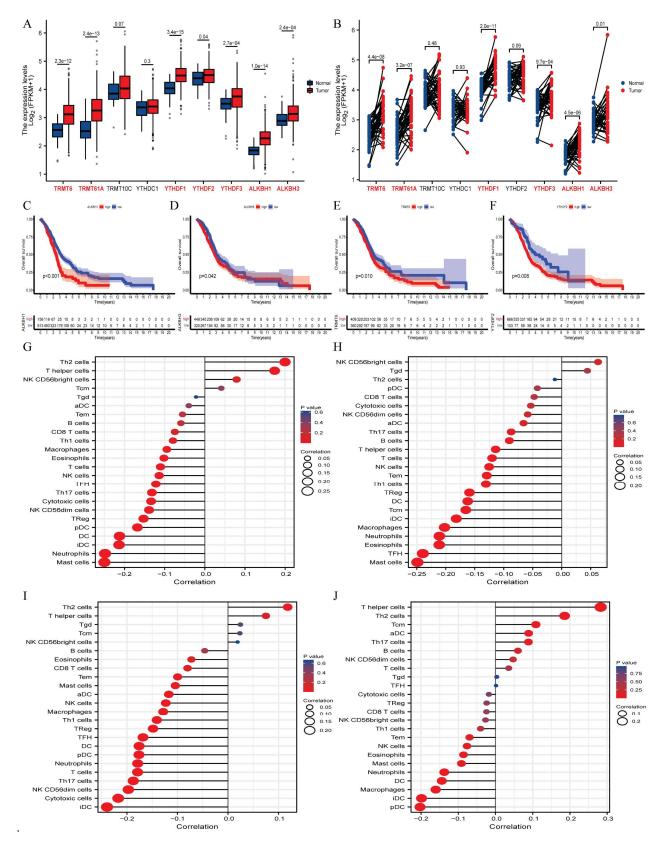
m <sup>1</sup> A regulators	Full name	HR	Low 95%CI	High 95%CI	Univariate cox ( <i>P</i> -value)	KM (P-value)
ALKBH1* #	Alkb Homolog 1, RNA Demethylase	1.83	1.17	2.84	7.50E-03	4.25E-05
YTHDF2 *#	YTH N6-Methyladenosine RNA Binding Protein 2	1.54	0.97	2.45	0.07	7.77E-03
TRMT6*#	tRNA methyltransferase 6 non-catalytic subunit	1.52	0.97	2.37	0.06	0.01
ALKBH3* #	Alkb Homolog 3, RNA Demethylase	1.13	0.75	1.72	0.54	0.04
YTHDF1	YTH N6-Methyladenosine RNA Binding Protein 1	1.47	0.89	2.43	0.13	0.07
TRMT61A	tRNA methyltransferase 61A	1.04	0.72	1.48	0.84	0.09
YTHDC1	YTH Domain Containing 1	1.37	0.75	2.51	0.31	0.13
YTHDF3	YTH N6-Methyladenosine RNA Binding Protein 3	1.15	0.75	1.77	0.51	0.13

Annotation: HR, Hazard ratio; CI, confidence interval; KM, Kaplan-Meier. \* represent a prognosis related m<sup>1</sup>A regulator in the univariate cox regression analysis (P < 0.05). \* indicates m<sup>1</sup>A regulators that are significant associated with the overall survival of HNSCC in the KM survival analysis (P < 0.05); Bold m<sup>1</sup>A regulators represents prognosis-related high-risk regulator (P < 0.05, HR>1).



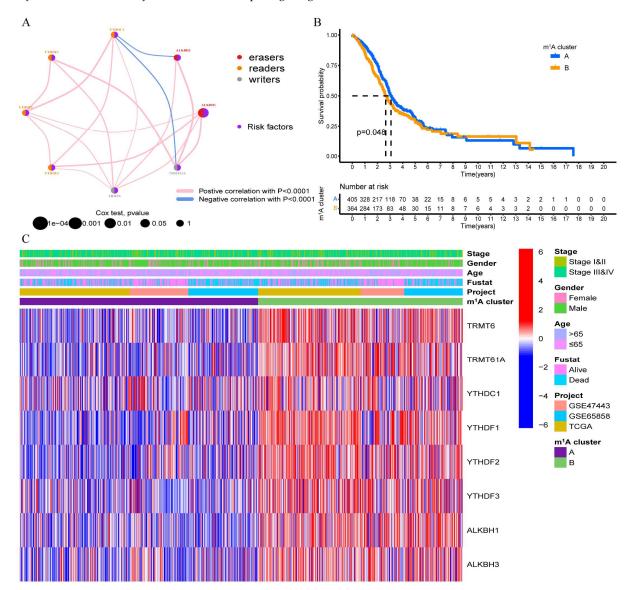
**Figure 2. Genetic modification of the m¹A regulator in head and neck squamous cell carcinomas. (A)** A comprehensive review of the dynamic invertible processes of m¹A RNA methylation regulators ("writers", "readers" and "erasers"). **(B)** Mutation frequency of nine m¹A regulators in the 506 HNSCC patients. Each column indicates an individual patient. The top bar displays the TMB and the number on the right demonstrated the mutation frequency of each regulator. The bar on the right shows the proportion of each mutation type. The stacked bars below indicate the conversion rate for each sample. The small squares of different colors at the bottom indicate the type of mutation. **(C)** CNV variation frequency of nine m¹A regulators in TCGA-HNSCC cohort. Horizontal coordinates represent m¹A regulators and the vertical coordinates represent the alteration frequency of CNV in each m¹A regulator. The red dot represented amplification frequency and blue dot indicated the deletion frequency. Red m¹A regulator indicate that the frequency of gain CNV in that m¹A regulator is greater than the frequency of loss CNV, and blue m¹A regulator indicate the opposite result. **(D)** CNV

