Article

Chip-Based Digital PCR improves the detection of low-rate *PIK3CA* mutations in Breast Cancer Patients

Stefano Giannoni-Luza¹, Oscar Acosta Conchucos^{1,2}, Alexis Germán Murillo Carrasco¹, Pierina Danos¹, José Manuel Cotrina Concha³, Henry Guerra Miller³, Joseph A. Pinto⁴, Alfredo Aguilar Cartagena⁴, Jhahaira M. Araujo⁴, Ricardo Fujita¹ and José Luis Buleje Sono^{1,5*}

- 1. Centro de Genética y Biología Molecular, Universidad de San Martin de Porres. Lima-Peru.
- 2. Facultad de Farmacia y Bioquímica, Universidad Nacional Mayor de San Marcos, Lima, Perú
- 3. Instituto Nacional de Enfermedades Neoclásicas (INEN). Lima-Peru.
- 4. Oncosalud-Auna. Lima-Peru.
- Escuela Profesional de Medicina Humana, Filial Ica, Universidad Privada San Juan Bautista, Carretera Panamericana Sur Km 300, Ica, 11004, Peru.
- * Correspondence: jbulejes@gmail.com. Phone: +51 985225663.

Simple Summary: *PIK3CA* mutations are frequent mutations in breast cancer and are considered as potential predictive and prognosis factors. Novel platforms for gene analysis as digital PCR (dPCR) are emerging as a potential replacement for traditional Sanger sequencing. However, there are still few studies on dPCR. Thus, this cross-sectional study aimed to assess the sensibility of dPCR to detect and quantify *PIK3CA* mutations in breast cancer patients and compare its performance with Sanger sequencing. Our findings describe dPCR as a technique able to detect low-rate *PIK3CA* mutations. It represents tumor subpopulations not usually detected by Sanger sequencing, which could induce treatment changes at clinical routine.

Abstract: *PIK3CA* is a gene usually mutated in breast cancer and has an important role in tumor progression and treatment. Therefore, there is required a technique to detect low-rate *PIK3CA* mutations improving the clinical conduct. This study aimed to compare chip-based dPCR and Sanger sequencing to detect *PIK3CA* mutations in breast cancer patients. Fifty-seven tumor samples from breast cancer patients were collected and analyzed by Sanger sequencing and dPCR for *PIK3CA* mutations (E545K, H1047R, and H1047L). Digital PCR sensitivity, specificity, and overall performance were estimated by contingency tables, receptor operator characteristic (ROC), and area under the curve (AUC). Sanger sequencing identified PIK3CA mutations in six patients (10.5%), two with H1047R, and four with E545K. Digital PCR confirmed those mutations and identified 19 additional patients with at least one mutation. Comparison between dPCR and Sanger sequencing showed a sensitivity of 100% (95% CI 53-100%), and a specificity of 84.2% (95% CI 83 - 84.2%). Besides, H1047R mutation showed a significant association with breast cancer phenotype (p =0.019) and lymphatic node infiltration (p =0.046). Digital PCR showed a high sensitivity to detect mutations in tumor samples and it might be capable to detect low-rate mutations and tumor subpopulations not detected by Sanger sequencing.

Keywords: Breast cancer; PIK3CA; digital PCR; Sanger sequencing

1. Introduction

PIK3CA mutations are common in breast cancer as described by The Cancer Genetic Atlas (TGCA) with a frequency of 36% (1). Although this gene has 23 exons, pathological mutations are clustered in two hot spots in exon 09 (E542K and E545K) and exon 20 (H1047R and H1047L) (2). The PIK3CA gene is located in chromosome 3q26.3 and encodes the p110α isoform, part of the phosphatidylinositol 3-kinase (PI3K)/AKT/mTOR

pathway, (3) which converts phosphatidylinositol 4-5 biphosphate (PIP₂) to phosphatidylinositol 3-4-5 triphosphate (PIP₃). Consequently, it activates multiple downstream signaling pathways involved in cellular growth, motility, apoptosis, and differentiation (4,5). In contrast, this activity is regulated by the phosphatase and tensin homolog (PTEN) which converts back PIP₃ into PIP₂. Hence a mutation that makes a hyperactive *PIK3CA* or an underactive PTEN, will end to overstimulate the PI3K/AKT/mTOR pathway leading to an oncogenic behavior (5,6).

Since its discovery in 2004 (2), researchers have had special attention to *PIK3CA* mutations as they are considered to have a potential use as prognostic and predictive factors. Besides, several authors have reported that *PIK3CA* mutations confer an improved prognosis (7–9). Nonetheless, this data is still controversial as other authors have suggested that *PIK3CA* mutations are for the contrary associated with a negative prognosis (6,10–13) and current therapy resistance (hormone and anti-HER2 therapy) (14–18)

Traditionally, gene analysis in tumor samples has been conducted by Sanger sequencing, despite its limitations (19–21). Nevertheless, new technologies have emerged promising better performance, enabling a quantitative and more sensitive gene analysis.

One of these technologies is the "digital Polymerase Chain Reaction" (dPCR), which performs an absolute quantification of specific nucleic acid sequences by first dividing a sample into numerous partitions into chambers or droplets (depending on the platform), individually containing at least one target molecule. Then, it performs simultaneous endpoint PCRs and counts the partitions in a binary style (0 negative and 1 positive) where a fluorescence signal has been produced with a posterior Poisson analysis (22–24). In contrast with other PCR techniques such as qPCR, dPCR does not require standard curves calibration. Also, it enhances the effective concentration of the objective nucleic acids and decreases the background effect of abundant molecules over rare or low frequent targets (25). Therefore, it is capable to detect theoretically up to 0.02% mutant allele in an overwhelming background of the normal allele (26). Consequently, this technique has a great potential in individualized medicine as well as in cancer research to detect mutant cells at very low frequency (27).

