

Article

Signatures of Adaptation in Mitochondrial Genomes of the Palearctic Subterranean Voles (Arvicolinae, Rodentia)

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Abstract: The current study evaluates the selection signals in the evolution of mitochondrial DNA of voles, subfamily Arvicolinae, during the colonization of subterranean environments. The comparative sequence analysis of mitochondrial protein-coding genes of eight subterranean vole species (*Prometheomys schaposchnikowi*, three species of the genus *Ellobius*: *E. talpinus*, *E. fuscocapillus* and *E. lutescens*, two species of the genus *Terricola*: *T. subterraneus* and *T. daghestanicus*, *Lasiopodomys mandarinus* and *Hyperacrius fertilis*) and their closest aboveground relatives using codon-substitution models was applied. The highest number of selection signatures was detected in genes *ATP8* and *CYTb*. The relaxation of selection was observed in most mtDNA protein-coding genes. In mole voles (genus *Ellobius*) the signatures of adaptive evolution of mitochondrial genes related to subterranean niche were most pronounced. The number of selection signatures was found to be independent of the evolutionary age of the lineage but fits the degree of specialization to the subterranean niche. The common trends of selective pressures were observed among the evolutionary ancient and highly specialized subterranean rodent families and phylogenetically young lineages of voles. It suggests that the signatures of adaptations in individual mitochondrial protein-coding genes associated with the colonization of the subterranean niche may appear within a rather short evolutionary timespan.

Keywords: selective pressures, mitochondrial protein-coding genes, subterranean voles, adaptations, subterranean lifestyle.

1. Introduction

Being among the most diverse groups of mammals, rodents colonized major terrestrial habitats all over the world. Most species dwell on the surface, yet many species are able to dig complex tunnels where they nest, rest, and retreat to protect from predators. These forms are commonly classified as fossorial, i.e., they dig burrows and tunnels yet spend only a part of their active time there. Some are nearly completely subterranean - they build networks of underground corridors, where most of their activities, including foraging, take place and therefore they are referred as “truly” subterranean [1]. There are approximately 250 species of fossorial and subterranean rodents (combined in 38 genera, six families), that are distributed across all continents except Australia and Antarctica [1].

Subterranean rodents represent a perfect study system for testing hypotheses about adaptive evolution during the colonization of a novel and highly contrasting habitat on the background of aboveground species. They inhabit the environment characterized by high levels of carbon dioxide, low levels of oxygen, and relatively constant temperature and humidity [2].

Voles and lemmings, subfamily Arvicolinae, are the youngest rodent group that emerged via the fastest documented adaptive radiation among recent mammals. The number of extant arvicoline rodents is eight times greater than in the sister subfamily Cricetinae, the most recent common ancestors of both are known in the fossil record from the

Late Miocene, ca 10 Ma [3]. The earliest arvicolines are known from the Late Miocene both in Eurasia and North America [3,4] and nowadays they colonize almost all landscapes and habitats in the Northern Hemisphere. Arvicolinae provides a unique opportunity for testing various adaptive hypotheses, including these about the evolution towards fossoriality, with a comparative method. The members of this phylogenetically young group display a full spectrum of substrate utilization patterns from surface-dwelling and even arboreal form (e.g. tree voles, *Arborimus* Taylor, 1915 [5,6] to highly specialized subterranean species (*Ellobius* Fischer, 1814 and *Prometheomys* Satunin, 1901 [7]).

Mitochondrial genome historically has been assumed to evolve neutrally [8], and protein-coding genes (e.g. *CYTB*, *COX1*) for a long time have been used in phylogenetic reconstructions of various taxonomic groups. Growing number of recent studies, however, provided evidence for non-neutral variation in various parts of the mitogenome. The selective neutrality assumption of the mitochondrial DNA (mtDNA) is simplistic as mitochondrial protein-coding genes are involved in oxidative phosphorylation and responsible for the production of up to 95% of the energy of eukaryotic cells. Due to the importance of this biochemical pathway, evaluating selective pressures acting on mtDNA proteins could provide key insight into the adaptive evolution of the mtDNA genome as has been suggested by recent empirical evidence [9,10]. So, positive selected signals have been detected in various organisms related to shifts in their ecology that imply changes in the metabolic requirements [11].

Following the introduction of computationally tractable codon substitution models [12,13] nearly two decades ago, there has been a persistent interest in using these models to study the past action of natural selection on protein-coding genes. Positive selection can be inferred whenever the estimated ratio (ω) of non-synonymous (dN) to synonymous (dS) substitution rates significantly exceeds one [14,15]. Using improved natural selection evaluation approaches, state of molecular research on species with altered energy expenditures shows the adaptability of the mitochondrial genome. For instance, birds and bats require a metabolic rate which is 3-5 times higher than the maximum observed during training at ground mammals of similar size [16,17]. Evaluation of selection on mitochondrial genes of bats showed that the number of nonsynonymous substitutions exceeds synonymous in genes *ND2*, *ND3*, *ND4L*, *ND4*, *ND5*, *ND6*, and *COX3* and, therefore, the value ω is significantly higher than in other mammals [18]. Hence, many studies carried out on different species show that the variability of mitochondrial genomes may have adaptive significance [19–24].

Da Silva et al. [25] found a significantly higher ω in the *CYTB* gene among independent lineages of subterranean rodents (tuco tucos, coruros, pocket gophers, and mole rats) as compared to their aboveground relatives, suggesting a connection between directional selection of this gene and the niche shift to subterranean. Research on subterranean representatives of two closely related groups Octodontidae and Ctenomyidae: *Ctenomys* Blainville, 1826 and *Spalacopus* Wagler, 1832, showed the presence of positive selection in all mitochondrial genes except the *ND3* gene. Our previous study [26] also showed that selection pressure is relaxed at the mitochondrial *CYTB* gene in Arvicolinae subterranean species.