alteration site of m¹A regulators on 23 chromosomes. **(E)** The cancer-related signaling pathways regulated by m¹A regulators. Red indicates pathway activation; blue indicates pathway inhibition. **(F)** Interaction map of m¹A regulators and cancer-related pathway. Red solid line indicates positive correlation, red dashed line indicates negative correlation. HNSCC, head and neck squamous cell carcinoma; TCGA, The Cancer Genome Atlas, TMB, Tumor mutational burden; CNV, copy number variation.



**Figure 3. Comprehensive analysis of m¹A regulators in HNSCC.** Differential expression of nine m¹A regulators in unpaired **(A)** and paired **(B)** samples of HNSCC. Red m¹A regulators indicate significant differences in expression between the tumor tissue and the corresponding normal tissue. **(C-F)** Survival curves (overall survival) of different m¹A regulators in the high and low expression groups. **(C)** ALKBH1, **(D)** ALKBH3, **(E)** TRMT6, **(F)** YTHDF2. **(G-J)** Immune cell correlation

analysis between different m¹A regulators and 24 immune cells. **(G)** ALKBH1, **(H)** ALKBH3, **(I)** TRMT6, **(J)** YTHDF2. Red to blue indicates *P* value from 0 to 1. The size of the circle indicates the magnitude of the correlation coefficient. aDC, activated Dendritic cell; DC, Dendritic cell; iDC, immature Dendritic cell; pDC, Plasmacytoid Dendritic cell; Tcm, T central memory; Tem, effector memory; Tfh, T follicular helper; Tgd, T gamma delta.



**Figure 4.**  $m^1A$  methylation modification patterns and association among different  $m^1A$  clusters and  $m^1A$  regulators, clinicopathological features of HNSCC. (A) The interaction of expression on nine  $m^1A$  regulators in HNSCC. The size of circles indicated the impact of every regulator on the prognosis, and the scope of value computed through Log-rank test was P < 0.0001, P < 0.001, P < 0.01 and P < 0.05, respectively. Purple dots in the circle present prognosis risk factors. The lines connecting different regulators demonstrated their interaction, and thickness indicated the association strength between these regulators. The positive correlation with indicated in pink and negative correlation was marked with blue. The Erasers marked with red; Readers marked with orange; Writers marked with gray. (B) Survival analysis for the two  $m^1A$  modification patterns of 769 HNSCC patients from TCGA-HNSCC and GEO cohorts. (D) Heatmap showed the association among different  $m^1A$  clusters and  $m^1A$  regulators, clinicopathological features of HNSCC.