Several studies have already used dPCR technology for mutation analysis with promising results (28–31). Most of them have commonly used Droplet Digital PCR (ddPCR), which is a water-oil droplet partition-based platform that fractionates the sample in several droplet reactions (31). More recently, a novel chip-based platform has been introduced, Quantstudio $^{\text{TM}}$ 3D Digital PCR chip system, which offers a highly precise and sensitivity absolute quantification. The chip offers a similar number of partitions as ddPCR but with a simpler workflow (24) and a relatively low cost. However, there are still few studies using this new technique. Therefore, we conducted a cross-sectional study to determine the sensitivity and specificity of the QuantStudio 3D Digital PCR chip system to detect PIK3CA mutations in breast cancer patients, in comparison with Sanger sequencing, as well as an analysis between patient clinicopathological features and *PIK3CA* mutational status detected by dPCR.

2. Materials and Methods

2.1. Study Population

Tumor samples were obtained from breast cancer patients diagnosed at the Instituto Nacional de Enfermedades Neoplasicas (INEN) in Lima-Peru and analyzed in a core Laboratory (Centro de Genética y Biología Molecular) at Universidad de San Martin de Porres. Information regarding demographic and clinicopathological characteristics was obtained from clinical records. Breast cancer phenotypes were classified based on the St. Gallen International Expert Consensus from 2011 (32).

2.2. Sample Processing and DNA Extraction

Hematoxylin and eosin-stained slides from tumor/biopsy FFPE blocks were reviewed by a pathologist to confirm and delimitate the area with neoplastic cells. These delimitated areas were then localized in the FFPE blocks and cut in eight slices of 4mm thick. Genomic DNA extraction was performed according to the GeneJet FFPE DNA purification Kit (ThermoFisher Scientific, Boston, MA, USA) protocol. Tumor DNA was eluted in 80 ul of the given elution buffer and stored at -20°C. Concentration and purity of DNA were determined using NanoDrop™ Lite Spectrophotometer (ThermoFisher Scientific, Boston, MA, USA). The median time between the FFPE processing and DNA extraction was 112 days.

2.3. PIK3CA Mutations Analysis

Three mutations in the PIK3CA gene (E545K, H1047R, and H1047L) were assessed using the QuantStudio 3D Digital PCR System (ThermoFisher Scientific, Boston, MA, USA) (Catalog number in Table S1, supplementary material 1) and Sanger Sequencing. For digital PCR, 1.5 µL of sample DNA was mixed with 0.75 µL of 20x TaqMan Assay, plus 7.5 µL of QuantStudio 3D Master Mix 2X and 5.25 µL of water. The total mixture of 15 µl was then loaded in the QuantStudio 3D Digital PCR 20k Chips by the QuantStudi o^{TM} 3D Digital PCR Chip Loader. The Cycling conditions for exon 20 mutations (H1047R and H1047L) were an initial denaturation at 96°C for 10 minutes followed by 39 cycles of 60°C for two minutes, 30 seconds at 98°C and a final stage of two minutes at 60°C for the extension. While for exon 9 mutation (E545K) were an initial denaturation at 96°C for 10 minutes followed by 40 cycles of 52°C for two minutes, 30 seconds at 98°C and a final stage of 10 minutes at 72°C for a final extension (33). All samples were then left at 22° C for at least 20 minutes. Results were analyzed by QuantStudio 3D Analysis Suite™Cloud Software (ThermoFisher Scientific, Boston, MA) based on the Poisson plus algorithm (v.4.4.10). The software automatically calculated the thresholds for FAM (mutant alleles) and VIC (wild type alleles) signals. However, to homogenize the results, reduce false positives, and avoid observer bias we established a fixed threshold of 6000 relative fluorescence units for FAM on all the samples based on our most representative positive cases (Figure S1 in the supplementary material 1). Additionally, a quality threshold of 0.5 was established. The calibration process for dPCR can be found in the supplementary material 1. Digital PCR assays were performed following the digital MIQE guidelines (supplementary material 2) (34).

For Sanger sequencing, we used the ABI PRIMS 3500 (Applied Biosystems™, Foster City, CA, USA). Conventional PCR was carried on for exon 9 (E545K) and exon 20 (H1047R and H1047L). Primers used are presented in Table S2 while cycling conditions for exon 9 in Table S3 and exon 20 in Table S4 (supplementary material 1). Before sequencing, samples were purified according to GeneJet PCR Purification Kit (ThermoFisher Scientific, Boston, MA, USA) protocol with the exception that we preheated the elution buffer at 60°C for at least 10 minutes to increase DNA concentration. DNA was then eluted in 35 uL of the elution buffer supplied. Two independent readers analyzed the sequences and compared them with the reference sequence: NC_0000003.12 (GRCh38).