In the current study we were aimed at evaluating the selection signals in mitochondrial genomes of the subterranean Palearctic voles on the background of their closest aboveground relatives using the comparative sequence analysis. By considering complete mitogenome sequences, we evaluate the magnitude of selective pressures on mitochondrial genes that occurred on the branches leading to subterranean Arvicolinae. Thus, we tested the following hypotheses 1) the adaptive signals observed by us previously in the analysis of gene *CYTB* are also traceable and uniformly distributed among the rest of mitogenome; 2) the selective pressures in mitochondrial genomes of the phylogenetically young subterranean lineages of voles are convergent or have common trends with ancient and highly specialized subterranean rodent families; 3) the detected selective signals related to evolutionary age of the species.

2. Materials and Methods

2.1. Taxa selection and samples

Totally we analyzed 27 complete published mitochondrial genomes of Arvicolinae [Abramson et al 2021], sequences of representatives of the sister subfamily Cricetinae were mined from NCBI Genbank [27–40] to be used as an outgroup (Supplementary Table 1). Hereinafter, we use the taxonomic classification following Gromov and Polyakov [41], Musser and Carleton [42], Abramson and Lissovsky [43].

For the purposes of this study, we categorized species as subterranean or above-ground based on their behavioral habits rather than morphological traits. A species was considered subterranean if it is known to conduct the majority of their life underground, perform regular digging activities, and their aboveground excursions are limited to the vicinity of burrow openings [44,45]. Within our dataset, eight species in five genera seem to fit these definitions: *Ellobius talpinus* Pallas, 1770; *Ellobius lutescens* Thomas, 1897 and *Ellobius fuscicapillus* Blyth, 1843; *Terricola subterraneus* de Selys-Longchamps, 1836; *Terricola daghestanicus* Shidlovsky, 1919; *Lasiopodomys mandarinus* Milne-Edwards, 1871; *Hyperacrius fertilis* True, 1894 and *Prometheomys schaposchnikowi* Satunin, 1901.

Two arvicoline genera considered “truly subterranean” are *Ellobius* Fischer, 1814 (mole voles) and *Prometheomys* Satunin, 1901 (the long-claw vole) [1,2]. They not only live, forage, and reproduce almost exclusively underground, but also demonstrate a set of obvious morphological and life-history modifications associated with this lifestyle. Both *Ellobius* and *Prometheomys* have short soft pelage, small/tiny eyes and reduced ears. Moreover, mole voles have highly modified skull with prominent extrabuccal incisors which are the characteristic of chisel-tooth diggers [7,46] whereas the long-claw vole is a specialized scratch digger [47]. In *Terricola* Fatio, 1867 voles, the tendency towards fossorial mode of life is thought to result in short dense fur, small eyes, short pinnae hidden in the fur, and the characteristics of slow life history [48–52]. Another fossorial arvicoline, the mandarin vole *Lasiopodomys mandarinus* does not seem to display marked morphological adaptations that would facilitate digging but living in extended family groups with cooperative tunnel construction [45,53]. The last one, Kashmir vole *Hyperacrius fertilis* is poorly studied but has been classified as subterranean by Begall et al [1]. *Arvicola amphibius* Linnaeus, 1758 is often listed among subterranean forms as within this species both semi aquatic and subterranean populations exist. However, the sample we sequenced and included in the analysis is from the semi aquatic population, so we referred it to the background branch.

Subdivision into groups was carried out according to the principle of phylogenetic nearest aboveground taxa selection and more distant as outgroup (Figure 1) for all analysis. Phylogenetic nearest taxa for *H. fertilis* were selected according to its specified phylogenetic position in the tribe Arvicolini [27]. Two different sets were chosen for *P. schaposchnikowi*, considering its monotypy and basal position within the subfamily Arvicolinae. Thus, we first test against sister to all Arvicolinae hamsters (Group E) from Cricetinae subfamily and second against representatives of the Arvicolinae (Group F) that constitute the so-called first radiation within the subfamily.

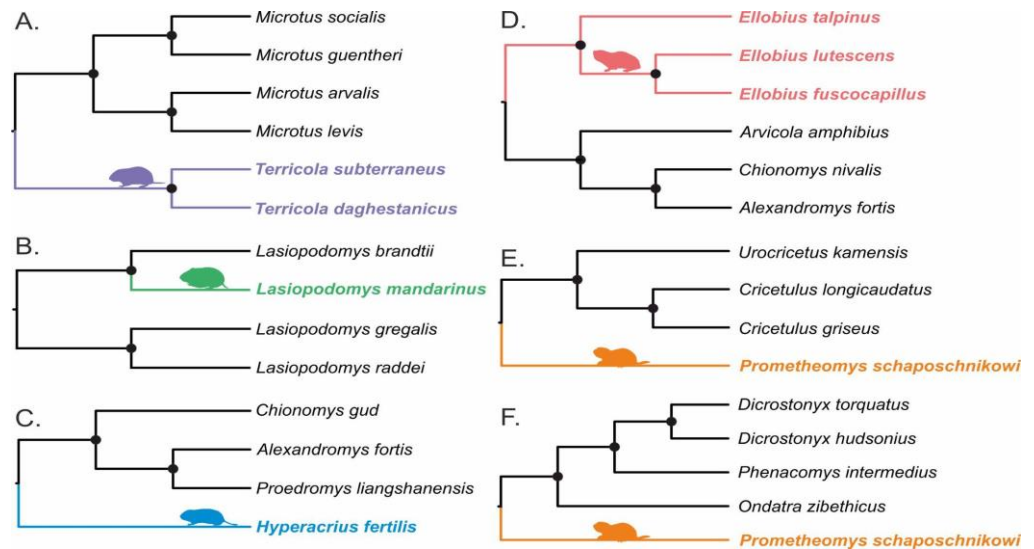


Figure 1. Trees used for tracing signatures of the selection pressures on the subterranean vole species. Fifty-percent majority rule consensus trees from Bayesian inference analysis are given. Subterranean species are shown in color. Black circles show nodes with Bayesian posterior probabilities above 0.99.