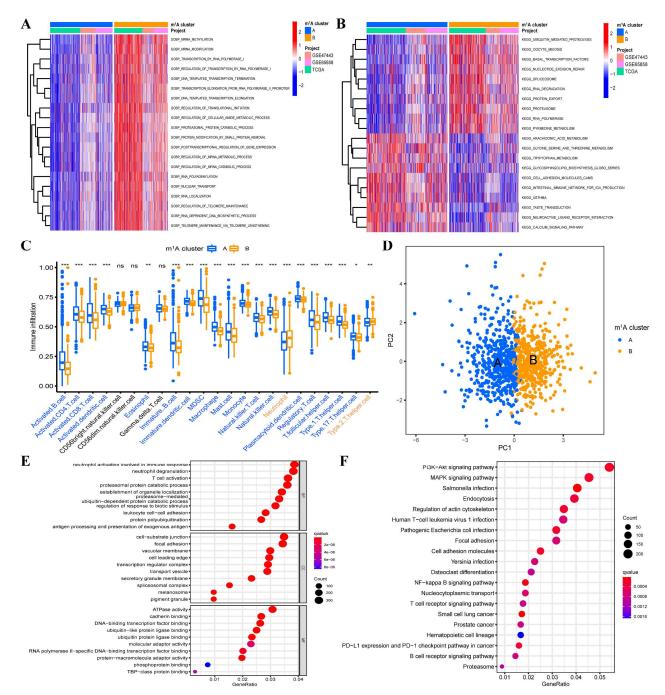


Figure 5. GSVA, characterization of TME cell infiltration in different  $m^1A$  clusters and DEGs function enrichment analysis. (A) The heatmap is applied to visualize the GO\_BP functional enrichment analysis, red line indicated activated BP and blue line demonstrated inhibited BP. TCGA-HNSCC, GSE47443, and GSE65858 cohorts are sample annotations. Blue and orange indicates the terms that are mainly enriched in  $m^1A$  cluster A and  $m^1A$  cluster B, respectively. (B) The heatmap is applied to visualize the KEGG pathways, red indicated activated pathways and blue demonstrated inhibited pathways. TCGA-HNSCC, GSE47443, and GSE65858 cohorts are sample annotations. Blue and orange indicates the terms that are mainly enriched in  $m^1A$  cluster A and  $m^1A$  cluster B, respectively. (C) ssGSEA analysis indicated the abundance of each TME infiltrating cell in two  $m^1A$  clusters. The horizontal coordinate indicates the name of the immune cells and the vertical coordinate represents the content of immune cells. The lines in the boxes demonstrated median value of immune cell content, and color dots showed outliers. The upper and lower ends of the boxes indicated interquartile range of values. Asterisks demonstrated the statistical P value (\*P < 0.05; \*\*P < 0.01; \*\*\*P < 0.001). Different color dots and box represents the content of immune cells in different  $m^1A$  clusters:  $m^1A$  cluster A, blue;  $m^1A$  cluster B, orange. Different colored immune cell names indicate the highest content of that cell in the corresponding classification,  $m^1A$  cluster A, blue;  $m^1A$  cluster B, orange. (D) The Venn diagram to show the number of DEGs among different  $m^1A$  cluster subtypes and identified the

intersective DEGs. **(E)** Functional annotation analysis for m¹A-related intersective DEGs through GO enrichment analysis. **(F)** KEGG pathway analysis for m¹A-related intersective DEGs. The color size of the dots in the bubble diagram indicates the number of enriched genes from large to small. GSVA, Gene set variation analysis; TME, tumor microenvironment; GO, Gene Ontology; BP, Biological Processes; CC, Cellular Components; MF, Molecular Functions. KEGG, Kyoto Encyclopedia of Genes and Genomes, ssGSEA, single-sample gene-set enrichment analysis; DEGs, differentially expressed genes.