2.4. Statistical Analysis

To calculate the sensitivity, specificity, positive predictive value (PPV), and negative predictive value (NPV) of dPCR, we compared the 171 essays performed by dPCR against their respective results from Sanger sequencing. Each patient had three essays (one for each mutation) in both dPCR and Sanger sequencing. The analysis was performed with contingency tables from JavaStat (http://statpages.info/ctab2x2.html) whereas, we used STATA v15.1 for the other statistical analysis. We estimate the Receptor Operator Characteristic (ROC) curve of dPCR against Sanger sequencing and calculate the area under the curve (AUC). The test qualification according to the AUC might be from non-discriminatory (0.5 or minus) to outstanding (over 0.9) (35). Additionally, we performed the chi-square test and Fisher exact test (when needed) to assess which clinicopathological feature was related to the mutational status of PIK3CA E545K and H1047R. The residuals from the chi-square analysis were used as post-hoc to determine the direction of the association among levels. The intra-rater analysis was estimated by Intraclass Correlation Coefficient (ICC) based on a single rating (k=1), consistency of agreement, 2-way mixed-effects model. For all the analyses, we calculated 95% confidence intervals (CI) and considered two-sided P-value 0.05 as the statistically significant threshold.

2.5. Ethics considerations

Patients were enrolled prospectively in the study between April and August of 2017 after signing the proper informed consent. The study protocol and informed consent were approved by Universidad de San Martín de Porres IRB (IRB00003251-FWA0015320) and the Protocols Review Committee from INEN (Protocol INEN 17-27).

3. Results

3.1. Patients Characteristics

Overall, 57 eligible patients were included in the study. Demographic and clinicopathological characteristics are presented in Table 1.

Patient Feature	N (%)
Previous Neoadyuvancy	
Yes	38 (66.7)
No	19 (33.3)
Age	
≤ 50	22 (38.6)
>50	35 (61.4)
Stage	
0	1 (1.8)
I	3 (5.3)
II	10 (17.5)
III	37 (64.9)
IV	5 (8.8)
No evaluable	1 (1.8)
Histological Type	
Ductal	46 (80.7)
Lobular	4 (7.0)
Other	7 (12.3)
HER-2 Receptor	
Positive	19 (33.3)
Negative	34 (59.7)
No evaluable	4 (7.0)
Estrogen Receptor	
Positive	37 (64.9)
Negative	19 (33.3)
No evaluable	1 (1.8)
Progesterone Receptor	
Positive	32 (56.1)
Negative	24 (42.1)
No evaluable	1 (1.8)
Immunophenotype	
Luminal A like	9 (15.8)
Luminal B like	28 (49.1)
HER-2 (+)	10 (17.5)
Basal-like	8 (14.0)
No evaluable	2 (3.5)
Lymph Node	` ,
Positive	40 (70.2)
Negative	17 (29.8)
Systemic Metastasis	, ,
Positive	5 (8.8)
Negative	52 (91.2)
Hormonal Status	,

Pre-menopause	13 (22.8)
Post-menopause	44 (77.2)
Region of Birth	
Lima	19 (33.33)
Pacific coast	20 (35.09)
Andes	13 (22.81)
Amazon	5 (8.77)
Ki-67	
≥14	41 (71.93)
<14	10 (17.54)
No evaluable	6 (10.53)

Note: Percentage may not sum 100% due to rounding.

3.2. PIK3CA Mutation Status

We analyzed 22 pre-treatment samples (28.1%), 32 (56.1%) residual tumors (after neoadjuvant chemotherapy), and three (5.3%) lymph nodes infiltrating tumors. Tumor DNA samples were analyzed by dPCR and Sanger sequencing. By dPCR, 25 patients (43.9%) showed to have at least one mutation. Mean copies per partition, the total volume of partition, and partition number are shown in supporting information (Table S5, supplementary material 1). From the three evaluated mutations, E545K was the most frequent with 18 cases (31.6%), followed by H1047R in 11 cases (19.3%), and H1047L in 3 cases (5.3%). Examples of positive and negative cases can be seen in the supporting information (Figure S1, supplementary material 1). Furthermore, seven patients presented the coexistence of two mutations, from which four had H1047R and E545K, and three H1047R and H1047L. On the other hand, regarding H1047R, H1047L and E545K mutations exclusively, Sanger sequencing identified six mutated cases (10.5%) from which four (7%) were E545K and two (3.5%) H1047R. Neither H1047L nor mutation coexistence was identified by Sanger sequencing (Table 2). Dilution (Table S6 to S9) and the intrarater assay (Table S10) can be found in the supplementary material 1.

Table 2. PI3KCA Mutations detected by Digital PCR and Sanger sequencing

Digital PCR	FAM Copies/μL	Sanger sequencing	
E545K (0.44%)	2.93	WT	
H1047R (57.94%)	2364.8	H1047R	
E545K (0.50%)	10.75	WT	
H1047R (4.34%)	12.2	WT	
E545K (0.50%)	2.31	WT	
WT	-	WT	
	E545K (0.44%) H1047R (57.94%) E545K (0.50%) H1047R (4.34%) E545K (0.50%) WT WT WT WT WT WT	E545K (0.44%) 2.93 H1047R (57.94%) 2364.8 E545K (0.50%) 10.75 H1047R (4.34%) 12.2 E545K (0.50%) 2.31 WT -	

10	WT	-	WT
11	WT	-	WT
12	H1047R (0.35%)	3.1	WT
	E545K (0.89%)	10.14	WT
13	E545K (0.29%)	0.74	WT
14	E545K (11.66%)	229.0	E545K
15	H1047R (0.24%)	1.6	WT
	E545K (0.44%)	2.13	WT
16	WT	-	WT
17	WT	-	WT
18	E545K (0.28%)	1.39	WT
19	E545K (54.60%)	3263.90	E545K
20	WT	-	WT
21	WT	-	WT
22	H1047R (41.17%)	358.93	H1047R
	H1047L (0.30%)	1.57	WT
23	E545K (0.25%)	0.23	WT
24	E545K (0.30%)	7.01	WT
25	WT	-	WT
26	WT	-	WT
27	H1047R (14.11%)	216.90	WT
28	H1047R (0.24%)	9.67	WT
	H1047L (0.85%)	4.79	WT
29	WT	-	WT
30	WT	-	WT
31	WT	-	WT
32	WT	-	WT
33	H1047R (12.48%)	6.5	WT
34	E545K (0.30%)	0.81	WT
35	WT	-	WT
36	WT	-	WT
37	H1047R (9.64%)	32.76	WT
38	WT	-	WT
39	WT	-	WT
40	E545K (6.39%)	85.81	WT
41	E545K (2.25%)	15.88	WT
42	WT	-	WT
43	WT	-	WT
44	WT	-	WT
45	WT	-	WT
46	WT	-	WT
47	E545K (34.85%)	1567.30	E545K
48	E545K (10.05%)	53.96	WT
	(20.00 /0)		,,,