2.2. Alignment

The sequences of complete mitochondrial genomes were aligned using the Mauve 1.1.1 [54] implemented as the Geneious Prime 2019.1 plugin (<https://www.geneious.com>). The concatenated sequence of 13 protein-coding mitochondrial genes was separately aligned using MAFFT 7.222 [55].

2.3. Phylogenetic reconstructions

Phylogenetic reconstructions were carried out in the MrBayes 3.2.2 [56] using either seven nuclear genes (6,421 bp) (see methods in [26]) or 13 protein-coding mitochondrial genes (11,417 bp) - in case of *H. fertilis* (since nuclear genes are not available for this species). At this taxonomic level, the topology did not differ depending on nuclear or mitochondrial data on which the phylogenetic reconstruction was built.

The following analysis parameters were set: nst = mixed and the gamma distribution of the rates of substitutions between sites, the division into partitions by genes was used. Each analysis started with a random tree and had two replicates with four Markov chains (MCMC) and 1 million generations, with the results recorded every hundredth generation. Stationarity and convergence of separate runs were assessed using ESS statistics in Tracer v1.6 [57]. The trees were visualized using the FigTree v1.6 program (<http://tree.bio.ed.ac.uk/software/figtree/>).

2.4. Base mitochondrial statistics analysis

The base nucleotide composition was calculated in Geneious Prime 2019.1 (<https://www.geneious.com>). The displacement in the nucleotide composition (GC-skew) was studied by calculating the relative frequencies of nucleotides C and G [58,59] using the BioSeqUtils package in BioPython [60] in Python 3.0. The difference in the mean values was checked using the unpaired Wilcoxon test in the R software v.3.4.4 [61]. The mitochondrial genome of *H. fertilis* was excluded from this analysis because of its incompleteness [27] and the possibility of introducing errors into the analysis.

2.5. Convergent and parallel amino acids substitution detection

The evaluation of both convergent and parallel amino acid substitutions was carried out by the ProtParCon program [62] with a further search for amino acids characteristic only for subterranean rodents: we looked for replacements occurring at least in three subterranean taxa using a script on Python 3. The significance of the substitution frequency was estimated with ProtParCon subfunction using additionally Holm multiple adjustments in R software v.3.4.4.

2.6. Analysis of selective pressures

Selective pressures were estimated as variation in the levels of non-synonymous (dN) and synonymous (dS) substitutions, as well as ω using several approaches. We apply three ones: classic codeml program [63,64] with the comparisons between free-branch & M0 and free-branch & neutral-branch models; improved branch-site model - aBSREL (an adaptive branch-site REL test for episodic diversification [65]) and RELAX [66] to test whether the strength of natural selection has been relaxed or intensified along a specified set of branches. Also, we search sites under positive selection with MEME (Mixed Effects Model of Evolution, [67]). All programs except codeml are implemented in the DataMonkey web-server (datamonkey.org, [68,69]).

We used a full set of mitochondrial protein-coding genes ($n=13$) for each subterranean rodent. For branch-site analysis the total set of species was subdivided into several subsets so that each subset contained a subterranean species and its phylogenetically closest or sister aboveground species (Figure 1). The estimation for *P. schaposchnikowi* was assessed twice, in respect to hamsters (Figure 1, E) and to other representatives of the Arvicolinae (Figure 1, F) due to its basal position within the subfamily. The calculated p-values of all likelihood-ratio tests (LRTs) were corrected using Holm multiple adjustments in R software v.3.4.4.

The classical codeml program [63,64] was used with the ete-toolkit interface [70]. A branch models assume significant differences between ω values for marked branches (foreground or ω_{frg}) and the rest branches of the tree (background branches or ω_{bkg}). The branch model-based approach allows estimation of selection level at an individual subterranean species (colored on Figure 1) compared only with phylogenetically close aboveground taxa (marked black on Figure 1). In all the analyses, subterranean species were marked as foreground and aboveground taxa as background branches. For each subterranean species (or group of species for *Ellobius* and *Terricola*) analysis was implemented with free-branch model (b_free, where ω_{frg} and ω_{bkg} are free), neutral-branch model (b_neut, where ω_{frg} is fixed to one) and M0 model, where all branches evolve at the same rate. LRT was calculated to compare different models. The comparison between free-branch and M0 shows whether foreground branches have ω significantly different from the rest of the tree. And the comparison between free-branch and neutral-branch models detects if the value of ω_{frg} was significantly higher than 1. The values 999 and 0,001 were regarded as errors.

The aBSREL differs from other branch-site model implementations by inferring the optimal number of ω classes for each branch. After aBSREL fits the full adaptive model, the LRT is performed at each branch and compares the full model to a null model where branches are not allowed to have rate classes of $\omega > 1$. RELAX was employed to test changes in the intensity of selection (relaxation or intensification) in phylogenetic branches. A significant $K > 1$ estimate indicates that selection strength has been intensified along the test branches, while a significant $K < 1$ indicates that selection strength has been relaxed along the test branches. MEME employs a mixed-effects maximum likelihood approach to test the hypothesis that individual sites have been subjected to episodic positive

or diversifying selection. So, MEME aims to detect sites evolving under positive selection under a proportion of branches. We changed the confidence level manually from 0.1 to 0.05 for more significant results.

3. Results

3.1. Comparison of base nucleotide composition and gene order

Variation in GC-content among mitogenomes of subterranean species was lower than among aboveground species (Figure 2). There were no differences in mean values of GC-content and GC-skew. Gene order among all studied mitochondrial genomes remained unchanged.