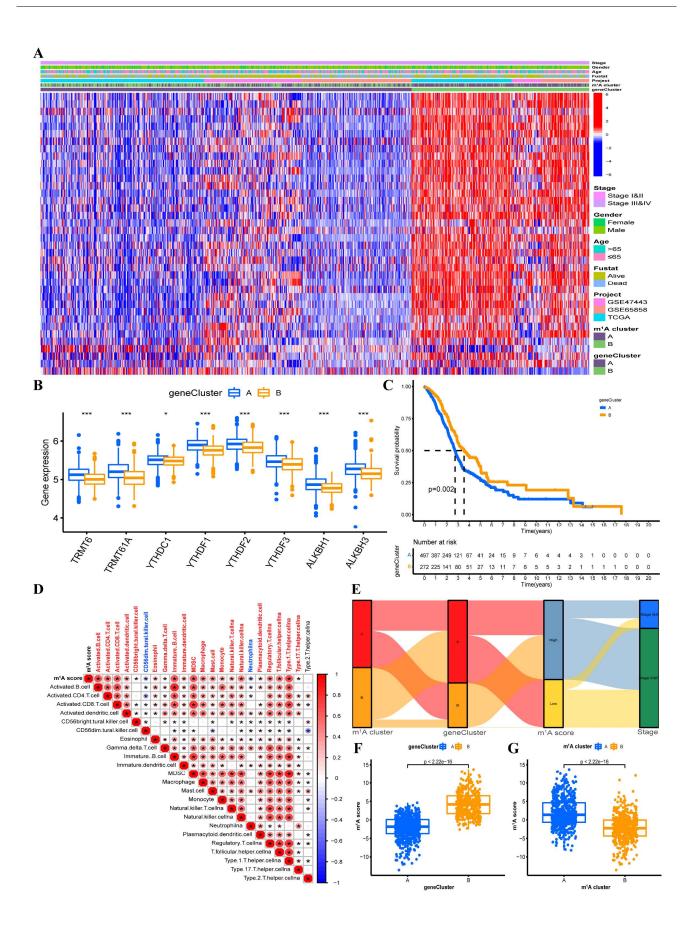


Figure 6. Construction of m1A gene clusters. (A) Unsupervised clustering of m1A-associated intersective DEGs in TCGA-HNSCC and GEO cohorts to categorize patients into distinct genomic subtypes, named as m1A geneCluster A, and B, respectively. m1Aclusters, gene clusters, tumor TNM stage, gender, age, survival status, and project were utilized as patient annotations. (B) Eight m1A regulators expression in two geneClusters. The horizontal coordinate represents the name of m1A regulators and the vertical coordinate represents the expression of m1A regulators. The lines in the boxes indicated median value of m<sup>1</sup>A regulator expression, and color dots showed outliers. The upper and lower ends of the boxes indicated interquartile range of values. Asterisks demonstrated the statistical P value (\*P < 0.05; \*\*P < 0.01; \*\*\*P < 0.001). Different color dots and box represents the expression of m1A regulator in different geneClusters; geneCluster A, blue; gene-Cluster B, orange. The one-way ANOVA test was applied to test the statistical diversities between two geneClusters. (C) Kaplan-Meier curves demonstrated m1A geneClusters are obviously associated with OS of 769 HNSCC patients, of which 497 cases were in geneCluster A and 272 cases in geneCluster B (P < 0.05, Log-rank test). (D) Association between m<sup>1</sup>Ascore and the immune cell infiltration level through Spearman analysis. Positive correlation indicated in red and negative correlation was marked with blue. (E) Sankey diagram indicate the association among of m1Aclusters, geneCluster, m1Ascore, and stage. Diversities in  $m^1$ Ascore among two geneClusters (F) and two  $m^1$ Aclusters (G) (all P < 0.001, Kruskal-Wallis test). The horizontal coordinate represents the name of clusters and the vertical coordinate represents the m1Ascore. The lines in the boxes indicated median value of m1Ascore, and color dots manifested outliers. The upper and lower ends of the boxes indicated interquartile scope of values. Numbers between each two subgroups in the figure demonstrated the statistical P value. Different color dots and box represents the m<sup>1</sup>Ascore in different modification phenotype: geneCluster A/m¹Acluster A, blue; geneCluster B/m¹Acluster B, orange.

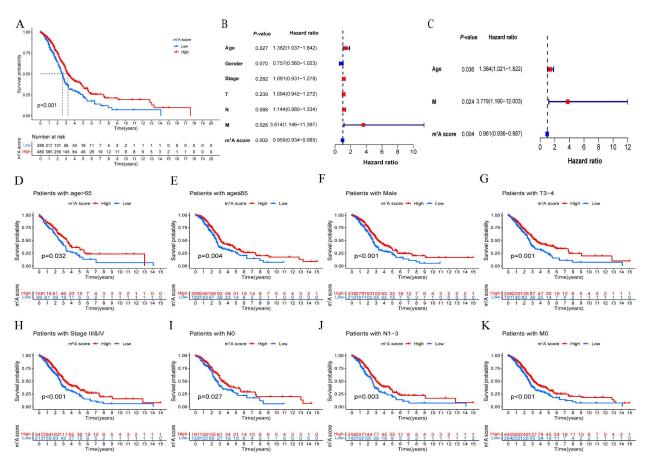
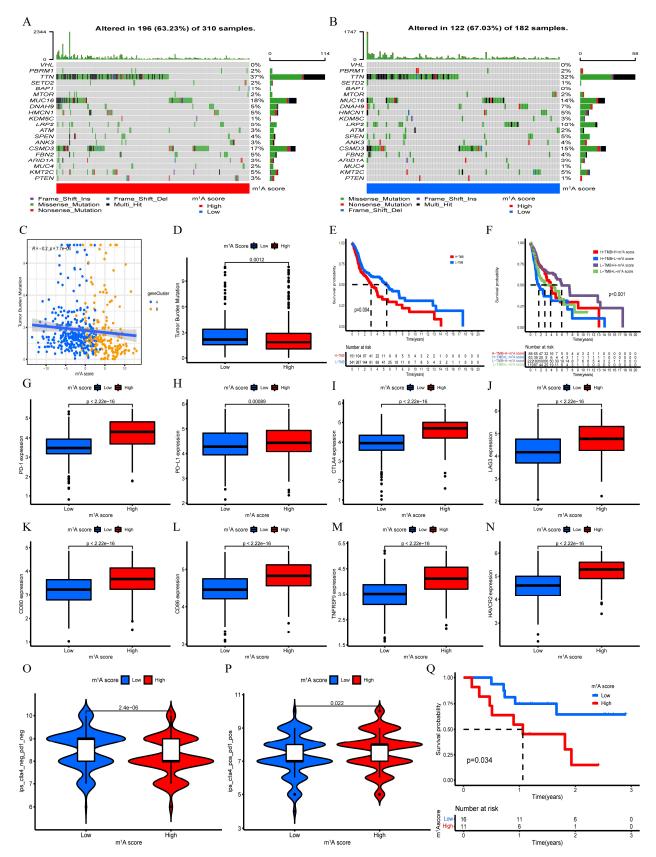