49	WT	-	WT
50	WT	-	WT
51	WT	-	WT
52	E545K (17.66%)	4630.4	E545K
53	H1047R (11.42%)	76.06	WT
54	H1047R (2.67%)	17.61	WT
	H1047L (5.13%)	36.63	WT
55	WT	-	WT
56	WT	-	WT
57	E545K (0.24%)	4.77	WT

WT: Wild type.

3.3. Sensitivity and Specificity Analysis

For sensitivity and specificity analysis, Sanger sequencing was used as a gold standard against dPCR. Digital PCR sensitivity showed to be 100% (95% CI 53-100%), while the specificity 84.2% (95% CI 83 - 84.2%), PPV 18.8% (95% CI 9- 18%) and NPV 100% (95% CI 98- 100%). Furthermore, ROC analysis (Figure 1) showed an AUC of 0.998 (95% CI 0.978-1.00).

3.4. PIK3CA Mutations and Patient's Clinic-pathological Features

No statistically significant association was found between clinicopathological features and overall PI3KCA mutational status (Table 3). Additionally, mutational status was assessed individually by mutation (E545K and H1047R) showing a significant association between H1047R and breast cancer immunophenotype (p=0.019) and lymph node infiltration (p=0.046). Further, post hoc analysis through Pearson X^2 test residuals evaluation determined the association between H1047R and HER2 breast cancer phenotype (residuals 2.234, p=0.026) (data not shown).

Table 3. Association among *PIK3CA* mutations' and Clinic-pathological Features

CLINICAL FEATURES		N=57	E545K	р	N=57	H1047R	р
		(%)	M= 18	r	(%)	M=11	r
Age (years)	≤ 50	15 (38.46)	7(38.89)	0.975	17 (36.96)	5 (45.45)	0.603
	>50	24 (61.54)	11(61.11)		29 (63.04)	6 (54.55)	
Stage	In situ	1 (2.63)	0 (0)	0.823	1 (2.22)	0 (0)	0.638
	I	3 (7.89)	0 (0)		2 (4.44)	1 (9.09)	
	II	6 (15.79)	4 (22.22)		7 (15.56)	3 (27.27)	
	III	25 (65.79)	12 (66.67)		31 (68.89)	6 (54.55)	
	IV	3 (7.89)	2 (11.11)		4 (8.89)	1 (9.09)	
Histological type	Ductal	30 (76.92)	16 (88.89)	0.428	39 (84.78)	7 (63.64)	0.124
	Lobular	4 (10.26)	0 (0)		2 (4.35)	2 (18.18)	
	Others	5 (12.82)	2 (11.11)		5 (10.87)	2 (18.18)	
Region	Lima	11(28.21)	8 (44.44)	0.709	15 (32.61)	4 (36.36)	0.605
	Pacific coast	15 (38.46)	5 (27.78)		17 (36.96)	3 (27.27)	
	Andes	9 (23.08)	4 (22.22)		11 (23.91)	2 (18.18)	
	Amazon	4 (10.26)	1 (5.56)		3 (6.52)	2 (18.18)	
Immunophenotype	Basal-like	5 (13.51)	3 (16.67)	0.622	8 (17.39)	0 (0)	0.019
	Luminal A	5(13.51)	4 (22.22)		6 (13.04)	3 (33.33)	
	Luminal B	21 (56.76)	7 (38.89)		26 (56.52)	2 (22.22)	
	HER-2 +	6 (16.22)	4 (22.22)		6 (13.04)	4 (44.44)*	
	No eval.						
HER-2	Positive	13 (37.14)	6 (33.33)	0.784	14 (31.82)	5 (55.56)	0.255
	Negative	22 (62.86)	12 (66.67)		30 (68.18)	4 (44.44)	
	•						