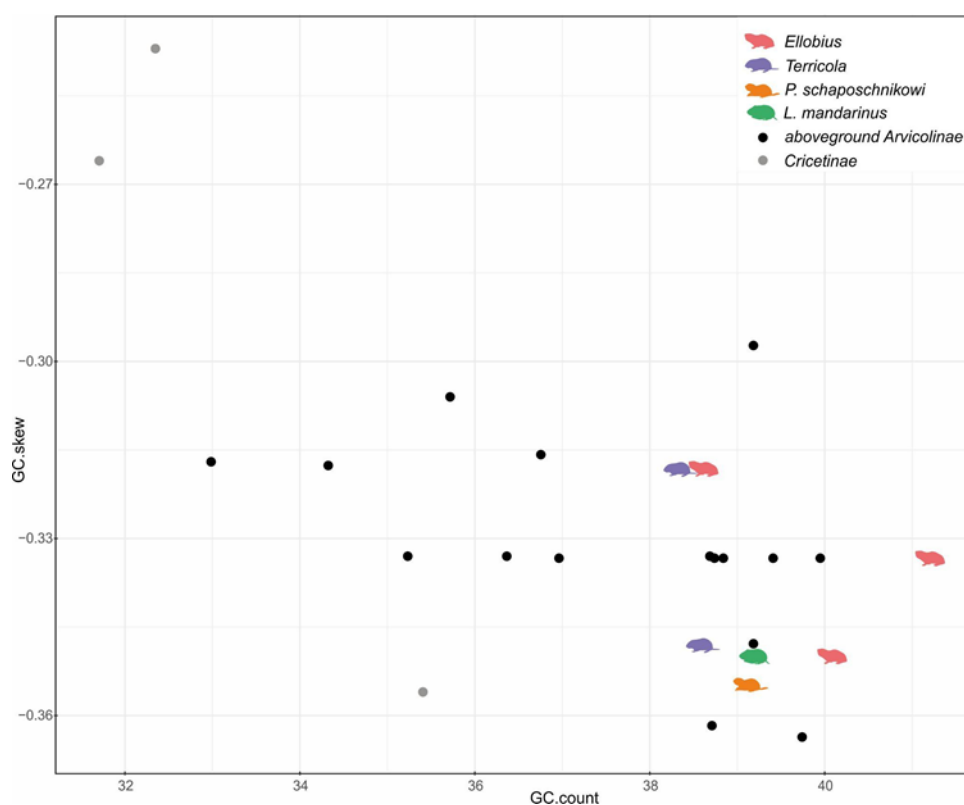


Figure 2. Variation of GC-content and GC-skew values in mitochondrial genomes of Arvicolinae. Black dots - aboveground vole species (Arvicolinae), grey dots - hamsters (Cricetinae), color symbols - subterranean vole species.

3.2. Selection relaxation or intensification

Significant selection signs were detected in three subterranean taxa using ete-evol workflow based on codeml (Table 1, for all data see Table S2). According to this analysis, eight mitochondrial protein-coding genes in *Ellobius* show significantly higher dN/dS values compared to the background taxa of *Arvicola amphibius*, *Chionomys nivalis* Martins, 1842 and *Alexandromys fortis* Büchner, 1889. Two genes (*COX3* and *CYTB*) were detected as genes with higher ω in *L. mandarinus* compared to other species of genus *Lasiopodomys*. Only *COX3* demonstrated signatures of selection in *P. schaposchnikowi* on the background of *Ondatra zibethicus* Linnaeus, 1766, true and collared lemmings (Table 1). Higher dN/dS values observed in foreground branches in all above mentioned comparison pairs indicated signatures of relaxed selection in mitochondrial protein-coding genes of subterranean rodents (Table 1, Table S2).

All genes in *Ellobius* except *ATP8* showed signatures of non-neutral selection together with all 13 mitochondrial protein-coding genes of two *Terricola* species and seven genes of *L. mandarinus* (Table S2).

Table 1. Estimation of ω in ete-toolkit using a branch model. Fg - foreground branch (subterranean species), Bg - background branch (aboveground species). Subterranean species indicated by color on Figure 1. LRT - likelihood ratio test p-value for models' comparison, M0 - one-ratio model, b_free - free-branch model. Only significant results are given in this table, all values can be found in Table S2.

| Gene | Fg, ω 1 | Bg, ω 0 | Adjusted p-values LRT b_free & M0 |
|-------------------------------------|----------------|----------------|--------------------------------------|
| <i>Ellobius</i> & group D | | | |
| <i>ATP6</i> | 0,13 | 0,04 | 3.43E-04 |
| <i>ATP8</i> | 0,74 | 0,16 | 0,0052 |
| <i>COX1</i> | 0,03 | 0,01 | 5.04E-05 |
| <i>COX2</i> | 0,22 | 0,02 | 1.17E-09 |
| <i>CYTB</i> | 0,06 | 0,02 | 3.74E-04 |
| <i>ND1</i> | 0,06 | 0,03 | 0,0125 |
| <i>ND4</i> | 0,09 | 0,04 | 0,0017 |
| <i>ND4L</i> | 0,18 | 0,04 | 0,0051 |
| <i>P. schaposchnikowi</i> & Group E | | | |
| <i>COX3</i> | 0,05 | 0,01 | 0,0136 |
| <i>L. mandarinus</i> & Group B | | | |
| <i>COX3</i> | 0,12 | 0,03 | 0,0324 |
| <i>CYTB</i> | 0,23 | 0,03 | 1.70E-04 |

The aBSREL approach also found evidence of episodic positive selection in analyzed subterranean rodents (Figure 1). It is observed in *COX2* for *E. lutescens* (but not in other species of the genus *Ellobius*) and in two genes for *P. schaposchnikowi*: *ATP8* as compared with Arvicolinae species (Group F) and *ND5* in respect to hamsters (Group E) (Table 2).