Figure 7. Survival analysis and correlation analysis among  $m^1A$  score and clinical features. (A) Survival analysis for low (289 cases) and high (480 cases)  $m^1A$ score groups in HNSCC using Kaplan-Meier curves (P < 0.001, Log-rank test). Blue indicate low  $m^1A$ score and red represent high  $m^1A$ score. (B) Univariate Cox regression analysis identified the prognostic value of age, gender, stage, TNM stage, and  $m^1A$ score. (C) Multivariate Cox regression analysis identified the prognostic value of age, M stage, and  $m^1A$ score. (D-K) The survival analysis among high and low  $m^1A$  score indifferent clinical features of HNSCC. (D) patients with age >65year. (E) patients with age ≤65years. (F) patients with male. (G) patients with  $m^1A$  score indifferent clinical features of HNSCC. (D) patients with stage III & IV. (I) patients with  $m^1A$  score indifferent with  $m^1A$  score indifferent clinical features of HNSCC. (D) patients with stage III & IV. (I) patients with  $m^1A$  score indifferent clinical features of HNSCC. (D) patients with stage III & IV. (I) patients with  $m^1A$  score indifferent clinical features of HNSCC. (D) patients with stage III & IV. (I) patients with  $m^1A$  score indifferent clinical features of HNSCC. (D) patients with  $m^1A$  score indifferent clinical features of HNSCC. (D) patients with  $m^1A$  score indifferent clinical features of HNSCC. (D) patients with  $m^1A$  score indifferent clinical features of HNSCC. (D) patients with  $m^1A$  score indifferent clinical features of HNSCC. (D) patients with  $m^1A$  score indifferent clinical features of HNSCC. (D) patients with  $m^1A$  score indifferent clinical features of HNSCC. (D) patients with  $m^1A$  score indifferent clinical features of HNSCC. (D) patients with  $m^1A$  score indifferent clinical features of HNSCC.



**Figure 8.** The association between  $m^1A$  score and TMB and the role of  $m^1A$  score in predicting immunotherapeutic benefits. (A, B) The waterfall plot of TMB constructed through patients with high  $m^1A$ score (A) and low  $m^1A$ score (B). Each column

indicates individual patient. The right bar plot indicated the proportion of each variant type. The upper bar plot indicated TMB and the number on the right represented mutation frequency of each gene. (C) The correlation between TMB and diverse geneClusters. (D) The difference of TMB in high and low  $m^1$ Ascore groups. (E) Survival analysis for high (151cases) and low (341 cases) TMB patient groups using Kaplan-Meier curves (P < 0.05, Log-rank test). (F) Survival analysis for subgroup patients stratified through both  $m^1$ Ascore and TMB using Kaplan-Meier curves. L, Low; H, high; (P < 0.01, Log-rank test). (G-N) Differences in different immune checkpoint between low and high  $m^1$ Ascore groups. (G) PD-1, (H) PD-L1. (I) CTLA-4, (J) LAG3. (K) CD80. (L) CD86. (M) TNFRSF9. (N) HAVCR2. (O) Differences in IPS between high and low  $m^1$ Ascore groups (P < 0.001). (P) Differences in IPS\_ anti-PD-1 and anti-CTLA-4 between high and low  $m^1$ Ascore groups (P < 0.01). (Q) Survival analysis between high and low  $m^1$ Ascore group in the anti-PD-1 cohort (GSE78220 cohort, melanoma). TMB, tumor somatic mutation.

#### 4. Discussion

There has been report that m¹A modification regulators were correlated with overall cancer survival [55]. Since most studies have centered on a single TME cell type or a single m¹A regulator, the full signature of TME permeation regulation by the combined action of multiple m¹A regulators has not been fully characterized [56]. Therefore, understanding the effect of distinct m¹A modification profiles in the cellular infiltration of TME will contribute to a deeper comprehension of the interplay of m¹A RNA methylation in the antitumor immune response and facilitate more effective and precise immunotherapeutic approaches. In this present research, for the first time, we have systematically explored the characteristics of TME cell infiltration in HNSCC mediated by the integrative effects of multiple m¹A regulators and corresponding modification patterns. According to the TCGA-HNSCC, GSE47443, and GSE65858 cohorts, we identified two diverse m¹A methylation modification patterns with different immunophenotypes and are associated with specific anti-cancer immunity. In addition, we developed a scoring system called m¹Ascore to assess the m¹A modification pattern of individual HNSCC patients.