Estrogen	Positive	26 (68.42)	11 (61.11)	0.589	31 (67.39)	6 (60)	0.720	21 (65.63)	16 (66.67)	0.935
receptor	Negative	12 (31.58)	7 (38.89)		15 (32.61)	4 (40)		11 (34.38)	8 (33.33)	
Progesterone receptor	Positive	24 (63.16)	8 (44.44)	0.186	27 (58.7)	5 (50)	0.615	19 (59.38)	13 (54.17)	0.697
	Negative	14 (36.84)	10 (55.56)		19 (41.4)	5 (50)		13 (40.63)	11 (45.83)	
Lymph nodes	Positive	27 (69.23)	13 (72.22)	0.819	35 (76.09)	5 (45.45)	0.046	24 (75)	16 (64)	0.368
infiltration	Negative	12(30.77)	5 (27.78)		11 (23.91)	6 (54.55)		8 (25)	9 (36)	
Ki-67	<14	5 (14.71)	5 (29.41)	0.212	7 (16.67)	3 (33.33)	0.353	3 (10.34)	7 (31.82)	0.079
	>=14	29 (85.29)	12 (70.59)		35 (83.33)	6 (66.67)		26 (89.66)	15 (68.18)	
Systemic	Positive	3 (7.69)	2 (11.11)	0.646	4 (8.70)	1 (9.09)	1.000	2 (6.25)	3 (12)	0.645
metastasis	Negative	36 (92.31)	16 (88.89)		42 (91.30)	10 (90.91)		30 (93.75)	22 (88)	
Menstrual	Pre-menopause	10 (25.64)	3 (16.67)	0.520	11 (23.91)	2 (18.18)	1.000	8 (25)	5 (20)	0.655
status	Post-menopause	29 (74.36)	15 (83.33)		35 (76.09)	9 (81.09)		24 (75)	20 (80)	
Neoadjuvancy	No	14 (35.90)	5 (27.78)	0.546	14 (30.43)	5 (45.45)	0.342	10(31.25)	9 (36)	0.706
	Yes	25 (64.1)	13 (72.22)		32 (69.57)	6 (54.55)		22 (68.75)	16 (64)	

The analysis was performed with the Chi-square or Fisher's exact test. The numbers highlighted in bold indicate significant differences (p < 0.05). M: mutations. * Significantly associated under X^2 residual evaluation.

Sensitivity

13

14 15

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

38

39

Figure 1. Receiver operator characteristics (ROC) curve for PIK3CA mutations detected by dPCR.

4. Discussion

Digital PCR is a relatively new technique that enables mutation analysis of low rate target molecules due to its partition properties and Poisson analysis (25). In this study we compared the QuantStudio 3D Digital PCR chip system against Sanger sequencing, as the gold standard, to assess its sensitivity, specificity, and overall performance in the detection of three *PIK3CA* mutations in breast cancer tumors. In our study, dPCR revealed a prevalence of 43.9% of three *PIK3CA* mutations exclusively (E545K, H1047R, and H1047L). Although our results are concordant with the one reported by Beaver et al. in a similar platform (ddPCR) (26) (21), it differs in almost 8% with the overall *PI3KCA* mutations prevalence in breast cancer estimated by Whole Genome Sequencing (WGS) and Whole Exome Sequencing (WES) studies reported in TGCA database (36%) (1). However, this inconsistency can be explained by the difference in sensitivity between dPCR and TGCA techniques. Furthermore, Sanger sequencing identified only 10.5% of mutated tumors, which is low compared with dPCR, but similar to previous studies taking into consideration only E545K, H1047R, and H1047L mutations (36,37).

In terms of performance, sensitivity, and specificity, dPCR showed excellent results as compared to Sanger sequencing. Nevertheless, dPCR sensitivity had a broad CI which ranges from 53 to 100% and a low PPV. These might be explained by the 19 cases that Sanger sequencing was not able to detect as previous studies have reported a detection limit of 10-20% for Sanger sequencing (20,38). In our study, Sanger sequencing was able to detect until a mutation rate of 11.66% according to dPCR. However, cases 27 and 33 drew our attention since they were not detected by Sanger sequencing despite a mutation rate for H1047R of 14.11% and 12.48% respectively by dPCR. This issue can be due to the number of copies per μ L in the sample as case 14, with a mutation rate of 11.66% for

E545K, showed to have 229 mutant copies/ μ L, compared to 216 and 6.5 copies/ μ L from patient 27 and 33 respectively; suggesting the importance of not only the proportion of mutant alleles over the wild types but also the overall number of copies within the sample for Sanger sequencing analysis.

Individually, E545K was the most frequent mutation, followed by H1047R and H1047L in both techniques which contrast with previous data, where H1047R mutation represents approximately 50% of PIK3CA total mutation rate and E545K up to 20% (39). Nevertheless, it is important to note that most of the E545K cases detected by dPCR have a mutation rate lower than 1%, which might have been underestimated in previous studies (7,36,37,40). Additionally, dPCR detected seven patients with mutation coexistence, which were not identified by Sanger sequencing. Mutation coexistence has been reported previously (26,41,42) and may reflect breast cancer intra-tumoral heterogeneity, which has been suggested to have an important role in tumor progression and treatment (43). Furthermore, it is suggested that therapeutic failures and metastases may be due to the outgrowth of the resistant sub-clones present before the beginning of the treatment and underestimated by standard techniques as shown by recent studies (44,45). For this reason, it is important to develop more sensitive techniques, such as dPCR, capable to detect potential resistant sub-clones and guarantee the practice of individualized medicine.

Moreover, we found a significant association of H1047R mutation with HER2 phenotype and lymph nodes infiltration. Even though previous studies have not reported a correlation or association between this mutation and HER2 phenotype, its presence is associated with an overall worse survival in breast cancer patients and therapy resistance (46,47). Besides, animal studies have shown an acceleration on tumor progression as well as an enhancement on metastasis potential in mice with tumors expressing both H1047R mutations and HER2 overexpression (18,48) suggesting a synergic effect. This may also explain the association with lymph node infiltration. However, as this analysis was exploratory other studies should be performed to sustain and expand these results.

Also, with the FDA approval of alpelisib in 2019 after the phase-III trial SOLAR-1 (49), evaluation of PIK3CA is becoming a routine; consequently, dPCR might be a potential technique to identify patients that could be benefited with alpelisib. Hence, future studies with larger samples should be performed to validate and standardized this technique for clinical purposes.