Table 2. Estimation of ω using aBSREL. Name - branch of interest, B - optimized branch length, LRT - likelihood ratio test, Test p-value - p-value corrected for multiple testing.

| Group | Gene | Name | B | LRT | Test p-value | ω distribution over sites |
|-------------------------------------|-------------|---------------------------|--------|---------|--------------|--|
| <i>Ellobius</i> & Group D | <i>COX2</i> | <i>E. lutescens</i> | 0.0072 | 17.9838 | 0.0001 | ω 1 = 0.238 (81%) ω 2 = 15.4 (19%) |
| <i>P. schaposchnikowi</i> & Group E | <i>ND5</i> | <i>P. schaposchnikowi</i> | 0.0149 | 4.4686 | 0.0390 | ω 1 = 0.395 (89%) ω 2 = 16.7 (11%) |
| <i>P. schaposchnikowi</i> & Group F | <i>ATP8</i> | <i>P. schaposchnikowi</i> | 0.1443 | 6.0817 | 0.0171 | ω 1 = 0.0553 (90%) ω 2 = ∞ (10%) |

The RELAX analysis showed changes in the intensity of selection in mitochondrial protein-coding genes of three subterranean species compared with aboveground ones (Table 3, Table S3). Eight genes with significant K-values < 1 and traces of selection relaxation were detected in *Ellobius*: *ATP6*, *COX1*, *COX3*, *CYTB*, *ND1*, *ND2*, *ND3* and *ND4*. Five genes (*COX1*, *COX3*, *ND2*, *ND4*, and *ND5*) were under relaxed selection in *P. schaposchnikowi* compared to Group F (Figure 1). Selective pressure was also significantly weakened in the *COX3* gene of *P. schaposchnikowi* compared to *Cricetulus* species (Group E). Analysis indicated three genes with signatures of relaxed selection in *L. mandarinus*: *COX1*, *COX3*, and *CYTB* (Table 3). No genes with a significant relaxation or intensification of selection were found for the remaining subterranean voles: *H. fertilis* and both investigated *Terricola* species.

Table 3. Estimation of natural selection intensity using the RELAX approach. LRT - likelihood ratio test, P - adjusted p-value, K - selection intensity parameter. Only significant results are given in this table, all values can be found in Table S3.

| Gene | LRT | P | K |
|-------------------------------------|---------|-----------|--------|
| <i>Ellobius</i> & group D | | | |
| <i>ATP6</i> | 38,9000 | 5.785E-09 | 0,1700 |
| <i>COX1</i> | 24,8820 | 7.308E-06 | 0,4070 |
| <i>COX3</i> | 9,0750 | 0,0175 | 0,1320 |
| <i>CYTB</i> | 17,4720 | 0,0003 | 0,4816 |
| <i>ND1</i> | 14,7850 | 0,0011 | 0,6414 |
| <i>ND2</i> | 23,7070 | 1.232E-05 | 0,3624 |
| <i>ND3</i> | 7,977 | 0,0282 | 0,5980 |
| <i>ND4</i> | 14,1950 | 0,0013 | 0,1628 |
| <i>P. schaposchnikowi</i> & Group F | | | |
| <i>COX1</i> | 18,8005 | 0,0002 | 0,3875 |
| <i>COX3</i> | 12,4548 | 0,0042 | 0,4027 |
| <i>ND2</i> | 21,2713 | 4.788E-05 | 0,1834 |
| <i>ND4</i> | 30,1175 | 5.291E-07 | 0,5331 |
| <i>ND5</i> | 9,9163 | 0,0147 | 0,3350 |
| <i>P. schaposchnikowi</i> & Group E | | | |
| <i>COX3</i> | 18,5788 | 0,0002 | 0,3382 |
| <i>L. mandarinus</i> & Group B | | | |
| <i>COX1</i> | 8,8720 | 0,0319 | 0,4922 |
| <i>COX3</i> | 11,1457 | 0,0101 | 0,0071 |
| <i>CYTB</i> | 22,5555 | 2.652E-05 | 0,3003 |

A number of orthologous genes showed relaxation of selection in several subterranean species. For instance, *COX1* and *COX3* genes demonstrated relaxation in all three *Ellobius* species, *P. schaposchnikowi* and *L. mandarinus*. Some genes were detected under relaxed selection in *Ellobius* species and *P. schaposchnikowi* (*ND2*, *ND4*) or *Ellobius* species and *L. mandarinus* (*CYTB*).

3.3. Estimation of selective pressures in sites of protein-coding genes

We applied the MEME approach for each subterranean taxon individually (Figure 1) to search for sites under positive selection in all mitochondrial protein-coding genes. Results of this analysis are shown in Table 4.

Table 4. Sites under positive selection found in mitochondrial genes using the MEME approach. N/A – not analyzed, no sites – analysis did not show sites under positive selection. Homologous site found for several species in *COX1* indicated by **bold**.

| Gene | <i>Ellobius</i> | <i>P. schaposchnikowi</i> & Group F | <i>P. schaposchnikowi</i> & Group E | <i>L. mandarinus</i> | <i>H. fertilis</i> | <i>Terricola</i> |
|-------------|-------------------|-------------------------------------|-------------------------------------|---------------------------|--------------------|------------------|
| <i>ATP6</i> | no sites | no sites | no sites | 76 | no sites | no sites |
| <i>ATP8</i> | 64 | 22, 41 | no sites | 60 | 53, 63 | no sites |
| <i>COX1</i> | 434 | no sites | 434 , 484 | no sites | no sites | no sites |
| <i>COX2</i> | no sites | no sites | no sites | 218 | no sites | no sites |
| <i>COX3</i> | no sites | no sites | no sites | no sites | no sites | no sites |
| <i>CYTB</i> | no sites | 296 | 380 | 23, 57, 67, 226, 329, 371 | 82 | no sites |
| <i>ND1</i> | no sites | no sites | no sites | 65, 299 | no sites | no sites |
| <i>ND2</i> | 69 | 83, 199, 281, 320 | no sites | 235 | N/A | no sites |
| <i>ND3</i> | no sites | no sites | no sites | 20 | no sites | no sites |
| <i>ND4</i> | 22, 169, 189, 256 | 188 | 19, 25, 107, 171, 188, 205, 351 | 155, 350, 441 | no sites | no sites |
| <i>ND4L</i> | 17 | no sites | no sites | no sites | no sites | no sites |
| <i>ND5</i> | 36 | 59, 441, 491, 511 | 213, 542, 551, 602, 603 | 311, 364 | N/A | no sites |
| <i>ND6</i> | 111 | no sites | 4, 81 | no sites | no sites | no sites |