We first performed a comprehensive analysis somatic mutation of m<sup>1</sup>A modulators in HNSCC and found that 22 of 506 patients undergo genetic mutations. YTHDF3 indicated the highest mutation frequency, followed closely by YTHDF1, ALKBH1, ALKBH3, and YTHDF3. Further analysis of the nine m¹A regulators revealed the general existence of CNV mutations. Six m<sup>1</sup>A regulators were mainly focused on the amplification in copy number. Alterations of m<sup>1</sup>A regulators may lead to dysregulation of m<sup>1</sup>A-associated genes, thus demonstrating their involvement in tumorigenesis. Yeon et al. reported that TRMT6 often perturbed in cancer [57]. Further analysis demonstrated that m<sup>1</sup>A regulator expression with CNV amplification has a higher proportion of active cell cycle pathway, such as YTHDF1, YTHDC1, TRMT61A, TRMT6, and ALKBH1. Chi et al. found that cell cycle pathway was enriched in the group with high expression of YTHDF1[58]. TRMT6 was also found to be enriched in the cell cycle pathway [59]. While CNV-loss m<sup>1</sup>A regulators were significantly associated with the active RTK and TSC/mTOR pathway, such as YTHDF3 and YTHDF2. In addition, the relationship between YTHDC1, TRMT61A, ALKBH1, YTHDF3, YTHDF2 and the cancer-related pathway has not been reported previously and we are reporting it for the first time. Interestingly, the expression of most of the m<sup>1</sup>A regulators in HNSCC cancer tissues was significantly higher than that in the corresponding normal tissues. Previous reports suggest that dysregulation of m<sup>1</sup>A regulators is associated with gastrointestinal tumorigenesis and progression of hepatocellular carcinoma [14,60,61]. Our research identified that the expression profiles between m¹A "erasers" and "writers" are unbalanced. In theory, these unbalanced expression profiles might lead to abnormal m<sup>1</sup>A modification patterns, which result in the development of HNSCC. Besides, ALKBH1, ALKBH3, TRMT6, and YTHDF2 had a detrimental effect on OS for HNSCC patients, which was consistent with previous studies [62,63]. Li et al. reported that m1A regulators are associated with the regulating of carcinogenic pathways and OS [55]. m<sup>1</sup>A regulators also associated with HCC patient prognosis [61]. However, little is known about the correlation between m<sup>1</sup>A regulators and TME infiltration. Therefore, we found a strong correlation between the four identified m<sup>1</sup>A regulators, associated with the OS of HNSCC, and the current TME immune infiltrating cells, based on the ssGSEA algorithm for immune infiltrating cells, using the Spearman method. The above results indicate a potential role of m<sup>1</sup>A regulators in the TME and progression of HNSCC.

More significantly, these m¹A regulators are interrelated and form a tight network of interactions. These discoveries have motivated us to construct a comprehensive clustering analysis rather than analyzing the role of individual m¹A regulators. Therefore, a systematic analysis of all m¹A regulators can provide a more comprehensive view of m¹A modification patterns in TME. Previous studies on hepatocellular carcinoma and colon cancers have demonstrated that m¹A regulator-based classification models have good typing ability, have significant differences in survival between phenotypes, and are involved in regulating distinct pathways. Diverse phenotypes have significantly distinct characteristics of TME cell infiltration [14,61]. Therefore, in this present research, based on eight m¹A regulators, we determine two different m¹A methylation modification modes that have different OS, immunophenotypes and are associated with diverse anti-cancer immunity. We found that m¹Acluster A demonstrated prominent survival advantage, while m¹Acluster B had the poor OS. Further research found that high-risk m¹A regulators were significantly elevated in the m¹Acluster B subtype.

Previous studies have shown that TRMT6 is an oncogene in hepatocellular carcinoma [64]. YTH domain-containing proteins involved in in cancer development and progression [65]. Paramasivam et al. reported that YTHDF2, YTHDF2, and YTHDF3 were significantly upregulated in HNSCC samples [63]. Pilžys, et al found ALKBH3 expression direct correlation with primary HNSCC tumor size, high expression of ALKBHs was observed in HNSCC, and impact on HNSCC development [62]. Our analyses revealed that the m¹A regulators were significantly highly expressed in m¹Acluster B, and m¹Acluster B had the worse prognosis between the two m¹Aclusters, which indirectly indicated that our classification was very accurate.

Furthermore, we also discovered m¹Acluster B was distinctly enriched in RNA methylation and carcinogenic activation biological process or pathways, such as RNA methylation, DNA templated transcription termination, regulation of translational initiation, ubiquitin mediated proteolysis, nucleotide excision repair, cell adhesion molecules cams, et al. RNA methylation plays a critical role in tumorigenesis [66]. The alternate modes of translation initiation affect the survival and proliferation of cancer cells [67,68]. It is well known that ubiquitin mediated proteolysis involved in the sequential activation of cyclin-dependent kinases (CDKs), which is closely related to the development of tumors [69]. Marteijn et al. reported defects in global genome nucleotide excision repair result in cancer predisposition [70]. Läubli et al. reported altered cell adhesion promote cancer immune suppression and metastasis [71]. The above findings also indirectly confirm why the OS of patients in m¹Acluster B is worse.