5. Conclusions

Our study has shown a good performance of chip-based dPCR, compared with Sanger sequencing, in the detection of three *PIK3CA* mutations with high sensitivity and specificity values. Moreover, due to the detection of low rate mutations and mutation coexistence that Sanger sequencing could not detect, dPCR has the potential to become an important tool for gene analysis and personalized medicine. Thus, future studies with larger populations should be performed to confirm and extend our results.

Supplementary Materials: Supplementary Material 1: QuantStudio 3D Digital PCR System catalog number, PIK3CA posi-tive example by dPCR, calibration process for dPCR and cycling conditions of PI3KCA exon 9 and 20 for conventional PCR. Supplementary Material 2: Digital MIQE checklist for authors, reviewers and editors.

Funding: This study was funded by research grants from the Medical School - Universidad de San 88 Martín de Porres (Project E10012016038), Programa Nacional de Innovación para la Competitividad 89 y Produc-tividad - Innóvate Perú (Grant Number 138-PNICP-PIAP-2015), and Oncosalud-AUNA. 90 91 Institutional Review Board Statement: Patients were enrolled prospectively in the study between 92 April and August of 2017 after signing the proper informed consent. The study protocol and informed consent were approved by Universidad de San Martín de Porres IRB (IRB00003251-93 FWA0015320) and the Protocols Review Committee from INEN. 94 Informed Consent Statement: Informed consent was obtained from all subjects involved in the 95 study. 96 97 **Conflicts of Interest:** The authors declare no conflict of interest. 98 References 99 1. cBioPortal for Cancer Genomics [Internet]. [cited 2020 May 17]. Available from: http://www.cbioportal.org/ 100 2. Samuels Y, Wang Z, Bardelli A, Silliman N, Ptak J, Szabo S, et al. High frequency of mutations of the PIK3CA gene in human cancers. Science 101 (New York, NY). 2004 Apr;304(5670):554. 102 3. Liu P, Cheng H, Roberts TM, Zhao JJ. Targeting the phosphoinositide 3-kinase pathway in cancer. Nature reviews Drug discovery. 2009 103 Aug;8(8):627-44. 104 4. Takeshita T, Yamamoto Y, Yamamoto-Ibusuki M, Inao T, Sueta A, Fujiwara S, et al. Prognostic role of PIK3CA mutations of cell-free DNA in 105 early-stage triple negative breast cancer. Cancer science. 2015 Nov;106(11):1582-9. 5. Mankoo PK, Sukumar S, Karchin R. PIK3CA somatic mutations in breast cancer: Mechanistic insights from Langevin dynamics simulations. 107 Proteins. 2009 May;75(2):499-508. 108 6. Samuels Y, Diaz LAJ, Schmidt-Kittler O, Cummins JM, Delong L, Cheong I, et al. Mutant PIK3CA promotes cell growth and invasion of human 109 cancer cells. Cancer cell. 2005 Jun;7(6):561-73. 110 7. Cizkova M, Susini A, Vacher S, Cizeron-Clairac G, Andrieu C, Driouch K, et al. PIK3CA mutation impact on survival in breast cancer patients 111 and in ERα, PR and ERBB2-based subgroups. Cizkova M, editor. Breast cancer research: BCR. 2012;14(1):R28–R28. 112 8. Pérez-Tenorio G, Alkhori L, Olsson B, Waltersson MA, Nordenskjöld B, Rutqvist LE, et al. PIK3CA mutations and PTEN loss correlate with 113 similar prognostic factors and are not mutually exclusive in breast cancer. Pérez-Tenorio G, editor. Clinical cancer research: an official journal of 114 the American Association for Cancer Research. 2007;13(12):3577-84. 115 9. Pang B, Cheng S, Sun S-P, An C, Liu Z-Y, Feng X, et al. Prognostic role of PIK3CA mutations and their association with hormone receptor 116 expression in breast cancer: a meta-analysis. Scientific Reports. 2014;4(1). 117 10. Mosele F, Stefanovska B, Lusque A, Tran Dien A, Garberis I, Droin N, et al. Outcome and molecular landscape of patients with PIK3CA-mutated 118 metastatic breast cancer. Annals of oncology: official journal of the European Society for Medical Oncology. 2020 Mar;31(3):377-86. 119 11. Lerma E, Catasus L, Gallardo A, Peiro G, Alonso C, Aranda I, et al. Exon 20 PIK3CA mutations decreases survival in aggressive (HER-2 positive) 120 breast carcinomas. Virchows Archiv: an international journal of pathology. 2008 Aug;453(2):133-9. 121 12. Barbareschi M, Buttitta F, Felicioni L, Cotrupi S, Barassi F, del Grammastro M, et al. Different prognostic roles of mutations in the helical and 122 kinase domains of the PIK3CA gene in breast carcinomas. Clinical cancer research: an official journal of the American Association for Cancer 123 Research. 2007 Oct;13(20):6064-9. 124 13. Li SY, Rong M, Grieu F, Iacopetta B. PIK3CA mutations in breast cancer are associated with poor outcome. Breast cancer research and treatment. 125 2006 Mar;96(1):91-5. 126 14. Mayer I, Arteaga C. The PI3K/AKT Pathway as a Target for Cancer Treatment. Annual Review of Medicine. 2016;67:11. 127

Author Contributions: Conceptualization, J.B, O.A.C, A.A., and S.G.L.; Methodology, J.M.C.,

H.G.M., A.M.C., J.B., O.A.C., P.D., J.P., J.A. and S.G.L.; formal analysis, O.A.C., S.G.L., P.D.; investi-

gation, and writing-original draft preparation, S.G.L., A.M.C., and R.F..; writing-review and ed-

iting, S.G.L, J.B, O.A.C, and R.F.; funding acquisition, J.B, O.A.C, and R.F. All authors have read and

agreed to the published version of the manuscript.