Various sites under positive selection have been found in the orthologous mitochondrial genes. Its amount differs in several gene groups: so, genes *CYTB*, *ND4* and *ND5* turned out to be the richest ones while no sites at all were detected in the *COX3* gene. The largest number of sites under positive selection were found for *P. schaposchnikowi*, *Ellobius* group and *L. mandarinus*. In genes *ATP6*, *COX2*, *ND1* and *ND3* we found sites only for *L. mandarinus* and in gene *ND4L* only for the *Ellobius* group. Three sites in two genes (*ATP8* and *CYTB*) were detected for *H. fertilis*. Above all, homologous site 434 in *COX1* gene was detected as being under positive selection both for the *Ellobius* species and *P. schaposchnikowi*. No sites under positive selection were traced for two *Terricola* species.

3.4. Search for parallel and convergent amino acid substitutions

Using ProtParCon, we found six amino acid substitutions meeting our search criteria: *COX1* Met73Ile, *COX3* Ile121Val, *ND5* Phe446Leu, *CYTB* Thr56Ser, *CYTB* Ile338Val, *CYTB* Ala357Thr (Table S4), However, all of them appeared to be insignificant upon further verification.

4. Discussion

Signatures of selection were recently found in several mitochondrial genes of subterranean rodents [11,25,71,72] using the phylogenetic framework at the family level and over a vast evolutionary period. Here, we applied a similar approach in search for selection traces at a significantly smaller evolutionary timescale and within a lower taxonomic level: several subterranean species of the Arvicolinae subfamily. Comparing different genera within subfamily and different species within one genus that independently switch to the subterranean lifestyle, we aimed to determine whether this fast transition left signatures of selection in mitochondrial protein-coding genes and whether the substitutions occur at homologous or different sites and genes.

Applying various approaches in searching selection signatures in Arvicolinae mitochondrial protein-coding genes, we have found 1) genes with signs of selection relaxation in subterranean species; 2) among subterranean species the number of genes with selection signatures substantially differs.

Gene *COX3* demonstrated higher ω values in codeml branch analysis for both *P. schaposchnikowi* and *L. mandarinus* and *CYTB* for *L. mandarinus* and *Ellobius* species related to sister aboveground taxa. According to the RELAX analysis, *COX1*, *COX3*, *ND2* and *ND4* show selection relaxation for at least two subterranean species (Figure 3). List of common genes with sites under positive selection discovered in branch-site MEME analysis includes more than half of mitochondrial protein-coding genes: *ATP8*, *COX1*, *CYTB*, *ND2*, *ND4*, *ND5* and *ND6*. Genes *COX1* and *COX3* were found to be the most affected by selection pressure according to the results of several approaches based on complete gene sequence analysis, i.e. excluding MEME results (Figure 3).

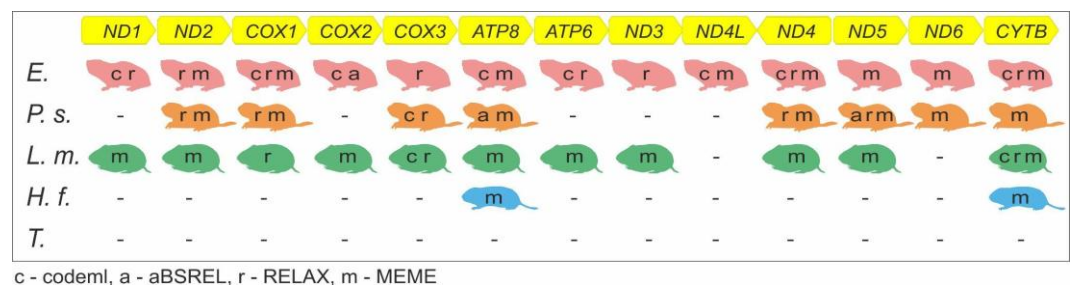


Figure 3. Genes with significant changes in selective pressures for each of the subterranean species. Letters inside each silhouette indicate the analyzes in which a significant result was obtained. *E.* - *Ellobius* species, *P.s.* - *P. schaposchnikowi*, *L.m.* - *L. mandarinus*, *H.f.* - *H. fertilis*, *T.* - *Terricola* species.