According to the ssGSEA, two m¹A modification modes had remarkably different TME cell infiltration features. m¹ACluster A was categorized as immunoinflammatory phenotype, featured through adaptive immune activation and immune cell infiltration. m¹Acluster B was classified as an immuno-desert phenotype, characterized through immunosuppression. The immunoinflammatory phenotype, tumors are highly inflamed with CD8+ T cells ('infiltrated' or 'hot' tumors), show massive immune cell infiltration in TME [27,72]. Increased CD8+ T-cell infiltration after relapse is a good prognostic indicator for HNSCC [73]. The m¹A cluster A has massive immune cell infiltration in TME and the better prognosis after thoroughly investigating the features of TME cell infiltration induced through distinct m¹A modification patterns, which is consistent with previous research in hepatocellular carcinoma and colon cancer [14,61]. In combination with each cluster's TME cell infiltration features, this identified the credibility of our immunophenotypic categorization of the distinct m¹A modification patterns.

In addition, a total of 131 DEGs were identified as the m¹A-associated gene signature from distinct m¹A modification patterns. Similar to the m¹A modification clustering results, two genomic clusters according to the m¹A signature gene were confirmed, significantly correlated with distinct clinicopathologic characteristics, survival outcomes, and TME landscapes. GeneCluster A was related to worse OS and geneCluster B was

associated with the better OS. Meanwhile, the higher expression of TRMT6, TRMT61A, YTHDC1, YTHDF1, YTHDF2, YTHDF3, ALKBH1, ALKBH3 was observed in the gene-Cluster A. This again demonstrates the importance of m<sup>1</sup>A modification in shaping diverse TME landscapes. Therefore, a comprehensive assessment of m<sup>1</sup>A modification modalities will enhance our comprehension of TME cell infiltration characteristics. Because of the individual heterogeneity of m1A modifications, there is an urgent need to quantify the m<sup>1</sup>A modification patterns of individual tumors. For this purpose, we developed a protocol named m<sup>1</sup>Ascore to assess the m<sup>1</sup>A modification pattern of individual HNSCC patients. The m<sup>1</sup>A modification pattern characterized by the immune-desert phenotype exhibited lower m1Ascores, whereas the immune-inflammatory phenotype exhibited higher m<sup>1</sup>Ascores. We also found m<sup>1</sup>Ascore significantly positively correlated with the infiltration level of many immune cells. Patients with a high m<sup>1</sup>Ascore indicated a remarkable survival benefit. These findings provide further evidence that HNSCC patients with high levels of immune cell infiltration have a higher OS. In HNSCC, increased infiltration of CD8+ T cells was the only immune cell type associated with improved survival, regardless of tumor location, stage, and treatment [74,75]. The m1Ascore might also be utilized to assess certain clinical features of HNSCC patients, such as clinical stage et al. Further analysis also confirmed that m<sup>1</sup>Ascore is an independent prognostic marker for HNSCC. These results demonstrate that the m<sup>1</sup>Ascore is a credible and stable tool for synthetically assessing individual tumor m<sup>1</sup>Ascore for further recognition of TME infiltration patterns, and our m<sup>1</sup>Ascore indicates a predictive benefit specific immunotherapy for HNSCC.

There is growing evidence that TMB is a predictor of solid cancer patients receiving anti-PD-1/CTLA-4 combination immunotherapy, and high TMB status (≥10 mutations per megabase (MB) genome) indicated a durable clinical reaction to anti-PD-1/PD-L1 immunotherapy, such as pembrolizumab (Keytruda) and nivolumab (Opdivo), and has more prolonged survival in cancers such as melanoma and lung cancer [54,76,77]. Amazingly, unlike other previously reported tumors, patients with HNSCC with high TMB expression had a poorer prognosis. Our research revealed a negative correlation between m<sup>1</sup>Ascore and TMB in different gene clusters. The low m<sup>1</sup>Ascore subgroup indicated more extensive TMB than the high m<sup>1</sup>Ascore subgroup. Patients in the high TMB subgroup had a significantly lower OS than those in the low TMB subgroup. Meanwhile, HNSCC patients with a combination of low TMB and high m1Ascore had the best OS. Zhang et al. also reported that HNSCC patients with high TMB have worse prognosis than those with low TMB, and TMB might affect CD4+T cell and B cell infiltration [78]. Braun et al. has reported that tumors are heavily infiltrated with immune CD8 T cells in melanoma and some other cancers, creating a so-called inflammatory or "hot" environment within the tumor that responds better to PD-1 blockade. HNSCC tumors are highly immuno-infiltrative, but have an overall immunosuppressive TME profile [79].