83

84

85

86

87

15.	Paplomata E, O'regan R. The PI3K/AKT/mTOR pathway in breast cancer: targets, trials and biomarkers. Therapeutic Advances in Medical	128
	Oncology. 2014;6(4):154–66.	129
16.	Miller TW, Hennessy BT, González-Angulo AM, Fox EM, Mills GB, Chen H, et al. Hyperactivation of phosphatidylinositol-3 kinase promotes	130
	$escape \ from \ hormone dependence \ in \ estrogen \ receptor-positive \ human \ breast \ cancer. \ The \ Journal \ of \ clinical \ investigation. \ 2010 \ Jul; 120(7): 2406-1000 \ for \ f$	131
	13.	132
17.	Zhou D, Ouyang Q, Liu L, Liu J, Tang Y, Xiao M, et al. Chemotherapy Modulates Endocrine Therapy-Related Resistance Mutations in Metastatic	133
	Breast Cancer. Translational Oncology [Internet]. 2019;12(5):764–74. Available from:	134
	http://www.sciencedirect.com/science/article/pii/S1936523318305928	135
18.	Hanker AB, Pfefferle AD, Balko JM, Kuba MG, Young CD, Sánchez V, et al. Mutant PIK3CA accelerates HER2-driven transgenic mammary	136
	tumors and induces resistance to combinations of anti-HER2 therapies. Proceedings of the National Academy of Sciences. 2013;110(35):14372.	137
19.	Kircher M, Kelso J. High-throughput DNA sequencing - concepts and limitations. BioEssays. 2010;32(6):524–36.	138
20.	Tsiatis AC, Norris-Kirby A, Rich RG, Hafez MJ, Gocke CD, Eshleman JR, et al. Comparison of Sanger sequencing, pyrosequencing, and melting	139
	$curve\ analysis\ for\ the\ detection\ of\ KRAS\ mutations:\ diagnostic\ and\ clinical\ implications.\ Tsiatis\ AC,\ editor.\ The\ Journal\ of\ molecular\ diagnostics:$	140
	JMD. 2010;12(4):425–32.	141
21.	Jancik S, Drabek J, Berkovcova J, Xu YZ, Stankova M, Klein J, et al. A comparison of Direct sequencing, Pyrosequencing, High resolution melting	142
	analysis, TheraScreen DxS, and the K-ras StripAssay for detecting KRAS mutations in non small cell lung carcinomas. Journal of experimental &	143
	clinical cancer research: CR [Internet]. 2012 Sep 20;31(1):79. Available from: https://pubmed.ncbi.nlm.nih.gov/22995035	144
22.	Walker JM, Luthra R, Singh RR, Patel KP. Clinical Applications of PCR. 3rd ed. 20. Vol. 1392. New York, NY: Springer New York; 2016.	145
23.	Oshiro C, Kagara N, Naoi Y, Shimoda M, Shimomura A, Maruyama N, et al. PIK3CA mutations in serum DNA are predictive of recurrence in	146
	primary breast cancer patients. Breast Cancer Research and Treatment. 2015;150(2):299-307.	147
24.	QuantStudio 3D Digital PCR System Thermo Fisher Scientific - US [Internet]. [cited 2020 May 17]. Available from:	148
	https://www.thermofisher.com/us/en/home/life-science/pcr/digital-pcr/quantstudio-3d-digital-pcr-system.html	149
25.	Basu AS. Digital Assays Part I: Partitioning Statistics and Digital PCR. SLAS Technology. 2017;22(4):369–86.	150
26.	Beaver JA, Jelovac D, Balukrishna S, Cochran R, Croessmann S, Zabransky DJ, et al. Detection of cancer DNA in plasma of patients with early-	151
	stage breast cancer. Clinical cancer research: an official journal of the American Association for Cancer Research. 2014;20(10):2643.	152
27.	Wong YK, Tsang HF, Xue VW, Chan CM, Au TC, Cho WC, et al. Applications of digital PCR in precision medicine. Expert Review of Precision	153
	Medicine and Drug Development. 2017;2(3):177–86.	154
28.	Denis JA, Patroni A, Guillerm E, Pépin D, Benali-Furet N, Wechsler J, et al. Droplet digital PCR of circulating tumor cells from colorectal cancer	155
	patients can predict KRAS mutations before surgery. Molecular Oncology. 2016;10(8):1221–31.	156
29.	Earl J, Garcia-Nieto S, Martinez-Avila JC, Montans J, Sanjuanbenito A, Rodríguez-Garrote M, et al. Circulating tumor cells (Ctc) and kras mutant	157
	circulating free Dna (cfdna) detection in peripheral blood as biomarkers in patients diagnosed with exocrine pancreatic cancer. Earl J, editor. BMC	158
	cancer. 2015;15(1):797.	159
30.	Gu J, Zang W, Liu B, Li L, Huang L, Li S, et al. Evaluation of digital PCR for detecting low-level EGFR mutations in advanced lung adenocarcinoma	160
	patients: a cross-platform comparison study. Gu J, editor. Oncotarget. 2017;8(40):67810–20.	161
31.	Otsuji K, Sasaki T, Tanaka A, Kunita A, Ikemura M, Matsusaka K, et al. Use of droplet digital PCR for quantitative and automatic analysis of the	162
	HER2 status in breast cancer patients. Breast Cancer Research and Treatment. 2017;162(1):11–8.	163
32.	Kondov B, Milenkovikj Z, Kondov G, Petrushevska G, Basheska N, Bogdanovska-Todorovska M, et al. Presentation of the Molecular Subtypes	164
	of Breast Cancer Detected By Immunohistochemistry in Surgically Treated Patients. Kondov B, editor. Open access Macedonian journal of medical	165
	sciences. 2018;6(6):961–7.	166
33.	Garcia-Saenz J, Ayllon P, Laig M, Acosta-Eyzaguirre D, Garcia-Esquinas M, Montes M, et al. Tumor burden monitoring using cell-free tumor	167
	DNA could be limited by tumor heterogeneity in advanced breast cancer and should be evaluated together with radiographic imaging. BMC	168
	Cancer. 2017;17(1).	169