Similar results were obtained by Da Silva et al. [25], who found a significant difference for ω values in *CYTB* sequences of subterranean rodents from families Ctenomyidae, Geomyidae and Bathyergidae compared to their aboveground closely related species. Significantly higher ω ratio in subterranean groups relative to aboveground ones was shown also by [11]. Moreover, the distribution of non-synonymous mutations indicated the considerable changes in *CYTB* of animals, which were confronted with more severe hypoxia because of higher altitude and a colder, drier climate and were facing a higher purifying selection pressure [73]. Finally, Tomasco and Lessa [71] found increased values of ω in *COX2* of subterranean coruros *Spalacopus* (almost 30X) and tuco-tucos *Ctenomys* (11X) relative to aboveground octodontoids. Our previous studies on the Arvicolinae subfamily also showed the relaxation of selection strength in *CYTB* reflecting an increased ratio (ω) in subterranean lineages compared to non-subterranean [26]. Later, indicated changes were demonstrated for all mitochondrial protein-coding genes. Subterranean species south American tuco-tucos and the related coruro in the work of Tomasco and Lessa [71] showed a significantly higher ω compared to their aboveground counterparts in 11 of 13 mitochondrial genes. Convergent changes were also found between the studied subterranean genera and other mammals adapted to hypoxia. All genes except *ND3* show amino acid properties consistent with positive destabilizing selection. Proteins varied in the fraction of strong positively selected amino acid properties per site, from 0.03 in *COX3* to 0.38 in *ATP8*. In most genes one or several (for *ND4L*, *ND5* and *COX1*) positively selected sites were detected. Tavares and Seuánez [72] findings showed significant selection relaxation in most mitochondrial protein-coding genes of subterranean hystricomorphs (African mole-rats, tuco-tucos, and coruro). Conversely, selection intensification was found to have occurred in three genes in fossorial sciuriforms (ground squirrels, chipmunks, marmot). Thus, summing up this brief comparison with other studies of selective pressures in mitochondrial genomes of subterranean rodents, we observe the common trends in evolutionary old and highly specialized subterranean rodent families and phylogenetically young lineages of voles.

According to some assumptions [2], such an increase in the level of selection in mitochondrial protein-coding genes could be a consequence of the low effective population size of subterranean rodents. When ω values are smaller than 1, the study of a single gene does not allow us to reject alternative, non-selectionist explanations of rate variation, such as a variation in metabolic rate, body mass, population size, and generation time among lineages [74–76]. However, under a relaxation of purifying selection model, the same pattern of variation among lineages is expected in all genes. In contrast, our study found (a) most, but not all genes have greater ω in subterranean lineages; and (b) different combinations of genes with selection signatures are detected by used approaches in studied species.

We found that some genes with an increased number of selection signatures appear in various analyses more frequently than others. It may be supposed that the number of selection signatures is partly positively correlated with the rate of gene evolution. Mutation rates between mitochondrial gene families are distributed as follows: *ATP* > *ND* > *CYTB* > *COX* according to Lopez [77]. In accordance with this assumption our results showed that both *ATP* genes display an increase in signatures of selection in subterranean species, except *T. subterraneus* and *T. daghestanicus*. Moreover, selective traces in genes from the *ATP*-group are detected in several analyzes: RELAX (*ATP6* for genus *Ellobius*) and aBSREL (*ATP8* for *P. schaposchnikowi*). *ATP8* demonstrated positively selected sites in almost all analyzed species, except *Terricola* species and thus it is one of the two genes in our dataset with most pronounced selection signs (Figure 3). Genes of the *ND* group vary in the degree of selective pressures. Genes *ND2*, *ND4* and *ND5* were detected in all of the performed analyzes in three out of five analyzed subterranean species. Aside from positively selected sites detected by MEME, significant changes in selective pressure were found in *ND1*, *ND2*, *ND4*, *ND4L* and *ND5* genes (Figure 3). The *CYTB* gene shows a significant difference in ω levels between subterranean and aboveground species and confirmed selection relaxation for *Ellobius* species and *L. mandarinus*. These results reproduce

our earlier findings performed in the analysis of the *CYTB* alone and with a different set of compared species [26]. In addition to previously reported, we have now detected positively selected sites for *P. schaposchnikowi*, *Ellobius* species, *L. mandarinus* and *H. fertilis*. Thus, *CYTB* on a par with *ATP8* appears as the gene with maximum selection signatures (Figure 3). An unexpected result was obtained for the *COX* genes: they show adaptive signatures across all types of analyzes at the same level as more variable *ND* genes despite the greatest conservatism.

It is remarkable that the largest number of identified genes under selection in our study was found in *P. schaposchnikowi*, *Ellobius* species and *L. mandarinus* (Figure 3). *P. schaposchnikowi*, the oldest subterranean lineage within the subfamily, represents the first wave of species radiation within Arvicolinae [78] and the earliest split within subfamily [79,80]. *Ellobius* species (tribe Ellobiusini) and *L. mandarinus* (tribe Arvicolini) are significantly younger and both represent the latest most diverse radiation wave of the Arvicolinae subfamily. Thus, there is no correlation between the number of revealed genes with selection signatures and the evolutionary age of the lineage. For instance, lineages with a similar evolutionary age, as the *Ellobius* species - *H. fertilis* - dramatically differ in selective pressures in mitochondrial genes. While for *Ellobius* species we observe signatures of selection in almost all mitochondrial protein-coding genes, in *H. fertilis* we found only three sites under positive selection in two genes. The same phenomenon is observed while comparing similar in age subterranean species of the Arvicolini tribe: *T. subterraneus*, *T. daghestanicus* and *L. mandarinus*. While *Terricola* species do not show any signatures of selection, *L. mandarinus* in contrast is the second one after the mole voles in the number of such signatures in mitochondrial genes.