Considering the close association between the m¹A score and immune response, we further investigated the connection of the m¹A score with the expression of immune checkpoints. We found that the expression level of PD-1, PD-L1, CTLA-4, LAG3, CD80, CD86, TNFRSF9, and HAVCR2 are significantly higher in the high m¹A score group. Since the high m¹A score group has a better prognosis, it also means that the high m¹A score group can benefit from immunotherapy, which further confirms that immunotherapy can prolong the overall survival of HNSCC patients. These findings also reveal new therapeutic targets (LAG3, CD80, CD86, TNFRSF9, and HAVCR2) beyond PD-1, PD-L1, and CTLA-4 and new combinatorial approaches to further understand immune checkpoint biology in the future. We also found that the effect of anti-CTLA-4&anti-PD1 treatment in the high m¹A score subgroup is better than that in the low m¹A score subgroup. Wang et al. reported >70% of HNSCC lesions responded to intratumoral anti-CTLA-4 [80]. Checkpoint inhibitors, such as anti-PD-1 and anti-PD-L1 antibodies, have been shown to significantly improve overall survival and disease-free survival of HNSCC after the failure of platinum-based chemotherapy [21,81].

Although we reviewed the literature screening, nine recognized m<sup>1</sup>A RNA methylation regulators, comprehensively assessed the m<sup>1</sup>A modification patterns in HNSCC, and

systematically linked these modification patterns to the permeability properties of TME cells. However, there are also few deficiencies to this study. First, a novel array of identified regulators needs to be integrated into the model to optimize the accuracy of m¹A modifications to the model in the future. Second, m¹A modification patterns and m¹A scores were verified using a retrospective dataset; therefore, a prospective cohort study of HNSCC patients receiving immunotherapy is necessary to validate our results in future work.

In conclusion, m<sup>1</sup>Ascore can be used to comprehensively evaluate the m<sup>1</sup>A methylation modification patterns of individual HNSCC patients and their corresponding TME cell infiltration characteristics, further clarify the immunophenotype of the tumor and guide more rational clinical treatment. We have also identified that the m1A score can be applied to evaluate HNSCC patients' clinicopathological features, immune cell infiltration, and tumor mutation burden. Similarly, m1Ascore can be considered as an independent prognostic factor to predict the survival of HNSCC patients. We can also predict the clinical response to anti-PD-1/PD-L1or CTLA-4 immunotherapy by m¹Ascore. What's more, this research has generated some innovative insights into cancer immunotherapy targeted m<sup>1</sup>A modulators or m<sup>1</sup>A phenotype-associated genes to alter m<sup>1</sup>A modification modes, further inverting poor TME cell infiltration signature, conversion of "hot tumors" into "cold tumors", might facilitate to exploring the improvement of new drug, combination approaches or new immunotherapeutic agents in the future. Our study results offered innovative insights into improving the clinical reaction of HNSCC patients to immunotherapy, identified distinct tumor immune phenotypes, and facilitated personalized cancer immunotherapy in the future.

#### 5. Conclusions

This study confirms the widespread regulatory mechanism of m¹A methylation modification on the TME. The diversities in m¹A modification patterns are a non-negligible element contributing to the heterogeneity and sophistication of the individual TME. A comprehensive evaluation of m¹A modification patterns in individual HNSCC tumors will facilitate in-depth comprehending of the TME, immune cell infiltration features and guide more efficient immunotherapeutic approaches.

## **Supplementary Materials:**

Figure S1. Unsupervised clustering of nine  $m^1A$  regulators in the HNSCC cohort. (A-D) Consensus matrices of the HNSCC cohort for k = 2 - 5. (E) Empirical cumulative distribution function (CDF) plots display consensus distributions for each k. (F) The Delta area of each k.

Figure S2. Unsupervised clustering of  $m^1$ Acluster-associated gene in the HNSCC cohort. (A-D) Consensus matrices of the HNSCC cohort for k = 2 - 5. (E) Empirical cumulative distribution function (CDF) plots show consensus distributions for each k. (F) The Delta area of each k.

- Table S1. The baseline information of TCGA-HNSCC, GSE47443, and GSE65858 cohorts.
- Table S2. The detailed genomes that mark each TME-infiltrating cell type.
- Table S3. The correlation analysis between ALKBH1 expression and immune cell.
- Table S4. The correlation analysis between ALKBH3 expression and immune cell.
- Table S5. The correlation analysis between TRMT6 expression and immune cell.
- Table S6. The correlation analysis between YTHDF2 expression and immune cell.
- Table S7. The detailed GO analysis results.
- Table S8. The detailed KEGG pathway analysis results.
- Table S9. The detailed m<sup>1</sup>Ascore value of 769 HNSCC patients in the high and low m<sup>1</sup>Ascore subgroup.
- Table S10. The detailed IPS data of HNSCC patients.

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GSE47443 cohort (https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE47443).GSE65858 cohort (https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE65858),The copy number variation (CNV) of TCGA-HNSCC was curated from the UCSC Xena database (http://xena.ucsc.edu/). All the raw codes of this study are available from the first author or corresponding author upon request.

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