34.	Huggett JF, Foy CA, Benes V, Emslie K, Garson JA, Haynes R, et al. The digital MIQE guidelines: minimum information for publication of	170
	quantitative digital PCR experiments.(Special Report)(Report). Clinical Chemistry. 2013;59(6):892.	171
35.	Mandrekar JN. Receiver Operating Characteristic Curve in Diagnostic Test Assessment. Journal of Thoracic Oncology. 2010;5(9):1315–6.	172
36.	Castaneda CA, Lopez-Ilasaca M, Pinto JA, Chirinos-Arias M, Doimi F, Neciosup SP, et al. PIK3CA mutations in Peruvian patients with HER2-	173
	$amplified \ and \ triple \ negative \ non-metastatic \ breast \ cancers. \ Hematology/Oncology \ and \ Stem \ Cell \ Therapy. \ 2014; 7(4):142-8.$	174
37.	Liedtke C, Cardone L, Tordai A, Yan K, Gomez HL, Figureoa L, et al. PIK3CA-activating mutations and chemotherapy sensitivity in stage II-	175
	IIIbreast cancer. Breast Cancer Research. 2008;10(2).	176
38.	Wang Z, Sun K, Jing C, Cao H, Ma R, Wu J. Comparison of droplet digital PCR and direct Sanger sequencing for the detection of the BRAFV600E	177
	mutation in papillary thyroid carcinoma. Journal of Clinical Laboratory Analysis. 2019;33(6):n/a-n/a.	178
39.	Home - My Cancer Genome [Internet]. [cited 2020 May 17]. Available from: https://www.mycancergenome.org/	179
40.	Jensen JD, Knoop A, Laenkholm A v, Grauslund M, Jensen MB, Santoni-Rugiu E, et al. mutations, PTEN, and pHER2 expression and impact on	180
	outcome in HER2-positive early-stage breast cancer patients treated with adjuvant chemotherapy and trastuzumab. Annals of Oncology.	181
	2012;23(8):2034–42.	182
41.	Higgins M, Jelovac D, Barnathan E, Blair B, Slater S, Powers P, et al. Detection of Tumor PIK3CA Status in Metastatic Breast Cancer Using	183
	Peripheral Blood. Clinical Cancer Research. 2012;18(12):3462–9.	184
42.	$Stemke-Hale\ K,\ Gonzalez-Angulo\ AM,\ Lluch\ A,\ Neve\ RM,\ Kuo\ W-L,\ Davies\ M,\ et\ al.\ An\ integrative\ genomic\ and\ proteomic\ analysis\ of\ PIK3CA,$	185
	PTEN and AKT mutations in breast cancer. Division. LBNLaboratoryLS, editor. 2008;68(15).	186
43.	Martelotto LG, Ng CKY, Piscuoglio S, Weigelt B, Reis-Filho JS. Breast cancer intra-tumor heterogeneity. Martelotto LG, editor. Breast cancer	187
	research: BCR. 2014;16(3):210.	188
44.	Janiszewska M, Liu L, Almendro V, Kuang Y, Paweletz C, Sakr RA, et al. In situ single cell analysis identifies heterogeneity for PIK3CA mutation	189
	and HER2 amplification in HER2+ breast cancer. Nature genetics. 2015;47(10).	190
45.	Yates LR, Gerstung M, Knappskog S, Desmedt C, Gundem G, Loo P van, et al. Subclonal diversification of primary breast cancer revealed by	191
	multiregion sequencing. Vol. 21, Nature Medicine. Nature Publishing Group; 2015.	192
46.	Jensen JD, Knoop A, Laenkholm A, Grauslund M, Jensen MB, Santoni-Rugiu E, et al. PIK3CA mutations, PTEN, and pHER2 expression and	193
	impact on outcome in HER2-positive early-stage breast cancer patients treated with adjuvant chemotherapy and trastuzumab. Apmis.	194
	2012;120(s134):16.	195
47.	Mangone FR, Bobrovnitchaia IG, Salaorni S, Manuli E, Nagai MA. PIK3CA exon 20 mutations are associated with poor prognosis in breast cancer	196
	patients. Clinics. 2012;67(11):1285–90.	197
48.	Cheng H, Liu P, Ohlson C, Xu E, Symonds L, Isabella A, et al. PIK3CA(H1047R)- and Her2-initiated mammary tumors escape PI3K dependency	198
	by compensatory activation of MEK-ERK signaling. Cheng H, editor. Oncogene. 2016;35(23):2961–70.	199
49.	André F, Ciruelos E, Rubovszky G, Campone M, Loibl S, Rugo HS, et al. Alpelisib for PIK3CA-Mutated, Hormone Receptor-Positive Advanced	200
	Breast Cancer. Rubovszky G, Kaufman B, Yamashita T, Lu Y-S, Ciruelos Gil EM, Inoue K, et al., editors. The New England journal of medicine.	201
	2019;380(20):1929–40.	202