In total, the highest number of selection signatures related to the subterranean lifestyle we have found in the *Ellobius* species which are younger than the oldest representative of the subfamily - subterranean *P. schaposchnikowi*, by ca. 2 Ma. However, if viewed in light of the degree of specialization to the subterranean lifestyle the data obtained seem to be quite logical. The most stressful challenges faced by subterranean rodents are hypoxic/hypercapnic conditions and overheating in the closed burrow system [2]. While both mole voles and long claw voles are highly specialized diggers, they occupy different habitats, and their burrows characteristics as well as foraging methods are quite distinctive. The typical habitats of *Ellobius* are arid or semi-arid landscapes like steppes, deserts and grasslands. These rodents populate various soil types, including the compact soils of clay deserts, and create rather stable systems of narrow tunnels to forage underground with geophytes [7,41,46]. Thus, mole voles should cope with issues which other truly subterranean mammals encounter [2]. The latter in its turn may lead to the changes we observed in the mitochondrial protein-coding genes. To the contrary, *P. schaposchnikowi* occurs in Caucasian subalpine tall-grass meadows at 1500-2500 m a.s.l. [51,81,82]. Its burrows are excavated in loose humid soils filled with stones which should increase gas diffusion. Importantly, the superficial foraging tunnels of these voles are unusual in that they seem to be oversized being almost twice as wide as you would expect for a rodent of this size ([82]; personal observations of AVS). This extra space may prevent overheating, hypoxia and hypercapnia. Further, the long-clawed mole-vole is strictly herbivorous, at least in summer. During the foraging bouts, the vole sticks out of the hole, picks up the plants and drags them into the burrow to feed in safety ([83,84]; personal observations of AVS). Therefore, even being limited in foraging activity to the vicinity of burrow openings, long claw voles actually spend a lot of time out of tunnels. Due to the cold climate, burrow architecture and feeding behavior, *Prometheomys* may avoid some of the physiological challenges which most subterranean species have to cope with.

We found significant differences in selective pressures on mitochondrial protein-coding genes in *Lasiopodomys mandarinus* and *Terricola* species despite the similar evolutionary age of these taxa. This difference also may reflect unequal levels of energetic and hypoxic stress resulting from specific characteristics of foraging strategies and burrow architecture. Mandarin voles feed either on geophytes underground or green parts of plants in the immediate vicinity of the burrow entrance. Foraging tunnels of this species are located

at depths of 10-30 cm [85,86], and the direct measurements of gas concentrations, temperature and humidity confirmed that animals in the burrow should face hypoxia and hypercapnia. European pine voles inhabit various vegetation communities from broad-leaf forests (*T. subterraneus*) to alpine meadows (*T. daghestanicus*) [87]. Similarly to *Ellobius*, *Prometheomys* and *L. mandarinus*, they use complex network of underground runways, and exhibit some external traits associated with fossoriality, and thus are usually referred as subterranean [52,87]. However, the slender physique and nimble habits of *T. subterraneus* (Abramson and Smorkatcheva, unpublished) as well as the characteristics of its tunnel system distinguish this vole from the specialized subterranean rodents. Feeding runways of this species are located in the very surface soil levels (within 5-10 cm) or even just under leaf litter [52]. These runways afford the voles protection from unfavorable weather and predators which explain the tendency of *Terricola* species for slow life history [88–90], but their depth is probably too shallow to significantly prevent gas diffusion resulting in hypoxic/hypercapnic conditions inside. Unfortunately, almost nothing is known about the ecology of *T. daghestanicus* which inhabits Caucasian alpine steppes and meadows. The fact that the voles of this species are reported to find shelter among rocks [51] suggests that they are not so strictly subterranean. Our results confirm this fact, so we didn't find any changes of selective pressures in mitochondrial genes for this species characteristic for other subterranean species. Unfortunately, we do not have enough data about the *H. fertilis* digging feature and practice to associate it with detected substitutions.

Taken collectively, our results are consistent with a hypothesis that the colonization of the subterranean niche creates a selective regime of positive, directional selection in protein-coding mtDNA. Our data confirms the recent findings obtained by us in the analysis of *CYTB* sequences and several studies based on subterranean rodent families mitochondrial genomes [25,72]. We indicate the signatures of positive selection in the evolution of mitochondrial DNA in Arvicolinae during colonization of subterranean environments. We observe relaxation of selection in most mtDNA protein-coding genes using *dN/dS* calculation with branch and branch-site models. Herewith different mitochondrial genes demonstrated various levels of evolutionary changes, so *ATP8* and *CYTB* showed utmost changes in analyzed species. We also observe a discrepancy between the number of detected genes with signatures of selection and evolutionary age of the lineage. The highest number of selection signatures we found in the *Ellobius* species, not the most ancient among the studied taxa but the most specialized subterranean form. It suggests that the signatures of adaptations in individual mitochondrial protein-coding genes associated with the entering of the subterranean niche may appear within a rather short evolutionary timespan.

5. Conclusions

We indicate the signatures of positive selection in the evolution of mitochondrial DNA in Arvicolinae during colonization of the subterranean environment. We found that the number of selection signatures in mitochondrial genes is independent of the evolutionary age of the lineage but positively correlated with the degree of specialization to the subterranean niche. The data obtained suggests that the signatures of adaptations in individual mitochondrial protein-coding genes associated with the entering of the subterranean niche may appear within a rather short evolutionary timespan.

Supplementary Materials: The following are available online at www.mdpi.com/xxx/s1, Table S1: Species and GenBank accession numbers, Table S2: Estimation of ω in ete-toolkit using a branch model, Table S3: Estimation of natural selection intensity using the RELAX approach, Table S4: Identified parallel substitutions in mitochondrial protein-coding genes.

Author Contributions: OB: Methodology, Software, Investigation, Formal analysis, Data curation, Writing - original draft, Writing - review & editing, EGY: Methodology, Software, Visualization, Writing - review & editing, TP: Data curation, Formal analysis and Visualization, SB: Data curation, Writing - review & editing, AS: Data curation, Writing - original draft, NA: Conceptualization,

Data curation, Methodology, Resources, Writing - review & editing, Project administration, Funding acquisition. All authors read and approved the final manuscript.

Funding: This work was supported by the Russian Science Foundation N 19-74-20110 (for OB, SB, EGY, TP and NA) and Russian Foundation for Basic Research (RFBR) N 19-04-00538a (for AS).

Institutional Review Board Statement: Not applicable.

Data Availability Statement: All analyzed mitochondrial genomes available in GenBank, accession numbers given in Table S1.

Acknowledgments: We are grateful to colleagues who shared the material for the study and Dmitry Rasskazov for help with server management.

Conflicts of Interest: The authors declare no conflict of interest.

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